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# MOLECULAR PROPERTIES OF EXCITED ELECTRONIC STATES: THE $\tilde{a}$ $^3A''$ AND $\tilde{A}$ $^1A''$ STATES OF FORMALDEHYDE

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## DISCLAIMER

Molecular Properties of Excited Electronic States:

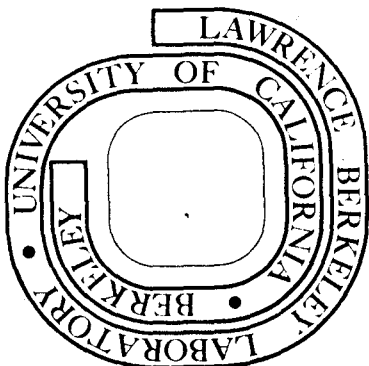The $\tilde{a}$ $^3A''$ and $\tilde{A}$ $^1A''$ States of Formaldehyde

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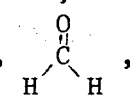
# ABSTRACT

Ab initio self-consistent-field wave functions and

molecular properties have been calculated for the three

lowest electronic states of $H_2CO$. For the ground state,

a variety of basis sets were used, the largest being an

uncontracted gaussian basis: C(11s 7p 2d), O(11s 7p 2d),

H(6s 1p). For the excited states the above basis was

contracted to C(7s 5p 2d), O(7s 5p 2d), H(4s 1p). Ground

state molecular properties agree well with the earlier

theoretical study of Neumann and Moskowitz, and with avail-

able experimental data. The z components (along the CO

bond axis) of the excited state dipole moments have been

measured, and the present a priori predictions reproduce

experiment rather closely. Other properties reported

include quadrupole moments, octupole moments, and electric

field gradients.

## INTRODUCTION

One of the most important recent trends in chemical physics has been the development of new experimental and theoretical methods for studying the excited electronic states of molecules.[1] Since excited state properties are often strikingly different from their ground state counterparts, the results of experiments on excited states sometimes force us to reevaluate our thoughts concerning the nature of molecular structure and properties.

As one of the earliest studied examples, consider the lowest two excited states of formaldehyde. For reference, we note the planar $C_{2v}$ structure[2] of the ground state,

$$\begin{array}{c} O \\ \| \\ C \\ / \backslash \\ H \quad H \end{array}$$

with $r(CO) = 1.208$ Å, $r(CH) = 1.116$ Å, $\theta(HCH) = 116° 31'$. The electron configuration for the $^1A_1$ ground state may be written[3]

$$1a_1^2 \; 2a_1^2 \; 3a_1^2 \; 4a_1^2 \; 1b_2^2 \; 5a_1^2 \; 1b_1^2 \; 2b_2^2 \qquad (1)$$

In both excited states, the geometries are quite different;[3-5] in fact both are nonplanar, with the methylene group tilted out of the plane defined by the ground state molecular structure. For the lowest triplet state, this out of plane angle is $\sim 35°$, while it is $\sim 31°$ for the first excited singlet state.[3] Although these two states would be labeled $^3A_2$ and $^1A_2$ if they retained the ground state's $C_{2v}$ equilibrium geometry, these labels are not appropriate since only a plane of symmetry is retained. Hence the states are properly designated $\tilde{a} \; ^3A''$ and $\tilde{A} \; ^1A''$ and both arise from the electron configuration

$$1a'^2 \; 2a'^2 \; 3a'^2 \; 4a'^2 \; 1a''^2 \; 5a'^2 \; 6a'^2 \; 2a'' \; 7a' \qquad (2)$$
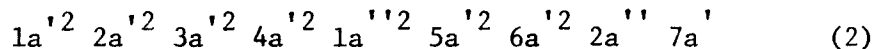
Note that the half-filled $2a''$ and $7a'$ orbitals correlate

with the $C_{2v}$ orbitals $2b_2$ and $2b_1$, the latter being unoccupied

in the ground state. Finally, it should be mentioned that

the CO distance in the two excited states is more than 0.1 Å

longer than for the ground state: $\tilde{a}$ 1.312 Å, $\tilde{A}$ 1.323 Å.

