



# Modulation of pathogen recognition by autophagy

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Autophagy is an ancient biological process for maintaining cellular homeostasis by degradation of long-lived cytosolic proteins and organelles. Recent studies demonstrated that autophagy is availed by immune cells to regulate innate immunity. On the one hand, cells exert direct effector function by degrading intracellular pathogens; on the other hand, autophagy modulates pathogen recognition and downstream signaling for innate immune responses. Pathogen recognition via pattern recognition receptors induces autophagy. The function of phagocytic cells is enhanced by recruitment of autophagy-related proteins. Moreover, autophagy acts as a delivery system for viral replication complexes to migrate to the endosomal compartments where virus sensing occurs. In another case, key molecules of the autophagic pathway have been found to negatively regulate immune signaling, thus preventing aberrant activation of cytokine production and consequent immune responses. In this review, we focus on the recent advances in the role of autophagy in pathogen recognition and modulation of innate immune responses.

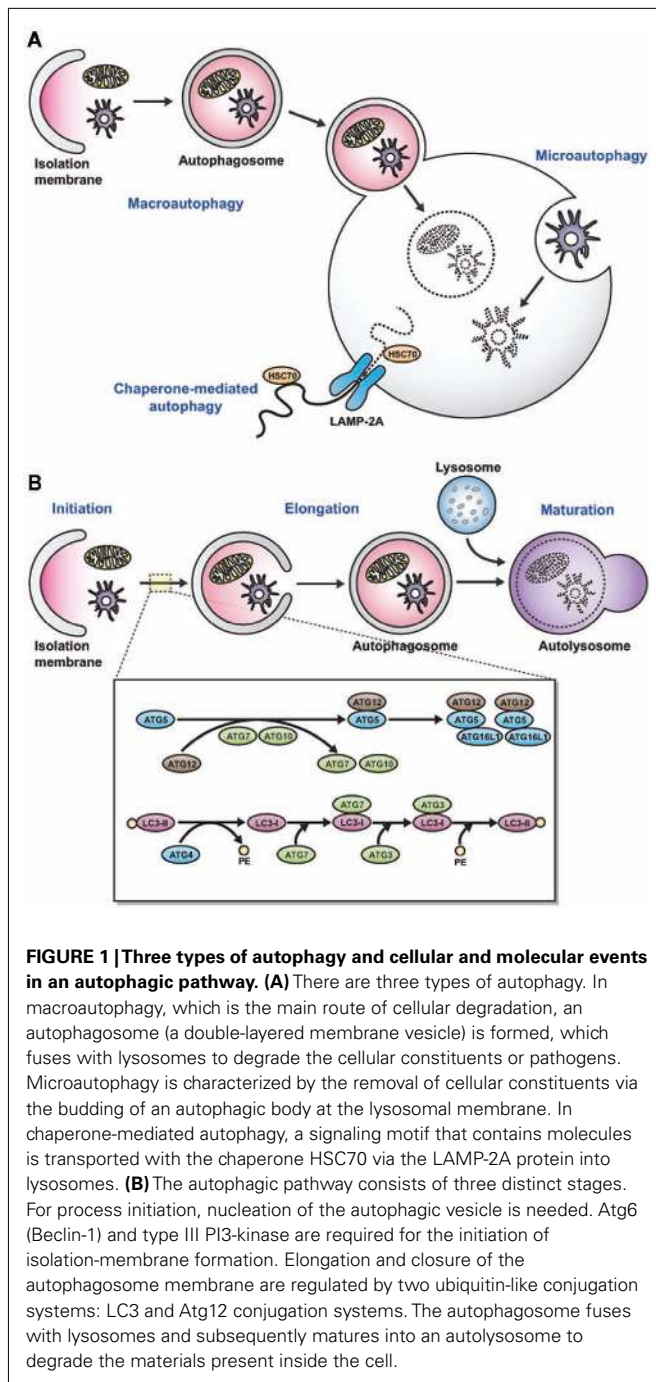
**Keywords:** autophagy, Toll-like receptors, RIG-I-like receptors, NOD-like receptors

