



## Model bias in simulating major chemical components of PM<sub>2.5</sub> in China

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**Abstract.** High concentrations of PM<sub>2.5</sub> (particulate matter with an aerodynamic diameter less than 2.5 μm) in China have caused severe visibility degradation. Accurate simulations of PM<sub>2.5</sub> and its chemical components are essential for evaluating the effectiveness of pollution control strategies and the health and climate impacts of air pollution. In this study, we compared the GEOS-Chem model simulations with comprehensive datasets for organic aerosol (OA), sulfate, nitrate, and ammonium in China. Model results are evaluated spatially and temporally against observations. The new OA scheme with a simplified secondary organic aerosol (SOA) parameterization significantly improves the OA simulations in polluted urban areas, highlighting the important contributions of anthropogenic SOA from semivolatile and intermediate-volatility organic compounds. The model underestimates sulfate and overestimates nitrate for most of the sites throughout the year. More significant underestimation of sulfate occurs in winter, while the overestimation of nitrate is extremely large in summer. The model is unable to capture some of the main features in the diurnal pattern of the PM<sub>2.5</sub> chemical components, suggesting inaccuracies in the presented processes. Potential model adjustments that may

lead to a better representation of the boundary layer height, the precursor emissions, hydroxyl radical concentrations, the heterogeneous formation of sulfate and nitrate, and the wet deposition of nitric acid and nitrate have been tested in the sensitivity analysis. The results show that uncertainties in chemistry perhaps dominate the model biases. The proper implementation of heterogeneous sulfate formation and the good estimates of the concentrations of sulfur dioxide, hydroxyl radical, and aerosol liquid water are essential for the improvement of the sulfate simulation. The update of the heterogeneous uptake coefficient of nitrogen dioxide significantly reduces the modeled concentrations of nitrate. However, the large overestimation of nitrate concentrations remains in summer for all tested cases. The possible bias in the chemical production and the wet deposition of nitrate cannot fully explain the model overestimation of nitrate, suggesting issues related to the atmospheric removal of nitric acid and nitrate. A better understanding of the atmospheric nitrogen budget, in particular, the role of the photolysis of particulate nitrate, is needed for future model developments. Moreover, the results suggest that the remaining underestimation of OA

in the model is associated with the underrepresented production of SOA.

## 1 Introduction

In developing countries like China and India, the concentrations of PM<sub>2.5</sub> (particulate matter with an aerodynamic diameter less than 2.5 μm) often exceed air-quality standards, leading to visibility reduction and negative health effects (Chan and Yao, 2008; Lelieveld et al., 2015). Chemical transport models (CTMs) are valuable tools to evaluate the PM<sub>2.5</sub> pollution and its health and climate impacts. Studies have shown that the CTMs can reproduce the spatial and temporal variations of the surface PM<sub>2.5</sub> concentrations in China. For example, the Weather Research and Forecasting and Community Multiscale Air Quality (WRF/CMAQ) model has reproduced the monthly averaged concentrations of PM<sub>2.5</sub> at the air-quality sites in 60 Chinese cities (J. Hu et al., 2016). The MICS-Asia Phase III studies further show the normalized mean biases (NMBs) of less than 50% for daily or monthly mean PM<sub>2.5</sub> concentrations for various CTMs (Gao et al., 2018; Chen et al., 2019). However, when the simulations of PM<sub>2.5</sub> components have compensating errors, the model still reproduces the PM<sub>2.5</sub> mass and biases the evaluation of the effectiveness of the emission control strategies. Model evaluations in China have reached an agreement that the CTMs generally underestimate the concentrations of organic aerosol (OA) (Fu et al., 2012; Han et al., 2016) and sulfate (Wang et al., 2014; G. J. Zheng et al., 2015) but overestimate the concentrations of nitrate (Wang et al., 2013; Chen et al., 2019). The underestimation of OA and the overestimation of nitrate are also present in the studies for the United States and Europe, while the sulfate concentrations are reproduced in those regions (Heald et al., 2012; Drugé et al., 2019; Jiang et al., 2019).

Uncertainties exist in meteorological fields, emission inventories, and the physical and chemical processes, which contribute to the model biases in the PM<sub>2.5</sub> simulations. For example, models are well recognized to reproduce temperature (*T*) and relative humidity (RH), but it is difficult for them to capture the near-surface wind fields (Guo et al., 2016a; Gao et al., 2018; J. Hu et al., 2016). Boundary layer structures greatly affect the PM<sub>2.5</sub> concentrations (Z. Li et al., 2017; Su et al., 2018). Evaluations of the boundary layer (e.g., boundary layer height, BLH) in the CTMs are limited (Bei et al., 2017; Chen et al., 2016). For primary PM<sub>2.5</sub> and the secondary precursors of PM<sub>2.5</sub>, the uncertainties of their emissions in Asia are large, ranging from tens to several hundreds of percent (M. Li et al., 2017b). The bottom-up and top-down estimates of the emissions of sulfur dioxide (SO<sub>2</sub>), nitrogen oxides (NO<sub>x</sub>), ammonia (NH<sub>3</sub>), volatile organic compounds (VOCs), and organic carbon (OC) show significant differences in magnitude and seasonal variability

(Koukouli et al., 2018; Qu et al., 2019; L. Zhang et al., 2018; Cao et al., 2018; Fu et al., 2012).

For sulfate, the model underestimation has been attributed largely to the heterogeneous production. The proposed heterogeneous formation mechanisms include the SO<sub>2</sub> oxidation by nitrogen dioxide (NO<sub>2</sub>) directly (Cheng et al., 2016; Wang et al., 2016) or indirectly (L. Li et al., 2018), by O<sub>2</sub> via transition metal ion (TMI) catalysis (G. Li et al., 2017) or radical chain reactions (Hung and Hoffmann, 2015; Hung et al., 2018), and by hydrogen peroxide (Ye et al., 2018). Among them, TMI-catalyzed oxidation of SO<sub>2</sub> perhaps dominates the sulfate formation during the haze periods, constrained by the observations of sulfate oxygen isotopes (Shao et al., 2019). The mechanisms are still under debate. The heterogeneous formation can however be simplified in models as a reactive uptake process to achieve a better agreement of sulfate concentrations (Wang et al., 2014; G. J. Zheng et al., 2015; J. Li et al., 2018). Similar to sulfate, the contribution of heterogeneous chemistry to the nitrate formation remains unclear. The uptake coefficients of dinitrogen pentoxide (N<sub>2</sub>O<sub>5</sub>), NO<sub>2</sub>, and nitrate radical (NO<sub>3</sub>•) are sensitive to experimental conditions and range by orders of magnitude (Bertram and Thornton, 2009; McDuffie et al., 2018; Brown and Stutz, 2012; Spataro and Ianniello, 2014). The parameterizations of nitrate heterogeneous production differ significantly among models (Holmes et al., 2019; Alexander et al., 2020; J. Li et al., 2018; Wang et al., 2012).

For OA, the complexity of its secondary formation and aging processes and the lack of emission inventories of intermediate-volatility (IVOCs) and semivolatile organic compounds (SVOCs) affect the model performance (Chen et al., 2017, and references therein). Substantial model–observation discrepancies are present in the comparisons of the mass concentration and the oxidation state of OA as well as the contributions of various formation pathways (Tsigaridis et al., 2014; Heald et al., 2011; Chen et al., 2015). Moreover, the oxidant levels affect the chemical processes (Lu et al., 2018). The model capability in simulating the concentrations of major oxidants like hydroxyl radical (OH•) and hydroperoxy radical (HO<sub>2</sub>•) is rarely evaluated. In addition, unusual biases of the meteorological fields and chemical processes may occur during the severe haze periods (daily mean PM<sub>2.5</sub> > 75 μg m<sup>-3</sup>) (An et al., 2019). The models often significantly underestimate the PM<sub>2.5</sub> concentrations during the haze events (Wang et al., 2014; G. J. Zheng et al., 2015). Various model biases from meteorology, emissions, and the physical and chemical processes interact with each other nonlinearly. It is therefore important to evaluate the model for all individual components of PM<sub>2.5</sub>.

On the other hand, observations may be biased, which is rarely considered when evaluating the model–observation discrepancies. For example, filter-based analysis of the PM<sub>2.5</sub> components can contain positive or negative artifacts for semivolatile species, resulting from improper use of denuder and backup filters (Liu et al., 2014). Such artifacts are often

large (> 50 %) (Chow, 1995) and have been ignored in most model–observation comparisons (Wang et al., 2013; Qin et al., 2015). Online measurements by aerosol mass spectrometers have less uncertainty (~ 30 %) compared to filter-based analysis (Canagaratna et al., 2007). Most of the measurements are however conducted for submicron particles (DeCarlo et al., 2006; Ng et al., 2011). The particulate mass in the supermicron domain needs to be considered in the model–observation comparisons (Elser et al., 2016).

In this study, we synthesized a comprehensive dataset of the concentrations of major PM<sub>2.5</sub> components (i.e., sulfate, nitrate, ammonium, and OA) from 55 online measurements at urban sites and 22 at non-urban sites in China. The latest version of GEOS-Chem nested-grid model simulations was evaluated with this dataset as well as a long-term online dataset that consists of hourly measurements of the major PM<sub>2.5</sub> components from 2011 to 2013 in Beijing. Potential factors that may contribute to the model–observation gaps were discussed. Sensitivity analyses were conducted for two case periods to evaluate the contributions of the individual potential factors to the model–observation gaps and the contributions of various combinations of these factors.

