1	Modern sea surface productivity and temperature estimations
2	off Chile as detected by coccolith accumulation rates
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11 12 13	Abstract
14	Recent coccoliths from 74 surface sediment samples recovered from the southeastern
15	Pacific off Chile were examined quantitatively to investigate modern regional gradients
16	of sea surface productivity and temperature. All findings are based on coccolith
17	accumulations rates. Therefore an approach was designed to estimate recent
18	sedimentation rates based on ²¹⁰ Pb and bulk chemistry analyses of the same set of surface
19	samples. Highest total coccolith accumulation rates were found off north-central Chile,
20	where seasonal upwelling takes place. Based on a multiple linear regression between
21	calculated coccolith accumulation rates and World Ocean Atlas derived sea surface
22	temperatures, a calibration model to reconstruct annual average temperatures of the
23	uppermost 75 m of the water column is provided. The model was cross-validated and the
24	SST estimates were compared with SST observed and SST estimates based on diatoms
25	and planktic foraminifera, showing a good correlation.

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27 **1. Introduction**

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29 Coccolithophores, one of the main open ocean primary producers, have a broad fossil 30 record, which makes them an outstanding biostratigraphical group and gives them 31 potential for paleontological study of ecosystem response to global change. As a basic 32 requisite for their application as paleoceanographic proxies it is necessary to maximize 33 the retrieval of paleoecological information from coccolithophore species, and to enhance 34 the understanding of their ecology as a plankton group. Knowing how the present-day 35 environment influences their spatial and temporal distribution, we could use the fossil 36 record of such organisms to reconstruct the state and variation of past environments 37 (Kucera et al., 2005).

38 One of the modern ocean's most productive upwelling conditions occur all along the 39 Chilean margin (Strub et al., 1998; Abrantes et al., 2007). In coastal upwelling domains, 40 the dominant primary producers are diatoms, although coccolithophores are also 41 significant contributors to the total phytoplankton community (e.g., Mitchell-Innes and 42 Winter, 1987; Giraudeau et al., 2000; Boeckel and Baumann, 2004). However, there are 43 very few modern studies on coccolithophores ecology and calibration to climate proxies 44 in the Southeast (SE) Pacific, and most of them are based on plankton samples (e.g., 45 Beaufort et al., 2007; Beaufort et al., 2008; Beaufort et al., 2011) or on sediment trap 46 samples (e.g., González et al., 2004; Köbrich, 2008). So far, only a small number of 47 surface sediment studies were performed by Saavedra-Pellitero et al. (i.e., 2010; 2011). In 48 such studies the ecological optima of the most important species of coccolithophores in 49 the Pacific sector was studied in order to produced feasible transfer functions to 50 reconstruct climate changes in the past. In this work the focus was on coccolithophore 51 surface sediment assemblages since they represent the former living communities and 52 with that, the overlying surface water conditions (Andruleit et al., 2004). While relative 53 abundances indicate dominance of a certain ecological habitat, absolute fluxes represent 54 more realistic living conditions in the water column, thus providing a more detailed 55 reconstruction of hydrography (Ravelo et al., 1990). Coccolith accumulation rate (CAR) 56 data could furthermore complement and in some cases improve upon the relative 57 abundance data, whereas also comparing with modern flux estimates derived from 58 sediment trap studies.

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60 The estimation of past environmental parameters using micropaleontological data has 61 became a very useful tool from the development of statistical transfer function techniques 62 (IKM - Imbrie and Kipp Method) in which the authors originally used planktonic 63 foraminifera (Imbrie and Kipp, 1971; Klovan and Imbrie, 1971). It provides quantitative 64 estimations of hydrographical parameters (e.g., sea surface temperature, SST) preserved 65 in the recent sedimentary record (e.g., CLIMAP 1976, 1981, Ortiz and Mix 1997, Pisias et al., 1997; Mix et al., 1999; Kucera et al., 2005; Morey et al., 2005; Abrantes et al., 66 67 2007). Different statistical techniques were already applied to coccolith census counts 68 from surface sediments of the North and Equatorial Pacific (Geitzenauer et al., 1977; 69 Roth and Coulbourn, 1982; Roth, 1994), of the North Atlantic (Geitzenauer et al., 1977) 70 as well as of the Benguela upwelling system (Giraudeau and Rogers, 1994). However the 71 different sample coverage, the different taxonomies (of traditional broad species) as well 72 as the exclusion of species in some of those investigations prevented any transfer function 73 to be properly defined. Consequently, a well established calibration of modern 74 coccolithophore assemblages to surface mixed-layer temperatures has only been 75 previously achieved at a few locations. These were performed at the Benguela and the 76 Peru-Chile upwelling systems (Giraudeau and Rogers, 1994; Saavedra-Pellitero et al., 77 2010; 2011) and differ from ours by being based on species relative abundances. The 78 main goal of the present study was to investigate whether the modern regional gradients 79 of sea surface productivity and temperature can be detected by studying (a) coccolith 80 accumulation rates and (b) coccolithophore derived temperature estimates.

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- 82 **1.1. Regional setting**
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84 The SE Pacific is dominated by the Peru-Chile current system (Strub et al., 1998), one of 85 the most productive eastern boundary systems in the world. Off southern Chile, cool 86 waters from the Antarctic Circumpolar Current reach the continent and split in two 87 branches, the southward-flowing Cape Horn Current and the northward-flowing Peru 88 Current (Fig. 1A). Coastal upwelling, driven by persistent southerly winds along the coast 89 brings cold and nutrient-rich waters to the sea surface along the coast of Chile and Peru 90 towards the equator (Wyrtki, 1981; Bryden and Brady, 1985; Strub et al., 1998). 91 Phytoplankton biomass is high throughout the year in this coastal upwelling system (Rojas de Mendiola, 1981). However, from 15°S to 30°S, minimum chlorophyll 92 93 seasonality offshore Chile is observed, despite strong seasonality in wind forcing between 94 20°S and 30°S. South of this area, chlorophyll reaches maxima during austral summer and minima in austral winter, in phase with the seasonal wind forcing (Thomas et al.,2004).