## INTRODUCTION

Eukaryotic cells contain two major protein degradation systems for maintaining cellular homeostasis: the ubiquitin-proteasome system, responsible for degradation of soluble short-lived proteins (Kloetzel, 2001), and autophagy, a conserved system that degrades long-lived proteins and organelles (Klionsky and Emr, 2000). Autophagy was originally described as a starvation-induced response that provides nutrients by degrading long-lived proteins and recycling intracellular organelles (Kuma et al., 2004; Komatsu et al., 2005). There are three types of autophagy: microautophagy, chaperone-mediated autophagy, and macroautophagy (Figure 1A; Mizushima and Klionsky, 2007). Macroautophagy is the main route of degradation. It involves the formation of a double-membrane vesicle called autophagosome, which is formed by elongation of a cup-shaped membrane, followed by wrapping of the cellular constituents and fusion with lysosomes for degradation (Mizushima et al., 1998). The molecular mechanism underlying autophagy has been thoroughly covered in excellent reviews elsewhere (Klionsky and Emr, 2000; Ohsumi, 2001; Mizushima et al., 2002). Here, we briefly describe the process relevant to innate pathogen recognition by macroautophagy. Autophagy-related gene (Atg) 6 (Beclin-1) and type III phosphatidylinositol 3-kinase (PI3K) are required for the initiation of the isolation membrane. The elongation and termination of the autophagosome are regulated by at least two ubiquitin-like systems: the microtubule-associated protein 1 light chain 3 (LC3; mammalian homolog of the yeast autophagic protein Atg8) and the Atg12 conjugation pathways. The C-terminal amino acids of LC3 are cleaved by Atg4, and this C-terminal residue then gets transferred to phosphatidylethanolamine (PE) in the newly formed isolation membrane by the E1- and E2-like enzymes Atg7 and Atg3, respectively. Although LC3 gets recycled from the outer autophagosomal membrane by deconjugation from its phospholipids, it remains

attached to the inner autophagosomal membrane, and this portion is degraded along with the inner autophagosomal membrane in lysosomes and late endosomes after fusion with these vesicles (Ohsumi, 2001). Autophagosome-associated LC3 (LC3-II) and free cytosolic LC3 (LC3-I) can be distinguished by their apparent molecular weight, and autophagosomes can be visualized by using green fluorescent protein (GFP)-conjugated LC3 molecules. In the other ubiquitin-like system, Atg12 gets coupled through its C-terminal glycine residue to a lysine residue of Atg5 by the E1- and E2-like enzymes Atg7 and Atg10, respectively. The Atg12–Atg5 complex associates with Atg16 and then binds to the outer surface of the isolation membrane. Upon completion of the autophagosome, the Atg5–Atg12–Atg16 complex dissociates from the outer autophagosomal membrane and only LC3 remains associated with the completed autophagosome. Autophagosomes then fuse with late endosomes and lysosomes for degradation of their contents and membrane (Figure 1B; Levine and Deretic, 2007).

Besides maintaining cellular homeostasis, autophagy plays important roles in multiple biological processes including development, aging, and degeneration (Levine and Klionsky, 2004). Not surprisingly, aberrant regulation of autophagy induces many diseases such as cancer, neurodegenerative diseases, and myopathies (Shintani and Klionsky, 2004; Levine and Kroemer, 2008). Recently, autophagy was found to be involved in immunity. It can act as a direct effector by eliminating invading pathogens (Gutierrez et al., 2004; Nakagawa et al., 2004; Deretic, 2005; Ogawa et al., 2005), regulating innate pathogen recognition (Sanjuan et al., 2007; Xu et al., 2007; Delgado et al., 2008), contributing to antigen presentation via major histocompatibility complex (MHC) class II molecules (Dengjel et al., 2005; Paludan et al., 2005; Schmid and Munz, 2007; Schmid et al., 2007), and controlling B- and T-cell development (Li et al., 2006; Pua and He,



2007; Pua et al., 2007; Miller et al., 2008). In this review, we focus on the role of autophagy in innate pathogen recognition and its regulation.

## AUTOPHAGY IN PATHOGEN RECOGNITION

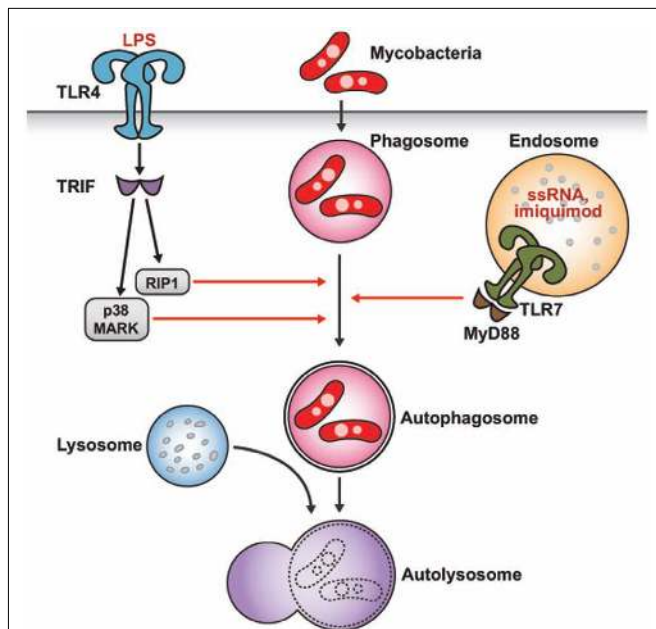
### PAMPs STIMULATING CERTAIN TLRs INDUCE AUTOPHAGY INDUCTION

The innate immune system recognizes only a limited number of microbial molecular structures, so-called pathogen-associated molecular patterns (PAMPs; Iwasaki and Medzhitov, 2004; Akira et al., 2006), which are conserved within the same class of microbes