## 2 Description of observations

The campaign-average mass concentrations of sulfate, nitrate, ammonium, and OA as well as the sampling information are listed in Table S1 of the Supplement, including 77 surface online measurements from 2006 to 2016 in China. The dataset covers the regions of the North China Plain (NCP), Yangtze River Delta (YRD), Pearl River Delta (PRD), and Northwest China (NW). The measurements were made using an Aerodyne high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS), quadrupole aerosol mass spectrometer (Q-AMS), and aerosol chemical speciation monitor (ACSM), which are mostly for submicron particles (Y. J. Li et al., 2017). The long-term ACSM measurements of submicron particle composition at an urban site in the Institute of Atmospheric Physics, Beijing (IAP; 39°58′28″ N, 116°22′16″ E) from July 2011 to May 2013 are also used herein (Sun et al., 2015). The long-term data have a time resolution of 15 min and were averaged to an hour for comparison with the model results. All data were corrected by collection efficiency as stated in the original publications. Model results plausibly represent fine particles not submicron portion in polluted environments. The submicron-to-fine mass ratios are about 0.8 for sulfate, nitrate, ammonium, and OA in summer and winter in the NCP and may decrease to 0.5 during the severe haze episodes under high RH (Fig. S1 in the Supplement) (Zheng et al., 2020; Sun et al., 2020). We therefore divided the submicron observation data by 0.8 for the four species when comparing to the model results.

The meteorological parameters (e.g., *T*, RH, wind speed, and wind direction) and the concentrations of gaseous pollutants including ozone (O<sub>3</sub>), carbon monoxide (CO), SO<sub>2</sub>, and NO<sub>2</sub> were measured at the Peking University Urban-Atmosphere Environment Monitoring Station (PKUERS; 39°59′21″ N, 116°18′25″ E) from July 2011 to May 2013. Both the IAP and PKUERS sites are in the same GEOS-Chem model grid. The monthly mean NH<sub>3</sub> concentrations are taken from the 2007–2010 observations at the IAP site (Pan et al., 2012). The BLH in Beijing (39°48′00″ N, 116°28′12″ E) was derived from the radiosonde observations at 08:00, 14:00 (only in the summer), and 20:00 during July 2011 to May 2013 by using the bulk Richardson number method (Guo et al., 2016b, 2019). All the times of day given in the paper refer to Beijing time (UTC+8). The radiosonde-derived BLH is greater in spring and summer and lower in autumn and winter, which is consistent with the findings from the satellite observations and the ground-based ceilometer measurements (W. Zhang et al., 2016; Tang et al., 2016).

Moreover, the observed concentrations of OH• and HO<sub>2</sub>•, gaseous nitrous acid (HONO) and nitric acid (HNO<sub>3</sub>), and isoprene in Beijing are taken from literature, including the studies in south Beijing (Wangdu; 38°39′36″ N, 115°12′00″ E) from 7 June to 8 July 2014 and in north Beijing (Huairou; 40°24′36″ N, 116°40′48″ E) from 6 January to 5 March 2016 (Tan et al., 2017, 2018; Liu et al., 2019), and additional isoprene measurements at the PKUERS site during the summer of 2011 (Zhang et al., 2014). The observed concentrations of NO<sub>3</sub>• and aromatic compounds are taken from the measurements at the PKUERS site in September 2016 (Wang et al., 2017a) and in summer and winter of 2011–2012 (Wang et al., 2015), respectively.

## 3 Model description

The atmospheric chemical transport model GEOS-Chem 12.0.0 (<https://doi.org/10.5281/zenodo.1343547>) was run at nested grids with 0.5° × 0.625° horizontal resolution over Asia and the adjacent area (11° S–55° N, 60–150° E) and 47 vertical levels between the surface and ~ 0.01 hPa. Boundary conditions were provided by the global simulations at 2° × 2.5° horizontal resolution. Both global and nested simulations were spun up for 1 month. MERRA2 reanalysis meteorological data from the NASA Global Modeling and Assimilation Office (GMAO) were used to drive the model. For comparisons with long-term observations at IAP, the model simulations were performed for the ACSM measurement periods. When comparing with the campaign-average data, the model simulations for the year 2012 were used. For other comparisons, the model simulations were run for the measurement periods.

The GEOS-Chem model simulates the ozone–NO<sub>x</sub>–hydrocarbon–aerosol chemistry (Park et al., 2003, 2004; Liao

et al., 2007). Aerosol thermodynamic equilibrium is performed by ISORROPIA-II (Fountoukis and Nenes, 2007; Pye et al., 2009). The simulation of OA includes primary organic aerosol (POA) and secondary organic aerosol (SOA). The model assumes that 50 % of POA emitted from combustion sources is hydrophobic, and hydrophobic POA converts to hydrophilic POA with an *e*-folding time of 1.15 d. A ratio of 1.6 is applied to account for the non-carbon mass in POA (Turpin et al., 2000). SOA is simulated by the so-called “simple” SOA scheme (Hodzic and Jimenez, 2011; Kim et al., 2015). SOA precursor surrogates are estimated from the emissions of biogenic volatile organic compounds (i.e., isoprene and terpenes) and CO from the combustion of biomass, biofuel, and fossil fuel. The simple SOA scheme assumes that the irreversible conversion from precursors to particle-phase SOA takes a fixed timescale of 1 d and that 50 % of biogenic SOA precursors are emitted as particle-phase SOA. The SOA yields of isoprene and terpenes are set to be 3 % and 10 %, respectively. The SOA yield of biomass burning emissions is set to be 1.3 % of CO, and the yield for fossil-fuel combustion is set to be 6.9 %. These yields are derived from the observed ratios between SOA and CO in aged air masses from the studies in the United States (Hayes et al., 2015) and are able to reproduce the OA mass without detailed SOA chemistry in the southeast United States (Kim et al., 2015). Because of the lack of related measurements in China, we did not change these yields herein.

The wet deposition of soluble aerosols and gases includes convective updraft, rainout, and washout as described by Liu et al. (2001). SOA is treated as being highly soluble with a fixed Henry’s law coefficient of  $10^5 \text{ M atm}^{-1}$  and a scavenging efficiency of 80 % (Chung and Seinfeld, 2002). The Henry’s law coefficients may vary in magnitude, depending on the SOA types (Hodzic et al., 2014). However, Hodzic et al. (2016) show that the modeled SOA masses are not sensitive to the changes of Henry’s law coefficients. Dry deposition is calculated by a standard resistance-in-series model for the aerodynamic boundary layer and canopy surface resistance (Wesely, 1989).

Heterogeneous uptake of SO<sub>2</sub> into aerosol liquid water is not included in the standard simulations but in the sensitivity runs in Sect. 4.3. The parameterizations of the SO<sub>2</sub> uptake coefficient ( $\gamma_{\text{SO}_2}$ ) include  $\gamma_{\text{SO}_2}$  depending on RH or on aerosol liquid water content (ALWC) (B. Zheng et al., 2015; J. Li et al., 2018). The heterogeneous uptake of N<sub>2</sub>O<sub>5</sub> and NO<sub>2</sub> is an important contributor to nitrate in northern China (Wang et al., 2017b; Wen et al., 2018). The uptake coefficients of  $\gamma_{\text{N}_2\text{O}_5}$  and  $\gamma_{\text{NO}_2}$  on the aerosol surface vary by several orders of magnitude, depending on temperature, particle-phase state, composition, ALWC, pH, and so on (Bertram and Thornton, 2009; Abbatt et al., 2012; McDuffie et al., 2018, and references therein). The standard model uses relatively high values of  $\gamma_{\text{N}_2\text{O}_5}$  and  $\gamma_{\text{NO}_2}$  (McDuffie et al., 2018; Davis et al., 2008). Lower values are tested in the sensitivity runs. For SOA, the heterogeneous formation varies by

sources and aging processes (Donahue et al., 2012). Heterogeneous production of SOA is not tested in the model because of the lack of good parameterizations (Chen et al., 2017).