97 Precipitation patterns in Chile, the most important climate factor driving continental 98 erosion, show one of the most pronounced latitudinal gradients on Earth (Kaiser, 2005; 99 Hebbeln et al., 2007). Rainfall rates rapidly increases from almost zero in the hyper-arid 100 Atacama desert (north of 27°S) over intermediate precipitation in the semi-arid 101 Mediterranean-type climate of central Chile (from 31°S to 37°S) to year round humid 102 conditions with extraordinary high annual precipitation south of 42°S (Miller, 1976; New 103 et al., 2002). Major atmospheric circulation patterns, specifically the SE Pacific 104 anticyclone in the north and the rain-bearing Southern Westerlies in the south, are 105 responsible for this marked N-S gradient along Chile (Hebbeln et al., 2007, see Fig. 1B). 106 However, expected differences in mass accumulation rates along the Chilean continental 107 margin depend not only on the different hydrological regimes, but also on the topography 108 of margin and on the latitudinal variability of primary productivity and upwelling (Muñoz 109 et al., 2004).

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111 **2. Material and Methods**

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For this study we considered 74 out of 106 surface sediment samples located from 22.80°S to 44.28°S and from 70.49°W to 75.86°W offshore Chile. Previous studies (Saavedra-Pellitero et al., 2010; 2011) allowed us to select the best preserved samples and to exclude the samples where coccoliths were poorly preserved. The uppermost centimetre from the undisturbed surface sediment samples (boxcores and multicores), has been used for the analyses reported here. They were retrieved during Genesis III Cruise,
RR9702A onboard the American R/V Roger Revelle and during R/V SONNE Cruise SO156 Valparaiso-Talcahuano (Hebbeln and cruise participants, 2001) onboard the German
R/V Sonne.

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123 **2.1. Coccolith counts and estimations of CARs**

124 Coccolith absolute abundance counts were already available from a previous study 125 (Saavedra-Pellitero et al., 2010) although only relative abundances were published in that 126 paper. Slides for coccolith counts were prepared using the standard settling methodology 127 of Flores and Sierro (1997). Coccolith identification was done using a Leica DMRXE and 128 a Nikon Eclipse 80i polarized microscopes at a magnification of X1000, occasionally 129 X1250. In order to ensure statistical reliability a minimum of 400 coccoliths per sample 130 were counted. This procedure allowed us to estimate the total number of coccoliths per 131 gram of sediment for each of the coccolithophore species and species CARs. We 132 followed the taxonomy established by Hine and Weaver (1998), Bown and Young (1998) 133 and the internet site www.nannotax.org. Some additional considerations were also taken 134 into account (i.e., the group of *Gephyrocapsa* <3µm defined by Flores et al., 1997). The 135 formula used to calculate CARs is:

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$$CAR = [(n \cdot R^2 \cdot V^2)/(r^2 \cdot g \cdot v)] \cdot DBD \cdot SR$$

137 where *n* is the number of coccoliths counted in a random light microscope scanned area; 138 *R* is the radius of the Petri dish used; *V* is the volume of the water added to the dry 139 sediment; *r* is the radius of the visual field used in the counting; *g* is the dry sediment 140 weight; v is the volume of mixture withdrawn with the micropipette; *DBD* is the 141 estimated dry density of the sediment, and *SR* is the linear sedimentation rate.

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144 **2.2. Sedimentation rate estimates and dry bulk densities**

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146 Sedimentation rates (SRs) and sediment dry bulk densities (DBD) are required to 147 estimate CARs. However the lack of these measurements for the majority of the samples 148 considered in this study led us to design an approach to estimate them. To calculate the 149 SR along the Chilean continental margin, we considered the recent SR data based on ²¹⁰Pb (Muñoz et al., 2004; Fig. 2A) available from a subset of samples spanning across a 150 151 broad range of sedimentation regimes which correspond to some of the samples studied 152 here (Figs. 1B and 2, Table 1). Owing to the fact that the samples cover very distinct 153 areas and stations are quite sparsely distributed, we normalized the number of coccoliths 154 per gram of sediment instead of directly interpolating the SR data from Muñoz et al. 155 (2004; see Fig. 2). This designed approach consists of comparing the bulk chemistry 156 analyses done by inductively coupled plasma atomic emission spectrometry (ICP-MS, Stuut et al., 2007), with the SR based on ²¹⁰Pb (Muñoz et al., 2004) using multiple 157 regression analysis. Mesh grids were created for Al, Fe, K, Mg, and Ti derived by ICP-158 MS measurements with MatlabTM and the values for the 17 stations indicated in Table 1 159 160 were used for the calibration.

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162 Stepwise multiple regression is a systematic method for choosing predictors (or 163 independent variables) of a particular dependent variable on the basis of statistical criteria 164 (Howitt and Cramer, 2008). This procedure determines which independent variable is the 165 best predictor, the second best predictor, etc. After regressing our independent or 166 predictor variables (Al, Fe, K, Mg, and Ti ICP-MS values, in our case) against the 167 dependent variable (SR, in our case) with MatlabTM software, we found out that only Ti is positively correlated to SR ($R^2=0.61$, Fig. 2B and supplementary material). This 168 169 relationship reflects the recent sedimentation patterns on the Chilean continental slope. A 170 lack of significant precipitation limits the denudation in the Atacama Desert (Stuut et al., 171 2007) restricting the sediment supply to the Chilean margin and therefore the high SRs 172 and Ti contents offshore North Chile. On the contrary, humid conditions and stronger 173 erosion in South Chile (Miller, 1976) favors the higher SR and Ti contents at the 174 southermost surface sediment samples. The linear equation obtained allowed us to 175 estimate SR from Ti measurements for the specific case of the study area.

