
Contents

1 X-Ray and Neutron Optical Systems

<i>A. Erko, M. Idir, Th. Krist, and A.G. Michette</i>	1
1.1 X-Ray Optics	1
1.2 Metrology	3
1.3 Neutron Optics	4

Part I Theoretical Approaches and Calculations

2 The BESSY Raytrace Program RAY

<i>F. Schäfers</i>	9
2.1 Introduction	9
2.2 Beamline Design and Modelling	10
2.3 Statistics: Basic Laws of RAY	12
2.3.1 All Rays have Equal Probability.....	12
2.3.2 All Rays are Independent, but... (Particles and Waves)	14
2.4 Treatment of Light Sources	15
2.5 Interaction of Rays with Optical Elements.....	17
2.5.1 Coordinate Systems	17
2.5.2 Geometrical Treatment of Rays	18
2.5.3 Intersection with Optical Elements	19
2.5.4 Misalignment	20
2.5.5 Second-Order Surfaces	20
2.5.6 Higher-Order Surfaces	23
2.5.7 Intersection Point	25
2.5.8 Slope Errors, Surface Profiles	25
2.5.9 Rays Leaving the Optical Element	26
2.5.10 Image Planes	28

VIII Contents

2.5.11	Determination of Focus Position	28
2.5.12	Data Evaluation, Storage and Display	28
2.6	Reflectivity and Polarisation	29
2.7	Crystal Optics (with M. Krumrey)	33
2.8	Outlook: Time Evolution of Rays (with R. Follath, T. Zeschke)	35
	References	39
3 Neutron Beam Phase Space Mapping		
<i>J. Füzi</i>	43
3.1	Measurement Principle	44
3.2	Measurement Results	46
3.3	Neutron Guide Quality Assessment	49
3.4	Transfer Function of a Velocity Selector	52
3.5	Moderator Brightness Evaluation	53
3.6	Conclusions	55
	References	55
4 Raytrace of Neutron Optical Systems with RESTRAX		
<i>J. Šaroun and J. Kulda</i>	57
4.1	Introduction	57
4.2	About the RESTRAX Code	58
4.2.1	Instrument Model	58
4.2.2	Sampling Strategy	59
4.2.3	Optimization of Instrument Parameters	60
4.3	Simulation of Neutron Optics Components	61
4.3.1	Neutron Source	61
4.3.2	Diffractive Optics	62
4.3.3	Reflective Optics	64
4.4	Simulations of Entire Instruments	66
4.4.1	Resolution Functions	66
	References	67
5 Wavefront Propagation		
<i>M. Bowler, J. Bahrdt, and O. Chubar</i>	69
5.1	Introduction	69
5.2	Overview of SRW	70
5.2.1	Accurate Computation of the Frequency-Domain Electric Field of Spontaneous Emission by Relativistic Electrons	71
5.2.2	Propagation of Synchrotron Radiation Wavefronts: From Scalar Diffraction Theory to Fourier Optics	73
5.2.3	Implementation	75
5.3	Overview of PHASE	76
5.3.1	Single Optical Element	77
5.3.2	Combination of Several Optical Elements	79
5.3.3	Time Dependent Simulations	81

5.4	Test Cases for Wavefront Propagation	82
5.4.1	Gaussian Tests: Stigmatic Focus.....	82
5.4.2	Gaussian Tests: Astigmatic Focus	84
5.5	Beamline Modeling	86
5.5.1	Modeling the THz Beamline on ERLP	86
5.6	Summary	89
	References	89

6 Theoretical Analysis of X-Ray Waveguides

<i>S. Lagomarsino, I. Bukreeva, A. Cedola, D. Pelliccia, and W. Jark</i>	91	
6.1	Introduction	91
6.2	Resonance Beam Coupling	93
6.3	Front Coupling Waveguide with Preliminary Reflection	100
6.3.1	Plane Wave Incoming Radiation	101
6.3.2	Radiation from an Incoherent Source at Short Distance	102
6.3.3	Material and Absorption Considerations	103
6.4	Direct Front Coupling	104
6.4.1	Diffraction from a Dielectric Corner	105
6.4.2	Diffraction in a Dielectric FC Waveguide	106
6.5	Conclusions	109
	References	110

