

Model-Resolution based Basis Pursuit Deconvolution Improves Diffuse Optical Tomographic Imaging

Jaya Prakash, Hamid Dehghani, Brian W. Pogue, and Phaneendra K. Yalavarthy

Abstract—The image reconstruction problem encountered in diffuse optical tomographic imaging is ill-posed in nature, necessitating the usage of regularization to result in stable solutions. This regularization also results in loss of resolution in the reconstructed images. A frame work, that is attributed by model-resolution, to improve the reconstructed image characteristics using the basis pursuit deconvolution method is proposed here. The proposed method performs this deconvolution as an additional step in the image reconstruction scheme. It is shown, both in numerical and experimental gelatin phantom cases, that the proposed method yields better recovery of the target shapes compared to traditional method, without the loss of quantitiveness of the results.

Index Terms—Near Infrared Imaging, Diffuse Optical Tomography, Image Reconstruction, and Basis Pursuit Deconvolution.

I. INTRODUCTION

Soft tissue imaging using near infrared light (NIR), having wavelength range of 600 nm - 1000 nm, has become a promising physiological imaging modality due to the non-ionizing nature of radiation [1], [2], [3]. Diffuse optical tomography, which uses this NIR light as the probing media, exploits the high intrinsic contrast provided by the soft tissue with main applications being brain and breast imaging [1], [2], [3], [4]. Estimating the internal distribution of optical properties using NIR light measurements acquired at the boundary is a critical step in diffuse optical tomography [5], [6]. Unlike X-ray imaging, NIR light interaction within the tissue is dominated by scattering rather than absorption [5], resulting in loss of resolution in the reconstructed optical images.

Reconstruction of the optical parameters using the limited boundary measurements, also known as the inverse problem, is an ill-posed and under-determined problem (limited boundary data) leading many possible solutions [7], [8]. Constraining the solution space via regularization is one of

the common approaches for solving the inverse problem, resulting in most popular Gauss-Newton image reconstruction scheme. The addition of regularization also results in loss of resolution characteristics of the Tikhonov solution, but often necessary for enabling the computation of optical images [7], [8]. Even though there is inherent limitation on the achievable spatial resolution of optical images due to the dominance of scattering at NIR wavelengths, the reconstructed optical images typically appear to be blobby/blurry in nature with less ability to recover shapes using standard reconstruction techniques. The main source of this blur, other than the diffusion of light, is the regularization used for stabilizing the inverse problem. As one can not perform the image reconstruction without the aid of regularization in these cases, there is a considerable interest in methods that can minimize the effect of regularization and reduce the blur caused by it.

The choice of the regularization scheme that is deployed in performing the diffuse optical image reconstruction depends on the prior information available to the user about the noise characteristics of the data and expected image characteristics [7], [8], [9], [10], [11]. These regularization schemes can be as advanced as utilizing the structural priors available in dual-modality diffuse optical imaging [7], [8]. More importantly, the simple standard regularization schemes like Tikhonov method, that imposes quadratic penalty, assumes that the expected optical image is piece-wise constant and smooth in nature [5], [7]. Thus, it leads to loss of sharp features in the reconstructed images.

Deblurring of diffuse optical images has shown some promise in recovering the target shapes [12], [13], [14], these methods had used forward operator characteristics and built an Information spread function (ISF) independent of image reconstruction procedure. In the proposed work, the aim is to build the ISF or its equivalent in an integrated approach with inclusion of regularization. The image deblurring/deconvolution approaches work at their best in terms of restoration of blurred images when the ISF contains all sources of blurring. The deconvolution is typically performed by using constrained deconvolution method and least squares filtering method [15], [16]. The reconstructed optical images can also be improved by usage of shape-recovery algorithms [17], [18], [19], [20], all of them require shape based parameterization, which are computationally expensive algorithms compared to the traditional Gauss-

J. Prakash and P. K. Yalavarthy are with the Supercomputer Education and Research Centre, Indian Institute of Science, Bangalore - 560 012 India. e-mail: pnjayaprakash88@gmail.com; phani@serc.iisc.in.

H. Dehghani is with the School of Computer Science, University of Birmingham, Birmingham, UK. e-mail: H.Dehghani@cs.bham.ac.uk.

B. W. Pogue is with the Thayer School of Engineering, Dartmouth College, Hanover, NH 03755, USA. e-mail: Brian.W.Pogue@dartmouth.edu.

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Newton image reconstruction method. These edge-preserving regularization methods deploy a first-order difference matrix [19], [20], which tend to be computationally expensive step for large problems. The proposed method, in this work, does not have this requirement. The most computationally expensive step in the proposed method involves singular value decomposition, which is commonly used in performing diffuse optical tomographic reconstruction [1], [21]. Also the proposed method is aimed at removing the blur introduced by usage of regularization, making this universally appealing irrespective of the regularization scheme deployed.

In the recent past, there is considerable interest in the image deconvolution using basis pursuit deconvolution, resulting in set of compressive sensing techniques based on ℓ_1 -norm minimization forming the state of the art image restoration methods [22], [23], [24], [25], [26]. Basis pursuit deconvolution/denoising (BPDN)-type methods have been used previously in diffuse optical tomographic image reconstruction as an alternative to traditional Gauss-Newton image reconstruction, having advantage of providing better contrast recovery and improving the spatial resolution of the reconstructed images [27], [28], [29], [30], [31], [32]. This work aims at deploying the BPDN method coupled with model-resolution matrix [33] to deconvolve the reconstructed optical images using Gauss-Newton minimization scheme. The model-resolution matrix is built using the Jacobian and regularization (representing the model) making it the required blur matrix (convolution matrix) [33]. In the previous work [34], the model-resolution characteristics were incorporated into the reconstruction procedure via regularization matrix. In this work, the model-resolution matrix is built and used as the blurring model in performing the deconvolution of images obtained using a standard reconstruction method.

The basis pursuit deconvolution is achieved through usage of *Spilt augmented Lagrangian shrinkage algorithm* (SALSA), which is a well established method [35], [36]. In this work, a singular value decomposition (SVD) was adapted both for image reconstruction and deconvolution as it provides highly computational efficient framework. It is proven using both numerical and experimental gelatin phantom results that the basis pursuit deconvolution improves both quantitation and quality of the reconstructed optical images. Moreover, the shape recovery of target is much improved using the proposed method. As the emphasis is on presenting a novel approach in improving diffuse optical tomographic image reconstruction using basis pursuit deconvolution, the discussion is limited to continuous wave (CW) two-dimensional case, where only optical absorption coefficient becomes the unknown.

