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4 1 **Modelling Seasonal and Inter-annual Variations in Carbon and Water Fluxes in an**  
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6 2 **Arid-zone *Acacia* Savanna Woodland, 1981-2012**  
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11 4 **Running head: Modelling Variations in Carbon and Water Fluxes**  
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20 **ABSTRACT**

21 Changes in climatic characteristics such as seasonal and inter-annual variability may affect  
22 ecosystem structure and function, hence alter carbon and water budgets of ecosystems. Studies of  
23 modelling combined with field experiments can provide essential information to investigate  
24 interactions between carbon and water cycles and climate. Here we present a first attempt to  
25 investigate the long-term climate controls on seasonal patterns and inter-annual variations in water  
26 and carbon exchanges in an arid-zone savanna-woodland ecosystem using a detailed mechanistic  
27 soil-plant-atmosphere model (SPA), driven by leaf area index (LAI) simulated by an  
28 ecohydrological model (WAVES) and observed climate data during 1981–2012. The SPA was  
29 tested against almost three years of eddy covariance flux measurements in terms of gross primary  
30 productivity (GPP) and evapotranspiration (ET). The model was able to explain 80% and 71% of  
31 the variability of observed daily GPP and ET, respectively. Long-term simulations showed that  
32 carbon accumulation rates and ET ranged from 20.6 g C m<sup>-2</sup> mon<sup>-1</sup> in the late dry season to 45.8 g  
33 C m<sup>-2</sup> mon<sup>-1</sup> in the late wet season, respectively, primarily driven by seasonal variations in LAI and  
34 soil moisture. Large climate variations resulted in large seasonal variation in ecosystem water-use  
35 efficiency (eWUE). Simulated annual GPP varied between 146.4 and 604.7 g C m<sup>-2</sup> yr<sup>-1</sup>. Variations  
36 in annual ET coincided with that of GPP, ranging from 110.2 to 625.8 mm yr<sup>-1</sup>. Annual variations  
37 in GPP and ET were driven by the annual variations in precipitation and vapour pressure deficit  
38 (VPD) but not temperature. The linear coupling of simulated annual GPP and ET resulted in  
39 eWUE having relatively small year-to-year variation.

40 **Keywords:** Gross primary production; Evapotranspiration; Transpiration; Water-use efficiency;  
41 WAVES model; SPA model.

## 42 INTRODUCTION

43 Climate and its variability play an important role in mediating the structure and function of  
44 terrestrial ecosystems because of the close association between the carbon and hydrological cycles  
45 (Baldocchi 1997; Williams and others 2001a). It has been predicted that climate will change at  
46 both regional and globe scales and thus patterns of precipitation, temperature, solar radiation and  
47 vapour pressure deficit (VPD) may vary seasonally (Houghton and others 1996; IPCC 2014).  
48 Year-to-year variability under a future warmer climate is also expected to be larger (Salinger 2005).  
49 These changes in climate are likely to affect terrestrial ecosystem uptake of atmospheric CO<sub>2</sub> and  
50 the hydrological cycle. Understanding the responses of hydrological and plant physiological  
51 processes in terrestrial ecosystem to climate variations is of importance to rangeland and water  
52 resource managers, particularly in arid and semi-arid regions, such as arid-zone savanna  
53 woodlands.

54 Savannas are among the most striking vegetation types, located in tropical and sub-tropical zones  
55 that contain a discontinuous tree canopy with a substantial grass understory (Eamus and Prior  
56 2001). These ecosystems occupy a large proportion of global land area (about 20%) and  
57 significantly contribute to regional and continental-scale carbon and water budgets. Savannas  
58 experience large variations in temperature, moisture availability and sunlight, which are  
59 controlling factors of the ecosystem CO<sub>2</sub> exchange (Baldocchi 2008; Wohlfahrt and others 2008;  
60 Yan and others 2011; Cleverly and others 2013) and water fluxes (Eamus and others 2013b). This  
61 is particularly the case in Australia, the driest permanently inhabited continent on Earth (Eamus  
62 2003), where savannas cover approximately 25% of the continent.

63 Due to the remoteness of Australia's interior, however, studies that investigate the controls exerted

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4 64 by climate on water and carbon dynamics have only recently been undertaken in Australian  
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6 65 arid-zone savannas (Cleverly and others 2013; Eamus and others 2013b). The climate of the region  
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9 66 is characterized by a distinct wet season (Nov–Feb) accounting for 75–80% of annual precipitation  
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11 67 (Eamus and others 2013b), but with a long period (up to four months) of no rainfall in the dry  
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14 68 season. These field experiments were conducted over a period of about 2 years and provided  
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16 69 useful information on carbon and water dynamics in the arid-zone savannas. However, long-term  
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19 70 studies of carbon and water fluxes are needed to understand seasonal and inter-annual relationships  
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21 71 between climate and the exchanges of carbon and water.  
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24 72 Detailed process-based simulation models are valuable tools to integrate knowledge of climate,  
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26 73 plant processes and hydrology and scale these processes to stand and ecosystem levels (Williams  
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29 74 and others 1996; Landsberg and Coops 1999; Braswell and others 2005). The  
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31 75 soil-plant-atmosphere (SPA) model is a process-based model that is able to simulate ecosystem  
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34 76 photosynthesis, energy balance and water transport. The model was designed for direct  
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36 77 comparisons with eddy covariance (EC) estimates of carbon and water fluxes and has been  
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39 78 successfully implemented in temperate (Williams and others 1996, 2001a), tropical (Williams and  
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41 79 others 1998) and arctic (Williams and others 2000) ecosystems, including recent applications in  
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44 80 Australian ecosystems (Zeppel and others 2008; Whitley and others 2011; Eamus and others  
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46 81 2013a). In one example from a temperate ecosystem, Schwarz and others (2004) concluded that  
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49 82 SPA was suitable for simulating seasonal and annual responses of gross primary productivity (GPP)  
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51 83 and transpiration (T) to climate variability in seasonally drought-affected Ponderosa pine forests.  
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54 84 Likewise in the present study we applied the SPA model to investigate climatic controls on GPP  
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56 85 and evapotranspiration (ET) in a Mulga-dominated landscape.  
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4 86 The SPA model requires daily leaf area index (LAI) as an input. In contrast to the soil, plant  
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6 87 physiological and meteorological parameters, it is difficult to obtain daily LAI for long-term  
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8 88 simulations (e.g. 30 years). The water-atmosphere-vegetation-energy-soil (WAVES) is a model  
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11 89 based on the biophysical processes within the soil-vegetation-atmosphere system (Zhang and  
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14 90 others 1996; Zhang and Dawes 1998). In the model, carbon assimilation, allocation and respiration  
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16 91 are dynamically estimated from empirical relationships with the availability of light, water,  
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18 92 nutrients and ambient CO<sub>2</sub> concentration, which allows LAI to vary with environmental conditions.  
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21 93 Hence, daily LAI was simulated by the WAVES model and was used as an input to the SPA model  
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24 94 in this study.

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26 95 The ecosystem water-use efficiency (eWUE) can be expressed as net ecosystem exchange (NEE)  
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28 96 or GPP to ET (Beer and others 2009; Perez-Ruiz and others 2010; Linderson and others 2012). It is  
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31 97 an important index representing the trade-off between carbon uptake and water loss and provides a  
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34 98 metric for evaluating ecosystem productivity and resilience (Baldocchi 1994; Ponce-Campos and  
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36 99 others 2013). Alternative to eWUE, inherent WUE (IWUE), calculated as the ratio of  $GPP \times VPD$   
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39 100 to ET (Eamus and others 2013b) can be used to examine the intrinsic link between carbon and  
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41 101 water fluxes through stomatal conductance at the ecosystem level. Studies of eWUE and IWUE  
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44 102 have indicated that they respond differently between wet and dry years (Ponce-Campos and others  
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46 103 2013) and wet and dry seasons (Eamus and others 2013b). Thus the work described herein also  
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49 104 examined the responses of eWUE and IWUE to historical climate variations at both seasonal and  
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51 105 inter-annual scales in this savanna woodland ecosystem.

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54 106 The objectives of this study were to: (1) parameterize and validate the SPA model using almost  
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56 107 three years of eddy covariance measurements and LAI simulated by WAVES in a landscape of  
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4 108 central Australia dominated by a N-fixing tree, Mulga (*Acacia aneura*); and (2) quantify and  
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6 109 explain seasonal and interannual variability of carbon- and water fluxes under historical climate  
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9 110 variations, in terms of GPP, ET and WUE. The primary purpose of this study was to test the  
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11 111 hypotheses that seasonal variations of GPP, ET and WUE of this mulga-dominated landscape are  
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13 112 controlled principally by temperature and VPD, not precipitation considering the fact that  
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15 113 precipitation has relatively less seasonal variation with the dominant pattern of summer rainfall  
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17 114 (Nov–Feb; Eamus and others 2013b); while the large variations in inter-annual precipitation (Chen  
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19 115 and others 2014) affect GPP, ET and eWUE at an inter-annual time scale. As far as it is known to  
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21 116 the authors, this is the first attempt to explore seasonal and inter-annual dynamics of carbon and  
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23 117 water fluxes for this ecosystem over a long time period (1981–2012). Such information is critical  
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25 118 to estimating future changes to carbon sink-source status and hydrological cycles associated with  
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27 119 changes in climate in arid-zone Australia.  
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## 33 120 **MATERIALS AND METHODS**

### 34 121 **Study Site**

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37 122 The site selected for this study is in central Australia, located approximately 200 km north of Alice  
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39 123 Springs, NT (22.283S, 133.249E and a.s.l. 600 m). Climate in this region is characterized by hot  
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41 124 summers and warm winters in the arid tropics. In the past 32 years (1981–2012), summer maximal  
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43 125 temperature (46.2 °C) occurred in Jan and winter minimal temperature (-4 °C) was reached in Aug  
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45 126 in 1994 at the nearest meteorological station (Territory Grape Farm; Eamus and others 2013b).  
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47 127 Large variation in inter-annual precipitation occurs here, ranging from 97.4 to 832.9 mm yr<sup>-1</sup>, with  
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49 128 an average of 326.0 mm yr<sup>-1</sup>. Precipitation is also highly seasonal, with about 75–80% of rainfall  
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51 129 occurring during the wet season (Nov–Apr; Bowman and others 2010). The soil is characterised as  
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4 130 a red kandosol. The ecosystem is an Mulga (*Acacia neura*) savanna woodland with an average  
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6 131 canopy height of 6.5 m above an understory dominated by C4 warm-season grasses that is  
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8 132 dependent upon rainfall (Cleverly and others 2013).  
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#### 10 11 133 Experimental Data for Testing the SPA and WAVES Models 12