7 Focusing Optics for Neutrons

<i>F. Ott</i>	113	
7.1	Introduction	113
7.2	Characteristics of Neutron Beams	114
7.3	Passive Focusing: Collimating Focusing	115
7.4	Crystal Focusing	117
7.4.1	Focusing Monochromator	117
7.4.2	Bent Perfect Crystal Monochromators	118
7.5	Refractive Optics	118
7.5.1	Solid-State Lenses	118
7.5.2	Magnetic Lenses	121
7.5.3	Reflective Optics	122
7.5.4	Base Elements	122
7.5.5	Focusing Guides (Tapered: Elliptic: Parabolic)	123
7.5.6	Ballistic Guides: Neutron Beam Delivery over Large Distances	125
7.5.7	Reflective Lenses	127
7.5.8	Capillary Optics	128
7.6	Diffractive Optics	129
7.6.1	Fresnel Zone Plates	129
7.6.2	Gradient Supermirrors: Goebel Mirrors	131
7.7	Modeling Programs	131
7.8	Merit of the Different Focusing Techniques	131

7.9 Possible Applications of Neutron Focusing and Conclusion	132
References	134

8 Volume Effects in Zone Plates

<i>G. Schneider, S. Rehbein, and S. Werner</i>	137
8.1 Introduction	137
8.2 Transmission Zone Plate Objectives	139
8.3 Coupled-Wave Theory for Zone Plates with High Aspect-Ratios ..	141
8.4 Matrix Solution of the Scalar Wave Equation	148
8.4.1 The Influence of the Line-to-Space Ratio	151
8.4.2 Applying High-Orders of Diffraction for X-ray Imaging ..	154
8.5 The Influence of Interdiffusion and Roughness	157
8.6 Numerical Results for Zone Plates with High Aspect-Ratios ..	161
8.7 Nonrectangular Profile Zone Structures	164
8.8 Rigorous Electrodynamic Theory of Zone Plates	165
8.9 Proposed Fabrication Process for Volume Zone Plates.....	168
References	171

Part II Nano-Optics Metrology

9 Slope Error and Surface Roughness

<i>F. Siewert</i>	175
9.1 The Principle of Slope Measurements	177
References	178

10 The Long Trace Profilers

<i>A. Rommeveaux, M. Thomasset, and D. Cocco</i>	181
10.1 Introduction	181
10.2 The Long Trace Profiler	181
10.3 Major Modifications of the Original Long Trace Profiler Design ..	185
References	190

11 The Nanometer Optical Component Measuring Machine

<i>F. Siewert, H. Lammert, and T. Zeschke</i>	193
11.1 Engineering Conception and Design	193
11.2 Technical Parameters	195
11.3 Measurement Accuracy of the NOM	196
11.4 Surface Mapping	198
References	200

12 Shape Optimization of High Performance X-Ray Optics

<i>F. Siewert, H. Lammert, T. Zeschke, T. Hänsel, A. Nickel, and A. Schindler</i>	201
12.1 Introduction	201