II. CONTINUOUS WAVE (CW) DIFFUSE OPTICAL TOMOGRAPHY

A. Forward Model

Continuous wave NIR light propagation in diffuse optical tomography is modeled as a steady-state diffuse equation (DE)

[37], [38], [39] which is given as

$$-\nabla \cdot [\kappa(r) \nabla \Phi(r)] + \mu_a(r) \Phi(r) = q_o(r) \quad (1)$$

where $\Phi(r)$ and $q_o(r)$ represents the photon density (real values) and the isotropic CW light source at position r respectively. The optical diffusion coefficient is represented by $\kappa(r)$, by definition

$$\kappa(r) = \frac{1}{3[\mu_a(r) + \mu'_s(r)]}, \quad (2)$$

with $\mu_a(r)$ and $\mu'_s(r)$ representing the optical absorption and optical scattering coefficients. For the CW-case, it is assumed that $\mu'_s(r)$ is known and uniform through out the imaging domain. The partial differential equation in Eq. 1 is solved for calculating Φ using a well established finite element method [37], [38], [39]. Modeled data ($G(\mu_a)$) is found by sampling the photon density ($\Phi(r)$) at measurements position, i.e. $G(\mu_a) = S\{\Phi\}$, where S is representing the sampling matrix (containing source/detector positions). Using the Rytov approximation experimental data y becomes the natural logarithm of intensity ($\ln(A)$) for a given distribution of μ_a [40], where A represents the amplitude. Robin (Type-III) boundary condition is used to account for refractive index mismatch at the boundaries [40].

B. Standard Method (Inverse Model)

The inverse problem is performed by matching the modeled data (obtained from the forward model) with the experimental data in a least-square sense [1], [5], [6], [7], [38]. A regularization term is added for stabilizing the inverse problem leading to a objective function

$$\Omega = \|y - G(\mu_a)\|^2 + \lambda \|\mu_a - \mu_{a0}\|^2 \quad (3)$$

where λ is the regularization parameter which is used to constrain the solution space and obtain a stable solution [7]. It is kept constant through out the image reconstruction procedure. The initial guess is represented as μ_{a0} , which is obtained by calibration procedures as explained in Ref. [41]. Using the first order condition of Eq. 3 and linearizing the Taylor series expansion of the non-linear inverse problem results in an update equation of the form [7]

$$\Delta\mu_a = [J^T J + \lambda I_n]^{-1} J^T \delta \quad (4)$$

where $J = \frac{\partial G(\mu_a)}{\partial \mu_a}$ represents the sensitivity matrix (Jacobian) of dimension $\text{NM} \times \text{NN}$ with NM representing the number of measurements and NN being the number of nodes. The I_n represents the identity matrix of dimension $\text{NN} \times \text{NN}$. The update is represented by $\Delta\mu_a$ and data-model misfit is represented by $\delta = y - G(\mu_a)$. Alternate update equation which is found to be computationally efficient in under-determined cases [42] is given by

$$\Delta\mu_a = J^T [J J^T + \lambda I_m]^{-1} \delta \quad (5)$$

with I_m representing the identity matrix of dimension $\text{NM} \times \text{NM}$. Note that both Eqs 4 and 5 form the standard Gauss-Newton minimization method, that gives $\Delta\mu_a$, which is added to current μ_a . The process of finding J , δ , and subsequently $\Delta\mu_a$ is repeated, until the ℓ_2 -norm between the δ in subsequent iterations is not improving by more than 2%.

C. Estimation of Optimal Regularization Parameter

A Generalized Cross-Validation (GCV) based method [43] was used to estimate the optimal regularization parameter in the Tikhonov minimization scheme (standard method). The GCV method is very popular for finding the regularization parameter in cases where the prior information about the noise levels in the experimental data and/or expected image is unavailable [43]. Obtaining an estimate of the regularization parameter λ_{opt} is done by minimizing a function $G(\lambda)$, which is evaluated using the singular value decomposition (SVD) of the Jacobian ($J = USV^T$). The function $G(\lambda)$ is given as [43]

$$G(\lambda) = \frac{\sum_{i=1}^{rank(J)} \left(\frac{u_i^T \delta}{\sigma_i^2 + \lambda^2} \right)^2}{\left(\sum_{i=1}^{rank(J)} \frac{1}{\sigma_i^2 + \lambda^2} \right)^2} \quad (6)$$

where the i^{th} column of the matrix U is represented as u_i and σ_i is the i^{th} singular value of the Jacobian matrix. The estimation of λ_{opt} was achieved through the open-source MATLAB-based regularization toolbox [44]. The estimation of the regularization parameter is performed in the first iteration, and the same value is used for entire image reconstruction procedure.

D. Model-Resolution based Convolution/Blur Matrix

The convolution matrix that causes the blur in the reconstructed μ_a is built using the concept of model-resolution. In an ideal noiseless scenario, if the model is perfect, the experimental data matches exactly with the modeled data, i.e. $y = G(\mu_a)$. Now, perform the Taylor expansion of $G(\mu_a)$ around μ_{a0}

$$G(\mu_a) = G(\mu_{a0}) + G'(\mu_a)\tilde{\Delta}\mu_a + (\tilde{\Delta}\mu_a)^T G''(\mu_a)\tilde{\Delta}\mu_a + \dots \quad (7)$$

with $G'(\mu_a)$ and $G''(\mu_a)$ representing the Jacobian (J) and the Hessian respectively and $\tilde{\Delta}\mu_a = \mu_a - \mu_{a0}$. Linearizing Eq. 7 by ignoring the higher order terms results in

$$y = G(\mu_{a0}) + J\tilde{\Delta}\mu_a \quad (8)$$

using $\delta = y - G(\mu_{a0})$ in the above equation results in

$$\delta = J\tilde{\Delta}\mu_a \quad (9)$$

with $\tilde{\Delta}\mu_a$ representing the true update of μ_a in an ideal scenario. Substituting Eq. 9 in Eq. 4 leads to [34], [45]

$$\Delta\mu_a = \left\{ [J^T J + \lambda I_n]^{-1} J^T J \right\} \tilde{\Delta}\mu_a = B\tilde{\Delta}\mu_a \quad (10)$$

where $\Delta\mu_a$ is the estimate of the true update ($\tilde{\Delta}\mu_a$) for the optical absorption coefficient μ_a . Ideally for the estimated update ($\Delta\mu_a$) to be equal to true update ($\tilde{\Delta}\mu_a$), the regularization parameter (λ) should be equal to 0. The ill-posed nature of diffuse optical tomography problem always requires $\lambda > 0$, indicating that $\Delta\mu_a \neq \tilde{\Delta}\mu_a$. The $B = [J^T J + \lambda I_n]^{-1} J^T J$ in Eq. 10 is known to be the model-resolution matrix or blurring matrix having a dimension of $NN \times NN$ [34], [45]. The blurring matrix (B) depends on the numerical characteristics of J and the regularization parameter λ , but does not depend on the data. In the ideal noiseless scenario, the B should be

an identity matrix (I) with $\Delta\mu_a = \tilde{\Delta}\mu_a$ by having $\lambda = 0$, indicating that there is no blur in the model. For all practical cases, $\lambda > 0$, denoting that at every iteration there is a blur introduced. The aim of this work is to deblur the solution and obtain a close approximation to $\tilde{\Delta}\mu_a$ at every iteration.

