

## Review

# Mitochondrial Stat3, the Need for Design Thinking

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## Abstract

Stat3 has been studied extensively as a transcription factor, however the finding that Stat3 also localizes to mitochondria has opened a new area to discover non-classical functions. Here we review the current knowledge of mitochondrial Stat3 as a regulator of the electron transport chain (ETC) and its impact on mitochondrial production of ATP and ROS. We also describe recent findings identifying Stat3 as a regulator of mitochondrial  $Ca^{2+}$  homeostasis through its effect on the ETC. It is becoming evident that these non-classical functions of Stat3 can have a major impact on cancer progression, cardiovascular diseases, and inflammatory diseases. Therefore, mitochondrial Stat3 functions challenge the current design of therapies that solely target Stat3 as a transcription factor and suggest the need for “design thinking,” which leads to the development of novel strategies, to intervene the Stat3 pathway.

Key words: Stat3

## 1. Introduction

Signal Transducers and Activators of Transcription family 3 (Stat3) plays a crucial role in normal development, acute phase response, chronic inflammation, autoimmunity, metabolism and cancer progression [1]. Stat3 was first identified as an acute phase response factor (APRF) which selectively interacts with the promoter regions of acute phase genes in IL-6-stimulated hepatocytes [2-5]. Stat3 is activated by the a family of cytokines which share the same signal transducer gp130, including IL-6, IL-11, LIF (leukaemia inhibitory factor), OSM (oncostatin M), ciliary neurotrophic factor (CNTF), cardiotrophin-1 and cardiotrophin-like cytokines [6]. Several gp130 independent stimuli including epidermal growth factor (EGF), hepatocyte growth factor (HGF), IL-10, leptin also activate Stat3 [6]. Stat3 is abundantly expressed in most tissues. Stat3 is structurally similar to other Stat proteins, containing a conserved N-terminal domain involved in tetramerization and cooperativity, an Src homology 2 (SH2) domain for homodimerization, a DNA-binding domain and a C-terminal transactivating domain [7, 8]. There are two alternatively spliced Stat3 isoforms: the full-length Stat3 $\alpha$  (a

770 amino acid protein) and truncated isoform Stat3 $\beta$  (containing amino acid 1-715 and 7 unique amino acid due to a frame-shift) [9-12]. While Stat3 $\alpha$  and Stat3 $\beta$  share most features in cellular signaling and functions, Stat3 $\alpha$  has some non-redundant features in regulating IL-6 signaling, including the upregulation of the negative feedback protein SOCS3 in response to IL-6 [9].

As a major stimulus of Stat3, engagement of IL-6 with IL-6 receptor  $\alpha$  (IL-6R $\alpha$ ) recruits the ubiquitously expressed signal transducer gp130. Engagement of gp130 by IL-6 and other cytokines that signal through Stat3 leads to the activation of Jak1, Jak2 and Tyk2 [3, 13, 14]. Activation of Jak family kinases stimulates downstream transcription factor Stat3 [15, 16]. Stat3 is phosphorylated at two well-studied phosphorylation sites, Tyr<sup>705</sup> and Ser<sup>727</sup>. Tyr<sup>705</sup> phosphorylation in response to cytokine stimulation is mediated by Janus kinases, Jak1, Jak2 and Tyk2 with Jak1 being the preferential kinase [17]. Phosphorylation of Tyr<sup>705</sup> leads to Stat3 homodimerization, nuclear translocation, DNA binding and downstream transcriptional activities (Fig. 1). The Ser<sup>727</sup> phosphorylation is more

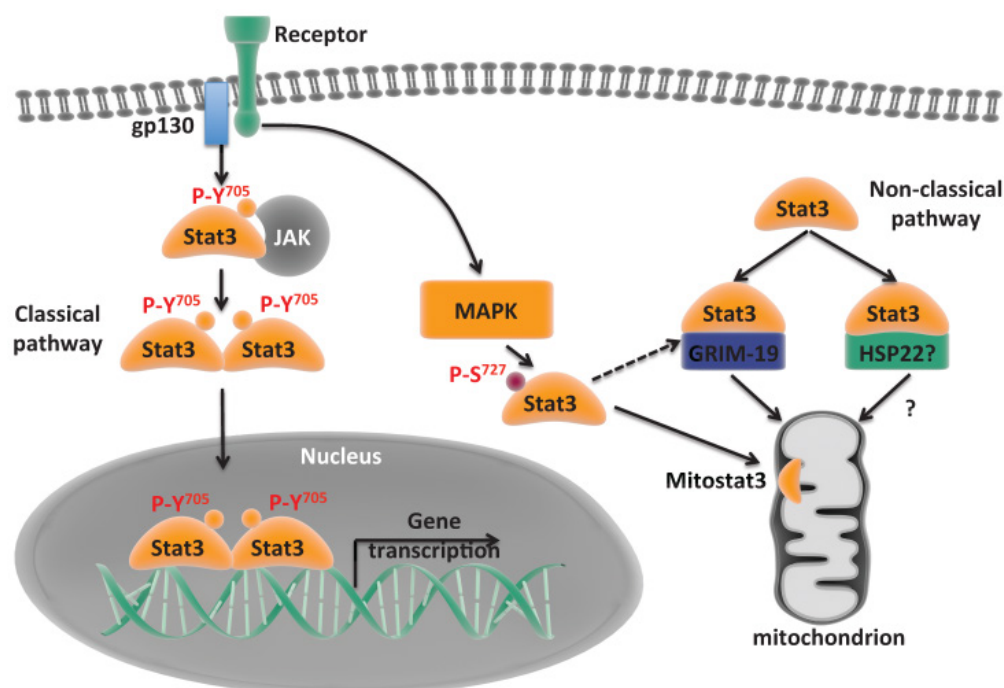
complex because different activation signals lead to serine phosphorylation by different kinases, including ERK1, ERK2, p38, JNK and MAP kinases [18]. Ser<sup>727</sup> phosphorylation at the transactivating domain is considered a secondary event after Tyr<sup>705</sup> phosphorylation (Fig. 1). This is required for the maximal transcriptional activity of Stat3 [19]. In addition, Stat3 has also been shown to form heterodimer with Stat1 in response to IL-6 stimulation [20].