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14 134 An eddy covariance tower, a member of the OzFlux network (<http://www.ozflux.org.au/>; Cleverly  
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16 135 2011), was located on a flat plain between the Hanson and Woodforde Rivers. Potential fetch is 11  
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18 136 km to the east and 16 km to the south. EC data were initiated on 2 September 2010 and collected  
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20 137 from a 13.7 m tower. The three-dimensional eddy covariance system was mounted 11.6 m above  
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22 138 the ground, facing into the predominant south-east wind direction (Chen and others 1991), and  
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24 139 consisting of a CSAT3 three-dimensional sonic anemometer (Campbell Scientific Australia,  
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26 140 Townsville, QLD, Australia) and a LiCor 7500 open-path infrared gas analyser (John Morris,  
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28 141 Chatswood, NSW, Australia). Air temperature and relative humidity were measured using an  
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30 142 HMP45C (Vaisala, Helsinki, Finland) at the height of 11.6 m above the ground. Four-component  
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32 143 net radiation was measured at 12.2 m above the ground with a CNR1 (Kipp & Zonen, Delft, The  
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34 144 Netherlands). Precipitation was measured with a CS7000 (Hydrologic services, Warwick, NSW,  
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36 145 Australia), centred in a 10 m × 15 m clearing (about 15 m from the eddy covariance tower) at the  
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38 146 top of a 2.5 m mast. Flux measurements were made at frequency of 10 Hz with a 30-minute  
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40 147 covariance interval.  
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49 148 An AMS Soil Core Sampler (The Environmental Collective, Auckland, New Zealand) was used to  
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51 149 extract intact cores (38 mm diameter × 10 cm depth to a maximum depth of 1.4 m) for laboratory  
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53 150 analysis. A fairly uniform soil profile was identified with bulk density varying only slightly from  
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55 151 1.67 g cm<sup>-3</sup> at the surface to 1.86 g cm<sup>-3</sup> at a depth of 1.4 m. A soil column of 4 m was chosen to  
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4 152 simulate the relatively shallow rooting depth for Mulga at this site.  
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6 153 To calibrate the WAVES model, LAI was derived from images acquired with a digital camera  
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9 154 (Canon DSLR). Five 100 m long transects were established in the tower footprint (NE to SW). To  
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11 155 compute LAI for the canopy (Mulga), images were acquired with the camera oriented toward  
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14 156 zenith (to the sky, 0°). These canopy images were analyzed in Matlab (R2012, The Mathworks,  
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16 157 Natick, MA, USA) using the method of MacFarlane and others (2007). To compute understory  
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18 158 LAI, photographs were oriented toward the soil at an angle of 57.5° from nadir (Weiss and others  
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21 159 2004) and analyzed with CANEYE (V6.3, <https://www4.paca.inra.fr/can-eye>), based on the  
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24 160 method proposed by MacFarlane and others (2007). Total LAI was calculated as the sum of the  
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26 161 canopy and understory components.  
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29 162 A more detailed description of field measurements and data processing can be found in Cleverly  
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31 163 and others (2013) and Eamus and others (2013b).  
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34 164 Forcing Energy Balance Closure  
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36 165 The use of flux data to validate biophysically-based models requires that conservation of energy is  
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38 166 satisfied as it is defined in the model (Twine and others 2000; Chen and others 2014). To force  
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41 167 energy balance closure, energy is generally added into the turbulent term  $[H+\lambda E]$  (where  $H$  and  $\lambda E$   
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44 168 are sensible and latent heat fluxes, respectively) of the closure ratio  $D$  ( $[H+\lambda E]/[R_n-G-S]$ , where  $R_n$   
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46 169 is net radiation,  $G$  is ground heat flux and  $S$  is heat storage) in proportion to the measured Bowen  
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49 170 ratio ( $H/\lambda E$ ). In practice, energy in semi-arid regions is returned to  $H$  when  $ET$  is small and into  $\lambda E$   
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51 171 during the days following rainfall when  $\lambda E$  is large and  $H$  is limited by cloud cover. In the present  
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54 172 study,  $S$  was relatively minor and negligible due to the minimal biomass density of short canopies  
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56 173 (Wilson and others 2002). Therefore, the energy balance was closed each half-hourly period by  
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4 174 attributing the residual energy to H and  $\lambda E$  according to the observed Bowen ratio in that half  
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6 175 hourly period.  
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8 176 SPA Model  
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11 177 The SPA model is a process model (Williams and others 1996) that was designed for direct  
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13 178 comparisons with eddy covariance estimates of carbon and water fluxes (GPP, ET and T) and can  
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15 179 simulate ecosystem photosynthesis, energy balance and water transport at fine temporal and spatial  
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17 180 scales (Williams and others 2001b). The original model has 20 soil layers to simulate root  
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19 181 distribution and soil-water uptake and has 10 canopy layers to simulate C<sub>3</sub> vascular plant  
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21 182 processes at a 30-min time step. The ecosystem structure is described by vertical variations among  
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23 183 canopy layers in light absorbing leaf area, photosynthetic capacity, and plant hydraulic properties  
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25 184 (Williams and others 2001a). Because of the significant contribution of C<sub>4</sub> grasses to total LAI  
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27 185 during the wet season in tropical savannas, Whitely and others (2011) added a C<sub>4</sub> photosynthesis  
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29 186 module to simulate understory grasses. The modified SPA model was used here. Photosynthesis  
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31 187 process for C<sub>3</sub> trees is predicted by using the C<sub>3</sub>-photosynthesis model of Farquhar and others  
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33 188 (1980), and the simplified C<sub>4</sub> photosynthesis model as described by Collatz and others (1992) is  
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35 189 used to determine the C<sub>4</sub> net assimilation rate. The model contains 10 canopy layers. The model  
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37 190 user can define the layers accounted for by C<sub>3</sub> trees and C<sub>4</sub> grass based on the contribution of the  
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39 191 tree or grass to total LAI. ET and T are determined by the Penman-Monteith equation (Monteith  
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41 192 and Unsworth 2008), in which surface conductance is derived internally from the optimal stomatal  
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43 193 conductance that is adjusted to maximize daily carbon uptake per unit of foliar nitrogen and  
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45 194 maintain vapour exchange beneath a threshold maximum to avoid cavitation of the hydraulic  
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47 195 system (Williams and others 1996). Water loss is determined by the water potential gradient  
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4 196 between leaf and soil, liquid phase hydraulic resistances and the capacitance of the pathway from  
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6 197 soil to leaf. Detailed information on the parameterization of the model is given in Table 1. More  
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8 198 detailed descriptions of the fundamental equations, model logic, algorithms and the development  
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10 199 history of the SPA model were given by Williams and others (1996, 1998, 2001a) and Whitley and  
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12 200 others (2011).

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16 201 SPA is one of the most widely and successfully applied land surface exchange models and has  
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18 202 been tested across a range of diverse ecosystems such as Arctic tundra (Williams and others 2001a)  
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20 203 and Brazilian tropical rainforests (Fisher and others 2007). The model driven by observed LAI has  
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22 204 also been tested and used for simulating carbon and water cycle components in woodland of arid  
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24 205 and semi-arid Australia (Zeppel and others 2008; Whitley and others 2011). These applications of  
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26 206 the SPA model indicate that model simulation can explain at least 60% of the variation in carbon  
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28 207 and water fluxes in these tested areas. However, it is difficult to obtain daily LAI values at  
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30 208 long-time scale, which limits the application of the model for the long-term simulations.

### 31 209 WAVES Model

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36 210 WAVES is an ecohydrological model (Dawes and Hatton 1993; Zhang and others 1996) that  
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38 211 adopts a one or two layer canopy representation with a soil layer underneath. In WAVES, the  
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40 212 micrometeorological interactions between canopy and atmosphere are regulated by the omega  
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42 213 coefficient proposed by Jarvis and McNaughton (1986). The model includes estimates of carbon  
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44 214 storage and allocation between leaves, roots and stems as in Running and Coughlan (1988). The  
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46 215 daily carbon assimilation rate is estimated by the maximum carbon assimilation rate and relative  
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48 216 growth rate multiplicatively, the former of which is a species dependent parameter reported for C3  
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50 217 plants by Collatz and others (1991) and C4 by Collatz and others (1992). Assimilation is allocated  
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4 218 according to the priorities of maintenance respiration, growth respiration, leaf and root growth, and  
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6 219 stem growth. Leaf area increase is determined by carbon allocated to leaves and specific leaf area,  
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9 220 and root growth is determined by the allocated carbon that is distributed amongst soil nodes  
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11 221 weighted by the availability of soil water and nutrients following the methodology of Wu (1994).  
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14 222 The physiological responses of canopy conductance and assimilation rate are fully coupled with  
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16 223 climatic regulation on stomata and water and nutrients availability to roots, which allows LAI to  
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19 224 vary with different environmental conditions. The WAVES model has been proven to be able to  
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21 225 successfully reproduce vegetation structure (e.g. LAI) from field observations (Zhang and others  
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24 226 1999; Wang and others 2001; Shao and others 2002). However, WAVES is an ecohydrological  
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26 227 model, not a process-based carbon model. It does not explicitly simulate full carbon cycle and nor  
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29 228 does it provide direct GPP estimates, the latter of which is one of the objectives of this study. As  
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31 229 with SPA, ET was estimated by the Penman-Monteith approach (Monteith and Unsworth 2008) in  
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34 230 WAVES. A more detailed description of WAVES is provided in Zhang and others (1996), Dawes  
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36 231 and others (1998) and Zhang and Dawes (1998).  
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39 232 We recently successfully parameterized and tested WAVES for this site (Chen and others 2014),  
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41 233 using the same flux data as used in the present study. Although MODIS reflectance data are often  
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44 234 noisy, LAI modeled with WAVES agreed reasonably well with measured and MODIS LAI,  
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46 235 derived from the MOD15A2 product (8-Day Composite, 1 km wide  $\times$  1 km high) centered on the  
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49 236 coordinate of the tower (<http://daac.ornl.gov/MODIS/>). The model was able to explain about 93%  
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51 237 of the variation in observed understory LAI (0.04 RMSE) and 65% of the variation in the canopy  
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54 238 LAI (0.05 RMSE, Fig. 1). In the simulation, the coefficient of determination of simulated and  
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56 239 measured canopy LAI was smaller than that for the understory (Chen and others 2014), due to  
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4 240 extreme variability in leaf physiology, water status and responses to moisture and heat stress of  
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6 241 Mulga as a “stress endurer” (Winkworth 1973; O’Grady and others 2009; Cleverly and others  
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9 242 2013; Eamus and others 2013b). This was also because LAI was optimized to maintain a better  
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11 243 match between simulated and measured values of ET. Generally speaking, the calibrated WAVES  
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14 244 model can be used confidently to simulate vegetation growth in the study area. Thus in the present  
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16 245 study, we firstly used the parameterized WAVES model to obtain the dynamics of LAI for the  
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18 246 period of 1981-2012, and then by using the LAI output from WAVES as an input for SPA, the SPA  
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21 247 model was used to quantify the response of water and carbon fluxes to climate variability at this  
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24 248 Mulga site during 1981–2012. The combination of the two models enabled us to estimate carbon  
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26 249 and water fluxes under different climatic and vegetation characteristics by taking the advantages of  
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29 250 each model.

### 31 The SPA Model Evaluation

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34 252 The EC flux data during 3 Sep 2010–30 Jun 2013 were used to evaluate the performance of the  
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36 253 SPA model in simulating water and carbon fluxes under variable climate conditions in terms of ET  
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39 254 and GPP. We divided these data into two datasets. The data obtained between 3 Sep 2010 and 31  
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41 255 Dec 2011 were used to parameterize the model; those obtained during 1 Jan 2012 and 30 Jun 2013  
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44 256 were used to validate the model. Model inputs and their values and source of this study were  
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47 257 shown in Table 1. Three indices were used to evaluate the performance of the SPA model: i) the  
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49 258 coefficient of determination ( $r^2$ ), describing the [proportion](#) of the variance in measured data  
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51 259 explained by the model; ii) the root mean square error (RMSE), providing a measure of the  
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54 260 absolute magnitude of the error; and iii) model efficiency (ME), presenting variation in measured  
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57 261 values accounted for the model. The indices were calculated as follows:

$$262 \quad \text{RMSE} = \sqrt{\frac{1}{n} \sum_{i=1}^n (P_i - O_i)^2} \quad (1)$$

$$263 \quad \text{ME} = 1 - \frac{\sum_{i=1}^n (P_i - O_i)^2}{\sum_{i=1}^n (O_i - O_{\text{avg}})^2} \quad (2)$$

#### 264 Application of the SPA Model for Long-term Simulations

265 The validated SPA model was then applied to simulate the responses of carbon and water fluxes in  
 266 the arid-zone *Acacia* savanna woodland to historical climate variability (1981–2012). For  
 267 application of the SPA model, meteorological data at half-hourly intervals are needed. To meet this  
 268 requirement for long-term simulation, daily climate data during 1981–2012 at Territory Grape  
 269 Farm, extracted from SILO (Jeffrey and others 2001; <http://www.bom.gov.au>) were interpolated  
 270 into half-hourly intervals. To do this, firstly, the half-hourly meteorological data (temperature,  
 271 solar radiation, rainfall or VPD) during Sep 2010–Jun 2013 measured at an on-site meteorological  
 272 station were obtained. Secondly, these measured half-hourly meteorological data were examined  
 273 and fitted to determine the daily climatological cycle of each climate variable at an half-hourly  
 274 time step, using a fourth-order polynomial regression. Thirdly, the measured half-hourly  
 275 meteorological data were converted into daily values for each day of the entire period (Sep  
 276 2010–Jun 2013) and then these values were averaged to obtain a daily climatology for each  
 277 climate variable. Finally, the fitted curve of each climate variable obtained in the second step was  
 278 used to re-scale the 32 years of meteorological data to obtain long-term half-hourly meteorological  
 279 data, by multiplying the ratio of the daily value during 1981–2012 to the daily average obtained in  
 280 the third step. Due to the lack of local CO<sub>2</sub> concentration values, global monthly CO<sub>2</sub>  
 281 concentration values during 1981–2012 were used for each 30 minutes during the corresponding

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4 282 month of the year. Moreover, the average annual cycle of half-hourly wind speed during the field  
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6 283 experimental period was used for all the 32 years due to unavailability of wind speed data at this  
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9 284 remote meteorological station.

## 10 11 285 **RESULTS**

### 12 13 14 286 Model Performance

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16 287 The comparisons of simulated ET and GPP with the corresponding measurements obtained from  
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18 288 the field experiment during 3 Sep 2010–30 Jun 2013 are shown in Fig. 2. In general, the model  
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21 289 was able to capture periods of very low and peak values of measured daily ET, which ranged from  
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23  
24 290 0.0 to 5.93 mm with an average of 1.15 mm, compared to the simulated ET that ranged from 0.0 to  
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26 291 5.31 mm with an average of 1.29 mm (Fig. 2a). The SPA model was also able to capture periods of  
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29 292 low and peak daily GPP and replicate seasonal and annual patterns of derived GPP closely (Fig. 2b).  
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31 293 Values of GPP derived from EC measurements ranged from 0 to 5.57 g C m<sup>-2</sup> d<sup>-1</sup> with an average  
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33  
34 294 of 1.52 g C m<sup>-2</sup> d<sup>-1</sup>, with maximum values occurring in March and minima in July. Maximal and  
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36 295 minimal GPP simulated by SPA also occurred in March and July, respectively. The modelled GPP  
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39 296 ranged from 0.09 to 5.43 g C m<sup>-2</sup> d<sup>-1</sup> with an average of 1.49 g C m<sup>-2</sup> d<sup>-1</sup>.

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41 297 The model simulated carbon and water fluxes with acceptable accuracy and precision. There was a  
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43  
44 298 significant positive relationship between simulated and observed ET across the field observational  
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46 299 period (Table 2), with r<sup>2</sup> of 0.71 and RMSE of 0.86 mm d<sup>-1</sup>, although the model slightly  
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48  
49 300 overestimated ET by 12.2% due to an overestimation of LAI values (Fig. 1). This overestimation  
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51 301 resulted from maintaining a match between modelled and measured values of ET in WAVES that  
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54 302 has the difficulty in capturing the extreme variability in leaf physiology, water status and responses  
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56 303 to moisture and heat stress of Mulga as a “stress endurer” (Chen and others 2014). The model  
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4 304 explained 80% of the variation in daily GPP, with a RMSE value of  $0.45 \text{ g C m}^{-2} \text{ d}^{-1}$ . The values of  
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6 305 ME were close to 1 for both GPP and ET.

