1	Modeling of African population history using <i>f</i> -statistics can be highly biased
2	and is not addressed by previously suggested SNP ascertainment schemes
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20	Abstract
21	f-statistics have emerged as a first line of analysis for making inferences about demographic
22	history from genome-wide data. These statistics can provide strong evidence for either
23	admixture or cladality, which can be robust to substantial rates of errors or missing data. <i>f</i> -

24 statistics are guaranteed to be unbiased under "SNP ascertainment" (analyzing non-

25 randomly chosen subsets of single nucleotide polymorphisms) only if it relies on a

26 population that is an outgroup for all groups analyzed. However, ascertainment on a true

27 outgroup that is not co-analyzed with other populations is often impractical and uncommon

in the literature. In this study focused on practical rather than theoretical aspects of SNP

ascertainment, we show that many non-outgroup ascertainment schemes lead to false

30 rejection of true demographic histories, as well as to failure to reject incorrect models. But

- 31 the bias introduced by common ascertainments such as the 1240K panel is mostly limited to
- 32 situations when more than one sub-Saharan African and/or archaic human groups

33 (Neanderthals and Denisovans) or non-human outgroups are co-modelled, for example, f<sub>4</sub>statistics involving one non-African group, two African groups, and one archaic group. 34 35 Analyzing panels of SNPs polymorphic in archaic humans, which has been suggested as a 36 solution for the ascertainment problem, cannot fix all these problems since for some classes 37 of *f*-statistics it is not a clean outgroup ascertainment, and in other cases it demonstrates relatively low power to reject incorrect demographic models since it provides a relatively 38 39 small number of variants common in anatomically modern humans. And due to the paucity 40 of high-coverage archaic genomes, archaic individuals used for ascertainment often act as sole representatives of the respective groups in an analysis, and we show that this approach 41 42 is highly problematic. By carrying out large numbers of simulations of diverse demographic 43 histories, we find that bias in inferences based on *f*-statistics introduced by non-outgroup 44 ascertainment can be minimized if the derived allele frequency spectrum in the population used for ascertainment approaches the spectrum that existed at the root of all groups being 45 46 co-analyzed. Ascertaining on sites with variants common in a diverse group of African 47 individuals provides a good approximation to such a set of SNPs, addressing the great 48 majority of biases and also retaining high statistical power for studying population history. 49 Such a "pan-African" ascertainment, although not completely problem-free, allows 50 unbiased exploration of demographic models for the widest set of archaic and modern 51 human populations, as compared to the other ascertainment schemes we explored.

52

### 53 Introduction

54 Archaeogenetics has achieved remarkable progress in the last decade (Skoglund and 55 Mathieson 2018, Stoneking et al. 2023), with genome-wide data for thousands of ancient 56 humans now being published each year. No region of the world is now inaccessible to 57 archaeogenetic research, although isolation of enough authentic DNA from skeletons 58 excavated in tropical and sub-tropical areas (Lipson et al. 2018) or from Pleistocene individuals (Hajdinjak et al. 2021) remains a challenge. For generating usable archaeogenetic 59 60 data from Africa, targeted enrichment of human DNA on dedicated single nucleotide polymorphism (SNP) capture panels is almost always necessary. A majority of ancient DNA 61 studies on African populations (Skoglund et al. 2017, van de Loosdrecht et al. 2018, 62 63 Prendergast et al. 2019, Lipson et al. 2020, Wang et al. 2020, Sirak et al. 2021, Lipson et al.

64 2022) relied on a SNP capture panel usually termed "1240K" (Fu et al. 2015, Mathieson et al. 2015), and some studies on Upper Paleolithic humans relied on a supplementary panel 65 66 ("1000K", comprising transversion polymorphisms found in two Yoruba individuals and 67 transversion polymorphisms in the Altai Neanderthal genome) or on its union with 1240K (Fu et al. 2015, Hajdinjak et al. 2021). The 1240K panel was constructed of the following 68 69 elements: all SNPs on the Human Origins array (itself composed of 13 sub-panels, each 70 ascertained as heterozygous in a single high-coverage human genome, Patterson et al. 71 2012), all SNPs on the Illumina 650Y array, all SNPs on the Affymetrix 50k XBA array, and smaller numbers of SNPs chosen for other purposes (Fu et al. 2015). The 1240K capture 72 73 panel is now used routinely for analyzing thousands of ancient humans across the world 74 (Skoglund and Mathieson 2018, Olalde and Posth 2020), and successor panels including the 75 full set of 1240K sites are now available (Rohland et al. 2022). 76 Bergström et al. (2020), relying on high-quality genomic data for present-day humans, 77 showed that *f*<sub>4</sub>-statistics including three sub-Saharan African groups and one non-African 78 group, or four sub-Saharan African (hereafter "African") groups can be biased when 79 computed on common SNP panels such as Illumina MEGA, the panel used by Li et al. (2008), 80 and the Affymetrix Human Origins array (Patterson et al. 2012). An influence of ascertainment on common population genetic analyses (ADMIXTURE, Fst) was also 81 82 demonstrated. However, the bias in  $f_4$ -statistics including archaic humans and apes was not 83 explored. 84 Bergström et al. (2020) found that selecting approximately 1.3M SNPs polymorphic in 85 the group composed of high-coverage archaic human genomes (the Altai and Vindija Neanderthals, the "Denisova 3" Denisovan) effectively eliminated the biases affecting  $f_{4-}$ 86 87 statistics calculated on anatomically modern humans (AMH) and including 3 or 4 sub-Saharan African groups. A similar approach (selecting ca. 814K transversion sites variable 88 89 between the Altai Neanderthal and Denisovan) was proposed by Skoglund et al. (2017). A 90 SNP capture reagent relying on this principle, the myBaits Expert Human Affinities Kit 91 "Ancestral 850K" module, became available in 2021 from Daicel Arbor Biosciences 92 (https://arborbiosci.com/genomics/targeted-sequencing/mybaits/mybaits-expert/mybaits-

93 expert-human-affinities/). This module targets approximately 850K biallelic transversion

- 94 SNPs (autosomal and X-chromosomal) ascertained as polymorphic in the group composed
- 95 of high-coverage archaic human genomes: the Altai (Prüfer et al. 2014), Vindija (Prüfer et al.

2017), and Chagyrskaya Neanderthals (Mafessoni et al. 2020), as well as the "Denisova 3"
Denisovan genome (Meyer et al. 2012). This set of variable sites was shown to yield nearly
unbiased *F<sub>sT</sub>* values for pairs composed of an African and a non-African group within the
Simons Genome Diversity Panel (SGDP) dataset (Mallick et al. 2016), in contrast to the
1240K panel (see a technical note on manufacturer's website: https://arborbiosci.com/wpcontent/uploads/2021/03/Skoglund\_Ancestral\_850K\_Panel\_Design.pdf).

102 These recommendations are motivated by a theoretical property of *f*-statistics: if a SNP 103 is the result of a single historical mutation and there has not been natural selection, the 104 statistics are expected to be unbiased if SNPs are either unascertained or ascertained as 105 polymorphic in a population that is an outgroup for all populations being analyzed 106 (Patterson et al. 2012, Wang and Nielsen 2012), and the results in Bergström et al. (2020) 107 and in the technical note published on the Daicel Arbor Biosciences product page are 108 consistent with this theoretical property of outgroup ascertainment. The problematic case is 109 non-outgroup ascertainment, that is ascertainment on a population that is co-analyzed with 110 others. A series of papers explored non-outgroup ascertainment affecting measures of population divergence on simulated data and real data for humans and domestic animals 111 112 (Nielsen and Signorovitch 2003, Nielsen 2004, Nielsen et al. 2004, Clark et al. 2005, Guillot 113 and Foll 2009, Albrechtsen et al. 2010, Wang and Nielsen 2012, Lachance and Tishkoff 2013, 114 McTavish and Hillis 2015, Malomane et al. 2018, Geibel et al. 2021). However, D- and fstatistics which have more robustness than other allele frequency-based statistics in many 115 116 cases (Patterson et al. 2012), were not considered in those studies. Limited exploration of 117 non-outgroup ascertainment schemes was performed on simulated data in publications introducing the *D*- and *f*-statistics, with the conclusion that biases are not noticeable in 118 119 practice (Durand et al. 2011, Patterson et al. 2012).

120 The existing recommendations for a bias-free SNP enrichment panel also rely on the assumption that archaic humans are nearly perfect outgroups with respect to all AMH, and 121 the low-level archaic admixture in non-Africans (Green et al. 2010, Reich et al. 2010) does 122 123 not contribute major bias. However, evidence is accumulating that supports archaic admixture in Africans (Chen et al. 2020, Hubisz et al. 2020), and, according to some models, 124 125 "super-archaic" ancestry (i.e., symmetrically related to Neanderthals, Denisovans, and AMH) 126 may reach 19% in the common ancestor of AMH (Durvasula and Sankararaman 2020). 127 Moreover, for outgroup ascertainment to be unbiased from the theoretical perspective, the

128 outgroup (or a closely related population) should not be then co-analyzed with other populations (Patterson et al. 2012, Wang and Nielsen 2012), and the individuals used for 129 130 ascertainment should not be used as sole representatives of the respective groups. 131 However, given the paucity of high-coverage archaic genomes (Meyer et al. 2012, Prüfer et al. 2014, 2017, Mafessoni et al. 2020) and the usefulness of archaic or African outgroups for 132 133 calculation of f<sub>4</sub>-and D-statistics and for testing of admixture models (Maier et al. 2022) 134 preprint), these recommendations are often ignored in published *f*-statistic, *qpAdm*, *qpGraph*, and *TreeMix* analyses (e.g., Skolgund et al. 2017, Lipson et al. 2020, 2022, 135 136 Hajdinjak et al. 2021, Kılınç et al. 2021, Yaka et al. 2021). For instance, archaic individuals are 137 co-analyzed with anatomically modern humans on archaic-ascertained SNPs (Skoglund et al. 138 2017, Hajdinjak et al. 2021) or a Yoruba group is co-analyzed with non-Africans on Yoruba-139 ascertained SNPs (Kılınç et al. 2021, Yaka et al. 2021). 140 Since outgroup ascertainment that is "clean" from the theoretical point of view is rarely 141 used in practice, and since the statistical power of outgroup ascertainment to reject 142 incorrect models of population history was not investigated, it is reasonable to examine the 143 performance of archaic ascertainment and common SNP panels such as 1240K in situations 144 that are often encountered in practice. A technical development important for the work 145 reported here is the ADMIXTOOLS 2 package (Maier et al. 2022 preprint), which extends the 146 functionality of the original ADMIXTOOLS package (Patterson et al. 2012), enabling bootstrap resampling for most tools and a rapid algorithm for finding optima in complex 147 148 admixture graph topology spaces. The ADMIXTOOLS 2 package also makes calculating millions of  $f_4$ -statistics and fitting tens of thousands of admixture graphs to data a routine 149 150 task. These developments, taken together, allow us to explore biased *f*-statistics more 151 systematically and provide more informed guidelines for future studies.