Although Stat3 has been studied as a transcription factor for decades, a small pool of Stat3 (mito-Stat3) was found localized in mitochondria, where it functions as a positive regulator of mitochondrial electron transport chain (ETC) [21, 22]. A number of follow up studies have further confirmed the presence of Stat3 in mitochondria in different cells and tissues. Besides the pivotal role in cellular metabolism, the functions of mitoStat3 have been expanded to include cell death, development, cancer transformation, ischemia/reperfusion (I/R) heart injury, sperms motility, T cell immunity and others [21-30].

In light of the recent findings supporting new aspects of mitoStat3 in regulating mitochondrial metabolism and cellular function, and the rapidly growing interest in mitoStat3 from the therapeutical point of view, the goal of this review is to summarize these new discoveries and provide an overview of where the field is heading.

## 2. Regulation of mitochondrial function by mitochondrial Stat3

As a transcription factor, the role of Stat3 in the regulation of genes from acute phase response, chronic inflammation, and cancer development has been well characterized [1, 31]. Null disruption of Stat3 gene in mice leads to early embryonic degeneration and lethality [32]. Interestingly, however, many autosomal-dominant Hyper-IgE syndrome patients who bear a dominant negative mutation in Stat3 that disrupts its DNA binding transcriptional activity do not exhibit major developmental defects [33-35]. These findings posed the question whether some of the initial Stat3 functions might be independent of its activity as transcription factor. While it was generally believed that Stat3 was just a transcription factor, several studies observed that GRIM-19, a component of Complex I of ETC, binds to Stat3 directly [36, 37]. These studies paved the way for later revolutionary findings that some Stat3 localizes in mitochondria [21, 22]. Despite several studies reporting controversial results that GFP tagged Stat3 is excluded from mitochondria [38] and disproportioned stoichiometry analysis [39] between Stat3 and ETC complexes, growing evidences have confirmed the presence of mitoStat3 and its role in regulating cellular metabolism and various functions.



**Figure 1. Classical and non-classical pathways of Stat3.** Classical pathway where Stat3 translocates to the nucleus and mediates gene transcription. Non-classical pathway where Stat3 is recruited to mitochondria and regulates functions alternative to transcription.

## 2.1 The role of mitoStat3 in the regulation of electron transport chain and mitochondrial ATP synthesis.

MitoStat3 is associated with the inner mitochondrial membrane where the Complex I resides [40]. As with most of mitochondrial proteins encoded by nuclear genes, the mechanisms by which Stat3 is transported to the mitochondria remain unclear. Although the C-terminus of Stat3 has been shown to be required for mitochondrial transport no specific mitochondrial targeting signal has been identified. Since Stat3 associates with GRIM-19, a component of the ETC Complex I, most likely Stat3 transport to mitochondria is mediated by GRIM-19 through the mitochondrial importer Tom20 [26, 30, 36, 37, 40] (**Fig. 1**). In addition, heat shock protein 22 (Hsp22) has also been suggested to be an alternative shuttle for mitoStat3 [41] (**Fig. 1**). MitoStat3 is not required for mitochondrial maintenance or formation [22]. However, it enhances the activities of complex I and complex II of ETC [21]. In Stat3 deficient pro-B cells, the activities of Complex I and Complex II are reduced, but expression of a mitochondrial-targeted Stat3 (MLS-Stat3) rescues the ETC Complex I and II activities [21]. This shows mitoStat3 maintains ETC activities independent of nuclear activities. MitoStat3 has also been shown to enhance the activity of Complex V in cells undergoing oncogenic transformation [22]. Intriguingly, mitoStat3 also enhances ETC Complex IV activity in FGFR-R388 single nucleotide polymorphic variant cells [42]. The biochemical mechanisms by which mitoStat3 regulates ETC complex activities have been investigated by several groups. MitoStat3 directly interacts with Complex I and possibly Complex II of ETC [21], suggesting that mitoStat3 cooperatively enhances the enzyme activities of Complex I and II of ETC. Interestingly, both Tyr<sup>705</sup> and Ser<sup>727</sup> phosphorylation of Stat3 has been found in mitochondria [21, 23, 25, 28]. However, Stat3 Tyr<sup>705</sup> phosphorylation does not seem to be required for the optimal activities of ETC in several cell types [21]. In contrast, Ser<sup>727</sup> phosphorylation is critical for mitoStat3 to enhance ETC Complex I and Complex II activities [21, 22].

Complex I largely contributes to establishing the mitochondrial membrane potential (MMP) across the inner membrane by pumping protons to the intermembrane space. The contribution of Stat3 to the maintenance of MMP appears to be dependent on cell types or metabolic requirements of cells. In H-ras transformed fibroblasts, Stat3 does not seem to be required for MMP [22]. In contrast, disruption of Stat3 reduces MMP in other conditions, such as astrocytes [43]. While naïve CD4 T lymphocytes prior activation

have high MMP, we have shown that upon activation MMP decreases over time [23]. However, if IL-6 is present during the activation of CD4 T cells, MMP remains high [23]. The effect of IL-6 on preventing mitochondrial depolarization in these cells is Stat3-dependent [23]. Furthermore, we have shown that the effect of Stat3 on MMP is independent of its transcription-mediated activities [23]. Thus, the contribution of mitoStat3 to MMP is most likely determined by the metabolic state of the cells and specific requirements of mitochondrial respiration. Alternatively, since in addition to Complex I both Complex III and IV contribute to the generation of MMP, the role of mitoStat3 in MMP could be determined by the relative proportion of contribution by Complex I.