### 7 8 306 Seasonal Patterns in Carbon and Water Fluxes

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10  
11 307 The climate at this site is characterized by two distinct seasons: hot-wet summer (Dec–Feb) and  
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13 308 warm winter (Jun–Aug; Fig. 3). During the observation period monthly mean temperatures ranged  
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15 309 from  $13.6 \text{ }^{\circ}\text{C}$  in July to  $29.8 \text{ }^{\circ}\text{C}$  in January (Fig. 3a), while monthly mean solar radiation varied  
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17 310 between  $15.9$  in June and  $26.2 \text{ MJ m}^{-2} \text{ d}^{-1}$  in January (Fig. 3b). About 55% of precipitation fell in  
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19 311 the three summer months (Dec to Feb, Fig. 3c). VPD in the summer was almost three times larger  
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21 312 than in the winter (Jun to Aug; Fig. 3d) as a result of high temperatures that compensated for the  
22  
23 313 large absolute humidity during the summer. The largest total monthly GPP ( $48.5 \text{ g C m}^{-2}$ ) occurred  
24  
25 314 in March (Fig. 4a), reflecting the influence of high (relative to winter values) soil water content  
26  
27 315 arising from summer rainfall coupled with moderate temperature and solar radiation in this month  
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29 316 (relative to higher values in January and much lower values in June; Fig. 3). From March through  
30  
31 317 to September, ecosystem GPP declined exponentially to a minimum of  $20.6 \text{ g C m}^{-2} \text{ mon}^{-1}$ .  
32  
33 318 Simulated ET also displayed strong seasonal variations (Fig. 4b). The largest total monthly ET  
34  
35 319 occurred in February ( $46.2 \text{ mm}$ ) due to the effects of summer rainfall, high temperature and high  
36  
37 320 VPD in this month. After reaching maximum values in February, ET showed an approximately  
38  
39 321 exponential decline to a minimum monthly value of  $11.5 \text{ mm}$  in September.

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41 322 Using the SPA model with LAI outputs from the WAVES model as inputs, landscape carbon and  
42  
43 323 water fluxes for the C3 overstory and C4 understory were disaggregated. During the wet season  
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45 324 (Nov to Mar), simulated total monthly GPP ranged between  $23.4$  and  $48.5 \text{ g C m}^{-2}$ , of which the  
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47 325 C3 tree overstory accounted for 62–86% and C4 grass understory contributed 14–38% (Fig. 4c).  
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4 326 Over the same period, the water transpired by the C3 overstory accounted for about 66–81% of the  
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6 327 total water-use (ET), while C4 understory accounted for approximately 8–23% (Fig. 4d). During  
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8 328 the dry season (Jun to Sep), almost all carbon and water fluxes were accounted for by the C3  
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10 329 component of the vegetation (Fig. 4c, d), as there was little C4 leaf area or biomass to support any  
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14 330 carbon and water fluxes.

### 16 331 Inter-annual Variability in Water and Carbon Fluxes

17  
18 332 Climatic conditions in the last 32 years were highly variable with large fluctuations in precipitation  
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20 333 (97.4–832.5 mm yr<sup>-1</sup>; Fig. 5c). Annual mean VPD showed moderate variation, ranging from 1.3 to  
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22 334 2.1 kPa (Fig. 5d). Likewise, variations in annual mean temperature (21.2–23.4 °C) and solar  
23  
24 335 radiation (20.7–23.1 MJ m<sup>-2</sup> d<sup>-1</sup>) were relatively small (Fig. 5a, b). Such inter-annual climate  
25  
26 336 variability resulted in GPP ranging between 146.4 and 604.7 g C m<sup>-2</sup> yr<sup>-1</sup> (standard deviation of  
27  
28 337 97.6 g C m<sup>-2</sup> yr<sup>-1</sup>) over the 32-year period (Fig. 6a). C3 trees were estimated to contribute more  
29  
30 338 than 75% of total GPP in 85% of 32 simulated years. In contrast, average annual GPP of C4 grass  
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32 339 understory accounted for 20% of total GPP due to its short growing season and small LAI. The  
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34 340 annual total ET from the *Acacia* savanna woodland was simulated to vary between 110.2 and  
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36 341 625.8 mm yr<sup>-1</sup> over 32 years, with a standard deviation of 114.2 mm yr<sup>-1</sup> (Fig. 6b). Annual patterns  
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38 342 of simulated GPP (Fig. 6a) closely matched those in simulated annual ET (Fig. 6b). Simulated T of  
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40 343 the C3 overstory ranged from 74.3 to 459.2 mm yr<sup>-1</sup>, accounting for 60–73% of the estimated ET.  
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42 344 In contrast, the simulated water transpired by C4 grasses only accounted for 6–17%.

### 51 345 Ecosystem Water-Use-Efficiency and Inherent WUE

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53  
54 346 We used SPA outputs to estimate water-use-efficiency at the ecosystem scale (eWUE, calculated as  
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56 347 GPP/ET; Perez-Ruiz and others 2010) and inherent WUE (IWUE; calculated as GPP\*VPD/ET;  
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4 348 Eamus and others 2013b) (Figs. 7, 8), eWUE for C3 trees (C3 WUE, calculated as GPP/T) and C4  
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6 349 grasses (C4 WUE, calculated as GPP/T) (Figs. 7, 8). Monthly mean eWUE showed strong  
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9 350 seasonal variations, with values ranging from 0.77 to 1.88 g C m<sup>-2</sup> (mm water)<sup>-1</sup> with a standard  
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11 351 deviation of 0.42 g C m<sup>-2</sup> (mm water)<sup>-1</sup> (Fig. 7). Even larger variation was found for IWUE,  
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14 352 varying between 1.26 to 3.39 g C m<sup>-2</sup> (mm water)<sup>-1</sup> with a standard deviation of 0.61 g C m<sup>-2</sup> (mm  
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16 353 water)<sup>-1</sup>. The average values of eWUE and IWUE were 1.28 to 2.17 g C m<sup>-2</sup> (mm water)<sup>-1</sup>,  
17  
18  
19 354 respectively. The mean monthly WUE of C4 grasses was always larger than that of C3 trees (37%  
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21 355 on average).

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24 356 Annual mean eWUE varied between 0.75 and 1.59 g C m<sup>-2</sup> (mm water)<sup>-1</sup> during 1981-2012, with  
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26 357 an average of 1.17 g C m<sup>-2</sup> (mm water)<sup>-1</sup> (Fig. 8). The lower eWUE usually occurred in wet years  
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29 358 when VPD was small, and *vice versa*. Thus, due to the effects of inter-annual variability in VPD,  
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31 359 compared with that of eWUE, inter-annual variations of IWUE were enlarged, in which the range  
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34 360 increased from 1.1 to 3.3 g C m<sup>-2</sup> (mm water)<sup>-1</sup>. The average value of eWUE (1.1 g C m<sup>-2</sup> (mm  
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36 361 water)<sup>-1</sup>) was smaller than that for IWUE (2.2 g C m<sup>-2</sup> (mm water)<sup>-1</sup>).

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39 362 The inter-annual variation of eWUE for C3 trees was similar to that of eWUE due to the large  
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41 363 proportion of annual GPP and T by C3 vegetation (Fig. 8). Compared with eWUE and C3 WUE,  
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44 364 C4 WUE showed largest inter-annual variability with a range of 1.11 to 3.06 g C m<sup>-2</sup> (mm water)<sup>-1</sup>.

## 45 46 365 **DISCUSSION**

### 47 48 49 366 The Simulation Models and Data Extrapolation

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51 367 Understanding carbon–water relations in arid-zone woodland ecosystems is important for  
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54 368 improving land and water management within the limitations of the ecohydrologic system. A  
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56 369 modeling approach is especially effective in examining the patterns and drivers in complex fluxes  
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4 370 across long timescales because conducting field experiments and maintaining a field-based flux  
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6 371 measurement system in remote regions is difficult and expensive. This study presents a first  
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9 372 attempt to explore the long-term temporal dynamics of water and carbon cycles in an arid-zone  
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11 373 savanna-woodland ecosystem by combining an ecohydrological model, WAVES, that simulates the  
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13 374 dynamics of vegetation growth and development, with a detailed mechanistic soil-plant-  
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16 375 atmosphere model, SPA. Land surface exchange models that simulate long-term fluxes usually  
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18 376 require such input variables as LAI (Baldocchi and Wilson 2001), which is difficult to obtain at  
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21 377 fine- and long-time scales. Thus, attempts to model carbon dioxide and water vapour exchange  
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23 378 over decadal time-scales often require linked ecophysiological models that provide information on  
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26 379 parameters related to vegetation growth (especially LAI).

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29 380 The first objective of this study was to parameterize and test SPA against eddy covariance data.  
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31 381 The large ME and small RMSE values for simulated ET and GPP show that the SPA model, driven  
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33 382 by LAI values provided by the WAVES model, is able to reproduce the responses of water and  
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36 383 carbon fluxes of the woodland ecosystem to climate variability. Although experimental data  
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38 384 used to test the model was only over a 3-year period, this time period contained two years  
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41 385 of contrasting rainfall. The first year was wet (>250 mm above average rainfall), while  
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44 386 little precipitation (>100 mm below average) fell during the second year (Cleverly and  
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47 387 others, 2013). As such, we have reason to believe that the parameters calibrated for the  
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49 388 experimental period are suitable to others years. This combination of two process-based  
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51 389 models has the potential to provide answers to questions concerning the role of climate on  
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54 390 ecosystem water and carbon balances. To use the calibrated model to analyse carbon and water  
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56 391 fluxes of the last 32 years, we first interpolated daily climate data for 32 years into half-hour  
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4 392 intervals to meet the requirement of the SPA model. Some interpolation errors may result from the  
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6 393 assumption that each climate variable (temperature, solar radiation, precipitation or vapour pressure  
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8 394 deficit) had the same daily cycle throughout the study period. In addition, because of a lack of  
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10 395 long-term wind speed data, we assumed the 32-year wind speed was the same as the observed  
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12 396 half-hour wind speed climatological cycle. Such assumptions are possibly a major source for  
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14 397 uncertainty in simulated GPP and ET at half-hour resolution. A sensitivity analysis showed that a  
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16 398 50% of decrease in wind speed would result into about 2% decrease in simulated ET and 2%  
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18 399 increase in simulated GPP, while a 50% of increase in wind speed would decrease about 14% of  
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20 400 ET and decrease about 17% of GPP. These uncertainties may further affect eWUE (-4% – 5%) at  
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22 401 this time scale. However, being restricted by data availability this study aims to make a first  
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24 402 attempt to explore seasonal and inter-annual dynamics of carbon and water fluxes for an arid-zone  
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26 403 savanna ecosystem in the remoteness of Australia's interior over such a long time period  
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28 404 (1981–2012). We argue that our results of simulated GPP and ET at seasonal and inter-annual  
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30 405 scales are reliable, despite those uncertainties, as the daily average of interpolated climate variable  
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32 406 were still kept the same as the original daily average.

#### 407 Seasonal Fluxes of GPP and ET and the Relative Contributions of C3 Trees and C4 408 Grasses (1981-2012)

409 Simulated GPP and ET were smallest at the end of the dry season (Sep) and highest in the wet  
410 season (Feb–Mar; Fig. 4). Although showing a similar seasonal cycle, a linear regression fit of  
411 temperature, solar radiation and VPD with GPP and ET indicates a low correlation with the  
412 coefficient of determination ( $r^2$ ) varying between 0.001 to 0.23 for GPP and 0.19 to 0.70 for ET  
413 (Table 3). In contrast, the correlations between GPP and ET with the LAI and the soil water content

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4 414 were much stronger at a seasonal timescale ( $r^2$  values  $\geq 0.72$ ; Fig. 9). Thus, meteorological  
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6 415 variables are only indirectly related to GPP and ET at the seasonal time-scale. Consequently, our  
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9 416 first hypothesis that temperature and VPD would explain the seasonal variability of GPP and ET  
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11 417 was rejected. We conclude that it is not the direct influence of climate variables on leaf physiology  
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13 418 *per se* that causes seasonal changes in GPP and ET of the savanna ecosystem but variations in LAI  
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16 419 and soil water that are important. Whereas, by contrast, Migliavacca and others (2009) showed that,  
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18 420 during summer, a significant reduction of net productivity of a poplar plantation under peculiar  
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21 421 eco-climatic conditions was mainly driven by high temperature even in absence of marked soil  
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23 422 water stress. Tian and others (2000) found that seasonality in precipitation (but not temperature),  
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25 423 especially the amount received during the drier months, was an important control on net ecosystem  
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28 424 production in the undisturbed ecosystems of the Amazon Basin.  
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31 425 Changes in total LAI were found predominantly in the C4 understory, in which physiological  
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33 426 activity is restricted to the summer (Fig. 10). Consequently, despite having a lower contribution to  
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36 427 the total green biomass, the understory plays a significant role in shaping the seasonality of the  
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39 428 LAI. The months of peak LAI in the understory (Jan–Feb) coincided with peaks in temperature,  
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41 429 precipitation and VPD (Chen and others 2014). In contrast, GPP and T peaked in March (Fig. 4)  
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44 430 after precipitation had mostly ceased, temperature and VPD were moderate, and canopy LAI was  
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47 431 near a minimum (Fig. 10). Mulga GPP and T were relatively small during December through  
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49 432 February (Fig. 4) due to extreme high temperature (Nix and Austin, 1973) and VPD (Eamus and  
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52 433 others 2013b). However, they were even smaller during July through September due to low  
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54 434 precipitation and hence reduced soil moisture content. By the end of the winter dry season, soil  
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57 435 moisture content declined to a minimal value, which was associated with a decline in stomatal  
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4 436 conductance and photosynthetic rate of savanna species generally, including Mulga trees (Eamus  
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6 437 and Cole 1997; O'Grady and others 2009; Cleverly and others 2013). The combined effects of  
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8 438 minimal understory LAI and declining soil moisture content resulted in little ET and GPP towards  
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10 439 the end of the dry season, when Mulga growth was also restricted. In brief, seasonal variability of  
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12 440 GPP and ET was driven by LAI and soil moisture in this arid-zone Acacia savanna woodland.