III. BASIS PURSUIT DECONVOLUTION IN DIFFUSE OPTICAL TOMOGRAPHY

As the update obtained using Eq. 4 is blurred by B (Eq. 10), the aim will be here to obtain the true (or close approximate) update ($\tilde{\Delta}\mu_a$) by deconvolution.

A. Basis Pursuit Deconvolution

The deconvolution problem is one of the classical inverse problems, where the aim will be to obtain a deconvolved (unblurred) image from a blurred version of the image. There are several approaches proposed for achieving this [43], with Basis pursuit deconvolution being the state of the art [22], [23]. In this approach the penalty function is based on ℓ_1 -norm, which promotes sparseness and sharp features, compared to traditional ℓ_2 -norm based penalty [22], [23]. The objective function in this case becomes

$$\tilde{\Omega} = \|B\tilde{\Delta}\mu_a - \Delta\mu_a\|_2^2 + \lambda_{l1} \|\tilde{\Delta}\mu_a\|_1 \quad (11)$$

This objective function can be minimized using *Spilt augmented Lagrangian shrinkage algorithm* (SALSA) [35], [46], [47]. The SALSA algorithm is known to have high convergence speed among all existing ℓ_1 -norm based algorithms, enabled via variable splitting of minimization problem [32]. This conversion is achieved using an alternating direction method of multipliers (ADMM), which is based on augmented Lagrangian method (ALM) [35], [46]. The details of the algorithm is given in Refs. [35], [46], it is only briefly reviewed here.

Algorithm 1 Spilt augmented Lagrangian shrinkage algorithm (SALSA) [46]

AIM: Estimation of $\tilde{\Delta}\mu_a$ in Eq. 10

INPUT: B , $\Delta\mu_a$, λ_{l1} , α , N_{it} .

OUTPUT: $\tilde{\Delta}\mu_a$

Initialize: $d = 0$

1. $\tilde{\Delta}\mu_a = B^T \Delta\mu_a$ and $F = B^T B + \alpha I$
for $k = 1, 2, \dots, N_{it}$

2. $v = \text{soft}(\tilde{\Delta}\mu_a + d, (0.5\lambda_{l1})/\alpha)$ -d

3. $\tilde{\Delta}\mu_a = F^{-1}(B^T \Delta\mu_a + \alpha v)$

4. $d = \tilde{\Delta}\mu_a - v$

end

The basic steps needed for SALSA are given in Algorithm 1. The inputs B and $\Delta\mu_a$ are obtained using Eq. 10 and Eq. 5 respectively. The λ_{l1} is the regularization parameter for this deconvolution problem and typically chosen heuristically. The other reconstruction parameter that is used in this scheme is α , which has similar functionality as λ_{l1} except, it weighs the ℓ_2 -norm of the unknown parameter. The other input to this estimation process is number of iterations, N_{it} , typically kept

at 100. The ADMM parameter (d), which has similar size of $\Delta\tilde{\mu}_a$, is initialized as zero vector. The initial estimate of $\Delta\tilde{\mu}_a$ is obtained using backprojection-type operation (step-1 of Algorithm-1). Even though the ℓ_1 -norm of the $\Delta\tilde{\mu}_a$ (Eq. 11) leads to convex function, the ℓ_1 -norm penalty could become null especially when $\Delta\tilde{\mu}_a$ values are close to zero. To overcome this, a soft threshold is used in step-2, which gives an approximation to the ℓ_1 -norm of $\Delta\tilde{\mu}_a$ and is always non-zero. The soft threshold here represents the maximum value (i.e., maximum absolute value among $\Delta\tilde{\mu}_a + d$ and $(0.5\lambda_{l1})/\alpha$). The step-3 in here is direct translation of *maximum a posteriori* (MAP) estimate, which utilizes the normal equations. The step-4 updates the ADMM parameter, ideally when converged to solution there is no update in the d [35], [46]. It is important to note that in all our experiments λ_{l1}/α was kept as 0.01, hence making the number of hyper-parameters to choose as only one. Since there is no universally acceptable algorithm for automated estimation of regularization parameter in ℓ_1 -norm based scheme, we have chosen this hyper-parameter (λ_{l1}) heuristically.

It can be clearly seen that SALSA algorithm requires $O(N_{it} \times NN^3)$ operations for obtaining the MAP estimate as size of the B is $NN \times NN$. This computational complexity can be reduced with utilization of singular value decomposition of Jacobian matrix (J) and rewriting this Algorithm-1.

Algorithm 2 Proposed Method based on SVD of Jacobian

INPUT: $y, \mu_{a0}, \lambda, \lambda_{l1}, \alpha, N_{it}$

OUTPUT: μ_a

Initialize: $m1 = m2 = 0; it = 1;$

1. Compute J and $G(\mu_a)$
 2. Estimate $\delta_{it} = y - G(\mu_a); m2 = \|\delta_{it}\|_2^2$
- if $(\frac{m2-m1}{m2}) * 100 > 2$
3. $[U \ S \ V] = \text{svd}(J);$ Make $\Sigma = \frac{S}{(S^2+\lambda)}$
 4. $\Delta\mu_a = V\Sigma U^T \delta_{it}.$
 5. Initialize: $d = 0;$ compute $\Delta\tilde{\mu}_a = V^T \Sigma S V \Delta\mu_a$

for $k = 1, 2, \dots, N_{it}$

6. $v = \text{soft}(\Delta\tilde{\mu}_a + d, (0.5\lambda_{l1})/\alpha) - d.$
7. $\Delta\tilde{\mu}_a = V \left(\frac{\Sigma S}{(\Sigma S)^2 + \alpha I_n} V^T \Delta\mu_a + \frac{\alpha I_n}{(\Sigma S)^2 + \alpha I_n} V^T v \right).$
8. $d = \Delta\tilde{\mu}_a - v.$

end

9. $\mu_a = \mu_a + \Delta\tilde{\mu}_a.$
10. $m1 = m2; it = it + 1; go to step-1.$

else

stop

end

B. SVD based Basis Pursuit Deconvolution

The singular value decomposition (SVD) of J can be written as

$$J = USV^T \quad (12)$$

where U and V are orthogonal matrices and S is a diagonal matrix containing the singular values of J (arranged in descending

order). Substituting Eq. 12 in Eq. 4 leads to (Appendix-A of Ref. [21])

$$\Delta\mu_a = V\Sigma U^T \delta \quad (13)$$

where Σ is the diagonal matrix with diagonal entries as $\frac{S}{(S^2+\lambda)}$.

Similarly using Eq. 12 in Eq. 10 (which defines the B) leads to (derived in Appendix-A)

$$B = V\Sigma S V^T \quad (14)$$

Now rewriting Algorithm-1 in terms of SVD of J results in an equivalent computationally efficient algorithm. The image reconstruction algorithm, including finding $\Delta\mu_a$ is summarized in Algorithm-2. Note that Appendix-B gives the derivation pertained to conversion of Step-3 of Algorithm-1 into Step-7 of Algorithm-2.