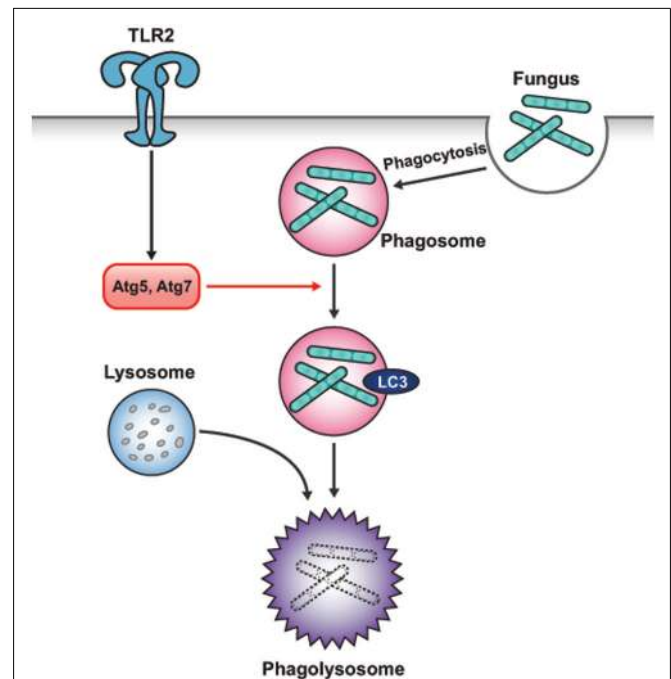
but differ across classes (e.g., viruses, Gram-negative bacteria, Gram-positive bacteria, and fungi). Pattern recognition receptors (PRRs) bind to these conserved structures and initiate downstream signaling pathways. PRRs are located in various sites such as plasma membranes, endosomal vesicles, and cytoplasm, thereby enabling the recognition of various types of microbes in any of these locations. Toll-like receptors (TLRs) are the most well-known PRRs in innate pathogen recognition. TLRs can be divided into two groups based on their locations: cell surface TLRs, TLRs 1, 2, 4, 5, and 6, that mainly recognize bacterial components, and endosomal TLRs, TLRs 3, 7, 8, and 9, that generally recognize viral nucleic acids. All these receptors contain leucine-rich repeats (LRRs) in their extracellular domain for ligand binding, and a cytoplasmic Toll/IL-1 receptor (TIR) homology domain, which is essential for signaling. After recognition of PAMPs, TLRs initiate common or distinct signaling pathways via different kinds of adaptor molecules. All TLRs except TLR3 activate the transcription factors, nuclear factor (NF)- $\kappa$ B and activator protein-1 (AP-1), via MyD88, leading to the production of proinflammatory cytokines. TLR3 and TLR4 activate the transcription factor interferon regulatory factor 3 (IRF3) via TIR domain-containing, adapter-inducing interferon- $\beta$  (TRIF), leading to the production of type I IFNs (Lee and Kim, 2007; Delgado and Deretic, 2009).

Recently, some studies have shown that activation of TLR can lead to induction of autophagy (Xu et al., 2007; Delgado et al., 2008; Shi and Kehrl, 2008). After stimulation with lipopolysaccharide (LPS), TLR4 can induce autophagy in primary human macrophages and in the murine macrophage cell line RAW264.7 (Xu et al., 2007). It was shown that LPS stimulation induces redistribution of LC3 protein from a diffuse to a punctate pattern and increases the levels of the lipidated form of LC3 (LC3-II), both of which are reliable markers of autophagy induction. Interestingly, this LPS-induced autophagy occurs via a TRIF-dependent, MyD88-independent TLR signaling pathway, for which receptor-interacting protein 1 (RIP1) and p38 mitogen-activated protein kinase (MAPK) are required (Figure 2). Moreover, Xu et al. (2007) also reported that LPS-induced autophagy results in mycobacterial colocalization with the autophagosomes, suggesting that autophagy could enhance the elimination of mycobacteria. In another study, mycobacteria elimination has been demonstrated using starvation and rapamycin for autophagy induction (Gutierrez et al., 2004).

A study reporting the effect of TLR agonists on autophagy induction in RAW264.7 macrophages (Delgado et al., 2008) showed that ligands of TLR3, TLR4, and TLR7 could induce autophagy, and those of TLR7 generated the most potent effects (Figure 2). Two different ligands of mouse TLR7, single-stranded RNA (ssRNA) and imiquimod, induced formation of autophagosomes characterized by LC3 puncta formation in murine primary macrophages (Delgado et al., 2008). Induction of autophagy via TLR7 signaling was dependent on MyD88 and required Beclin-1. Moreover, autophagy activation by TLR7 agonists induced killing of intracellular mycobacteria, even though mycobacteria are normally not associated with TLR7 signaling. This ability of pathogen elimination was diminished by siRNA knockdown of Beclin 1, thus depending on autophagy.