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15 441 Simulated wet season productivity accounted for approximately 50% of total annual productivity  
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17 442 as a result of the large contribution by C4 grasses to total LAI and wet soil due to summer  
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19 443 precipitation control, which were the main factors to affect GPP (Fig. 9).

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21 444 Due to the high water stress tolerance of Mulga trees (O'Grady and others 2009), they remain  
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23 445 photosynthetically active in the dry season (although dropping a large percentage of their green  
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25 446 leaf area), thus, contributing 5.7 times more to the total annual GPP compared to the annual C4  
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27 447 grasses. Approximately 62% of annual ET occurred in wet seasons, of which T of C3 trees  
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29 448 accounted for 66% and that of C4 grasses accounted for 11%. Although C4 grasses contributed a  
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31 449 relatively small proportion of ecosystem ET across the wet season, those contributions were  
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33 450 disproportionately focused on sub-seasonal periods of wet soils, high temperature and large VPD  
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35 451 (Nix and Austin 1973).

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37 452 Inter-annual Variation of GPP and ET and the Relative Contributions of C3 Trees and C4  
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39 453 Grasses (1981-2012)

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41 454 Climate in the last 32 years varied with large fluctuations in precipitation, radiation, temperature  
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43 455 and VPD due to the effects of the Australian monsoon depressions (Kong and Zhao 2010; Berry  
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45 456 and others 2011). Years with large amounts of precipitation also experienced reduced temperature,  
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47 457 solar radiation receipt and VPD (Fig. 5), illustrating the control exerted by precipitation and cloud  
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4 458 cover on temperature and VPD at an annual timescale (Cleverly and others 2013). Correlation  
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6 459 analysis showed that variations in annual GPP and ET were significantly related to inter-annual  
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9 460 variations of annual precipitation, and to a lesser extent VPD (Fig. 11). Our results therefore  
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11 461 showed that inter-annual variability of annual GPP in savanna woodland ecosystem is functionally  
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14 462 more dependent on precipitation and VPD than temperature. In other words, inter-annual  
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16 463 variability of GPP and ET is driven by differences in precipitation and VPD, as concluded by  
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19 464 Eamus and others (2013b). This is in contrast to other cooler ecosystems such as boreal forests, in  
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21 465 which annual GPP is positively correlated with mean annual air temperature (Law and others 2002;  
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23  
24 466 Nemani and others 2003). This is because mean annual temperature in the present study is higher  
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26 467 than the threshold of 20 °C (Fig. 5), above which GPP is generally insensitive (Wang and others  
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28  
29 468 2008). As a result of high inter-annual variability in precipitation (Fig. 5c) and VPD (Fig. 5d),  
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31 469 simulated annual ET and GPP differed substantially between dry years (years when precipitation  
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33  
34 470 was below 25% of the precipitation percentiles from 1981 to 2012) and wet years (years when  
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36 471 precipitation was larger than 75% of the precipitation percentiles from 1981 to 2012; Fig. 12).  
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39 472 Simulated annual ET varied between 110.2 to 625.8 mm, which accounted for 74–134% (97% on  
40  
41 473 average) of annual rainfall ranging from 97.4 to 832.5 mm. The over 100% values of the ratio of  
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43  
44 474 annual ET to annual rainfall indicate that there was carry-over of water stored in the soil from  
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46 475 one year to the next (Fig. 13a, b). Our simulation results showed that this time lagged response  
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49 476 happened in 32% of simulated years and could be sustained up to three continuous years (data not  
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51 477 shown). Such time lagged responses have previously been demonstrated in semi-arid grasslands  
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54 478 and woodlands (Flanagan and others 2011; Raz-Yaseef and others 2012). The use of water that was  
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56 479 released from storage in a different year from which it entered was largest when a relatively wet  
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4 480 year was followed by a dry year. Carry-over of soil moisture is possible in this area due to the  
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6 481 patchy but extensive hardpan that underlies central Australian red kandosols within rooting depths  
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8 482 (Morton and others 2011). The sub-surface hardpan prevents rainfall from generating deep  
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10 483 drainage and thereby increases the duration for which water is available to vegetation. As an  
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12 484 evergreen “stress endurer” (Winkworth 1973; O’Grady and others 2009; Cleverly and others 2013;  
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14 485 Eamus and others 2013b), Mulga can maintain positive rates of photosynthesis and T in drought  
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16 486 years by having access to soil moisture that was stored during antecedent wet years.  
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18 487 Studies showed that, in the tropical eucalypt savannas of northern Australia (annual average  
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20 488 rainfall of 1750 mm), C3 vegetation accounted for approximately 60% of the total annual GPP and  
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22 489 T of C3 trees contributed about 57% of total annual ET, while C4 grasses contributed 40% and 11%  
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24 490 to total annual GPP and ET, respectively (Whitley and others 2011). In contrast, in this study C3  
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26 491 trees accounted for 81% and 71% of the total annual GPP and ET in the semi-arid Mulga  
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28 492 woodland region during the 32 years of study period (1981–2012), with C4 grasses contributing 19%  
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30 493 of total GPP and T of C4 grasses being 11% of annual total ET (Fig. 6). Even though their annual  
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32 494 average contributions to GPP and ET are relatively small due to the large variability in rainfall, the  
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34 495 contributions by C4 grasses to annual carbon and water budgets should not be ignored because  
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36 496 photosynthesis and T in the understory occur during the summer when GPP in the C3 trees is still  
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38 497 minimal (Fig. 5).

#### 498 Ecosystem Water-Use Efficiency and Inherent WUE

499 Determinations of the temporal pattern of the WUE in arid-wood land ecosystem is an important  
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51 500 contribution to our understanding of the carbon-water relations of these systems. Whitley and  
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53 501 others (2011) discussed the seasonal and inter-annual patterns of ecosystem WUE in mesic  
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4 502 savannas for 5-year period. By contrast, we explored seasonal and inter-annual dynamics of  
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6 503 ecosystem WUE over a longer time period (1981–2012) in a semi-arid woodland savanna. Our  
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9 504 results showed that eWUE was much higher (56% increase) in the dry season than the  
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11 505 corresponding value in the wet season (Fig. 7). This is because that the relative magnitude  
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13 506 difference in GPP between wet season and dry season (Fig. 4a) was much smaller than that in ET  
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16 507 between the two seasons (Fig. 4b). This arose because of the maintenance of a modest GPP and a  
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18 508 much reduced ET of the Mulga in the dry season. The smaller eWUE values in the wet season  
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21 509 resulted from higher VPD, higher temperature and relative more precipitation that increased ET  
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23  
24 510 more than GPP.

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26 511 Both C3 WUE and C4 WUE were larger in the dry season than in the wet season for similar  
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28 512 reasons as those explaining eWUE discussed above. The relative contributions to total GPP and T  
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30 513 by the C3 and C4 components of the landscape resulted in much larger WUE for C4 grasses than  
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32 514 Mulga trees, indicating the smaller stomatal conductance and larger photosynthetic rates of C4  
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34 515 grasses compared with C3 trees. In contrast, the difference in IWUE between wet and dry seasons  
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36 516 was small (Fig. 7) because of the mediating effects of VPD. However, variations in IWUE can be  
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38 517 overridden at annual timescales, which was found in the current study, through fluctuations in  
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41 518 VPD and by compensation in stomatal conductance and GPP to changing conditions (Beer and  
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43  
44 519 others 2009). Such dependences of IWUE on environmental conditions indicate ecosystem  
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47 520 physiology possesses an inherent ability to adaptively respond to environmental changes (Beer and  
48  
49  
50 521 others 2009).

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52  
53 522 In this semi-arid savanna woodland, simulated annual GPP followed a similar pattern to annual ET  
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55  
56 523 (Fig. 6), as a result of the intrinsic link between carbon and water fluxes via stomatal conductance  
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4 524 (Beer and others 2009; Leuning 1995; Niu and others 2008). This has also been observed in  
5  
6 525 previous studies (Baldocchi 1994; Beer and others 2009). This linear coupling of GPP and ET  
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8  
9 526 resulted in relatively small variations in annual eWUE, ranging from between 0.80 and 1.46 g C  
10  
11 527  $\text{m}^{-2} (\text{mm water})^{-1}$  in 94% of simulated years, with an average of  $1.17 \text{ g C m}^{-2} (\text{mm water})^{-1}$  (Fig. 8).  
12  
13  
14 528 Although the SPA model has been validated for this site, there may still be many uncertainties in  
15  
16 529 temporal interpolation of climate data and assumptions in wind speeds which may incur some  
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18  
19 530 errors on simulated GPP and ET, which therefore may introduce uncertainties into eWUE.  
20  
21 531 However, the estimated annual mean average eWUE is comparable to those observed for other  
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24 532 vegetation types. It was slightly larger than the global annual mean WUE for forests ( $0.95 \text{ g C m}^{-2}$   
25  
26 533  $(\text{mm water})^{-1}$ ), for grasslands ( $0.93 \text{ g C m}^{-2} (\text{mm water})^{-1}$ ) and for deciduous broadleaf forests  
27  
28  
29 534 ( $0.87 \text{ g C m}^{-2} (\text{mm water})^{-1}$ ), but is similar to evergreen conifer forests ( $1.15 \text{ g C m}^{-2} (\text{mm water})^{-1}$ )  
30  
31 535 (Ponton and others 2006).

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33  
34 536 Over long-time scales (i.e. years to decades), the IWUE of mesic to wet ecosystems (500–3500  
35  
36 537  $\text{mm yr}^{-1}$  precipitation) decreases with declining soil moisture, LAI and maximal leaf-level  
37  
38  
39 538 assimilation (Beer and others 2009). By contrast in the current study, four of the five wettest years  
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41 539 were associated with minimal values in both forms eWUE and IWUE (Fig. 5 and Fig. 8) because  
42  
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44 540 ET responded more rapidly to increased precipitation than GPP, and consequently, the larger  
45  
46 541 eWUE usually occurred in dry years. Similarly, Eamus and others (2013b) observed a seasonal  
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49 542 increase of IWUE as soil moisture declined for this site Mulga woodland. Large inter-annual  
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51 543 variations in precipitation and VPD may lead to large inter-annual variations in IWUE. Due to a  
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53  
54 544 larger mean VPD in a dry year than in a wet year, the eWUE in the dry year was increased more  
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56 545 than in the wet year when it was normalized by VPD (calculation of IWUE). Consequently IWUE  
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4 546 showed larger year-to-year variations than eWUE.

5  
6 547 Climate, GPP and ET

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8 548 The climate in tropical Australia is characterized by strong coupling between precipitation and the

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10 549 Indian Ocean dipole (Saji and others 1999; Ihara and others 2008), which results in highly variable

11  
12 550 moisture availability (Van Etten 2009; Papalexiou and Koutsoyiannis 2013). Since the mid-1970s,

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14 551 the entire Indian Ocean has been warming, coinciding with a shift in climate regime over the

15  
16 552 Pacific Ocean (Ihara and others 2008). During the same period, average annual precipitation has

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18 553 increased and the occurrences of extreme precipitation events has increased, half of which

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20 554 occurred during the latter half of the period of this study (data not shown), which explained the

21  
22 555 increasing trend and larger variability of simulated annual GPP and ET during this study period.

23  
24 556 With increasing amounts and variability of precipitation, carbon and water fluxes are expected to

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26 557 become larger in response to (1) a larger proportion of years that promote growth of C4 grasses; (2)

27  
28 558 carry-over of soil moisture storage for use by C3 trees during favorable conditions in dry years;

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30 559 and (3) higher IWUE at the seasonal time-scale and higher ecosystem WUE at the inter-annual

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32 560 timescale.

33  
34 561 **CONCLUSIONS**

35  
36 562 The modified SPA model successfully reproduced the seasonal evolution and inter-annual

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38 563 variability of measured gross primary productivity and evapotranspiration, by combining with the

39  
40 564 WAVES model through use of the LAI outputs of WAVES as inputs to SPA. Linking land surface

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42 565 exchange models with eco-physiological models is an effective way to explore ecosystem gas

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44 566 exchanges on decadal to century time-scales and has the potential to investigate possible impacts

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46 567 of future climate change on carbon and water fluxes of terrestrial ecosystems.