The above example illustrates some of the interesting

relationships that have been established between ground and

excited state molecular geometries. For other properties,

however, less is known about excited states. For example,

there are only a small number of polyatomic molecules for

which excited state electric dipole moments have been measured.

Again, one of the systems for which experiments have been

possible is the $\tilde{A} \; ^1A''$ state of $H_2CO$. There Freeman and

Klemperer[6a] have obtained $\mu_z = 1.56 \pm 0.07$ debyes from the Stark

effect of the near-ultraviolet absorption spectrum. For com-

parison, the $^1A_1$ ground state dipole moment[7] is 2.323 ± 0.015

debye, or 0.76 ± 0.09 debye larger than the excited state value.

In addition Buckingham, Ramsay, and Tyrrell[6b] have measured $\mu_z$

for the $\tilde{a}$ state and obtained 1.29 ± 0.03 debye.

The purpose of the present paper is to report near Hartree-

Fock values of several molecular properties of the $\tilde{a}$ and $\tilde{A}$ states

of formaldehyde. In addition to the dipole moment, known experi-

mentally for both states, we report a number of properties (e.g.

molecular quadrupole moments and electric field gradients) which

are of interest but very difficult to measure for excited

electronic states.  To evaluate the reliability of the

predicted properties, the ground state $H_2CO$ properties

have also been calculated using a variety of basis sets.

## Basis Sets and Geometries

Four basis sets were used in the present work. They have been labeled A, B, C, and D. In each case analogous basis sets were used for C and O.

A.    C,O(9s 5p 1d/5s 3p 1d), H(5s 1p/3s 1p).

Here the primitive gaussian basis sets of Huzinaga[8] have been contracted following Dunning's recommendations.[9]

Each of the hydrogen gaussian exponents $\alpha$ was multiplied by a scale factor of $(1.2)^2 = 1.44$. The carbon and oxygen d functions were assigned[10] $\alpha = 0.8$, and the hydrogen p functions $\alpha = 1.0$.

B.    C,O(10s 6p 1d/6s 4p 1d), H(6s 1p/4s 1p).

This basis was assembled in an analogous fashion, except that the hydrogen primitive s set was taken from van Duijneveldt[11] and contracted (3111), with the three highest exponents grouped together.

C.    C,O(11s 7p 2d/7s 5p 2d), H(6s 1p/4s 1p).

The C and O primitive basis sets of van Duijneveldt[11] were contracted to provide maximum flexibility in the valence region. The d exponents chosen were $\alpha = 2.0$ and $0.5$. The hydrogen basis was identical to B.

D.    Same as C., but completely uncontracted.

In the next section we will be referring to the earlier theoretical studies of Dunning, Winter, and McKoy[12] (hereafter designated DWM) and Neumann and Moskowitz[13] (NM). Therefore, it should be noted that DWM used an uncontracted C,O(9s 5p);

H(3s) basis, while NM employed a C,O(10s 5p 2d/5s 3p 2d),

H(4s 1p/2s 1p) set.

For the ground state, the experimental geometry of Oka[2]

was adopted.  For the excited states, we used the geometries

recommended by Herzberg.[3]  In addition to the parameter given

in our introduction, we assumed $r(CH) = 1.09$ Å and $\theta(HCH) =$

119° for both $\tilde{a}$ and $\tilde{A}$ states.  The molecular plane (for the

ground state) was taken to be the xz plane, with the CO bond

coincident with the z axis.

## Energy Results

The energy quantities obtained in the present research

are summarized in Table I.

The best previously reported ground state SCF energy for

$H_2CO$ is that of Neumann and Moskowitz (NM),[13] -113.8917 hartrees.