**FIGURE 2 | Autophagy induction by TLR stimulation.** Activation of TLR can induce autophagy for pathogen elimination. Stimulation of TLR4 with LPS in a TRIF-dependent, MyD88-independent signaling pathway, and stimulation of endosomal TLR7 with two different TLR7 ligands via the MyD88-dependent pathway induces autophagosome formation and eliminates *Mycobacteria bacilli*, even though mycobacteria are normally not associated with TLR7 signaling. Atg proteins such as Atg5, Beclin-1, and PI3K are required for the formation of an autophagosome.



**FIGURE 3 | Interplay between autophagy and phagocytosis.** TLR signaling can enhance the maturation of phagosomes with the autophagic machinery. LC3 recruitment to phagosomal membranes promotes the fusion of phagosome with lysosome for maturation. However, LC3 recruitment to phagosomal membranes is not associated with the characteristic double-membrane structure of the autophagosomes. The Atg5 and Atg7 proteins are involved in the development of this structure.

### INTERPLAY BETWEEN AUTOPHAGY AND PHAGOCYTOSIS

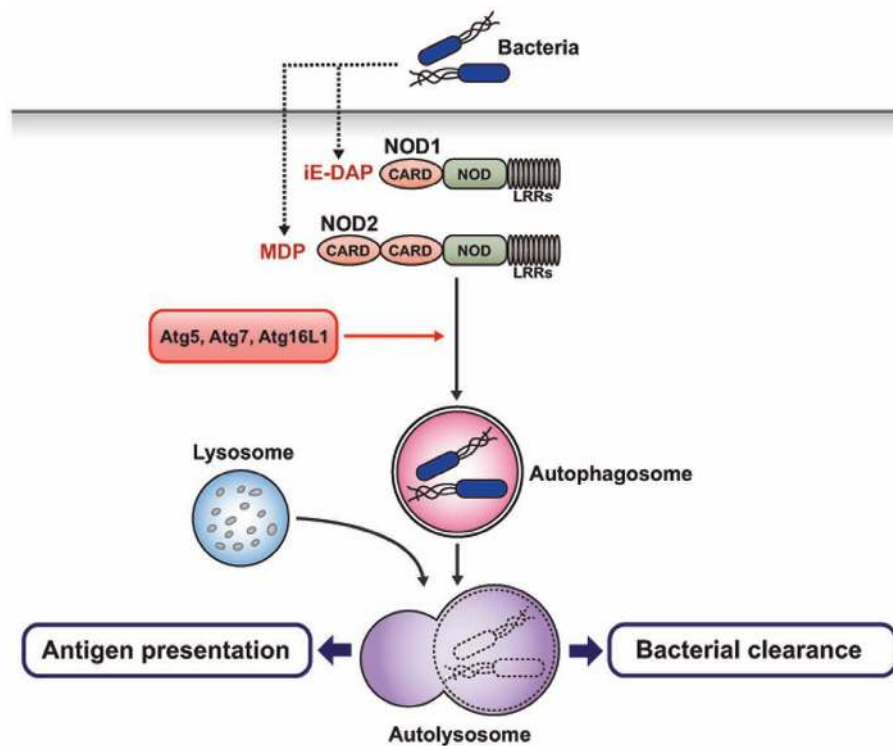
Unlike TLR4- and TLR7-mediated induction of autophagy, which enhances autophagosome fusion with the pathogen-containing phagosomes and promotes elimination of intracellular pathogens, certain TLR signaling pathways enhance the maturation of phagosomes by the autophagic machinery (Sanjuan et al., 2007). Upon phagocytosis of zymosan (a component of the fungal cell wall), phagosomes rapidly recruit LC3 and fuse with lysosomes for maturation (Figure 3). Pam<sub>3</sub>CSK<sub>4</sub> coating of latex beads also induces the rapid recruitment of LC3 to phagosomes in RAW264.7 macrophages. This zymosan-mediated translocation of LC3 to phagosomes was found to be independent of MyD88 but dependent on Atg5 and Atg7. Live *Saccharomyces cerevisiae* engulfed by macrophages survived more frequently in Atg7 knockout macrophages than in wild-type macrophages. Interestingly, LC3 recruitment to phagosomal membranes was not associated with the double-membrane structures characteristic of autophagosomes. Instead, it was associated with phagosome fusion with lysosomes, which enhanced killing of the engulfed pathogens. Collectively, this study has shown a new way of utilizing the autophagic machinery to promote conventional functions of phagocytes after TLR activation, in the absence of autophagosome formation.