Global anthropogenic emissions in GEOS-Chem are provided by the Community Emissions Data System (CEDS) (Hoesly et al., 2018), including the monthly emissions of gaseous pollutants (SO<sub>2</sub>, NO<sub>x</sub>, NH<sub>3</sub>, CH<sub>4</sub>, CO, and VOCs) and carbonaceous aerosols (black carbon (BC) and OC). Anthropogenic emissions of CO, NO<sub>x</sub>, SO<sub>2</sub>, BC, OC, and VOCs in China are provided by the Multi-resolution Emission Inventory for China (MEIC v1.3; <http://meicmodel.org>, last access: 23 October 2020) for the years 2010, 2012, 2014, and 2016. The emissions in 2011, 2013, and 2015 are interpolated from the emissions of the 2 adjacent years. We use an improved inventory for agriculture emissions of NH<sub>3</sub> in China (L. Zhang et al., 2018). This inventory shows stronger peak emissions in the summer than other inventories such as the Regional Emission in Asia (REAS2), PKU-NH<sub>3</sub>, and the Emission Database for Global Atmospheric Research (EDGAR), which agrees better with the top-down estimates (L. Zhang et al., 2018). The non-agricultural NH<sub>3</sub> emissions in China are taken from the study done by Huang et al. (2012), which is based on the year 2006 and represents the low-end estimates as the emissions increased rapidly after 2006 (Kang et al., 2016; Meng et al., 2017). Table S2 lists the total anthropogenic emissions of primary PM<sub>2.5</sub> and the gaseous precursors of PM<sub>2.5</sub> in China for 2012. The MIX Asian emission inventories are used for the anthropogenic emissions in the rest of Asia (M. Li et al., 2017b), which has combined the South Korea inventory (CAPSS; Lee et al., 2011), the Indian inventory (ANL-India; Lu et al., 2011; Lu and Streets, 2012), and the REAS2 inventory (Kurokawa et al., 2013). Our simulations used sector-specific MEIC diurnal patterns for the anthropogenic emissions of CO, NO<sub>x</sub>, SO<sub>2</sub>, BC, OC, and VOCs from power, industry, residential, transportation, and agriculture sectors (Fig. S2) and the MEIC agriculture diurnal patterns for all anthropogenic emissions of NH<sub>3</sub> in China. NO<sub>x</sub> emission from soils and lightning are included in the model (Hudman et al., 2012; Murray et al., 2012). The biogenic emissions are calculated from the Model of Emissions of Gases and Aerosols from Nature (MEGAN v2.1) (Guenther et al., 2012). The emissions from biomass burning are provided by the Global Fire Emission Database (GFED4) (Giglio et al., 2013).

## 4 Results and discussion

### 4.1 Compensating errors from simulations of individual PM<sub>2.5</sub> components

Figure 1 shows the scatterplots of the simulated and the observed campaign-average concentrations of secondary inorganic aerosol (SIA) and OA over China as well as the

statistical values such as NMB, root mean square error (RMSE), and Pearson's correlation coefficient ( $R$ ) for the model–observation comparisons. For most of the sites, the sulfate concentrations are underestimated (NMB =  $-0.39$ ,  $R = 0.45$ ), while the nitrate concentrations are overestimated (NMB =  $0.82$ ,  $R = 0.57$ ) by the model. Such underestimation for sulfate and the overestimation for nitrate in CTMs is a known problem for China (Gao et al., 2018; Chen et al., 2019). The model performance for ammonium (NMB =  $0.06$ ,  $R = 0.58$ ) is better than the performances for sulfate and nitrate. For OA, previous model studies typically underestimate the OA mass concentrations by over 40 % (Fu et al., 2012; Zhao et al., 2016). The simple SOA scheme shows improved performance on OA for all seasons (NMB =  $-0.26$ ,  $R = 0.70$ ).

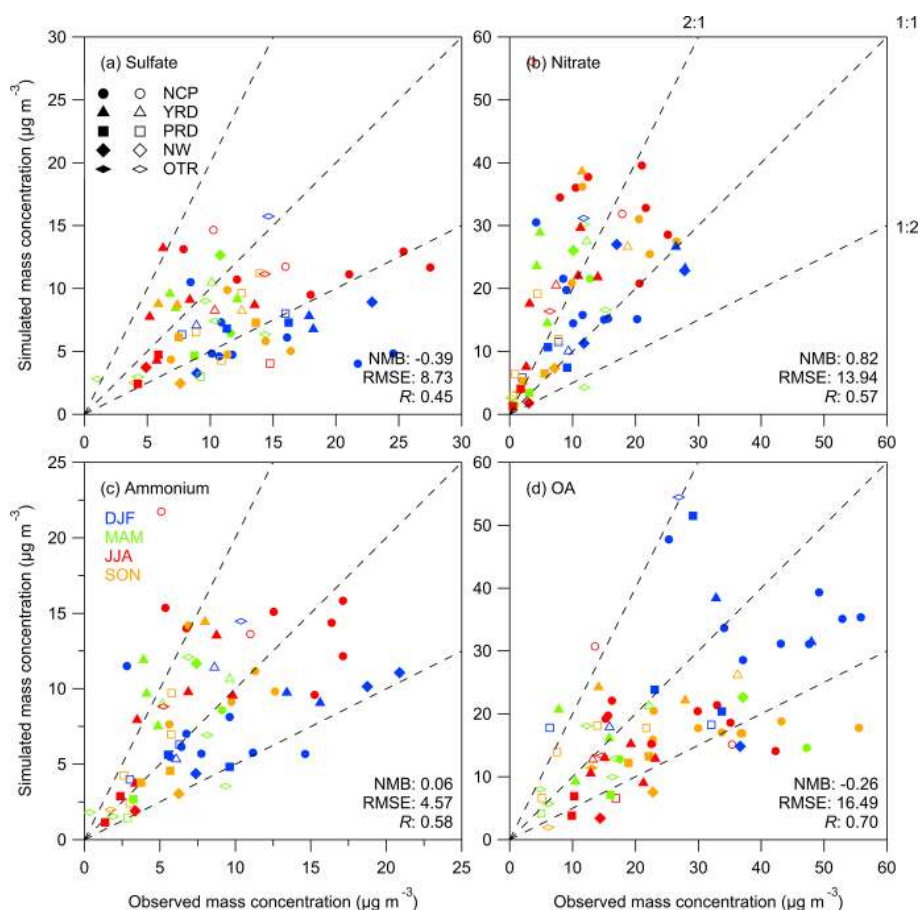
On a seasonal basis, the underestimation of sulfate occurs all year round, and the greatest underestimation occurs in winter (NMB =  $-0.54$ ) (Fig. 1a and Table S3). The seasonality of the model bias is partially explained by the underestimation of SO<sub>2</sub> emissions in winter (Wang et al., 2014; Koukoulouli et al., 2018). Similar to other models, our model fails to reproduce the high sulfate concentrations during the haze periods because of the underrepresented heterogeneous production (Wang et al., 2014; G. J. Zheng et al., 2015). Severe haze events occurred more often in winter in China, contributing to the seasonality of the model bias. By contrast, the nitrate concentrations are largely overestimated in all seasons, especially in spring, summer, and autumn (NMB =  $0.79$ – $1.28$ ). Wang et al. (2013) showed the summertime overestimation for East Asia. Heald et al. (2012) showed the summertime, autumn time, and wintertime overestimation for the eastern United States. The model bias is smaller in winter (NMB =  $0.41$ ) when higher concentrations of nitrate are present (Fig. 1b). For ammonium, the model underestimates its concentrations in winter and spring but overestimates its concentrations in summer and autumn. Both the uncertainties of HNO<sub>3</sub> and NH<sub>3</sub> simulations may affect the modeled ammonium concentrations (Wen et al., 2018; Xu et al., 2019). Similar to sulfate, the underestimation of OA occurs all year round, and the model performs worst in autumn. Biases in the precursor emissions as well as the assumed nonseasonal conversion rate from precursors to particle-phase SOA are the possible reasons for the seasonality of the OA bias. The  $R$  value is much lower in summer (i.e.,  $0.28$  compared with  $\geq 0.49$  in other seasons), showing more complexity of the biases in the OA simulations.

Tables S4 and S5 list the statistical values for the model–observation comparisons in different regions and urban versus non-urban sites, respectively. The model biases for sulfate, nitrate, and OA are consistently positive or negative among regions (Table S4), suggesting that the model biases are general problems in China. The underestimation of sulfate is over 40 % (NMB) in most regions except YRD, and the overestimation of nitrate is over 80 % (NMB) in most regions except NW. The OA simulations show much lower

NMB ( $-10$  %) and RMSE values in YRD and PRD than in NCP and NW. For ammonium, the model significantly overestimates its concentrations in YRD and underestimates its concentrations in NW. The former may be explained by the excessive formation of ammonium nitrate and ammonium sulfate through thermodynamic equilibrium under conditions of abundant NH<sub>3</sub> in YRD in the model (L. Zhang et al., 2018). The latter is likely a result of combined factors including emissions, meteorology, and thermodynamic equilibrium. Moreover, the mean observed concentrations of sulfate, nitrate, ammonium, and OA at urban sites are 20 %–90 % greater than those at non-urban sites (Fig. 1 and Table S5). The model shows greater simulated concentrations of nitrate, ammonium, and OA at urban sites, which is consistent with the observations. The model–observation gaps for nitrate (NMB =  $1.22$ ) and ammonium (NMB =  $0.33$ ) are greater in non-urban areas, whereas the gaps for sulfate (NMB =  $-0.44$ ) and OA (NMB =  $-0.31$ ) are greater in urban areas. This result suggests perhaps different driving forces of the model biases for the SIA species.