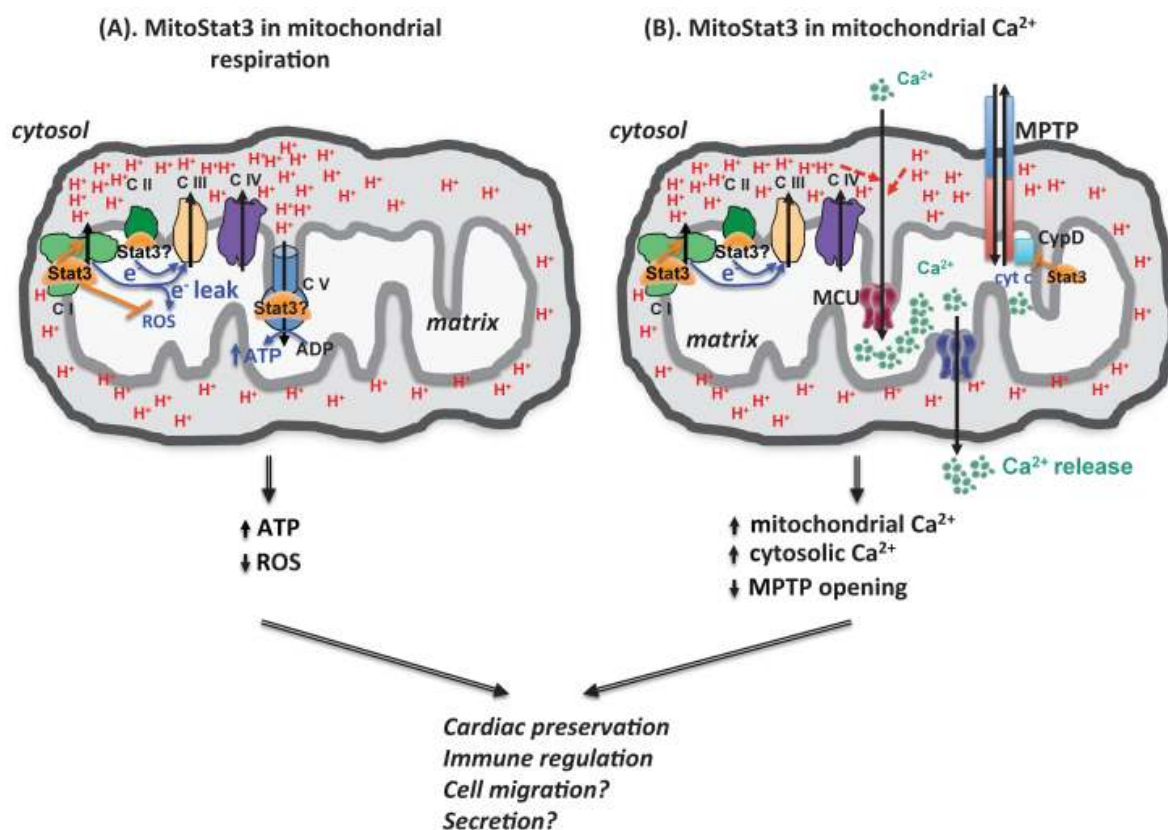
MMP is primarily used for ATP synthesis by Complex V of ETC, this process is defined as oxidative phosphorylation (OXPHOS) because it is coupled to oxygen consumption. The lack of mitoStat3 lowers the levels of ATP in cells undergoing oncogenic transformation [22]. Tissue specific disruption of Stat3 also reduces ATP production in astrocytes [43]. IL-6 promotes mitoStat3 translocation in pancreatic cancer cell lines panc02 and panc02.03, and leads to a significant increase in the levels of ATP [44]. Together, in most tissues and cells, mitoStat3 positively regulates ETC activities and this results in elevated ATP production (**Fig. 2**).

Interestingly, we have recently reported that IL-6 also facilitates mitoStat3 translocation in activated mouse CD4 T cells. However, the increase in mitoStat3 does not result in any changes in ATP levels [23]. This suggests that the effect of mitoStat3 in the regulation of ATP production could be cell type and tissue type specific.

## 2.2. Exploring the role of mitoStat3 in calcium homeostasis

While a major function of the mitochondrial membrane potential is to drive the synthesis of ATP by OXPHOS, it is also used to regulate Ca<sup>2+</sup> uptake into the mitochondria [45] (**Fig. 2**). Mitochondria were the one of the first intracellular organelles to be associated with Ca<sup>2+</sup> storage. In recent years, mitochondria are emerging as a critical organelle in buffering cytosolic Ca<sup>2+</sup>. The uptake of Ca<sup>2+</sup> into the mitochondrial matrix through ion impermeable inner mitochondrial membrane is mediated by the recently identified mitochondrial calcium uniporter (MCU), using the mitochondrial membrane potential gradient [46-48]. Mitochondrial Ca<sup>2+</sup> is then released to the cytosol by the mitochondrial Na<sup>+</sup>/Ca<sup>+</sup> exchanger (mNCX) and mitochondrial H<sup>+</sup>/Ca<sup>2+</sup> exchanger (mHCX) [45, 49, 50] (**Fig. 2**).





**Figure 2.** mitoStat3 as a regulator of mitochondria functions. (A) mitoStat3 promotes mitochondrial respiration (ATP synthesis) by increasing ETC activity and MMP. (B) mitoStat3 regulates mitochondrial  $\text{Ca}^{2+}$  and cytosolic  $\text{Ca}^{2+}$  through the regulation of ETC and MPTP.