### NOD-LIKE RECEPTOR SIGNALING AND AUTOPHAGY

To the best of our knowledge, there are only two reports on the NOD-like receptor (NLR) family in autophagy (Cooney et al., 2010; Travassos et al., 2010). NLRs recognize bacterial cell wall

components (specifically, peptidoglycans) in the eukaryotic cell's cytosol. NLRs are composed of three distinct domains: a C-terminal LRR, a NACHT domain, and an N-terminal effector domain, which mediate ligand sensing, activation of the NLRs, and initiation of downstream signaling, respectively. When NLRs recognize bacterial peptidoglycans, they initiate signaling transduction by recruiting the protein kinases, which, in turn, activate NF- $\kappa$ B and AP-1 leading to production of cytokines and other molecules involved in innate immunity (Lee and Kim, 2007).

Recent studies have shown that activation of NOD2 by muramyl dipeptide (MDP) induces autophagosome formation, which in turn enhances bacterial clearance (Figure 4; Kuma et al., 2004; Travassos et al., 2010). In human DCs, NOD2 stimulated with MDP induces autophagosome formation, which promotes MHC class II-associated antigen presentation. Atg5, Atg7, Atg16L1, and receptor-interacting serine-threonine kinase-2 (RIPK2), the latter being one of the downstream regulators of the NOD2 signaling pathway, are required for autophagosome formation and antigen presentation by MDP (Cooney et al., 2010). Another study also showed that stimulation of NOD1 and NOD2 by bacterial peptidoglycans activates the autophagy pathway in mouse embryonic fibroblasts (MEFs; Travassos et al., 2010). Upon bacterial invasion, NOD2 recruits Atg16L1 to the bacterial entry sites, facilitating bacterial trafficking to the autophagosomes. This, in turn, induces the fusion of the autophagosomes with the



**FIGURE 4 | NOD-like receptor-mediated autophagy induction.** NOD-like receptors recognize bacterial peptidoglycan in the cytosol. C-terminal leucine-rich repeats (LRRs) of NOD1 and NOD2 detect  $\gamma$ -d-glutamyl-*meso*-diaminopimelic acid (iE-DAP) and muramyl

dipeptide(MDP), respectively. NOD1 and NOD2 can induce the autophagosome formation after stimulation with bacterial peptidoglycan, which promotes bacterial clearance and MHC class II-associated antigen presentation. This process depends on Atg5, Atg7, and Atg16L1.

lysosomes to form the autophagolysosomes and promotes antigen presentation via MHC class II molecules. In MEFs, this process does not require the adaptor RIP2 and the transcription factor NF- $\kappa$ B. Interestingly, NOD2 mutation and single-nucleotide polymorphism in the *Atg16L1* gene have been known to be associated with the development of Crohn's disease (Cho and Weaver, 2007; Hampe et al., 2007; Rioux et al., 2007). DCs isolated from patients with Crohn's disease and risk alleles for *NOD2* or *Atg16L1* showed impaired function in autophagy induction and antigen presentation (Cooney et al., 2010). Collectively, these reports revealed a close relationship between two of the most important Crohn's disease-associated susceptibility genes. Furthermore, they functionally link bacterial sensing by NOD proteins to the autophagy pathway.