The geometry chosen for the present work was identical to that

of NM, and Table I shows that our calculations A, B, and C

represent successive improvements on the NM total energy.

Calculations were also carried out for a second (nearby)

geometry, that used by Dunning, Winter, and McKoy (DWM):[12]

$r(CO) = 1.21$ Å, $r(CH) = 1.12$ Å, $\theta(HCH) = 118°$.  The energy

obtained by DWM was -113.8334 hartrees, whereas the present

basis sets yielded -113.89883 (A), -113.90741(B), -113.91439

(C), and -113.91455(D).  Note that the final result (basis

set D) employed the large uncontracted basis set.  Comparison

of results C and D at this second geometry indicates the

magnitude of the small contraction error in basis C, namely

0.00016 hartree.

The basis D atomic SCF energies obtained by van Duijneveldt[11] were C(-37.68820 hartrees), O(-74.80849 hartrees), and H(-0.49995 hartrees). These may be compared with the estimated[10] Hartree-Fock energies (-37.6886, -74.8094, and -0.5 hartrees, respectively) to yield an SCF error of $\sim$ 0.0013 hartrees for the separated atoms, basis set D. The other principle deficiency in our $H_2CO$ basis is the lack of additional polarization functions. Based on the $H_2O$ study of Clementi and Popkie[14] using even larger basis sets, it is possible that this additional error could be as large as 0.008 hartrees. In any case, it seems very unlikely that the present basis set C results yield total energies more than 0.01 hartrees above the exact Hartree-Fock energies. Thus we estimate the Hartree-Fock energy of ground state $H_2CO$ at its equilibrium geometry[2] to be not lower than -113.925 hartrees. This result is significantly higher than the semi-empirically predicted Hartree-Fock energy, -114.0309 hartrees, of Hollister and Sinanoglu.[15]

Since all three basis sets yield SCF energies lower than any previously reported, it is not too surprising that the orbital energies obtained are very similar. Since basis A is small enough to be used for considerably larger molecules, we note the differences $\varepsilon(A) - \varepsilon(C)$: -0.0007, +0.0009, +0.0001, +0.0013, +0.0007, +0.0028, +0.0016, and +0.0015 hartrees. It is seen that except for the oxygen core orbital, the larger

basis set lowers the orbital energies.  The largest difference,
for the $5a_1$ orbital, is still rather modest, 0.0028 hartrees =
0.076 eV.  Thus it would appear that basis A yields $\epsilon$ values
rather close to the true Hartree-Fock values.

For the ground state, the predicted Koopmans' theorem
ionization potentials may be compared with photoelectron
spectroscopy results.[16]  The four highest adiabatic i.p.'s
are 10.9, 14.1, 15.9, and 16.3 eV, as compared with the present
(vertical) theoretical results 12.0, 14.6, 17.8, and 18.8 eV.
The predicted order is correct[16] except for interchange of the
$1b_2$ and $5a_1$ orbital energies.  The fact that the Koopmans'
theorem i.p.'s all lie higher than experiment could in large
part be remedied by direct hole state calculations[17] on the
appropriate states of $H_2CO^+$.

Both excited states are predicted to lie about 1 eV below
the experimental[3] excitation energies $T_o$.  This would appear
to imply that the pair correlation energies[10] associated with
the $7a'$ orbital are relatively small.  In any case, the cal-
culations verify the low-lying nature of these $n \rightarrow \pi^*$ electronic
states.

Another interesting point concerning the excited states
is the shifting (relative to the ground state) of the ordering
of orbital energies.  While $\epsilon(1a'') < \epsilon(5a')$ for the ground
state, the opposite is true for both excited states.  An even
more dramatic change occurs for the $2a''$ orbital, where $\epsilon$ is
lowered by $\sim$ 5 eV relative to the ground state.  This causes

the ordering of the $6a'$ and $2a''$ orbital energies to switch relative to the ground state. It would certainly be signifi-cant if these kinds of qualitative changes could be verified experimentally. What would be required, of course, is the photoelectron spectrum of either of the two excited electronic states.