152

# 153 **Results**

# 154 <u>1. Empirical analyses: exploration of the effect of ascertainment bias on real data</u>

155 We assembled a set of diploid autosomal genotype calls for 352 individuals (Suppl. Table 1)

156 sequenced at high coverage (Mallick et al. 2016; Fan et al. 2019), including mostly present-

day individuals from the Simons Genome Diversity Project (SGDP), several high-coverage

ancient genomes with diploid genotype calls (Lazaridis et al. 2014, Fu et al. 2014), and three

archaic human genomes: the "Denisova 3" Denisovan (Meyer et al. 2012), Vindija (Prüfer et 159 al. 2017) and Altai Neanderthals (Prüfer et al. 2014). Relying on this "SGDP+archaic" dataset, 160 161 we explored a wide array of ascertainment schemes: 1) A/T and G/C SNPs (henceforth 162 "AT/GC") that are, unlike the other mutation classes, unaffected by biased gene conversion (Pouyet et al. 2018), and are also unaffected by deamination ancient DNA damage; 2) 163 random thinning of the unascertained or "AT/GC" sets down to the size approximately equal 164 165 to that of the 1240K SNP panel if missing data are not allowed on a given population set; 3) 166 the 1240K panel (Fu et al. 2015); 4) the 1000K panel composed of 997,780 SNPs comprising all transversion polymorphisms found in two African (Yoruba) individuals sequenced to high 167 168 coverage and transversion polymorphisms found in the Altai Neanderthal genome (Fu et al. 169 2015); 5) the union of the 1000K and 1240K panels termed 2200K (Hajdinjak et al. 2021); 6) 170 various components of the 1240K panel (the sites included in the Illumina 650Y and/or 171 Human Origins SNP arrays, sites included exclusively in one of them, and the remaining 172 sites); 7) the largest Human Origins sub-panels – panel 4 ascertained as sites heterozygous 173 in a single San individual, panel 5 ascertained as sites heterozygous in a single Yoruba 174 individual, their union (panels 4+5), and panel 13 including sites where a randomly chosen 175 San allele is derived relative to the Denisovan (Patterson et al. 2012); 8) all sites polymorphic in a group uniting three high-coverage archaic genomes: the "Denisova 3" 176 177 Denisovan, the Altai and Vindija Neanderthals (this ascertainment scheme is similar to those proposed by Bergström et al. 2020 and in the technical note published on the Daicel Arbor 178 179 Biosciences product page); 9) transversion sites variable in the group comprising these three 180 high-coverage archaic genomes; 10) restricting to SNPs that have high minor allele 181 frequency (MAF > 5%) in the whole "SGDP+archaic" dataset, i.e. high global MAF; 11) 182 restricting to SNPs having high global MAF combined with taking A/T and G/C SNPs only; 12) restricting to SNPs that have > 5% MAF in a selected African or non-African continental 183 184 meta-population, irrespective of their frequency in the other meta-populations (there are nine such meta-populations in our dataset, and thus nine different ascertainments, see 185 186 Suppl. Table 1); 13) restricting to SNPs that have > 5% MAF in a selected continental meta-187 population, A/T and G/C SNPs only. For a list of SGDP-derived SNP sets explored in this study 188 and their sizes in terms of groups, individuals, and SNPs see Suppl. Table 2. 189 To investigate the influence of ascertainment on the ranking of admixture graph models

according to their fits to data, we analyzed real data, considering sets of five populations

191 and generated all possible admixture graph topologies with two admixture events (32,745 distinct topologies with no fixed outgroup; we considered graphs of this complexity as it was 192 193 unfeasible to work with exhaustive collections of more complex graphs). First, we tested 194 three combinations of groups (Suppl. Fig. 1). Admixture graph residuals on all sites, on a 195 random subset of them approximately equal to the size of the 1240K set, and on AT/GC 196 sites, are tightly correlated (R for a linear model ~1). Residuals of graph models including 197 non-Africans only are also highly correlated on all sites and 1240K sites (R = 0.95-0.99, 198 Suppl. Fig. 1). In contrast, the worst  $f_4$ -statistic residuals (WR) for graphs including one 199 archaic human, three African groups, and one African group with ca. 60% of non-African 200 ancestry (Fan et al. 2019) are poorly correlated on all sites and 1240K sites (R = 0.31-0.35). 201 Thus, admixture graph fit rankings are severely affected by the 1240K ascertainment if 202 certain population combinations are involved. We considered the possibility that this case 203 of poor correlation was driven by admixture graph topologies that were obviously 204 inconsistent with the data – that is, topologies could be shown to be inconsistent with the 205 data based on gold standard SNP sets without ascertainment bias. However, the lack of 206 strong correlation for some combinations of populations is not just driven by graphs with 207 poor fits to the data. For example, WRs of admixture graphs that are well-fitting the data 208 (WR <2.5 SE) on a random subsample of 840,000 sites have worst residuals ranging from 209 nearly 0 SE to about 10 SE (Suppl. Fig. 1) on ca. 845,000 sites included in the 1240K panel. 210 Rejecting a model that fits the data on unascertained data runs the risk of rejecting the true 211 model, as we show on simulated data in the next section. The converse problem also 212 applies: some admixture graphs are well-fitting (WR <2.5 SE) on the 1240K sites but fit a 213 random sample of sites poorly (WR >5 SE, Suppl. Fig. 1).

214 Next, we explored the same exhaustive set of admixture graph topologies including five groups and two admixture events on the wider collection of ascertainments listed above 215 216 and on a wider collection of populations. Twelve combinations of five groups including up to 217 two archaic humans, up to five African groups, and up to five non-African groups were 218 tested. In Suppl. Fig. 2 we compare various ways of looking on the effects of ascertainment, 219 using a population quintuplet "Denisovan, Khomani San, Mbuti, Dinka, Mursi" as an 220 example. In Table 1 we focus on the fraction of topologies that are rejected under 221 ascertainment (WR > 3 SE) but accepted on all sites (WR < 3 SE) as a metric appropriate for 222 guantifying the most serious effects of ascertainment bias, namely the probability of

rejecting the true model. In the supplementary materials, we also show alternative
measures: a metric reflecting the statistical power of ascertainment, namely the fraction of
topologies that are accepted under a given ascertainment (WR < 3 SE) but rejected on all</li>
sites (WR > 3 SE) (Suppl. Table 3), and R<sup>2</sup> of a linear trend for admixture graph WR (Suppl.
Figs. 3 and 4, Suppl. Table 4) or log-likelihood (LL) scores (Suppl. Figs. 3 and 4, Suppl. Table
5).

229 Although we recognize that there can be no strict rule for classifying ascertainments into 230 biased and unbiased ones since they form a continuum, for high-throughput analysis a 231 classifier is useful. Moreover, fits of admixture graphs vary even in the absence of 232 ascertainment bias, due to random site sampling effects (Fig. 1, Suppl. Fig. 2), as was shown 233 in previous work (Maier et al. 2022 preprint). In this study, we considered a SNP set biased if 234 a metric (such as the fraction of topologies rejected under ascertainment but accepted on all sites) was above (or below, as appropriate) the 2.5<sup>th</sup> percentile of this metric's 235 236 distribution across 200 sets of randomly sampled SNPs equal to the size of the 1240K set for 237 a given population combination.

238 Inspecting the key metric of ascertainment performance (the fraction of topologies that 239 are rejected under ascertainment but accepted on all sites), we found only three site 240 sampling schemes that were classified as unbiased for all the population quintuplets tested: 241 the A/T and G/C mutation classes, Human Origins panel 4, and the union of Human Origins 242 panels 4 and 5 (Table 1). However, due to the low number of sites in the latter two panels, 243 the union of Human Origins panels 4 and 5, and especially panel 4, lack power to reject admixture graph models as compared to the 1240K panel and to the A/T and G/C mutation 244 245 classes, as we show in Suppl. Table 3. Thus, the only ascertainment scheme that is problem-246 free according to both metrics is a random one: taking the A/T and G/C mutation classes. Among the population quintuplets tested, "Altai Neanderthal, Jul'hoan North, Biaka, 247 248 Yoruba, Agaw" (Fig. 1, Suppl. Fig. 3a) and "Altai Neanderthal, Ju|'hoan North, Luhya, 249 Palestinian, Spanish" (Suppl. Fig. 3b) are most susceptible to ascertainment bias (Table 1). A 250 very similar quintuplet "Altai Neanderthal, ancient South African hunter-gatherers, Biaka, 251 Yoruba, Agaw" is encountered within more complex admixture graph models that occupy a 252 central place in Lipson et al. (2020, 2022) based on 1240K data (see an investigation of bias 253 affecting the admixture graphs from these studies in Suppl. Text 1 and Suppl. Figs. 5 and 6). 254 As explored below on real and simulated data, a class of  $f_4$ -statistics that are strongly

affected by non-random ascertainment underlies admixture graphs for both problematic
population quintuplets: *f*<sub>4</sub>(African X, archaic; African Y, non-African). On the other hand,
population sets including no archaic human were virtually unbiased (Table 1), but some
ascertainment schemes showed limited power to reject admixture graph models in these
cases (Suppl. Table 3).

260 Archaic ascertainment has been suggested in the literature (Skoglund et al. 2017, 261 Bergström et al. 2020) as a way to reduce ascertainment bias, however this approach is guaranteed to work only if the outgroup or a related group is not included itself in 262 263 admixture graphs or *f*-statistics, and if individuals used for ascertainment are not sole 264 representatives of the respective groups in an analysis. Indeed, our practical-oriented 265 analysis showed that archaic ascertainment is biased in the case of the most problematic 266 population quintuplet "Altai Neanderthal, Ju|'hoan North, Biaka, Yoruba, Agaw" (Table 1); 267 in fact, the archaic ascertainment approach is by far the most biased scheme for population 268 sets including both Neanderthal and Denisovan individuals (Table 1, see also results on 269 simulated data below), and in our analysis it also emerged as the scheme with the lowest 270 statistical power to reject admixture graph models (Suppl. Table 3).

271 If we combine both key bias metrics (the fraction of topologies rejected under 272 ascertainment but accepted on all sites, and the fraction of topologies accepted under 273 ascertainment but rejected on all sites), the 1240K and archaic ascertainments are out-274 performed by many ascertainment schemes, and most notably by the following: 1) the 275 union of Human Origins panels 4 and 5; 2) the 2200K panel, which combines various kinds of 276 ascertainment such as the 1240K panel, ascertainment on two Yoruba individuals, and on the Altai Neanderthal (Fu et al. 2015); and 3) restricting to variants that are common in the 277 278 African meta-population in SGDP (Suppl. Table 1), optionally followed by removal of all mutation classes except for A/T and G/C (Table 1).  $R^2$  of a linear trend for admixture graph 279 280 WR is a metric that in some cases is informative in a way that the fractions of rejected/accepted topologies are not. As illustrated in Fig. 1, R<sup>2</sup> may differ substantially 281 282 across ascertainment schemes while the fractions of topologies rejected under ascertainment but accepted on all sites or vice versa stay nearly constant across most 283 284 ascertainment schemes (Table 1, Suppl. Table 3). Considering  $R^2$  for admixture graph WR, 285 restricting to variants that are common in a diverse set of African groups (we term this 286 ascertainment scheme "pan-African" or "African MAF" for convenience) emerges as the

least biased form of ascertainment (Suppl. Table 4). We note that conclusions of this sort
are not quantitative since our collection of 12 population quintuplets, although diverse, is
just a small sample from the vast set of all possible population combinations. However,
exploring all possible combinations is infeasible, and we consider our approach to be useful
as a practical guide for assessing the performance of ascertainment schemes when
admixture graphs including archaic humans, Africans, and non-Africans are fitted to genetic
data.

294

295 <u>2. Simulation studies confirm the qualitative patterns from exploration of empirical data</u>

296 A major limitation of our empirical analyses of ascertainment bias is that fitting a model 297 with two admixture events is almost certainly inadequate for the histories relating various 298 sets of populations being analyzed. Thus, it is almost certain that all fitted models will be 299 wrong. When we fit wrong models, we have no guarantee that the (incorrect) admixture 300 graph fit to the data will give the same signal of deviation for different SNP ascertainments. 301 Different SNP ascertainments including random ascertainments will simply be sensitive to 302 different aspects of the deviations between the wrong model and the true history. Thus, 303 while the poor correlation between model fits on all sites and under different SNP 304 ascertainment schemes for combinations of archaic humans, sub-Saharan Africans, and 305 non-Africans is a potential signal of bias in analyses, it is valuable to analyze data where the 306 truth is known, as is the case for simulations, to provide clear evidence that typical 307 ascertainment schemes can cause false-positive inferences about history.

