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Modelling the impact of forest design plans on an endangered mammal species: the Eurasian red squirrel

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1 **Modelling the impact of forest design plans on an endangered mammal**
2 **species: the Eurasian red squirrel**

3

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49 **Abstract**

50 The Eurasian red squirrel (*Sciurus vulgaris*) is under threat in the UK from the
51 introduced North American grey squirrel. National measures to save the species
52 include large conifer forest reserves where management encompasses
53 measures to bolster the native species. However, forests are multi-purpose
54 environments and foresters have to balance different timber production, amenity
55 and conservation objectives. We present a mathematical modelling framework
56 that examines the impacts of potential felling and restocking plans for two
57 reserves, Kidland and Uswayford forests, in northern England. In collaboration
58 with forest managers, we employed an iterative process that used the model to
59 assess four forest design plans (felling and restocking scenarios) with the aim of
60 improving red squirrel population viability. Overall, the model predicted that
61 extinction in both forests at the same time was rare, but high in Uswayford (84%)
62 alone. Survival could be drastically increased (from 16 - 70%) by felling and
63 restocking adjustments, and improving dispersal between the two adjacent
64 forests. This study provides an exemplar of how modelling can have a direct
65 input to land management to help managers objectively balance the differing
66 pressures of multipurpose forestry.

67

68

69 Keywords: Conservation, SEPM, population dynamics, forestry, *Sciurus vulgaris*

70

71 Running Head: Modelling the impact of forest design plans

72

73

74 **Introduction**

75

76 The management of forest systems will face a range of challenges in the coming
77 decades as a result of global climate change, emerging tree diseases and a need
78 to integrate forest ecosystem services such as timber extraction or amenity with
79 efforts to preserve biodiversity (Bengtsson et al., 2000; Brown and Webber,
80 2008; Ray, 2008; Ray et al., 2010; DEFRA 2011; Shuttleworth et al., 2012).

81 Mathematical modelling can play an important role in helping to address these
82 challenges. In particular models that are combined with digital landcover data
83 and knowledge of species habitat requirements and behaviour form powerful and
84 highly successful tools for species conservation and management. Examples of
85 modelling approaches that combine mathematical models and spatial data
86 include GIS-based landcover mapping approaches linked with simple models to
87 predict future land development impacts on deer (*Odocoileus hemionus*; Kline et
88 al. 2010); using spatially explicit population models to assess the potential
89 success of species translocations for butterflies (*Maniola jurtina*, Heikkinen et al.
90 2015); the development of a spatially explicit agent-based model to simulate tiger
91 (*Panthera tigris*) population and territory dynamics (Carter et al. 2015); or the use
92 of spatial, stochastic models to study the impact of disease-mediated competition
93 by the introduced North American grey squirrels (*Sciurus carolinensis*) on
94 Eurasian red squirrels (*S. vulgaris*; White et al. 2014).

95

96 A key benefit of models is their ability to pose "what if" questions that assess the
97 likely effects of future land use changes or species management. Their use
98 allows objective assessments of different management options and can assist in
99 developing the most effective conservation strategies. Here we present the
100 application of a spatially explicit, stochastic population dynamics model that was
101 used to evaluate the likely impacts of different forest design scenarios on the
102 population persistence of Eurasian red squirrels, a species under threat of
103 extinction in the UK (Gurnell et al., 2004, 2014; Lurz et al. 2005).

104

105 In close collaboration with the Forestry Commission, the government forestry
106 organisation in the UK, we examined the future felling and restocking scenarios
107 for Kidland and Uswayford forests (Fig. 1), two spruce-dominated, conifer
108 woodlands in the north-east of England. The two forests are part a network of 17
109 English conifer-dominated "strongholds" for the endangered red squirrel, where
110 favourable habitat and management aims to reduce the competitive and disease
111 impacts of invading grey squirrel populations (grey squirrels carry squirrelpox
112 virus that is lethal to red squirrels; Tompkins et al. 2003) and thus ensure long
113 term survival of local red squirrel populations (Parrott et al. 2009; Anonymous
114 2012; reviewed in Bosch & Lurz 2012).

115

116 A large number of forests (38% of the UK forest area) are managed by the
117 Forestry Commission, and the Forestry Commission is a key partner in the efforts
118 to save red squirrels in Britain. With respect to the North of England, they
119 manage a significant or majority proportion of the seven red squirrel reserves, all
120 of which are forests planted in the 20th century. Whilst the forests were initially
121 established to provide a strategic timber resource, there are now multi-purpose
122 management objectives that balance timber production with recreation and
123 conservation. The whole of Uswayford forest and approximately half of Kidland
124 forest is owned and managed by the Forestry Commission. The remainder of
125 Kidland is in the hands of a number of private owners. The two forests are
126 composed predominantly of Sitka spruce (*Picea sitchensis*) as well as a small
127 proportion of other conifer species. They were planted on open moorland and red
128 squirrels colonised during the last century. They are relatively isolated and
129 therefore the likelihood of invasion by grey squirrels is low.

130

131 Monitoring for red squirrels at Kidland forest has occurred for the last 15 years on
132 an annual basis. The forest habitat supports low-density populations of red
133 squirrels and is thought to be unfavourable for greys. A key determinant of red
134 squirrel abundance in these regions is resource availability which will depend on
135 the availability of mature seed producing trees suitable for red squirrels (which in

136 turn varies depending on felling and restocking strategies) and seed crop
137 abundance (which varies annually due to climate patterns, weather and
138 phenology), (Bosch & Lurz 2012). The close association of red and grey squirrels
139 with forest habitats and their maturity make them ideal species for assessment
140 with models (Lurz et al. 2001, 2003, 2008). Linking mathematical models with
141 digital landcover maps, or the highly detailed UK forest stock maps which provide
142 information on tree species (planted as single species blocks) and age classes
143 (planting year) at high resolutions allows accurate simulations of different forest
144 management options.