The uptake and release of  $\text{Ca}^{2+}$  by mitochondria contributes to the cytosolic  $\text{Ca}^{2+}$  homeostasis. Although mitoStat3 is known to regulate ETC activity and contribute to establishment of MMP, no studies had revealed its role in mitochondrial  $\text{Ca}^{2+}$  homeostasis until recently. We have recently shown that IL-6 sustains mitochondrial membrane polarization during the activation of CD4 cells, and this effect is mediated by Stat3 independently of its transcriptional activity [23]. Surprisingly, despite its effect on mitochondrial membrane potential, we found that IL-6 does not affect ATP synthesis, oxygen consumption rate or glycolytic rate [23]. However, we discovered that the sustained mitochondrial polarization triggered by IL-6 is essential to promote mitochondrial  $\text{Ca}^{2+}$  influx through MCU, and requires Stat3 but not its transcriptional activity [23]. Thus, in addition of its role in modulating mitochondrial respiration, mitoStat3 can also regulate mitochondrial  $\text{Ca}^{2+}$  through its effect on the ETC and mitochondrial membrane potential (Fig. 2). While endoplasmic reticulum (ER) is the best characterized intracellular  $\text{Ca}^{2+}$  storage that regulates cytosolic  $\text{Ca}^{2+}$ , a number of studies have now proposed that mitochondria could be even more essential since it serves as a vehicle to deliver  $\text{Ca}^{2+}$  from ER to the cytosol [45, 51-53] (Fig. 2). We have shown that CD4 cells activated with IL-6 through

mitoStat3 maintain higher cytosolic  $\text{Ca}^{2+}$  levels using mitochondrial  $\text{Ca}^{2+}$  as a source [23]. In addition, mitoStat3 can also regulate cytosolic  $\text{Ca}^{2+}$  through mitochondrial permeability transition pore (MPTP), a  $\text{Ca}^{2+}$ -dependent nonselective pore that is opened to release  $\text{Ca}^{2+}$  and cytochrome C from mitochondria matrix to cytosol, leading to cell death (Fig. 2). Therefore, in addition to the regulation of mitochondrial ATP production, mitoStat3 also plays a role in  $\text{Ca}^{2+}$  homeostasis.

### 2.3. MitoStat3 in the regulation of ROS

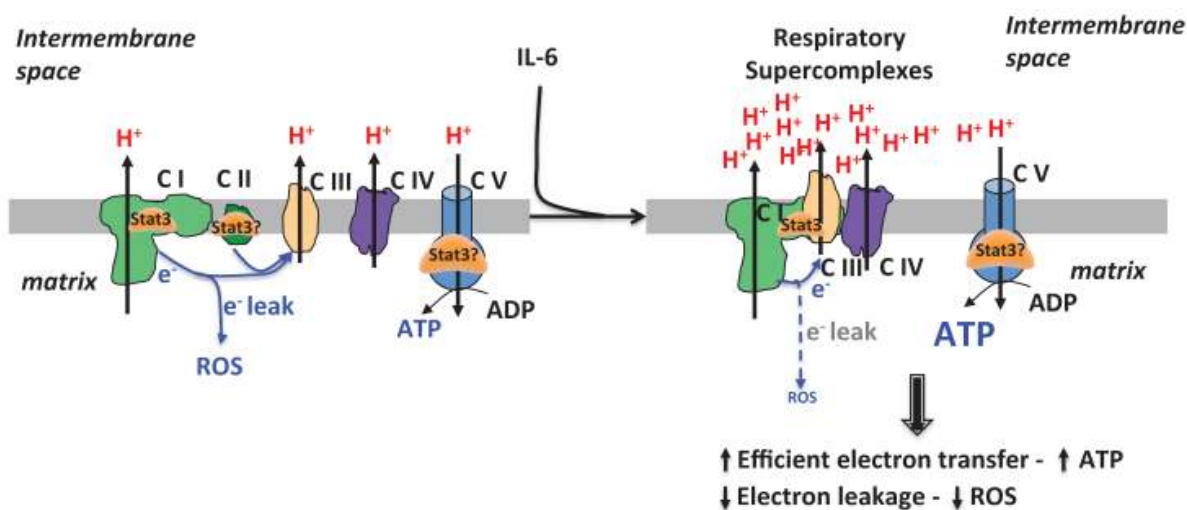
In mitochondria, ETC Complex I, II and III shuttle electrons for Complex IV (cytochrome c oxidase) to complete reduction of  $\text{O}_2$  to  $\text{H}_2\text{O}$ . However, electron leakage also occurs when electrons pass down the respiratory chain exit prior to the reduction of  $\text{O}_2$  to  $\text{H}_2\text{O}$  at Complex IV, forming reactive oxygen species (ROS). Accumulation of ROS has been linked to multiple pathological conditions, including neurodegenerative diseases, ischemia/reperfusion injuries, diabetes, cancer and aging [54, 55]. Although mitoStat3 enhances ETC activity, the role of mitoStat3 in the regulation of mitochondrial ROS is less clear.  $\text{TNF}\alpha$  induces Ser<sup>727</sup> phosphorylation of Stat3 in L929 cells. This leads to increased Stat3-GRIM-19 interaction, which results in increased mitoStat3 translocation [26,

56]. Increased ROS production by TNF $\alpha$  could be mediated by mitoStat3 [26, 56, 57]. Similarly, increased ROS production by nerve growth factor (NGF) in PC12 cells has also been associated with increased Stat3 mitochondrial localization [29]. In contrast to these studies suggesting that mitoStat3 promotes ROS production, several other studies suggest that mitoStat3 prevents ROS production. Stat3 deficient astrocytes produce high levels of ROS, leading to increased cell death [43]. The levels of ROS are also higher in several mouse bone marrow sub-type cells of Stat3 deficient mice relative to wild-type [58]. Upon induction of cardiac ischemia, expression of transcriptional inactive mitochondria-targeted Stat3 in heart in a transgenic mouse model (MLS-STAT3E mice) significantly reduces the production of ROS, preserving heart tissues during ischemia/reperfusion injuries [27]. Similarly, expression of MLS-Stat3 also reduces ROS production in the murine breast cancer 4T1 cells [25]. We have also shown that IL-6 increases MMP by mitoStat3 in mouse CD4 cells, but this effect is associated with a reduced ROS production [23]. Therefore, although not yet clear, there is growing evidence to suggest that while mitoStat3 can promote ETC activity in mitochondria, it can also suppress the production of mitochondrial ROS (Fig. 2).