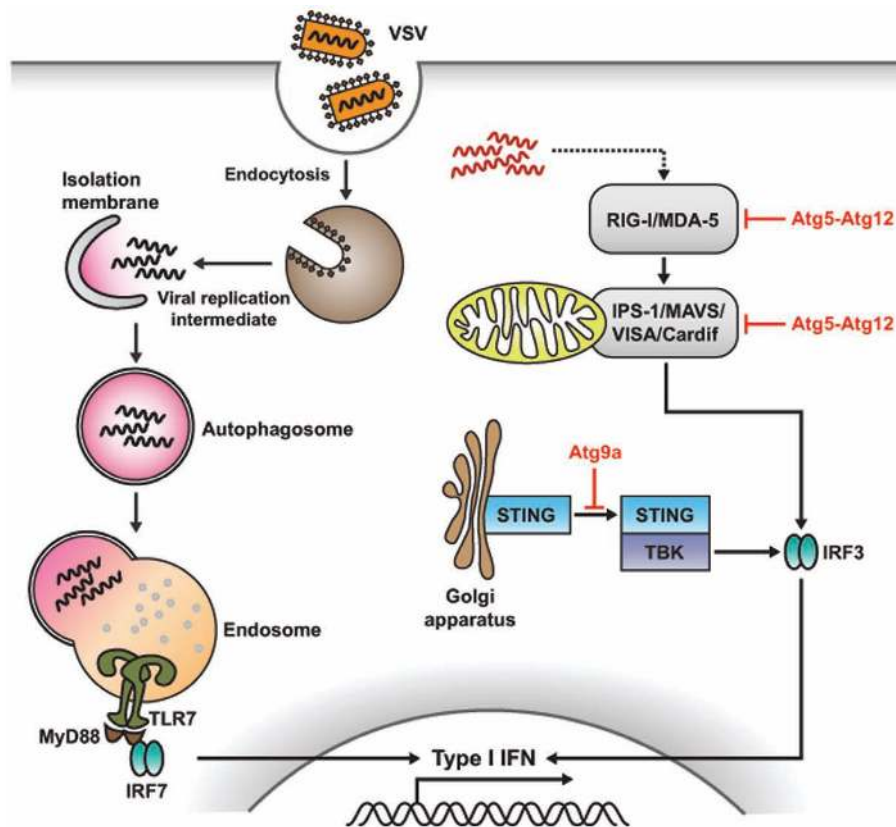
#### ROLE OF AUTOPHAGY IN VIRAL SENSING

In addition to the aforementioned direct effector function, autophagy also works in TLR activation by delivering cytosolic PAMPs to endosomal TLRs (Lee et al., 2007). In plasmacytoid dendritic cells (pDCs), viral RNA or DNA recognized by TLR7 or TLR9, respectively, induces type I IFN and proinflammatory cytokine production. These TLRs are located in the endosomal compartment and sense the viral nucleic acids endocytosed by the host cells (Barton, 2007). In addition to NF- $\kappa$ B and MAPK activation, MyD88, an adaptor molecule for these TLRs, activates IRF7, leading to type I IFN production.

In the case of vesicular stomatitis virus (VSV), the replicating virus rather than the viral genome is required to initiate TLR7 signaling and produce IFN- $\alpha$  in pDCs. However, how these cytosolic replication intermediates gain access to the endosomal compartment where TLR7 resides is not completely known. A recent study demonstrated that cytosolic PAMP is delivered by autophagy to the lysosomes for TLR7 recognition (Figure 5; Lee et al., 2007). Thus, Atg5-deficient pDCs fail to sense VSV via TLR7, and are unable to secrete IFN- $\alpha$  and IL-12p40. Consequently, mice lacking Atg5 fail to defend themselves from systemic VSV infection in vivo. Moreover, IFN- $\alpha$  production is impaired in Atg5-deficient pDCs in response to herpes simplex virus-1 (HSV-1), which is recognized by TLR9, while IL-12 response remained intact in these cells. Thus, autophagy plays a critical role in the induction of innate immune responses by delivering viral replication intermediates from the cytosol to the endosome for recognition after ssRNA virus infection (Lee and Iwasaki, 2008; Tal and Iwasaki, 2009; Yordy and Iwasaki, 2011).

#### NEGATIVE REGULATION OF INNATE IMMUNE RESPONSES VIA AUTOPHAGY

The autophagic machinery plays key roles other than activating PRR signaling. In contrast to viral recognition in pDCs, which is mediated by endosomal TLRs, most of the other cell types utilize cytosolic sensors such as those encoded by retinoic acid-inducible gene I (*RIG-I*) and melanoma differentiation associated



**FIGURE 5 | Role of autophagy in antiviral immune response.**

Autophagy and Atg proteins are required for viral sensing and regulation of antiviral immune responses. In pDCs, autophagy mediates the recognition of viral infection by delivering the viral replication intermediates in the cytosol to lysosomes, where TLR recognition occurs, which, in turn, enhances type I IFN production. However, in non-pDCs, such as mouse embryonic fibroblasts (MEFs), autophagy negatively regulates type I IFN production in response to viral infection. Atg5-Atg12 conjugates block RLR

signaling by direct CARD-mediated association with RIG-I and IPS-1, resulting in the suppression of type I IFN production. In the case of dsDNA recognition, STING, a multispanning membrane protein, is translocated from the ER to the Golgi apparatus and assembled with TBK1, which phosphorylates the transcription factor IRF3, resulting in the production of type I IFN. During this process, Atg9a, an essential component of autophagy, colocalizes with STING in the Golgi apparatus, where it controls the assembly of STING.