145

146 In this study we use mathematical models and digital landcover maps to assess
147 how red squirrel abundance would change as a result of different forest design
148 plans. The objective was to use an iterative process where modelling that
149 assesses red squirrel population dynamics can inform the development of further
150 forest design plans with the aim of ensuring and improving red squirrel viability.
151 This iterative process led to the consideration of four different forest design plans
152 (scenarios A – D outlined in the methods sections) in which the model predicted
153 squirrel densities as Kidland and Uswayford are felled and replanted. The model
154 study outlines the scenarios that are most favourable for red squirrel abundance
155 and viability and this information has been used by the Forestry Commission in
156 the production of the proposed forest design plans for these regions.

157

158 *Figure 1 here*

159

160 **Methods**

161

162 Study area

163 Kidland and Uswayford are part of the North England Forest District, in
164 Northumberland, England. They were planted post 1960 and are commercially
165 managed. Kidland is 2050 ha, of which 1190 ha are managed by the Forestry
166 Commission, the rest is owned by private landowners managed by the company

167 Tilhill; while Uswayford is approximately 1000 ha, all managed by the Forestry
168 Commission. The two forests are separated by less than 1 km of open land (Fig.
169 1), but are relatively isolated from other forested regions and surrounded by
170 moorland. They are dominated by conifer species such as Sitka spruce, Norway
171 spruce (*P. abies*), Scots pine (*Pinus sylvestris*), Lodgepole pine (*P. contorta*) and
172 larch, (*Larix spp.*; see also Fig. 1). Using Forestry Commission data, we
173 extracted the compartments that represent Kidland and Uswayford (see blue and
174 green regions respectively in Fig. 1c) and the privately managed Tilhill area on
175 the western side of Kidland (see red region in Fig. 1c).

176

177 Carrying capacity estimate

178 The number of squirrels the different forest compartments can support depends
179 on habitat type, which can be estimated using Forestry Commission stockmap
180 data (or publicly available forest inventory records for private areas). This data
181 provides species specific habitat and age information within each compartment
182 which can be combined with squirrel density estimates from the literature and
183 data from the existing 15 years of local squirrel and tree seed crop survey data
184 (Forestry Commission pers. comm.; Table 1). It is assumed that it takes 30 years
185 for trees to reach maturity and provide suitable, regular resources (seeds) for red
186 squirrels. As felling plans for the adjacent, privately managed forest area were
187 not known in detail, the land was taken to be one third felled, one third immature
188 and one third mature, which replicates a 45 year conifer rotation cycle typical for
189 upland conifer plantations. This also kept private forest areas neutral and allowed
190 the project to focus on assessing the impacts of any proposed Forestry
191 Commission design plans only, without confounding the results with changes to
192 the structure of adjacent woodland. We determined a high and low carrying
193 capacity to reflect good and poor seed years for each compartment using
194 published density estimates (taken from the following references: Holm (1991);
195 Magris (1998); Lurz et al. (1995, 1998); Bosch & Lurz (2012); White et al.
196 (2014)). The estimated red squirrel densities per hectare for each tree species

197 class is shown in Table 1, and Fig. 2 shows the resulting high and low carrying
 198 capacities for the forests in 2012.

199
 200

Red Squirrel Density (/ha)		
Tree Species	High	Low
Ash, <i>Fraxinus excelsior</i>	0	0
Birch, <i>Betula</i> spp.	0	0
Douglas fir, <i>Pseudotsugo menziesii</i>	0.45	0.17
European larch, <i>Larix decidua</i>	0.38	0.21
Grand fir, <i>Abies grandis</i>	0	0
Hybrid larch	0.38	0.21
Japanese larch, <i>Larix kaempferi</i>	0.38	0.21
Lodgepole pine	0.4	0.04
Mixed broadleaf	1	0.62
Norway Spruce	0.58	0.25
Oak, <i>Quercus</i> spp.	1	0.62
Scots pine	0.4	0.04
Sitka spruce	0.11	0.011
Sycamore, <i>Acer pseudoplatanus</i>	0	0
Western Hemlock, <i>Tsuga heterophylla</i>	0	0
Other Conifer	0.45	0.17
Other Spruce	0.2	0.02
Mixed Conifer	0.45	0.17

201 Table 1: Density estimates for red squirrels in the different tree species classes
 202 present in Kidland and Uswayford forest. The data was derived from the following
 203 references: Holm (1991); Magris (1998); Lurz et al. (1995, 1998); Bosch & Lurz
 204 (2012); White et al. (2014).

205

206 *Figure 2 here.*

207

208 Forest Design Plans (Scenarios A-D)

209 The initial forest design plan (named scenario A) supplied by the Forestry
210 Commission contains felling and species specific restocking information from
211 2012-2052. This was created prior to the modelling assessment and was based
212 on commercial considerations without a focus on red squirrel conservation. The
213 felling and restocking information in scenario A can be used to produce carrying
214 capacity maps for each year between 2012-2052 (shown for every two years in
215 the Supplementary Information, Figs S1 and S2). The initial model predictions
216 using scenario A were presented to the Forestry Commission in May 2014 and
217 led to the development of three further scenarios (B, C, D) that attempted to
218 improve red squirrel population viability while taking into account local planting
219 and felling constraints (e.g. restrictions due to tree diseases and wind throw risks
220 for exposed locations). We outline these scenarios below (and see Table 2 for a
221 summary).