The mechanisms by which mitoStat3 could minimize the production of mitochondrial ROS while increasing electron transport at the ETC also remain unclear. However, a recent metabolomics study has revealed that mitoStat3 is required for the functions of gamma-glutamyl cycle, and the formation of glutathione, which can balance the normal redox stage [59].

Thus, while electron leaks may still occur, mitoStat3 could neutralize the ROS through this mechanism.

Alternatively, it is also possible that mitoStat3 can directly reduce the production of electron leak from ETC. Historically, respiratory ETC complexes were thought to be randomly distributed as independent entities [60]. However, this view has been challenged based on both functional and structural analyses that support a model where the individual ETC complexes interact to form stable supramolecular structures, called respiratory chain supercomplexes (RCS) or respirasome [61-63] (Fig. 3). RCS containing Complex I and III, or Complex I, III and IV have been the most studied [64]. The objective of RCS is to facilitate electron transfer within the individual complexes in RCS and to minimize the generation of ROS. Our recent study has revealed the presence of Stat3 in RCS in CD4 cells activated in the presence of IL-6 [23]. Increased levels of mitoStat3 containing RCS correlates with decreased mitochondrial ROS levels in these cells [23] (Fig. 3). Similar to this study, Stat3 also plays a protective role against ROS production in heart, astrocytes and sperms as reviewed above. Because RCS minimize the formation of ROS while facilitating normal ETC functions, the presence of mitoStat3 in RCS could be a mechanism by which mitoStat3 can promote ETC activity while still prevents ROS formation (Fig. 3). Although more studies are needed to address whether Stat3 regulates the formation of respiratory supercomplexes, the formation of RCS elicited by mitoStat3 could be another mechanism for mitoStat3 to mediate a very efficient mitochondrial respiration in other tissues, such as heart and cancer cells.



**Figure 3. mitoStat3 as a regulator of respiratory supercomplexes.** Stat3 can be recruited to respiratory supercomplexes to promote ETC activity and ATP synthesis without increasing ROS production.

### 3. Regulation of cellular function by mitochondrial Stat3

As such an important positive regulator in mitochondrial ETC, as described above, mitoStat3 results in various major physiological consequences in following areas, cardioprotection, cancer progression and immunological regulation.

#### 3.1. Cardioprotective role of mitochondrial Stat3

Reperfusion of an ischaemic heart (I/R) may exacerbate the damage caused by ischaemia itself, as reflected in increased necrosis and release of cellular enzymes, accompanying by dysfunction in mitochondria [65]. Upon reperfusion, rapid accumulation of mitochondrial  $\text{Ca}^{2+}$  is accompanied by increased mitochondrial membrane potential, extensive production of ROS and adenine nucleotide depletion. These lead to opening of MPTP which enables free passage across the mitochondrial membranes of molecules of less than 1.5 kDa [66, 67] to release protons, accumulated  $\text{Ca}^{2+}$  and pro-apoptotic proteins like cytochrome c to initiate downstream apoptosis [66, 68, 69]. The presence of Stat3 in mitochondria was first discovered in heart [21], specifically in the mitochondrial of subsarcolemmal and interfibrillar cardiomyocytes [30]. Importantly, mitoStat3 provides cardioprotection during heart I/R injury [27, 28, 30, 70]. For instance, expression of a transcriptional inactive mitochondria-targeted Stat3 with a mutation in DNA-binding domain in a transgenic mouse model (MLS-STAT3E mice) leads to better cardiac tissue preservation during heart I/R injury [27, 70]. It is believed that the cardioprotection elicited by mitoStat3 is mediated by delaying MPTP opening [27, 28, 30, 70, 71]. Several studies have proposed that this is an indirect effect of mitoStat3 on ETC, primarily through the suppression of ROS [27, 28, 30, 70, 71]. Alternatively, it has also been suggested that mitoStat3 delays MPTP opening during heart I/R injury through direct interaction with cyclophilin D, one of the MPTP components [30]. Therefore, mitoStat3 provides cardioprotective effects, although additional studies will be needed to dissect the specific mechanisms.

#### 3.2. MitoStat3 promotes cancer progression

Unlike most other cells, cancer cells produce most of their ATP through glycolysis, even under aerobic conditions, instead of mitochondrial OXPHOS, known as the Warburg effect [72]. However, mitochondria still play a role in ROS production and  $\text{Ca}^{2+}$  homeostasis in cancer cells [73]. The nuclear role of Stat3 in cancer progression has been studied extensively [31], but the findings of Stat3 being pre-

sent in mitochondria opened a new area in cancer research in terms of how mitoStat3 might regulate cancer physiology.

Malignant transformation of mouse embryonic fibroblasts using RAS requires the presence of mitoStat3, and blocking mitoStat3 halts tumor growth [22, 74]. Similarly, mitoStat3 is also critical to the oncogenesis in precancerous Barrett's epithelial cells, especially during Ras-dependent transformation [75]. This is dependent on the Ser<sup>727</sup> phosphorylation of mitoStat3. MitoStat3 contributes to the Ras-dependent oncogenic transformation by augmenting ETC complexes activities [22]. MitoStat3 is also required for optimal gamma-glutathione cycle activities. This restrains ROS levels in cells undergoing oncogenic transformation. In the absence of mitoStat3, significant cell death accompanied by decreased reducing GSH and increased mitochondrial ROS is observed [59]. Importantly, the actions of mitoStat3 in controlling respiration and Ras transformation are mediated by the phosphorylation of Ser<sup>727</sup>. In addition, expression of MLS-Stat3 in Stat3 null background promotes breast cancer cell growth, and Ser<sup>727</sup> phosphorylation is required for the effects [25]. Moreover, in pancreatic cells, IL-6 induces mitoStat3 translocation to mitochondria. This leads to an enhanced ETC complex I activity and ATP production, suggesting that mitoStat3 could accelerate early neoplastic lesions through enhanced bioenergetics [44, 76, 77]. Interestingly, mitoStat3 has also been shown to induce autophagy in pancreatic cancer cells, which is a common strategy for cancer cell growth, survival and therapeutic resistance. This suggests another mechanism for mitoStat3 to promote carcinogenesis [44]. FGFR4-R388 variant cells rely on mitoStat3 to enhance cytochrome c oxidase (complex IV) activities. This leads to pituitary tumorigenesis [42]. MitoStat3 has also been proposed to contribute to the tumorigenesis in keratinocytes through the regulation of mitochondrial gene expression by binding to mitochondrial DNA [78], however this effect on mitochondrial gene expression has not been corroborated by any other study. Therefore, mitoStat3 holds critical functions in promoting tumorigenesis and cancer progression, but the mechanism remains to be clarified.