308 Using *msprime* v.1.1.1 (Baumdicker et al. 2022), we simulated genetic data (a diploid 309 genome composed of three 100 Mb chromosomes with recombination) that reproduce the 310  $F_{ST}$  values (Suppl. Fig. 7a) observed when comparing AMH groups, AMH and archaic 311 humans, and AMH and chimpanzee (Fischer et al. 2006). Ten independent simulations were 312 performed under the same parameters and under a topology (Fig. 2a) that in most 313 important aspects conforms to commonly discussed models of the relationships between 314 anatomically modern and archaic humans (Prüfer et al. 2014, Durvasula and Sankararaman 315 2020). We either simulated or omitted the Neanderthal gene flow to the ancestors of non-316 Africans (via an unsampled proxy group).

317 We tested several non-outgroup ascertainment schemes: 1) ascertainment on heterozygous sites in a randomly selected individual from the "African 2" group (Fig. 2a, this 318 319 ascertainment follows the scheme used for generating some of the 12 panels of sites 320 comprising the Human Origins SNP array (Patterson et al. 2012)); 2) ascertainment on heterozygous sites in four randomly selected individuals, one per each "AMH" group (we 321 322 consider the resulting SNP set to be qualitatively similar to the whole Human Origins SNP 323 set); 3) archaic ascertainment (sites polymorphic in a group composed of one "Denisovan" 324 individual and one individual per each "Neanderthal" group; the same individuals were subsequently used for calculating *f*-statistics); 4) "African MAF ascertainment", that is 325 326 restricting to sites with MAF > 5% in the union of two "African" groups; 5) similar MAF-327 based ascertainment on two "non-African" groups or 6) on all four "AMH" groups. 328 First, we fitted the correct admixture graph as often practiced in the literature (e.g., 329 Lipson et al. 2020, 2022): including the outgroup, one "archaic" individual, and all "AMH" 330 groups. Human Origins-like ascertainment (one panel) always leads to rejection of the 331 correct model, both in the absence and in the presence of the Neanderthal gene flow to 332 non-Africans, with WR ranging from 3.4 to 8.8 SE (Fig. 2b). Another form of Human Origins-333 like ascertainment (four panels) is less problematic but led to rejection of the correct model 334 (WR > 3 SE) in 9 of 30 cases (in the presence of the Neanderthal gene flow to non-Africans), 335 with WR up to 4.6 SE. Only the archaic and African MAF non-outgroup ascertainments (in the presence of the Neanderthal gene flow to non-Africans) did not lead to rejection of 336 337 these simplified graph topologies, known to be correct since we simulated them. However, 338 when the full simulated model (with the Neanderthal gene flow to non-Africans) including the outgroup and three "archaic" lineages is fitted to the data, all non-outgroup 339 340 ascertainment schemes become problematic, except for African MAF ascertainment (Fig. 341 2b).

We also investigated effects of ascertainment on model ranking using the same approach as that applied to real data. All possible graph topologies with two admixture events (32,745) were fitted to population quintuplets of the following composition: "d or n1 or n2", "a1", "a2", "na1", "na2". The fractions of topologies rejected/accepted under ascertainment but accepted/rejected on all sites (and the bias classifier) were then used to reveal simulation iterations and ascertained schemes that demonstrated biased model fits (Suppl. Table 6). When no Neanderthal/non-African gene flow was simulated, only the non349 African MAF ascertainment emerged as problematic (at least half of simulation iterations for at least one population quintuplet were classified as affected by bias) according to the 350 351 fraction of topologies rejected under ascertainment but accepted on all sites (Suppl. Table 352 6). When the Neanderthal to non-African gene flow was simulated, all ascertainment 353 schemes, except for the Human Origins-like ascertainment (four panels), emerged as 354 problematic according to the same metric (Suppl. Table 6). Summarizing these results on 355 model ranking and on fits of the true model, we note that the Human Origins-like 356 ascertainment (four panels) is relatively problem-free, unlike archaic ascertainment, MAF-357 based ascertainments, and Human Origins-like ascertainment (one panel), but it still led to 358 rejection of the true model more often than on all sites or on random site subsamples (Fig. 359 2b).

360 Next, we explored non-outgroup ascertainment schemes that are similar to those 361 presented in Fig. 2b but are based on randomly chosen groups (see Methods for details) and 362 were applied to SNP sets resulting from simulated genetic histories in the form of random 363 admixture graphs. Graphs of four complexity classes including 9 or 10 populations and 4 or 364 5 admixture events were simulated using msprime v.1.1.1. Only simulations where pairwise 365 *F*<sub>ST</sub> for groups were in the range characteristic for anatomically modern and archaic humans 366 were selected for further analysis, resulting in 20 random topologies per graph complexity 367 class, each including an outgroup (see examples of the simulated histories and F<sub>ST</sub> distributions in Suppl. Fig. 8). Fits of the true admixture graph (WR) including an outgroup 368 369 were compared on all sites and on ascertained SNP sets for each topology and 370 ascertainment iteration (Fig. 2c). We note that our simulation setup generated groups sampled at different dates in the past (from 0 to ca. 40,000 generations) or, in other words, 371 372 groups that have experienced widely different levels of genetic drift with respect to the root 373 (Fig. 2d).

As illustrated by distributions of true admixture graph WRs in Fig. 2c, 'blindly' ascertaining on individuals or sets of groups randomly sampled across the graph almost guarantees rejecting the true historical model by a wide margin. Ascertainment on sites polymorphic in randomly composed sets of three individuals (one individual per group) and restricting to variants common (MAF > 5%) in randomly composed sets of four populations are two forms of ascertainment that are especially problematic (Fig. 2c). Human Origins-like ascertainments (one or four panels) often yield acceptable fits of the simulated graph (WR < 3 SE), although median WR of the true graphs equals 4.6 SE for these ascertainment
schemes across all graph topologies and all (non-root and non-outgroup) populations used
for ascertainment (Fig. 2c).

384 An illuminating result is that  $F_{ST}$  between the population used for Human Origins-like 385 non-outgroup ascertainment and the root influences WR of the true graph: all ascertainments with the corresponding  $F_{ST} < 0.12$  produce relatively unbiased fits of true 386 387 graphs (WR < 4 SE, see Fig. 2d). In other words, ascertainment on heterozygous sites in a single individual taken from a population that is not an outgroup and is co-analyzed with 388 389 other populations, but is genetically close to the root of the simulation, is relatively 390 unbiased, unlike ascertainment on a single individual from a more drifted "present-day" 391 population. We directly illustrate this effect by comparing results on outgroups co-analyzed 392 with other populations that are more or less drifted with respect to the root (with effective 393 population sizes differing by two orders of magnitude) (Fig. 2c,d). Co-analyzing the 394 individual used for ascertainment with other groups does not produce biased results if that 395 individual is a part of a wider population of 10 individuals. However, if that individual is the 396 only representative of its group for model fitting, WRs are inflated drastically (Fig. 2c). We 397 also illustrate the difference between true outgroup ascertainment, when an outgroup is 398 not co-modelled with the other groups, and ascertainment on an outgroup that is included 399 in the fitted model (Fig. 2f), which the kind of ascertainment shown in Fig. 2c and 400 elsewhere. The former form of ascertainment is expected to be unbiased even for a highly 401 drifted outgroup (Fig. 2f), while the latter is not (Patterson et al. 2012, Wang and Nielsen 402 2012).

403 Genetic distance from the root is a noisy proxy for the similarity of derived allele 404 frequency (DAF) spectra of the root population and the population used for ascertainment. In Fig. 2e we show DAF spectra across all simulated populations used for Human-Origins-like 405 406 non-outgroup ascertainment, but only for variants that are polymorphic in the respective 407 root population sample of 10 diploid individuals. These results demonstrate that for the 408 correct admixture graph to fit ascertained data well (WR < 4 SE, Fig. 2e), non-outgroup 409 ascertainment should be based on a population where the derived allele frequency 410 spectrum of sites that were polymorphic at the root is preserved relatively well (see a more 411 extensive version of this plot in Suppl. Fig. 9). We note that some ascertainments may be 412 unbiased with respect to the true graph but may have low power due to the paucity of sites

with high MAF in "present-day" populations. Indeed, ascertainments on the root itself or on
groups genetically close to the root (such as outgroups with a large effective size) are
unbiased (Fig. 2c,d), but on average demonstrated lower power to reject incorrect
admixture graph models as compared to single-panel Human Origins-like ascertainments on
more drifted groups (Suppl. Fig. 10).

418 These results on randomized ascertainment schemes (not to be confused with random 419 site sampling) and simulated histories in the form of random admixture graphs show that 420 ascertainment on groups that are highly drifted with respect to the root of the groups being 421 co-analyzed is problematic. Thus, if proper outgroup ascertainment is impractical (if an 422 outgroup shares few polymorphisms with the other populations analyzed, or if an outgroup 423 is needed for constraining the analysis), unascertained or randomly sampled sets of sites 424 should be treated as a gold standard for admixture graph inference. The 1240K 425 ascertainment is much more complex (Fu et al. 2015, Mathieson et al. 2015) than the 426 ascertainment schemes explored on simulated data, but its effects are possibly 427 intermediate between the effects of a MAF-based ascertainment (since all common SNP 428 panels are more or less depleted for rare variants) and ascertainment on heterozygous sites 429 in single individuals from several groups (since approximately half of the 1240K sites are 430 derived from the Human Origins SNP array, Fu et al. 2015). Thus, we expect an accurate 431 admixture graph including at least one archaic human, at least two African groups, and at 432 least one non-African group (Fig. 2a) to fit the data poorly under the 1240K ascertainment. 433 Finally, we checked if non-outgroup ascertainment could bias the simplest cladality tests in the absence of gene flow. A tree of four groups conforming to the  $f_4$ -statistic (A, B; C, O) 434 was simulated using *msprime v.1.1.1*, with a tree depth of 4,000 or 40,000 generations (Fig. 435 436 3a). All the groups had a uniform effective population size of 100,000 diploid individuals, except for a 10x to 10,000x size reduction immediately after the A-B divergence (1,999 or 437 438 9,995 generations in the past). While the dramatic drop in the effective population size of 439 group A yields a complex shape of the derived allele frequency spectrum in {A, B} (Martin 440 and Amos 2021), two of three ascertainment schemes explored here (Human Origins-like 441 ascertainment on one group and MAF-based ascertainment, but not removal of the derived 442 end of the allele frequency spectrum; see Methods) increase the noise in the  $f_4$ -statistic (A, 443 B; C, O), but do not shift the statistic away from its expectation at 0 (Suppl. Fig. 11). These 444 results confirm an observation by Patterson et al. (2012) that in the case of perfect trees

SNP non-outgroup ascertainment does not lead to false rejection of cladality. However, as
demonstrated in Fig. 2, non-outgroup ascertainment is generally problematic in the case of
complex demographic histories with multiple admixture events.

448

#### 449 <u>3. An overview of *f*<sub>4</sub>-statistic biases caused by non-outgroup ascertainment</u>

We explored various classes of  $f_4$ -statistics exhaustively to obtain a "bird's-eye view" on ascertainment biases that was previously difficult to do due to technical challenges in calculating millions of *f*-statistics (Bergström *et al.* 2020). Another motivation for this analysis was the fact that it is unfeasible to explore fits of large collections of admixture graphs on thousands of population sets, ascertainment schemes and random site subsamples. However, if an exhaustively sampled class of *f*-statistics is demonstrated to be unbiased, all admixture graph fits based on those statistics are expected to be unbiased too.

