

**Modelling Wave Propagation in Two-dimensional Structures  
using a Wave/Finite Element Technique**

**E. Manconi and B.R. Mace**

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**Modelling Wave Propagation in Two-dimensional  
Structures Using a Wave/Finite Element Technique**

by

**E. Manconi and B.R. Mace**

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Authorised for issue by  
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## **Abstract**

The purpose of this work is to present a general method for the numerical analysis of wave propagation in 2-dimensional structures by the use of a finite element method (FEM). The method involves typically just one finite element to which periodicity conditions are applied instead of modelling the whole structure, thus reducing drastically the cost of calculations. The mass and stiffness matrices are found using conventional FE software. The low order dynamic stiffness matrix so obtained is post-processed and the wavenumbers and the frequencies then follow from various resulting eigenproblems.

The method is described and numerical examples given. These include isotropic and orthotropic plates, isotropic cylindrical shells and the more complex case of sandwich cylindrical shells for which analytical solutions are not available. The last two cases are studied by postprocessing an ANSYS FE model.

The main advantage of the technique is its flexibility since standard FE routines can be used and therefore a wide range of structural configurations can be easily analysed. Moreover the propagation constants for plane harmonic waves can be easily predicted for different propagation directions along the structure. The method is seen to give accurate predictions at negligible computational cost.

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# 1. Introduction

One of the most general approximate methods for solving problem concerning the dynamics of continuous structures is the finite element method (FEM). In its “classical” modal/dynamic application, this method is used to obtain information about the vibrational behaviour from the model of the whole structure in the low frequency range. However, in many engineering applications, high frequency vibrations become significant, in particular where sound transmission have to be considered. At high frequencies, when the wavelengths become very small, the use of this approach may become inappropriate because the size of the FE model that can predict response accurately becomes too large and therefore computationally very expensive. Furthermore, numerical inaccuracies arise at higher frequencies. One of the main reasons is the dispersion error involved in the FE approximation. The dispersion error refers to the difference between the numerical estimates of the wavenumbers and the true values. Since this error increases with the frequency, in order to keep it within “acceptable” limits, the FE model size has to be increased leading to a drastic increase in the computer time and storage. Therefore, at high frequencies, a detailed FE model may be very difficult to solve or perhaps impossible sometimes. One alternative technique to FEM is Statistical Energy Analysis (SEA). It is mainly used for structures that can be considered as an assemblage of subsystems. The application of SEA requires the knowledge of the modal density and of the relation between modal energy and vibration velocity for each subsystem. However, while for simple structures these characteristics are well known, for complex structures the analysis is often very difficult. Computational techniques such as spectral FEM or the technique proposed in the present report can be used to evaluate these quantities.

Another approach to obtain information about vibration of structures is the Dynamic Stiffness Method, DSM, sometimes also termed Spectral Element Method when it is applied to waveguides, [6, 7]. The method divides the structure into simple elements whose degrees of freedom are defined at certain points called nodes. The key of this technique is the establishment of a dynamic stiffness matrix in the frequency domain to relate nodal responses  $\mathbf{x}$  and the forces  $\mathbf{F}$ , i.e.

$$\mathbf{D}(\omega)\mathbf{x} = \mathbf{F}.$$

Only one element has to be analysed and a global dynamic stiffness matrix can be subsequently defined by assembly in a similar manner to FEM. Since the matrix  $\mathbf{D}$  is obtained from the exact solutions of the differential equation of motion, the method describes exactly the behaviour of the element but it can only be applied to relatively simple cases. Flotow, [8], and Beale and Accorsi, [9], applied these ideas to study the vibrations of network structures composed of simple beam elements. They analysed the dynamics of the structure in terms of wave propagation in a single beam element. Hence, they solved for the dynamics of the whole structures taking into account the boundary conditions between every single element and those for the whole structure. Although the method that they proposed reduces the calculation cost greatly, it is developed for a particular class of structures like frame structures.

A large amount of research has focused on the question of extending the range of frequency application of the FEM. In this context one method for structural dynamics and acoustic applications is the spectral finite element method (SFEM). The SFEM strategy applies to structures that present symmetry in one direction, called a waveguide. The name is due to the fact that they allow waves to propagate in the direction of the axis of symmetry of the structure. As it will be described later they are a particular case of periodic structures.

The basic steps of the SFEM are described in [1]. The SFEM uses the FEM to describe the cross-section motion. At the same time the nodal displacements along the axis with which the structure is aligned are in effect assumed to be harmonic functions of space. For harmonic vibrations, the solutions to the wave equation are given by a polynomial eigenvalue problem. These basic assumptions have been used by several authors to describe wave propagation in different kinds of waveguide structures. Some examples include the paper by Gavric who applied the method to a railway track, [2], Finnveden, who studied rib-stiffened structures and fluid-filled pipes, [3, 4] and Shorter who developed SFE for viscoelastic laminates, [5]. Other authors have proposed a different technique to analyse wave propagation in periodic structures. The term “periodic structures” indicates structures which exhibit characteristics that repeat periodically in one, two or three-dimensions. In other words, a periodic structure can be considered as an assemblage of identical elements, called cells or periods, which are coupled to each other on all sides and corners by identical junctions. This characteristic is indeed observable in many real systems. Examples include railway tracks, flat or curved panels regularly supported, such as stringer stiffened panels, fluid filled pipes with flanges, acoustical ducts, rail structures, car tyres, composite plates or shells etc. The use of the structures periodicity can be exploited to solve dynamic problem with reduced computational cost. Many previous works have concerned periodic structures. One of the classical books where the mathematics of wave propagation in periodic structures has been discussed is that of Brillouin [10]. More recent works, [11–15], have studied the problem of defining the dynamical behaviour of periodic structures considering the properties of its characteristic waves. These works consider structures with cross-sectional properties that are uniform along one direction. Much attention is focused on uniform plates and shells with identical stiffeners at regular intervals. In particular in [12] a finite element approach is used for obtaining the dispersion curve of a periodic structure. The main idea is to relate the displacements,  $\mathbf{q}$ , and the forces,  $\mathbf{f}$ , at the left and the right hand-side of a periodic element of the structure by the propagation constant  $\lambda$  in the following way:

$$\mathbf{q}_R = \lambda \mathbf{q}_L, \quad \mathbf{f}_R = -\lambda \mathbf{f}_L,$$

where  $\lambda = e^{i\mu}$ ,  $\mu$  is the propagation constant and  $i = \sqrt{-1}$ .

Following this idea, in [16–18], an estimate of the wave motion along a uniform waveguide is carried out by considering a *transfer matrix* to relate the displacements and the forces on both left and right hand-sides of a section of the waveguide. The *transfer matrix* is the result of a manipulation of the mass and stiffness matrices obtained from a conventional FE model of a small section of the waveguide. In this Wave-FE (WFE) approach, wave propagation is described in terms of eigenvalues and eigenvectors of this matrix and the dispersion relation is obtained via a standard eigenvalue problem. In particular, in [16], after reformulating the dynamic stiffness matrix of one cell of a waveguide in terms of wave propagation, the dynamic stiffness matrix of the whole structure is built using periodic structure theory. The response of the whole structure can then be evaluated simply. The two examples provided in [16], beams and plates excited by a point force, have shown that the accuracy of the method is good when common requirements for FE discretisation are satisfied, that is, the length of the element is small enough compared to the wavelength. In the same way as the SFEM, the advantage of this strategy is that only one section of the structure has to be meshed and solved, reducing drastically the cost of calculation. However, unlike the SFEM, the method described earlier exploits the high geometrical and material flexibility of standard commercial FE packages to extract the stiffness, mass and damping matrices. This is a great advantage since no new elements have to be developed for each application. Furthermore, the SFEM method cannot

be applied to 2-dimensional structure.

The purpose of this work is to extend the WFE approach to 2-dimensional structures. The advantages in extending the method to the 2-dimensional case consists mostly of the fact that only one finite element can be used and that the propagation constants of plane harmonic waves can be easily evaluated for different propagation direction across the structure. An important reference for this work is [19], where FEM is applied to model generic periodic structures. Herein, a general method is presented that enables vibrational waves propagating in a periodic 2-dimensional structure to be analysed by the use of a finite element method. Similar to the approach presented in [16–18], this method relates the right-left and the top-bottom displacements and generalised forces of one rectangular element by

$$\begin{aligned}\mathbf{q}_R &= \lambda_x \mathbf{q}_L, & \mathbf{f}_R &= -\lambda_x \mathbf{f}_L, \\ \mathbf{q}_T &= \lambda_y \mathbf{q}_B, & \mathbf{f}_T &= -\lambda_y \mathbf{f}_B,\end{aligned}$$

where  $\lambda_x = e^{i\mu_x}$ ,  $\lambda_y = e^{i\mu_y}$  and  $\mu_x, \mu_y$  are the propagation constants of a plane harmonic wave in a 2-dimensional geometry. Making use of the FEM, the mass and stiffness matrices are obtained and they are subsequently post-processed in order to obtain a problem whose eigenvalues and eigenvectors describe the wave propagation characteristics. Special attention is given to this eigenvalue problem. In fact if  $\mu_x$  and  $\mu_y$  are assigned, the frequencies of wave propagation can be obtained from a standard eigenvalue problem. On the other hand if the propagation frequency is prescribed it yields a polynomial or a transcendental eigenvalue problem. The real dispersion curves can be easily obtained by solving the first case. The solutions to the transcendental eigenproblem are less obvious nevertheless, for the more general case of complex propagation constants, they represent decaying wave motion at a given frequency. For the first kind of problem efficient algorithms, which include error and condition estimates, are well known while the last problem, which is more general, is less obvious and different methods to solve it are analysed.

This memorandum is organised in three parts. The first part concerns the description of the general method by which the wavenumbers for a 2-dimensional structure can be predicted from a FE model. The second and third parts deal with the application of the method to several examples. First an isotropic plate is considered as an illustrative example. Another example concerning an orthotropic plate made of a Glass/Epoxy composite material is discussed. In the third part cylindrical shells structures are discussed. In particular an example of a curved sandwich panel, for which analytical solutions are not available, is provided. The dispersion relations, the complex propagation constants and wave-forms are given. A sensitivity analysis is also performed in order to identify those results which truly represent the behaviour of the continuous structure. Finally the results are discussed and conclusions are drawn.



## 2. The Wave–FE method

In this section a general theoretical approach to apply the wave-FE method to a 2-dimensional periodic structure is described. The main idea is to use a wave approach instead of a traditional modal one to describe the vibration behaviour of a uniform structure modelled by a FE technique.

### 2.1. Finite element analysis of 2-dimensional structures

A two dimensional periodic structure can be considered as an assembly of identical elements which are coupled to its neighbours on all sides and corners. Every element, or cell, of the system can be indicated by two numbers  $(n_i, n_j)$  which defines its position along the directions  $d_1$  and  $d_2$  parallel to the direction of the system periodicity as shown in Figure 1. The dynamical behaviour of such structures can be studied in a simple way if the behaviour of only one cell is analysed. The general procedure for FE discretisation of continuous structures is well known and therefore will not be described here. The cell can be in general represented by a model

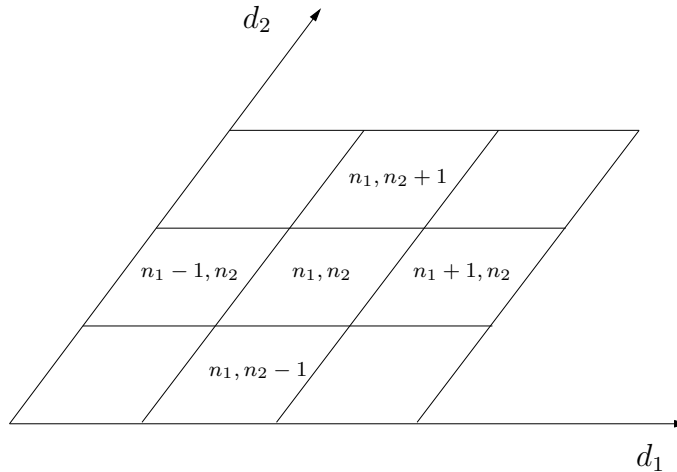


Figure 1: Uniform 2-dimensional structure.

with internal and boundary degrees of freedom  $\mathbf{q}$ . The only condition required for the following analysis is that the cell is meshed with an equal number of nodes at its left–right and top–bottom sides. Another requirement for the mesh is a small spatial discretisation compared with the wavelength of the problem. As a rule of thumb, 6-10 elements per wavelength have to be used to obtain accurate results.

Using the stiffness and mass matrices obtained from the FE model, the equation of motion for the cell for time harmonic motion is

$$(\mathbf{K} - \omega^2 \mathbf{M}) \mathbf{q} = \mathbf{F}, \quad (1)$$

where  $\omega$  is the angular frequency,  $\mathbf{K}$  and  $\mathbf{M}$  are the stiffness and mass matrices,  $\mathbf{F}$  is the loading vector containing the nodal loads and  $\mathbf{q}$  is the vector containing the nodal displacements. It is worth noting that the loading vector  $\mathbf{F}$  is responsible for transmitting the wave motion from one element to the next and therefore, even if free wave motion is considered and so no external loads exist, it is not zero.

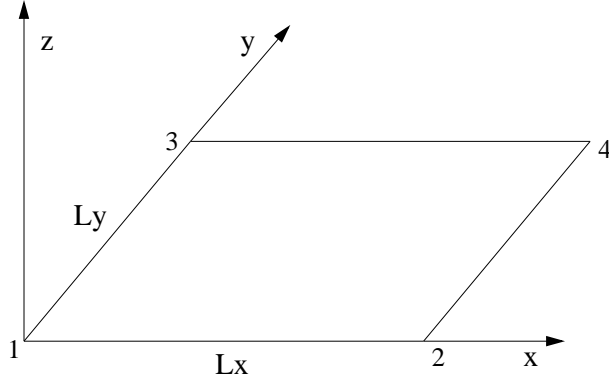


Figure 2: Rectangular 2-dimensional element.

Now consider a uniform structure as a special case of a periodic structure. The structure is meshed using conventional FEs and rectangular elements. The smallest cell that can be considered in the analysis of the uniform structure is just one FE. It will be shown that, by appropriately formulating the problem, this element is sufficient to estimate the dispersion relations for the whole system. Figure 2 shows a 2-dimensional rectangular finite element. The Cartesian axes  $x$  and  $y$  are chosen as the preferred directions  $d_1, d_2$  respectively. The element is a rectangular four node element and  $x, y$  and  $z$  are the global coordinates. The nodes are numbered as in Figure 2. The displacement vector for the element is  $\mathbf{q} = [\mathbf{q}_1, \mathbf{q}_2, \mathbf{q}_3, \mathbf{q}_4]^T$ , where  $\mathbf{q}_i$  contains the nodal degrees of freedom.

## 2.2. Wave propagation in 2-dimensional uniform structures

Plane waves propagating in the 2-dimensional structures are considered. Let  $\phi$  be a generic disturbance. It will propagate as a harmonic free wave if the physical problem admits a solution of the type

$$\phi = Ae^{i(\omega t - \mathbf{k} \cdot \mathbf{r})}, \quad (2)$$

where  $A$  is a constant,  $\mathbf{r}$  is a vector with components  $x$  and  $y$  and  $\mathbf{k}$  is a vector which represents the wavenumbers for a wave travelling in the  $\theta$  direction with respect to the  $x$  axis. In the discrete model of the structure shown in Figure 1, at the points  $(n_i L_x, n_j L_y)$ ,

$$\phi_{n_i, n_j} = Ae^{i(\omega t - n_i k_x L_x - n_j k_y L_y)} \quad (3)$$

where  $k_x = k \cos(\theta)$ ,  $k_y = k \sin(\theta)$ , represent the components of the wavenumber  $k$  along the  $x$  and  $y$  axes and  $L_x$  and  $L_y$  represent the element lengths. In the following analysis  $\mu_x = k_x L_x$  and  $\mu_y = k_y L_y$  indicate the propagation constants for the structure while  $\lambda_x$  and  $\lambda_y$  equal  $e^{-i\mu_x}$  and  $e^{-i\mu_y}$  respectively.

The propagation constants are usually complex numbers. The real parts of  $\mu_x$  and  $\mu_y$  represent the change in phase in the wave motion from one cell to the next, while the imaginary parts of  $\mu_x$  and  $\mu_y$  represent the wave attenuation along the  $x$  and  $y$  directions.

For the general case of a system discretised by a certain number of cells, Figure 1, the disturbance  $\phi$  propagates through the structure such that

$$\phi_{n_1+1, n_2} = \lambda_x \phi_{n_1, n_2}; \quad \phi_{n_1, n_2+1} = \lambda_y \phi_{n_1, n_2}; \quad \phi_{n_1+1, n_2+1} = \lambda_x \lambda_y \phi_{n_1, n_2}. \quad (4)$$

Considering equation (4) for the simple case of one finite element as shown in Figure 2, we can write the following relations for the nodal displacements:

$$\mathbf{q}_2 = \lambda_x \mathbf{q}_1; \quad \mathbf{q}_3 = \lambda_y \mathbf{q}_1; \quad \mathbf{q}_4 = \lambda_x \lambda_y \mathbf{q}_1. \quad (5)$$

Equations (5) can be rearranged to give

$$\mathbf{q} = \mathbf{Q}_r \mathbf{q}_1, \quad (6)$$

where

$$\mathbf{q} = [\mathbf{q}_1 \quad \mathbf{q}_2 \quad \mathbf{q}_3 \quad \mathbf{q}_4], \quad (7)$$

and

$$\mathbf{Q}_r = [\mathbf{I} \quad \lambda_x \mathbf{I} \quad \lambda_y \mathbf{I} \quad \lambda_x \lambda_y \mathbf{I}]^T. \quad (8)$$

Since equilibrium requires that the sum of the nodal forces at each node is zero, at node 1 then

$$\left[ \begin{array}{cccc} \mathbf{I} & -\lambda_x^{-1} \mathbf{I} & -\lambda_y^{-1} \mathbf{I} & (\lambda_x \lambda_y)^{-1} \mathbf{I} \end{array} \right] \begin{bmatrix} F_1 \\ F_2 \\ F_3 \\ F_4 \end{bmatrix} = \mathbf{0}. \quad (9)$$

Hence, premultiplying both side of equation (1) by

$$\mathbf{Q}_l = [\mathbf{I} \quad \lambda_x^{-1} \mathbf{I} \quad \lambda_y^{-1} \mathbf{I} \quad (\lambda_x \lambda_y)^{-1} \mathbf{I}], \quad (10)$$

the equation of free wave motion takes the form:

$$[\overline{\mathbf{K}}(\mu_x, \mu_y) - \omega^2 \overline{\mathbf{M}}(\mu_x, \mu_y)] \mathbf{q}_1 = \mathbf{0}, \quad (11)$$

where

$$\begin{aligned} \overline{\mathbf{K}} &= \mathbf{Q}_l \mathbf{K} \mathbf{Q}_r, \\ \overline{\mathbf{M}} &= \mathbf{Q}_l \mathbf{M} \mathbf{Q}_r \end{aligned} \quad (12)$$

are the reduced stiffness and mass matrices.  $\overline{\mathbf{K}}$  and  $\overline{\mathbf{M}}$  are  $n \times n$  matrices, where  $n$  is the number of nodal degrees of freedom. The elements of these matrices are functions of the propagation constants and of the elastic and inertial characteristics of the structure.