176 *SR*=(0.1089·*Ti*)-0.274

This formula provided a way to estimate SR for the surface sediment samples studied (Table 2, Fig. 3A) with a root mean squared error (RMSE) of 0.047 for the 64 samples where ICP-MS were performed, all of them GeoB samples. Concerning the 10 non-GeoB stations of the database (RR-), euclidean distances between each station and the GeoB stations were calculated and the smallest one was chosen. For the four samples located further offshore, different SR values were considered (Table 1). 183 To estimate sediment DBDs, the closest value from Muñoz et al. (2004) was chosen 184 (Table 1 for original measurements and Table 2 for estimates), except for the four further 185 offshore stations, where the same criteria as for SR was followed.

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187 **2.3. Oceanographic variables of the surface waters**

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189 The modern oceanographic properties chosen for this work are sea surface temperature 190 (SST in °C, Locarnini et al., 2006), sea surface salinity (SSS in PSU, Antonov et al., 191 2006), nitrate (micromole/l), phosphate (micromole/l), silicate (micromole/l, all data from 192 Garcia et al., 2006) and chlorophyll concentrations (microgram/l, Levitus, 1982; 193 Conkright and Boyer, 2002) expressed as an annual average from 0 m to 75 m water depth. In addition, depth of the mixing layer (m) and primary productivity (mg $C/m^2/day$) 194 195 were considered. All these parameters were obtained from the World Ocean Atlas 2005, 196 from the World Ocean Atlas 2001 Data Sets, National Oceanographic Data Centre, 197 Washington DC (see http://ingrid.ldgo.columbia.edu/SOURCES/.NOAA/.NODC), and 198 Productivity from Ocean 199 (http://www.science.oregonstate.edu/ocean.productivity/index.php). 200 Euclidean distances between each station and World Ocean Atlas database (1° grid) were 201 calculated and the smallest one was chosen using MatlabTM. All the contour maps were 202 generated using Ocean Data View (ODV) software (Schlitzer, 2011). The main model

203 was generated with R software (for further details see section 3.2) and ordination was

204 performed using the Vegan package for R (Oksanen et al., 2006).

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206 **3. Results**

207 **3.1. Coccolith accumulation rates**

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209 Maximum numbers of $2.21 \cdot 10^9$ coccoliths/g and highest CARs of $6.9 \cdot 10^7$ 210 coccoliths/cm²/yr are reached at different locations in the northernmost stations while 211 minimum numbers of $2.10 \cdot 10^6$ coccoliths/g and CARs of $9.2 \cdot 10^4$ coccoliths/cm²/yr are 212 reached offshore southern Chile (44.06°S, 75.13°W, Fig. 3B, C).

213 The 14 most common taxa or groups of coccoliths regarded in this study are 214 Calciosolenia spp., Calcidiscus leptoporus, Coccolithus pelagicus, Emiliania huxleyi, 215 Gephyrocapsa muellerae, Florisphaera profunda, Gephyrocapsa oceanica, 216 Helicosphaera carteri, Rhabdosphaera clavigera, small Gephyrocapsa (Gephyrocapsa 217 <3µm), Syracosphaera spp., Umbellosphaera spp., Umbilicosphaera spp. and Oolithotus 218 spp. In the following we briefly describe the main features observed in the contour maps 219 (Fig. 4) ranging from highest CARs average to lowest ones for each coccolithophore taxa. Small *Gephyrocaps*a is the most abundant group (average of $1.94 \cdot 10^6$ coccoliths/cm²/yr) 220 which reaches abundances of $1.69 \cdot 10^7$ coccoliths/cm²/yr at 26°S, although high numbers 221 222 are also recorded in other parts of the Chilean upwelling area (Fig. 4A). C. leptoporus shows an average CAR of 1.48.10⁶ coccoliths/cm²/yr. Maximum CARs of up to 1.5.10⁷ 223 coccoliths/cm²/yr for this species are reached in the samples located in the north of the 224 study area and decrease towards the South (Fig. 4B). An average of $1.3 \cdot 10^6$ 225 coccoliths/cm²/vr was estimated for F. profunda (Fig. 4C). CARs for this lower photic 226 227 zone dweller fluctuates considerably, decreasing broadly southwards; maximum values are reached at 26° S (1.08·10⁷ coccoliths/cm²) and minimum at the southernmost locations 228

offshore Chile $(8.59 \cdot 10^3 \text{ coccoliths/cm}^2/\text{yr})$. *E. huxleyi*, with an average of $1.21 \cdot 10^6$ coccoliths/cm²/yr, diplays a similar distribution pattern to small *Gephyrocaps*a with maximum CAR of $8.81 \cdot 10^6$ coccoliths/cm² (Fig. 4D).

G. muellerae occurs in average CARs of $1.10 \cdot 10^6$ coccoliths/cm²/yr. This species fluctuates along the Chilean upwelling region; it reaches a maximum of $7.42 \cdot 10^6$ coccoliths/cm²/yr in the northern part of the study area and high CARs at the southernmost locations (Fig. 4E). *G. oceanica*, with an average of $1.08 \cdot 10^6$ coccoliths/cm²/yr, reaches maximum CARs (9.74 \cdot 10^6 coccoliths/cm²/yr) at the northern part of the study area and progressively decreases southwards (Fig. 4F).

H. carteri shows average CARs of $5.24 \cdot 10^5$ coccoliths/cm²/yr. Maximum CARs of this species are clearly reached in central and north offshore Chile $(6.19 \cdot 10^6$ coccoliths/cm²/yr, Fig. 4G). *C. pelagicus* reaches average CARs of $9.73 \cdot 10^4$ coccoliths/cm²/yr and its maxima $(7.19 \cdot 10^5 \text{ coccoliths/cm}^2/\text{yr})$ at the southernmost locations of the Chilean upwelling (Fig. 4H). *Umbellosphaera* spp. shows average CARs of $8.86 \cdot 10^3$ coccoliths/cm²/yr with maximum of $2.47 \cdot 10^5$ coccoliths/cm²/yr (Fig. 4I).