457 We used the standard deviation of residuals from a linear trend for  $f_4$ -statistic Z-scores 458 on all sites and under ascertainment (termed "residual SE" for brevity and expressed in the 459 same units as Z-scores) as a single statistic for summarizing results, and we note that it

460 reflects both bias introduced by ascertainment and variance generated by random site

sampling. In Suppl. Table 7 and Suppl. Figs. 12 and 13 we show residual SE values for a

462 collection of 27 exhaustively sampled  $f_4$ -statistic classes and for the large collection of

463 ascertainment schemes that was used for exploring effects of ascertainment on admixture

464 graph fits in section 1. The  $f_4$ -statistic classes explored can be described concisely as

465 African(all SGDP populations)<sub>x</sub>; archaic<sub>y</sub>; chimpanzee<sub>1</sub>, African(unadmixed with West

466 Eurasians)<sub>x</sub>;archaic<sub>y</sub>;Mediterranean/Middle Eastern (abbreviated as Med/ME)<sub>z</sub>,

467 African(unadmixed with West Eurasians)<sub>x</sub>;East Asian<sub>y</sub>(y>0), American<sub>x</sub>;European<sub>y</sub>;Papuan<sub>z</sub>.

468 Here, *x*, *y*, and *z* stand for the number of groups in the population quadruplet; thus,

469 "African<sub>3</sub>; East Asian<sub>1</sub>" would mean three Africans and one East Asian. All possible distinct  $f_{4-}$ 

470 statistics composed of those "ingredients" were considered.

The effect of ascertainment schemes varies dramatically across the classes of  $f_{4}$ statistics, but ascertainment schemes based on one or two African individuals (Human Origins sub-panels 4, 5, 13, 4 and 5 combined), on the three archaic individuals (either all sites or transversions only), and components of the 1240K panel such as Illumina650Y emerged as the worst-performing when results across all the  $f_4$ -statistic classes were considered (Suppl. Table 7, Suppl. Fig. 12). Ascertainment schemes based on a global MAF 477 threshold or on a MAF threshold in a single non-African continental meta-population, and the 1000K and 2200K panels are similar in their effects to the 1240K ascertainment (Suppl. 478 479 Table 7, Suppl. Fig. 12). We recognize that there is a continuum between unbiased and 480 biased ascertainment schemes, and that for nearly all schemes and f<sub>4</sub>-statistic classes a majority of statistics remain unaffected by ascertainment, but for describing our results in a 481 482 concise way and for partially factoring out effects of SNP panel size, we applied the criterion 483 similar to that employed above for admixture graph fits: residual SE for an  $f_{4}$ -statistic class is higher than the 97.5<sup>th</sup> percentile across 200 randomly thinned datasets matching the 1240K 484 485 panel in size. According to this criterion, the 1240K ascertainment is problematic in the case 486 of the following nine  $f_4$ -statistic classes (Suppl. Table 7): 1) African<sub>4</sub>, 2) African<sub>3</sub>;Med/ME<sub>1</sub>, 3) 487 African<sub>3</sub>;East Asian<sub>1</sub>, 4) African<sub>3</sub>;archaic<sub>1</sub>, 5) African<sub>3</sub>;chimpanzee<sub>1</sub>, 6) 488 African<sub>2</sub>;archaic<sub>1</sub>;Med/ME<sub>1</sub>, 7) African<sub>2</sub>;archaic<sub>1</sub>;chimpanzee<sub>1</sub>, 8) archaic<sub>3</sub>;Med/ME<sub>1</sub>, 9) 489 archaic<sub>3</sub>;African<sub>1</sub>, and unproblematic for the remaining 18 classes exhaustively explored in 490 this analysis. Unlike all the other classes explored here (Suppl. Table 7, Suppl. Fig. 12), the 491 class of statistics African<sub>2</sub>; archaic<sub>1</sub>; Med/ME<sub>1</sub>, specifically statistics of the form  $f_4$  (African X, 492 archaic; African Y, non-African), is substantially biased under all non-random types of 493 ascertainments (Fig. 4a). The classes African<sub>3</sub>;X are problematic under all ascertainment 494 schemes except for the pan-African ascertainment (Suppl. Table 7, see an example in Fig. 495 4b), and the class African<sub>4</sub> is problematic under all ascertainment schemes except for the 1000K, 2200K, and pan-African ascertainment (Suppl. Table 7). Scatterplots underlying these 496 497 residual SE estimates are also shown in Fig. 5 (for some of the most problematic classes highlighted above) and in Suppl. Figs. 14-16 (for all classes). Importantly, the classes of 498 499 statistics most affected by ascertainment (African<sub>2</sub>;archaic<sub>1</sub>;Med/ME<sub>1</sub>, 500 African<sub>2</sub>;archaic<sub>1</sub>;chimpanzee<sub>1</sub>, African<sub>3</sub>;X, and African<sub>4</sub>) are often relevant for fitting 501 admixture graph models of African population history (see Suppl. Text 1). However, for most 502 classes that were classified as problematic, except for African<sub>2</sub>;archaic<sub>1</sub>;Med/ME<sub>1</sub>, African<sub>2</sub>;archaic<sub>1</sub>;chimpanzee<sub>1</sub>, and African<sub>3</sub>;X, residuals remain below 1 SE for a great 503 504 majority of  $f_4$ -statistics (Suppl. Table 7 and Suppl. Fig. 12), and thus these statistics are 505 probably not problematic in practice. 506 Results very similar to those presented above were obtained with a different metric:  $R^2$ 507 of a linear model for f<sub>4</sub>-statistics themselves (Suppl. Table 8), instead of residual SE of a

508 linear model for  $f_4$ -statistic Z-scores (Suppl. Table 7). For additional details on  $f_4$ -statistic

classes see Suppl. Text 2 (and Suppl. Figs. 13-16), and for a dissection of effects of 509 510 ascertainment on few selected *f*<sub>4</sub>-statistics see Suppl. Text 3 (and Suppl. Tables 9-12). 511 In contrast, statistics including non-Africans only, or one or two African groups and non-512 Africans (see an example in Figs. 4c and 5), are unproblematic under the 1240K, 2200K, pan-African and other MAF-based ascertainments (but demonstrate increased variance due to 513 paucity of sites with high MAF under some other ascertainment types such as Human 514 Origins panels 4&5 and archaic ascertainment, Suppl. Table 7). 515 516 Pan-African ascertainment (restricting to variants common across 68 African individuals 517 unadmixed with West Eurasians, or across 94 African individuals, Suppl. Table 1), emerged 518 as the best-performing non-outgroup ascertainment scheme. Unlike the other 519 ascertainment schemes explored in this study, this type of ascertainment demonstrates a 520 bias only in the case of the (African X, archaic; African Y, non-African) class of  $f_4$ -statistics 521 (when only statistics with |Z| < 15 SE on all sites were considered, Suppl. Table 7, Suppl. Fig. 522 12). Another class of  $f_4$ -statistics is biased under this ascertainment scheme when all 523 statistics are considered: f4(non-African X, archaic; African, non-African Y) (Suppl. Fig. 12), 524 and pan-African ascertainment is unbiased in the case of the other 25 classes of  $f_4$ -statistics 525 explored in this study (Suppl. Table 7, Suppl. Fig. 12), which also translates into downstream 526 analyses such as fits of admixture graph models (Fig. 1, Table 1, Suppl. Fig. 3, Suppl. Tables 527 3-5).

528

### 529 Discussion

530 f-statistics (Patterson et al. 2012) form a foundation for a range of methods (*qpWave*, 531 *qpAdm, qpGraph*) that are used widely for studying population genetic history of humans 532 and other species (see, for instance, Bergström et al. 2020b, Librado et al. 2021). Here, we 533 focused on  $f_4$ -statistics, which are used as standalone tests for cladality (Reich et al. 2009, 534 Patterson et al. 2012) and underlie the *qpAdm* method for fitting admixture models (Haak et al. 2015, Harney et al. 2021). The other f-statistics ( $f_2$  and  $f_3$ ) can be defined as special cases 535 of  $f_4$ -statistics [ $f_2(A, B) = f_4(A, B; A, B)$  and  $f_3(A; B, C) = f_4(A, B; A, C)$ ], and are subject to the 536 537 same kinds of biases. The existence of bias in the case of non-outgroup ascertainment was recognized in a publication introducing a suite of methods relying on *f*-statistics (Patterson 538 539 et al. 2012), but its effects on large collections of  $f_4$ -statistics or on fits of diverse admixture

540 graph models were not explored in that study and in subsequent studies. Since usage of archaic or African outgroups is often unavoidable for calculation of f<sub>4</sub>-and D-statistics and 541 542 for construction of admixture graph or *qpAdm* models (e.g., Skolgund et al. 2017, Lipson et 543 al. 2020, 2022, Hajdinjak et al. 2021, Kılınç et al. 2021, Yaka et al. 2021), unbiased ascertainment on an outgroup that is not co-analyzed with other populations (as illustrated 544 on simulated data in Fig. 2f) is uncommon in practice. And frequently used SNP panels such 545 546 as 1240K were built using very complex forms of non-outgroup ascertainment. Therefore, in 547 this study we focused on practical rather than theoretical aspects of the ascertainment bias problem and considered forms of non-outgroup ascertainment that are common in the 548 549 literature on archaeogenetics of humans, including ascertainment on a phylogenetic 550 outgroup co-analyzed with other populations.

551 The present analysis showed that  $f_4$ -statistics of specific types are affected by 552 ascertainment bias. The most striking example we found is a class of statistics  $f_4$  (African X, 553 archaic; African Y, non-African). All statistics in this class are strongly biased in the same 554 direction under the 1240K ascertainment (Suppl. Fig. 15) and under all other non-random 555 ascertainment schemes explored on real (Suppl. Table 7, Suppl. Fig. 12d) and simulated data 556 (Suppl. Fig. 7b). In contrast, all f4-statistic classes we explored including one or two African 557 groups and non-Africans, or non-Africans only, turned out to be unbiased under the 1240K 558 ascertainment (Suppl. Table 7, Suppl. Fig. 12). Thus, numerous studies relying on fitting 559 *qpAdm* and/or admixture graph models including one African group and various non-560 Africans are probably minimally affected by ascertainment bias, as we also demonstrated on 561 exhaustive collections of simple admixture graphs for few population quintuplets (Fig. 1, Table 1, Suppl. Fig. 1, Suppl. Tables 3-5). When these classes of methods are applied to 562 563 African population history, the situation is different, however. As we demonstrated, the 1240K panel emerges as biased when fits of simple admixture graphs including five African 564 565 groups or one or two archaic and three or four African groups are considered (Fig. 1, Table 1, Suppl. Fig. 1, Suppl. Tables 3-5). We also demonstrated that the 1240K ascertainment 566 567 affects fits of more complex admixture graphs including in all cases chimpanzee and Altai Neanderthal, and also four or six African groups and one or two groups with substantial 568 569 non-African ancestry (Supp. Text 1, Suppl. Figs. 5 and 6). We expect fits of many other 570 admixture graphs for Africans beyond those tested in this study to be affected by the 1240K 571 ascertainment since the  $f_4$ -statistic classes African<sub>2</sub>; archaic<sub>1</sub>; non-African<sub>1</sub>,

572 African<sub>2</sub>;archaic<sub>1</sub>;chimpanzee<sub>1</sub>, and African<sub>3</sub>;X are substantially biased under this ascertainment (Suppl. Table 7). These effects were reproduced on simulated data when 573 574 accurate graphs including "chimpanzee", one "archaic" lineage, and several "African" and 575 "non-African" lineages were fitted to the data ascertained in various ways (Fig. 2b). In line with theoretical expectations,  $f_4$ -statistics including AMH groups only are largely 576 577 unbiased under archaic ascertainment (Skoglund et al. 2017, Bergström et al. 2020, 578 technical note published on the Daicel Arbor Biosciences product page). However, as 579 compared to other SNP panels of similar size, archaic ascertainment increases variance in 580 nearly all f<sub>4</sub>-statistic classes of the types non-African<sub>3</sub>;X and non-African<sub>4</sub> (Suppl. Table 7, 581 Suppl. Figs. 12-14). Increased variance in these cases can be explained by the low 582 information content of an archaically ascertained panel: unlike the other non-random 583 ascertainment schemes we tested, archaic ascertainment preserves most sites with nearly 584 fixed ancestral variants and leads to just a moderate enrichment for common variants (DAF 585 between 5% and 95%), especially if DAF is based on non-Africans (Suppl. Text 3, Suppl. Fig. 586 7d, Suppl. Table 10). Thus, the archaically ascertained panel includes a relatively small 587 number of variants that are common in AMH and especially in non-Africans (Suppl. Table 588 10), and that increases the noise level. This elevated noise level in *f*-statistics under archaic 589 ascertainment translates to reduced power to reject admixture graph models based on 590 these *f*-statistics (Fig. 1, Table 1, Suppl. Fig. 1, Suppl. Tables 3-5). This effect was also 591 reproduced on simulated data (Suppl. Fig. 10). If archaic humans are included in an f-592 statistic or an admixture graph, archaic ascertainment is no longer guaranteed to be 593 unbiased (see Fig. 2 c,d), and indeed due to the existence of the Neanderthal to non-African 594 gene flow it fails to fix the bias affecting the most problematic class of statistics  $f_4$  (African X, 595 archaic; African Y, non-African), as demonstrated on simulated data in Suppl. Figs. 7b and 596 17.