Equation (11) represents an eigenvalue problem in  $\omega^2$  for given values of  $\mu_x$  and  $\mu_y$ . This equation can also be seen as an eigenvalue problem in  $\mu_x$  and  $\mu_y$  for a given  $\omega$  and a given propagation direction  $\theta$ . This is a more general case but less easy to solve since it represents a transcendental eigenvalue problem.

It can be seen from equation (11) that the mathematical formulation of the method is fairly simple and that standard FE packages can be used to obtain the mass and stiffness matrices of one period of the structures. This is a great advantage because there is no need to create FE elements ‘‘ad hoc’’ every time that a new structure has to be studied.

### 2.3. Periodicity conditions

Equation (11) yields the same values of  $\mathbf{q}$  and  $\omega$  for  $(\mu_x, \mu_y)$  or  $(\mu_x + 2m_1\pi, \mu_y + 2m_2\pi)$  where  $m_1$  and  $m_2$  are integers, that is the propagation disturbance and the frequency are periodic functions of the propagation constants. This is a consequence of considering a discretised structure instead of a continuous one and represents a substantial difference with the standard continuum approach. In fact, for the continuous model it is implicitly supposed that a certain propagation characteristic could be “measured” between particles. Therefore in the continuous model the observable wavelengths will be all the ones included in the interval  $0 \leq \lambda \leq \infty$ . On the other hand, since the FE is a discrete model of the structure, if the distance between the nodes along  $x$  and  $y$  in one element are  $L_x$  and  $L_y$ , the model will allow any wavelength components along  $x$  and  $y$  such that  $\lambda_x \in [0, 2L_x]$ ,  $\lambda_y \in [0, 2L_y]$ , or, in term of the propagation constants,  $\mu_x \in [0, 2\pi]$ ,  $\mu_y \in [0, 2\pi]$ . Since a wave propagates in the positive and negative direction in the same way,  $Re(\mu_x) \in [-\pi, \pi]$ ,  $Re(\mu_y) \in [-\pi, \pi]$  are taken as the most convenient interval in which to examine the variation of  $\omega$  with respect to the variation of the propagation constants. This restriction is not so arbitrary as it appears since it contains a complete period of the frequency and avoid ambiguity in the wavenumbers at the same time. Other “intervals” of the same kind can be found but are not of interest for the present work. Detailed discussion about the periodicity conditions can be found in [10].

### 2.4. Real propagation constants

In this section we consider waves that propagate in the 2-dimensional structure without attenuation. This means that the propagation constants  $\mu_x$  and  $\mu_y$  are real quantities and for freely propagating waves in an undamped structure

$$|\lambda_x| = 1, \quad |\lambda_y| = 1.$$

If the wave propagation constants are known, the problem of finding the propagating frequencies mathematically means solving the standard eigenvalue problem for  $\omega^2$ , equation (11).

For real values of  $\mu_x$  and  $\mu_y$ ,  $\mathbf{Q}_r = \mathbf{Q}_l^*$ , where \* indicates transpose conjugation. Due to the fact that the stiffness and mass matrices in equation (1) are real, symmetric and positive definite matrices, then for real propagation constants

$$\begin{aligned} \overline{\mathbf{K}} &= \mathbf{Q}_r \mathbf{K} \mathbf{Q}_l = \mathbf{Q}_l^* \mathbf{K} \mathbf{Q}_l = [\mathbf{Q}_l^* \mathbf{K} \mathbf{Q}_l]^* = \overline{\mathbf{K}}^* \\ \overline{\mathbf{M}} &= \mathbf{Q}_r \mathbf{M} \mathbf{Q}_l = \mathbf{Q}_l^* \mathbf{M} \mathbf{Q}_l = [\mathbf{Q}_l^* \mathbf{M} \mathbf{Q}_l]^* = \overline{\mathbf{M}}^*. \end{aligned} \tag{13}$$

Hence, the dynamic matrices  $\overline{\mathbf{K}}$  and  $\overline{\mathbf{M}}$  are positive definite Hermitian matrices. For any given values of the propagation constants there will therefore be  $n$  real positive eigenvalues for which wave propagation is possible. This number is equal to the number of degrees of freedom per node,  $n$ . It should be noted that, there is also a pair of possible waves travelling in the opposite direction: if  $(\mu_x, \mu_y)$  is a solution so is  $(-\mu_x, -\mu_y)$ .

### 2.5. Complex propagation constants

Unlike the previous analysis, equation (11) can be formulated also to give the propagation constants for a given value of  $\omega$  and  $\theta$ . Two approaches are discussed to obtain the propagation

constants: with the first one the problem is reduced to a standard polynomial eigenvalue problem while with the second the eigenproblem is a transcendental eigenvalue problem. For the first kind of problem efficient algorithms, which include error and condition estimates, are well known while the second problem, which is more general, is less common and different methods can be used to solve it.

By partitioning the dynamic stiffness matrix of equation (1) as

$$\mathbf{D} = \begin{bmatrix} \mathbf{D}_{11} & \mathbf{D}_{12} & \mathbf{D}_{13} & \mathbf{D}_{14} \\ \mathbf{D}_{21} & \mathbf{D}_{22} & \mathbf{D}_{23} & \mathbf{D}_{24} \\ \mathbf{D}_{31} & \mathbf{D}_{32} & \mathbf{D}_{33} & \mathbf{D}_{34} \\ \mathbf{D}_{41} & \mathbf{D}_{42} & \mathbf{D}_{43} & \mathbf{D}_{44} \end{bmatrix},$$

equation (11) can be rewritten in the form

$$\begin{aligned} & [(\mathbf{D}_{11} + \mathbf{D}_{22} + \mathbf{D}_{33} + \mathbf{D}_{44}) + (\mathbf{D}_{12} + \mathbf{D}_{34})\lambda_x + (\mathbf{D}_{21} + \mathbf{D}_{43})\lambda_x^{-1} + \\ & + (\mathbf{D}_{13} + \mathbf{D}_{24})\lambda_y + (\mathbf{D}_{31} + \mathbf{D}_{42})\lambda_y^{-1} + \mathbf{D}_{14}\lambda_x\lambda_y + \mathbf{D}_{41}\lambda_x^{-1}\lambda_y^{-1} + \\ & + \mathbf{D}_{32}\lambda_x\lambda_y^{-1} + \mathbf{D}_{23}\lambda_x^{-1}\lambda_y] \mathbf{q} = \mathbf{0}. \end{aligned} \quad (14)$$

Considering the transpose and the transpose conjugate of equation (14) and exploiting the symmetry of the dynamic stiffness matrix, it can be easily proved that the eigenvalues of this problem occur in pairs  $(\lambda_x, \lambda_x^*)$ ,  $(\lambda_x^{-1}, \lambda_x^{-1*})$  and  $(\lambda_y, \lambda_y^*)$ ,  $(\lambda_y^{-1}, \lambda_y^{-1*})$ .

If the ratio  $\mu_y/\mu_x$  is a rational number, that is  $\mu_y/\mu_x = m_2/m_1$ , where  $m_1$  and  $m_2$  are integers with no common divisors, we can reformulate the propagation constants as  $\mu_x = m_1\sigma$ ,  $\mu_y = m_2\sigma$ . Since  $\lambda_x = e^{-i\mu_x}$  and  $\lambda_y = e^{-i\mu_y}$ , equation (14) can be rearranged in this case as

$$\begin{aligned} & [\mathbf{A}_0 + \mathbf{A}_1\gamma^{m_1} + \mathbf{A}_2\gamma^{m_2} + \mathbf{A}_3\gamma^{2m_1} + \mathbf{A}_4\gamma^{2m_2} + \mathbf{A}_5\gamma^{m_1+m_2} + \\ & + \mathbf{A}_6\gamma^{2m_1+m_2} + \mathbf{A}_7\gamma^{m_1+2m_2} + \mathbf{A}_8\gamma^{2m_1+2m_2}] \mathbf{q} = \mathbf{0}, \end{aligned} \quad (15)$$

where  $\gamma = e^{-i\sigma}$  and the matrices  $\mathbf{A}_j$  are of the same order as the partitions of  $\mathbf{D}$ . Equation (15) represents a standard polynomial eigenvalue problem of order  $n(2m_1 + 2m_2)$  and can be solved by efficient algorithms. The eigenvalues of equation (15) are transcendental functions in the complex variable  $\sigma$ . In this particular formulation, the problem admits an infinity of periodic solutions since, if  $\sigma$  is a solution,  $\sigma \pm 2p\pi$ ,  $p$  integers, is a solution as well. In terms of the propagation constants  $\mu_x$  and  $\mu_y$ , the correspondent periods for the roots of equation (15) will be  $2m_1\pi$  and  $2m_2\pi$ .

In order to consider a general way to solve equation (14) for any possible (irrational) value of  $\mu_y/\mu_x$  we can write, for waves propagating at an angle  $\theta$  with respect to the  $x$  axis

$$\begin{aligned} \lambda_x &= e^{-ikL_x \cos(\theta)}, \\ \lambda_y &= e^{-ikL_y \sin(\theta)}. \end{aligned} \quad (16)$$

This leads to a general formulation of the problem

$$\mathbf{A}(e^{-ikL_x \cos(\theta)}, e^{-ikL_y \sin(\theta)}) \mathbf{q} = \mathbf{0}, \quad (17)$$

where the elements of the matrix  $\mathbf{A}$  are transcendental functions of the complex variable  $k$  for a given value of  $\theta$ .

The analytical functions involved in equation (17), sums and products of exponential functions, are continuous and continuously differentiable with respect to the variable  $k$ . Therefore, the most natural technique to solve (17) is to reduce the problem to the transcendental equation  $g(k) = |\mathbf{A}(k)| = 0$  and solve it by *Newton's method*. *Newton's method* seeks the solutions of the nonlinear equation by solving iteratively a sequence of linear problems of the form

$$\begin{aligned} g(k_{i-1}) + r_i g'(k_{i-1}) &= 0 \\ k_i &= k_{i-1} + r_i. \end{aligned} \tag{18}$$

Sufficient conditions for the existence of the solution and the convergence of *Newton's method* are given by the Kantorovich Theorem, see [20].

A similar way to solve the problem is to use the *Newton's eigenvalue iteration method* [21], [22]. This method extends *Newton's method* to the matrix  $\mathbf{A}(k)$  in the following way. Given

$$\mathbf{B} = -\frac{d\mathbf{A}(k)}{dk},$$

then solve for

$$\begin{aligned} [\mathbf{A}(k_{i-1}) - r_i(\mathbf{B}(k_{i-1}))]\mathbf{q}_i &= 0, \\ k_i &= k_{i-1} + \min(r_i), \end{aligned} \tag{19}$$

where *min* means the minimum absolute value of the eigenvalues  $r_i$ . The main difference with the same method applied to the one-dimensional case is that the values of  $r_i$  are determined by solving an eigenvalue problem and the approach is more general. Although both problems consider first order approximations, higher order approximations can be included by considering higher order terms in the series expansion of either the matrix  $\mathbf{A}$  or of the function  $g$ .

An alternative choice seeks the complex roots of the equation  $|\mathbf{A}| = 0$  using a variant of the *Powell Method*, [23]. This algorithm is implemented in the function *fsolve* in the Optimization Toolbox of MATLAB as a default choice.

The solutions obtained by applying the three different methods are virtually identical but the function *fsolve* is computationally more efficient than the other two. Despite the fact that the solution space is more sensitive to  $Re(k)$  than  $Im(k)$ , the above algorithms used have good guaranteed convergence within the closed intervals used to find the solutions. Moreover, the objective function  $|\mathbf{A}|$  at the estimated solutions  $k$  was found to always be very "small".

However two important aspects are still open to discussion: the number of solutions and the interval in which all these solutions are defined. The choice of this interval is a more interesting/relevant problem as all the iterative root-finding methods depend critically on the initial estimates.

Alternative numerical approaches for finding complex roots of the transcendental equation (17) may be the *Interval Newton method*, [24], [25], the *contour integration method*, [26] or *Muller's method*, [27]. The evaluation and comparison of these methods is object of future work.

### 3. Plane wave propagation in plates

In this section flexural wave motion in a 2-dimensional thin plate is investigated. First an uniform isotropic plate is analysed as an illustrative example. The second part concerns the more complicated and practical case of an orthotropic plate made of Glass/Epoxy composite material. The real-valued dispersion curves, the complex propagation constants and accuracy and sensitivity analysis of the results are given. Although the complex propagation constants for given frequency and for given propagation direction have been obtained, the procedure that enables the complete complex-valued dispersion curves to be fully evaluated is in progress.

As stated before, only one single finite element is analysed, Figure 2. For a plate in bending vibration, the element has three degrees of freedom at each node: translation in the  $z$  direction and rotations about the  $x$  and  $y$  axes. The shape function assumed for this element is a complete cubic to which the two quadratic terms  $x^3y$  and  $xy^3$  have been added. For more detail see [28].

#### 3.1. Isotropic plate

A steel plate of thickness  $h$  located in the  $(x, y)$  plane is considered. In the numerical examples below the material properties are taken to be: Young's modulus  $E = 19.2 \cdot 10^{10} \text{ N/m}^2$ , Poisson's ratio  $\nu = 0.3$ , density  $\rho = 7800 \text{ kg/m}^3$ .

The governing equation for the out-of-plane motion  $w$  of the thin plate is:

$$D \left( \frac{\partial^4 w}{\partial x^4} + 2 \frac{\partial^4 w}{\partial x^2 \partial y^2} + \frac{\partial^4 w}{\partial y^4} \right) = -\rho h \frac{\partial^2 w}{\partial t^2}, \quad (20)$$

where

$$D = \frac{Eh^3}{12(1-\nu^2)},$$

is the flexural rigidity. A nondimensional frequency is defined as

$$\Omega = \omega L_x^2 \sqrt{\rho h / D}.$$

If nothing different is specified the plate element is assumed to be a square element of length  $L$ . Harmonic wave solutions of the form

$$w = A e^{i\omega t - ik_x x - ik_y y} \quad (21)$$

are sought. Substituting (21) into equation (20), gives

$$D(k_x^2 + k_y^2)^2 = \rho h \omega^2. \quad (22)$$

Since  $k_x = k \cos(\theta)$ ,  $k_y = k \sin(\theta)$ , and hence  $k = \sqrt{k_x^2 + k_y^2}$ , the analytical plate free wavenumber is defined as

$$k = \sqrt{\omega} \sqrt[4]{\frac{\rho h}{D}}. \quad (23)$$

##### 3.1.1. Real-valued dispersion curves and wave forms

Figure 3 shows the plots of the nondimensional  $(\Omega, \mu_x, \mu_y)$  surfaces, where  $\mu_x = k_x L_x$  and  $\mu_y = k_y L_y$  for real  $k_x$  and  $k_y$ . As expected the surfaces are symmetric with respect to the

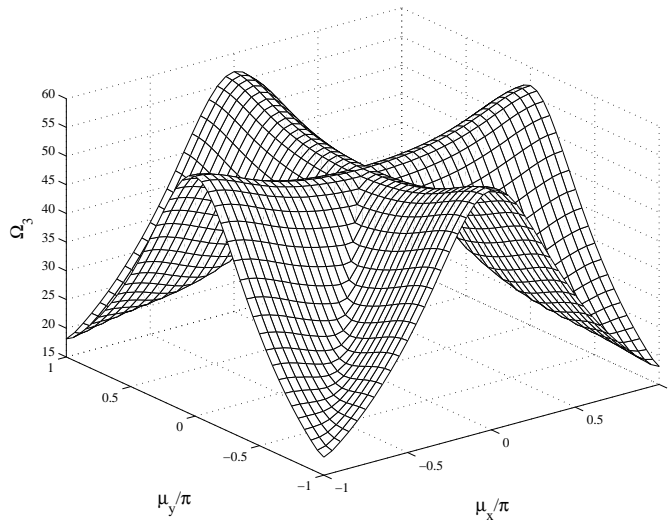
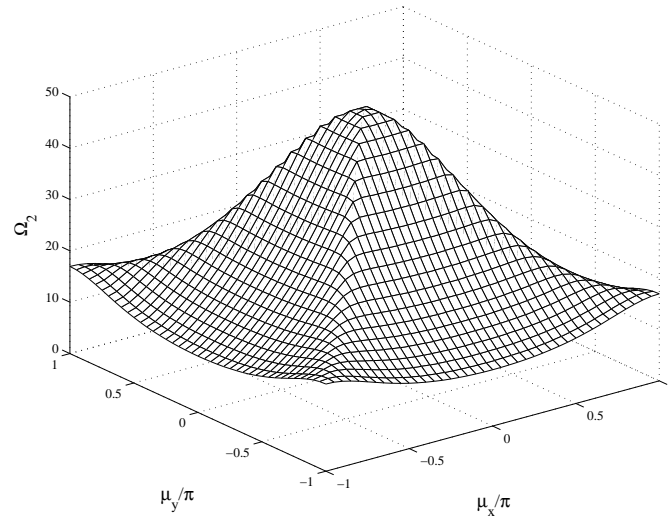
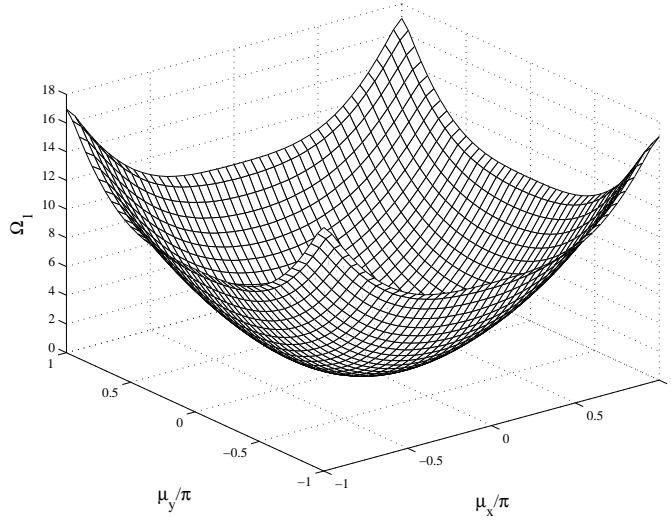


Figure 3: Isotropic plate. Propagation surfaces.