C. Quantitative Metrics

The proposed algorithm was evaluated quantitatively using a metric namely contrast to noise ratio (CNR) defined as [48]

$$CNR = \frac{\mu_{roi} - \mu_{back}}{(\sigma_{roi}^2 a_{roi} + \sigma_{back}^2 a_{back})^{\frac{1}{2}}} \quad (15)$$

where μ_{roi} and μ_{back} represents the mean value of optical absorption coefficient of the region of interest (ROI) and the background respectively. The variance of optical absorption coefficient of the ROI and the background is represented as σ_{roi}^2 and σ_{back}^2 . The ratio of the areas in ROI and background is given as $a_{roi} = \frac{A_{roi}}{A_{tot}}$ and $a_{back} = \frac{A_{back}}{A_{tot}}$. The higher the CNR better is the image reconstruction and differentiability of tumor and the background [48]. An human eye can perceive the difference between the tumor and background if the CNR value is 4 and above.

The efficacy of the proposed scheme was also evaluated using another metric namely Pearson Correlation (PC). The PC is defined as [49]

$$PC(\mu_a^{target}, \mu_a^{recon}) = \frac{COV(\mu_a^{target}, \mu_a^{recon})}{\sigma(\mu_a^{target})\sigma(\mu_a^{recon})} \quad (16)$$

where μ_a^{target} is the expected absorption coefficient distribution and μ_a^{recon} represents the reconstructed absorption coefficient distribution. The COV is the covariance and σ indicates the standard deviation. This measure describes how well the reconstruction distribution is correlated with the original target distribution.

IV. NUMERICAL AND GELATIN PHANTOM EXPERIMENTS

A. Numerical Experiments

To assess the effectiveness of the proposed method in terms of the resolution characteristics of the reconstructed image using multiple targets, a circular mesh with background optical properties as $\mu_a = 0.01 \text{ mm}^{-1}$, $\mu'_s = 1 \text{ mm}^{-1}$ and uniform refractive index of 1.33 is considered. The diameter of the circular mesh is 86 mm, it has two circular targets (mimicking tumor region) of 2.5 mm radius separated by

a distance of 11 mm centered at (20,8) and (20,-8) having optical properties as $\mu_a = 0.02 \text{ mm}^{-1}$, $\mu'_s = 1 \text{ mm}^{-1}$. The target μ_a distribution is given in the Fig. 1 (top-left corner). Sixteen equidistant fibers were placed on the boundary of the circular domain for data-collection, when one fiber acts as a source rest act as detectors, resulting in collection of $16 \times 15 = 240$ number of measurements (NM). Numerical experimental data was generated on a fine mesh having 10249 nodes (corresponding to 20160 linear triangular elements) and the data was added with 1% normally distributed Gaussian noise. The reconstructions were performed on a coarser mesh consisting of 1785 finite element nodes (corresponding to 3418 linear triangular elements) after calibration of the data [50]. Similar to experimental case, the source was modeled as Gaussian source having full width at half maximum of 3 mm [51] and is placed at one mean transport length inside the boundary.

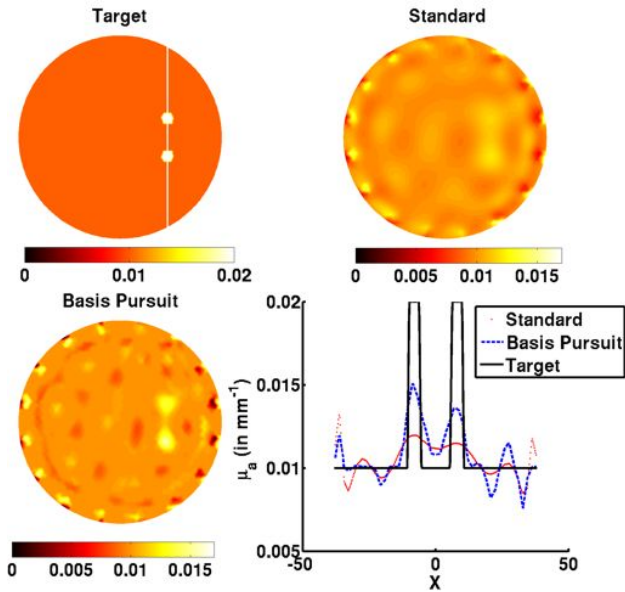


Fig. 1. Comparison of the proposed method with standard Gauss-Newton reconstruction with data containing 1% noise. The top-left image shows the target and the bottom right show the line profile across the line shown in the target image. The reconstruction of the proposed scheme (basis pursuit) and standard method is also shown.

The next numerical experiment that was performed was to test the proposed method robustness to noise in the data. The target here was rectangle in shape (having a length of 25 mm and breadth of 7 mm centered at (0,15)), mimicking a step function type change in the optical properties. The target distribution is shown in top-left corner of Fig. 2. The data-collection set-up was similar to earlier described case. Here two noise levels were considered, 1% noisy case (mimicking typical experiment) and 5% case (extreme). In diffuse optical tomographic systems upto 4% noise was reported [52], making 5% noise case as the worst case. The data was generated on a fine mesh consisting of 10249 nodes (corresponding to 20160 linear triangular elements) The reconstruction was performed on a coarse mesh having 1785 finite element nodes (corresponding to 3418 linear triangular elements).

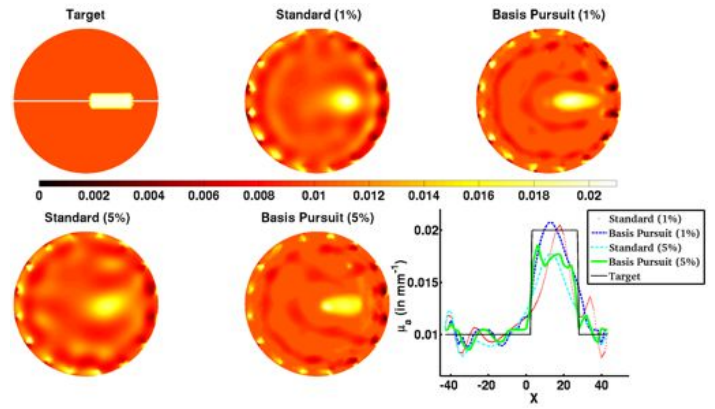


Fig. 2. Similar effort as the previous case with 1% and 5% noisy data, but here the target is rectangular in shape as shown in the top-left corner. The noise level is indicated in the parenthesis.