597 Many ascertainment schemes such as the 1240K, 2000K, Illumina 650Y panels and MAF-598 based ascertainment on non-Africans skew average DAF across populations in the 599 quadruplet since these panels are enriched for derived variants common in non-Africans vs. 600 Africans and in AMH vs. archaic humans (Suppl. Text 3, Suppl. Table 10). Overrepresentation 601 of derived variants in certain groups of the quadruplet skews  $f_4$ -statistics. We conclude that 602 two ascertainment schemes most often used for studies of African population history 603 (1240K and archaic ascertainment) are not optimal for various reasons: overrepresentation 604 of derived variants common in non-Africans in the former case and a small number of605 variants common in AMH in the latter case.

606 We found that there exists a non-outgroup ascertainment scheme that is less biased 607 than the other schemes we tested: restricting to variants that are common in a diverse 608 collection of African groups. This scheme demonstrated a bias only in the case of the 609  $f_4$ (African X, archaic; African Y, non-African) and  $f_4$ (non-African X, archaic; African, non-610 African Y) classes of f<sub>4</sub>-statistics among the 27 classes investigated (Suppl. Table 7, Suppl. Figs. 12, 14, and 17). This scheme does not favor derived variants common in non-Africans 611 612 and supplies many variants common in both Africans and non-Africans (Suppl. Table 10). 613 While for many  $f_4$ -statitic classes and admixture graphs, the difference in performance of 614 the pan-African and archaic ascertainment schemes is small (Table 1, Suppl. Figs. 3, 4, and 615 12, Suppl. Tables 3-5 and 7), the pan-African scheme is applicable when Neanderthals and 616 Denisovans are co-analyzed (Suppl. Figs. 3 and 4), while archaic ascertainment generates 617 extreme shifts in *f*<sub>4</sub>-statistics in this case (Fig. 2b, Suppl. Fig. 18). The pan-African scheme is 618 also effective for analyses focused on non-Africans, demonstrating no elevated noise level 619 typical for archaic ascertainment (Suppl. Table 7, Suppl. Table 3). Thus, pan-African 620 ascertainment is the most widely applicable scheme among those explored in this study. According to our results on collections of admixture graphs (Table 1) and on f<sub>4</sub>-statistic 621 622 classes (Suppl. Table 7), a similar form of ascertainment, namely combining sites 623 heterozygous in a single San and a single Yoruba individual (Human Origins panels 4 & 5) is 624 also largely unbiased, with the exception of statistics of the form  $f_4$  (African X, archaic; 625 African Y, non-African). However, this ascertainment is also more noisy due to the low 626 number of sites available.

627 As we demonstrated on simulated data, for a non-outgroup ascertainment to be 628 unbiased it should be based on a population where the derived allele frequency spectrum of 629 sites that were polymorphic at the root is preserved relatively well (Fig. 2e) (however, such an ascertainment usually has relatively low statistical power for rejection of incorrect 630 631 admixture graph models, see Suppl. Fig. 10). We note that the group where ascertainment was performed was co-modelled with the other groups, as is often done in practice. In the 632 633 light of these results, archaic ascertainment's sub-optimal performance as a non-outgroup 634 ascertainment is due to the fact that Denisovans and Neanderthals have had a low long-635 term effective population size (Mafessoni et al. 2020), and thus are highly drifted with

636 respect to the root. Moreover, it is often unavoidable that individuals used for archaic ascertainment are used as sole representatives of the respective groups analyzed, and that 637 638 is also problematic (Fig. 2c). Africans, in contrast, have had much higher effective population 639 sizes (Mafessoni et al. 2020), and we propose that restricting to variants common in a 640 diverse set of African genomes is much more reliable (than archaic ascertainment or ascertainment on a single African population or individual) for preserving the spectrum of 641 variants that existed at the root of archaic and anatomically modern humans. At the same 642 643 time, pan-African ascertainment supplies enough variants that are common in non-Africans, making it also relatively powerful statistically for analyses focused on non-Africans. 644

645 An enrichment approach is powerful for large-scale ancient DNA research in Africa due 646 to DNA preservation issues in the hot climate (Skoglund et al. 2017). We did not test a range 647 of allele frequency cut-offs or counts of individuals for pan-African ascertainment, and we 648 do not propose a list of sites for a new DNA enrichment panel. However, our results imply 649 that an effective approach for designing such a panel, which would also be useful for human 650 archaeogenetic studies worldwide, would be to combine selection of the A/T and G/C 651 mutation types with depletion of variants rare in Africa. Frequencies of alleles at A/T and 652 G/C loci are not affected by biased gene conversion (its rate depends on population heterozygosity, Pouyet et al. 2018), and these loci are not hypermutable, and are not 653 654 affected by deamination damage in ancient DNA. As we demonstrated, restricting to A/T 655 and G/C sites does not bias f<sub>4</sub>-statistics (Suppl. Table 7, Suppl. Figs. 12 and 16, Suppl. Table 10) or admixture graph fits (Table 1, Suppl. Tables 3-5). Another reason for taking AT/GC 656 657 sites only is simply reducing the number of sites since enrichment reagents have limited 658 capacity, and this ascertainment scheme with a 5% MAF threshold yields about 1.6 million 659 variable sites on the "SGDP+archaic" dataset.

660

# 661 Methods

662 1. Simulating genetic data

663 1.1 Simulating the relationships of AMH and archaic humans with msprime v.0.7.4

664 Twenty-two chromosomes matching the size of the human chromosomes in the *hg19* 

assembly were simulated with a flat recombination rate (2 x  $10^{-8}$  per nt per generation) and

666 a flat mutation rate, 1.25 x 10<sup>-8</sup> per nt per generation (Scally & Durbin 2012). The standard

667 coalescent simulation algorithm was used (Kelleher et al. 2016), and diploid genomes were assembled from these independently simulated 22 haploid chromosomes. Although this 668 669 approach does not recapitulate the linkage disequilibrium pattern in real human genomes, 670 it does not make a difference for simulating allele frequencies in deeply divergent groups since chromosome histories become quickly independent in the past (Nelson et al. 2020). 671 672 The following groups were simulated: chimpanzee ("Chimp", one individual sampled at 673 the end of the simulation), the Vindija Neanderthal ("Neanderthal", one individual sampled 674 2,000 generations or 50,000 years in the past, considering a generation time of 25 years), the high-coverage Denisovan "Denisova 3" ("Denisovan", one individual sampled 2,000 675 676 generations in the past), five African groups (10 individuals per group sampled at "present") 677 and three non-African groups (10 individuals per group sampled at "present"). Five classes 678 of simulated topologies are shown in Suppl. Fig. 17b; for a full list of simulation parameters 679 and their values see Suppl. Table 13. Only one simulation iteration was performed for each 680 combination of parameters.

681 We applied archaic ascertainment to the simulated data: restricting to sites polymorphic 682 in the group composed of two "archaic" individuals, "Denisovan" and "Neanderthal" (this 683 scheme reproduces the archaic ascertainment applied to real data, the "SGDP+archaic" 684 dataset, in Suppl. Figs. 17a and 18a). For calculating *f*-statistics on unascertained and 685 ascertained SNP sets, the software package *ADMIXTOOLS 2* (Maier et al. 2022 preprint) was 686 used. Since there was no missing data and all individuals were diploid, we first calculated all 687 possible *f*<sub>2</sub>-statistics for 4 Mbp-sized genome blocks (with the "*maxmiss=0*",

688 "adjust\_pseudohaploid=FALSE", and "minac2=FALSE" settings), and then used them for 689 calculating  $f_4$ -statistics as linear combinations of  $f_2$ -statistics. This protocol was used for 690 generating the results shown in Suppl. Figs. 17c and 18c.

691

692 1.2 Simulating the relationships of AMH and archaic humans with msprime v.1.1.1

693 More realistic simulations were performed with *msprime v.1.1.1* which allows accurate

694 simulation of recombination and of multi-chromosome diploid genomes relying on the

695 Wright-Fisher model (Nelson et al. 2020, Baumdicker et al. 2022). We simulated three

696 chromosomes (each 100 Mb long) in a diploid genome by specifying a flat recombination

- rate (2 x 10<sup>-8</sup> per nt per generation) along the chromosome and a much higher rate at the
- 698 chromosome boundaries (log<sub>e</sub>2 or ~0.693 per nt per generation, see

https://tskit.dev/msprime/docs/stable/ancestry.html#multiple-chromosomes). A flat
mutation rate, 1.25 x 10<sup>-8</sup> per nt per generation (Scally & Durbin 2012), and the binary
mutation model were used. To maintain the correct correlation between chromosomes, the
discrete time Wright-Fischer model was used for 25 generations into the past, and deeper in
the past the standard coalescent simulation algorithm was used (as recommended by
Nelson et al. 2020).

The following groups were simulated: chimpanzee ("c", one individual sampled at the 705 706 end of the simulation), the Altai Neanderthal ("n1", one individual sampled 3,790 707 generations in the past), the Vindija Neanderthal ("n2", one individual sampled 1,700 708 generations in the past), the high-coverage Denisovan "Denisova 3" ("d", one individual sampled 1,700 generations in the past), two African groups ("a1" and "a2", 10 individuals 709 per group sampled at "present") and two non-African groups ("na1" and "na2", 10 710 individuals per group sampled at "present"). The topology, dates and some effective 711 712 population sizes are shown in Fig. 2a; for a full list of simulation parameters see Suppl. Table 713 13. Ten simulation iterations were performed for each combination of parameters, and two 714 combinations were tested: with or without the Neanderthal to non-African gene flow. 715 Upon assessing genetic distances across the simulated groups using *F*<sub>ST</sub>, the following 716 ascertainment schemes were applied: 717 1. restricting to sites that are heterozygous in a randomly selected individual from the 718 "a2" group (this scheme simulates the generation of one Human Origins SNP panel, Patterson et al. 2012); 719

taking heterozygous sites from one randomly selected individual per "AMH"
population ("a1", "a2", "na1", "na2") and merging these SNP sets (this scheme
simulates the generation of the whole Human Origins SNP array, Patterson et al.
2012);

7243. restricting to sites having high minor allele frequency (> 5%) in the union of "African"725groups "a1" and "a2" (this scheme simulates the MAF ascertainment on Africans);

restricting to sites having high minor allele frequency (> 5%) in the union of "nonAfrican" groups "na1" and "na2" (this scheme simulates the MAF ascertainment on a
non-African continental meta-population);

729 5. restricting to sites having high minor allele frequency (> 5%) in the union of all
"AMH" groups "a1", "a2", "na1", and "na2" (this scheme simulates the global MAF
731 ascertainment);

restricting to sites polymorphic in the group composed of three "archaic" individuals,
"d", "n1", and "n2" (this scheme simulates the archaic ascertainment applied to the
"SGDP+archaic" dataset throughout this study).