$\mu_x = 0$  and  $\mu_y = 0$  planes. This symmetry is due to the isotropy of the plate and due to the fact that a wave propagates in the same way in the positive or in the negative direction. The wave motion is possible only at the frequencies specified by the surfaces in Figure 3 since at other frequencies the wave decays exponentially. Hence we call these surfaces as *passing bands* according to Brillouin, [10].

In order to highlight some important properties of the wave-FE model, Figure 4 shows the numerical and analytical dispersion curves for a wave propagating along the  $x$  direction. It

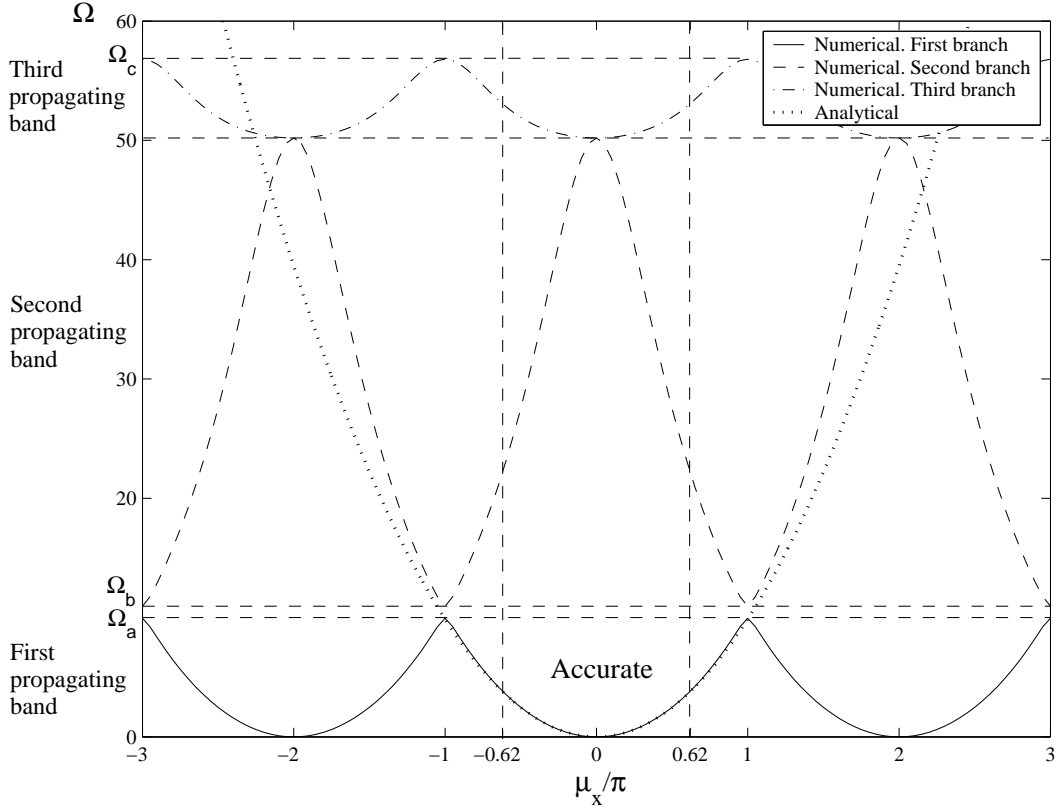


Figure 4: Real-valued dispersion curves for  $\theta = 0$ . Numerical and analytical curves.

can be seen that the frequency is periodic with a period  $2\pi$ . Therefore, as explained in the section 2.3, it is sufficient to evaluate the variation of the frequency within the interval  $[-\pi, \pi]$ . As expected three *passing bands* are obtained for the plate because there are three DOFs per node. However, only the first, which corresponds to the results obtained from the analytical formulation, exists for the continuous plate and it is the one of interest for the present analysis. The figure shows that the first branch is a good approximation to waves in the continuous plate if the element length is about one-tenth of the wavelength, that is approximately  $\mu_x < 2\pi/10$ . The second and third *passing bands* are due to the discrete FE model of the plate. It can be noted that in the first passing band the model behaves as a *low-pass* filter for all the frequencies below the *cut-off* frequency  $\Omega_a$ . The frequencies outside the *passing bands*, i.e.  $\Omega_a < \Omega < \Omega_b$  and  $\Omega > \Omega_c$ , do not propagate. Although the presence of the *bounding frequencies*,  $\Omega_a$ ,  $\Omega_b$  and  $\Omega_c$ , is due to the discretisation of the continuous model, their evaluation can be of importance since they are characteristics of the structure. In [13], for example, it has been proved that the *bounding frequencies* for a 1-dimensional periodic system of symmetric elements, correspond to the characteristic frequencies of the single element with its ends either fixed or free. Other

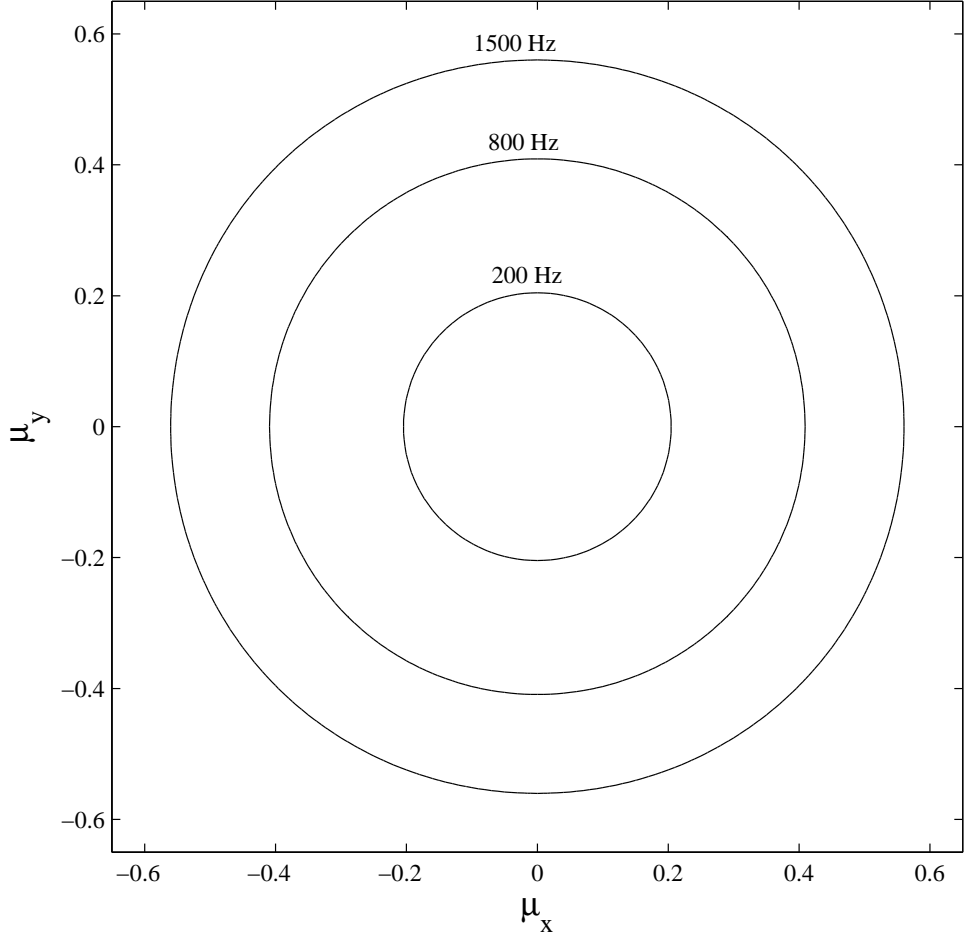


Figure 5: Isotropic plate. Dispersion curves in the  $(\mu_x, \mu_y)$  plane. Square element.

considerations about the *bounding frequencies* can be found in [11], [12], [14].

Figures 5 and 6 show the dispersion curves in the  $(\mu_x, \mu_y)$  plane for the first propagating band at different values of the propagation frequency. Two examples are considered. The first concerns a square plate element of length  $L$ , Figure 5, while the second applies to a rectangular element for which the distances between the nodes along  $x$  and  $y$  are taken as  $L_x = L$  and  $L_y = 1/2L$  respectively, Figure 6. Since the plate is isotropic the wavenumber is independent of the propagation direction and, as expected, the contour curves for the square plate element are circular, Figure 5. On the other hand, the curves in Figure 6 relative to the rectangular element are ellipses since  $\mu_y = 1/2k_yL$  and  $\mu_x = k_xL$ .

Some examples are now given in order to show the frequencies,  $\mathbf{f}$ , and the associated wave forms,  $\mathbf{V}$ . These result from the eigenproblem defined in equation (11). The waveforms are evaluated for plane waves that propagate in the directions  $\theta = 0$  and  $\theta = \pi/4$ .

**Illustrative example 1).** Direction of propagation  $\theta = 0$ , wavenumber  $57.86 \text{ m}^{-1}$ .

From equation 11, the frequencies and the corresponding eigenvectors are:

$$\mathbf{f} = \begin{bmatrix} 400 \\ 2.328 \cdot 10^5 \\ 2.401 \cdot 10^5 \end{bmatrix}; \quad \mathbf{V} = \begin{bmatrix} -0.0173 & 0 & 0 \\ 0 & 0 & -0.001 + 0.1i \\ -i & -0.001 + 0.1i & 0 \end{bmatrix}. \quad (24)$$

**Illustrative example 2).** Direction of propagation  $\theta = \pi/4$ , wavenumber  $57.86 \text{ m}^{-1}$ .

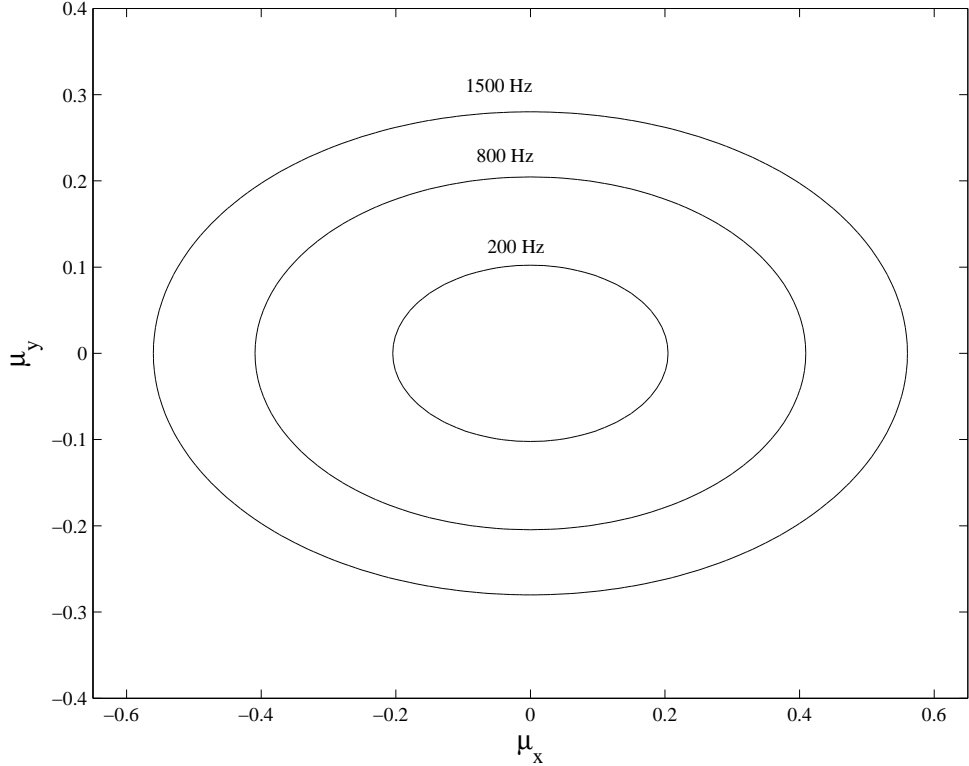


Figure 6: Isotropic plate. Dispersion curves in the  $(\mu_x, \mu_y)$  plane. Rectangular element.

From equation 11, the frequencies and the corresponding eigenvectors are:

$$\mathbf{f} = \begin{bmatrix} 400 \\ 2.364 \cdot 10^5 \\ 2.364 \cdot 10^5 \end{bmatrix}; \quad \mathbf{V} = \begin{bmatrix} -0.0244 & 0 & 0 \\ i & -1 & -1 \\ -i & -1 & 1 \end{bmatrix}. \quad (25)$$

The eigenvectors,  $V$ , correspond to the nodal displacements, which are translation in the  $z$  direction,  $w$ , and rotations about the nodal  $x$  and  $y$  axes,  $\theta_x = \partial w / \partial y$  and  $\theta_y = -\partial w / \partial x$ . Since harmonic free waves propagate according to equation (21), it can be verified that, in the two examples illustrated, only the first eigenvector represents a free propagating wave in the continuous plate. The other two modes are due to the discretisation of the structure and do not correspond to physical motion in the continuous plate.

Short movies files (available on request) have been produced with MATLAB in order to display the wave form in the plate FE. The visualisation of the wave motion in the movies is carried out for one square FE a cell of  $6 \times 6$  square FEs. The movies show clearly that the first wave forms correspond to a propagating disturbance for which the motion of every particle in the plane perpendicular to the direction of propagation is the same.

### 3.1.2. Accuracy

Approximate numerical methods, such FEM, have been developed to numerically approximate partial differential equations when is not possible to obtain an analytical solution. Nevertheless, the simplifications and approximations used to obtain the model affect the output. One of the FE approximation errors consists in dispersion errors that are caused by the difference between the numerical evaluation of the wavenumber and the true wavenumber. In order to evaluate the

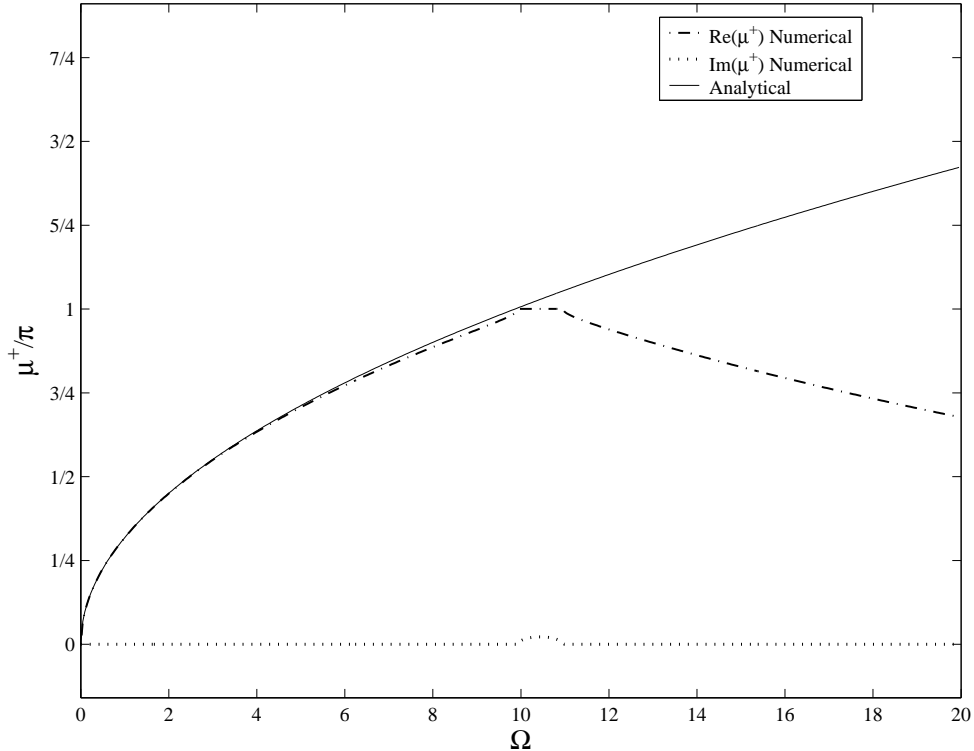


Figure 7: Isotropic plate. Complex-valued dispersion curve for  $\theta = 0$ .

dispersion error, as an example, a comparison of the analytical and the numerical dispersion curves is given in Figure 7. The figure shows the nondimensional wavenumber  $\mu^+ = kL$  against  $\Omega$  for a wave propagating in the positive  $x$  direction. Figure 7 shows that the numerical solution is close to the analytical one until  $\mu = \pi$ . In term of wavelength this means  $L < \lambda/2$ . The same considerations apply to any value of the propagation direction  $\theta$ , that is  $L_x < \lambda_x/2$  and  $L_y < \lambda_y/2$ . Hence, it can be concluded that the accuracy of the method is good when common requirements for FE discretisation are satisfied:  $L_x < \lambda_x/10$  and  $L_y < \lambda_y/10$ . This is an often-used criterion that can be used to ensure that there are enough elements per wavelength to accurately characterise the wave.

The dispersion errors also depend on the propagation direction. Figure 8 shows the relative error in the estimated frequency and in the estimated wavenumber as a function of  $\theta$ , Figure 8.(a) and Figure 8.(b) respectively.

Different cases are considered: a square plate of length  $L_x = L$  and rectangular plates for which  $L_x = L$ ,  $L_y = 1/2L$  and  $L_y = \sqrt{2}L$ . As an example, the frequencies are obtained for a plane wave whose nondimensional wavenumber is  $kL = 0.2893$  while the wavenumbers are obtained for nondimensional frequency  $\Omega = 0.0837$ . As expected, the errors in the frequency and wavenumber evaluation increase as the distance  $\sqrt{L_x^2 + L_y^2}$  between the nodes 1–4 increases and reach their maximum as the propagation direction approaches  $\pi/4 + m\pi/2$ ,  $m$  integers. The fact that the plot in Figure 8.(b) is piecewise constant is due to the methods, (*Newton method* and *Powell's method*), used to solve the transcendental equation in section 2.5. In fact, when these methods are applied to find a root of complex functions, the set of points where the methods converge to that root is a domain of attraction.