The model simulations are further compared with the 2-year hourly observations in Beijing. Figure S3 shows the simulation-to-observation ratios for the SIA species and OA. The mean and median values of the simulation-to-observation ratios of the mass concentrations of non-refractory PM<sub>2.5</sub> (NR-PM<sub>2.5</sub>) are generally within the measurement uncertainty of 30 %. Compensation of the underestimation of sulfate and OA by the overestimation of nitrate leads to the good performance on NR-PM<sub>2.5</sub>. The seasonal variations of the model biases for the SIA species and OA in Beijing are consistent with the findings in the nationwide comparisons (Table S3), except that the greatest underestimation of OA occurs in spring instead of autumn. Figure S4 shows the simulation-to-observation ratios when excluding the periods of NR-PM<sub>2.5</sub> mass concentrations over  $150 \mu\text{g m}^{-3}$ . During these periods, the submicron-to-fine ratios may decrease from 0.8 (used herein) to 0.5. The model biases and their seasonal variations in Fig. S4 are similar to the previous results, suggesting insignificant impacts of severe haze periods on the statistic evaluations.

Figure 2 shows the diurnal patterns of the observed and the simulated concentrations of sulfate, nitrate, ammonium, and OA for four seasons in Beijing. Considerable differences exist. For instance, the observed sulfate shows a daytime concentration buildup ( $2$ – $4 \mu\text{g m}^{-3}$ ) in spring and summer, suggesting a photochemical production (Sun et al., 2015). The wintertime diurnal pattern shows a steady but later enhancement ( $\sim 5 \mu\text{g m}^{-3}$ ) in the afternoon. The simulated profiles show fewer daytime concentration elevations ( $0$ – $2 \mu\text{g m}^{-3}$ ), suggesting insufficient production, overestimated boundary layer dilution, or removal during the day in the model (Fig. 2a). By contrast, the observed diurnal variations of hourly mean nitrate and ammonium concentrations are less than sulfate (Fig. 2b–c). The concentrations of simulated nitrate that are 2–5 times greater at night suggest overpre-

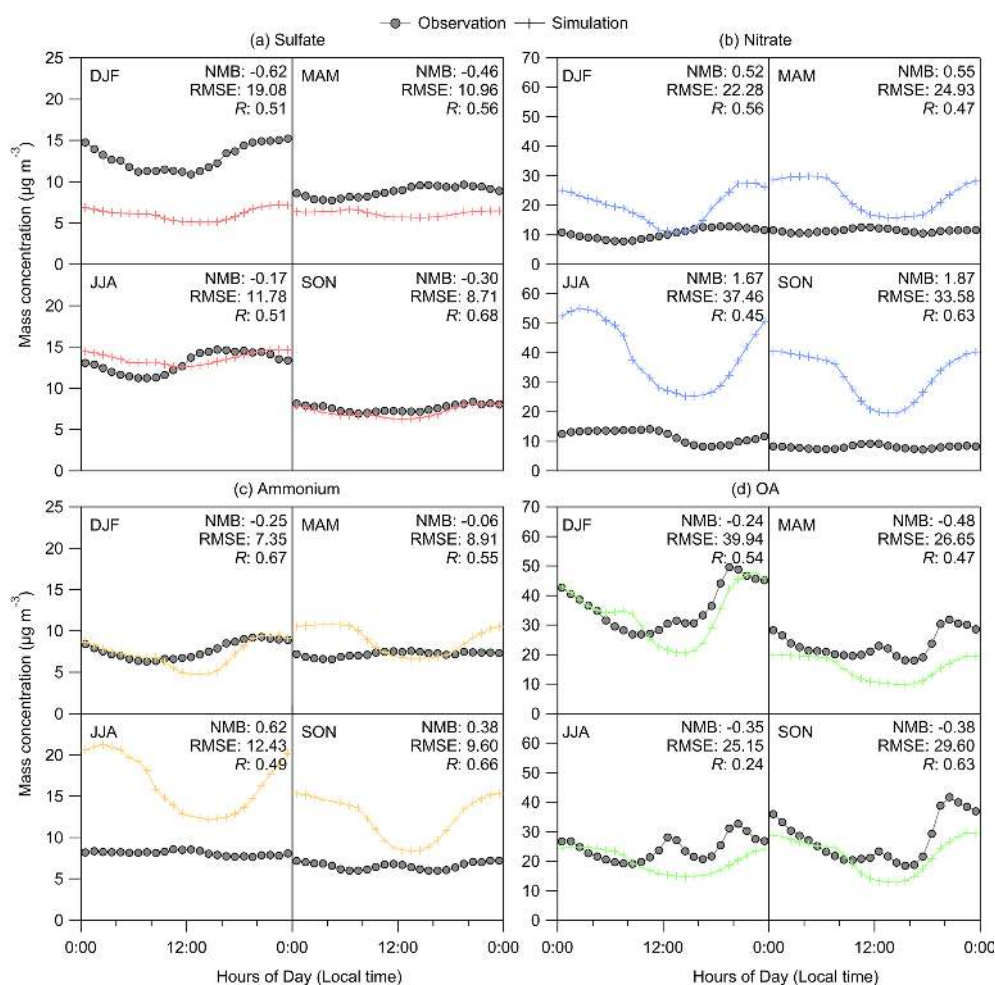


**Figure 1.** Scatterplots of the simulated and observed campaign-average mass concentrations of (a) sulfate, (b) nitrate, (c) ammonium, and (d) OA in China. The solid and open symbols represent the urban and non-urban sites, respectively. Colors and shapes of the symbols represent seasons and regions, respectively. The observations were conducted during 2006 to 2016 for submicron particles, and the data were divided by a submicron-to-fine ratio of 0.8. The model simulations were run for the year 2012.

dicted nighttime production, underestimated boundary layer dilution, or underestimated atmospheric removal of nitrate. Nighttime production of nitrate by the heterogeneous uptake of  $\text{N}_2\text{O}_5$  and  $\text{NO}_2$  is an important pathway of nitrate production in northern China (Wang et al., 2018; Alexander et al., 2020). On the other hand, the simulated profiles for nitrate and ammonium show large reductions of daytime concentrations, especially in summer, which are not shown in the observed profiles. The model largely overestimates daytime gaseous  $\text{HNO}_3$  concentrations in Beijing (Fig. S5). The total nitrate (particulate nitrate + gaseous  $\text{HNO}_3$ ) accumulated excessively in the model simulations, which needs further investigations.

For OA, the model is unable to reproduce the midday and evening peaks for all seasons (Fig. 2d). Previous positive matrix factorization (PMF) analysis of the OA mass spectra suggests that cooking emissions contribute to the midday peaks of the OA concentrations and that the evening peaks are driven by mixed primary emissions including cooking, traffic, and coal combustion (W. Hu et al., 2016; Sun et al.,

2015). Cooking emissions are not included explicitly in the model, and the emissions of POA and SOA precursors from traffic and coal combustion are uncertain (Tao et al., 2018; Peng et al., 2019). We compared the modeled POA and SOA with PMF-derived POA and oxygenated OA (OOA) (Sun et al., 2018). The model reproduces the monthly mean concentrations of PMF-derived POA (Fig. 3a), suggesting that the MEIC POA inventory generally represents the particle-phase SVOCs emissions under ambient conditions. The model underestimation of OA is mainly from SOA as indicated by the underestimation of the monthly mean concentrations of PMF-derived OOA (i.e., 50%–70% of the observed OA mass) (Fig. 3b). Figures S6 and S7 show the model performance of the simple SOA scheme and the traditional scheme (so-called “semivolatile POA scheme” in GEOS-Chem) in simulating OA. The semivolatile POA scheme significantly underestimates both POA and SOA. This scheme treats 1.27 times of the POA inventory as the emissions of SVOCs, among which only 1.5% of the carbon remains as POA (Pye and Seinfeld, 2010). There is also a lack of con-



**Figure 2.** Diurnal profiles of the simulated and observed hourly mean concentrations of (a) sulfate, (b) nitrate, (c) ammonium, and (d) OA at the IAP site in Beijing from July 2011 to May 2013. The observed concentrations were divided by a submicron-to-fine ratio of 0.8.

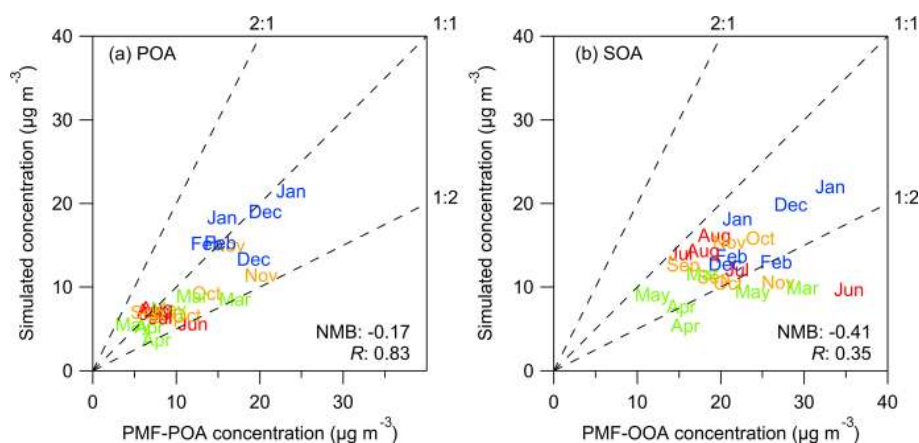
straints on the SOA production from IVOCs and SVOCs. In addition, the modeled profiles show earlier morning peaks of OA, especially in winter, which are mainly caused by the POA emissions of the residential sector. The lack of this feature in the observed OA mass suggests perhaps an overestimation of this source.