222

223 Scenario B considers an alternative felling plan which extended the time before
224 some coupes were felled in Uswayford. This aimed to prevent sustained low
225 densities in Uswayford. To compensate, some additional felling was undertaken
226 in Kidland. Carrying capacity maps using scenario B are shown in Figs S3 & S4.

227

228 Scenario C has a similar felling trend to scenario B in Uswayford, but has a
229 reduced rate of felling in Kidland. In addition, the tree species mixture chosen for
230 restocking contains tree species that support a higher density of squirrels
231 (carrying capacity maps using scenario C are shown in Figs S5 & S6).

232

233 Scenario D follows a similar trend to scenario C but the tree species chosen for
234 restocking are chosen based on commercial priorities rather than squirrel habitat
235 quality. They therefore do not support such a high squirrel density as scenario C
236 (carrying capacity maps using scenario D are shown in Figs S7 & S8).

237

238 Figure 3 shows the effect of the four different forest design scenarios on the

239 overall carrying capacity of Kidland and Uswayford.

240

241 *Figure 3 here*

242

Scenario	Date received	Summary
A	24/2/14	Original forest design plan.
B	14/10/14	Reduced felling rate in Uswayford. Increased felling rate in Kidland.
C	17/11/14	Similar to scenario B for Uswayford. Reduced felling rate in Kidland. Restocking to provide improved squirrel habitat.
D	12/2/15	Similar to scenario C, but with commercial focused restocking

243 Table 2: A summary of the four different forest design plans (scenarios) produced
244 by the Forestry Commission.

245

246 In addition to the new forest design scenarios (B-D), the Forestry Commission
247 also provided details of a potential habitat link between the forests (see Fig. S9).

248 In the model runs we therefore considered two possibilities: (i) squirrels cannot
249 utilise the dispersal compartment until 2045 (30 years after planting when trees
250 are assumed to be mature) and; (ii) squirrels can utilise the compartment in 2025
251 (while the trees may not be suitable habitat for red squirrels after 10 years, they
252 would provide cover for squirrels moving between Kidland and Uswayford).

253

254 Model framework and setup

255 Previous model studies that have assessed the population dynamics of red
256 squirrels in realistic landscapes have adapted the classical deterministic
257 modelling approach of Tompkins et al. 2003 to consider a stochastic model
258 framework (White et al., 2014, Macpherson et al. 2015; White et al., 2016). In the
259 current study it is important to consider the stochastic nature of the population
260 dynamics as population abundance can reach low levels, which could result in

261 regional population extinction. We therefore follow a similar approach to White et
262 al. (2014) in this study. Within each forest compartment the population density of
263 red squirrels, N , at time t , in years, is represented by the following underlying
264 deterministic model.

$$266 \quad \frac{dN}{dt} = aN \left(1 - \frac{N}{K_1} \right) - bN \left(\frac{N}{K} \right) \quad \text{for} \quad t_n \leq t < t_n + 0.5 \quad (1a)$$

$$267 \quad \frac{dN}{dt} = -bN \left(\frac{N}{K} \right) \quad \text{for} \quad t_n + 0.5 \leq t < t_{n+1} \quad (1b)$$

268
269 Here, we assume birth and death are density dependent and that birth only
270 occurs for a 6 month breeding season (representing 2 litter periods between
271 May-October) whereas death can occur throughout the year. The natural
272 mortality rate is $b=0.9 \text{ yr}^{-1}$ (Barkalow et al., 1970) and the birth rate is $a=3.0 \text{ yr}^{-1}$
273 (Tompkins et al., 2003). The carrying capacity, K , is determined using Forestry
274 Commission data for each compartment (see Fig. 2 and Figs S1-S8) and the
275 density dependent parameter that scales the birth rate, $K_1 = 2.6K$ is calculated to
276 ensure that the average population density over a year is equal to the carrying
277 capacity, K .

278
279 The deterministic model is turned into an individual based stochastic model by
280 turning the rates for births and deaths in Equation (1) into probabilities of a birth
281 or death “event”. We also need to consider the dispersal of individuals. We
282 assume saturation dispersal such that individuals are more likely to disperse as
283 the local population increases (Poethke and Hovestadt, 2002). In our models we
284 specify that individuals disperse randomly up to a distance of 1 km and therefore
285 could move to any compartment that is within this distance. We assume the
286 dispersal rate, $m=b$, so that on average squirrels are predicted to disperse to a
287 new compartment once in their lifetime. The spatial stochastic model is therefore:

288
289

Event	Outcome	Probability
Birth (breeding season)	$N_i \rightarrow N_i + 1$	$[aN_i(1 - N_i/K_1)]/R$
Death	$N_i \rightarrow N_i - 1$	$[bN_i(N_i/K_i)]/R$
Dispersal	$N_i \rightarrow N_i - 1; N_j \rightarrow N_j + 1$	$[mN_i(N_i/K_i)^2]/R$

290 Table 3: Possible events and their outcomes in a particular compartment i , with
 291 dispersal occurring to compartment j . The rates from Equation (1) are turned into
 292 probabilities by dividing by $R = \sum [\text{rates}]$ (the sum of the terms in square brackets
 293 summed over all compartments).