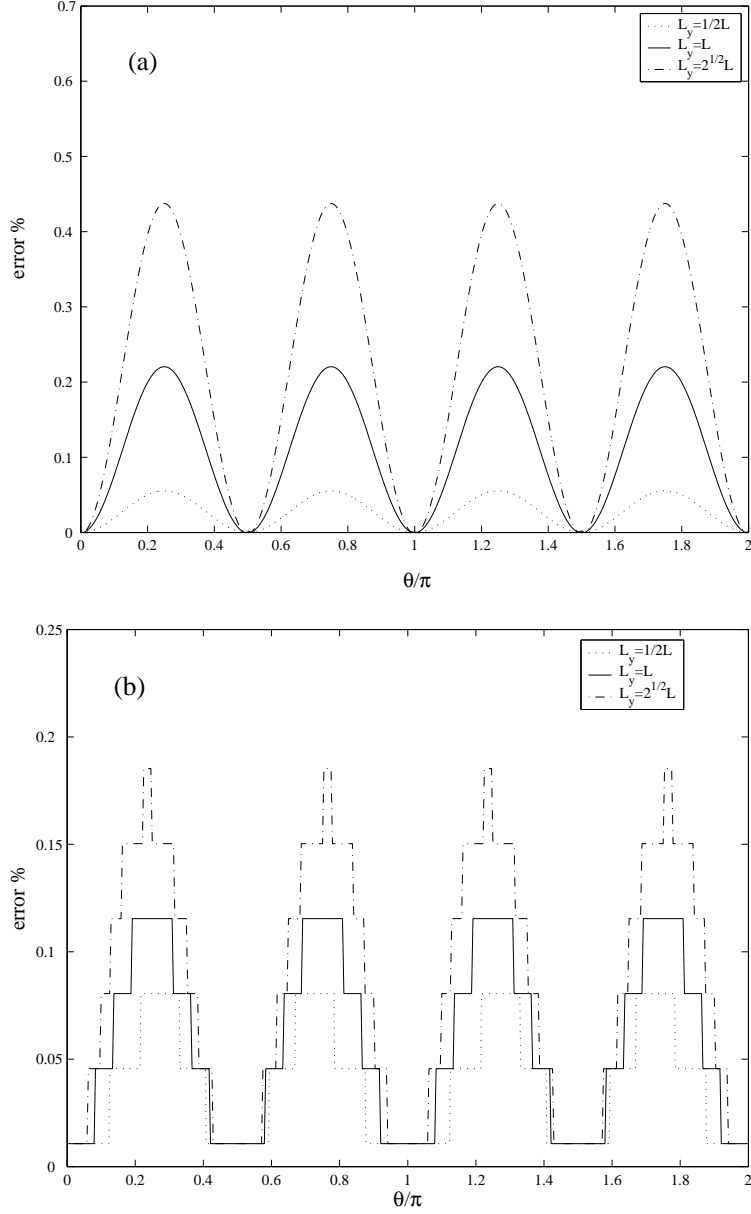


Figure 8: Isotropic plate. Relative error in the estimated frequency, figure (a), and in the wavenumber, figure (b), as a function of the wave propagation direction  $\theta$ ,  $kL = 0.2893$ ,  $\Omega = 0.0837$ .

### 3.1.3. Complex-valued propagation constants

In this section some examples are given in order to show the solutions obtained from equations (15) and (17) for a given frequency and a given propagation direction. A square plate element of length  $L$  is considered. As an example, the solutions are evaluated at  $\Omega = 0.0837$  for different values of  $\theta$ . Figure 9 shows the location of the nondimensional wavenumber  $\mu = kL$  obtained solving equation (15) for  $\theta = \arctan(1/2)$ . Since in equation (15),  $\gamma = e^{-i\sigma}$ , the nondimensional wavenumbers are evaluated as  $kL = \sqrt{(\mu_x^2 + \mu_y^2)}$ , where  $\mu_x = m_1\sigma$ ,  $\mu_y = m_2\sigma$  and  $\sigma = i \ln(\gamma)$ . As another example, Figure 10 shows the nondimensional wavenumbers obtained from problem (17) for  $\theta = \pi/3$ .

Equation (15) can also be arranged to obtain  $\mu_y$  for a given  $\mu_x$  (or  $\mu_x$  for a given  $\mu_y$ ) for a given

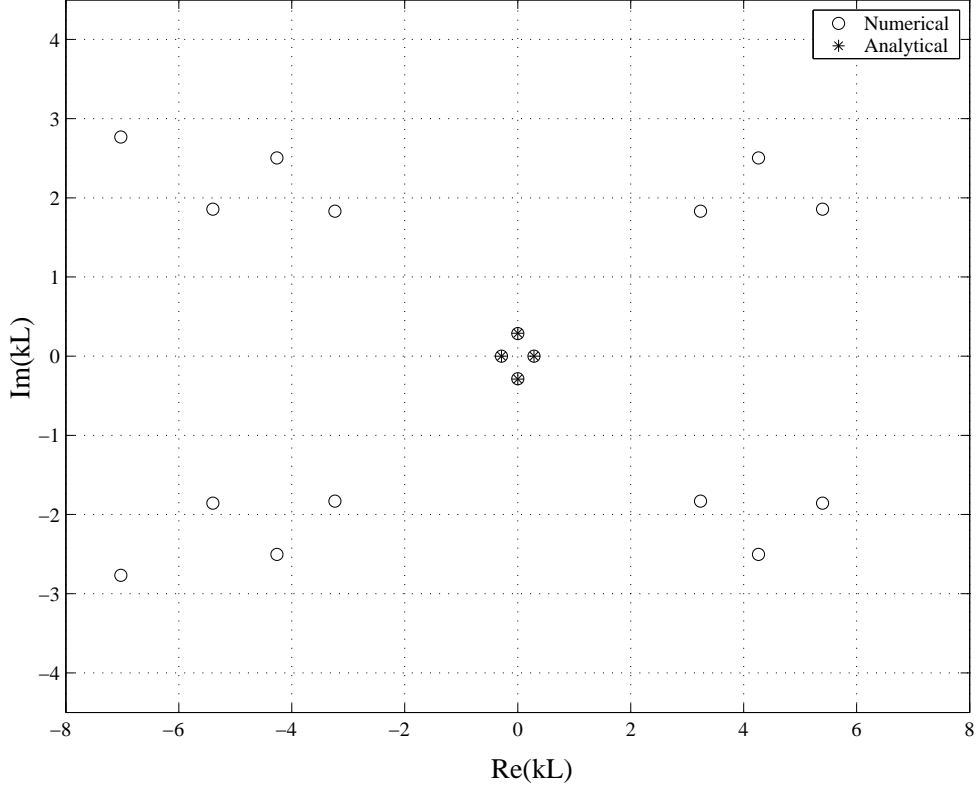


Figure 9: Isotropic plate. Location of the nondimensional wavenumber –obtained solving equation (15)– in the complex  $(\text{Re}(kL), \text{Im}(kL))$  plane.  $\theta = \arctan(1/2)$ ,  $\Omega = 0.0837$ .

frequency as a function of  $\theta$ . Figure 11 shows the real and imaginary part of the solutions  $\mu_y$ , for given  $\mu_x$  as a function of the propagation direction. Solving equation (15), 6 solutions are obtained which corresponds to 3 pairs of waves which either decay or propagate in the negative and positive  $y$  direction. Since damping is not considered, the solutions for freely propagating waves satisfy the equation  $|e^{-i\mu_y}| = 1$ , hence solutions 1 and 2 represent the component along  $y$  of the wave that propagates along  $\pm\theta$  direction. Solutions 3, 4, 5 and 6 represent the  $y$  components of evanescent waves with amplitudes that decrease in the  $\theta$  and  $-\theta$  directions respectively. The real part of  $\mu_y$  shows that adjacent nodes along the  $y$  direction vibrate in phase for solution 3 and 4 and in counter phase for solution 5 and 6.

### 3.1.4. Sensitivity analysis of eigenvalues

From the analysis carried out, it is clear that there is a set of solutions which do not correspond to the results for the continuous structure but are due to the discretisation. Thus there is need for a systematic procedure that enables results which represent the behaviour of the continuous structure to be evaluated. This means that the eigenmodes that correspond to waves in the continuous model must be identified. A possible criterion is to look at the sensitivity of the results to a particular parameter. Since a design parameter in the FE model is the distance between the nodes, a small change in this parameter should result in relatively small changes for the results representative of wave motion in the continuous structure and in relatively large changes in those associated with the discrete structure.

A direct approach to obtain the eigenvalue sensitivities is to differentiate equation (11) with respect to the element length  $L$ . If the eigenvalues are simple (not multiple) and the eigenvectors

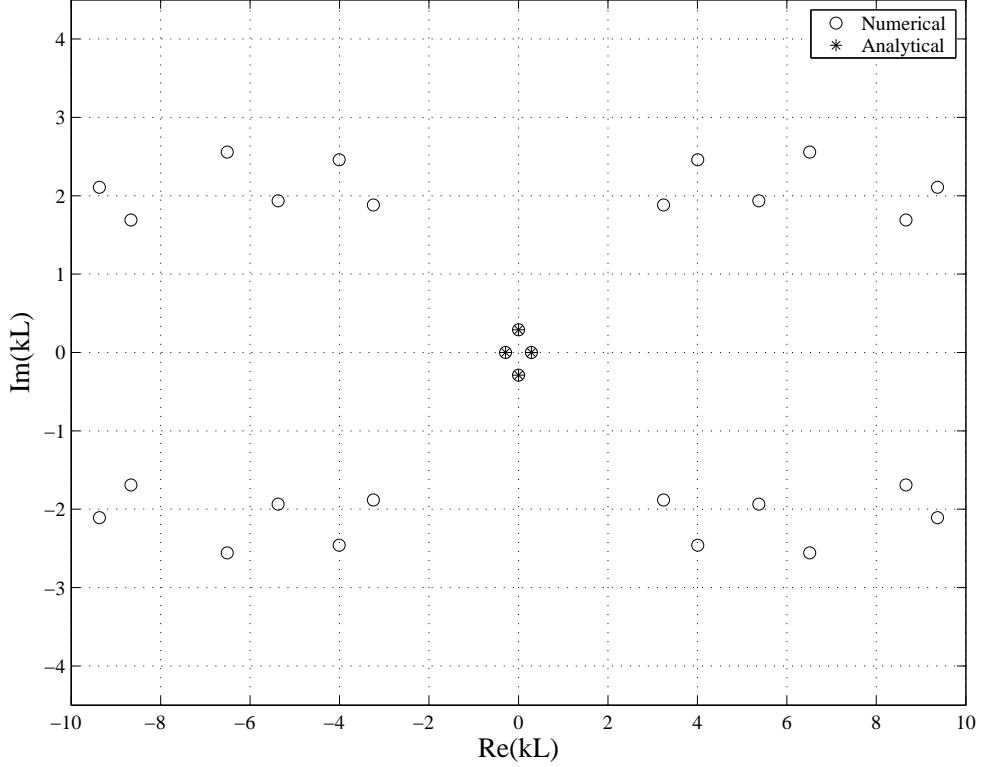


Figure 10: Isotropic plate. Location of the nondimensional wavenumber –obtained solving equation (17)– in the complex  $(\text{Re}(kL), \text{Im}(kL))$  plane.  $\theta = \pi/3$ ,  $\Omega = 0.0837$ .

are normalised with respect to the mass matrix, the expression for the derivative of equation (11) is

$$\frac{\partial \omega_i^2}{\partial L} = \mathbf{q}_i^T \left( \frac{\partial \bar{\mathbf{K}}}{\partial L} - \omega_i^2 \frac{\partial \bar{\mathbf{M}}}{\partial L} \right) \mathbf{q}_i; \quad i = 1, \dots, n. \quad (26)$$

In simple cases the stiffness and mass matrices can be evaluated as functions of the dimension of the element and their derivatives with respect to  $L$  can be determined analytically. If only a numerical evaluation of the matrices is available, the derivative of the element matrices may be approximated by its first order numerical derivative as

$$\frac{\partial \bar{K}(L)}{\partial L} = \frac{\bar{K}(L + \Delta L) - \bar{K}(L)}{\Delta L}; \quad \frac{\partial \bar{M}(L)}{\partial L} = \frac{\bar{M}(L + \Delta L) - \bar{M}(L)}{\Delta L}. \quad (27)$$

For example, for a square plate element of dimension  $L = 0.005 \text{ m}$ , the nondimensional analytical variation of the frequency with respect to the  $L$  is:

$$\frac{\partial \Omega_1^2}{\partial L} = 5.433 \cdot 10^{-5}; \quad \frac{\partial \Omega_2^2}{\partial L} = -1.954 \cdot 10^6; \quad \frac{\partial \Omega_3^2}{\partial L} = -2.018 \cdot 10^6. \quad (28)$$

From (28) shows clearly that the second and third modes are very sensitive to a change in the element dimension and therefore are due to the discretisation process.

### 3.1.5. Sensitivity analysis of the wavenumbers

The FE estimates depend on the element aspect ratio. This is particularly significant for the higher periodic structure branches while this is not true for solutions which represent wave-modes in the continuous model. Figures 12 and 13 show, as an example, the wavenumbers for

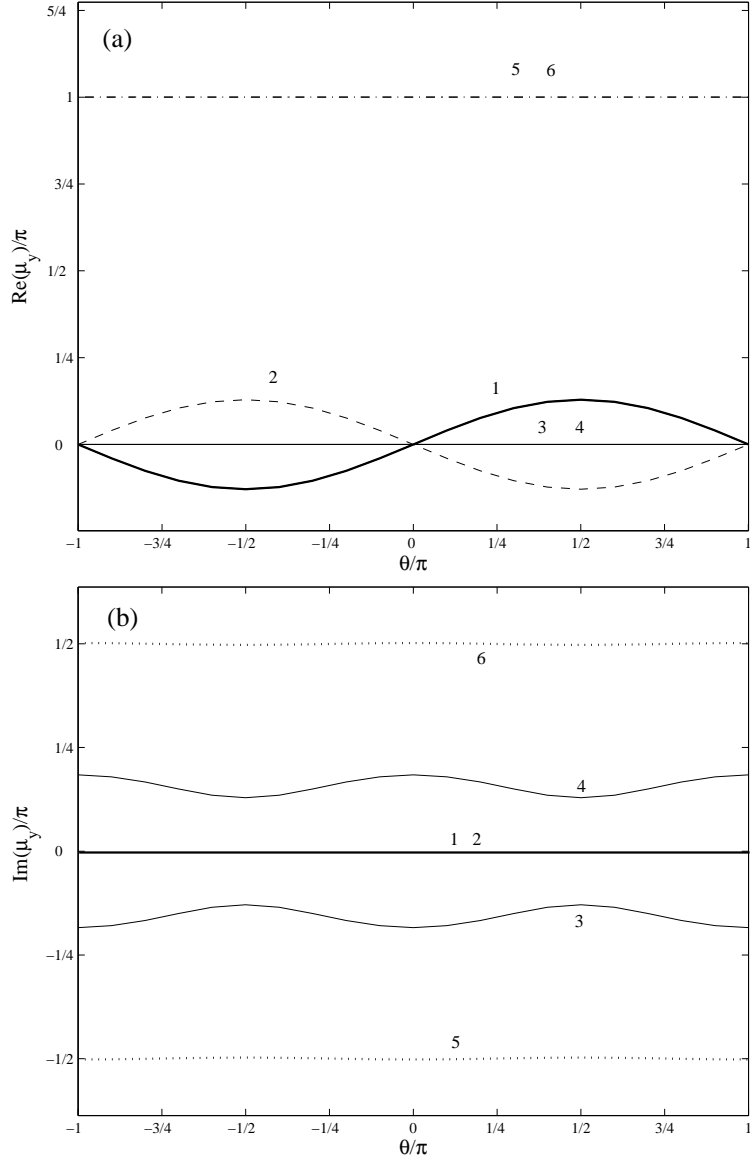


Figure 11: Isotropic plate. Real part, figure (a), and imaginary part, figure (b), of  $\mu_y$  for given  $\mu_x$  and given frequency as a function of  $\theta$ .

various element aspect ratios. The complex values of the nondimensional wavenumbers,  $kL$ , are obtained solving the eigenproblem (17) for  $\theta = \pi/3$  and  $\Omega = 0.0837$ .

Figure 12 shows that while solutions 1, 2, 3 and 4 seem to be not affected by small changes in the element geometrical parameters, the other solutions are very sensitive to changes in the aspect ratio.

Figure 13 shows the sensitivity in the estimated wavenumbers as a function of  $L$ . The error in the wavenumber is evaluated as  $(k(L_0) - k)/k(L_0)\%$  where  $L_0$  is a given initial length. The figure shows that solutions 1, 2, 3 and 4 are insensitive to the variation of  $L$  while a change of 1% in the length of the element results in a change of nearly 1% for the other solutions. It can be concluded that solutions 1, 2, 3 and 4 can be considered as the solutions representative of the wave motion in the continuous model.