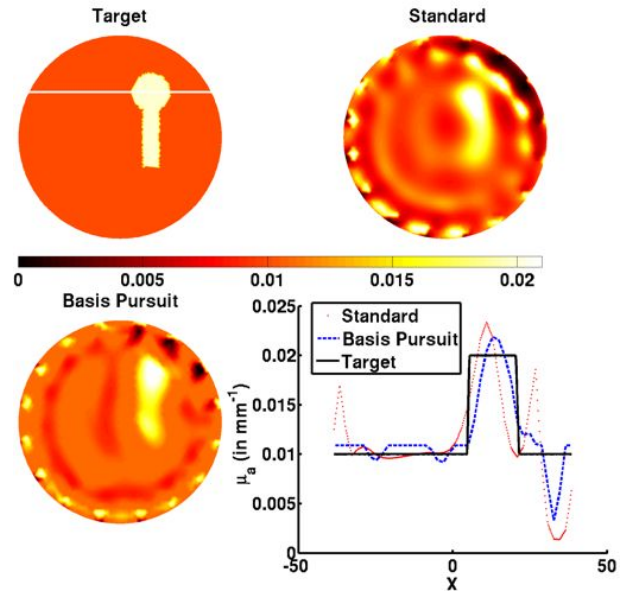


Fig. 3. Similar effort as Fig. 1, with target being irregular in shape.

The targets considered till now were regular in shape, next, a numerical experiment where the target is irregular in shape was considered. The target was in match-stick shape combining rectangle and circle, the rectangle had a length of 25 mm and breadth of 7 mm centered around (13,0) and circular shape of radius 8 mm was centered at (13,19), resulting target distribution as shown in the top-left corner of Fig. 3. The data collection geometry and the finite element meshes were same as in the previous case. In here, only the case of 1% noise was considered.

All the above considered cases did not have sharp transitions (edges), hence a numerical experiment was performed by considering a L-shape object, as shown in top-left corner of Fig. 4. The L-shape was generated by combining two rectangles, the horizontal rectangle had a length of 25 mm and breadth of 7 mm centered around (0,-14) and the vertical rectangle had a length of 32 mm and breadth of 7 mm

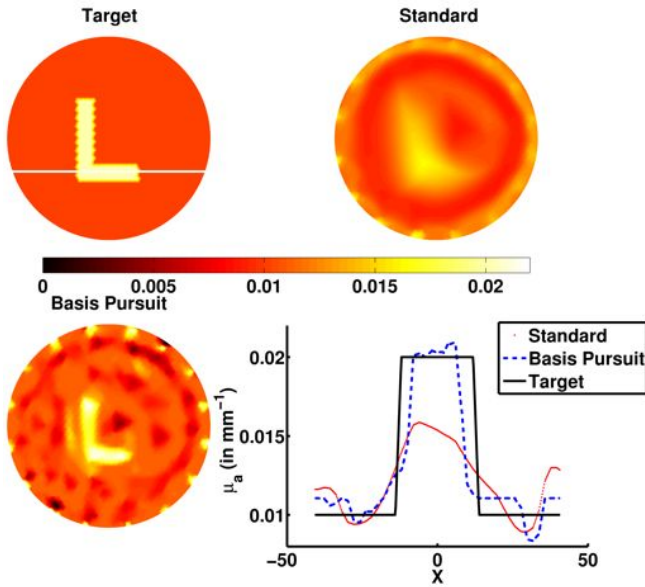


Fig. 4. Similar effort as Fig. 1, with target being in L shape.

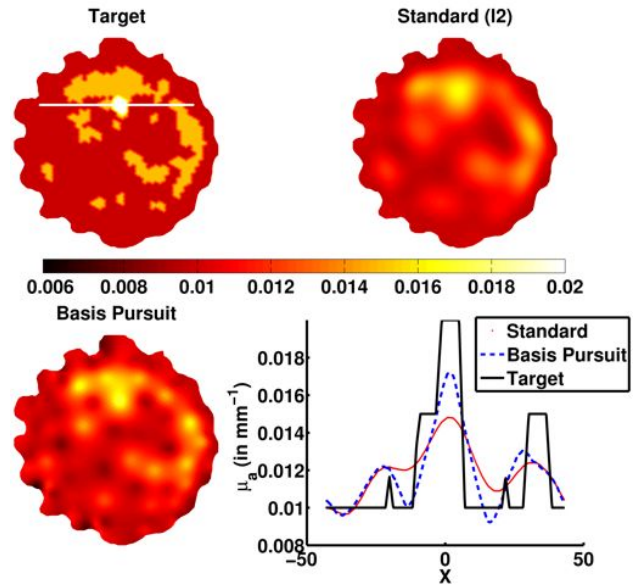


Fig. 6. Comparison of the performance of the standard and the proposed methods in case of a realistic patient (irregular) imaging geometry.

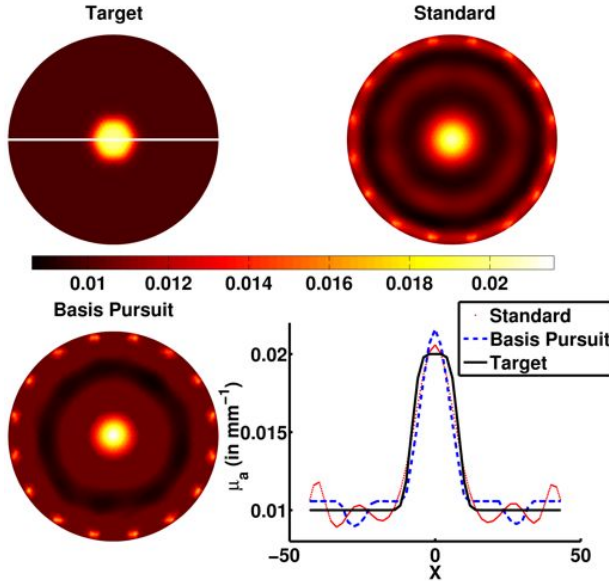


Fig. 5. Similar effort as Fig. 1, with target being circular in shape having a smooth Gaussian variation.

centered around $(-10,0)$. The data was collected in similar fashion to the previously considered cases, and 1% noise was added to the obtained data.

Another numerical experiment was performed to check if the proposed method is able to distinguish between the blur introduced by the regularization parameter and the inherent blur in the experiment. Hence, to simulate this, we considered a circular target with 8 mm radius centered around the origin, further a mean filter was applied on this mesh which resulted in target distribution as shown in Fig. 5. The same finite element meshes, as in previous case, were used to perform the data collection and reconstruction, and 1% noise was added to the collected data which was used to perform the image

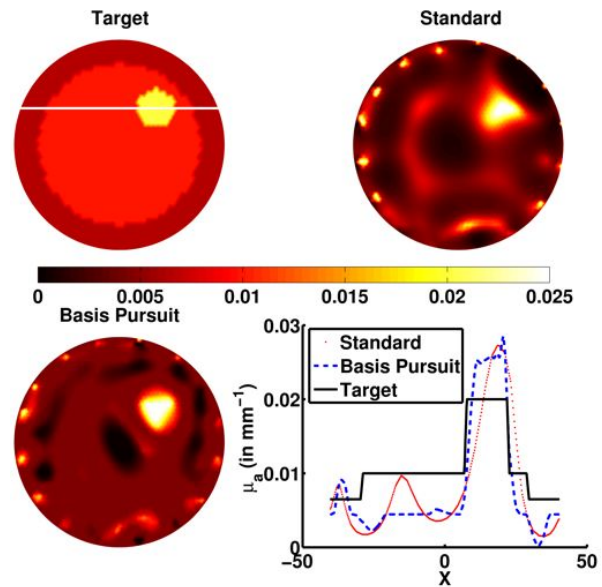


Fig. 7. Evaluation of the performance of the proposed (basis-pursuit deconvolution) method with the standard reconstruction method in case of experimental gelatin phantom. The expected target distribution is given in the top-left corner. The one-dimensional cross-sectional profile along the dotted line of the target is given in the bottom-right corner.

reconstruction using the standard and proposed methods.