A number of studies have also linked Stat3 to chemoresistance and metastasis in cancer [79-85]. However, no study has reported a direct effect of mitoStat3 in these important aspects of cancer biology.

#### 3.3. Perspective of mitoStat3 in Immune Regulation

As a transcription factor, Stat3 has a pleiotropic function in the immune response. Stat3 is a key regulator in T cell differentiation. Naïve CD4 cells can



differentiate into T helper 1 (Th1), Th2, Th17, regulatory T helper cells (Treg) and follicular T helper cells (Tfh). The balance of these T helper subsets is critical for maintenance of host immune response and preservation of immune tolerance [86-88]. Stat3 is required for Th17 cell differentiation, which is primarily driven by IL-6, and also mediates autoimmune diseases [89-95]. Stat3 is also required for the differentiation of Tfh cells which produce IL-21 and help B cell antibody production [96-98]. Stat3 binds to regulatory regions of genes including *Il17* and *Il21* to regulate important immune gene expression [99]. Patients with Stat3 dominant-negative mutation develop Job's Syndrome or Hyper IgE syndrome (HIES) in which patients have defective Th17 responses and defective IL-6 response with increased IgE levels [33-35, 100]. Patients with gain-of-function Stat3 mutations develop early-onset autoimmune disorders [92, 93, 101].

Although the function of Stat3 as a transcription factor in immune response has been well studied, how mitoStat3 may contribute to some aspects previously assigned to Stat3 as a transcription factor had remained unknown until recently. Our study was the first identifying Stat3 in mitochondria in CD4 cells activated with IL-6 [23]. IL-6 promotes the production of IL-4 and IL-21 by CD4 cells [102-104]. Stat3, as a transcription factor, has been shown to induce early expression IL-21 by IL-6 [102]. Instead, we have shown that Stat3 also contributes to sustaining late production of IL-21 and IL-4 independently of its transcription activity [23]. Increased mitochondrial  $Ca^{2+}$  mediated by Stat3 contributes to a sustained NFAT activities and this further sustains the expression of IL-4 and IL-21 by IL-6 [23]. This correlates with the finding that Tfh cells have higher  $Ca^{2+}$  to help expressing IL-4 and IL-21 *in vivo* [105]. Moreover, although mitochondria play a key role in the metabolism of memory CD8 cells, and Stat3 is critical in the development and maintenance of memory T cells [106-108], no studies have reported a role for mitoStat3 in this process. Investigating how mitoStat3 contributes to other T cell differentiation and effector function could be a promising field in future immune research. Mitochondria are also essential in leukocyte chemotaxis due to their dynamic characteristics and their ability to relocate using coupled microtubules to the adhesion zone where they can provide both ATP and  $Ca^{2+}$  needed for migration [109-111]. Since mitoStat3 promotes the increase in both mitochondrial ATP and  $Ca^{2+}$ , it could also contribute to migration of immune cells in inflammatory response, as well as migration of cancer cells in metastasis (Fig. 2).

Other than T cells, Stat3 regulates the functions of other immune cells including macrophages, den-

dritic cells, mast cells. Interestingly, a recent study has shown that mitoStat3 directly contributes to mast cell degranulation in response to stimuli [112]. Regulation of mitochondrial activities by mitoStat3 could also be involved in the cytokine secretion.

#### 4. Targeting mitochondrial Stat3 for treatment of cancer

As reviewed above, mitoStat3 is crucial in cancer progression and immunological effector function. Targeting nuclear functions of Stat3 has been studied extensively in treating cancer and autoimmune diseases experimentally [113-115]. As a successful experimental target, Stat3 is currently targeted by cancer therapies in several clinical trials, including cyclic Stat3 decoy in head and neck cancer, AZD9150 anti-sense oligonucleotide in malignant ascites, OPB-51602 in advanced solid tumors and WP1066 in central nervous system melanoma and recurrent glioblastoma multiforme. Although many drugs were developed to target nuclear function of Stat3, the potential therapeutic values of targeting mitoStat3 have not been carefully investigated. In addition, several recent studies imply the significance of targeting mitoStat3. Importantly, several known Stat3 inhibitors have been demonstrated to have promising mitochondrial effects, suggesting that Stat3 inhibitors might have inhibitory effects on mitoStat3. The findings of these inhibitors, the potential therapeutic values of targeting mitoStat3 and perspectives of targeting mitoStat3 as a new R&D strategy are summarized below.

Aberrant activation of Stat3 occurs commonly in human tumors. It leads to elevated anti-apoptotic mechanism and cell cycle regulating proteins. Many cancers express constitutively active Stat3 for better resistance to cell death [31]. Importantly, mitoStat3 holds critical functions in tumorigenesis and cancer progression. MitoStat3 is required for metabolic switch during cancer cell oncogenic transformation and tumorigenesis [22, 42, 74]. MitoStat3 promotes ETC complexes activities in cancer cells. This leads to increased ATP production [44, 76, 77]. MitoStat3 suppresses ROS production during cancerogenesis [59]. A number of pharmacological Stat3 inhibitors have been developed by directly targeting Stat3. Most of these Stat3 inhibitors target either Stat3 dimerization or Stat3 DNA binding activities [114]. Interestingly, some of these inhibitors have shown promising effects in inhibiting mitoStat3. Moreover, specific mitoStat3 inhibitors have been discovered recently. These Stat3 inhibitors are selectively described below.