244 Results corresponding to the rest of the coccolithophore species are not listed here either 245 owing to their low numbers or to the non-relevance for the SST estimates. This refers to Syracosphaera spp. (average of $3.67 \cdot 10^4$ coccoliths/cm²/yr), Oolithotus spp. (average of 246 $1.98 \cdot 10^4$ $\operatorname{coccoliths/cm}^2/\operatorname{yr}$), $1.06 \cdot 10^5$ 247 Umbilicosphaera spp. (average of coccoliths/cm²/yr), R. clavigera (average of $5.19 \cdot 10^3$ coccoliths/cm²/yr) and 248 *Calciosolenia* spp. (average of $4.18 \cdot 10^3$ coccoliths/cm²/yr). 249

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3.2. Statistical analysis and SST transfer function

252 A preliminary detrended correspondence analysis (DCA) on the coccolithophore 253 assemblage resulted in a gradient shorter than 2 Standard Deviation (SD) units, 254 suggesting a linear response (ter Braak, 1987). Then, principal component analysis (PCA) 255 was used to analyze the relationship between coccolithophore assemblage and 256 environmental properties, where the latter variables have been entered passively, and to 257 identify outlying samples with unusual assemblages (ter, Braak, 1987). There were no 258 unusual samples, as indicated by the PCA. The significance of PCA axes was assessed 259 using the broken-stick model, resulting in just one significant axis explaining 78.9% of 260 the variance, and being highly correlated with SST. These results are in agreement with 261 Saavedra-Pellitero (2011) who found out that SST was the dominant oceanographic 262 parameter controlling certain coccolithophore species (grouped into a factor) offshore 263 Chile. CARs were square transformed to standardize their variances. Rare species were 264 downweighted because the square root transformation increases their weight and they can 265 have undue influence on the ordination. To establish a SST-sensitive transfer function 266 based on CAR, we performed a multiple linear regression. The number of parameters in 267 the fitted model were determined using a Akaike's information criterion. Thus, the 268 species eventually included in the minimal adequate model were: F. profunda (F.pro), H. 269 carteri (H.car), G. muellerae (G.mue), Umbellosphaera spp. (Umbe) and C. pelagicus 270 (C.pel). The minimal adequate regression and final calibration model showed a residual standard error of 0.803 on 66 degrees of freedom and adjusted R^2 of 0.7021. We obtained 271 272 the following equation to estimate SST using CARs:

273 SST=12.98+[0.0015557•(F.pro) + 0.0011031•(H.car) -0.0009193•(G.mue) -274 0.0032570•(Umbe)-0.0024363•(C.pel)] 275 A root mean squared error of prediction (RMSEP) was assessed by (bootstrapping and 276 jackknifing) cross-validation (99 permutation cycles) in order to assess the predictive 277 power of our transfer function (Table 3). The final model was examined for potential 278 outliers, because these can strongly affect transfer function coefficients and may 279 markedly decrease the predictive ability of the model. Outliers were identified as samples 280 having an absolute residual (observed minus estimated) higher than the SD of the 281 environmental variable of interest and a low influence on the model indicated by Cook's 282 D (Cook's D <4/n, Fig 5D). Based on this criterion, the samples GeoB 7108 and RR 52 283 mc3 were excluded.

284 The SST residuals (the difference between the observed minus the estimated SST) were 285 tested for homoscedasticity (constant variance). This condition ensures that the best-286 fitting line works well for all relevant values of SST estimated, not just in certain areas. 287 In the scatter plot of the standardized residuals against the SST estimated values (Fig. 5C) 288 the spread in the residuals stays almost the same throughout, addressing the 289 homoscedasticity condition. In general, the SST residuals are relatively low (most of 290 them are between -1 and 1) and without any significant correlation or trend with the 291 estimated SSTs (Fig. 5A). Our results based on CARs reveal good reproducibility of the 292 SST World Ocean Atlas 2005 (see Fig. 6 and supplementary material). Even though we 293 regarded annual averages to avoid any influence of seasonality, seasonal changes in 294 oceanographic conditions can strongly influence the coccolithophore fluxes. Therefore 295 SST residuals were also compared with the SST difference between summer and winter 296 in the study area (Fig. 7A). A slight trend can be observed between SST difference and 297 SST residuals (Fig. 7B)

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299 **4. Discussion**

300 4.1. CARs estimates

301 Coccolith distribution patterns and coccolith numbers from surface sediment samples are 302 dependent on coccolithophore productivity, on dissolution and on dilution by terrigenous 303 material, which influences sedimentation rates. Due to the enormous differences in mass 304 accumulation rates along the Chilean continental margin, CARs compliment and in some 305 cases improve the relative data to reconstruct gradients in coccolithophore productivity 306 off Chile. Highest total CARs are found in the stations located off north-central Chilean 307 coast (22.8°S-30°S, Fig. 3), where seasonal upwelling takes place (18°S-27°S; Strub et al., 308 1998) and where Abrantes et al. (2007) observed samples barren of diatoms. However, a 309 marked decrease in CARs is observed further offshore at surface sediment samples 310 around $\sim 23^{\circ}$ S (Fig. 3C). At these locations high numbers of coccoliths per gram of 311 sediment are noted (Fig. 3B), yet CARs notably decrease with respect to more coastal 312 samples at similar latitude, probably driven by low SRs estimates. High coccolithophore 313 diversity is also recorded off north-central Chilean continental margin, as displayed by 314 the presence of different placolith bearing species (i.e., small Gephyrocapsa, C. 315 leptoporus, E. huxleyi, G. muellerae and G. oceanica) together with other coccolith forms 316 (e.g., F. profunda, H. carteri and Umbellosphaera spp.). Offshore central-south Chile, 317 upwelling-favorable conditions occur from late spring to early fall, corresponding to the 318 most persistent upwelling extending from 35°S to 38°S (Strub et al., 1998). Due to the 319 fact that underneath these high productive zones degradational processes of organic 320 matter may favor enhanced carbonate dissolution (Boeckel and Baumann, 2004), samples 321 barren of coccolithophores or highly affected by dissolution (which were excluded in our 322 model) are mainly located in areas from 35.5°S to 39°S (Saavedra-Pellitero et al., 2010). 323 A drop in the total CARs and in all the species numbers are observed in the area from 324 36.5°S to 38°S (Fig. 3) nearby the persistent upwelling cell off point Concepción (Strub et 325 al., 1998) coincident with the highest diatom abundance values (valves/g) and organic 326 carbon recorded in the same region by Abrantes et al. (2007). The only coccoliths 327 recorded in this area (around $\sim 36^{\circ}$ S) belong to F. profunda and G. oceanica, and in a 328 lesser extent E. huxleyi, small Gephyrocapsa. and G. muellerae. The tongue of low-329 salinity water characteristic from the fjord region off south Chile (e.g., Lamy et al., 2002) 330 has been recognized by maxima in the abundance of freshwater diatoms (Abrantes et al., 331 2007) and by the factors derived from the coccolith percentage dataset (Saavedra-332 Pellitero et al., 2010), but is not clearly defined by the CARs. The most prominent 333 coccolithophore species in this area are C. pelagicus and G. muellerae, but small 334 placoliths, such as small *Gephyrocapsa* and *E. huxleyi* are also present.