#### 4.2 Potential contributors to the model–observation discrepancies

We focus here on measurements in Beijing to discuss the potential contributors to the model bias. Table 1 lists the statistics including mean bias (MB), NMB, and RMSE of  $T$ , RH, wind speed, wind direction, and BLH between the MERRA2 outputs and the observations in Beijing. The MERRA2 reanalysis reproduces  $T$  (NMB < 2 %) and RH (NMB < 15 % except for winter) but is unable to reproduce the wind speed and direction. Large RMSE for surface wind directions is a common problem in meteorological reanalysis products as well as the WRF simulations. The overestimation of wind

speed (1–2 times) is slightly greater than the bias reported in other studies and may cause some underestimation of PM<sub>2.5</sub> (J. Hu et al., 2016; Wang et al., 2014). The MERRA2 slightly overestimates BLH at 14:00 compared with the radiosonde measurements in summer (NMB = 0.34). For 08:00 and 20:00, MERRA2 underestimates the radiosonde-derived BLH in autumn and winter. Bei et al. (2017) indicated that the uncertainties in temperature and wind field simulations lead to the frequent underestimation of the nighttime BLH in January 2014 in Beijing by the ensemble WRF meteorology. Such underestimation of BLH may lead to overestimated nighttime concentrations of PM<sub>2.5</sub> in autumn and winter. The large RMSE values for the BLH comparisons at 08:00 and 20:00 suggest that the nighttime simulation of PM<sub>2.5</sub> may have greater meteorological uncertainty than the daytime simulation (Fig. S8 and Table 1).

The uncertainties related with the emission data including their temporal profiles are considered to be important sources of inaccuracies in modeled concentrations (M. Li et



**Figure 3.** Scatterplots of the monthly mean concentrations of (a) simulated POA and PMF-derived POA and (b) simulated SOA and PMF-derived OOA at the IAP site in Beijing from July 2011 to May 2013. The observed concentrations were divided by a submicron-to-fine ratio of 0.8.

al., 2017a). The uncertainty of SO<sub>2</sub> emissions affects surface sulfate concentrations. Our model underestimates SO<sub>2</sub> concentrations in winter and overestimates its concentrations in summer in Beijing (Fig. 4a). Consistently, top-down estimates suggest lower SO<sub>2</sub> emissions in summer and higher in winter in China compared with the MEIC inventory (Koukouli et al., 2018). Improving SO<sub>2</sub> emissions may reduce the model bias for sulfate. Our model largely underestimates NO<sub>2</sub> concentrations year round (Fig. 4b). The bottom-up NO<sub>x</sub> inventory has about 50 % uncertainty (M. Li et al., 2017b). Top-down estimates suggest however lower NO<sub>2</sub> emissions in Beijing and its surrounding area than the MEIC inventory (Qu et al., 2017), which conflicts with the underestimation of NO<sub>2</sub>. The relatively coarse model grid is probably a reason for the low modeled NO<sub>2</sub> concentrations at the site. Moreover, laboratory and field measurements show that the NO<sub>2</sub> uptake coefficient ( $\gamma_{\text{NO}_2}$ ) on the aerosol surface ranges from 10<sup>-8</sup> to 10<sup>-4</sup> (Spataro and Ianniello, 2014, and references therein; M. Li et al., 2019, and references therein). The default GEOS-Chem model uses a relatively high  $\gamma_{\text{NO}_2}$  of 10<sup>-4</sup>, which may cause the underestimated concentrations of nitrate, daytime HNO<sub>3</sub> (Fig. S5), and nighttime HONO (Fig. S9) (Alexander et al., 2020). The NO<sub>2</sub> concentration increased over 70 % when the model turned off the NO<sub>2</sub> uptake. For NH<sub>3</sub>, the model underestimates its monthly mean concentrations in Beijing (Fig. 4c). The non-agriculture NH<sub>3</sub> emissions are based on the year 2006 and can be greater in 2012 because of the rapid economic growth (Kang et al., 2016; Meng et al., 2017). Several studies show that the non-agriculture emissions are the dominant NH<sub>3</sub> sources during haze periods in Beijing when the transport of rural agricultural NH<sub>3</sub> emission to urban is limited under stagnant weather conditions (Pan et al., 2016; Sun et al., 2017). The underestimation of NH<sub>3</sub> affects the ammonium simulations when the thermodynamic equilibrium is sensitive to HNO<sub>3</sub> + NH<sub>3</sub> (Nenes

et al., 2020). For SOA precursors, Fig. 4d shows that the model underestimates surface CO concentrations in Beijing, which may contribute to the model underestimation of anthropogenic SOA. The modeled summertime isoprene concentrations in Beijing are lower than the observations by 20 %–90 %, affecting the simulations of biogenic SOA (Table S6). The model also underestimates the concentrations of aromatic VOCs, similar to previous studies (Liu et al., 2012). Such underestimation would not affect the SOA simulations herein because the simple SOA scheme no longer derives aromatic SOA from the concentrations of aromatic VOCs. Instead, the model treats aromatic SOA as a part of anthropogenic SOA, which is estimated on the basis of the parameterizations on CO.

Oxidants are essential in chemical conversions. Figure 5a–b show the modeled and the observed concentrations of OH• and HO<sub>2</sub>• radicals in Beijing. The peak concentrations of OH• and HO<sub>2</sub>• radicals are underestimated by a factor of 1.5–2 and 2–4, respectively, explained by the missing source of daytime HONO (Fig. S9) (Liu et al., 2019; L. Zhang et al., 2016; J. Zhang et al., 2018). Such underestimation suggests insufficient atmospheric oxidation capacity in the model, meaning reduced formation of sulfate and nitrate. Figure 5c shows that the model overestimates the surface O<sub>3</sub> concentrations in winter. Common problems have been reported in other studies in China and other northern hemispheric locations by various CTMs (J. Hu et al., 2016; Travis et al., 2016; Young et al., 2018; J. Li et al., 2019). Nevertheless, the overestimated O<sub>3</sub> has little influence on the SOA simulation by the simple SOA scheme and has minor impacts on SIA because of the dominant contribution from the photochemical and heterogeneous pathways. Moreover, NO<sub>3</sub>• affects the formation of nitrate and SOA (Ng et al., 2017). Measurements of NO<sub>3</sub>• in Beijing show nighttime peak concentrations of less than 6 pptv in summer and below the detection limit of 2.4 pptv in winter (Wang et al., 2017a). The modeled



**Table 1.** Comparisons of the observed and simulated meteorological parameters, including *T*, RH, wind speed, wind direction, and BLH, for the four seasons during the period of July 2011 to May 2013 at the PKUERS site. “OBS” and “SIM” represent the mean values of the observations and simulations, respectively.

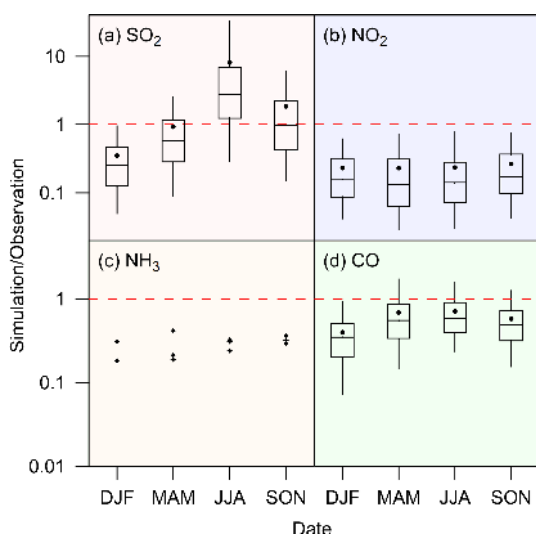
		DJF	MAM	JJA	SON
<i>T</i> (K)	OBS	270.96	286.42	300.46	289.38
	SIM	266.70	281.74	296.83	285.55
	MB	−4.26	−4.68	−3.63	−3.84
	NMB (%)	−1.57	−1.63	−1.21	−1.33
	RMSE	4.63	5.06	4.04	4.28
RH (%)	OBS	32.57	34.00	61.91	46.15
	SIM	45.32	38.92	63.78	49.44
	MB	12.75	4.92	1.87	3.28
	NMB (%)	39.15	14.47	3.01	7.12
	RMSE	17.33	13.36	10.67	15.64
Wind speed (m s <sup>−1</sup> )	OBS	1.53	2.23	1.71	1.82
	SIM	4.23	4.90	3.47	4.57
	MB	2.71	2.67	1.76	2.75
	NMB (%)	177.27	119.34	102.84	150.82
	RMSE	3.40	3.50	2.34	3.50
Wind direction (°)	OBS	322.63	291.49	231.82	304.83
	SIM	175.62	147.12	336.22	182.09
	MB	−39.44	−2.76	−4.26	−22.08
	RMSE	126.44	128.69	122.92	125.30
	BLH: 14:00 (m)	OBS	NA	NA	1338.74
SIM		NA	NA	1788.73	NA
MB		NA	NA	449.99	NA
NMB (%)		NA	NA	33.61	NA
RMSE		NA	NA	647.00	NA
BLH: 08:00 (m)	OBS	389.60	468.18	373.28	356.30
	SIM	203.95	518.11	518.79	252.14
	MB	−185.64	49.93	145.51	−104.16
	NMB (%)	−47.65	10.66	38.98	−29.23
	RMSE	497.37	680.43	396.69	487.38
BLH: 20:00 (m)	OBS	436.39	618.33	502.45	417.24
	SIM	482.20	1003.04	501.51	636.58
	MB	45.81	384.71	−0.94	219.34
	NMB (%)	10.50	62.22	−0.19	52.57
	RMSE	703.30	1159.34	840.49	940.83

NA – not available.

concentrations are 3 times greater than the peak concentrations in summer (Fig. 5d), suggesting a possible overestimation of nighttime oxidation.