Several DNA-based Stat3 inhibitors have been already tested in cancer treatment in clinical trials in

US. AZD9150 or ISIS481464 is a synthetic bicyclic nucleic acid-containing antisense oligonucleotide that is complementary to the mRNA for Stat3. AZD9150 down-regulates Stat3 expression. AZD9150 was first found effective in inhibiting growth in human lymphoma cell lines and tumor xenografts and primary human tumor explants [116]. AZD9150 is currently tested clinically in patients with diffused large B cell lymphoma or advanced/metastatic hepatocellular carcinoma. Cyclic stat3 decoy is another DNA-based Stat3 inhibitor. Cyclic Stat3 decoy contains double-stranded oligonucleotides that correspond to the Stat3 response element. This effectively blocks Stat3 DNA binding activities [117]. Cyclic Stat3 decoy has demonstrated selective binding for Stat3 protein and inhibited the growth of head and neck squamous cell carcinoma cells *in vitro* and in xenograft models [117, 118]. Cyclic Stat3 decoy is now being tested clinically in patients with head and neck squamous cell carcinoma. Although targeting Stat3 using DNA-based inhibitors has shown promising preclinical anti-tumor effects, whether they could interfere with mitoStat3 function is still unknown.

Peptide-based Stat3 inhibitors have been shown very effective in experimental cancer treatment. Peptide derivatives block Stat3 dimerization and downstream Stat3 functions by mimicking Stat3 SH2 domain. PY\*LKTK (Y\* represents phosphorylated tyrosine residue) peptide is one of the Stat3 SH2 domain binding peptides. Tagging a membrane translocation sequence to the PY\*LKTK peptide suppresses cancer transformation and cancer growth [119, 120]. ISS 610, another peptide derivative inhibitor, is more potent in disrupting Stat3 dimerization [121]. ISS 610 induces cancer cell growth inhibition and apoptosis. S3I-M2001, an oxazole-based peptide inhibitor, also inhibits cancer growth by blocking Stat3 dimerization [122]. Although peptidomimetics of Stat3 have been effective in inhibiting cancer progression in experimental models, none of them have been tested in clinical trials so far in US and the effect of peptidomimetics on mitoStat3 has not been investigated.

The majority of Stat3 inhibitors are small molecule inhibitors. Stat3 protein consists of six structural domains, including N-terminal, coiled-coil, DNA binding domain, Src homology 2 (SH2) domain for dimerization, transactivation domain and C-terminal end. Most Stat3 small molecule inhibitors were designed to target SH2 and DNA binding domains. Intriguingly, some small molecule Stat3 inhibitors have been suggested to inhibit mitoStat3. However, the molecular mechanisms and demonstrations of how these inhibitors interact with mitoStat3 need further

investigations. Stattic, a common Stat3 inhibitor which first developed to target Stat3 phosphorylation and dimerization, is effective in experimental cancer therapies. Cells treated with Stattic have reduced Tyr phosphorylation and decreased Stat3 homodimerization. Stattic induces cancer cell apoptosis and inhibits cancer cell growth and progression experimentally [123-126]. More interestingly, Stattic is implied to target mitochondrial function by inhibiting mitoStat3 in several tissues other than cancers (**Table 1**). In isolated mitochondria from heart, Stattic increases ROS generation and facilitates MPTP opening [71]. This suggests that Stattic has direct effects on mitoStat3. Stattic causes exacerbated myocardial I/R cellular injuries due to increased MPTP opening during myocardial I/R injuries [28, 30, 71] (**Table 1**). Similar to cells lacking mitoStat3, Stattic reduces mitochondrial ETC activities, mitochondrial membrane potential, ATP production and increases ROS production [24, 71]. Since Stattic is well known to inhibit cancer cell growth and promote apoptosis, the relative contribution of its effects on mitoStat3 could be substantial to Stattic's anti-tumor functions. However, more efforts are needed to distinguish its effects on mitoStat3 from nuclear Stat3. In addition, FLLL32, a new small molecule inhibitor, selectively inhibits Stat3 Tyr<sup>705</sup> phosphorylation [127, 128]. FLLL32 has promising anti-tumor effects by facilitating cancer cell apoptosis [127, 128]. Similar to experimental models lacking mitoStat3, FLLL32 significantly depolarizes mitochondrial membrane potential in melanoma cells [127] (**Table 1**). However, the specific molecular interactions need further investigations. Recently, the first mitoStat3 inhibitor has been demonstrated recently. MDC-1112 or Phospho-valproic acid was first found to inhibit pancreatic cancer cell growth in xenograft model (**Table 1**). Antibody pathway screening reveals that MDC-1112 targets the phosphorylation of Stat3. Besides inhibiting IL-6-stimulated Stat3 phosphorylation and nuclear DNA binding, surprisingly, MDC-1112 also inhibits the mitochondrial accumulation of mitoStat3. This leads to depolarized mitochondrial membrane potential and increased ROS production, and subsequent apoptotic cell death [129], which can be reversed by overexpression of transcriptional inactive Stat3 (Stat3<sup>Y705F</sup>) or mitochondrial localized Stat3 (MLS-Stat3). This indicates that MDC-1112 inhibits pancreatic cancer growth by potentially inhibiting mitoStat3 activity. Further investigations into the functions of MDC-1112, as a novel inhibitor, may lead to new understanding of mitoStat3 activities as well as novel cancer therapies.