735

1.3 Simulating random admixture graphs and simple trees with msprime v.1.1.1

737 Genetic histories in the form of random admixture graphs were simulated using the 738 msprime v.1.1.1 settings described above. We simulated admixture graphs of four 739 complexity classes: the graphs included 8 or 9 non-outgroup populations, one outgroup (all 740 sampled at leaves), and 4 or 5 pulse-like admixture events. Demographic events were 741 separated by date intervals ranging randomly between 1,500 and 8,000 generations, with 742 an upper bound on the tree depth at 40,000 generations. To be more precise, demographic 743 events were not placed in time entirely randomly, but were tied to one or few other events 744 of the same "topological depth" within the graph, as illustrated by examples of the 745 simulated topologies in Suppl. Fig. 8. The same principle was applied to the sampling dates for non-root groups, which were tied to other demographic events such as divergence and 746 747 admixture of other populations. The random graph topologies and simulated parameter sets were generated using the *random* sim function from the ADMIXTOOLS 2 package: 748 https://uqrmaie1.github.io/admixtools/reference/random\_sim.html 749

750 Outgroups facilitate automated exploration of graph topology space. Outgroup branches 751 diverged from the other populations at 40,000 generation in the past and had a large 752 constant effective population size of 100,000 diploid individuals. Other effective population 753 sizes were constant along each edge and were picked randomly from the range of 2,000-754 40,000 diploid individuals. Admixture proportions for all admixture events varied randomly 755 between 10% and 40%. The root of the simulation and the root of all non-outgroup 756 populations were sampled, and the other populations were sampled at branch tips 757 exclusively. This setup generates groups sampled at widely different dates in the past (from 758 0 to ca. 40,000 generations) or, in other words, located at various genetic distances from 759 the root (Fig. 2d). The outgroup population was sampled at the "present" of the simulation. 760 Sample sizes for all populations were identical: 10 diploid individuals with no missing data.

761 For subsequent analyses we selected only simulations where pairwise  $F_{ST}$  for groups were in the range characteristic for anatomically modern and archaic humans (in each 762 763 simulation there was at least one  $F_{ST}$  value below 0.15; see Suppl. Fig. 8). In this way, 20 764 random topologies were simulated per complexity class. Each topology was simulated only once, and 80 simulations were generated in total (see examples of the topologies and 765 766 respective  $F_{ST}$  distributions in Suppl. Fig. 8). Another set of simulations was prepared with 767 the same topologies and parameters, except for the effective population size on the 768 outgroup branch which was set at 1,000 diploid individuals instead of 100,000.

769 The following ascertainment schemes were applied to the outcomes of these randomized 770 simulations: 1) ascertainment on sites heterozygous in a single randomly selected individual 771 (this Human Origins-like ascertainment was repeated for all simulated groups including the 772 outgroup and root groups, generating 920 ascertained datasets); 2) unions of four such 773 Human Origins-like SNP panels, with only one individual per group considered (10 random 774 sets of four groups excluding the outgroup and root groups were explored per topology, 775 generating 800 ascertained datasets); 3) ascertainment on sites polymorphic in a group 776 composed of three randomly selected individuals, with only one individual per group 777 considered (10 random sets of three groups excluding the outgroup and root groups were 778 explored per topology, generating 800 ascertained datasets); and 4) MAF ascertainment, that 779 is restricting to sites having MAF > 5% in random meta-groups (10 random sets of four groups 780 excluding the outgroup and root groups were explored per topology, generating 800 781 ascertained datasets). Group sets used for each ascertainment were recorded. Genetic 782 distances  $(F_{ST})$  were calculated for all populations (including the outgroup and the last 783 common ancestor of all non-outgroup populations) vs. the root sample (Fig. 2d).

784 Alternatively, simple trees were simulated using the *msprime v.1.1.1* settings described above. A tree of four groups conforming to the  $f_4$ -statistic (A, B; C, O) was simulated using 785 786 msprime v.1.1.1, with a tree depth of 4,000 generations (Suppl. Fig. 11). All the groups had a 787 uniform effective population size of 100,000 diploid individuals, except for a bottleneck happening immediately after the A-B divergence (at 1,999 generations in the past) and lasting 788 789 until the end of the simulation. The following bottleneck classes were simulated: no 790 bottleneck (control), 10x, 100x, 1,000x, and 10,000x reduction in effective population size. 791 For each bottleneck class, 20 independent simulations were performed. All the samples were 792 drawn at "present": sample sizes were 25, 25, 25 and 10 for populations A, B, C and O,

793 respectively (except for the 10,000x bottleneck class since group A included 10 individuals 794 only in that case). Three ascertainment schemes were tested for the simulated trees: 1) 795 ascertainment on sites heterozygous in a single randomly selected individual (this Human 796 Origins-like ascertainment was repeated for all simulated groups, including group O); 2) 797 restricting to sites having MAF > 5% (or 10%, or 2.5%) in the union of groups A and B composed of 50 diploid individuals; and 3) removal of sites with *derived* allele frequency > 798 95% (or 90%, or 97.5%) in the union of groups A and B. The latter ascertainment scheme was 799 800 added since the ascertainment schemes we tested on real data deplete the derived end of 801 the allele frequency spectrum more than the ancestral end (Suppl. Tables 10-12).

For calculating *f*-statistics and fitting admixture graphs to unascertained and ascertained SNP sets, the *ADMIXTOOLS 2* (Maier et al. 2022 preprint) software package was used. Since there was no missing data and all individuals were diploid, we first calculated all possible  $f_{2}$ statistics for 4 Mbp-sized genome blocks (with the "*maxmiss=0*",

806 "adjust\_pseudohaploid=FALSE", and "minac2=FALSE" settings) and then used them for 807 calculating  $f_4$ -statistics as linear combinations of  $f_2$ -statistics or for fitting admixture graphs 808 (with the "numstart=100" and "diag=0.0001" settings). This calculation protocol was used 809 for generating the results shown in Figs. 2 and in Suppl. Figs. 7-11. When true admixture 810 graphs were fitted to ascertained data, full population samples of 10 individuals were used 811 by default, and in some cases, as indicated in the figure legends, the individual used for 812 ascertainment was used as the only representative of the respective population. Outgroups 813 were included in the fitted graphs; in other words, they were co-analyzed with the other 814 groups. Outgroups were not co-modelled with the other populations in Fig. 2f only.

815 For assessing the power of ascertained simulated datasets to reject incorrect admixture 816 graph models, we first generated a set of such incorrect graphs per each simulated 817 topology. For that purpose, an algorithm for finding well-fitting topologies (findGraphs from 818 the ADMIXTOOLS 2 package) was started on non-ascertained data 300 times, seeded by 819 random graphs containing either the simulated number of admixture events (n, 100 runs), 820 or *n*-1 events (100 runs), or *n*+1 events (100 runs). For a list of settings for the *findGraphs* 821 algorithm see Maier et al. (2022 preprint). Thousands of diverse graphs explored by 822 *findGraphs* in the process of topology optimization were generated in this way for each 823 simulated graph, and 100 poorly fitting graphs were randomly picked from a subset of these

graphs having LL scores between 70 and 300. This subset of graphs was then fitted to all
ascertained datasets derived from the same simulated admixture graph.

826

827 2. Constructing the set of real data

We used the *cteam-lite* dataset described in Mallick *et al.* 2016, composed of the full SGDP set (300 high-coverage genomes from present-day populations), the chimpanzee genome (pseudo-haploid genotype calls, see

831 http://hgdownload.cse.ucsc.edu/goldenPath/panTro2/bigZips/), and the Altai Neanderthal, "Denisova 3" Denisovan, Ust'-Ishim, WHG Loschbour, and LBK Stuttgart ancient genomes 832 833 (see SI section 3 in that study). We supplemented *cteam-lite* by 44 present-day African 834 genomes sequenced using the SGDP protocols by Fan et al. (2019), the Vindija 835 Neanderthal's genome (Prüfer et al. 2017), and the genome of an ancient African forager 836 individual I10871 sequenced by Lipson et al. (2020) (Suppl. Table 1). Sites polymorphic in 837 this set of 352 individuals were extracted from the *cteam-lite* files of the "hetfa" format 838 using the cpoly tool (Mallick et al. 2016): alleles were grouped into derived and ancestral 839 (polarized) according to the chimpanzee genome; missing data and heterozygous sites were 840 allowed. For each genome, we used individual base quality masks included in *cteam-lite* or 841 constructed using the same protocol for other genomes (Vindija Neanderthal and Fan et al. 842 2019): minimum base quality was set by default at 1, as recommended in SI section 3 in Mallick et al. 2016, which discarded lowest-quality regions marked as "0", "?", or "N". The 843 individual I10871 was not included in most analyses in this study (except for the complex 844 845 admixture graphs in Suppl. Text 1) due to its relatively high rate of deamination errors.

846 The resulting dataset prior to missing data removal and ascertainment includes 847 94,691,841 autosomal sites (Suppl. Table 2). To keep the polarity of alleles, all data manipulations and ascertainments were performed using PLINK v.2.0 alpha (Chang et al. 848 849 2015). For calculating  $f_4$ -statistics, sets of continental-level meta-populations were selected 850 (e.g., Africans and East Asians or Africans and archaic humans) and then f<sub>4</sub>-statistics were calculated for all possible combinations of populations in the resulting subset of the 851 852 "SGDP+archaic" dataset, with no missing data (at the population level) allowed within the 853 selected subset. This was done to avoid potential biases associated with data missing non-854 randomly across groups. Alternatively,  $f_4$ -statistics were drawn randomly from a certain

class of statistics, and no missing data (at the population level) were allowed in the resulting*population quadruplets*.

857

858 3. Influence of ascertainment on fits of admixture graphs to real data

First, we fitted all possible graphs including two admixture events (32,745 distinct 859 topologies with no fixed outgroup) for three combinations of groups: 1) one archaic 860 861 individual, three African groups, and one African group with substantial West Eurasianrelated ancestry (Altai Neanderthal, Ju/'hoan North, Biaka, Yoruba, and Agaw, respectively); 862 863 2) five deeply divergent ancient and present-day non-African groups (Ust'-Ishim, Papuan, 864 Onge, LBK Stuttgart, Even); and 3) five deeply divergent present-day non-African groups 865 (Papuan, Onge, Palestinian, Even, Mala). These three sets of simple graphs were fitted to all 866 sites, AT/GC sites, and 1240K sites (no missing data were allowed at the group level within 867 these sets of five populations); 5,000 best-fitting models were selected according to the LL 868 score on all sites and WRs of those models were compared across SNP sets (Suppl. Fig. 1).

869 Next, we explored the same exhaustive set of admixture graph topologies including five 870 groups and two admixture events on the wider collection of ascertainments. Twelve 871 combinations of five groups including up to two archaic humans, up to five African groups, 872 and up to five non-African groups were tested. To ensure fair comparison across at least a 873 subset of population combinations, as a starting point for generating ascertained site sets 874 we used either 11,706,773 sites (with no missing data at the group level) polymorphic in a 875 set of 48 archaic and African groups composed of a total of 97 individuals; or 10,051,585 876 such sites in a set of 59 archaic, African, European, and Middle Eastern groups composed of 877 a total of 120 individuals; or 5,296,653 such sites in a set of 51 Papuan, Native American, 878 European, Anatolian, and Caucasian groups composed of a total of 112 individuals (Suppl. 879 Tables 1 and 2).