294

295 We use a Gillespie algorithm (Gillespie 1977) to select each event and update
 296 the number of individuals (and therefore the probabilities) after each event. The
 297 time between each event is given by $dt = -\ln(z)/R$ where z is a uniform random
 298 number between 0 and 1 (which assumes the next event is an exponentially
 299 distributed random variable; Renshaw 1993).

300

301 Using scenario A, the model outlined in Table 3 was run for 100 years with the
 302 high and low carrying capacity estimates (Fig. 2) to represent a spin-up period
 303 (see also supplementary information Figs S10 & S11). In order to reflect the
 304 natural, annual variation in resources caused by good and poor seed years (e.g.
 305 Lurz 2015), the model is also run for a scenario in which 3 years of the high
 306 carrying capacity was followed by 1 year at the low carrying capacity (3 high, 1
 307 low scenario; Fig. S12).

308

309 Following the 100 year spin up period, 50 realisations of the model were run for a
 310 further 40 years (2012 - 2052), with the carrying capacity being updated yearly
 311 depending on the felling and replanting strategy of the scenario A forest design
 312 plan. Similarly, 50 realisations of the model were run for a further 55 years
 313 (2012-2066) updating the carrying capacity yearly depending on the strategies
 314 given in scenarios B – D.

315

316

317 **Results**

318

319 The spin up period showed that in the high scenario, the red squirrel population
320 can be supported in the long term with an average of approximately 150 squirrels
321 (Fig. S10). In the low scenario population extinction is predicted in all model runs
322 (commonly within 5-20 years, Fig. S11), indicating that the red squirrel population
323 could not persist if there were only poor seed crop years. In the 3 high, 1 low
324 scenario, the red population can be supported in the long-term (Fig. S12). This
325 scenario also reflects the variation in annual squirrel abundance that is reported
326 in these forest strongholds (Forestry Commission pers. comm.) with abundance
327 peaking at around 150 squirrels after successive good years and dropping to
328 around 35 individuals in poor years. Since the annual variation in resources is a
329 feature of the natural system the remaining results in this study are presented for
330 the 3 high, 1 low scenario.

331

332 Scenario A

333 The model was run from 2012-2052 using the forest design plans outlined for
334 scenario A and following the 3 high, 1 low seed crop scenario. Complete
335 extinction of red squirrels in both Kidland and Uswayford was observed in 2% of
336 the realisations (Fig. 4a). However, red squirrel extinction (by 2052) was
337 predicted in Uswayford (only) in 84% of the realisations. When an additional 20
338 years was simulated beyond 2052 (Fig. 4a), the red squirrel population at Kidland
339 stabilized, as the replanted forest compartments had matured and could support
340 additional squirrels. However, there was minimal recovery of squirrel numbers in
341 Uswayford. The model runs indicate that Uswayford was not recolonised by
342 squirrels dispersing from Kidland, even though suitable habitat to support squirrel
343 populations in Uswayford was available from 2050 onwards.

344

345 *Figure 4 here*

346

347 In order to investigate why dispersal from the red squirrel population in Kidland
348 (incl. privately managed Tilhill areas) did not aid the repopulation of Uswayford in
349 the model, we examined the distribution of mature seed-bearing habitat for red
350 squirrels under the forest design plans of Scenario A (see Fig. S13). This
351 indicated that there was little suitable habitat in Uswayford between 2038 and
352 2048 which results in the high levels of population extinction. From 2050 onwards
353 suitable habitat was available in Uswayford, but only a small fraction of this was
354 within the 1 km dispersal distance to the populations at Kidland. Therefore, while
355 some compartment boundaries between Uswayford and Kidland/Tilhill are within
356 the dispersal range for squirrels, felling and replanting meant that the occurrence
357 of mature habitat within the dispersal range was limited.

358

359 To explore whether dispersal was a critical factor in the survival or recovery of
360 squirrel populations at Uswayford, we therefore considered an 'idealised'
361 scenario, in which dispersal was allowed to any compartment, independent of its
362 location or distance. Figure 4(b) shows that population abundance still drops to
363 low levels between 2040-2050 due to the low carrying capacity in Uswayford.
364 However, the improved connectivity allows the population to recover in all model
365 realisations. Therefore, recolonisation of Uswayford is hindered by a lack of
366 dispersal opportunities, and a better connection between Uswayford and
367 Kidland/Tilhill would improve recovery in Uswayford following population decline
368 (or extinction) once mature habitat becomes available again.

369

370 These interim findings were presented to the Forestry Commission in May 2014.
371 It was clear that the planned felling and restocking under scenario A could cause
372 a large drop in the carrying capacities, and therefore squirrel abundance, in both
373 Kidland and Uswayford at the same time. Based on the modelling assessment,
374 the key recommendations to reduce the likelihood of red squirrel population
375 included:

- 376 • adjusting the forest management plans so that low carrying capacities
377 (large areas that are felled and/or plantations of an age that do not yet

378 produce seeds) are out of phase in each forest.

379 • adjusting the tree mixtures to improve the overall carrying.

380

381 Discussions with the Forestry Commission also suggested that the model system
382 could be used to consider the effect of an improved connection between
383 Kidland/Tilhill and Uswayford. This would allow one forest to act as a source of
384 squirrels if temporary extinctions were to occur in the other. The impact of a
385 habitat link between forests (see Fig. S9) was considered for scenarios B-D (see
386 below).

387

388 Scenarios B, C and D

389 The scenario A model predictions suggest that Kidland could generally maintain
390 a continuous squirrel population, while the population in Uswayford would fall to
391 very low levels, supporting few squirrels until a slight increase by 2052 (Figs 3a
392 and 4a). The chance of population extinction in Uswayford when realistic seed
393 crop patterns were modelled is high (84%). Scenarios B – D were developed by
394 the Forestry Commission in response to these model findings.