**Table 1.** Promising anti-tumor Stat3 inhibitors that target mitochondrial functions

| Inhibitor                     | Target Site   | Function  | Mitochondrial Effects  |
|-------------------------------|---|---|--|
| AG490                         | JAK2 kinases, Stat3 tyr phosphorylation [138, 139]            | ↑ malignant cells apoptosis, ↓ malignant cell growth, ↓ malignant cell invasion [140-143]           | ↓ mitochondrial membrane potential in astrocytes [43]<br>↑ ROS production in astrocytes [43]<br>↓ cardioprotective preservation of mitochondrial function by inhibition of mitoStat3 in myocardial I/R injury [28]<br>↑ MPTP opening [149, 150]<br>↓ neuroprotective effects by leptin-mediated mitochondrial stabilization [144]<br>↓ cardioprotective effects by melatonin-mediated mitochondrial preservation in myocardial I/R injury [151]<br>↓ cardioprotective effects by the reduced MPTP opening elicited by leptin and atorvastatin in myocardial I/R injury [149, 150]  |
| Stattic                       | Stat3 phosphorylation, SH2 domain [71]                        | ↑ malignant cells apoptosis, ↓ malignant cell growth, ↑ tumor chemo- and radiosensitivity [123-125] | ↓ mitochondrial ADP-stimulated oxygen consumption in cardiomyocytes [30]<br>↓ ATP production in human sperms and mast cells [24, 112]<br>↓ oxygen consumption rates and ↓ ETC complex II and complex III activities in mast cells [112]<br>↓ mitochondrial membrane potential in human sperms [24]<br>↑ ROS production in human sperms [24]<br>↓ cardioprotective preservation of mitochondrial function by mitoStat3 activation in myocardial I/R injury [28]<br>↑ ROS production in cardiomyocytes, and subsequent ↑ MPTP opening and ↓ ATP production [71]<br>↑ cellular injuries due to ↑ MPTP opening by mitoStat3 inhibition in myocardial I/R injury [30]<br>↓ mast cell degranulation through the inhibition of mitochondrial function [112] |
| Cucurbitacin-I/JSI-124        | Stat3 tyr phosphorylation [130]                               | ↑ malignant cells apoptosis, ↓ malignant cell growth [130-136]                                      | ↓ mitochondrial membrane potential [137]<br>↑ ROS production and subsequent ↑ autophagy [136]<br>↑ mitochondrial apoptotic cell death in cancer cells [131-133]  |
| MDC-1112/phosphovalproic acid | Stat3 phosphorylation, mitochondrial Stat3 accumulation [129] | ↑ malignant cells apoptosis, ↓ malignant cell growth [129]  | ↓ mitoStat3 mitochondrial accumulation [129]<br>↓ mitochondrial membrane potential [129]<br>↑ mitochondrial apoptotic cell death [129]   |
| FLLL32                        | Stat3 tyr phosphorylation [127, 128]                          | ↑ malignant cells apoptosis [127, 128]  | ↓ mitochondrial membrane potential [127]<br>↑ mitochondrial apoptotic cell death in cancer cells [127, 128]  |

A number of small molecules targeting upstream JAKs have also been proposed as potential modulators of Stat3. JSI-124 or cucurbitacin I inhibits Stat3 phosphorylation by suppressing levels of Janus kinases and also activities of Janus kinases [130]. JSI-124 inhibits growth, invasion and induces apoptotic cell death in multiple cancer cells, including leukemia, lymphoma, lung cancer, breast cancer, glioma, glioblastoma, endometrial cancer and cervical cancers [130-136]. Interestingly, JSI-124 also inhibits mitochondria-related functions (Table 1). JSI-124 leads to a significant reduction in mitochondrial membrane potential in SW480 colon cancer cells [137]. In addition, JSI-124 induces mitochondrial ROS production in a Stat3 dependent manner, and subsequently, downstream activation of autophagy [136]. Although JSI-124 contributes to multiple aspects of mitochondrial function, whether the effects of JSI-124 are due to direct modulation of mitoStat3 needs further investigations. Another small molecule inhibitor AG490 selectively inhibits Janus kinases, especially Jak2 to suppress Stat3 phosphorylation [138, 139]. AG490 induces cancer cell apoptosis and inhibits malignant cell growth and invasion in multiple cancer cells [140-143]. Intriguingly, recent studies have revealed that AG490 also elicits many functions by targeting mitochondria in various cell and tissue models (Table 1). AG490 has been implied to inhibit mitoStat3 pathway directly and abolish the cardioprotective

effects elicited by mitoStat3 in myocardial I/R injury by postconditioning treatment [28]. AG490 induces apoptosis in astrocytes by inhibiting mitochondrial membrane potential and increasing ROS generation [43], and blocks Stat3-mediated lectin neuroprotection by destabilizing mitochondrial function [144]. Thus, some of the anti-tumor effects of AG490 might be in part due to its effects on mitoStat3. Thus, these JAKs inhibitors have shown promising effects in inhibiting mitoStat3, however, the specificity of these inhibitors for Stat3 relative to other downstream factors needs more careful investigations.

In summary, in many of conventional Stat3 inhibitors, some of them have shown significant direct effects on mitoStat3. Others have shown evidences suggesting their inhibitory effects on mitochondria. New screening systems have also discovered novel mitoStat3 inhibitors. Although the direct mitoStat3 inhibition effect of many of these inhibitors needs to be validated, further investigations into this topic may shed light into new targets in anti-tumor therapies.

## Remarks

In summary, in addition to the well-characterized role of Stat3 as a transcription factor, we have described here the molecular mechanisms and functions of mitochondrial Stat3 as well as promising ways to target mitoStat3 as a strategy to modulate biological functions. Different from several

other important review papers [145-148], this review summarizes several recent findings related to mitoStat3, including calcium homeostasis, respiratory supercomplexes, regulation of immune system and the inhibitors that might modulate mitoStat3 activities. The role of mitoStat3 in the regulation of supercomplexes and calcium should be also confirmed in other tissues. As mentioned above, additional studies exploring the mechanisms by which mitoStat3 could regulate supercomplexes formation and mitochondrial calcium homeostasis are urgently needed to understand a broader aspects of mitoStat3. Moreover, testing existing Stat3 inhibitors with their inhibitory effects on mitoStat3, as well as developing novel mitoStat3 specific inhibitors are of great therapeutic and research values.

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## Competing Interests

The authors have declared that no competing interest exists.

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