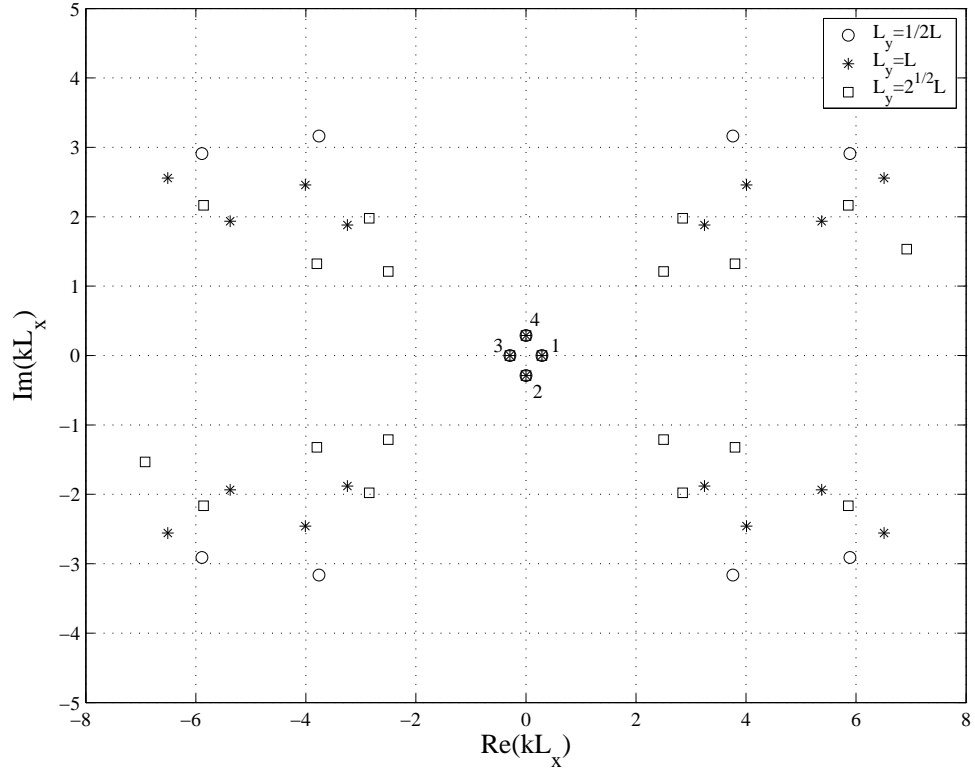


Figure 12: Isotropic plate. Location of the solutions in the complex  $(\text{Re}(kL_x), \text{Im}(kL_x))$  plane,  $\Omega = 0.0837$ ,  $\theta = \pi/3$ .

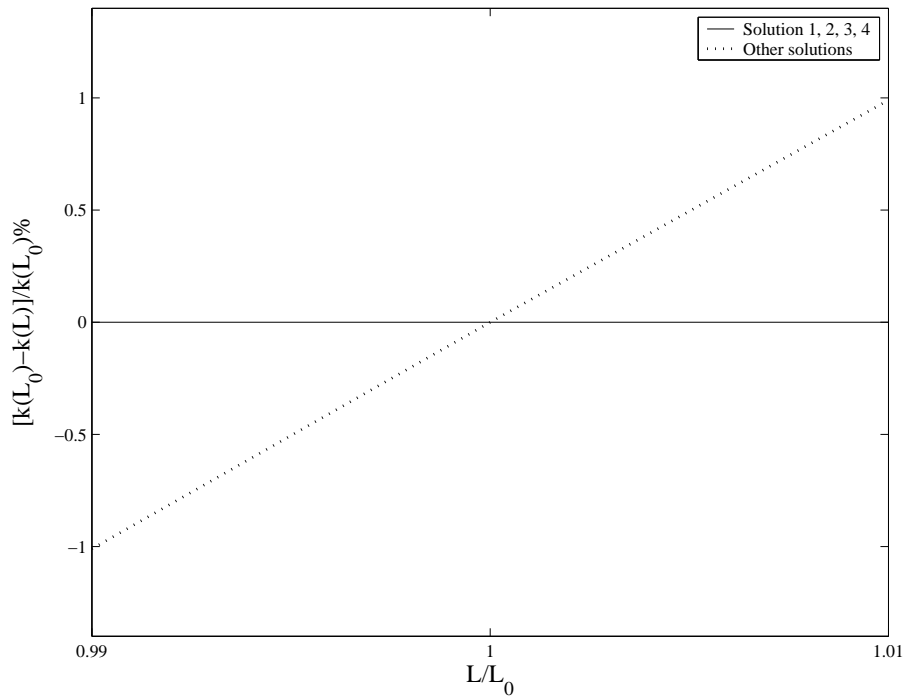


Figure 13: Isotropic plate. Sensitivity analysis of the wavenumber with respect to change in plate dimension.

### 3.2. Orthotropic plate

In this section an orthotropic plate made of a Glass/Epoxy composite material with the fibres oriented in the  $x$  direction is considered. The plate has uniform thickness and the material properties are as follows: Young's modulus in the fibre direction  $E_x = 39GPa$ , Young's modulus in the transverse direction  $E_y = 8.6GPa$ , in-plane shear modulus  $G_{xy} = 3.8GPa$ , major Poisson's ratio  $\nu = 0.28$ , density  $\rho = 2100kg/m^3$ .

For such a plate the equation for bending vibrations is

$$D_x \frac{\partial^4 w}{\partial x^4} + 2H \frac{\partial^4 w}{\partial x^2 \partial y^2} + D_y \frac{\partial^4 w}{\partial y^4} = -\rho h \frac{\partial^2 w}{\partial t^2}, \quad (29)$$

where  $h$  is the plate thickness and  $H = D_1 + 2D_{xy}$ . The rigidities are defined as

$$D_x = \frac{E_x h^3}{12(1 - \nu\nu_m)}; \quad D_y = \frac{E_y h^3}{12(1 - \nu\nu_m)}; \quad D_1 = \frac{E_y \nu h^3}{12(1 - \nu\nu_m)}; \quad D_{xy} = \frac{G_{xy} h^3}{12},$$

where  $\nu_m$  is the minor Poisson's ratio. For more details see [29]. Substituting (21) into (29) and considering that  $k_x = k \cos \theta$  and  $k_y = k \sin \theta$ , the free wavenumber is

$$k = \sqrt{\omega}^4 \sqrt{\frac{\rho h}{D_x \cos^4 \theta + 2H \cos^2 \theta \sin^2 \theta + D_y \sin^4 \theta}}. \quad (30)$$

A nondimensional frequency is defined as

$$\Omega = \omega L_x^2 \sqrt{\rho h / \tilde{D}},$$

where  $\tilde{D} = E_x h^3 / 12(1 - \nu\nu_m)$ . As in the previous section, if nothing different is specified the plate element is assumed to be square and of length  $L$ .

#### 3.2.1. Real-valued dispersion curves

Figure 14 shows the surface plot of the nondimensional frequencies with respect to  $\mu_x = k_x L$  and  $\mu_y = k_y L$ . As expected the surfaces are symmetric with respect to the  $\mu_x = 0$  and  $\mu_y = 0$  axes.

Figures 15 shows the dispersion curves for the first propagating band in the  $(\mu_x, \mu_y)$  plane at different values of the frequency. Although the element is square, the contour curves are ellipses because the material is orthotropic. Figure 16 shows the nondimensional wavenumber  $\mu^+ = kL$  against  $\Omega$  for a wave propagating in the positive  $x$  direction. The Figure shows that the results are accurate if the length of the element is small compared with the wavelength. The same conclusion applies to any value of the propagation direction  $\theta$ , that is  $L_x < \lambda_x/10$  and  $L_y < \lambda_y/10$ .

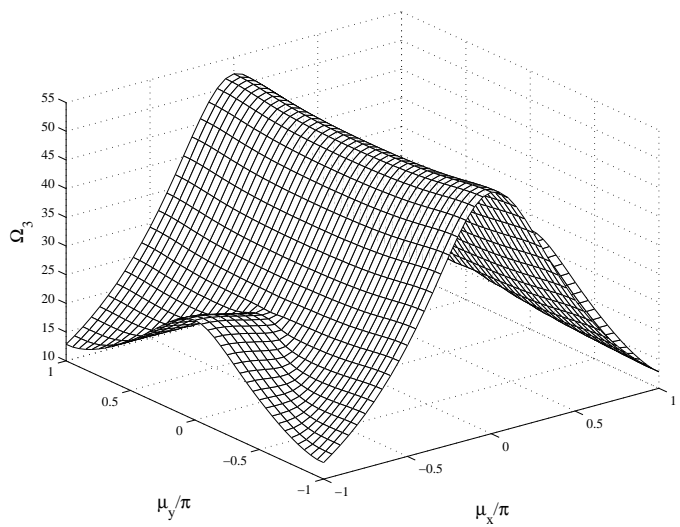
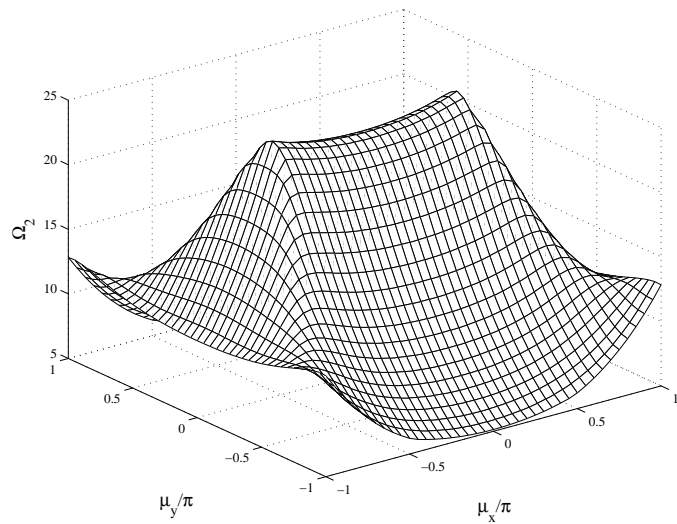
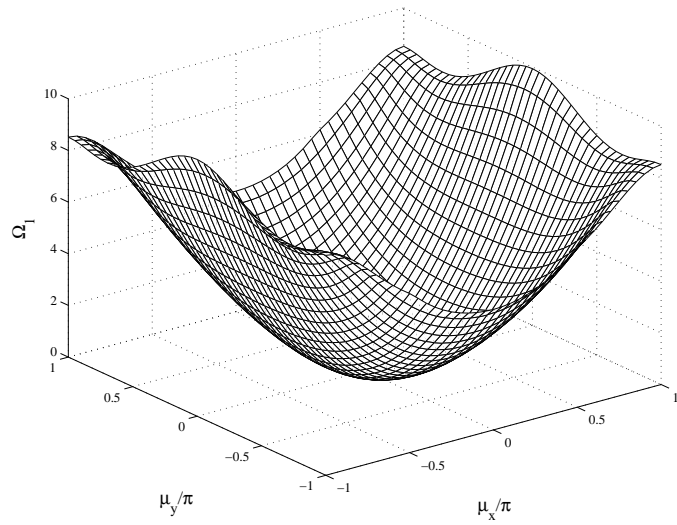


Figure 14: Orthotropic plate. Propagation surfaces.

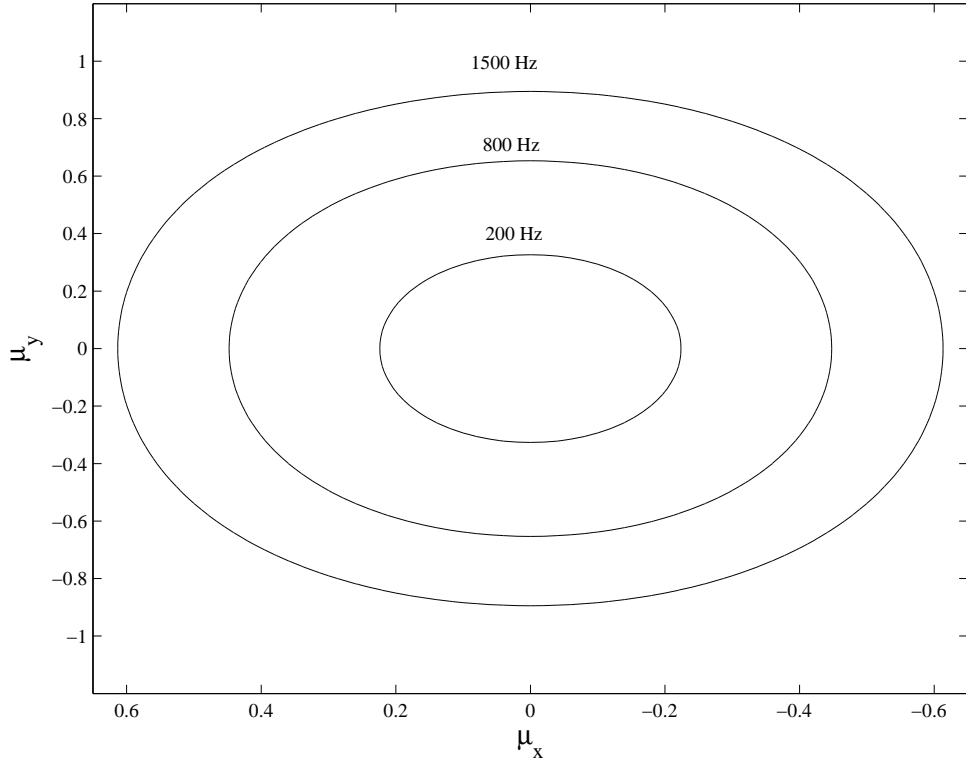


Figure 15: Orthotropic plate. Dispersion curves in the  $(\mu_x, \mu_y)$ . Square element.

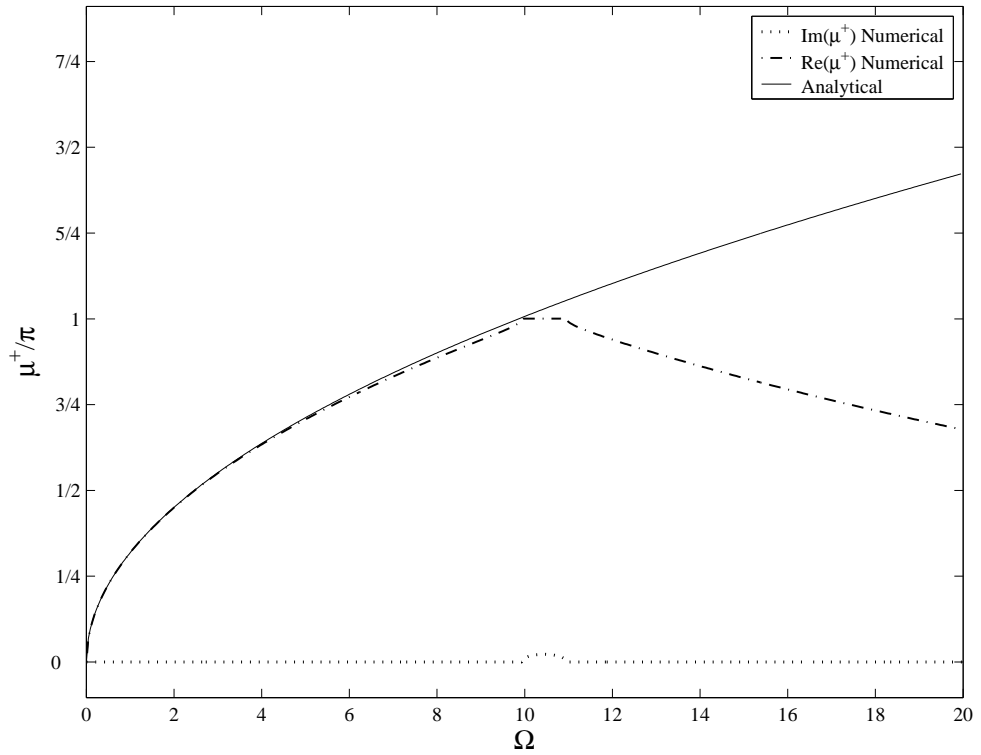


Figure 16: Orthotropic plate. Complex-valued dispersion curve for  $\theta = 0$ .

### 3.2.2. Complex-valued propagation constants

In this section an example is given in order to show the solutions obtained from equation (17) for a given frequency and a given propagation direction. Figure 17 shows the location of the nondimensional wavenumber in the complex ( $Re(kL)$ ,  $Im(kL)$ ) plane for several values of  $\theta$ . The solutions are evaluated for  $\Omega = 0.1003$ . It can be concluded that solutions 1, 2, 3 and 4 are the solutions representative of the wave motion in the continuous model while the others are artifacts of the discretisation of the structure.

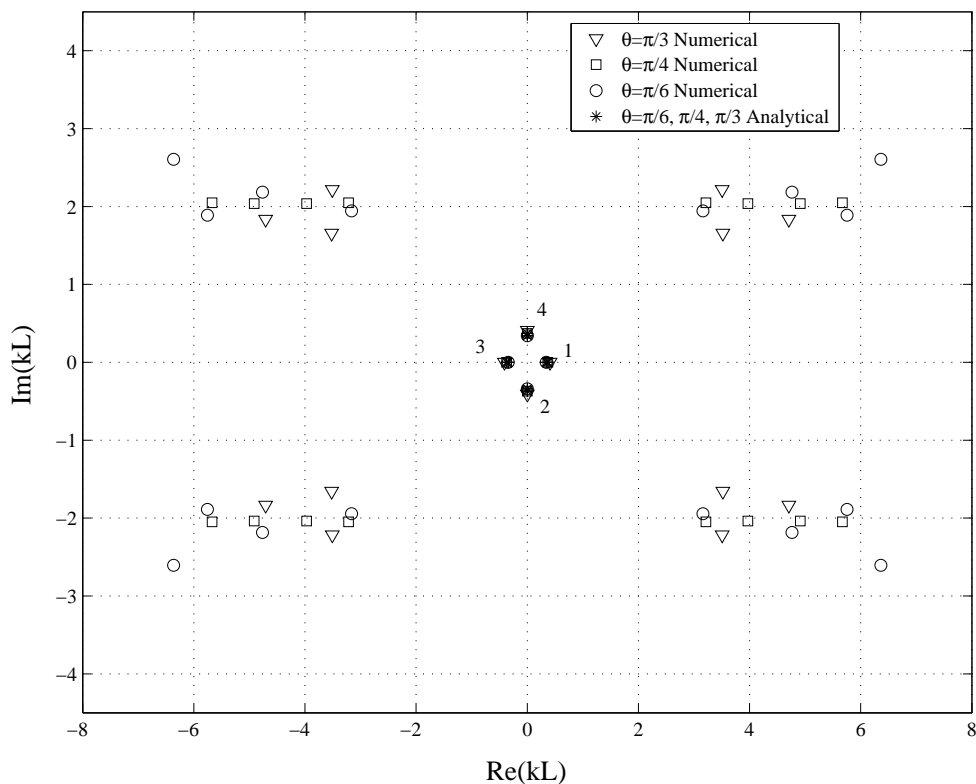


Figure 17: Orthotropic plate. Location of the nondimensional wavenumber in the complex ( $Re(kL)$ ,  $Im(kL)$ ) plane,  $\Omega = 0.1003$ .

## 4. Wave propagation in curved and cylindrical shells

Thin cylindrical shells are used in many engineering applications and their modal characteristics have been a subject of study of great interest. The free vibration characteristics of isotropic cylindrical shells have been obtained by various approximate theories. A good summary of these theories is given by Leissa in [30]. There have been also a number of previous studies about wave propagation in thin cylindrical shells and a brief summary of some of them is given here.