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4 568 The strong seasonal and inter-annual variations in ecosystem carbon uptake and ET were driven  
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6 569 by different climate factors. Maximal ecosystem carbon accumulation rates and ET occurred in  
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9 570 the late wet season as a result of accumulation of sufficient soil moisture after intensive summer  
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11 571 rainfall and the largest LAI. While the lowest GPP and ET occurred in the late dry season when  
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14 572 water limitation was maximal and total LAI was minimal. As such we conclude that seasonality in  
15  
16 573 meteorological variables of temperature, solar radiation, rainfall and vapour pressure deficit cause  
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18  
19 574 seasonal responses in LAI and soil water, the latter of which drive seasonal patterns of GPP and  
20  
21 575 ET. Simultaneously ecosystem water-use efficiency showed large seasonal variability as a result  
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23  
24 576 of the variations in these climate variables. Simulated annual GPP and ET varied substantially  
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26 577 between years due to the effects of large inter-annual variability of precipitation and vapour  
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29 578 pressure deficit, ranging from 146.4 and 604.7 g C m<sup>-2</sup> yr<sup>-1</sup> for GPP and 110.2 to 625.8 mm yr<sup>-1</sup> for  
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31 579 ET. Average annual precipitation and occurrences of extreme precipitation events have increased  
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34 580 during the latter half of the period of this study, which resulted in an increasing trend and larger  
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36 581 variability of annual GPP and ET during this period. Climate change, especially changes in annual  
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39 582 precipitation and vapour pressure deficit, is likely to cause changes in annual budgets for carbon  
40  
41 583 and water fluxes in this savanna ecosystem. The linear coupling of annual GPP to ET resulted in  
42  
43  
44 584 minimal year-to-year variation in ecosystem water-use efficiency.

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47  
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3 **781 Figure Legends**

4 782 Figure 1. Comparison of total leaf area index (LAI) from field measurements, MODIS and  
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7 783 simulations (a). Comparison of measured and simulated break down of canopy (b) and understory  
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10 784 (c) LAI.

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12 785 Figure 2. Comparison of measured and simulated daily ET (a) and GPP (b) at Alice Spring flux  
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15 786 station from 3 Sep 2010 to 30 Jun 2013.

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17 787 Figure 3. Monthly mean air temperature (a), monthly mean solar radiation (b), monthly  
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20 788 precipitation (c) and monthly mean vapour pressure deficit (VPD) (d) during 1981–2012 at  
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23 789 Territory Grape Farm Station obtained from SILO (Jeffrey and others 2001). The error bar  
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25 790 indicates the ranges of monthly mean air temperature, mean solar radiation, monthly precipitation  
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27 791 or monthly mean VPD during 1981–2012 for each month.

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30 792 Figure 4. (a) Simulated seasonal dynamics of gross primary productivity (GPP) and (c) the  
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33 793 corresponding contributions of tree (C3) and understory (C4); (b) Simulated seasonal dynamics  
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35 794 of ecosystem evapotranspiration (ET) and (d) transpiration (T) of C3 and C4 during 1981–2012.  
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37 795 The dotted–solid lines represent the mean monthly values averaged over the period 1981–2012.  
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39 796 The error bars indicate the range of monthly GPP and ET for each month during 1981–2012.

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42 797 Figure 5. Mean annual temperature (a), mean annual solar radiation (b), annual precipitation (c)  
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45 798 and mean annual vapour pressure deficit (VPD; d) during 1981–2012.

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47 799 Figure 6. (a) Simulated total annual GPP and the corresponding contributions of C3 and C4; (b)  
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50 800 Simulated total annual ET and transpiration (T) of C3 and C4 during 1981–2012.

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52 801 Figure 7. Simulated mean seasonal variations of ecosystem water-use-efficiency (eWUE), inherent  
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55 802 water-use-efficiency (IWUE), WUE for C3 trees (C3 WUE) and WUE for C4 grasses (C4 WUE)  
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58 803 during 1981–2012.

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4 804 Figure 8. Simulated annual mean water-use-efficiency (eWUE), annual mean inherent  
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6 805 water-use-efficiency (IWUE), eWUE for C3 trees (C3 WUE) and eWUE for C4 grasses (C4  
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8 806 WUE) during 1981–2012.

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11 807 Figure 9. The Average monthly (Jan-Dec) GPP (a, c) and ET (b, d) as a function of average  
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13 808 monthly (Jan-Dec) total LAI (upper panel) and soil moisture content (lower panel) during  
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15 809 1981–2012. \*\* indicates  $p < 0.01$ .

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18 810 Figure 10. Simulated monthly mean LAI of the canopy (C3), understory (C4) and their total during  
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20 811 1981–2012, obtained from Chen *et al.* (2014).

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23 812 Figure 11. The linear/curvilinear relationship between annual total GPP (solid line) and ET (dashed  
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25 813 line) and precipitation (a), vapour pressure deficit (VPD; b) and temperature (c). \*\* indicates  $p <$   
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27 814  $0.01$ ; \* indicates  $p < 0.05$ .

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31 815 Figure 12. Simulated seasonal dynamics of gross primary productivity (GPP; a) and ecosystem  
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33 816 evapotranspiration (ET; b) in dry (less than 25% of the precipitation percentiles) and wet (higher  
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35 817 than 75% of the precipitation percentiles) years during 1981–2012.

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38 818 Figure 13. The difference between simulated annual ET and precipitation as a function of annual  
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40 819 precipitation during 1981–2012 (a). The difference between simulated annual ET and  
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42 820 precipitation as a function of the previous year's precipitation during 1981–2011 (b). A positive  
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44 821 difference (ET exceeded rainfall) means there was carry-over of water in a given year and this  
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46 822 effect was largest when dry years followed wet years. \*\* indicates  $p < 0.01$ .