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336 A comparison of the observed CARs with the coccolith flux collected from a sediment 337 trap located offshore Chile (30°S, 73°11'W, Fig. 4) showed that numbers differ, although they are still comparable. A total CAR of $6.8 \cdot 10^6$ coccoliths/cm²/yr, was obtained for the 338 339 closest surface sediment sample in our dataset (29.72°S, 72.17°W), a minimum flux of 9.86.10⁶ coccoliths/cm²/yr was estimated during El Niño conditions (1997-1998) and an 340 average of 1.59.10⁸ coccoliths/cm²/yr during non-El Niño conditions (1993-1994; 341 342 Köbrich, 2008); at least the calculated CAR is in the order of the minimum flux during El 343 Niño conditions. Owing to the fact that the surface sediment sample was not retrieved directly underneath the mooring location and that dissolution processes are likely to affect primarily deep sediments, differences between the CAR estimates and the sediment trap fluxes appear to be reasonable. In addition, the sediment trap recorded seasonal and annual variations while the surface sediment samples provided averaged data on a wider time interval of tens to hundreds of years.

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4.2. Reliability of the SST reconstruction

The present SST estimation is adding to a series of previously published transfer 351 352 functions in the SE Pacific realm based on data from different siliceous and calcareous 353 microfossils, with the innovation of using species CARs from surface sediment samples 354 instead of relative abundances. The results of our SST transfer function based on CARs 355 reveal good reproducibility of the SST World Ocean Atlas 2005 (Locarnini et al., 2006) data; the estimated and measured SST values are highly correlated ($R^2=0.723$, Fig. 8B). 356 357 We improved the spatial resolution offshore Chile, especially compared with previous 358 works based on radiolarian census (Pisias et al., 1997; Pisias et al., 2006) and planktonic 359 foraminifera (Mix et al., 1999; Feldberg and Mix, 2002; Kucera et al., 2005; Morey et al., 360 2005) which considered very few samples for the whole study area (see Fig. 8A). 361 Abrantes et al. (2007) added some samples to previously collected databases (e.g., 362 Schuette and Schrader, 1979; Romero and Hebbeln, 2003) and successfully obtained a 363 SST diatom transfer function based directly on species percentages. Many of those 364 samples were also used by Saavedra-Pellitero et al. (2011) to estimate SST using 365 multivariate statistical analyses performed on modern coccolithophore census data from 366 15°N to 50.6°S and from 71°W to 93°W. With our work we covered an existing gap in the north-central Chilean coast (from ~23°S to ~33°S) due to the lack of preserved
diatoms in the samples (Fig. 8A).

369 A comparison of the SST estimates derived from our model (using CARs) with previous 370 SST transfer functions based on planktonic foraminifera (Kucera et al., 2005) and 371 diatoms (Abrantes et al., 2007) was performed and they resulted in close agreement (Fig. 372 8A). Nevertheless, even if the three reconstructions follow the same trend, our SST 373 estimates are always lower than the other two because we considered an annual SST 374 average from 0 m to 75 m water depth, instead of 0 m (chosen for diatoms) or 10 m 375 (chosen for planktonic foraminifera) SST annual averages. Those differences are indeed 376 higher offshore north-central Chile and become smaller offshore central-south Chile, 377 specifically at intense upwelling areas (e.g., around 36°S, Fig. 8A). The underestimation 378 of SST further offshore Chile around ~23°S (Figs. 6C and 8A) would be linked to the 379 calculation of SR at those locations. A SST average (from 0 m to 75 m) was considered 380 due to the fact that coccolithophore production can also happen at deeper depths (e.g., F. 381 *profunda*); this choice also allowed us directly to compare with the SST estimates based 382 on coccolith percentages (Saavedra-Pellitero et al., 2011). Both reconstructions based on 383 coccolithophores follow the same trend as the SST observed, although the SST CAR 384 estimates fits better (see Fig. 8A), especially from ~26°S to ~36°S. In any case, it should 385 be noted that SST estimates using different coccolith datasets and statistical approaches offshore Chile resulted in close agreement, as shown by the high correlation ($R^2=0.71$) 386 387 between the SST coccolith percentage estimates and the SST CAR estimates 388 (supplementary material).