In addition, the heterogeneous production of sulfate and SOA is not included in the standard models, leading to certain underestimations. The model uses relatively high values of  $\gamma_{\text{N}_2\text{O}_5}$ , which may lead to the overestimation of nitrate (McDuffie et al., 2018; Davis et al., 2008; Jaegle et al., 2018). The lack of nitryl chloride formation from the  $\text{N}_2\text{O}_5$  uptake in the model may contribute to the overestimation of nitrate in northern China (Sarwar et al., 2014). Another bias is the high default value of  $\gamma_{\text{NO}_2}$  as described previously. Bi-

ases may also relate to the atmospheric removal of the SIA species (Jaegle et al., 2018; Luo et al., 2019). For example, the GEOS-Chem model underestimates the wet deposition of nitrate in China by 15%–23%, especially in urban areas, which may affect both nitrate and ammonium in summer when the wet deposition fluxes are large (Zhao et al., 2017; Xu et al., 2018). The model possibly overestimates the surface resistance of  $\text{HNO}_3$  (Shah et al., 2018). The test with doubling the deposition velocity of  $\text{HNO}_3$  however suggests a minor impact of this factor on the nitrate simulations (Heald et al., 2012). Finally, the photolysis of particle-phase nitrate may be significant and affect the nitrate concentra-



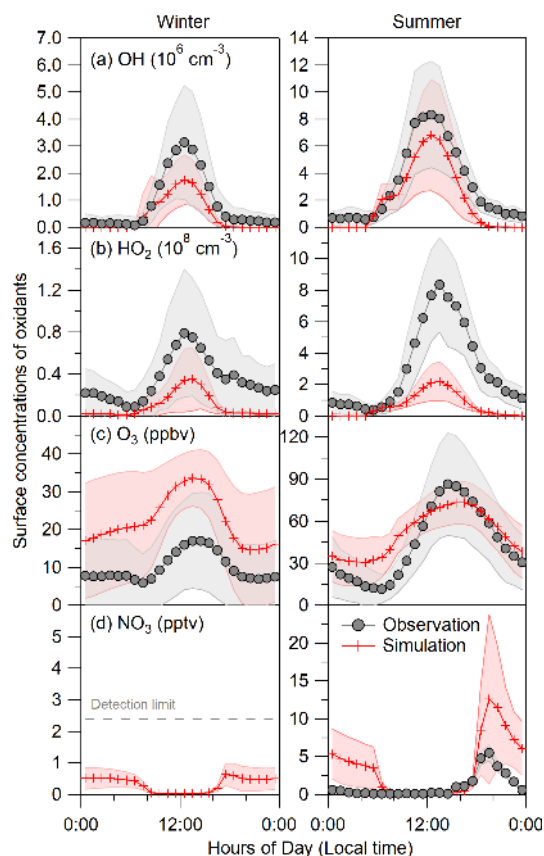
**Figure 4.** The simulation-to-observation ratios of the concentrations of (a) SO<sub>2</sub>, (b) NO<sub>2</sub>, (c) NH<sub>3</sub>, and (d) CO in Beijing. The upper and lower edges of the boxes, the whiskers, the middle lines, and the solid dots in panels (a), (b), and (d) denote the 25th and 75th percentiles, the 5th and 95th percentiles, the median values, and the mean values of the simulation-to-observation ratios of the hourly mean concentrations of the corresponding species at the PKUERS site from July 2011 to May 2013, respectively. The solid dots in (c) represent the simulation-to-observation ratios of the monthly mean concentrations of NH<sub>3</sub> at the IAP site from December 2007 to November 2010. The dashed red lines show the 1 : 1 simulation-to-observation ratio.

tions (Romer et al., 2018; Kasibhatla et al., 2018). In Beijing, the photolysis rate of particle-phase nitrate remains unclear, and the thick coating of PM<sub>2.5</sub> may reduce the photolysis (Ye et al., 2017).

#### 4.3 Relative importance of various factors to the model bias

From the factors described above, we chose the ones that are expected to significantly affect the PM<sub>2.5</sub> simulations and can be constrained by ambient or laboratory measurements to conduct the sensitivity analysis. Their potential contributions to the model bias of PM<sub>2.5</sub> components are evaluated for two case periods, 21–26 August and 21–27 December 2012, for summer and winter, respectively. The simulations for both weeks show the highest *R* value for the correlations with the observations during the seasons. The 2 weeks are chosen to address general model biases that are not specific to haze conditions. No severe haze episodes occurred during the 2 weeks.

The tested factors for the sensitivity runs are listed in Table 2. Case 0 represents the standard model simulations. The nighttime BLH was multiplied by 3.6 based on the lowest median value of the MERRA2-to-observation ratios at 08:00 and 20:00 (Fig. S8) when the original BLH was lower than



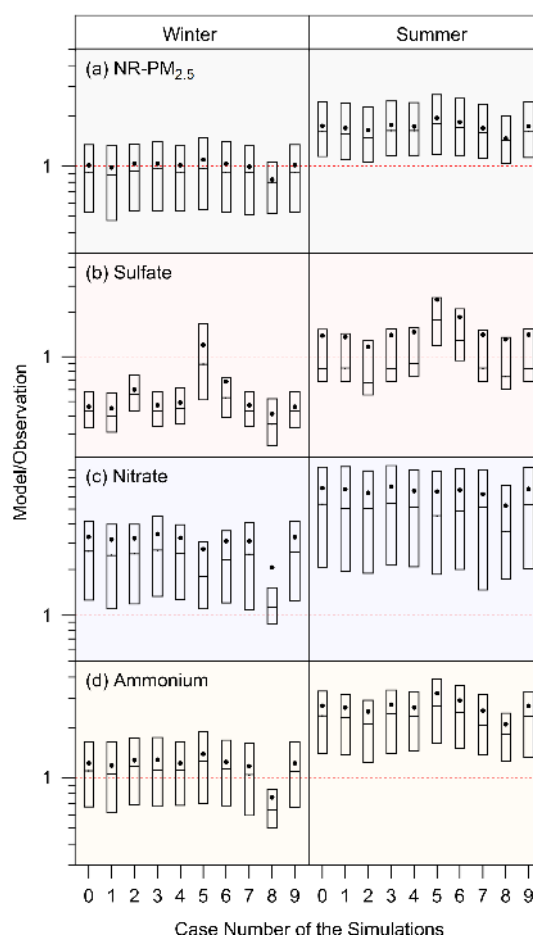
**Figure 5.** Diurnal profiles of the hourly mean simulated and observed concentrations of (a) OH• and (b) HO<sub>2</sub>• at the Wangdu site from June to July 2014 and at the Huairou site from January to March 2016, (c) O<sub>3</sub> at the PKUERS site from July 2011 to May 2013, and (d) NO<sub>3</sub>• at the PKUERS site in January and September 2016 in Beijing. The shaded areas indicate mean value ± 1 standard deviation.

500 m (i.e., the median of the observed BLH) in Case 1. The SO<sub>2</sub> emissions in China were multiplied by 0.8 in summer and 1.5 in winter in Case 2 based on the minimum and maximum values of the ratios between the top-down estimates provided by Koukouli et al. (2018) and the MEIC inventory (Fig. S10), respectively. The non-agriculture NH<sub>3</sub> emissions in China were scaled up by 1.4 as suggested by Kang et al. (2016) in Case 3. In Case 4, the reaction rate coefficients for the reactions that directly involve OH• oxidation and affect the formation and loss of PM<sub>2.5</sub> such as the gaseous formation of sulfuric acid and HNO<sub>3</sub> and the oxidation of HNO<sub>3</sub> were multiplied by 1.5 in summer and 2 in winter to offset the influence of underestimated OH• concentrations. The multipliers of 1.5 and 2 were derived on the basis of the largest ratio of simulated to observed hourly mean OH• concentrations between 09:00 and 15:00. In terms of the heterogeneous formation of sulfate, we added two types of parameterizations for γ<sub>SO<sub>2</sub></sub> in Cases 5 and 6. One derives the uptake coefficient of SO<sub>2</sub> from RH (γ<sub>SO<sub>2</sub>-RH</sub>) (B. Zheng et al., 2015), and

the other calculates the coefficient as a function of ALWC ( $\gamma_{\text{SO}_2\text{-ALWC}}$ ) (J. Li et al., 2018). The former is in the order of  $10^{-5}$ , and the latter is in the range of  $10^{-6}$  to  $10^{-4}$ . For comparisons, the uptake coefficients are  $5 \times 10^{-5}$  in G. Li et al. (2017) and  $10^{-9}$  to  $10^{-3}$  in Shao et al. (2019). In Case 7, we reduced the value of  $\gamma_{\text{N}_2\text{O}_5}$  from the parameterization of Evans and Jacob (2005) to  $10^{-3}$  to represent the lower end in the worldwide observations (McDuffie et al., 2018, and references therein). Similarly, the  $\gamma_{\text{NO}_2}$  was modified from  $10^{-4}$  to  $10^{-6}$  in Case 8 according to the median value of recent laboratory results (Spataro and Ianniello, 2014, and references therein; M. Li et al., 2019, and references therein). The increase of wet deposition of nitrate is tested, for which we applied the seasonal variation to in-cloud condensation water for rainout parameterization and updated the washout parameterization of  $\text{HNO}_3$  based on the method introduced by Luo et al. (2019) in Case 9. Cases 10 to 50 are the runs with various combinations of the modifications in Cases 1 to 9 (Table S7) for the two case periods. We did not run tests for OA because of the lack of sufficient ambient and laboratory constraints. Scaling up the CO emissions in autumn and winter (Fig. 4d) would lead to significant overestimation of OA in non-urban areas.