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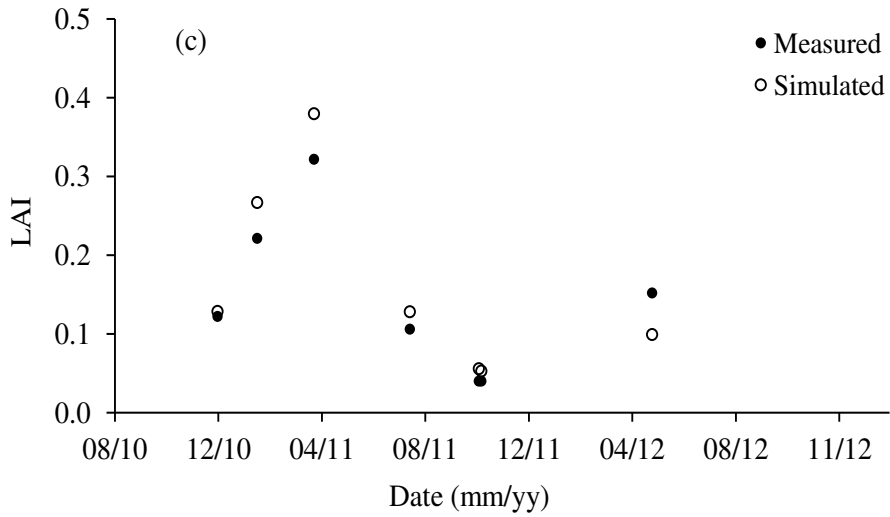
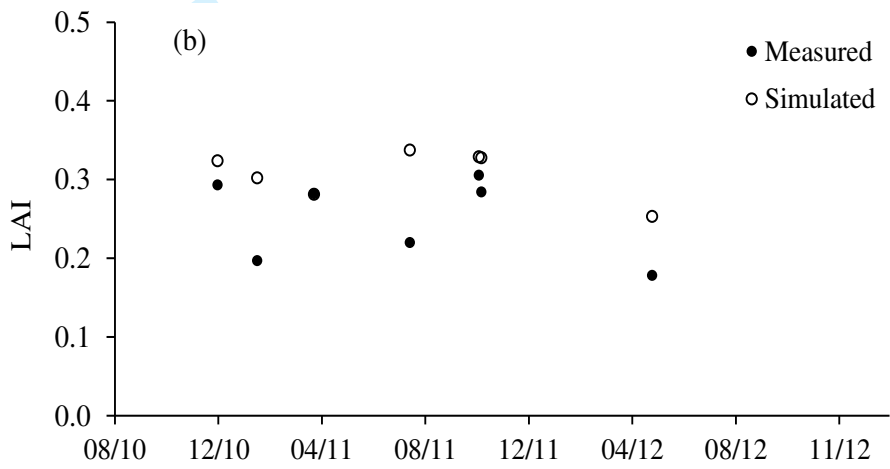
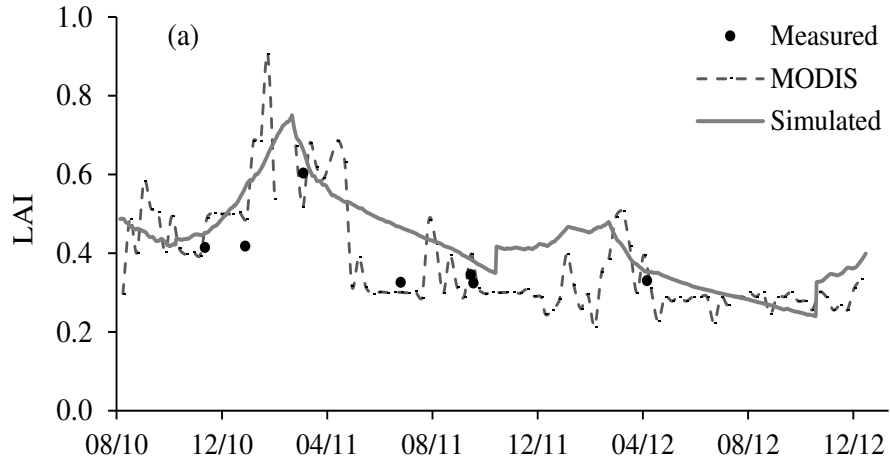
6 825 **Table 1:** Parameter/variable values used in soil-plant atmosphere (SPA) for this study.  
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8 826 **Table 2:** The coefficient of determination ( $r^2$ ), root mean square error (RMSE) and model  
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11 efficiency (ME) of simulated ET and GPP.  
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13 828 **Table 3:** The linear relationship between average monthly gross primary productivity (GPP),  
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16 829 evapotranspiration (ET) and temperature (T), precipitation (P), solar radiation (SR), vapour  
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18 830 presser deficit (VPD) and the linear relationship between ecosystem water-use efficiency (eWUE)  
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21 831 and T, P, SR, VPD and average total LAI (LAI) and soil water content (SW) during 1981–2012.  
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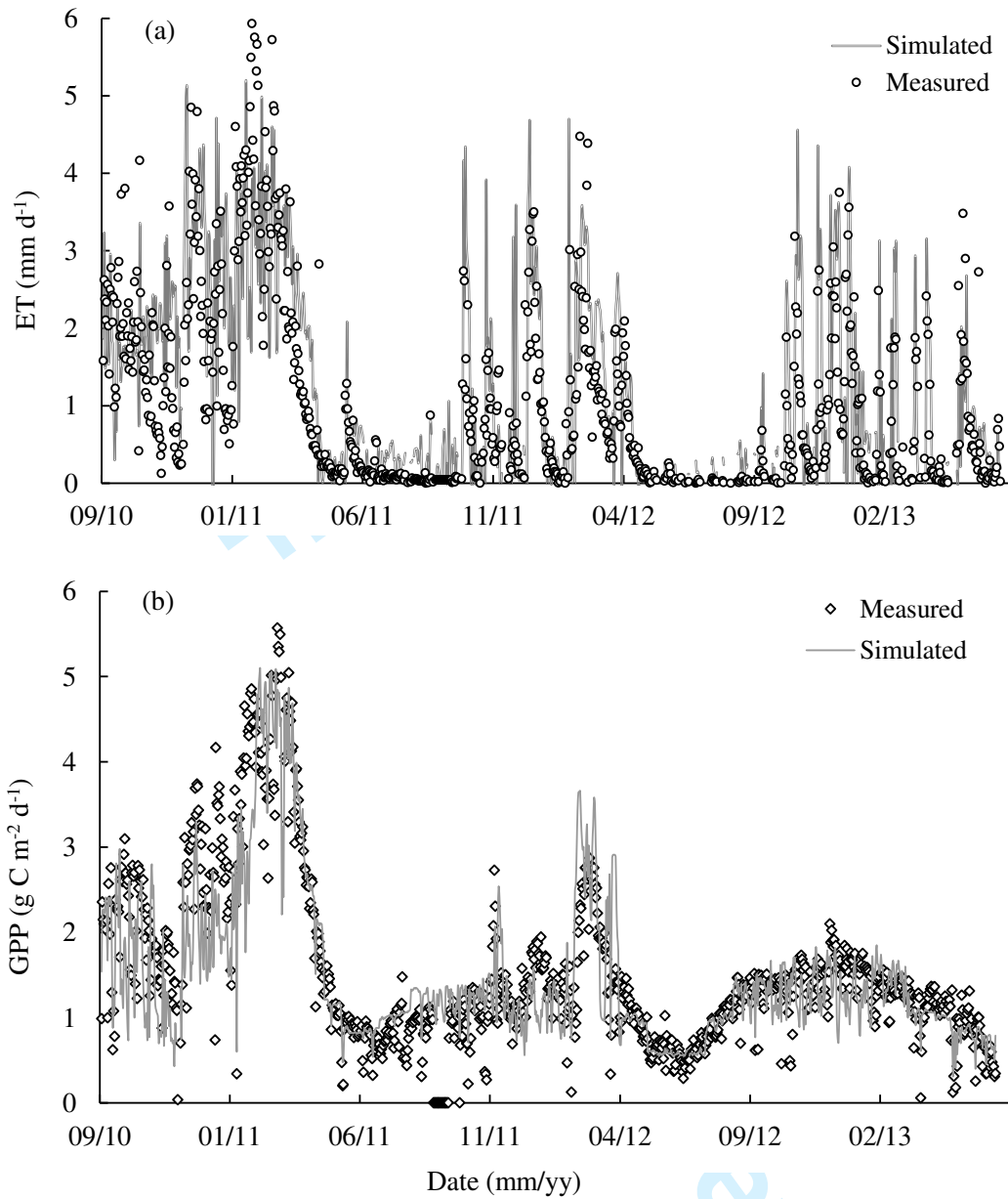
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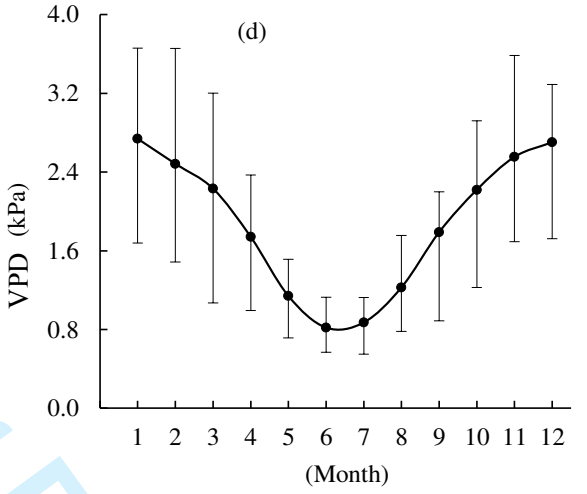
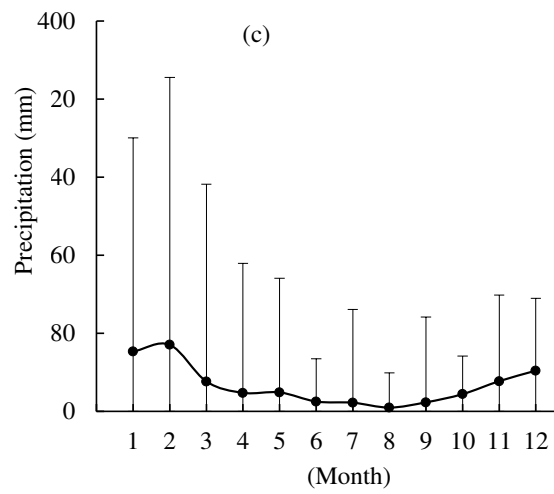
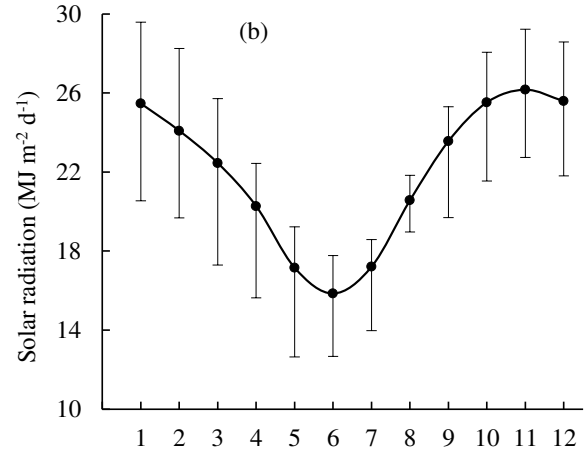
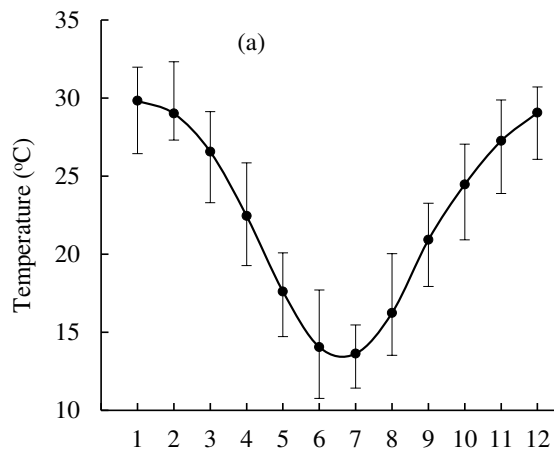
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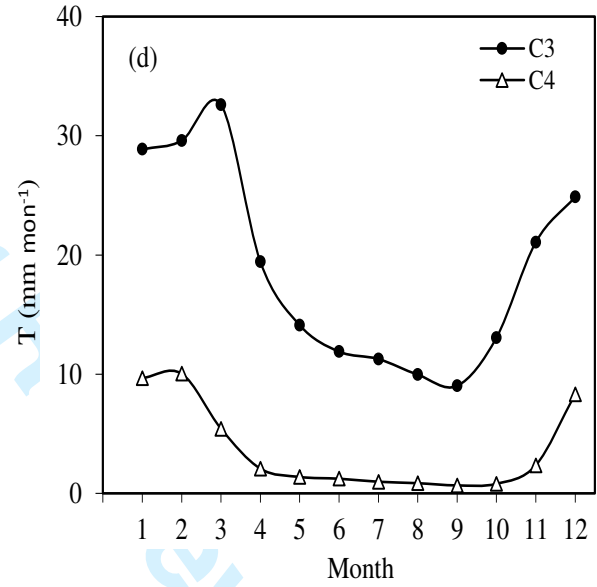
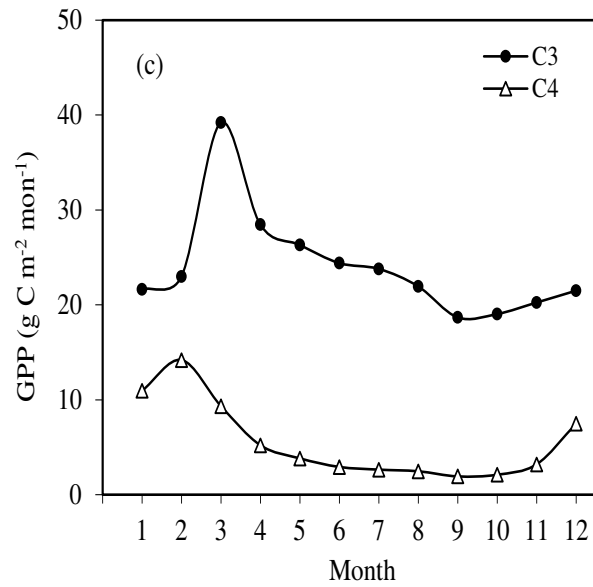
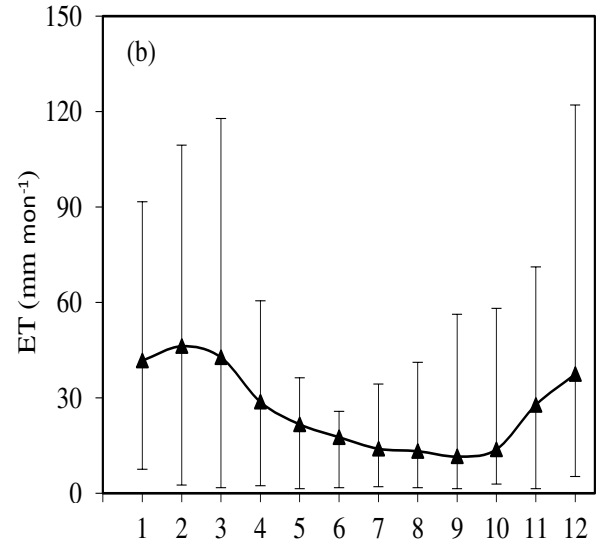
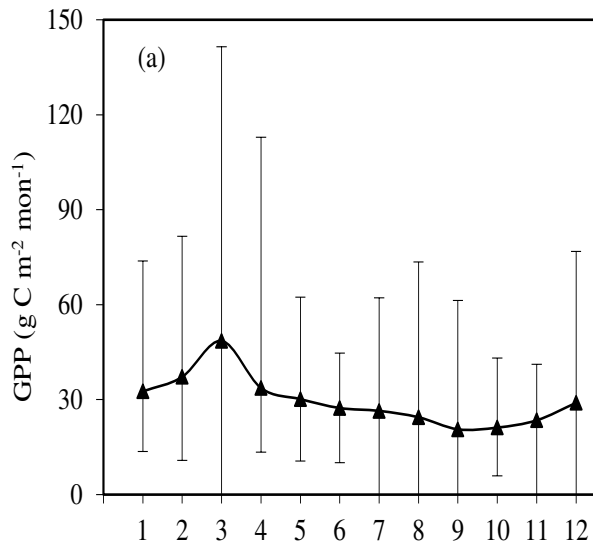
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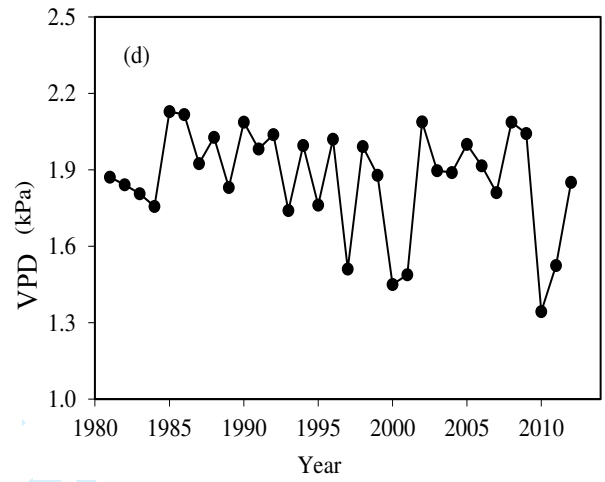
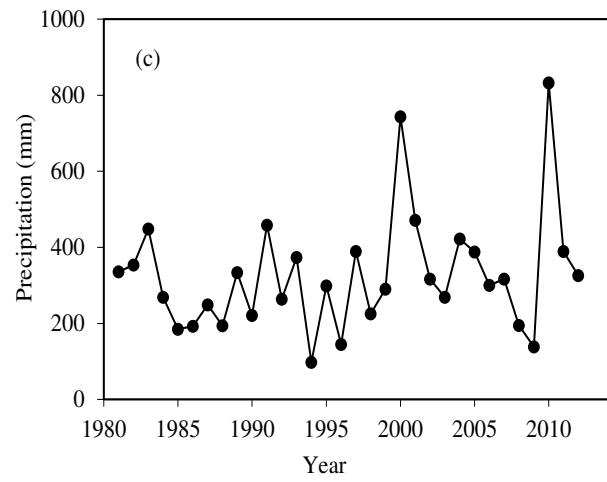
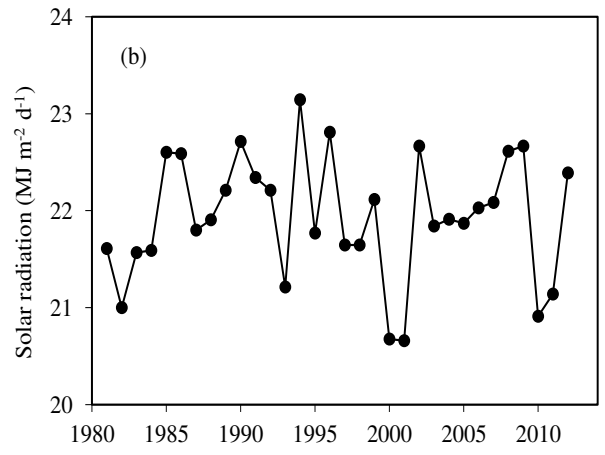
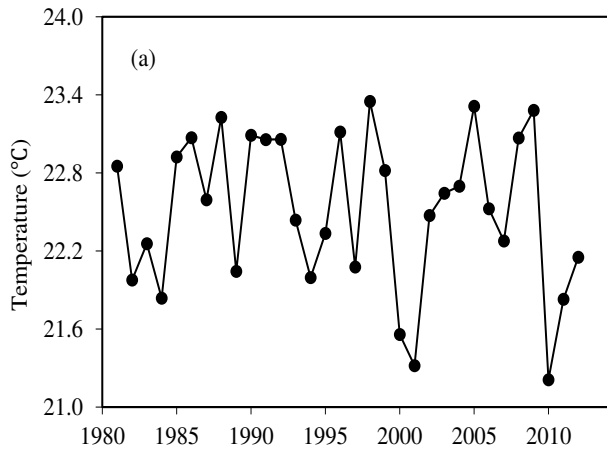