389 Focusing more on the CAR transfer function, it can be noted that negative SST residuals 390 indicate that the model overestimated the mean annual SST while positive residuals 391 indicate that the model estimates underestimated this parameter. Although SST residuals 392 calculated here are low, the contour map of SST residuals (Fig. 6C) shows that our model 393 tends to underestimate SSTs at the northernmost locations and overestimate SSTs at the 394 southernmost ones together with those stations from the area between $\sim 34.5^{\circ}$ S and 395 \sim 36.5°S which are under the influence of the persistent upwelling region described by 396 Strub et al. (1998). Abrantes et al. (2007) also got SST overestimates and SST 397 underestimates at the northern- and southernmost locations of our study area, but not 398 offshore central Chile. This can be just explained by the ecological dominance of diatoms 399 over coccolithophores and/or by coccolith carbonate preservation which could affect 400 coccolithophore species composition in the upwelling region near Concepción (from 35°S 401 to 38°S). The slight trend observed between SST summer-winter difference and SST 402 residuals (Fig. 7B) suggest that samples with SST underestimates (high positive 403 residuals) are more affected by seasonality than samples with SST overestimates (low 404 negative residuals). Therefore seasonality has, to some extent, an influence on the warm-405 water and cold-water coccolithophore taxa preserved in the surface sediment samples. 406 Even considering the limitations of our regional approach, both the total and species CAR estimates give a general idea of the number of coccoliths/cm²/yr preserved in the surface 407 408 sediments offshore Chile, an upwelling region mainly dominated by diatoms, and 409 furthermore allowed us to obtain an accurate SST reconstruction.

410

411 **5. Conclusions**

In this study the modern regional gradients of sea surface productivity and temperature offshore Chile were detected by studying (a) coccolith accumulation rates (CARs) and (b) coccolithophore derived sea surface temperature (SST) estimates. The main findings are as follows:

(1) CARs, calculated by using estimated sedimentation rates based on recent ²¹⁰Pb and
bulk chemistry analyses of surface samples from the Chilean margin, clearly reveal that
the accumulation of coccolithophores shows a strong statistical relationship to SST.
Rigorous numerical methods have been used to quantify the inherent error of the model
and to asses the reliability of the quantitative reconstruction for the average temperatures
of the uppermost 75 m of the water column.

422 (2) Total CARs and species CARs reflect the regional upwelling conditions along the
423 Chilean continental margin. Highest total CARs were found off north-central Chile,
424 where seasonal upwelling occurs.

425 (3) There are five key coccolithophore species which as show by our model record SST

426 information; these are Florisphaera profunda, Helicosphaera carteri, Gephyrocapsa

427 *muellerae*, *Umbellosphaera* spp. and *Coccolithus pelagicus*.

(4) Differences between observed and estimated SST coincide with a persistent upwelling
region between ~34.5°S and ~36.5°S, yielding warmer temperatures than expected.

(5) In short, our results demonstrate the good reconstructive skill of observed SSTs and
are in close agreement to a series of previously published SST transfer functions in the
Southeast Pacific realm based on species percentages from different siliceous and
calcareous microfossils.

434

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436

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Figure. 1. A. Map of the Pacific and adjacent areas showing major surface currents (after Tomczak and Godfrey, 2003; modified from Lamy and Kaiser, 2009). The study area has been indicated with yellow rectangle.

B. Sea Surface Temperature (SST in °C, Locarnini et al., 2006) expressed as an annual average from 0 m to 75 m water depth and annual mean precipitation (mm/yr) over parts of South America in 2000 (Beck et al., 2005).

The location of the sampling stations offshore Chile corresponding to recent sedimentation (SR) data available based on ²¹⁰Pb (Muñoz et al., 2004) is indicated with blue crosses, the sampling stations corresponding to ICP-MS measurements (Stuut et al., 2007) with black dots, and the 74 sea surface sediment samples used in this study with red dots.



Figure 2. A. Sedimentation Rates (cm/yr) used in this work, from Muñoz et al. (2004), Lamy et al. (1999) and Ho et al. (2012).

B. Sedimentation Rates (cm/yr) from Muñoz et al. (2004) versus Ti (‰) values from the bulk chemistry analyses done by inductively coupled plasma atomic emission spectrometry (ICP-MS; Stuut et al. 2007).

C. Dry bulk densities (g/cm³) used in this work, from Muñoz et al. (2004), Hebbeln et al. (2004), Klump et al. (2004), Muñoz and Nuñez pers. comm.



Figure 3. A. Sedimentation Rate estimates (cm/yr) for the study area using the present approach explained within the text. B. Total number of coccoliths per gram of sediment. C. Coccolith Accumulation Rate (CAR, coccoliths/cm²/yr). The 74 surface sediment sample locations are indicated here with black dots.



Figure 4. Distribution maps of Coccolith Accumulation Rates for the more important taxa or groups of coccoliths considered in the study area: A. "small" *Gephyrocapsa*, B. *Calcidiscus leptoporus, C. Florisphaera profunda*, D. *Emiliania huxleyi*, E. *Gephyrocapsa muellerae*, *F. Gephyrocapsa oceanica, G. Helicosphaera carteri*, *H. Coccolithus pelagicus* and I. *Umbellosphaera* spp. Stations are indicated with black dots. The gray star indicates the location of the sediment trap deployed off Chile (30°S, 73°11'W; González et al., 2004; Köbrich, 2008).

Figure4 Click here to download high resolution image







D. Emiliania huxleyi



E. Gephyrocapsa muellerae







G. Helicosphaera carteri 6·10* 5-10 28 4.10 10.1





7.100

F. Gephyrocapsa oceanica

Figure 5. A. SST residuals versus SST estimated. B. Normal Q-Q. C. Scale location. D. SST residuals versus leverage.



Figure 6. A. Annual 0-75 m SST average observed (in °C, from WOA05, Locarnini et al., 2006). B. Annual average 0-75 m SST estimated (in °C). C. Sea Surface Temperature residuals (SST estimated-SST observed). Stations are indicated with black dots.



Figure 7. A. SST summer - SST winter (in °C). Data retrieved from WOA05 (Locarnini et al., 2006). The one-degree grid is indicated with black dots and stations with white dots. B. SST summer - SST winter versus SST residuals.

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Figure 8. A. Sea Surface Temperature reconstruction (SST in °C, 0-75 m) using Coccolith Accumulation Rates (CARs) indicated with squares and pink line, SST observed (0-75 m, from WOA05, Locarnini et al., 2006) indicated with circles and orange line, SST reconstruction (0-75 m) using coccolithophore percentages (Saavedra-Pellitero et al., 2011) indicated with dashed line and green triangles, SST reconstruction with foraminifera at 10m (Kucera et al., 2005) indicated with blue squares with an asterisk inside and SST reconstruction with diatoms at 0 m depth (Abrantes et al., 2007). B. SST estimated versus SST observed (both in °C). The line represents the best fitting linear regression.