395

396 In the absence of a dispersal corridor, model simulations for Scenario B (Fig. 5a)
397 show that red population abundance in Uswayford is predicted to fall by around
398 2052. However, following 2052 the habitat improves and by 2066, populations
399 are recovering to sustainable levels. There is a 46% chance of extinction in 2052
400 (compared to 84% for scenario A). The scenario C forest design plan further
401 reduced the felling rate in Kidland and model predictions for this scenario support
402 a larger total population of squirrels throughout the period (Fig 5d). While there is
403 still a drop in the abundance of squirrels in Uswayford in 2052, only 30% of
404 model realisations result in extinction in Uswayford. Scenario C would therefore
405 reduce the probability of squirrel extinction compared to both scenarios A and B.
406 The model realisations for scenario D (Fig. 5g) are very similar to those in
407 scenario C, with a chance of extinction in Uswayford of 30% (the same as in
408 scenario C). The total overall population is slightly lower in scenario D than

409 scenario C as the trees used in restocking do not support as many squirrels.

410

411 *Figure 5 here*

412

413 Whilst the new scenarios improve population viability for red squirrels, population
414 abundance still drops to low levels (by around 2050) with a risk of extinction in
415 Uswayford. Population recovery in Uswayford was improved when a dispersal
416 link was included. Model results indicate that recovery was fastest when the
417 dispersal corridor could be utilised 10 years after planting (Fig. 5). Populations in
418 Uswayford (and the total population) were highest by 2066 in Scenario C (Fig. 5).
419 To compare the four forest design scenarios (A-D) in more detail, we determined
420 the probability of red squirrels persistence in 2052 under scenario B-D when the
421 additional dispersal corridor between Kidland and Uswayford was included in the
422 model. The chance of total extinction in both Kidland and Uswayford was rare
423 and only occurred in one realisation in the 3 high, 1 low carrying capacity case in
424 Scenario A (and in no other model runs). We therefore focus on Uswayford and
425 determine the probability of survival in Uswayford. Without a dispersal corridor
426 between Kidland and Uswayford, the chance of survival is low in scenario A
427 (16%), higher in scenario B (54%) and further increased in scenarios C (70%)
428 and D (70%) (Fig. 6). Population extinction can still occur in Uswayford when the
429 dispersal corridor is included, but in all of these cases the model predicts
430 improved survival in Uswayford in 2052 (Fig. 6), and that Uswayford will be re-
431 populated by 2066 (when the corridor is included). Therefore, the dispersal
432 corridor reduces the chance of extinction and significantly improves the re-
433 population of Uswayford if extinction does occur.

434

435 *Figure 6 here*

436

437 **Discussion**

438

439 Managing forests to improve species conservation and diversity is increasingly
440 important (Hansen et al., 1991; Lindenmayer et al., 1998) but can often conflict
441 with commercial forestry interests which are influenced by economic pressures
442 that may be detrimental to many species (Radcliffe & Petty, 1986).

443 Comprehensive and integrated model frameworks can be used to represent
444 ecosystems and their services and to design appropriate methods to handle
445 forest management impacts (Filyushkina et al., 2016). However, efforts to
446 manage forest ecosystem services and preserve endangered species can only
447 succeed when scientists, foresters and landowners work together. Whilst some
448 forest species such as the Capercaillie (*Tetrao urogallus*) benefit from intact,
449 mature old-growth forests (e.g. Mikoláš et al., 2015), the conservation efforts for
450 red squirrels can be integrated with standard forest operations over the whole
451 woodland area. A high degree of flexibility in red squirrel habitat and space use in
452 conifer forests (Lurz et al., 1995, 1997, 1998, 2000) allows the species to exist at
453 low population densities in production conifer plantations typical of British
454 uplands. These areas offer refuges from the introduced, broadleaf-specialist grey
455 squirrels and form the backbone of current red squirrel conservation efforts in the
456 North of England (Pepper and Patterson, 1998; Parrott et al., 2009).

457 Management for red squirrels in these conifer dominated areas focuses on a few
458 basic recommendations:

459

- 460 ○ maintaining seed food supply for red squirrels through a minimum level of
461 tree diversity;
- 462 ○ considering forest age structure to ensure there are sufficient mature trees
463 of seed bearing age to support a population;
- 464 ○ maintaining canopy connectivity after thinning and dispersal links within
465 the forest to allow squirrels to resettle as a result of harvesting operations
466 without the risk of predation on open ground (Lurz et al., 2008;
467 Anonymous, 2012; Flaherty et al., 2012).

468

469 The permanent retention of small areas capable of supporting a population would
470 also speed up re-colonisation of nearby woodland blocks following harvesting
471 and replanting.

472

473 The integration of information on red squirrel population dynamics (Lurz et al.,
474 2005) with local forest management expertise, and mathematical modelling
475 approaches (White et al., 2014) allows assessments of potential impacts of
476 different forest management options on red squirrel abundance. The results of
477 the current study clearly indicate that an iterative, close collaboration can
478 drastically reduce the likely extinction risk for red squirrel populations at Kidland
479 and Uswayford forests and can help in the development of robust conservation
480 strategies. Model findings showed that changes to harvesting and restocking
481 could improve red squirrel viability by ensuring that there was sufficient suitable
482 habitat. Furthermore, an important factor in improved population survival was the
483 consideration of Uswayford and Kidland as one forest system, realised by the
484 inclusion of a linking, dispersal corridor (see Fig. S9). Given differences in
485 respective forest ages, and a necessity for timber extraction due to high wind-
486 throw risks and contractual obligations, the management of the two forests as a
487 linked system offers increased flexibility for harvesting to help maintain sufficient
488 mature, seed-bearing habitat for a viable red squirrel population.