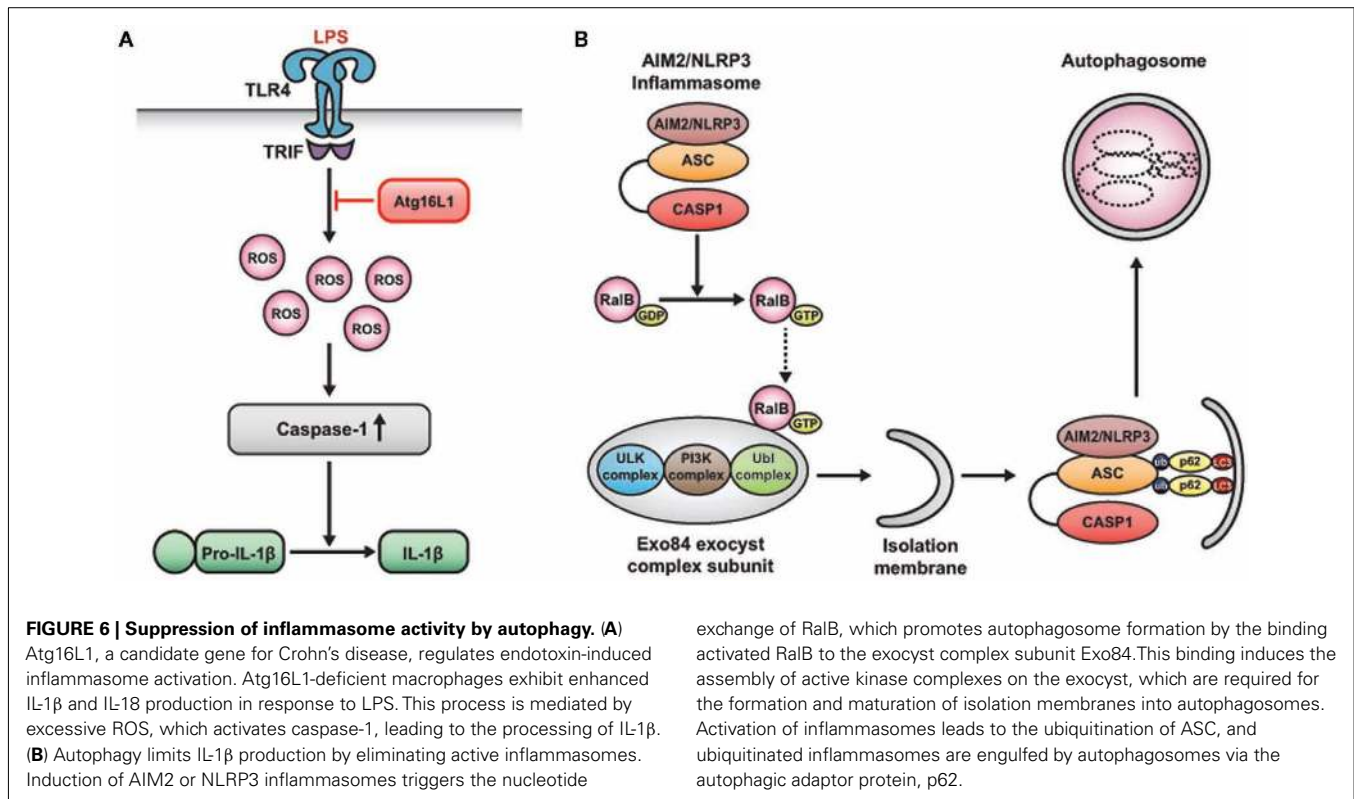
gene 5 (*MDA-5*) to detect the virus invasion (Yoneyama et al., 2004, 2005; Foy et al., 2005). RIG-I and MDA-5, both of which are RIG-I-like receptors (RLRs), contain a DExD/H box RNA helicase domain for ligand recognition and two caspase-recruiting domains (CARDs) for initiating downstream signaling. When these CARD-containing RNA helicases recognize double-stranded RNA (dsRNA), which is synthesized during active viral replication in the cytosol, signals through IFN- $\beta$  promoter stimulator-1 [IPS-1; also known as mitochondrial antiviral signaling (MAVS), virus-induced signaling adaptor (VISA), or Cardif] activate the transcription factors IRF-3 and NF- $\kappa$ B, and subsequently lead to the production of type I IFN. IPS-1 is an essential adaptor molecule consisting of an N-terminal CARD domain (through which it associates with RIG-I and MDA-5) and a C-terminal domain (for mitochondrial localization; Lee and Kim, 2007).

A recent study revealed that Atg5-Atg12 conjugates, essential components of the autophagic process, regulate innate viral recognition by RIG-I and MDA-5 in MEFs (Figure 5; Jounai et al., 2007). Atg5- and Atg7-deficient MEFs, lacking Atg5-Atg12 conjugates, overproduce type I IFN in response to VSV. Conversely,

biochemical analysis indicated that Atg5-Atg12 conjugates block RLR signaling by direct CARD-mediated association with RIG-I and IPS-1; additionally, they suppress type I IFN production. Thus, autophagy-related proteins involved in RLR-mediated viral sensing repress type I IFN response, acting as negative regulators of antiviral responses.

Similarly, in another report, Atg5-deficient cells showed overproduction of type I IFN through enhanced RLR signaling pathway (Tal et al., 2009). That study also showed that dysfunctional mitochondria and mitochondria-associated IPS-1 were accumulated in the absence of autophagy. In Atg5-deficient cells, reactive oxygen species associated with dysfunctional mitochondria may potentiate RLR signaling, which can be blocked by antioxidant treatment. Thus, autophagy plays important roles in the balanced regulation of innate antiviral response by acting as a scavenger of dysfunctional mitochondria.