12.2 High Accuracy Metrology and Shape Optimization	201
12.3 High Accuracy Optical Elements and Beamline Performance	204
References	205
13 Measurement of Groove Density of Diffraction Gratings	
<i>D. Cocco and M. Thomasset</i>	207
13.1 Introduction	207
13.2 Groove Density Variation Measurement	207
References	211
14 The COST P7 Round Robin for Slope Measuring Profilers	
<i>A. Rommeveaux, M. Thomasset, D. Cocco, and F. Siewert</i>	213
14.1 Introduction	213
14.2 Round-Robin Mirrors Description and Measurement Setup	214
14.3 Measurement Results	214
14.4 Conclusions	218
References	218
15 Hartmann and Shack–Hartmann Wavefront Sensors for Sub-nanometric Metrology	
<i>P. Mercère, M. Idir, J. Floriot, and X. Levecq</i>	219
15.1 Introduction	219
15.2 Generalities and Principle of Hartmann and Shack–Hartmann Wavefront Sensing Techniques	221
15.3 Shack–Hartmann Long Trace Profiler:	
A New Generation of 2D LTP	222
15.3.1 Principle of the SH-LTP	222
15.3.2 2D Long Trace Profile of a Plane Reference Mirror	223
15.3.3 2D Long Trace Profile of a Toroidal Mirror	223
15.3.4 Conclusion	224
15.4 X-Ray Wavefront Measurements and X-Ray Active Optics	225
15.4.1 Hartmann Wavefront Measurement at 13.4 nm with $\lambda_{\text{EUV}}/120$ rms Accuracy	226
15.4.2 Wavefront Closed-Loop Correction for X-Ray Microfocusing Active Optics	228
15.4.3 Conclusion	231
References	232
16 Extraction of Multilayer Coating Parameters from X-Ray Reflectivity Data	
<i>D. Spiga</i>	233
16.1 Introduction	233
16.2 A Review of X-Ray Multilayer Coatings Properties	234
16.3 Determination of the Layer Thickness Distribution in a Multilayer Coating	237
16.3.1 TEM Section Analysis	237

16.3.2 X-Ray Reflectivity Analysis	238
16.3.3 Stack Structure Investigation by Means of PPM	242
16.3.4 Fitting a Multilayer with Several Free Parameters	248
16.4 Conclusions	249
References	251

Part III Refection/Refraction Optics

17 Hard X-Ray Microoptics

<i>A. Snigirev and I. Snigireva</i>	255
17.1 Introduction	255
17.2 X-Ray Microscopy	256
17.3 X-Ray Optics	260
17.3.1 Reflective Optics	260
17.3.2 Fresnel Zone Plates	266
17.3.3 Refractive Optics	271
17.4 Concluding Remarks	276
References	279

18 Capillary Optics for X-Rays

<i>A. Bjeoumikhov and S. Bjeoumikhova</i>	287
18.1 Introduction	287
18.2 Physical Basics of Capillary Optics	288
18.2.1 Optical Elements Based on Single Reflections	288
18.2.2 Optical Elements Based on Multiple Reflections	289
18.3 Application Examples for Capillary Optics	295
18.3.1 X-Ray Fluorescence Analysis with Lateral Resolution	295
18.3.2 X-Ray Diffractometry	299
18.4 Capillary Optics for Synchrotron Radiation	302
18.5 Concluding Remarks	305
References	305

19 Reflective Optical Arrays

<i>S. Lagomarsino, I. Bukreeva, A. Surpi, A.G. Michette, S.J. Pfauntsch, and A.K. Powell</i>	307
19.1 Introduction	307
19.2 Nested Mirror Systems	308
19.2.1 Computer Simulations	309
19.2.2 Mirror Fabrication Procedures	310
19.3 Microstructured Optical Arrays	312
19.3.1 Computer Simulations	313
19.3.2 Manufacture of Microstructured Optical Arrays	315
19.4 Conclusions	315
References	316

20 Reflective Optical Structures and Imaging Detector Systems

<i>L. Pina</i>	319
20.1 Introduction	319
20.2 Design	321
20.3 MFO	323
20.4 Experiments	324
20.4.1 Experiments in VIS Region	324
20.4.2 Experiments in EUV Region	325
20.4.3 Future Experiments with MFO	328
20.5 Conclusions	328
References	329