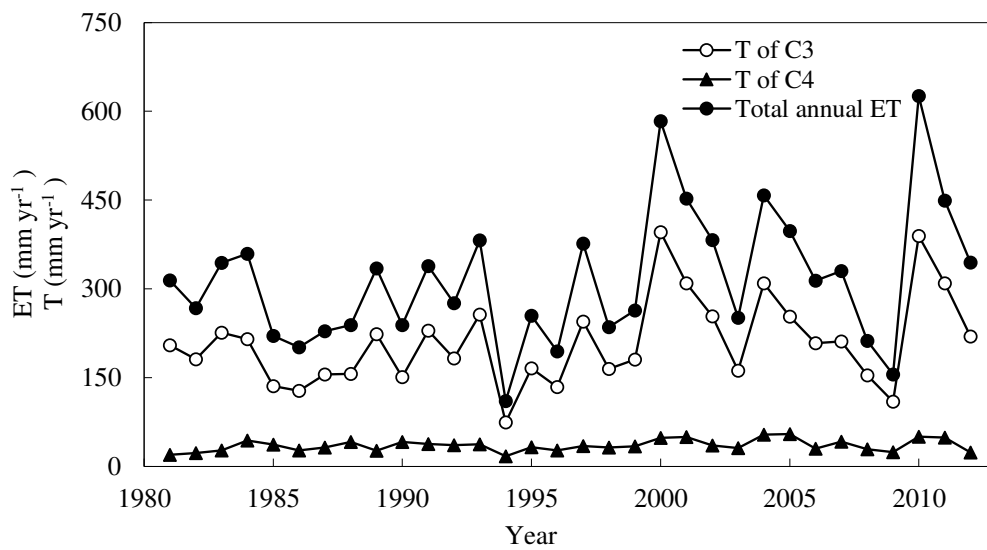
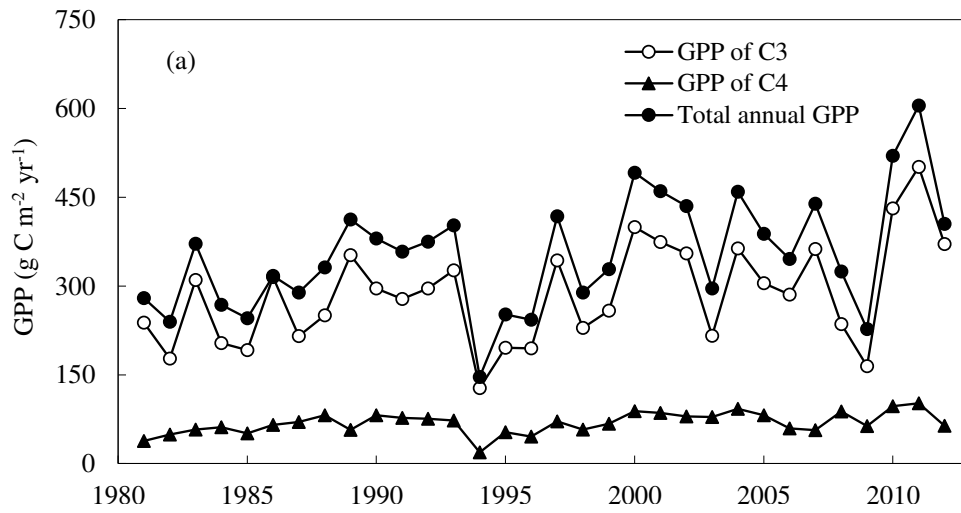


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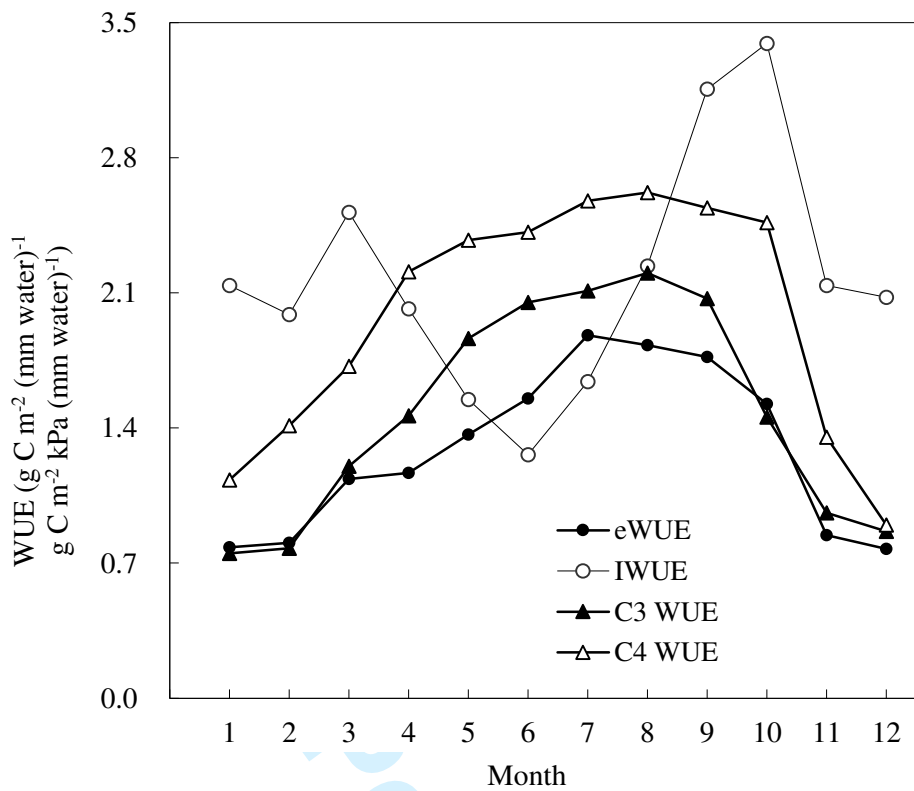




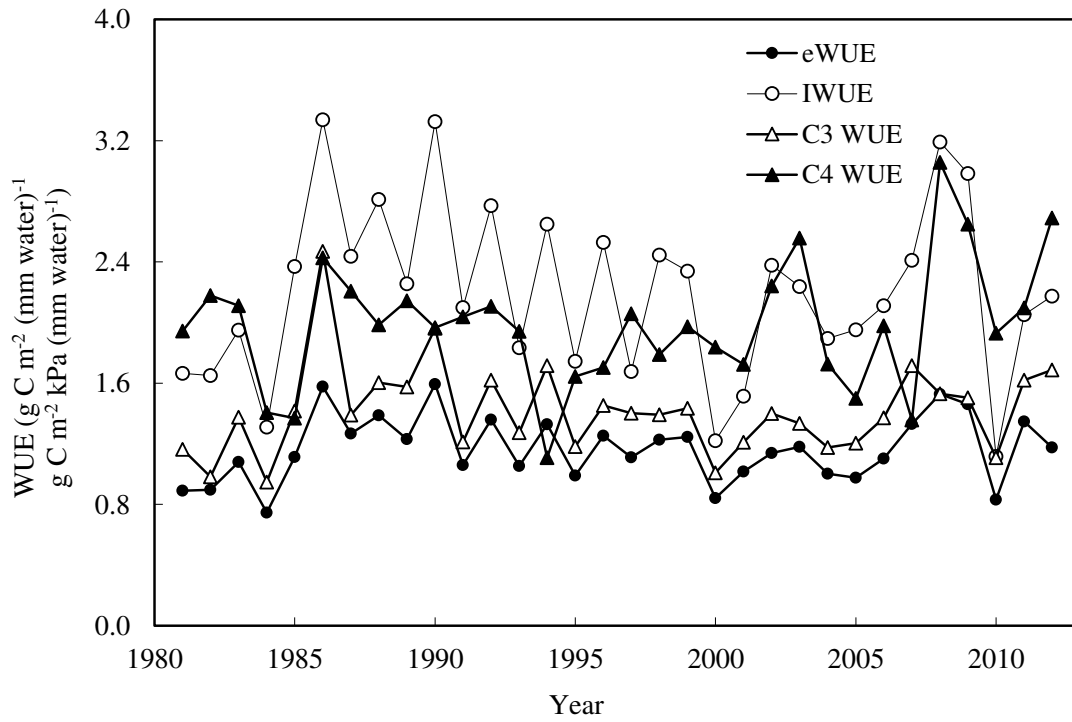
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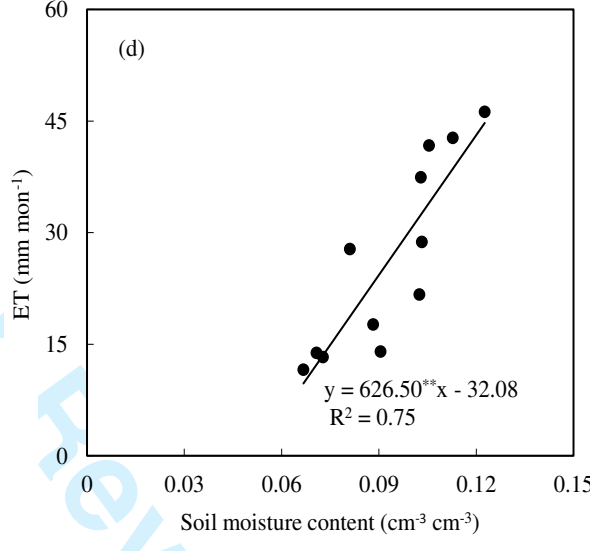
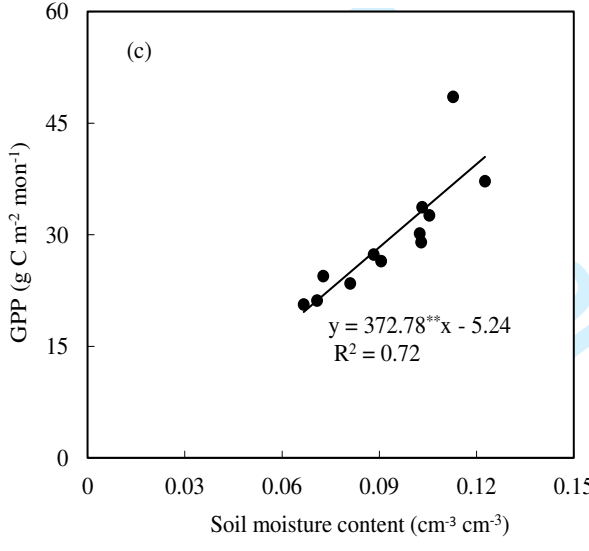
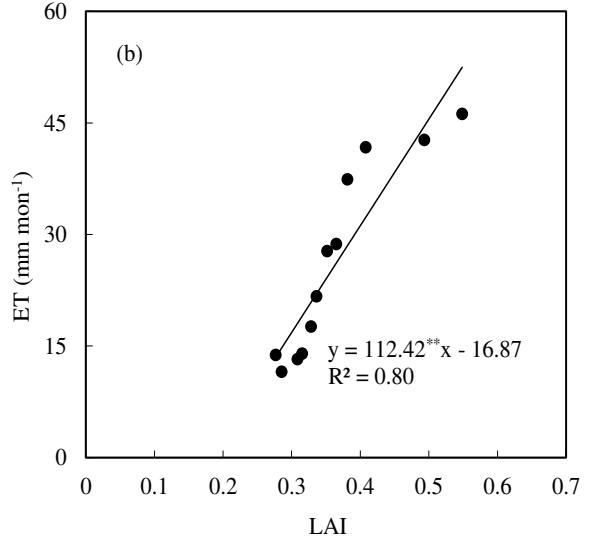
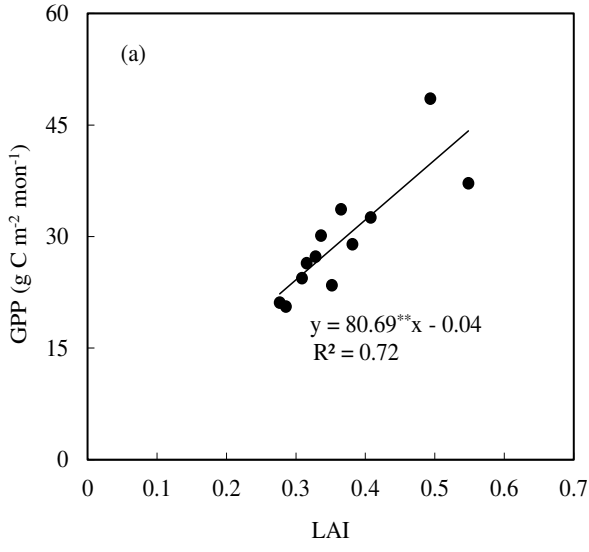


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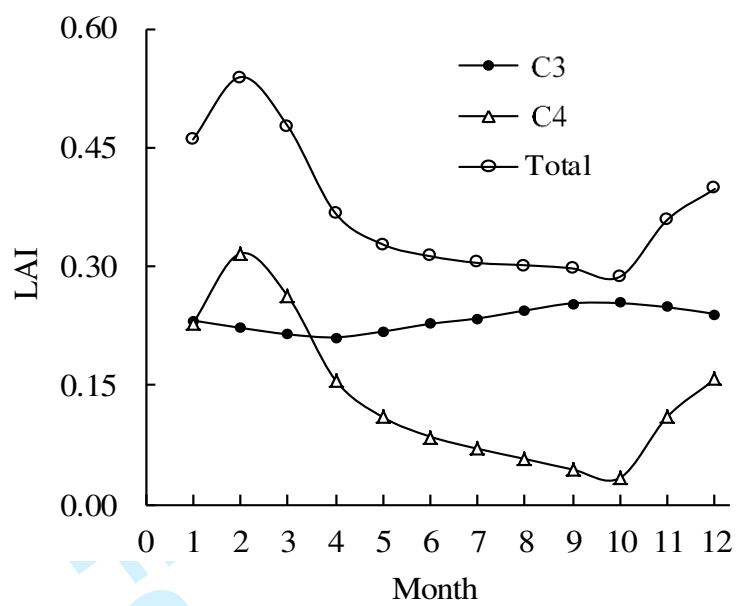