We examined the fits of these collections of admixture graphs from different perspectives. (1) We considered just 5,000 topologies that are best-fitting on the unascertained site set (Suppl. Figs. 1-3) or all 32,745 topologies tested (Suppl. Fig. 4). (2) We also considered alternative admixture graph fit metrics, LL or WR. LL as a fit metric (see lefthand panels in Suppl. Figs. 3 and 4) is more accurate than WR, but difficult to compare across population sets. Finally, instead of *R* or *R*<sup>2</sup> of a linear trend as a measure of correlation of admixture graph fits (Fig. 1, Suppl. Figs. 1-4) we considered the fraction of all

possible models of a certain complexity that are rejected under ascertainment (WR > 3 SE)
but accepted on all sites (WR < 3 SE), or *vice versa*.

889

890 4. Automated inference of fitting admixture graphs on real data

The 12-population admixture graph published by Lipson et al. 2020 (and later used as a skeleton graph in Lipson et al. 2022) and simpler 7- and 10-population intermediate graphs presented in the former study were revisited by Maier *et al*. (2022 preprint), and thousands of alternative well-fitting graphs of the same complexity were found using the *find\_graphs* function from the *ADMIXTOOLS 2* package

896 (https://uqrmaie1.github.io/admixtools/articles/graphs.html). Maier *et al.* used the 1240K

dataset only, and in the current study we re-fitted the admixture graphs found by the

algorithm on the 1240K SNP panel to the AT/GC and unascertained datasets derived from

- 899 "SGDP+archaic" and also repeated automated admixture graph inference on these two
- additional SNP sets. Advantages and pitfalls of automated admixture graph inference are
- 901 described in detail in Maier *et al.*, along with justifications for the specific protocol used in

that study, and here we used protocols identical to those employed by Maier et al. We first

903 calculated all possible  $f_2$ -statistics for 4 Mbp-sized genome blocks (with the "maxmiss=0",

904 "adjust\_pseudohaploid=FALSE", and "minac2=2" settings, see Maier et al. 2022 for details

905 on the settings) and then used them for fitting admixture graphs (with the "numstart=100"

- 906 and "diag=0.0001" settings) and for automated admixture graph inference with the
- 907 *find\_graphs* function (see the Methods section in Maier *et al.* for a complete list of
- 908 arguments for this function). Only one topology constraint was used at the graph space

909 exploration step: chimpanzee was assigned as an outgroup.

- 910
- 911

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### 1239 Figures



#### 1240 1241

1242 Fig. 1. The effect of ascertainment bias on admixture graph fits illustrated on a population 1243 combination "Altai Neanderthal, Ju|'hoan North, Biaka, Yoruba, Agaw". Five thousand best-fitting 1244 graphs (according to LL on all sites) of 32,745 possible graphs were selected, and correlation of WR 1245 was explored for graphs fitted on all sites and on ascertained sites. Results for ascertainment on 1246 variants common in Africans (either those having no detectable West Eurasian ancestry or all 1247 Africans in the SGDP dataset) are circled in red. Thirty eight site subsampling schemes were 1248 explored: 1) AT/GC mutation classes; 2) random thinning of the AT/GC dataset to the 1240K SNP 1249 count for a given combination of groups (no missing data allowed), results for 100 thinned replicates 1250 are shown; 3) random thinning of all sites to the 1240K SNP count, results for 100 thinned replicates 1251 are shown; 4) the 1240K enrichment panel; 5) major components of the 1240K panel: sites included 1252 in the Illumina 650Y and/or Human Origins SNP arrays, sites included exclusively in one of them, and 1253 remaining sites; 6) the 1000K and 2200K SNP panels; 7) restricting to sites polymorphic in a group 1254 composed of the three high-coverage archaic individuals (either all such sites or transversions only); 1255 8) the largest Human Origins sub-panels (4, 5, 13) or their union (4+5); 9) restricting to common 1256 variants based on a global MAF threshold of 5% or on the same threshold in one of nine continental-1257 scale groups; 10) the same procedure repeated on AT/GC sites. The size of the resulting SNP panels 1258 is coded by point size, and the ten broad ascertainment types are coded by color according to the 1259 legend. R<sup>2</sup> values of a linear trend for admixture graph WRs are plotted (WR for the large collections 1260 of admixture graphs were compared on all sites and under a particular ascertainment). The 2.5<sup>th</sup> WR 1261 percentile of all the thinned replicates combined, including those on all sites and AT/GC sites, is 1262 marked with the brown line. The area of the plot where ascertainments are considered biased 1263 according to this classifier is highlighted in red on the left. Scatterplots illustrating effects of selected 1264 ascertainment schemes on WR are shown beside the central plot and are connected to the 1265 respective data points (ascertainments) by magenta lines. Dots on these scatterplots correspond to

distinct admixture graph topologies. Few examples of admixture graphs whose fits are affected byascertainment are also shown beside the scatterplots.



1270 Fig. 2. Exploring the influence of non-outgroup ascertainment on fits of admixture graphs on 1271 simulated data. Results are presented for two topologies (with or without the Neanderthal to non-1272 African gene flow) and for eight types of SNP sets: 1) 10 sets of randomly selected variable sites 1273 matching the average size of the "Human Origins, one panel" set, 500K sites; 2) unascertained sites 1274 (on average 5.55M polymorphic sites without missing data); 3) Human Origins-like ascertainment, 1275 one panel based on the "a2" group (500K sites on average across simulation iterations); 4) Human 1276 Origins-like ascertainment, a union of four panels based on randomly selected individuals from four 1277 groups ("a1", "a2", "na1", and "na2", 1.34M sites on average); 5) archaic ascertainment (1.05M sites 1278 on average); 6) "African MAF ascertainment", that is removal of sites with MAF < 5% in the union of 1279 "a1" and "a2" groups (1.85M sites on average); 7) similar MAF ascertainment on the union of "a1", 1280 "a2", "na1", "na2" (1.62M sites on average); 8) similar MAF ascertainment on the union of "na1" 1281 and "na2" groups (1.48M sites on average). (a) The simulated topology, with dates of demographic 1282 events and sampling dates (in generations) shown on the y-axis or next to gene flows. The 1283 Neanderthal to non-African gene flow was simulated either at 0% (by omitting the "n2" to ghost 1284 AMH gene flow) or as shown in the figure. Effective population sizes of archaic groups are omitted 1285 for clarity. The out-of-Africa bottleneck is marked with a star. (b) Boxplots illustrating the effects of 1286 various ascertainment schemes on fits (WR) of the correct admixture graphs. The dashed line on the 1287 logarithmic scale marks a threshold often used in the literature for classifying models into fitting and 1288 non-fitting ones—3 standard errors—and the observation that common ascertainment schemes 1289 consistently produce much higher Z-scores than this threshold provides unambiguous evidence that 1290 ascertainment bias can profoundly compromise admixture graph fitting. The topologies fitted to the 1291 data are shown beside the boxplots. In the panels on the right, simple graphs including only one 1292 archaic lineage are fitted ("n1" used as an example, but very similar results were obtained for the 1293 "n2" and "d" groups). In the panels on the left, results for the full simulated model fitted to the data 1294 are shown. (c) Ascertainment bias was also explored across 80 simulated genetic histories in the 1295 form of random admixture graphs. WR of the correct admixture graph was used as a measure of 1296 bias. WRs for non-ascertained data and four ascertainment schemes are summarized with boxplots: 1297 1) Human Origins-like ascertainment, one panel; 2) Human Origins-like ascertainment, four panels; 1298 3) MAF-based ascertainment (restricting to common variants) in random sets of four populations; 4) 1299 ascertainment on sites polymorphic in random sets of three individuals (one individual sampled per 1300 population). Human Origins-like ascertainment on single individuals was performed on the true root 1301 or the root of non-outgroup populations, on non-outgroup populations, or on more or less drifted 1302 outgroups (having effective population sizes of 100,000 or 1,000 diploid individuals, respectively) co-1303 modelled with the other populations (abbreviated as "OG"). Alternatively, the same individual that 1304 was used for ascertainment acted as the only representative of its group for model fitting. (d) 1305 correct admixture graphs under the Human Origins-like ascertainment (one panel) are guaranteed 1306 to be well-fitting (WR < ca. 4 SE) if  $F_{ST}$  between the whole population sample used for ascertainment 1307 vs. the sample at the root of the simulation is below 0.12. (e) Derived allele frequency spectra 1308 (derived allele count in a sample of 20 chromosomes vs. proportion of sites) across simulated root 1309 and non-outgroup populations grouped according to the level of ascertainment bias. The spectra 1310 were calculated for sites polymorphic in the root population sample of 20 chromosomes. 1311 Populations are binned by WR of the true graph fitted to sites heterozygous in a single individual 1312 randomly drawn from that population (single-panel Human Origins-like ascertainment). The 1313 boxplots summarize DAF across all simulated graphs. DAF bins are shown in three separate panels 1314 with different y-axis ranges: 0 derived alleles; 1 to 9 and 20 derived alleles; 10 to 19 derived alleles. 1315 (f) Illustration of the principle that outgroup ascertainment is expected to be unbiased only if an 1316 outgroup (abbreviated as "OG") is not co-analyzed with the other populations. Human Origins-like 1317 ascertainment (one panel) was performed on more or less drifted outgroups (having effective 1318 population sizes of 100,000 or 1,000 diploid individuals, respectively) that were then either included 1319 in the fitted true admixture graphs or removed from them. WRs of these graphs are summarized 1320 with boxplots on the y-axis. The dashed horizontal line corresponds to WR = 3 SE.





1323 **Fig. 4.** Variance in  $f_{4}$ -statistic Z-scores resulting from ascertainment and random site subsampling 1324 expressed as standard deviations of residuals of a linear model (expressed in the same units as f<sub>4</sub>-1325 statistic Z-scores). Results are shown for three classes of  $f_4$ -statistics:  $f_4$ (African X, archaic; African Y, 1326 Mediterranean/Middle Eastern), f<sub>4</sub>(African X, African Y; African Z, archaic) (expressed in another 1327 notation as African<sub>3</sub>; archaic<sub>1</sub>), and  $f_4$  (African, Med/ME X; Med/ME Y, Med/ME Z) (expressed in 1328 another notation as African<sub>1</sub>;Mediterranean/Middle Eastern<sub>3</sub>). Results for ascertainment on variants 1329 common in Africans (either those having no detectable West Eurasian ancestry according to Fan et 1330 al. (2019) or on all Africans in the SGDP dataset) are circled in red. Residual SE values for  $f_4$ -statistic 1331 Z-scores lying not far from 0 (absolute Z-scores on all sites < 15) are plotted. The 97.5% percentiles 1332 of all the thinned replicates combined, including those on all sites and AT/GC sites, are marked by 1333 the brown lines. Size of the SNP panels is coded by point size, and the broad ascertainment types are 1334 coded by color according to the legend. Thirty eight site subsampling schemes were explored: 1) 1335 AT/GC mutation classes; 2) AT/GC mutation classes and restricting to common variants based on a 1336 global MAF threshold of 5% or on the same threshold applied to one of nine continental-scale 1337 groups; 3) the same procedure repeated on all sites; 4) random thinning of the AT/GC dataset to the 1338 1240K SNP count for a given combination of groups (no missing data allowed), results for 100 1339 thinning replicates are shown; 5) random thinning of all sites to the 1240K SNP count, results for 100 1340 thinning replicates are shown; 6) the 1000K, 1240K, and 2200K enrichment panels (2200K = 1240K +

- 1341 1000K); 7) major components of the 1240K panel: sites included in the Illumina 650Y and/or Human
- 1342 Origins SNP arrays, sites included exclusively in one of them, and remaining sites; 8) the largest
- 1343 Human Origins sub-panels (4, 5, 13) or their union (4+5); 9) restricting to sites polymorphic in a
- 1344 group composed of the three high-coverage archaic individuals (either all such sites or transversions
- 1345 only).