Table 1. Station, geographical position, measured sedimentation rate (SR, in cm/yr), authors of SR measurements, measurements of dry bulk density (DBD, in g/cm³), authors of DBD measurements, Al, Fe, K, Mg and Ti (‰) values selected from the bulk chemistry analyses done by inductively coupled plasma atomic emission spectrometry (ICP-MS; Stuut et al. 2007). Underlined stations were used for the SR-Ti approach.

Table 2. List of studied samples including geographical position as well as estimated Sedimentation Rates (SR, in cm/yr), estimated Dry Bulk Densities (DBDs, in g/cm³) and observed annual Sea Surface Temperature (SST in °C) average from 0 m to 75 m water depth (Locarnini et al., 2006). Asteriks indicate samples in which coccolithophore studies were not performed; for further details, see Saavedra-Pellitero et al. (2010). GeoB samples were retrieved during R/V SONNE Cruise SO-156 and RR samples during Genesis III Cruise RR9702A.

Table 3. Root mean squared error (RMSE), adjusted R^2 , F-statistic, degrees of freedom and p-value of the 5 component model. Root mean squared error of prediction (RMSEP) of the model assessed by bootstrapping and jackknifing.

DBD measured (g/cm3) measured (cm/yr) Author (DBD) Author (SR) Longitude Latitude Station Mg (‰) Fe (‰) Ti (‰) AI (‰) K (‰) SR Muñoz et al., 2004 Muñoz et al., 2004 Sta. A -77.5 -12.4 0.13 ±0.02 0.18 Sta. C -77.6 -12.6 0.1 ±0.01 Muñoz et al., 2004 0.53 Muñoz et al., 2004 -70.2 -21.1 0.1 ±0.01 Muñoz et al., 2004 0.45 Muñoz et al., 2004 48.11 60.36 19.70 17.01 3.390 Sta. 6 -70.3 -21.1 0.08 ±0.01 Muñoz et al., 2004 0.54 Muñoz et al., 2004 Sta. 7 48.11 60.36 19.70 17.01 3.390 GeoB 7104 -70.5 -22.9 0.04 ±0.01 Muñoz et al., 2004 1.22 Muñoz et al., 2004 46.21 31.41 12.86 15.15 3.220 GeoB 7106 -70.6 -22.8 0.17 ±0.003 Muñoz et al., 2004 0.67 Muñoz et al., 2004 48.11 60.36 19.70 17.01 3.390 Muñoz et al., 2004 0.75 5d -73.1 -35.7 0.24 ±0.05 Muñoz et al., 2004 83.39 43.47 14.08 13.96 4.943 -73.1 -36.0 0.26 ±0.03 Muñoz et al., 2004 0.44 Muñoz et al., 2004 80.86 41.90 13.29 14.55 4.778 4c GeoB 7160 -73.1 -36.0 0.24 ±0.07 Muñoz et al., 2004 0.42 Muñoz et al., 2004 80.93 42.09 13.31 14.61 4.791 26A -73.4 -36.4 0.09 ±0.01 Muñoz et al., 2004 0.32 Muñoz et al., 2004 74.93 43.41 10.40 15.24 4.291 26B -73.4 -36.4 0.14 ±0.02 Muñoz et al., 2004 0.55 Muñoz et al., 2004 74.93 43.41 10.40 15.24 4.290 GeoB 7161 -73.4 -36.4 0.15 ±0.01 Muñoz et al., 2004 0.30 Muñoz et al., 2004 74.93 43.41 10.40 15.24 4.290 -73.7 -36.5 0.26 ±0.10 Muñoz et al., 2004 0.51 Muñoz et al., 2004 77.43 43.71 12.72 14.42 4.448 3 0.31 GeoB 7162 -73.7 -36.6 0.25 ±0.04 Muñoz et al., 2004 Muñoz et al., 2004 77.44 43.67 12.68 14.43 4.450 GeoB 7166 -73.8 -36.5 0.18 ±0.02 Muñoz et al., 2004 0.33 Muñoz et al., 2004 73.45 43.94 12.58 15.23 4.270 GeoB 7167 -73.9 -36.5 0.18 ±0.02 Muñoz et al., 2004 0.23 Muñoz et al., 2004 72.10 42.56 12.40 15.86 4.159 GeoB 7177 -74.8 -42.6 0.22 ±0.01 Muñoz et al., 2004 0.20 Muñoz et al., 2004 62.83 41.54 0.00 18.81 4.331 GeoB 7174 -75.0 -42.5 0.22 ±0.02 Muñoz et al., 2004 0.23 Muñoz et al., 2004 64.60 41.96 0.00 18.36 4.421 GeoB 7175 -75.2 -42.5 0.29 ±0.04 Muñoz et al., 2004 0.17 66.40 42.38 0.00 17.59 4.489 Muñoz et al., 2004 GeoB 7139 -72.0 -30.2 0.30 Muñoz, pers. comm. GeoB 7155 -72.9 -34.6 0.27 Muñoz, pers. comm. -GIK 17748-2 -72.0 -32.8 0.009 Lamy et al., 1999 0.77 Hebbeln et al., 2004 GIK 3302-1 -72.1 -33.2 0.006 Lamy et al., 1999 0.85 Klump et al., 2004 Nuñez Ricardo, 0.0003 0.74 GeoB 3388-1 -75.2 -25.2 Ho et al., 2012 pers comm.