B. Patient mimicking Numerical Experiment (irregular geometry)

To test the efficacy of the proposed method, a patient mimicking irregular geometry is considered having three regions, which are typically observed in the human breast. The irregular geometry was acquired in Dartmouth NIR-MRI set-up [51], here the tissue morphology is obtained using MRI, where both the fatty and fibro-glandular tissue are marked. The optical property of the fibro-glandular region (which is also irregular

in nature) was set to $\mu_a = 0.015 \text{ mm}^{-1}$ and $\mu'_s = 1 \text{ mm}^{-1}$. The other two regions, namely the tumor and fatty-tissue have the same optical properties as the previous cases. The target μ_a -distribution is shown in top-left corner of Fig. 6. The experimental data was generated using a fine patient mesh having 5199 nodes (corresponding to 10208 linear triangular elements). This data was added with 1% normally distributed Gaussian noise. The reconstruction was performed on a coarser mesh consisting of 2002 nodes (corresponding to 3814 linear triangular elements). The data-collection strategy was similar to previous cases.

C. Gelatin Phantom Experiment

The proposed basis-pursuit deconvolution method performance was also evaluated using a experimental gelatin phantom data [7], that mimics the typical layers observed in Breast imaging. The Gelatin phantom having height 25 mm, radius 43 mm, was made using a mixture of Titanium dioxide (TiO_2) for producing scattering effect and India ink for absorption. Different layers that were observed in a typical breast case were fabricated using hardening heated gelatin solution (having a concentration of 80% of deionized water and 20% of gelatin (G2625, Sigma Inc)) successively. The optical properties of the outer layer, mimicking the adipose/fat region, was kept at $\mu_a = 0.0065 \text{ mm}^{-1}$ and $\mu'_s = 0.65 \text{ mm}^{-1}$, having a thickness of 10 mm. The fibro-glandular layer (middle layer) has 76 mm diameter, having the optical properties at $\mu_a = 0.01 \text{ mm}^{-1}$ and $\mu'_s = 1.0 \text{ mm}^{-1}$. The tumor region is mimicked using a cylindrical hole extending in Z direction filled with intra-lipid mixed with India ink. The optical properties of this tumor region were $\mu_a = 0.02 \text{ mm}^{-1}$ and $\mu'_s = 1.2 \text{ mm}^{-1}$, having a radius of 8 mm and height of 24 mm. A two-dimensional cross-section of the Gelatin Phantom was shown in the top-left corner of Fig. 7. The data was collected at 785 nm wavelength. The data collection is done using a single layer of fibers (kept at $z = 0 \text{ mm}$) leading to 240 data points. The collected data was calibrated using the coarser mesh with 1785 finite element mesh nodes (corresponding to 3418 linear triangular elements) and the reconstruction was performed using this mesh.

All computations were carried out on a Linux workstation with dual six-core Intel Xeon processor of 2.66 GHz speed having 64 GB RAM, with single thread execution. The modeling of light propagation was achieved through MATLAB-based open-source NIRFAST [38], the original SALS algorithm was based on an open-source package available at Ref. [36], and the proposed modification for the SALS algorithm based on SVD of Jacobian is made available as open-source for enthusiastic users [53].

In all experiments, the reconstruction time for both Algorithm-1 and Algorithm-2 was recorded along with the standard method timings. Moreover, for all reconstruction results the CNR and PC (Sec. III.C) was computed for quantitative assessment of the reconstructed results.

TABLE I
RECONSTRUCTION PARAMETERS ESTIMATED/USED FOR THE RESULTS PRESENTED IN FIGS. 1-7 USING STANDARD RECONSTRUCTION AND THE PROPOSED (SVD BASED BPD) METHOD. NOTE THE λ_{opt} IS SAME FOR BOTH STANDARD AND SVD-BASED BPD METHODS.

Results	Standard (Sec. II.C)	SVD based BPD (Sec. III. B)
Fig. 1	$\lambda_{opt} = 0.0046$	$\lambda_{l1} = 0.0001, \alpha = 0.01$
Fig. 2 (1% noise)	$\lambda_{opt} = 0.0024$	$\lambda_{l1} = 0.015, \alpha = 1.5$
Fig. 2 (5% noise)	$\lambda_{opt} = 0.0061$	$\lambda_{l1} = 0.015, \alpha = 1.5$
Fig. 3	$\lambda_{opt} = 0.0026$	$\lambda_{l1} = 0.1, \alpha = 10$
Fig. 4	$\lambda_{opt} = 0.0059$	$\lambda_{l1} = 0.0001, \alpha = 0.01$
Fig. 5	$\lambda_{opt} = 0.0142$	$\lambda_{l1} = 0.015, \alpha = 1.5$
Fig. 6	$\lambda_{opt} = 0.0048$	$\lambda_{l1} = 0.0001, \alpha = 0.01$
Fig. 7	$\lambda_{opt} = 0.0034$	$\lambda_{l1} = 0.088, \alpha = 8.8$

V. RESULTS

The reconstruction results involving two targets using the standard method (without deconvolution) and proposed method (basis pursuit deconvolution) were shown in Fig. 1. The regularization parameter (λ_{opt}) in the Tikhonov minimization (standard method) was chosen automatically using the GCV method as described in Sec. II.C. The regularization parameter (λ_{l1}) was chosen heuristically, and the corresponding value of α was computed to be $\lambda_{l1}/0.01$. The reconstruction parameters for various cases considered here are reported in Table-I. The same reconstruction parameters were used in both SVD based BPD and the BPD based image reconstruction. The reconstruction times taken for standard method, traditional Basis Pursuit Method, and SVD based proposed methods are reported in Table-II. The CNR and PC values for the reconstructed images are given in Table-III and Table-IV respectively. The reconstruction results indicate that the proposed method is able to recover anomalies (tumors) of size 5 mm (typical resolution limit of diffuse optical tomography) more effectively.