10.10	
1349	Fig. 5. Scatterplots illustrating the effects of two ascertainment schemes on Z-scores of $f_4$ -statistics
1350	of four classes including African and/or archaic and/or Mediterranean/Middle Eastern groups. Only
1351	statistics of the form $f_4$ (African X, archaic; African Y, non-African) were considered in the class
1352	African <sub>2</sub> ; $archaic_1$ ;Med/ME <sub>1</sub> . The $f_4$ -statistic classes were selected to represent severe ascertainment
1353	bias (leftmost panels), moderate level of bias (two middle panels) and no bias (rightmost panels).
1354	The ascertainments selected are 1240K (the most widely used SNP enrichment panel) and the new
1355	"pan-African" scheme proposed in this study to mitigate ascertainment bias for nearly all $f_4$ -statistic
1356	classes. For results on other f <sub>4</sub> -statistic classes see Suppl. Fig. 14, and results for a wider range of
1357	ascertainment schemes are summarized in Suppl. Figs. 12 and 13. Class labels and numbers of
1358	statistics plotted are shown above the panels. Instead of individual points, heatmaps illustrating
1359	point density are shown. Z-scores on all sites (10 million sites, as indicated on the x-axes) are
1360	compared to Z-scores on ascertained datasets on the y-axes. Ascertainment types and site counts
1361	are shown on the y-axes. All plots include only statistics with absolute Z-scores below 15 on all sites.
1362	A linear model fitted to the data and lines representing ± 2 SE are shown in red. Residual SE values
1363	for those linear trends are shown in each plot in red.

_					arch 1, afr 3,	arch 1, afr 2,										number
percentage of	models rejected on asc. but accepted	ed on all sites	arch 2, afr 3	arch 1, afr 4	nafr 1	nafr 2	afr 5	afr 4, nafr 1	afr 4, nafr 1	afr 3, nafr 2	afr 1, nafr 4	nafr 5	nafr 5	nafr 5	_	of
													Papuan,		number	biased
				Deview		Ala			Bedzan,		Luc Dedaute	A	Chipewyan,	Karitiana,	of	pop.
		min cizo mov cizo	Donicovan	Denisovan, Khomani San	Altai lu boan	Altai, Ju hoan	Mbuti Raka	Khomani San	Cameroon	Mbuti Riaka	Luo, Bedouin	Australian,	Eskimo	Cree, Eskimo	biased	sets,
		of the SNP of the SNP	Altai Yoruba	Mbuti Dinka	North Biaka	Palestinian	laka Fulani	Rakola Igho	Mozabite	Ngumba IBK	Abkhasian	Mayan	Finnish	Hungarian	pop.	both
ascertainment type	further details on the ascertainment	panel* panel*	Dinka. Bulala	Mursi	Yoruba, Agaw	Spanish	Bantu Tswana	Mursi, Aari	Masai	Iranian	Sardinian	Lezgin, French	Sardinian	Icelandic	sets	metrics
A<>T and G<>C mutations		805,042 1,757,840	0.000%	0.009%	0.000%	0.003%	0.000%	0.000%	0.000%	0.311%	0.000%	0.000%	0.000%	0.000%	0	0
1240K panel		501,429 663,239	0.015%	1.017%	7.974%	5.528%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	3	4
	Illumina610-Quad sites	256,277 304,292	0.012%	0.015%	7.992%	5.528%	0.000%	0.000%	0.000%	0.000%	0.021%	0.000%	0.000%	0.000%	2	3
	sites exclusive to Illumina610-Quad	183,680 216,478	0.000%	0.012%	7.974%	5.528%	0.000%	0.000%	0.000%	0.000%	0.061%	0.000%	0.000%	0.000%	3	4
components of the 1240K	sites included in both Illumina610-Quad and HumanOrigins	s <mark>72,597</mark> 87,814	0.018%	0.000%	<u>7.971%</u>	<u>5.528%</u>	0.000%	0.000%	0.003%	0.000%	0.000%	0.000%	0.000%	0.000%	2	6
panel	HumanOrigins sites	244,922 354,460	0.000%	0.000%	7.952%	0.000%	0.000%	0.000%	0.000%	0.009%	0.000%	0.000%	0.000%	0.000%	1	3
	sites exclusive to HumanOrigins	171,249 266,646	0.003%	0.000%	<u>6.883%</u>	0.000%	0.000%	0.000%	0.000%	0.003%	0.000%	0.000%	0.000%	0.000%	1	2
	1240K, other sites	67,096 92,301	0.000%	0.003%	<u>7.952%</u>	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	1	4
the largest papels	panel 13 based on a San individual and Denisovan	67,557 89,655	0.003%	0.168%	<u>6.993%</u>	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	1	6
included in the	panel 4 based on a San individual	52,862 94,493	0.000%	0.000%	0.000%	0.000%	0.003%	0.000%	0.000%	0.012%	0.000%	0.000%	0.000%	0.000%	0	4
HumanOrigins array	panel 5 based on a Yoruba individual	44,674 73,180	<u>0.724%</u>	0.000%	5.250%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	2	8
namanongino array	panels 4 and 5	46,701 157,126	0.015%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.006%	0.000%	0.000%	0.000%	0.000%	0	1
other enrichment nanels	1000K: transversions in 2 Yoruba ind. and in Altai Neand.	364,079 590,775	0.000%	0.000%	<u>6.890%</u>	0.000%	0.000%	0.000%	0.000%	0.012%	0.000%	0.000%	0.000%	0.000%	1	2
other ennemment pariets	2200K = 1000K + 1240K	814,915 1,190,758	0.015%	0.000%	7.952%	0.000%	0.000%	0.000%	0.000%	0.012%	0.000%	0.000%	0.000%	0.000%	1	1
archaic ascertainment	transitions and transversions	525,014 1,555,781	10.484%	0.000%	<u>6.899%</u>	0.009%	0.000%	0.000%	0.003%	0.021%	0.000%	0.000%	0.000%	0.000%	2	8
archaic ascertainment	transversions	165,249 484,675	10.484%	0.000%	<u>6.880%</u>	0.003%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	2	8
	global, >5% MAF	2,129,201 2,511,335	0.000%	0.000%	<u>7.952%</u>	0.000%	0.000%	0.000%	0.000%	0.003%	0.000%	0.000%	0.000%	0.000%	1	2
	>5% MAF in Africans unadmixed with non-Africans	2,045,769 3,231,875	<u>1.032%</u>	0.003%	<u>6.932%</u>	0.000%	0.000%	0.000%	0.015%	0.006%	0.000%	0.000%	0.000%	0.000%	2	2
	>5% MAF in all Africans	2,109,808 3,120,326	0.037%	0.003%	<u>6.929%</u>	0.000%	0.000%	0.000%	0.000%	0.009%	0.000%	0.000%	0.000%	0.000%	1	1
	>5% MAF in Native Americans	1,513,207 1,764,715	0.015%	0.000%	7.992%	5.195%	0.000%	0.000%	0.003%	0.000%	0.000%	0.000%	0.000%	0.000%	2	3
MAE ascertainment	>5% MAF in Central Asians and Siberians	1,843,262 2,150,675	0.018%	0.000%	<u>7.974%</u>	0.000%	0.000%	0.000%	0.244%	0.006%	0.000%	0.000%	0.000%	0.000%	1	2
in a docertainment	>5% MAF in East Asians	1,723,831 2,020,860	0.000%	0.000%	<u>7.992%</u>	5.528%	0.000%	0.000%	0.003%	0.003%	0.000%	0.000%	0.000%	0.000%	2	3
	>5% MAF in Europeans	1,885,336 2,192,571	0.000%	0.000%	7.952%	0.000%	0.000%	0.000%	0.000%	0.003%	0.000%	0.000%	0.000%	0.000%	1	2
	>5% MAF in Middle Eastern groups	2,018,884 2,306,319	0.006%	0.000%	<u>7.952%</u>	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	1	1
	>5% MAF in Papuans and Aboriginal Australians	1,515,022 1,791,390	1.032%	0.000%	<u>7.995%</u>	5.528%	0.000%	0.000%	0.244%	0.311%	0.000%	0.000%	0.000%	0.000%	3	4
	>5% MAF in South Asians	1,908,459 2,235,024	0.000%	0.000%	7.968%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	1	2
	global, >>5% MAF	323,296 378,287	0.000%	0.000%	<u>7.952%</u>	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	1	2
	>5% MAF in Africans unadmixed with non-Africans	<b>309,172</b> 486,906	0.003%	0.000%	<u>6.929%</u>	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	1	1
	>5% MAF in all Africans	319,053 470,070	0.003%	0.006%	<u>6.929%</u>	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	1	1
	>5% MAF in Native Americans	229,939 266,113	0.000%	0.000%	7.974%	6.114%	0.000%	0.000%	0.049%	0.318%	0.000%	0.000%	0.000%	0.000%	2	3
AT/GC mutation types +	>5% MAF in Central Asians and Siberians	280,103 324,245	0.000%	0.000%	<u>7.974%</u>	0.000%	0.000%	0.000%	0.253%	0.049%	0.000%	0.000%	0.000%	0.000%	2	3
MAF ascertainment	>5% MAF in East Asians	261,857 304,567	0.000%	0.000%	7.992%	0.000%	0.000%	0.000%	0.003%	0.324%	0.000%	0.000%	0.000%	0.000%	1	2
	>5% MAF in Europeans	285,723 330,244	0.000%	0.000%	7.952%	0.000%	0.000%	0.000%	0.000%	0.000%	0.003%	0.000%	0.000%	0.000%	1	3
	>5% MAF in Middle Eastern groups	306,450 347,536	0.003%	0.000%	7.952%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	1	3
	>5% MAF in Papuans and Aboriginal Australians	230,124 272,093	1.029%	0.000%	8.001%	5.528%	0.000%	0.000%	0.244%	0.324%	0.000%	0.000%	0.000%	0.000%	3	4
	>5% MAF in South Asians	289,739 336,996	0.000%	0.000%	6.948%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	0.000%	1	3
* the SNP counts correspo	and to sites polymorphic in larger collections of groups from	number of biased asc.	6	1	33	9	0	0	1	0	1	0	0	0		
which the analyzed population quintuplets were taken, see Suppl. Table X.		=>	Ŭ	-			, in the second s			, in the second s	-					
		number of biased asc.,	6	2	61	9	0	6	10	0	5	0	9	3		
		both metrics =>														

1364 1365

**Table 1.** Performance of ascertainment schemes explored across 12 population quintuplets and assessed as the fraction of all possible admixture graph
 topologies that are rejected under ascertainment (WR > 3 SE) but accepted on all sites (WR < 3 SE). We also applied the binary classifier to determine if the</li>

1368 ascertainment produces unbiased or biased results (the latter highlighted in bold and underlined text). The numbers of population quintuplets or

1369 ascertainment schemes affected by bias (according to the fraction of topologies that are rejected under ascertainment but accepted on all sites, or

1370 according to this metric and the fraction of topologies that are accepted under ascertainment but rejected on all sites) are shown in the two rightmost

- 1371 columns and in two bottom rows, respectively. The composition of the population sets is shown above the table in an abbreviated way: *arch*, archaic
- 1372 humans, followed by the number of archaic groups; *afr*, Africans; *nafr*, non-Africans or Africans with substantial non-African admixture (Fan et al. 2019).

1373