Station	Longitude	Latitude	Estimated SR	Estimated DBI	SST average (0-75 m)	Station	Longitude	Latitude	Estimated SR	Estimated DBI	SST average (0-75 m)
GeoB 7108 GeoB 7103 RR 52 mc3 RR 50 mc2 GeoB 7114 GeoB 7112 GeoB 7112 GeoB 7122 GeoB 7119 GeoB 7121 GeoB 7123 GeoB 7123 GeoB 7123 GeoB 7130 GeoB 7130 GeoB 7130 GeoB 7133 GeoB 7132 GeoB 7133 GeoB 7134 GeoB 7136 GeoB 7137 GeoB 7137 GeoB 7139 GeoB 7137 GeoB 7139 GeoB 7144 GeoB 7144 GeoB 7144 GeoB 7144 GeoB 7144 GeoB 7144 GeoB 7144 GeoB 7145 GeoB 7147 GeoB 7150 RR 48 mc4 RR 46 mc1 GeoB 7153 GeoB 7153 GeoB 7153 GeoB 7155 GeoB 7155 GeoB 7157 RR 42 mc1 GeoB 7157 RR 42 mc1 GeoB 7157 GeoB 7	- -70.6 -70.5 -70.5 -73.4 -73.6 -70.8 -70.8 -70.8 -70.9 -70.9 -70.9 -71.0 -71.1 -71.5 -71.5 -71.5 -71.3 -71.6 -71.6 -71.9 -71.7 -71.8 -72.2 -71.9 -71.7 -72.0 -71.8 -72.0 -71.8 -72.0 -71.8 -72.0 -71.8 -72.0 -71.8 -72.0 -71.8 -72.0 -71.7 -72.0 -71.8 -72.0 -71.7 -72.0 -73.7 -72.0 -73.5 -72.1 -72.2 -73.5 -73.5 -73.4 -74.4 -74.4 -74.4 -74.4 -74.4	-22.8 -22.9 -23.2 -23.6 -24.0 -24.0 -26.0 -27.3 -28.4 -28.4 -28.4 -29.4 -29.7 -30.2 -30.2 -30.2 -30.2 -30.2 -30.2 -30.2 -31.2 -31.2 -31.2 -32.0 -32.7 -30.7 -30.7 -30.7	 ⁶ 0.077 0.077 0.0003 0.0003 0.113 0.148 0.100 0.138 0.213 0.176 0.202 0.194 0.201 0.202 0.199 0.202 0.239 0.239 0.239 0.255 0.255	 L 0.670 1.220 0.740 0.740 1.220 0.300 0.300 0.300 0.300 0.300 0.300 0.300 0.300 0.300 0.300 0.300 0.300 0.300 0.270 0.270 0.270 0.270 0.270 0.270 0.270 0.270 0.270 0.270 0.270 0.270 0.270 0.270 0.270 0.270 0.270 0.270 0.270 0.270 0.270 0.270 0.271 0.313 0.313 0.313 0.313 0.313 0.313 0.313 0.313 0.313 0.313 0.313 0.313 0.313 0.313 0.313 0.313 0.313		GeoB 7211 RR 20 mc4 RR 22 mc3 GeoB 7195 RR 24 mc3 GeoB 7195 RR 24 mc3 GeoB 7172 GeoB 7175 GeoB 7177 GeoB 7177 GeoB 7182 GeoB 7180 GeoB 7180 GeoB 7180 GeoB 7180 GeoB 7180 GeoB 7187 GeoB 7190 GeoB 7190 GeoB 7190 GeoB 7190 GeoB 7115 (*) GeoB 7140 (*) RR 44 mc2 (*) GeoB 7160 (*) RR 39 mc2 (*) GeoB 7160 (*) RR 39 mc2 (*) GeoB 7160 (*) RR 34 mc5 (*) GeoB 7166 (*) RR 34 mc5 (*) GeoB 7162 (*) GeoB 7162 (*) GeoB 7203 (*) GeoB 7204 (*) GeoB 7203 (*) GeoB 7204 (*) GeoB 7203 (*) GeoB 7203 (*) GeoB 7207 (*) RR 25 mc2 (*) GeoB 7218 (*) GeoB 7216 (*) RR 27 mc4 (*) GeoB 7173 (*) GeoB 7173 (*) GeoB 7174 (*) RR 12 mc2 (*) RR 14 mc2 (*) RR 14 mc2 (*) RR 14 mc2 (*) RR 14 mc2 (*)	1 -74.3 -74.5 -74.1 -74.6 -74.4 -74.3 -74.4 -74.3 -74.4 -74.3 -74.4 -74.3 -75.3 -74.4 -75.3 -75.4 -75.3 -75.4 -75.2 -75.4 -75.2 -75.4 -75.2 -75.4 -75.9 -75.4 -75.9 -73.8 -73.4 -73.7 -73.8 -74.1 -73.9 -74.1 -73.9 -74.1 -73.9 -74.9 -7	$\begin{array}{c} -39.9\\ -40.0\\ -40.0\\ -41.2\\ -41.3\\ -41.4\\ -42.4\\ -42.5\\ -42.6\\ -42.6\\ -42.6\\ -42.6\\ -43.4\\ -42.6\\ -43.4\\ -43.4\\ -44.1\\ -44.2\\ -44.2\\ -44.3\\ -44.3\\ -44.3\\ -44.3\\ -44.3\\ -44.3\\ -35.8\\ -36.0\\ -35.8\\ -36.4\\ -36.5\\ -37.4\\ -37.8\\ -38.0\\ -3$	u 0.255 0.255 0.240 0.234 0.234 0.234 0.227 0.215 0.229 0.197 0.212 0.212 0.242 0.218 0.217 0.197 0.194 0.216 0.209 0.232	£ 0.170 0.200 0.200 0.200 0.200 0.200 0.200 0.200 0.200 0.200 0.230 0.200 0.230 0.200 0.230 0.230 0.230	й 12.65 12.65 12.35 12.23 12.12 12.12 12.02 12.02 12.02 11.77 11.77 11.25 11.25 11.25 11.25 11.25
GeoB 7214	-74.2	-39.9	0.255	0.170	12.65	RR 06 mc4 (*)	-76.6	-46.9			

Prediction model (5 components)

RMSE Adjusted R-squared F-statistic Degrees of freedom	0.803 0.702 34.470 66
p-value	< 2.2e ⁻¹⁶
RIVISEPjacknifing	0.848
RMSEP _{bootstrapping}	0.869