489

490 The results from the model study have been incorporated into the proposed
491 forest design plans for the Kidland and Uswayford region (under the Forestry
492 Commission Cheviot Forest Plan proposal; pers. comm.). The revised plan is
493 currently going through an approval procedure by the Forestry Commission and
494 recommends a combination of forest design scenarios C and D for the harvesting
495 and replanting strategy for these forests. Moreover, model findings highlighted
496 the importance of a dispersal corridor between the two forests. Increasing the
497 habitat linkage between the forests could in the long term help connectivity and
498 provide a permanent corridor between the forests (but this is out with the scope
499 of the Forestry Commission's proposals). In general, the processes followed in

500 this study have been an exemplar for how academic research can have a direct
501 input to land management on the ground that helps managers objectively
502 balance the differing pressures of multipurpose forestry.

503

504

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506

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510

511

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620

621 **Figure legends**

622

623 Figure 1. (a) A photograph of Kidland forest highlighting how it is dominated by
624 conifer. (b) The Forestry Commission relief map of Kidland and Uswayford
625 forests and (c) the representation of compartments in the model with the Kidland
626 compartments (blue), Uswayford (green) and Private (red).

627

628

629 Figure 2. Red squirrel carrying capacity estimates for Kidland, Uswayford and
630 Tilhill in 2012. (a) The high estimate (Table 1) representing a good seed year and
631 (b) the low estimate (Table 1) representing a poor seed year.

632

633 Figure 3. Changes in red squirrel carrying capacity using the high density
634 estimates between 2012-2052 for scenario A and between 2012-2066 for
635 scenarios B-D (summarised in Table 2). These scenarios were provided as an
636 iterative process in response to model findings with scenario A provided on
637 24/2/14, scenario B on 14/10/14, scenario C on 17/11/14 and scenario D on
638 12/5/15.

639

640 Figure 4. (a) The population abundance in Kidland (blue), Uswayford (green) and
641 both (Kidland + Uswayford; black) in the '3 high, 1 low' carrying capacity scenario
642 using the scenario A forest design plan for 2012-2052. The model was continued
643 for an additional 20 years at the 2052 levels (highlighted by the dashed red line).
644 (b) The same scenario as (a) with global dispersal (rather than the restriction of 1
645 km to dispersal).

646

647

648 Figure 5. The population abundance in Kidland (blue), Uswayford (green) and
649 both (Kidland + Uswayford; black) in the '3 high, 1 low' carrying capacity
650 scenario. (a-c) represent scenario B, (d-f) scenario C and (g-i) scenario D
651 (summarised in Table 2). The left column (a,d,g) represent realisations in which

652 the additional dispersal corridor between Tilhill and Uswayford is not included.
653 The middle column (b,e,h) includes the additional dispersal corridor and assumes
654 it can be utilized 30 years after planting. The right column (c,f,i) includes the
655 additional dispersal corridor and assumes that it can be utilized 10 years after
656 planting.

657

658 Figure 6. The percentage of realisations in which red squirrel populations
659 persisted in Uswayford in 2052 for the four forest design scenarios (summarised
660 in Table 2) when there is no dispersal corridor (left) and when the corridor is
661 planted in the compartment shown in Figure S9 and has a 30 year growth time
662 before it can be used (middle) or a 10 year growth time (right).

663

664

665 **Table Legends**

666

667 Table 1: Density estimates for red squirrels in the different tree species classes
668 present in Kidland and Uswayford forest. The data was derived from the following
669 references: Holm (1991); Magris (1998); Lurz et al. (1995, 1998); Bosch & Lurz
670 (2012); White et al. (2014).

671

672 Table 2: A summary of the four different forest design plans (scenarios) created
673 by the Forestry Commission.

674

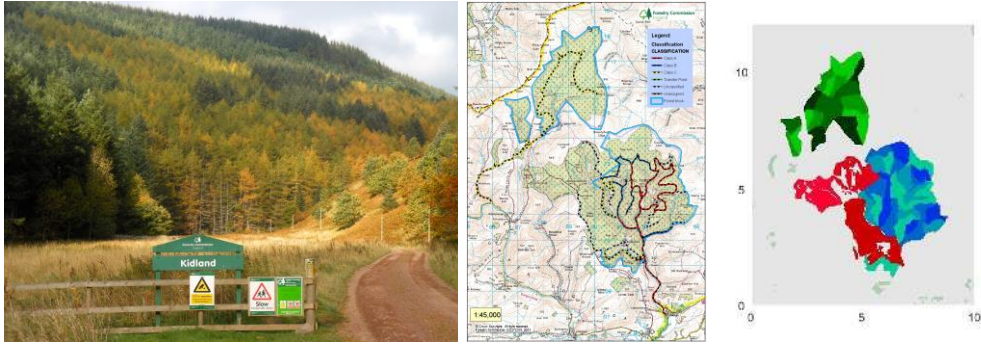
675 Table 3: Possible events and their outcomes in a particular compartment i , with
676 dispersal occurring to compartment j . The rates from Equation (1) are turned into
677 probabilities by dividing by $R = \sum [\text{rates}]$ (the sum of the terms in square brackets
678 summed over all compartments).