D. C. Gazis analysed the propagation of free harmonic waves in a thin long cylinder. He provided the frequency equation for an arbitrary number of waves around the circumference in [31] and he presented the results for free harmonic waves in [32]. Kumar and Sthepens, [33], studied dispersion of flexural waves in isotropic shells of various wall thickness using the exact three dimensional equations of linear elasticity. In [34], Fuller considered the effect of wall discontinuities on flexural waves in an isotropic cylindrical shell. He also provided the dispersion curves in a semi-infinite thin walled shell for circumferential modal number  $n = 1$ . In [35], Fuller and Fahy analysed the dispersion and energy distributions of free waves in isotropic cylindrical shells filled with fluid. The dispersion curves have been obtained for water-filled steel and hard rubber shells vibrating in the circumferential modes of order  $n = 0$  and  $n = 1$ . More recently Langley, [36, 37], extended the analysis to general helical motion in isotropic cylindrical shells and studied the modal density and mode count of the out-of-plane modes for isotropic thin cylinders and curved panels. Tyutekin, [38], obtained the dispersion curves in an isotropic cylindrical shell for different angles of propagation of the helical waves. He also evaluated the dispersion characteristics and the eigenfunctions of circumferential waves for the Neumann and Dirichlet boundary conditions, [39].

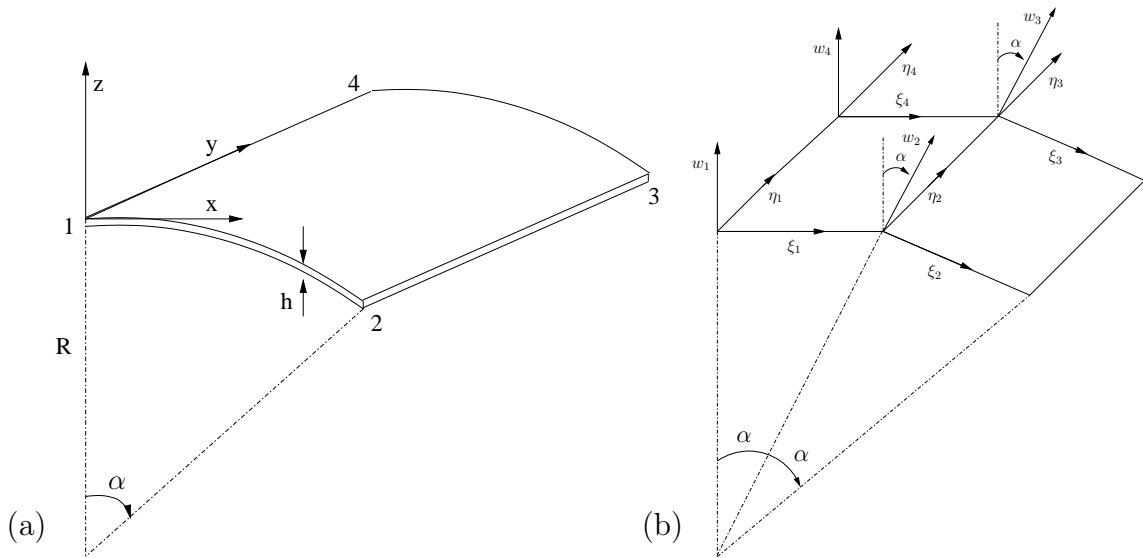


Figure 18: Segment of cylinder, figure (a), and rectangular flat shell elements, figure (b).

The aim of this section is to apply the technique proposed in the previous sections to the case of cylindrical shells. An isotropic cylindrical shell is considered in the first part. The real-valued dispersion curves are obtained for different circumferential mode numbers and considerations about the nature of the propagating waves are provided. In the second part, the same results are extended to the more complicated case of a sandwich cylindrical shell.

In the following analysis the FE-software ANSYS is used to obtain the mass and stiffness matrices of the shell finite element. The element SHELL63 is used for the case of the isotropic shell while the element SHELL181 is used for the more general case of a sandwich shell. The elements are rectangular four node shell elements. They have both bending and membrane capabilities and they have six degrees of freedom at each node: translations in the nodal  $x$ ,  $y$ , and  $z$  directions and rotations about the nodal  $x$ ,  $y$ , and  $z$  axes. The nodes are numbered as in Figure 18. These elements are flat, hence the curved surface is approximated by piece-wise-flat surfaces and thus, adjacent elements, as shown in Figure 18.(b), are identical flat elements except the normal is rotated through the angle  $\alpha$ . The accuracy in modelling the shells is governed by the Mindlin-Reisner shell theory. Figure 18 shows a segment of the cylinder and the rectangular flat shell elements:  $R$  represents the radius of curvature and  $h$  is the shell thickness;  $x$ ,  $y$ ,  $z$  represent the global coordinates in the circumferential, the axial and the normal directions respectively while  $\xi$ ,  $\eta$ ,  $w$  represent the nodal coordinates.

Harmonic free waves in the FE cylindrical shell that propagate over an element have the form

$$\phi = Ae^{i(\omega t - \mu_x - \mu_y)}, \quad (31)$$

where  $\mu_y$ ,  $\mu_x$  are the propagation constants along the axial and circumferential directions. Equation (31) allows any value of  $\mu_x$  and it represents a disturbance that propagate in a helical pattern. It can be noted that equation (31) is the same used for the plate case. This may be interpreted by considering that the wave-FE model works for the curved shell as an equivalent “flat model” when the flat shell is infinite in both  $x$  and  $y$  directions. If  $k$  is the helical wavenumber and  $\theta$  is the wave propagation direction with respect to the  $x$  axis, the wavenumber can be represented by its components  $k_x = k \cos(\theta)$  and  $k_y = k \sin(\theta)$  in the  $x$  and  $y$  directions. Hence  $\mu_x = k_x L_x$  and  $\mu_y = k_y L_y$  where  $L_x$  and  $L_y$  are the element dimensions. For an uniform cylindrical shell, due to the closure of the cylindrical geometry, periodic values in the angle  $\alpha = x/R$  are used and the solution of the partial differential equations of motion is evaluated for integer multiples  $n$  of the angle  $\alpha$ . The values of the number  $n$  indicates what in the literature is referred to as circumferential modes. Since  $\mu_x$  identifies the change of phase between one node and the next one along the circumferential direction, to obtain a specific value of  $\mu_x$  at all nodes for the cylindrical geometry, then  $N\mu_x = n2\pi$ , where  $N = 2\pi R/L_x$  is the number of FEs in the circumferential direction. Therefore  $\mu_x = nL_x/R$  is the propagation constant in the  $x$  direction that corresponds to the circumferential mode number  $n$ .

The shell elements in ANSYS are flat elements. In order to model the desired curvature, the DOFs of the nodes 2 and 3 are rotated, with respect to the global coordinates  $x$ ,  $y$ ,  $z$ , through an angle  $\alpha$  to get DOFs of the nodes 1 and 4 of the next element, Figure 18.(b). Then periodicity conditions are applied. Therefore the nodal degrees of freedom are transformed by the matrix

$$\mathbf{R} = \begin{bmatrix} \mathbf{I} & \mathbf{0} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{r} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{r} & \mathbf{0} \\ \mathbf{0} & \mathbf{0} & \mathbf{0} & \mathbf{I} \end{bmatrix}, \quad (32)$$

where  $\mathbf{0}$  and  $\mathbf{I}$  are zero and identity matrices of order  $6 \times 6$  while  $\mathbf{r}$  refers to the affine transfor-

mation

$$\mathbf{r} = \begin{bmatrix} \cos(\alpha) & 0 & -\sin(\alpha) & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ \sin(\alpha) & 0 & \cos(\alpha) & 0 & 0 & 0 \\ 0 & 0 & 0 & \cos(\alpha) & 0 & -\sin(\alpha) \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & \sin(\alpha) & 0 & \cos(\alpha) \end{bmatrix}. \quad (33)$$

The new mass and stiffness matrices with respect to the global system of coordinates become  $\widetilde{\mathbf{M}} = \mathbf{R}^T \mathbf{M} \mathbf{R}$  and  $\widetilde{\mathbf{K}} = \mathbf{R}^T \mathbf{K} \mathbf{R}$ . This introduces coupling between the out-of-plane and the in-plane behaviour of the shell.

An important value in the wave behaviour of a thin cylindrical shell is the ring frequency. The ring frequency is the frequency at which a wave travelling at the longitudinal propagation speed in a plate has a wavelength equal to the circumference. This frequency divides the shell behaviour into two regions: above the ring frequency the shell behaves as a flat plate while near and below the ring frequency the effect of the curvature stiffen the structure and results in a more complicated behaviour.

#### 4.1. Isotropic shell

In the following results the nondimensional frequency is defined as  $\Omega = \omega/\omega_r$  where

$$\omega_r = 1/R\sqrt{E/\rho(1-\nu^2)}$$

is the ring frequency for the cylindrical shell. The frequency range of interest in the present analysis includes the ring frequency.

A steel cylindrical shell is considered. The nondimensional thickness of the shell is  $\bar{h} = h/R = 0.05$  while the material properties are: Young's modulus  $E = 19.2 \cdot 10^{10} \text{ N/m}^2$ , Poisson's ratio  $\nu = 0.3$ , density  $\rho = 7800 \text{ kg/m}^3$ .

Figures 19–20 show the real-valued dispersion curves for the circumferential modes of order  $n = 0, 1, 2$ , and 3. Branches 1, 2, 3 are indicated. Since there are 6 DOFs per node, six real *passing bands* are obtained. However, only the first three are shown since they are the ones that correspond to propagating waves in the continuous shell model while the other are numerical artefacts due to the discretisation.

These wave modes can be classified as primarily longitudinal, torsional or flexural. The longitudinal and torsional waves correspond to non-dispersive waves with phase speeds in the flat plate

$$c_L = \sqrt{\frac{E}{\rho(1-\nu^2)}} \quad \text{and} \quad c_T = \sqrt{\frac{G}{\rho}},$$

respectively, while the flexural waves are dispersive with a wave speed

$$c_B = \sqrt{w} \sqrt[4]{\frac{Eh^3}{12\rho(1-\nu^2)}}.$$

Branches 1, 2 and 3 broadly correspond to flat-plate flexural, torsional and extensional waves respectively. It can be noted that the minimum cut-off frequency for branches 1, 2, and 3 equal



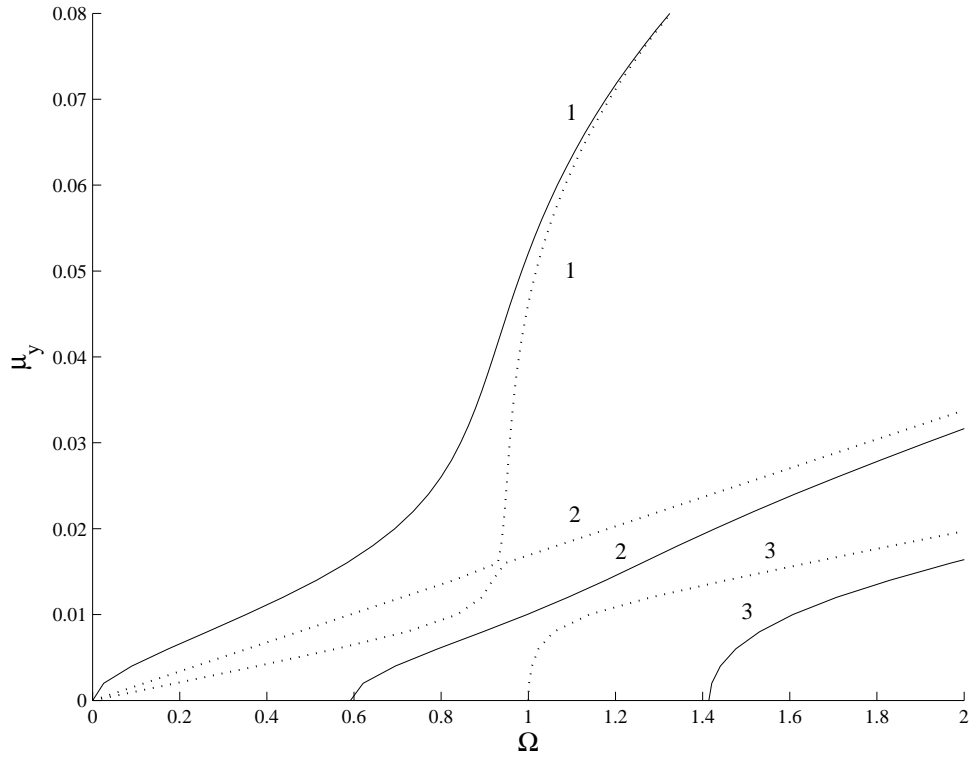


Figure 19: Isotropic shell: real-valued dispersion curves.  $\cdots$   $n = 0$ ,  $—$   $n = 1$ .

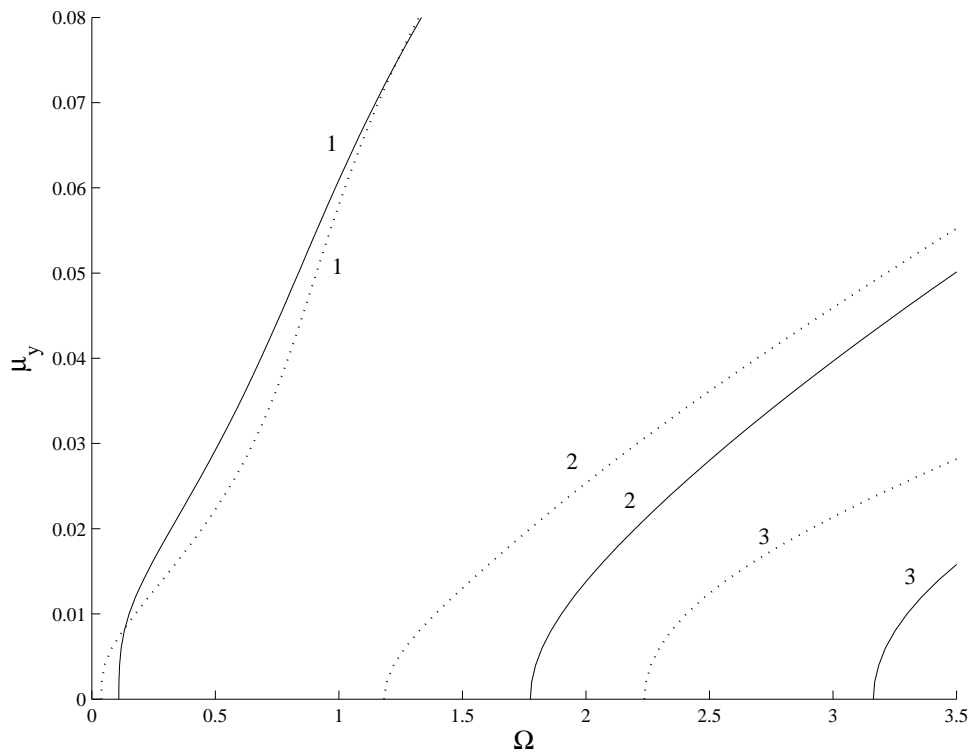


Figure 20: Isotropic shell: real-valued dispersion curves.  $\cdots$   $n = 2$ ,  $—$   $n = 3$ .

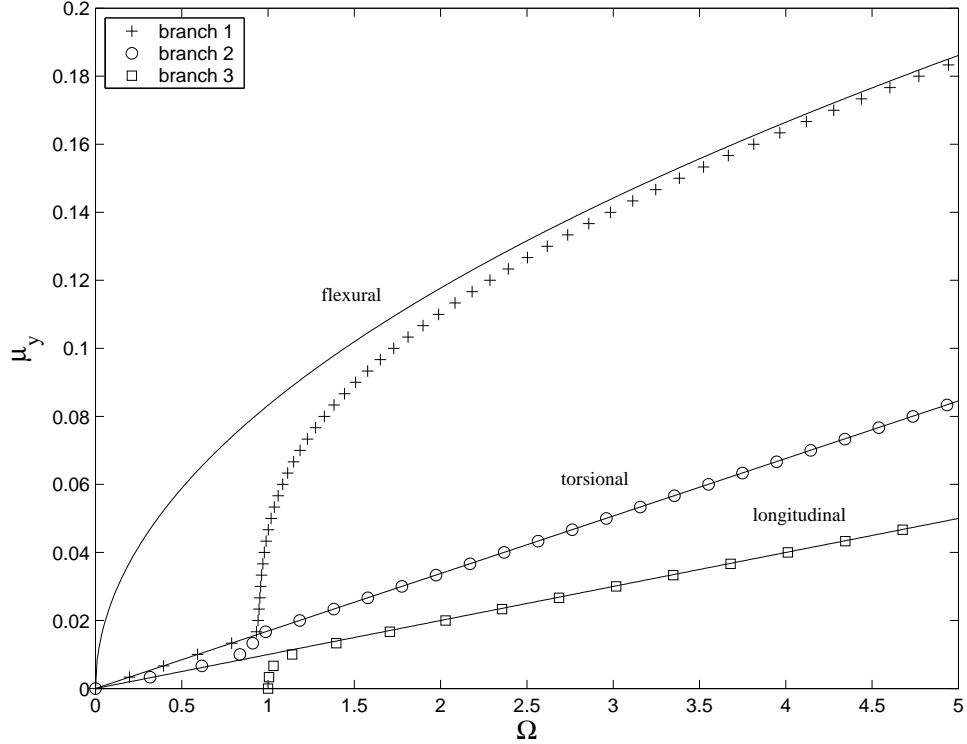


Figure 21: Isotropic shell: dispersion curves for  $n = 0$ . Continuous lines refer to extensional, torsional and flexural wave speed in the flat shell.

the frequency at which a wave travelling at the flexural, torsional and extensional propagation speed in a plate has a wavelength equal to the circumference. In fact, the  $n = 0$  branch 3 cuts-off at the frequency  $\Omega = 1$ , the  $n = 1$  branch 2 cuts-off at  $\Omega = 0.6$  and the  $n = 2$  branch 1 cuts-off at the frequency  $\Omega = 0.04$ , see Figures 19 and 20. As an example, Figure 21 shows a graphical comparison between the numerical results for branches 1, 2 and 3 ( $n = 0$ ) and the analytical dispersion curves for the flexural, torsional and extensional waves in a thin flat plate. It can be seen that above the ring frequency the shell behaves as a flat plate. The difference between these branches and the flexural, torsional and extensional dispersion curves becomes smaller as the circumferential number increases.