## Molecular Properties

Table II gives the predicted one-electron properties of formaldehyde. There it is seen that the Hartree-Fock limit of the dipole moment is $\sim$ 2.86 debye, a full 0.5 debye greater than experiment. Limited variation of the polarization function exponents did not significantly affect the predicted dipole. The earlier ab initio calculations of DWM and NM gave quali-tatively similar results, 3.03 and 2.82 debye, respectively. This error in the Hartree-Fock dipole moment of $H_2CO$ is analogous to CO, where McLean and Yoshimine[18] found a dis-crepancy of 0.39 debye with experiment. Green[19] has given an excellent discussion of the effects of electron correlation on ab initio dipole moments.

Much better agreement with experiment was obtained for both excited states. Our value of 1.470 debye for $u_z$ (Ã) is in good agreement with Freeman and Klemperer's 1.56 ± 0.07 debye. The polarity of both ground and excited state dipoles is $^+CO^-$. Our $|\mu|$ value of 1.557 debye is obtained as the vectorial sum of i) a 1.470 debye contribution along the CO axis, and ii) an 0.513

debye out-of-plane contribution. The $\tilde{a}$ $^3A''$ dipole is predicted
to be quite similar, with a slightly greater out-of-plane contri-
bution ($\mu_y$ = 0.604 debye), consistent with the 35° (vs. 31° for
the $\tilde{A}$ state) methylene tilt angle.[3] The calculated $u_z$ (1.321
debye) agrees with the experimental value,[6b] 1.29 ± 0.03 debye.

The elements of the ground state quadrupole moment tensor
are all rather small. This is due to the near cancellation of
the nuclear and electronic contributions. Our basis C $\theta$ values
are within 0.05 x $10^{-26}$ esu of those reported earlier by NM.[13]
However, it appears that the DWM[12] values of $\theta_{xx}$ (0.57 x $10^{-26}$ esu)
and $\theta_{zz}$ (-0.61 x $10^{-26}$ esu) are noticeably greater in magnitude
than the true Hartree-Fock values. All the theoretical results
are in qualitative agreement with the experimental values of
Huttner, Lo, and Flygare:[20] $\theta_{xx}$ = -0.60 ± 0.70, $\theta_{yy}$ = 1.35 ±
0.70, $\theta_{zz}$ = -0.75 ± 0.80 x $10^{-26}$ esu. Note that our coordinate
system is somewhat different from those of previous workers.

The excited state quadrupole moments are predicted to be
much larger, and hence subject to much smaller percentage errors.
Note the nonvanishing $\theta_{yz}$ element, due to the lower symmetry of
the excited states. The remarkable similarity of the $\tilde{a}$ and $\tilde{A}$ $\theta$
values provides additional evidence for the conclusion that the
electronic structures of these two states are essentially identical.

The ground state octupole moments are rather insensitive
to basis set, and again quite similar to those predicted by NM.
There are many more nonzero elements of the excited state
octupole moment tensors, and the magnitudes are substantial
and similar for the $\tilde{a}$ and $\tilde{A}$ states.

A final set of properties of particular interest are the
electric field gradients q, related to experiment via the
quadrupole coupling constants eqQ   where Q is the nuclear
electric quadrupole moment.  The predicted field gradients
are seen to be relatively independent of basis set.
This is particularly encouraging because when smaller basis
sets are used field gradients can vary significantly from one
calculation to the next.[10]  In addition our field gradients are
in good agreement with those of NM.  From Flygare's work,[21]
the experimental values of $q_{xx}(0)$ = 2.19 a.u. and $q_{zz}(0)$ =
-0.34 are known.  These experimental values assume the nuclear
quadrupole moment of $O^{17}$ to be 0.024 barns.[22]  For both elements
of q, the discrepancy with experiment is $\sim$ 0.1 a.u.  Like the
results of NM,[13] our hydrogen field gradients are very close
to Flygare's experimental values,[21] reported in the bond axis
system.