21 CLESSIDRA: Focusing Hard X-Rays Efficiently with Small Prism Arrays

<i>W. Jark, F. Pérennès, M. Matteucci, and L. De Caro</i>	331
21.1 Introduction	331
21.2 Historical Development of X-Ray Transmission Lenses	333
21.3 Optimization of X-Ray Lenses with Reduced Absorption	336
21.3.1 Focusing Spatially Incoherent Radiation	338
21.3.2 Focusing Spatially Coherent Radiation	338
21.4 Discussion of Experimental Data	342
21.4.1 Parameters of the Clessidra Lens	342
21.4.2 Properties of the Radiation Source	343
21.4.3 Beam Diffraction in the Clessidra Structure	343
21.4.4 Refraction Efficiency in the Clessidra Structure	346
21.5 Conclusion	349
References	349

Part IV Multilayer Optics Developments

22 Neutron Supermirror Development

<i>Th. Krist, A. Teichert, R. Kovács-Mezei, and L. Rosta</i>	355
22.1 Introduction	355
22.2 Development and Investigation of Ni/Ti Multilayer Supermirrors for Neutron Guides	356
22.2.1 Neutron Guides	356
22.2.2 Relation Between Crystalline Structure of Layers in a Multilayer Structure and its Reflectivity	357
22.2.3 Stability of Supermirrors	360
22.2.4 Development of $m = 4$ Supermirror Technology	364
22.2.5 Increase of Homogeneity Over Large Substrate Sizes	364
22.3 Polarizing Supermirrors	365
22.3.1 Neutron Polarization	365

XIV Contents

22.3.2 Neutron Polarizers.....	366
22.3.3 Increase of the Critical Angle	367
References	369
23 Stress Reduction in Multilayers Used for X-Ray and Neutron Optics	
<i>Th. Krist, A. Teichert, E. Meltchakov, V. Vidal, E. Zoethout, S. Müllender, and F. Bijkerk</i>	371
23.1 Introduction	371
23.2 Origin, Description, and Measurement of Stress	372
23.3 FeCo/Si Polarizing Neutron Supermirrors	376
23.3.1 Experimental	376
23.3.2 Layer Thickness Variation	377
23.3.3 Substrate Bias Voltage	379
23.4 Stress Mitigation in Mo/Si Multilayers for EUV Lithography	383
23.4.1 Experimental	384
23.4.2 Results.....	384
References	388
24 Multilayers with Ultra-Short Periods	
<i>M. Jergel, E. Majková, Ch. Borel, Ch. Morawe, and I. Matko</i>	389
24.1 Introduction	389
24.2 Sample Choice and Preparation	392
24.3 Sample Measurements and Characterization	393
24.4 Results and Discussion	395
24.5 Conclusions and Outlook	402
References	404
25 Specially Designed Multilayers	
<i>J.I. Larruquert, A.G. Michette, Ch. Morawe, Ch. Borel, and B. Vidal</i> ..	407
25.1 Introduction	407
25.1.1 Periodic Multilayers	408
25.2 Optimized Multilayers	408
25.2.1 Laterally Graded Multilayers	409
25.2.2 Depth-Graded Multilayers	410
25.2.3 Doubly Graded Multilayers	414
25.3 Multilayers with Strongly Absorbing Materials	417
25.3.1 Sub-Quarter-Wave Multilayers	417
25.3.2 Applications of SQWM with Strongly Absorbing Materials	421
25.3.3 Extension of the Mechanism of Reflectivity Enhancement to Moderately Absorbing Materials	422
25.4 New Layer-by-Layer Multilayer Design Methods.....	426
25.4.1 Two Algorithms for Multilayer Optimization	427
25.4.2 Layer-by-Layer Design of Multilayers with Barrier Layers ..	430

25.4.3 Multilayers with Continuous Refractive Index Variation	432
25.4.4 Multilayer Design for Nonnormal Incidence and Partially Polarized Radiation	434
25.5 Conclusions	434
References	435