Figures 22–25 show the wave speed of the branches 1, 2 and 3 where the nondimensional wave speed  $c^*$  is defined as the ratio between the wave speed and the extensional wave speed  $c_L$ . For  $n = 0$  only waves 1 and 2 exist for small value of the axial propagation constant, that is below the ring frequency. They propagate as in-plane, extensional and torsional waves respectively. Hence, for  $\Omega < 1$  the cylindrical shell behaves dynamically as a membrane. For  $n = 1$ , both waves 1 and 2 propagate for small value of  $\mu_y$ . However, their behaviour cannot be considered as purely flexural, torsional or extensional. For increasing values of the circumferential wave number, below the ring frequency, only wave 1 exists and its behaviour approaches that of a flexural wave in an infinite plate.

The dispersion curves can also be represented in the  $(\mu_x, \mu_y)$  plane for fixed values of  $\Omega$ . As examples, Figures 26–28 show the curves representing dispersion branches for  $\Omega = 0.1, 0.2$ ,  $\Omega = 0.5, 1$  and  $\Omega = 1.5$ . It can be seen in Figures 26–27 that only waves 1 and 2 propagate at these frequencies while wave 3 cuts-on at  $\Omega = 1$ . In particular for wave 1, for low values of the frequency, there exist regions in which a value of  $\mu_y$  corresponds to two distinct values of  $\mu_x$ .

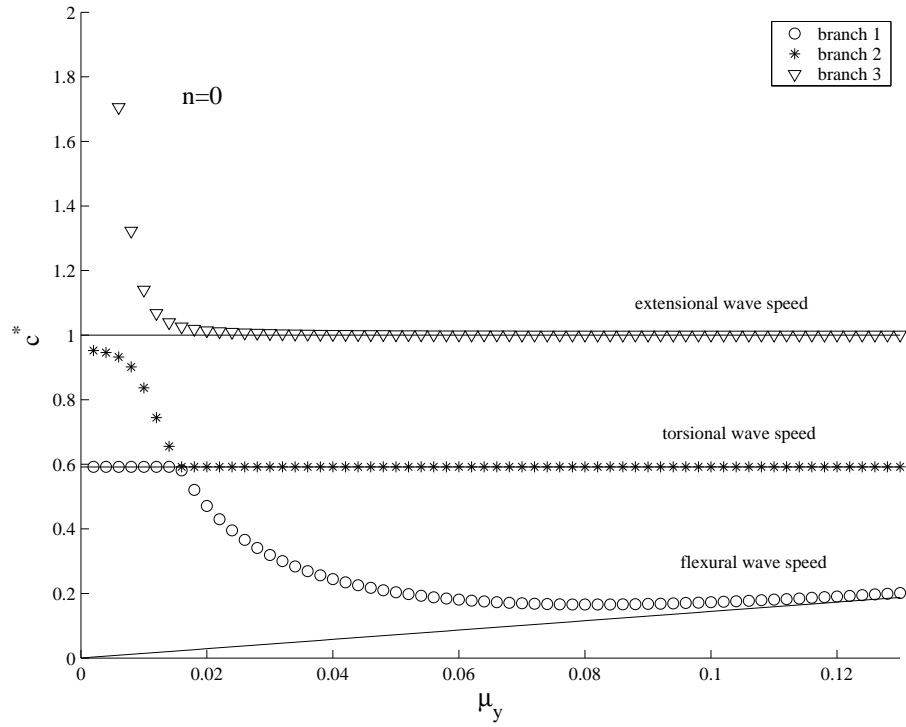


Figure 22: Isotropic shell: nondimensional wave speed for  $n = 0$ . Continuous lines refer to extensional, torsional and flexural wave speed in the flat shell.

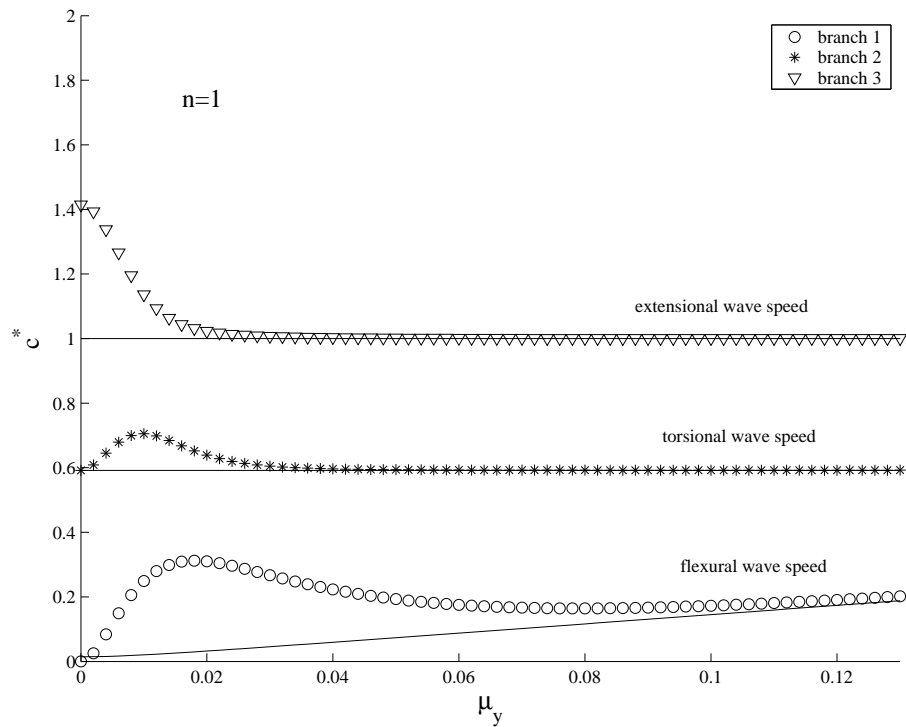


Figure 23: Isotropic shell: nondimensional wave speed for  $n = 1$ . Solid lines refer to extensional, torsional and flexural wave speed in the flat shell.

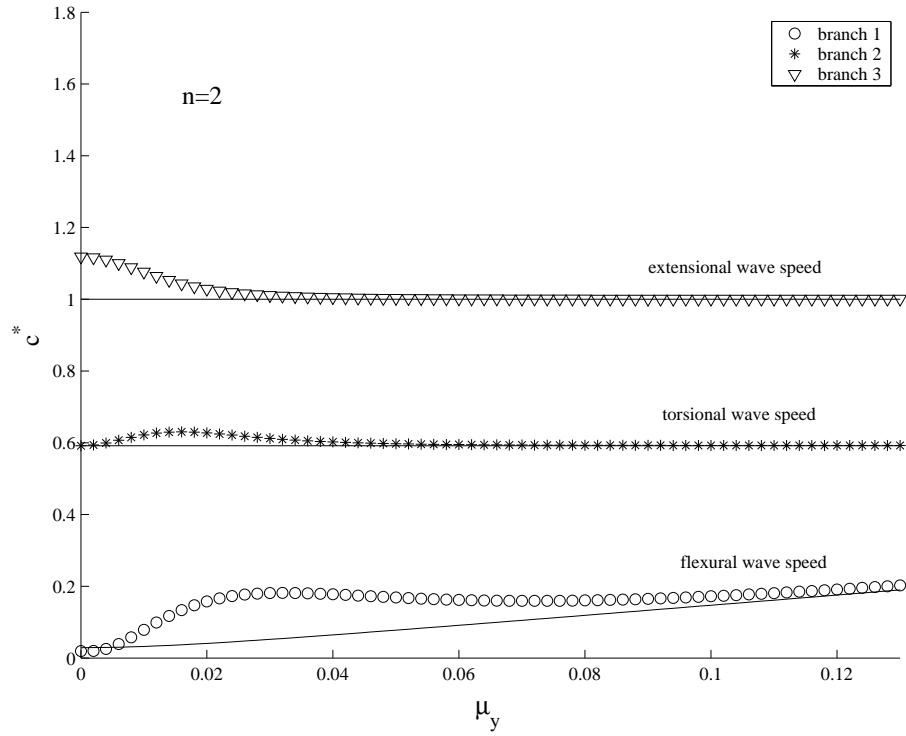


Figure 24: Isotropic shell: nondimensional wave speed for  $n = 2$ . Solid lines refer to extensional, torsional and flexural wave speed in the flat shell.

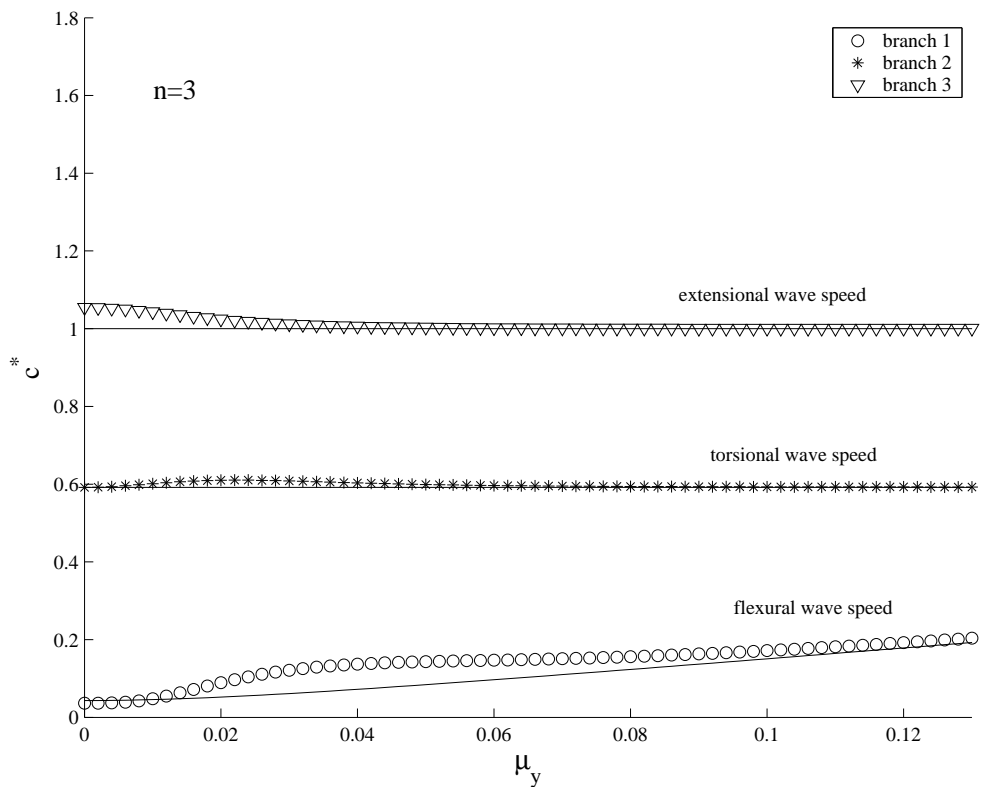


Figure 25: Isotropic shell: nondimensional wave speed for  $n = 3$ . Solid lines refer to extensional, torsional and flexural wave speed in the flat shell.

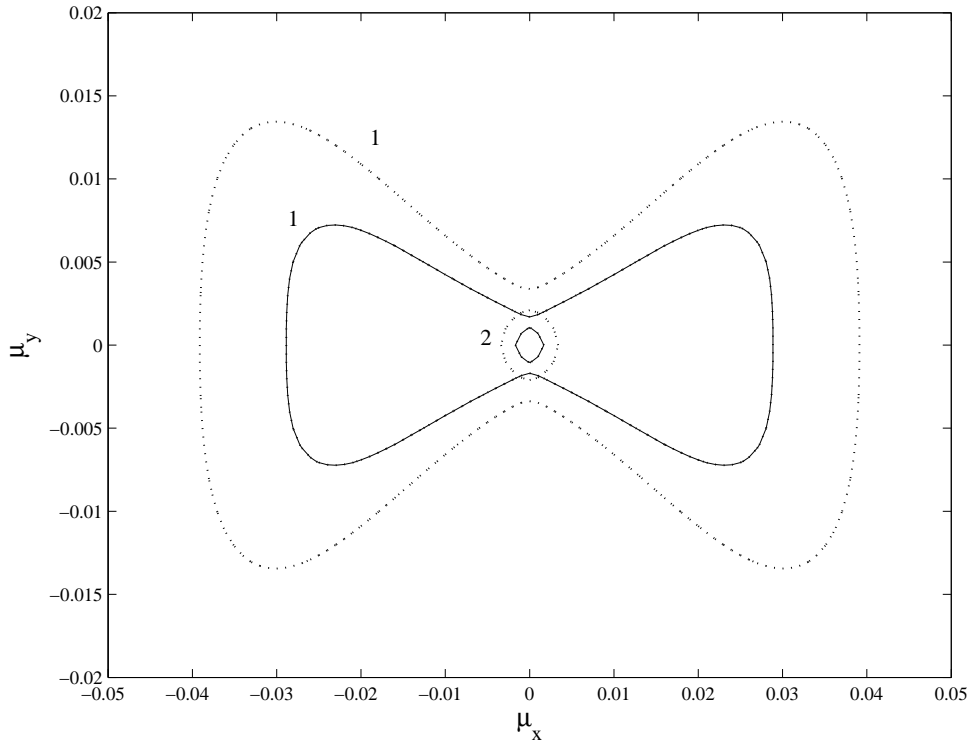


Figure 26: Isotropic shell: dispersion curves in the  $(\mu_x, \mu_y)$  plane. —  $\Omega = 0.1$ ,  $\cdots$   $\Omega = 0.2$ .

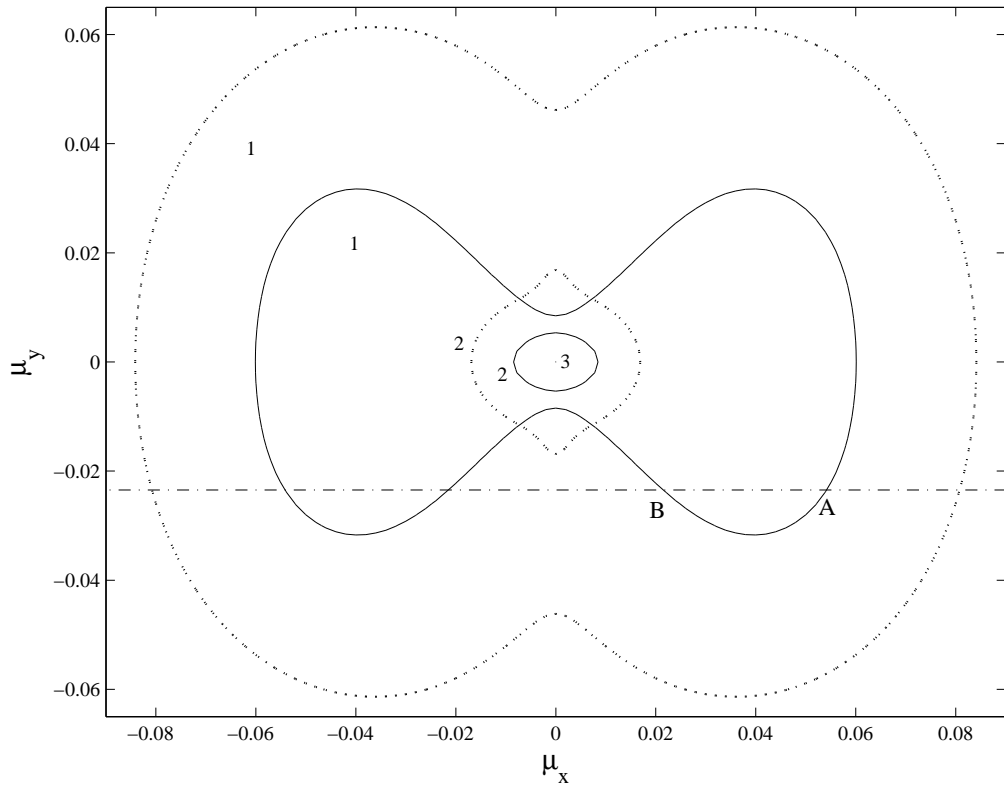


Figure 27: Isotropic shell: dispersion curves in the  $(\mu_x, \mu_y)$  plane. —  $\Omega = 0.5$ ,  $\cdots$   $\Omega = 1$ .

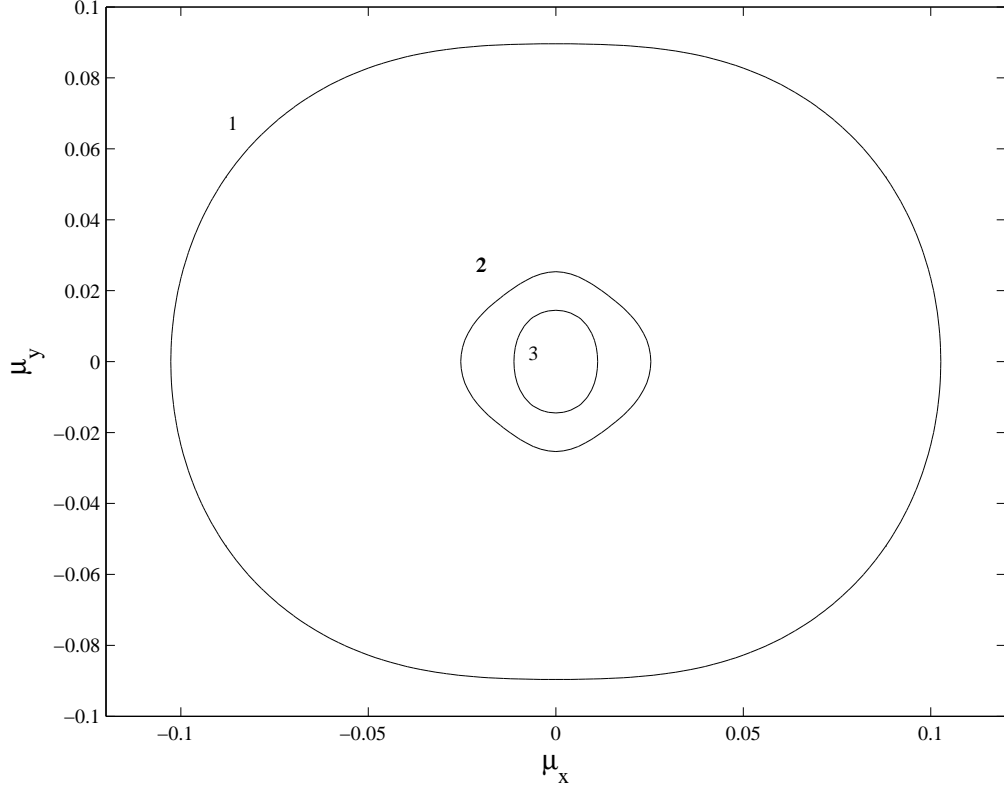


Figure 28: Isotropic shell: dispersion curves in the  $(\mu_x, \mu_y)$  plane for  $\Omega = 1.5$ .