In light of the basis set stability and good agreement
with experiment for the ground state, we expect the excited
state field gradients to be meaningful, perhaps within 0.1 a.u.
of the (unknown) exact values.  Thus it is interesting to note
that the excited state q(H) values are rather similar to those
for the ground state.  This implies that this n $\rightarrow$ $\pi^*$ excitation
has little effect on the electron distribution in the vicinity of
the hydrogen atoms.  This conclusion is of course consistent
with a picture of the $2b_2$ (n) orbital as a bonding combination
of C and O $2p_x$ functions and the $2b_1$ ($\pi^*$) as an antibonding

combination of C and O $2p_y$ functions. This same picture can be used to justify the very significant differences between the ground and excited state q(C) and q(O) elements.

We have also computed a number of other properties, including the potential, electric field vector, and charge density at each nucleus. Although not reproduced here, these properties are available in our complete report of this work.[23]

## Concluding Remarks

The present results for the $\tilde{X}$ $^1A_1$ ground state of $H_2CO$ confirm the conclusion of Neumann and Moskowitz that their earlier reported properties are rather close to the limiting Hartree-Fock results. The ground state results are of additional value to us, as we intend to calculate the interaction potential between He and $H_2CO$ using basis set C. The dynamics of rotational excitation of $H_2CO$ by He is a problem of considerable astrophysical interest.[24]

Our results for the $\tilde{a}$ and $\tilde{A}$ states represent perhaps the first near-Hartree-Fock theoretical study of polyatomic excited state molecular properties. The agreement with the experimental dipole moments is impressive, and we hope that this work will encourage experimental studies of other properties of these two fascinating excited electronic states.

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The IBMOL-6, ALCHEMY, and MOLECULE computer programs were used in this work. We thank Drs. E. Clementi, P. S. Bagus,

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Table I. Energy results for the ground and first two excited states of formaldehyde.
Unless indicated all energies are in hartrees (1 hartree = 27.21 eV.)
Experimental excitation energies[3] are in parentheses.

| | State | $^1A_1$ | $^1A_1$ | $^1A_1$ | $^3A''$ | $^1A''$ |
|---|---|---|---|---|---|---|
| | Basis | A | B | C | C | C |
| Total energy | | -113.89937 | -113.90794 | -113.91494 | -113.83469 | -113.82476 |
| Excitation energy (eV) | | 0.00 | 0.00 | 0.00 | 2.18 (3.12) | 2.45 (3.50) |
| Orbital energies | | | | | | |
| $1a_1$ $(1a')$ | | -20.5780 | -20.5786 | -20.5773 | -20.6323 | -20.6257 |
| $2a_1$ $(2a')$ | | -11.3457 | -11.3479 | -11.3466 | -11.2815 | -11.2853 |
| $3a_1$ $(3a')$ | | - 1.4067 | - 1.4080 | - 1.4068 | - 1.3804 | - 1.3717 |
| $4a_1$ $(4a')$ | | - 0.8657 | - 0.8669 | - 0.8670 | - 0.8678 | -. 0.8686 |
| $1b_2$ $(1a'')$ | | - 0.6908 | - 0.6921 | - 0.6915 | - 0.6320 | - 0.6300 |
| $5a_1$ $(5a')$ | | - 0.6503 | - 0.6514 | - 0.6531 | - 0.6443 | - 0.6401 |
| $1b_1$ $(6a')$ | | - 0.5351 | - 0.5367 | - 0.5367 | - 0.5865 | - 0.5815 |
| $2b_2$ $(2a'')$ | | - 0.4408 | - 0.4423 | - 0.4423 | - 0.6272 | - 0.6185 |
| $2b_1$ $(7a')$ | | - | - | . - | - 0.3576 | - 0.3601 |