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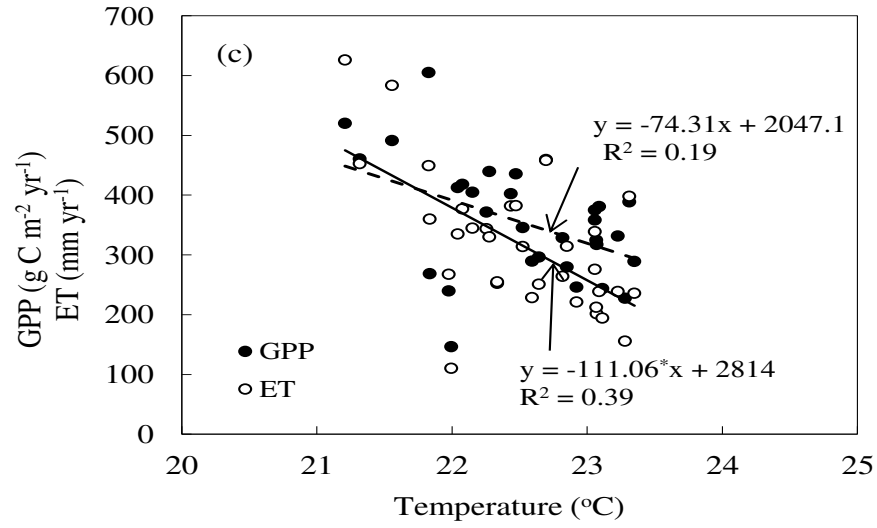
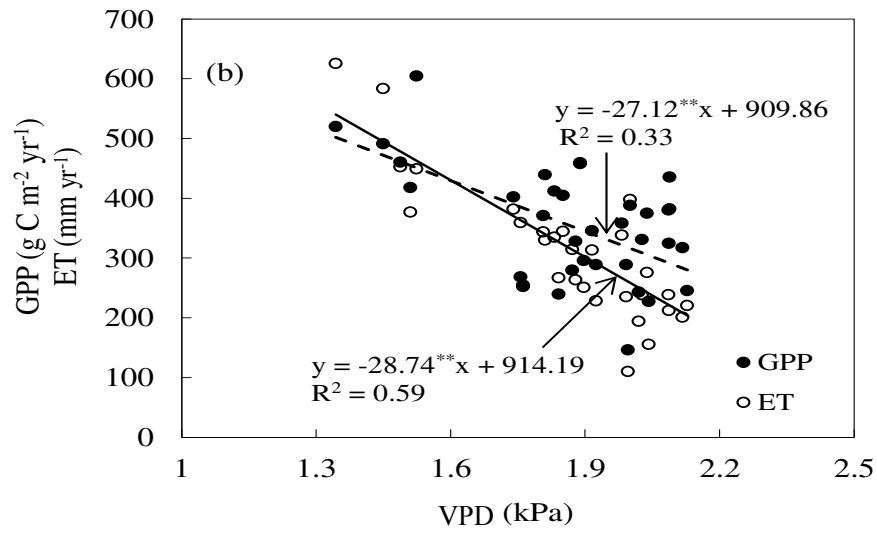
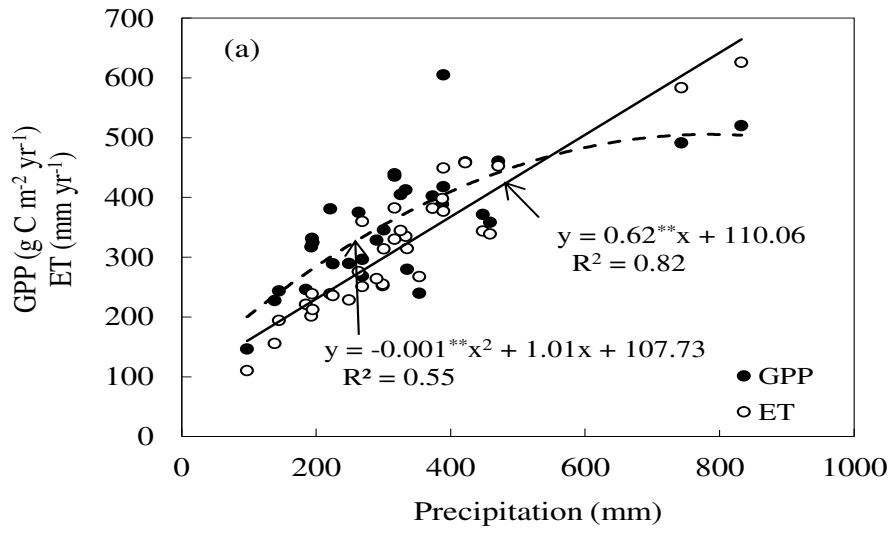


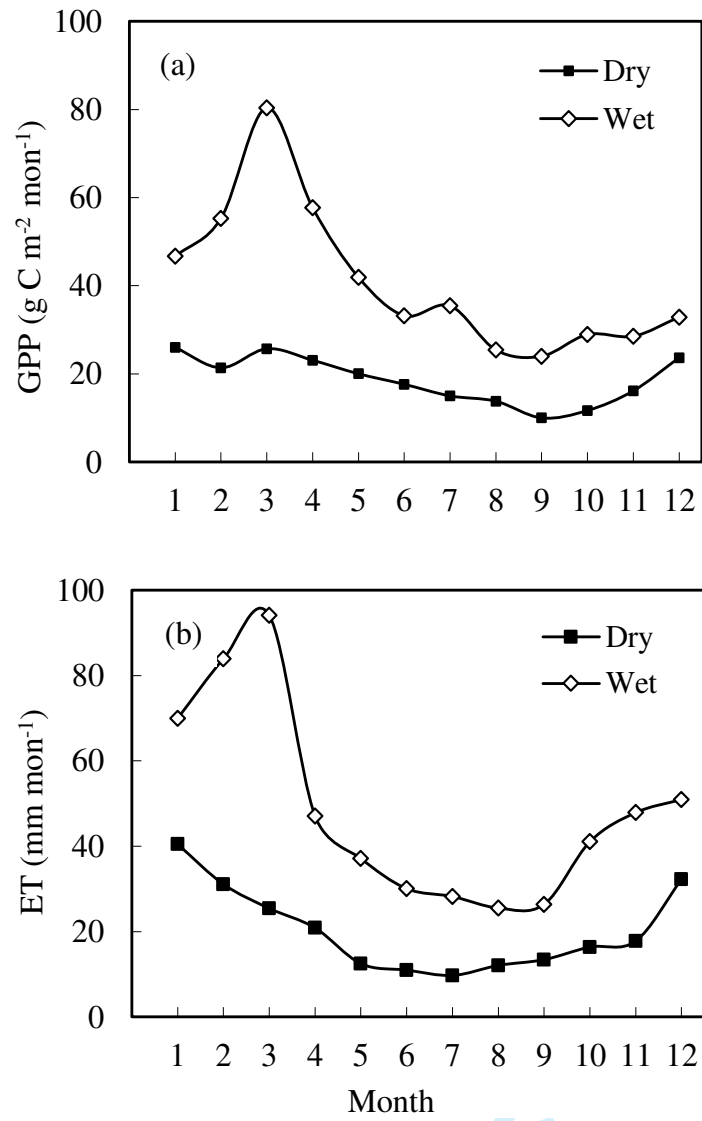


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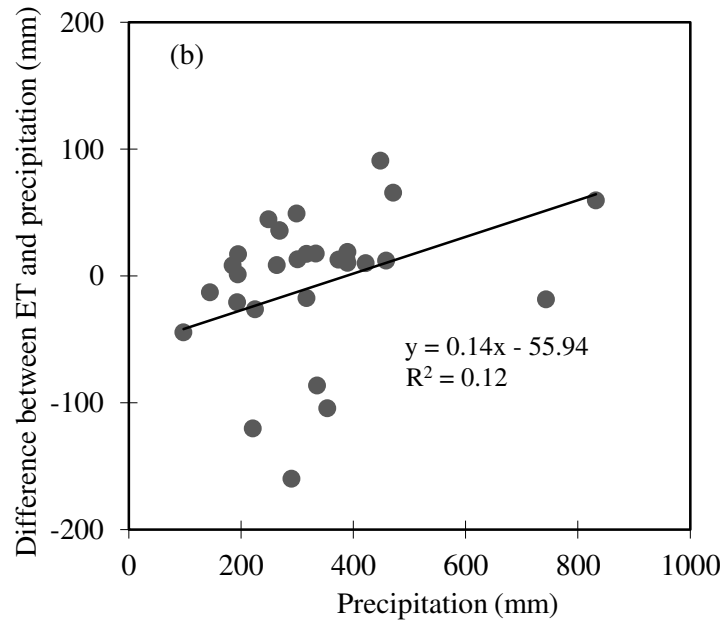
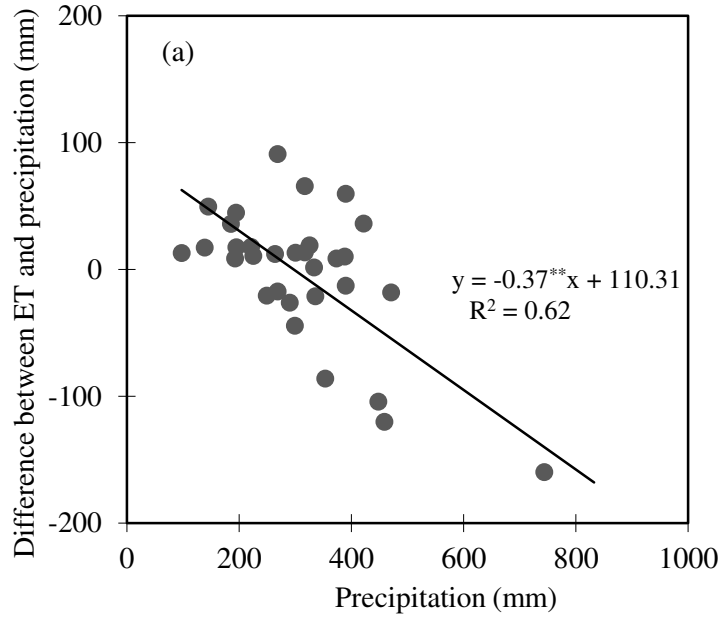
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1 **Table 1:** Parameter/variable values used in SPA

Parameter/variable	Symbol	Units	Value	Source
<b>Meteorology</b>				
Air temperature	$T_a$	°C		Extracted from SILO (Jeffrey <i>et al.</i> , 2001) and measured
Precipitation	P	mm	Variable	
Solar radiation	S	$W m^{-2}$		
Vapour pressure deficit	VPD	hPa		
Photosynthetically active radiation	PAR		Variable	Calculated based on solar radiation
Wind speed	W	m/s	Variable	Measured and estimated
<b>Soil</b>				
Layer height of soil	HS	m	0.1–1.0m, then 1.1–4 m	Site estimated
% soil clay content in top 10 cm		%	15	Measured
% soil sand content in top 10 cm		%	74	Measured
Organic matter content in top 10 cm		%	20	Measured
Soil water potential	$\Psi_s$	MPa	-3	Predawn leaf water potential
Initial soil water content		$cm^{-3} cm^{-3}$	Variable	Measured
Root biomass		g	1500	Pressland (1975)
Rooting depth		m	4	Hill and Hill (2003), Anderson <i>et al.</i> (2008) and Barron <i>et al.</i> (2012)
<b>Biophysics</b>				
Canopy layer capacitance	$C_n$	$mmol layer^{-1} MPa^{-1}$	5000	Williams <i>et al.</i> (1996)
Canopy hydraulic conductivity	$G_{plant}$	$mmol m^{-1} s^{-1} MP^{-1}$	3.5	Zeppel <i>et al.</i> (2008)
Leaf area index	LAI	$m^2 m^{-2}$	0.13–0.97	Simulated by WAVES and measured
Dimension of leaves		$m^2$	0.08	Prior <i>et al.</i> (1997)
Minimum sustainable leaf water potential	$\Psi_{lmin}$	MPa	-20	Measured

**Continued: Table 1:** Parameter/variable values used in SPA

Areal concentration of leaf N	N	$\text{g m}^{-2}$	2.9	Evans <i>et al.</i> (2000)
Proportion of total canopy N in top layer	$N_{\text{top}}$		0.1	Site estimated
Leaf temperature	$T_i$	$^{\circ}\text{C}$	Variable	Extracted from SILO (Jeffrey <i>et al.</i> , 2001) and measured
C3 RuBP carboxylation capacity	C3, $V_{\text{cmax}}$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	30.4	Adjusted based on the value
C3 maximum electron transport rate	C3, $J_{\text{max}}$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	50.1	in Whitely <i>et al.</i> (2011)
C4 RuBP carboxylation capacity	C4, $V_{\text{cmax}}$	$\mu\text{mol m}^{-2} \text{s}^{-1}$	26.3	
$\delta A/\delta g_s$ threshold for stomatal opening	$t$	%	1.0007	Williams <i>et al.</i> (1996)
Root resistivity		$\text{MPa s g mol}^{-1}$	100	Whitely <i>et al.</i> (2011)
Fine root radius	$r_r$	m	0.0001	Measured

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**Table 2:** The  $r^2$ , RMSE and ME of simulated ET and GPP.

Item	Observed range (mean)	Simulated range (mean)	$r^2$	RMSE	ME
ET (mm d <sup>-1</sup> )	0.0–5.93 (1.15)	0.0–5.31 (1.29)	0.71	0.86	0.90
GPP (g C m <sup>-2</sup> d <sup>-1</sup> )	0–5.57 (1.52)	0.09–5.43 (1.49)	0.80	0.45	0.79

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1 **Table 3:** Relationship between GPP, ET, WUE and climate factors, LAI and SW

Factors	$r^2$	Factors	$r^2$
GPP-T	0.13	eWUE-T	0.90**
GPP-P	0.23	eWUE-P	0.51**
GPP-SR	0.001	eWUE-SR	0.94**
GPP-D	0.06	eWUE-D	0.96**
ET-T	0.59**	eWUE-LAI	0.14
ET-P	0.70**	eWUE-SW	0.03
ET-SR	0.18		
ET-D	0.42**		

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