Another recent study showed that *Atg16L1*, a candidate gene for Crohn's disease, is involved in endotoxin-induced inflammatory activation in mice (Figure 6A; Saitoh et al., 2008). *Atg16L1* is an essential component of the autophagosome. It forms a



complex with Atg5–Atg12 conjugates and induces LC3-PE conjugation (Fujita et al., 2008). Atg16L1-deficient macrophages exhibit enhanced IL-1 $\beta$  and IL-18 production in response to LPS. This process is mediated by TRIF-dependent activation of caspase-1, which, in turn, activates IL-1 $\beta$  production. Moreover, mice lacking Atg16L1 in hematopoietic cells are highly susceptible to dextran sulfate sodium-induced acute colitis, which is alleviated by the injection of anti-IL-1 $\beta$  and IL-18 antibodies (Saitoh et al., 2008). Hence, Atg16L1 plays an important role in negatively regulating endotoxin-induced inflammatory immune responses.

Very recently, an interesting study showed the relationship between autophagy and inflammasome activity. This study suggested that autophagy induced by inflammatory signals targets ubiquitinated inflammasomes for destruction, thereby limiting IL-1 $\beta$  production (Figure 6B; Shi et al., 2012). The activation of AIM2 or NLRP3 inflammasomes in macrophages triggers nucleotide exchange on RalB, thereby effecting autophagosome assembly (Bodemann et al., 2011; Shi et al., 2012). Autophagic adaptors such as p62 (sequestosome 1) and neighbor of BRCA1 gene (NBR1), which have ubiquitin-associated (UBA) domains and LC3-interacting regions (LIR), recognize ubiquitinated molecules and facilitate their elimination by autophagy (Johansen and Lamark, 2011; Deretic, 2012). Various kinds of intracellular pathogens are recognized by different kinds of autophagic adaptors and thereby eliminated by autophagy (Dupont et al., 2009; Zheng et al., 2009; Orvedahl et al., 2010; Mostowy et al., 2011). Similarly, in the present study, assembled inflammasomes were ubiquitinated, and these ubiquitinated complexes were recruited by the autophagic adaptor p62, which assisted in their entry into the autophagy pathway. Thus, autophagy limits inflammasome

activity by eliminating active inflammasomes, and this tempers inflammation.

The exact mechanisms underlying recognition of dsDNA derived from bacteria or DNA viruses are still unclear, and so are the subsequent immune responses. Nonetheless, it becomes apparent that stimulation with dsDNA induces the production of type I IFNs and other inflammatory cytokines (Stetson and Medzhitov, 2006; Charrel-Dennis et al., 2008). Recent studies indicated that translocation and assembly of stimulator of IFN genes (STING) and TANK-binding kinase 1 (TBK1) are required for the induction of type I IFN responses (Ishikawa and Barber, 2008; Jin et al., 2008; Zhong et al., 2008; Sun et al., 2009). STING is a multispanning membrane protein, which is translocated from the endoplasmic reticulum to the Golgi apparatus after sensing dsDNA, followed by assembly with TBK1, which phosphorylates the transcription factor IRF3, leading to the production of type I IFNs. During this process, Atg9a colocalizes with STING in the Golgi apparatus, where it controls the assembly of STING (Saitoh et al., 2009). In Atg9a-deficient MEFs, but not in Atg7- and Atg16L1-deficient MEFs, the translocation of STING from the Golgi apparatus to the cytoplasmic punctate structures, and its assembly with TBK1, are greatly enhanced. This, in turn, induces aberrant activation of type I IFN responses (Saitoh and Akira, 2010). Overall, these findings underline a role for Atg9a in the regulation of innate immune responses.

## CONCLUSIONS

Recent studies have demonstrated that autophagy acts as an important regulator of immune responses. In addition to elimination of intracellular pathogens by its original function of

degradation (xenophagy), autophagy can be involved in innate pathogen recognition and modulate the downstream signaling pathway. Autophagy promotes the clearance of cytosolic pathogens via autophagosome formation, which is elicited by TLR sensing. Autophagic machinery is utilized to enhance phagosome-lysosome fusion and efficiently eliminate extracellular phagocytosed pathogens. Moreover, autophagy can promote adaptive immune responses such as MHC class II-restricted antigen presentation after bacterial sensing via NLRs. In case of viral recognition, autophagy delivers the cytosolic replication intermediates to the lysosomes, where recognition by the endosomal TLRs occurs, thus enhancing the production of type I IFN essential for antiviral response. However, autophagy does not play a role in enhancing pathogen elimination alone. It can also negatively regulate the signaling pathway mediating pathogen recognition and elimination. In case of viral recognition by cytosolic viral sensors such as RLRs, autophagy represses the signaling downstream of the innate immune response, such as that mediating the production of type I IFN. Autophagy-related proteins, including Atg16L1, are also involved in the regulation of endotoxin-induced inflammasome activation, which has been associated with Crohn's disease. Recent

advances in the study of autophagy have largely helped understanding the mode of function of the autophagic machinery in PRR-mediated innate pathogen recognition and its regulation. Considering the complexity of autophagy function in immunity, it is still unclear whether activation or suppression of autophagy could have therapeutic benefits in the treatment of infectious diseases or inflammatory disorders such as Crohn's disease. A better understanding of the modulation of the immune system by autophagy is essential to unveil new therapeutic avenues in the future.

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