Table II. Molecular properties of formaldehyde. Multipole moments were calculated with respect to the center of mass in each case. The actual expressions for the quantum mechanical expectation values are given in D. Neumann and J. W. Moskowitz, J. Chem. Phys. $\underline{49}$, 2056 (1968). Unless indicated, properties are in atomic units. For conversion factors between atomic units and conventional units, see S. Rothenberg and H. F. Schaefer, J. Chem. Phys. $\underline{53}$, 3014 (1970).

| Property | State | $^1A_1$ | $^1A_1$ | $^1A_1$ | $^3A''$ | $^1A''$ |
|---|---|---|---|---|---|---|
|  | Basis | A | B | C | C | C |
| Dipole moment (debyes) |  |  |  |  |  |  |
| $u_y$ |  | – | – | – | 0.604 | 0.513 |
| $u_z$ |  | -2.840 | -2.881 | -2.859 | -1.321 | -1.470 |
| $\lvert u \rvert$ |  | 2.840 | 2.881 | 2.859 | 1.453 | 1.557 |
| Quadrupole moment ($10^{-26}$ esu) |  |  |  |  |  |  |
| $\theta_{xx}$ |  | 0.246 | 0.247 | 0.238 | 2.455 | 2.448 |
| $\theta_{yy}$ |  | -0.122 | -0.092 | -0.199 | -1.917 | -1.901 |
| $\theta_{zz}$ |  | -0.124 | -0.155 | -0.039 | -0.538 | -0.548 |
| $\theta_{yz}$ |  | – | – | – | -0.372 | -0.329 |

Table II (Continued)

Octupole moment

| | | | | | |
|---|---|---|---|---|---|
| $\Omega_{yyy}$ | - | - | - | -2.63 | -2.37 |
| $\Omega_{zzz}$ | 0.65 | 0.71 | 0.97 | -0.93 | -1.04 |
| $\Omega_{xxy}$ | - | - | - | 0.57 | 0.46 |
| $\Omega_{xxz}$ | -2.49 | -2.47 | -2.71 | -2.26 | -2.17 |
| $\Omega_{yyz}$ | 1.84 | 1.75 | 1.75 | 3.19 | 3.21 |
| $\Omega_{yzz}$ | - | - | - | 2.06 | 1.91 |

Electric field gradients

| | | | | | |
|---|---|---|---|---|---|
| $q_{xx}(H)$ | -0.146 | -0.144 | -0.150 | -0.195 | -0.195 |
| $q_{yy}(H)$ | 0.126 | 0.123 | 0.130 | 0.137 | 0.143 |
| $q_{zz}(H)$ | 0.020 | 0.021 | 0.021 | 0.058 | 0.052 |
| $q_{xy}(H)$ | - | - | - | -0.118 | -0.106 |
| $q_{xz}(H)$ | 0.173 | 0.170 | 0.178 | 0.163 | 0.169 |
| $q_{yz}(H)$ | - | - | - | 0.059 | 0.055 |

Table II (Continued)

| | | | | | |
|---|---|---|---|---|---|
| $q_{xx}(C)$ | 0.305 | 0.312 | 0.317 | 0.163 | 0.176 |
| $q_{yy}(C)$ | -0.635 | -0.638 | -0.651 | 0.078 | 0.058 |
| $q_{zz}(C)$ | 0.330 | 0.326 | 0.334 | -0.241 | -0.235 |
| $q_{yz}(C)$ | - | - | - | 0.016 | 0.021 |
| $q_{xx}(O)$ | 2.280 | 2.316 | 2.302 | - 2.138 | - 2.233 |
| $q_{yy}(O)$ | - 1.860 | - 1.851 | - 1.867 | 2.290 | 2.524 |
| $q_{zz}(O)$ | - 0.420 | - 0.465 | - 0.435 | - 0.152 | - 0.291 |
| $q_{yz}(O)$ | - | - | - | - 0.046 | - 0.017 |