Figure 6 shows the simulation-to-observation ratios of hourly mean mass concentrations of NR-PM<sub>2.5</sub>, sulfate, nitrate, and ammonium for Cases 0 to 9. The nocturnal BLH, the non-agriculture  $\text{NH}_3$  emissions, the  $\text{OH}\cdot$  levels, and the wet deposition of nitrate have minor impacts on the model performance of these components. The updated  $\text{SO}_2$  emissions in Case 2 substantially improve the model simulation of sulfate in Beijing, although further improvements are needed in winter. Similar to previous findings, the heterogeneous uptake of  $\text{SO}_2$  in Cases 5 and 6 increases the simulated sulfate concentrations and leads to better model–observation comparisons in winter (B. Zheng et al., 2015; J. Li et al., 2018). However, both of the cases lead to the overestimation of sulfate concentrations in summer. The nitrate overestimation in summer leads to the overpredicted ALWC that promotes the excess heterogeneous sulfate formation. The variances of the simulation-to-observation ratios for both cases are greater than the standard simulation in Case 0, indicating the limitation of the heterogeneous parameterizations. A mechanistic approach other than using indirect indicators like RH and ALWC along with accurate  $\text{SO}_2$  emissions as discussed in Sect. 4.2 may be necessary to improve the seasonality of the sulfate simulation.

The reduced  $\gamma_{\text{N}_2\text{O}_5}$  in Case 7 leads to a minor reduction of simulated nitrate concentrations, suggesting that the uncertainty of heterogeneous uptake of  $\text{N}_2\text{O}_5$  is not the main cause of the overestimation of nitrate. The simulations with more reasonable  $\gamma_{\text{NO}_2}$  in Case 8 are able to reproduce the observed nitrate concentrations in winter, indicating that the biased  $\text{NO}_2$  uptake is an important contributor to the overestimation of nitrate and nighttime HONO (Fig. S9). However, the reduced  $\gamma_{\text{NO}_2}$  alone is insufficient to correct the nitrate



**Figure 6.** Box-and-whisker plots of the simulation-to-observation ratios of hourly mean mass concentrations of (a) NR-PM<sub>2.5</sub>, (b) sulfate, (c) nitrate, and (d) ammonium for the standard simulation (i.e., Case 0) and Cases 1 to 9 during the selected wintertime and summertime periods. The upper and lower edges of the boxes, the middle lines, and the solid dots denote the 25th and 75th percentiles, the median values, and the mean values, respectively. The dashed red lines show the 1 : 1 simulation-to-observation ratio.

concentrations in summer. In China, especially in northern China, the nitrate formation is likely more sensitive to  $\text{HNO}_3$  than to  $\text{NH}_3$  under the control of aerosol acidity and ALWC (Nenes et al., 2020). The model shows the excessive nitrate availability when the photochemical production might be underestimated because of the underestimated oxidant concentrations. The insufficient improvements by constraining the heterogeneous chemical production in Cases 7–8 suggests that the nitrate bias is perhaps related to the insufficient removal of nitrate or  $\text{HNO}_3$  in the model. The updated wet deposition of nitrate in Case 9 can reduce the summertime monthly mean concentrations by about 20 % (Fig. S11) but is still minor compared with the large overestimation. Insufficient dry deposition of  $\text{HNO}_3$  and nitrate and the photolysis losses of particulate nitrate to produce HONO and  $\text{NO}_x$  (Ye et al., 2017) as well as the joint influence of multiple fac-

**Table 2.** Details of the sensitivity simulations from Cases 1 to 9.

Case no.	Tested factors	Modifications in the model	Reference
1	BLH	Multiply by 3.6 for nighttime if the BLH is lower than 500 m	This study
2	SO <sub>2</sub>	Summer: multiply SO <sub>2</sub> emission by 0.8 Winter: multiply SO <sub>2</sub> emission by 1.5	Koukouli et al. (2018)
3	NH <sub>3</sub>	Multiply non-agriculture NH <sub>3</sub> emission by 1.4	Kang et al. (2016)
4	OH• level	Summer: multiply PM <sub>2.5</sub> -related reaction rates by 1.5 Winter: multiply PM <sub>2.5</sub> -related reaction rates by 2	This study
5	$\gamma_{\text{SO}_2\text{-RH}}$	Add-in: between $2 \times 10^{-5}$ and $5 \times 10^{-5}$ depending on RH	B. Zheng et al. (2015)
6	$\gamma_{\text{SO}_2\text{-ALWC}}$	Add-in: between $10^{-6}$ and $10^{-4}$ depending on ALWC	J. Li et al. (2018)
7	$\gamma_{\text{N}_2\text{O}_5}$	Change $\gamma_{\text{N}_2\text{O}_5}$ from 0.02 (global mean) to $10^{-3}$	McDuffie et al. (2018)
8	$\gamma_{\text{NO}_2}$	Change $\gamma_{\text{NO}_2}$ from $10^{-4}$ to $10^{-6}$	M. Li et al. (2019)
9	Wet deposition	Use the seasonal varied in-cloud condensation water and update the empirical washout rate for HNO <sub>3</sub>	Luo et al. (2019)

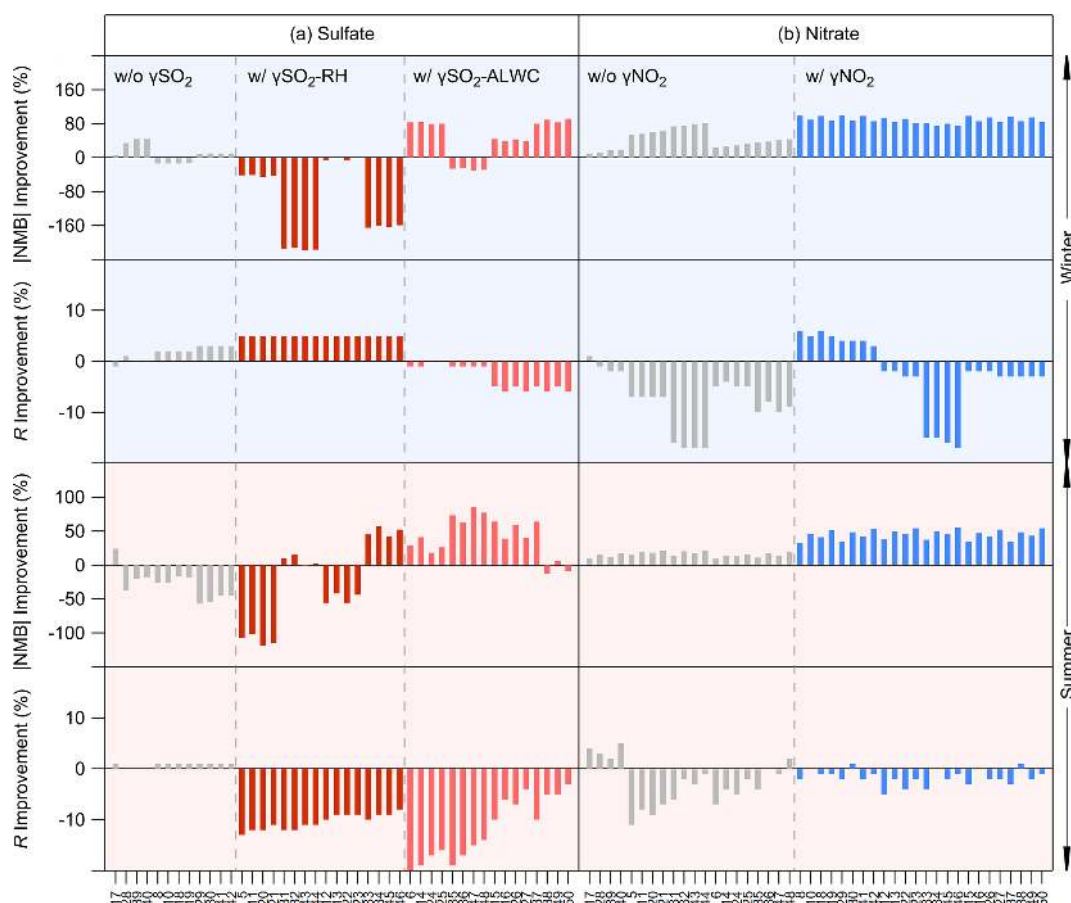
tors (discussed later) are possible explanations for the overestimation of nitrate. Nemitz et al. (2004) indicated greater dry deposition velocities of nitrate than the values used typically in models. However, the relative contributions of the dry deposition of nitrate and ammonium to the total deposition of nitrate + HNO<sub>3</sub> and ammonium + NH<sub>3</sub> are small (< 10%) (Zhao et al., 2017). We expect a minor influence of such uncertainties on the issue of nitrate overestimation. Heald et al. (2012) showed a minor impact of the uncertainty in the dry deposition of HNO<sub>3</sub> on the nitrate simulations. The photolysis of particulate nitrate is therefore likely substantial, which has been ignored in the model simulations.