The reconstruction results for a horizontal rectangular target absorber for varying noise level are shown in Fig. 2. Table-II also lists the total reconstruction time taken for the 1% and 5% noisy data case. The CNR and PC values are reported in the Table-III and Table-IV respectively. It is evident from the reconstruction results, the standard method fails to recover the shape, whereas the proposed method was able to give close resemblance to the original target shape, even in the high noisy data case (the same could be observed in the PC values, which indicates that the proposed method has close resemblance to the target than the result obtained using standard reconstruction procedure).

The reconstruction results for an irregular absorber (match-stick in shape) using the proposed and standard scheme are shown in Fig. 3. Similar to earlier case, the total computational time, CNR and the PC values are given in Table-II, Table-III and Table-IV respectively. These results indicate that the performance of the proposed method is superior to standard method especially in terms of shape recovery, as seen from the PC values (Table-IV).

TABLE II

TOTAL COMPUTATIONAL TIME (IN SECONDS) TAKEN FOR OBTAINING THE RESULTS PRESENTED IN FIGS. 1-7 USING STANDARD, BASIS PURSUIT DECONVOLUTION (BPD), AND SVD BASED BPD. THE NUMBER OF ITERATIONS TAKEN FOR CONVERGENCE IS GIVEN IN THE PARENTHESIS.

Result	Standard (Sec. II. B)	BPD (Sec. III. A)	SVD based BPD (Sec. III. B)
Fig. 1	15.58 (25)	36.49 (4)	11.36 (4)
Fig. 2 (1% noise)	17.65 (29)	61.58 (5)	16.12 (5)
Fig. 2 (5% noise)	9.84 (14)	88.94 (7)	21.80 (7)
Fig. 3	16.56 (27)	25.98 (3)	8.57 (3)
Fig. 4	12.62 (18)	35.32 (3)	9.32 (3)
Fig. 5	14.71 (24)	34.71 (4)	10.40 (4)
Fig. 6	14.47 (24)	74.72 (5)	25.01 (5)
Fig. 7	7.94 (11)	110.85 (4)	16.67 (4)

The reconstruction results corresponding to the L-shaped target is shown in Fig. 4. The reconstruction parameters that were used are reported in Table-I. The computational time, CNR and the PC values are shown in Table-II, Table-III and Table-IV respectively. It can be seen from Fig. 4, that the proposed method can efficiently reconstruct sharp changes in the absorption coefficient distribution, hence result in better recovery of shape compared to the standard reconstruction.

Another experiment was performed to check if the proposed method will be able to distinguish between the blur introduced by regularization and the inherent blur in the absorption coefficient distribution. The reconstruction results pertaining to this experiment is shown in Fig. 5. It can be seen that the proposed method is able to retain the inherent blur in the absorption coefficient, and perform the deblurring to remove the blur introduced by the usage of regularization parameter. The same is reflected in the CNR and the PC values reported in Table-III and Table-IV respectively. The reconstruction parameters and the computational time corresponding to this case are compiled in Table-I and Table-II respectively.

The reconstruction results corresponding to the patient mimicking case are shown in Fig. 6. It can be seen from the line profile that the proposed method is able to detect the target better than the standard method. The reconstruction parameters, computational time, CNR and the PC values corresponding to this experiment is shown in Tables-I-IV respectively. The CNR value indicates that the proposed method is able to detect the tumor region better than the standard method. Note that for these type of multi-region cases, the CNR is evaluated by considering the fibro-glandular region as the background.

The reconstruction results pertaining to gelatin phantom data were presented in Fig. 7. The total computational time, CNR and the PC values of the reconstruction results are compiled in Table-II, Table-III, and Table-IV respectively. The results reveal that the shape recovery of the target, especially in these multi-layered target cases, is superior with the proposed method (line-profile plot of Fig. 7).

TABLE III

CONTRAST TO NOISE RATIO (CNR) OF THE RECONSTRUCTION RESULTS PRESENTED IN FIGS. 1-7 USING STANDARD RECONSTRUCTION AND THE PROPOSED (SVD BASED BPD) METHOD.

Results	Standard (Sec. II. B)	SVD based BPD (Sec. III. B)
Fig. 1	0.744	2.047
Fig. 2 (1% noise)	0.561	0.858
Fig. 2 (5% noise)	0.571	0.732
Fig. 3	0.297	0.828
Fig. 4	2.05	2.42
Fig. 5	4.806	5.147
Fig. 6	1.161	2.105
Fig. 7	5.026	6.473

VI. DISCUSSION

The aim of this work is to introduce a basis pursuit deconvolution approach for improving the diffuse optical tomographic images, where the blur matrix used in deconvolution is built using Jacobian and regularization. The proposed approach requires an additional step of deconvolution compared to the standard approach, making it marginally computationally complex (refer to Table-II) compared to the standard approach. The improvement in the reconstruction absorption distribution (refer to Table-III and Table-IV) well justifies the additional computational cost. The computational burden was further reduced with the usage of SVD of Jacobian, which made the total reconstruction procedure to be in the order $O(NN^3)$, which is taken for SVD of J . The traditional basis pursuit deconvolution will have a complexity of $O(N_{it} * NN^3)$, making it less desirable in real-time. This image reconstruction can be further accelerated using modern day graphics processing units [54]. It can be noted that computation of the singular value decomposition of the Jacobian is the most expensive step in terms of computation, hence this step can be significantly parallelized using Graphics Processing Units (GPUs) [55], which provide massive parallelization at the desktop level [54].

The two step reconstruction approach is commonly used in diffuse optical imaging [56], [57], primarily to constrain the image space or number of reconstruction parameters. In here, no such constrain is applied, making it first of its kind approach in improving diffuse optical image reconstruction scheme. There were earlier attempts of deconvolving the diffuse optical images [12], [13], [14], which showed some promise, assumed that the blur kernel was not known. This work introduced a framework to build the blur matrix based on model-resolution and applied state of the art basis pursuit deconvolution to improve the resolution characteristics of reconstructed images (Fig. 1).

It is important to note that the convergence of the proposed scheme is faster when compared to the traditional image reconstruction. This is because a step of image deconvolution is performed at every iteration, producing more accurate solution when compared to traditional scheme (Table-II). The shape recovery using the proposed method in all cases presented here was far superior to the reconstructions obtained

TABLE IV
PEARSON CORRELATION OF THE RECONSTRUCTION RESULTS PRESENTED
IN FIGS. 1-7 USING STANDARD RECONSTRUCTION AND THE PROPOSED
(SVD BASED BPD) METHOD.

Results	Standard (Sec. II. B)	SVD based BPD (Sec. III. B)
Fig. 1	0.06	0.144
Fig. 2 (1% noise)	0.102	0.156
Fig. 2 (5% noise)	0.104	0.128
Fig. 3	0.071	0.194
Fig. 4	0.143	0.445
Fig. 5	0.715	0.753
Fig. 6	0.734	0.762
Fig. 7	0.130	0.329

using traditional method (Figs. 1-7). The cases that were shown here were limited in nature to show the effectiveness of the proposed approach, but the trends observed will be true in general for any case. The proposed method requires heuristic selection of only one hyper-parameter (λ_{l1}) making it more attractive for usage.