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684

685 Figure 1. (a) A photograph of Kidland forest highlighting how it is dominated by

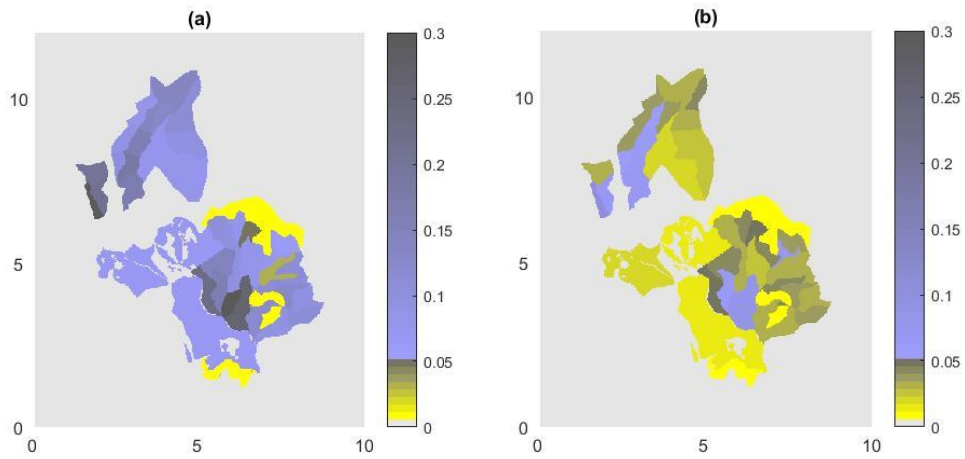
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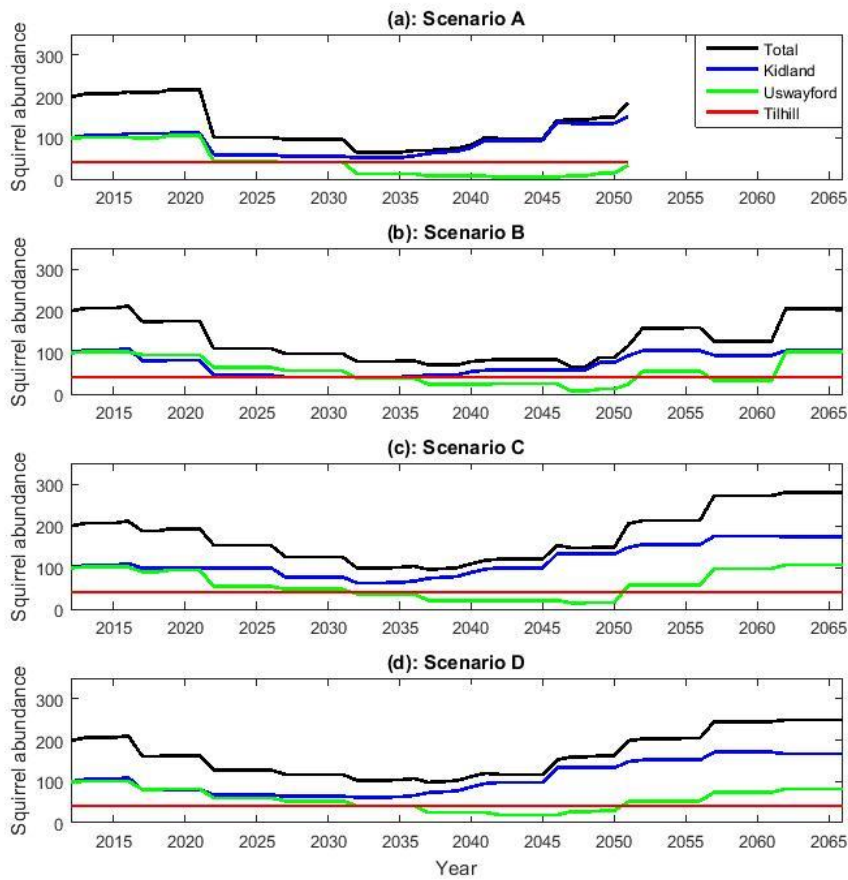
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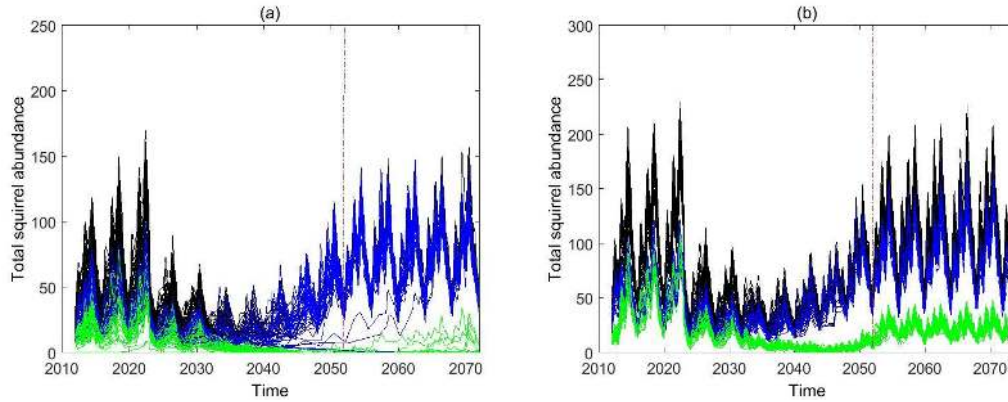
695 Tilhill in 2012. (a) The high estimate (Table 1) representing a good seed year and

696 (b) the low estimate (Table 1) representing a poor seed year.