Sulfate and nitrate simulations interact with each other through thermodynamic equilibrium. As shown in Fig. 6, adding the heterogeneous formation of sulfate reduces the simulation-to-observation ratios of nitrate in winter (i.e., the median ratio from 2.6 to 1.8–2.3 in Cases 5–6) and the simulated weekly mean concentrations of nitrate by 16%–36% (Fig. S12a). The heterogeneous formation of sulfate may affect aerosol pH and ALWC that determine the sensitivity of nitrate formation to NH<sub>3</sub> or to HNO<sub>3</sub> (Nenes et al., 2020). On the other hand, the reduced  $\gamma_{\text{NO}_2}$  leads to the reduction of the simulation-to-observation ratios of sulfate (i.e., about 0.1 reduction of the median ratios) and the weekly mean simulated sulfate concentrations by 12%–20% (Fig. S12b). The reduced  $\gamma_{\text{NO}_2}$  decreases the HONO concentrations by 98% and hence the OH• levels by 26%–74% in Beijing, which leads to lower concentrations of sulfate. In addition, the reduced  $\gamma_{\text{NO}_2}$  decreases ALWC through reducing nitrate, which also slows down the heterogeneous sulfate formation.

Figure 7 shows the improvements of absolute NMB (|NMB|) and *R* values for the sulfate and nitrate simulations in Cases 5, 6, and 8 (with updated heterogeneous for-

mation of sulfate and nitrate) and Cases 10 to 50 relative to Case 0. In winter, the parameterization of heterogeneous sulfate formation on RH in the cases with  $\gamma_{\text{SO}_2\text{-RH}}$  improves *R* but leads to greater |NMB|, while the parameterization on ALWC in the cases with  $\gamma_{\text{SO}_2\text{-ALWC}}$  improves |NMB| but slightly decreases *R* (Fig. 7a). By contrast, all the cases with  $\gamma_{\text{SO}_2\text{-RH}}$  or  $\gamma_{\text{SO}_2\text{-ALWC}}$  show worse *R* values in summer, and only the cases with  $\gamma_{\text{SO}_2\text{-ALWC}}$  generally improve |NMB|. The results suggest that the parameterization on ALWC is better in terms of the overall model performance than the parameterization on RH. The decreased *R* in summer in the cases with  $\gamma_{\text{SO}_2\text{-ALWC}}$  is perhaps because the biased inorganic aerosol concentrations and the underrepresented organic contribution in the ALWC calculations lead to large uncertainty in the simulated ALWC and sulfate concentrations (Pye et al., 2009). For nitrate, the cases with updated  $\gamma_{\text{NO}_2}$  show large improvements of |NMB| in both seasons (Fig. 7b). The changes of *R* are small.

The combination of the heterogeneous factors with other factors in Cases 10–50 shows various changes in model improvements. For the cases with  $\gamma_{\text{SO}_2\text{-ALWC}}$ , updating SO<sub>2</sub> emissions and reducing  $\gamma_{\text{NO}_2}$  lead to the most significant further improvements in |NMB|, especially in summer (Fig. 7a). Such interaction suggests that the parameterization of heterogeneous sulfate formation is sensitive to the precursor concentrations, the oxidation, and the nitrate-induced ALWC in China. For nitrate, the combinations of the  $\gamma_{\text{NO}_2}$  case with heterogeneous sulfate formation can worsen *R* in winter (Fig. 7b), explained by the limitation of NH<sub>3</sub> relative to high sulfate concentration that affects the nitrate partitioning. This impact is perhaps smaller in summer because of the greater NH<sub>3</sub> emissions. The combination of the reduced  $\gamma_{\text{N}_2\text{O}_5}$  with the improved  $\gamma_{\text{NO}_2}$  leads to the most significant further re-



**Figure 7.** The improvements of the  $R$  and the  $|NMB|$  values for (a) sulfate and (b) nitrate in Cases 10–50 relative to Case 0 (standard) during the selected wintertime and summertime periods. For comparison, Cases 5 (with  $\gamma_{SO_2-RH}$ ), 6 (with  $\gamma_{SO_2-ALWC}$ ), and 8 (reduced  $\gamma_{NO_2}$ ) are also plotted. Positive values in  $|NMB|$  or  $R$  improvement mean improved simulations.

duction of  $|NMB|$  in summer, and other factors lead to minor  $|NMB|$  improvements.

Case 50 represents the combination of all factors (including  $\gamma_{SO_2-ALWC}$  not  $\gamma_{SO_2-RH}$ ). It shows an  $R$  value of 0.8/0.9 (winter/summer) and an  $|NMB|$  value of 0.05/0.3 for sulfate, and an  $R$  value of 0.8/0.7 and an  $|NMB|$  value of 0.3/2.1 for nitrate. By contrast, the standard simulation in Case 0 shows an  $R$  value of 0.9/0.9 and an  $|NMB|$  value of 0.6/0.3 for sulfate, and an  $R$  value of 0.9/0.7 and an  $|NMB|$  value of 2.0/4.7 for nitrate. For sulfate, the  $|NMB|$  is largely improved in winter by the combination of all factors. In summer, the influence of all factors seems to be canceled out and therefore leads to an insignificant change in  $|NMB|$ . For nitrate, the combination of all factors can greatly improve the  $|NMB|$  in both seasons, although the overestimation of nitrate is still very large in summer.

## 5 Conclusions

We evaluated the GEOS-Chem model simulations with a nationwide dataset in China and a long-term hourly dataset in

Beijing for sulfate, nitrate, ammonium, and OA. The underestimation of sulfate and the overestimation of nitrate concentrations for most of the sites suggest general problems in the model. The simple SOA scheme significantly improves the OA simulations in China, suggesting that the SOA formation from anthropogenic precursors is perhaps the main reason for the underestimation of OA in previous studies. The remaining underestimation of OA is plausibly associated with the insufficient SOA production in the model. The model–observation agreement shows significant seasonality. Sulfate is mostly underestimated in winter, and nitrate is significantly overestimated in all seasons. The model is unable to reproduce the diurnal patterns of nitrate and ammonium. Sensitivity analysis for factors related to meteorology, emission, chemistry, and wet deposition with laboratory constraints show that uncertainties in chemistry perhaps dominate the model bias. Among the individual factors, updated heterogeneous parameterizations for  $SO_2$  and  $NO_2$  significantly reduce the model–observation gaps of sulfate and nitrate (decreasing winter/summer  $|NMB|$  by 0.48/0.09 and 1.98/1.51), respectively. Accurate sulfate simulations in

China may be achieved by joint factors, for example, heterogeneous sulfate formation along with accurate SO<sub>2</sub> emissions and well-reproduced oxidant and ALWC conditions. Good sulfate simulations improve the nitrate simulations by altering the sensitivity of nitrate formation to HNO<sub>3</sub> or to HNO<sub>3</sub> + NH<sub>3</sub>, especially in winter when NH<sub>3</sub> might be limited. The combination of all factors biases sulfate by 30 % in summer and nitrate by 30 % in winter, which are within the measurement uncertainties. However, the all-factor simulations still overestimate nitrate by 210 % in summer (470 % in standard simulations), highlighting the model issues related to atmospheric removal of HNO<sub>3</sub> and nitrate. The insufficient dry and wet deposition of HNO<sub>3</sub> and nitrate likely play minor roles, suggesting that the photolysis of particulate nitrate might be substantial in polluted environments. The nitrate simulations require a better understanding of the atmospheric reactive nitrogen budget, especially the role of the photolysis of particle-phase nitrate. Simultaneous measurements of major reactive nitrogen species including NO<sub>x</sub>, N<sub>2</sub>O<sub>5</sub>, NO<sub>3</sub><sup>\*</sup>, HONO, HNO<sub>3</sub>, NH<sub>3</sub>, and particle-phase nitrogen in field campaigns can provide critical datasets for future model investigations.

**Data availability.** Data presented in this paper are available upon request to the corresponding author.

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**Author contributions.** QC designed the study. RM performed the model simulations and conducted the data analysis. YS, JG, KL, YuZ, SC, LZ, YaZ, XC, YL, ZT, and XM provided the observation data. QZ provided the MEIC inventories and the diurnal profiles of emissions. QC and RM prepared the paper with contributions from PIP, MS, JG, and KL.

**Competing interests.** The authors declare that they have no conflict of interest.

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