As an example, points  $A$  and  $B$  are shown in Figure 27 for a given value of  $\mu_y$ . A discussion about the nature of the two different waves associated with the lower and higher values of  $\mu_x$  for given value of  $\mu_y$  can be found in [36]. A graphical interpretation of the energy flow for points  $A$  and  $B$  can be made using the dispersion curves. The group velocity is defined by

$$c_g = \frac{d\omega}{dk}. \quad (34)$$

Since the dispersion curves in the  $(\mu_x, \mu_y)$  plane have been obtained as contour curves such that  $\Omega = f(\mu_x, \mu_y)$ , the gradient is always normal to the curves  $\Omega = \text{constant}$ . The gradient  $\nabla\Omega$  is a vector whose components are the partial derivatives of  $\Omega$ , that is

$$\nabla\Omega = \left[ \frac{\partial\Omega}{\partial\mu_x}, \frac{\partial\Omega}{\partial\mu_y} \right]^T. \quad (35)$$

Therefore, considering (34) and (35), it can be concluded that the direction of the group velocity (or the direction of the energy flow) is the normal to the contours. It can be seen in Figure 27 that the normal vector to the curve at point  $B$  has a negative component with respect to the  $x$  direction and therefore it has a negative group velocity in the circumferential direction.

For  $\Omega = 1.5$ , Figure 28, all the three waves propagates with a dynamic behaviour that is similar to that of the extensional, torsional and flexural waves in an infinite plate. It is of note that all these curves reveal the “anisotropic” properties of the curved shell element with respect to the corresponding flat shell element.



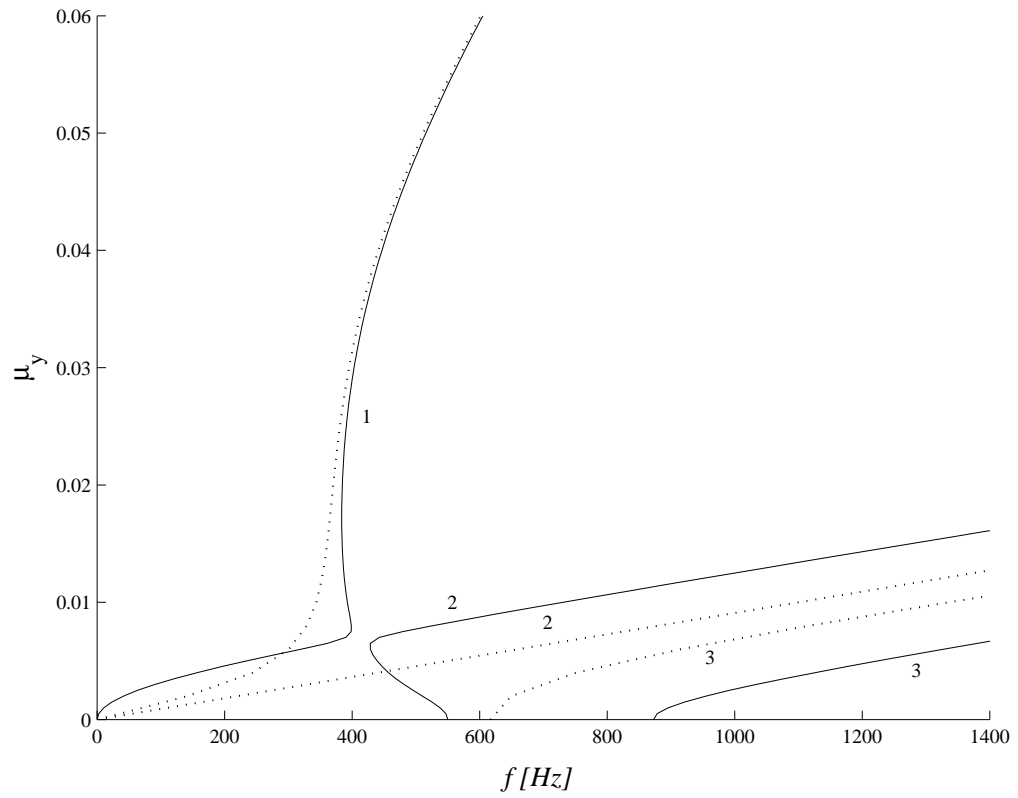


Figure 30: Sandwich shell: real-valued dispersion curves.  $\cdots$   $n = 0$ ,  $—$   $n = 1$ .

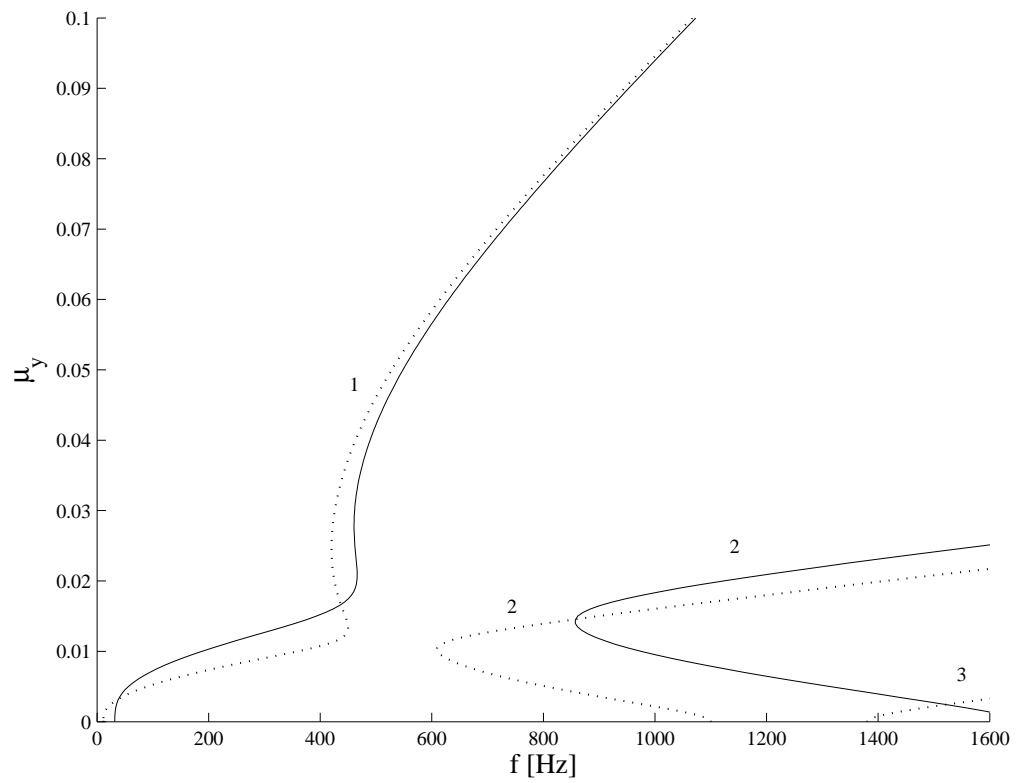


Figure 31: Sandwich shell: real-valued dispersion curves.  $\cdots$   $n = 2$ ,  $—$   $n = 3$ .



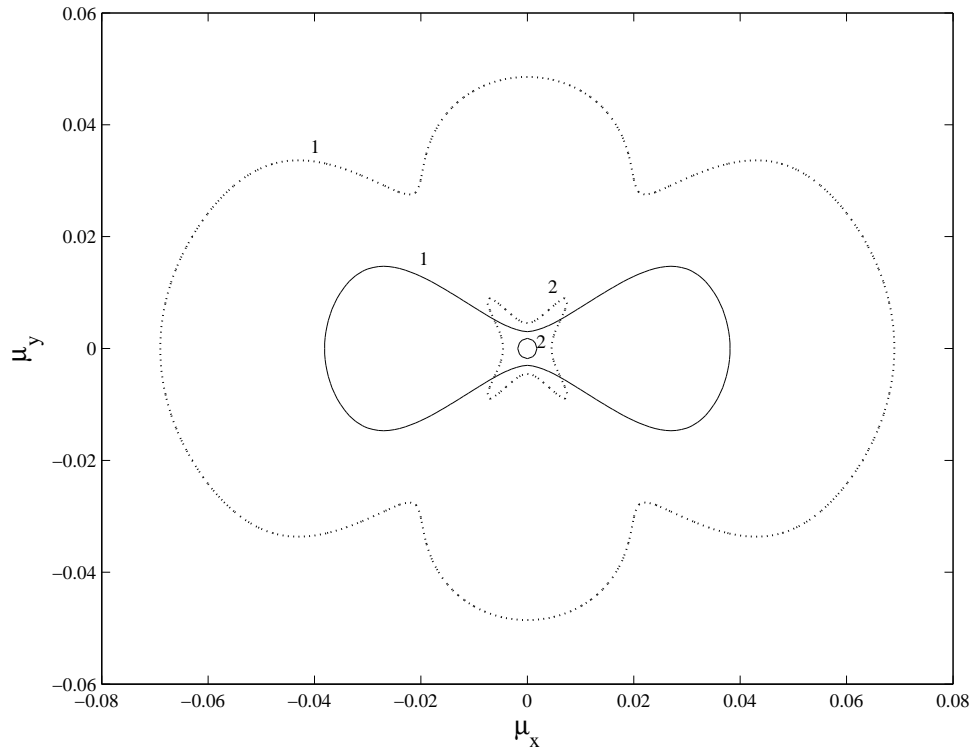


Figure 32: Sandwich shell: dispersion curves in the  $(\mu_x, \mu_y)$  plane. —  $f = 200\text{Hz}$ ,  $\cdots$   $f = 500\text{Hz}$ .

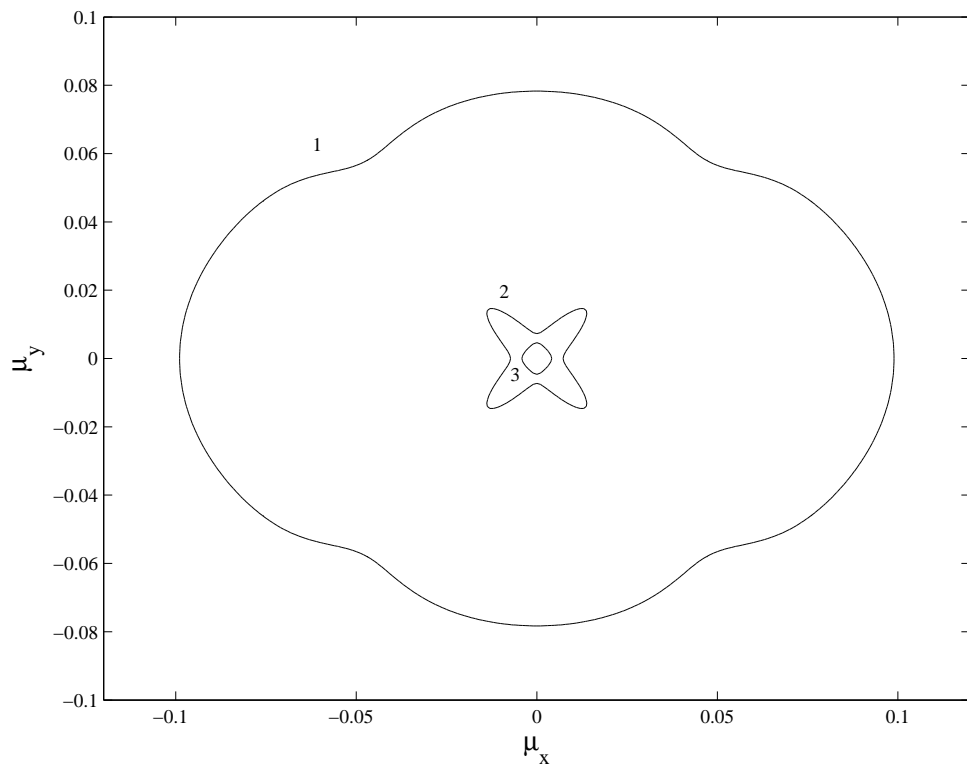


Figure 33: Sandwich shell: dispersion curves in the  $(\mu_x, \mu_y)$  plane for  $f = 800\text{Hz}$ .

Low frequency dispersion curves in the  $(\mu_x, \mu_y)$  plane are shown in Figures 32–34 for different values of frequency. It can be seen in Figures 32–34 that there exists regions for branch 2 in which distinct values of  $\mu_x$  correspond to the same value of  $\mu_y$  and distinct values of  $\mu_y$  correspond to the same value of  $\mu_x$ . For every one of these points, considerations about the energy flow can be made analogous to that for the isotropic shell. A comparison between the dispersion curves 32–34 and results obtained by Heron using an analytical approach for a similar curved panel [40] has shown that the dispersion curves are almost identical in shape.

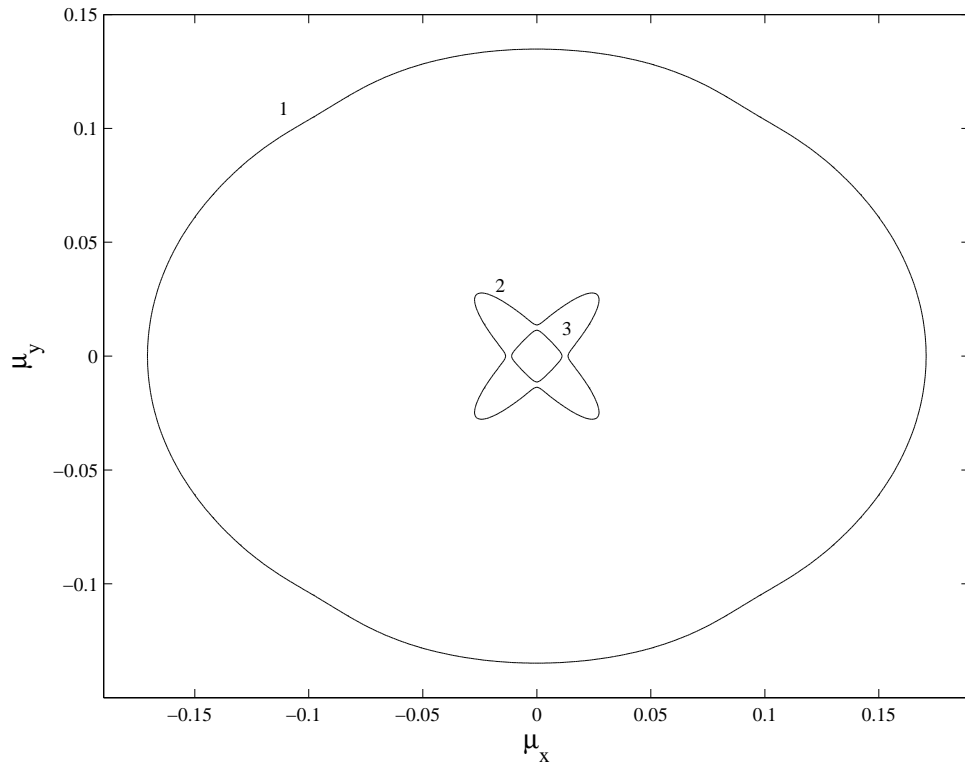


Figure 34: Sandwich shell: dispersion curves in the  $(\mu_x, \mu_y)$  plane for  $f = 1500\text{Hz}$ .

## 5. Concluding remarks

A method for the numerical prediction of the wave characteristics of 2-dimensional structures using standard FEM has been discussed. With this method only one rectangular FE with 4 nodes is used to describe the dynamics of the system reducing drastically the cost of calculations. The mass and stiffness matrices of the element are found using conventional FE approaches and thus the output of commercial FE package can be used. The mass and stiffness matrices are subsequently post-processed using periodicity conditions in order to formulate an eigenproblem whose eigenvalues and eigenvectors describe the wave propagation characteristics. This eigenproblem is the kernel of the method and can be cast in a form to provide the propagating frequencies and the waveforms for given values of the propagation constants, or to evaluate the propagation constants for given values of the frequency. The latter approach predicts complex wavenumbers and therefore can be extended to the analysis of general cases, for example in presence of energy dissipation.

The method has been applied to several examples. The first example concerns the out-of-plane vibration of an isotropic plate. Using this example some considerations about general features of the method have been drawn. It has been found that the frequency of propagation is a periodic function of the real propagation constants. It has also been observed that the waves propagate across the structure only within some *propagating bands*. The number of these bands, and therefore the number of frequencies and waveforms, depend on the number of the nodal DOFs chosen for the element. Further, it has been shown that some of them represent the behaviour of the continuous model, while others are numerical solutions due to the discretisation of the system. A sensitivity analysis has been carried out in order to evaluate the set of solutions which correspond to the continuous structure. The second example deals with an orthotropic plate made of Glass/Epoxy composite material. In the last part cylindrical shells have been considered. In particular an isotropic and a sandwich curved shell have been analysed. These last two cases have been studied by postprocessing an ANSYS FE model. Since the shell elements obtained from ANSYS are flat shell elements, the matrices of a single element are manipulated to model the desired curvature for the corresponding curved shell. The example of the sandwich cylindrical shell has proved the usefulness of the method for predicting dispersion curves when analytical solutions are not available.

The main advantages of the technique can be thus summarised as follows:

- the computational cost becomes independent of the size of the structure since the method involves typically just one finite element to which periodicity conditions are applied;
- the technique is very flexible since standard FE routines can be used and therefore a wide range of structural configurations can be easily analysed;
- finally, the propagation constants for plane harmonic waves can be predicted for different propagation directions along the structure.

The method is seen to give accurate predictions when the length of the element is small enough compared to the wavelength, that is when the length of the single element is less than  $1/6$ – $1/10$  of the wavelength.

Further work should be done to improve the analysis presented in this memorandum. In particular, advances of the post-processing algorithms are needed in order to obtain the complete set of the complex-valued dispersion curves. Future work may also concern the evaluation of the

response to external loads such as point forces or random pressure fields. It is also of interest to extend the applicability of the method to non-rectangular finite elements.

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