Part V Diffraction Optics

26 Diffractive-Refractive Optics: X-ray Crystal Monochromators with Profiled Diffracting Surfaces

<i>J. Hrdý and J. Hrdá</i>	439
26.1 Introduction	439
26.1.1 Asymmetric Diffraction	440
26.1.2 Inclined Diffraction	442
26.2 Bragg Diffraction on a Transverse Groove (Meridional Focusing)	443
26.3 Harmonics Free Channel-Cut Crystal Monochromator with Profiled Surface	445
26.4 Bragg Diffraction on a Longitudinal Groove (Sagittal Focusing)	447
26.5 Laue Diffraction on a Profiled Surface (Sagittal Focusing)	454
26.6 Conclusion	457
References	457

27 Neutron Multiple Reflections Excited in Cylindrically Bent Perfect Crystals and Their Possible use for High-Resolution Neutron Scattering

<i>P. Mikula, M. Vrána, and V. Wagner</i>	459
27.1 Introduction	459
27.2 Multiple Bragg Reflections in Elastically Bent Perfect Crystals	460
27.3 Calculation	462
27.4 Search for Strong Multiple Bragg Reflection Effects	463
27.5 Powder Diffraction Experimental Test	466
27.6 Neutron Radiography Experimental Test	467
References	470

28 Volume Modulated Diffraction X-Ray Optics

<i>A. Erko, A. Firsov, D.V. Roshchoupin, and I. Schelokov</i>	471
28.1 Introduction	471
28.2 Static Volume Grating Properties	472
28.2.1 Sagittal Bragg–Fresnel Gratings	473
28.2.2 Meridional Bragg–Fresnel Gratings	477
28.2.3 Etched Meridional Gratings	479
28.3 Dynamic Diffraction Gratings based on Surface Acoustic Waves	484
28.3.1 The SAW Device	484

XVI Contents

28.3.2 Total External Reflection Mirror Modulated by SAW	485
28.3.3 Multilayer Mirror Modulated by SAW	488
28.3.4 Crystals Modulated by SAW	494
References	498
29 High Resolution 1D and 2D Crystal Optics Based on Asymmetric Diffractors	
<i>D. Korytár, C. Ferrari, P. Mikulík, F. Germini, P. Vagovič, and T. Baumbach</i>	501
29.1 Introduction	501
29.2 Scattering Geometries and Crystal Diffractors	502
29.3 Basic Results of Dynamical Theory	504
29.4 Penetration and Information Depths	505
29.5 Multiple Successive Diffractors in Coplanar and Noncoplanar Arrangements	506
29.6 Coupling of Multiple Successive Diffractors	507
29.7 Coplanar 1D Crystal Optics	509
29.7.1 V-Shape 2-Bounce Channel-Cut Monochromators	509
29.7.2 Monolithic 4-Bounce Monochromator for CoK _{α1} Radiation ..	510
29.8 Noncoplanar 2D Crystal Optics	511
29.9 Conclusions	511
References	512
30 Thermal Effects under Synchrotron Radiation Power Absorption	
<i>V. Áč, P. Perichta, D. Korytár, and P. Mikulík</i>	513
30.1 Introduction	513
30.2 A Heat Transfer and Material Stress FE Model	514
30.2.1 Radiation Heat Absorption in the Matter	514
30.2.2 Heat Transfer and Temperature Field	514
30.2.3 Mechanical Deformations	515
30.2.4 Material Parameters	516
30.3 Simulation of Monochromator Designs	516
30.3.1 Silicon Target and Simulation Conditions	516
30.3.2 Temperature Field and Surface Mechanical Deformations ..	518
30.3.3 Dependence of Surface Mechanical Deformations on the Target Cooling Geometry	518
30.3.4 Cooling Temperature	520
30.3.5 Cooling Channels Variations	520
30.3.6 Cooling Block Arrangement	521
30.3.7 Dynamic Thermal Properties of Silicon	522
30.4 X-Ray Diffraction Spot Deformation	522
References	524
Index	525