697



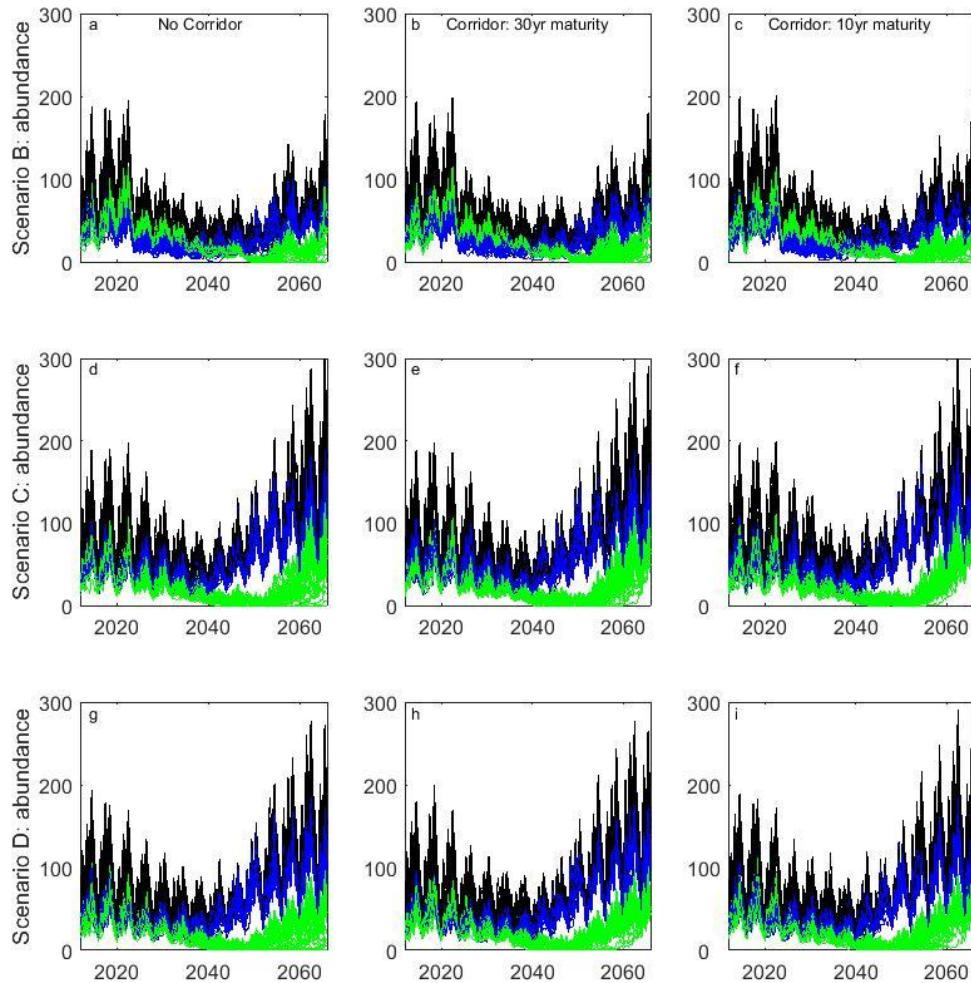
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 699 Figure 3. Changes in red squirrel carrying capacity using the high density
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 702 iterative process in response to model findings with scenario A provided on
 703 24/2/14, scenario B on 14/10/14, scenario C on 17/11/14 and scenario D on
 704 12/5/15.
 705
 706



707

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 709 both (Kidland + Uswayford; black) in the '3 high, 1 low' carrying capacity scenario
 710 using the scenario A forest design plan for 2012-2052. The model was continued
 711 for an additional 20 years at the 2052 levels (highlighted by the dashed red line).
 712 (b) The same scenario as (a) with global dispersal (rather than the restriction of
 713 1km to dispersal).

714

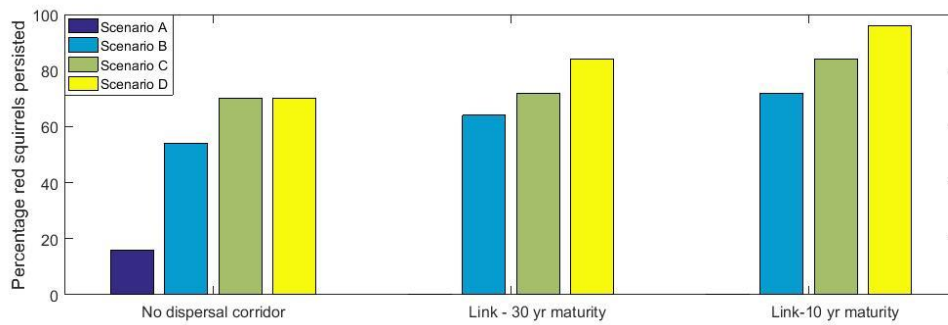


716

717 Figure 5. The population abundance in Kidland (blue), Uswayford (green) and
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 721 the additional dispersal corridor between Tilhill and Uswayford is not included.
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 723 it can be utilized 30 years after planting. The right column (c,f,i) includes the
 724 additional dispersal corridor and assumes that it can be utilized 10 years after
 725 planting.

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728

729 Figure 6. The percentage of realisations in which red squirrel populations
730 persisted in Uswayford in 2052 for the four forest design scenarios (summarised
731 in Table 2) when there is no dispersal corridor (left) and when the corridor is
732 planted in the compartment shown in Figure S9 and has a 30 year growth time
733 before it can be used (middle) or a 10 year growth time (right).

734