There are edge-preserving regularization schemes presented in the literature for diffuse optical tomographic imaging [17], [18], [19], [20] that can improve the shape recovery of the targets, with a caveat that they all require new parameterization for the parameters. In here, using the standard reconstruction scheme with the aid of resolution characteristics, the proposed scheme was able to recover the shape of the target far superior to standard method.

The ℓ_1 -norm based schemes were previously used for performing image reconstruction in diffuse optical tomography [49], and it was shown to be ineffective when the expected optical distribution was not sparse (refer to Fig. 4 in [49]). Even in these cases, usage of ℓ_1 -norm in the proposed deblurring framework will be able to reconstruct the absorption coefficient well, as we expect the deblurred solution to be an edge enhanced version of the blurred solution, making the usage of ℓ_1 -norm minimization, which promotes sparseness and sharp edges, in this framework most optimal. The same was shown in the patient mesh case (Fig. 6).

Even though the concept of model-resolution was introduced well in the earlier works [34], the utilization was limited to building a regularization matrix using this. This work is first of its kind that used the model-resolution matrix as a blur matrix and deconvolved the blur introduced by standard reconstruction algorithms that use ℓ_2 -norm in the diffuse optical tomographic image reconstruction process. Moreover, this is also the first attempt to deploy singular value decomposition (SVD) of the Jacobian matrix to reduce the computational complexity in both building the model resolution matrix and performing the deconvolution procedure in diffuse optical tomographic image reconstruction. The computational cost of performing the SVD of the Jacobian matrix is higher especially for three-dimensional imaging, hence the formulation of model resolution matrix and computation of deconvolved absorption coefficient could

be made computationally efficient via deployment of dimensionality reduction techniques, such as least squares QR (LSQR) [48], [58] and Tikhonov-Arnoldi method [59].

The standard method proposed here used only the simplest form of regularization. The advanced regularization schemes have the capability to include more prior information to improve the image characteristics [7], [34], [9], [10], [11]. In these cases, the model-resolution matrix will also include this information, essentially making the proposed method valid in these cases as well. The work pertaining to this will be taken up in the future to quantify the improvement. The future work will also involve exploring different state of the art deconvolution algorithms.

Even though this work is mostly intended for diffuse optical tomography, the developed methodology could be explored for other imaging techniques whose characteristics are similar to diffuse optical tomography, like electrical impedance tomography [60], bioluminescence tomography, fluorescence optical tomography [61], and electrical capacitance tomography [62].

VII. CONCLUSIONS

The diffuse optical tomographic imaging has been a main contender to become adjunct imaging modality for breast and brain imaging. The image reconstruction procedure is highly ill-posed, necessitating the usage of regularization, which makes the reconstructed images loose sharp features. In this work, a basis pursuit deconvolution approach that uses model-resolution matrix was introduced as an additional step in the image reconstruction procedure to improve the reconstructed image characteristics. Moreover, the algorithm was further made computationally efficient using a singular value decomposition of the sensitivity (Jacobian) matrix. It was shown that the reconstructed images using the proposed method also display better contrast to noise ratios compared to the results obtained using standard reconstruction method.

APPENDIX A

MODEL RESOLUTION MATRIX USING SVD OF JACOBIAN

Consider the singular value decomposition of the Jacobian (J) resulting in singular value matrix, left and right orthogonal matrices to be S , U and V respectively. The model resolution matrix from Eq. 10 is defined as

$$B = [J^T J + \lambda I_n]^{-1} (J^T J) \quad (17)$$

with I_n representing the identity matrix of dimension $NN \times NN$. Substituting Eq. 12 into Eq. 17 leads to

$$B = [VSU^T USV^T + \lambda I_n]^{-1} (VSU^T USV^T) \quad (18)$$

Since the matrix U is an orthogonal $UU^T = I_m$ giving us

$$B = [VS^2V^T + \lambda I_n]^{-1} (VS^2V^T) \quad (19)$$

Using $VV^T = I_n$, the above equation can be rewritten as

$$B = [VS^2V^T + \lambda VV^T]^{-1} (VS^2V^T) \quad (20)$$

This can be rewritten as

$$B = [V[S^2 + \lambda]V^T]^{-1} (VS^2V^T) \quad (21)$$

Expanding the inverse makes it

$$B = (V^T)^{-1}[S^2 + \lambda]^{-1}V^{-1}(VS^2V^T) \quad (22)$$

Simplifying using $V^T = V^{-1}$ and rearranging terms results in

$$B = V \frac{1}{S^2 + \lambda} V^T VS^2V^T \quad (23)$$

Using $V^T V = I_n$, in Eq. 23 results in

$$B = V \frac{S^2}{S^2 + \lambda} V^T \quad (24)$$

The singular value matrix of B can be written as $\frac{S^2}{S^2 + \lambda} = \Sigma S$ (where $\Sigma = \frac{S}{S^2 + \lambda}$) making

$$B = V \Sigma S V^T \quad (25)$$

APPENDIX B

REWRITING STEP-3 OF ALGORITHM-1 USING SVD OF J

The deconvolved update $\tilde{\Delta\mu}_a$ is step-3 of Algorithm-1 is given by

$$\tilde{\Delta\mu}_a = [B^T B + \alpha I_n]^{-1} (B^T \Delta\mu_a + \alpha v) \quad (26)$$

Substituting the SVD of B given by Eq. 25 gives

$$\tilde{\Delta\mu}_a = [V \Sigma S V^T V \Sigma S V^T + \alpha I_n]^{-1} (V \Sigma S V^T \Delta\mu_a + \alpha v) \quad (27)$$

with $\Sigma = \frac{S}{S^2 + \lambda}$. Substituting $V^T V = I_n$ in Eq. 27 gives

$$\tilde{\Delta\mu}_a = [V(\Sigma S)^2 V^T + \alpha V V^T]^{-1} (V \Sigma S V^T \Delta\mu_a + \alpha v) \quad (28)$$

Taking the inverse in the above equation and rearranging the terms results in

$$\tilde{\Delta\mu}_a = (V \frac{1}{(\Sigma S)^2 + \alpha I_n} V^T) (V \Sigma S V^T \Delta\mu_a + \alpha v) \quad (29)$$

Multiplying the terms in the Eq. 29 leads to

$$\tilde{\Delta\mu}_a = V \frac{\Sigma S}{(\Sigma S)^2 + \alpha I_n} V^T \Delta\mu_a + V \frac{\alpha I_n}{(\Sigma S)^2 + \alpha I_n} V^T v \quad (30)$$

The above equation can be rewritten as

$$\tilde{\Delta\mu}_a = V \left(\frac{\Sigma S}{(\Sigma S)^2 + \alpha I_n} V^T \Delta\mu_a + \frac{\alpha I_n}{(\Sigma S)^2 + \alpha I_n} V^T v \right) \quad (31)$$

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