
MultiCarrier Digital Communications Theory and Applications of OFDM

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Contents

Preface	xv
Acknowledgements	xviii
1 Introduction to Digital Communications	1
1.1 Background	1
1.2 Evolution of OFDM	5
2 System Architecture	15
2.1 Wireless Channel Fundamentals	15
2.1.1 Path Loss	16
2.1.2 Shadowing	17
2.1.3 Fading Parameters	18
2.1.4 Flat Fading	21

2.1.5	Frequency Selective Fading	22
2.1.6	Fast fading	22
2.1.7	Slow fading	23
2.1.8	Rayleigh Fading	23
2.1.9	Ricean Fading	23
2.2	Digital Communication System Fundamentals	26
2.2.1	Coding	26
2.2.2	Modulation	28
2.3	Multi-Carrier System Fundamentals	32
2.4	DFT	34
2.5	Partial FFT	39
2.6	Cyclic Extension	41
2.7	Channel Estimation	43
2.8	Modelling of OFDM for Time-Varying Random Channel	46
2.8.1	Randomly Time-Varying Channels	46
2.8.2	OFDM in Randomly Time-Varying Channels	49
3	Performance over Time-Invariant Channels	53
3.1	Time-Invariant Non-Flat Channel with Colored Noise	53
3.2	Error Probability	54
3.3	Bit Allocation	58
3.4	Bit and Power Allocation Algorithms for Fixed Bit Rate	64

4	Clipping in Multi-Carrier Systems	67
4.1	Introduction	67
4.2	Power Amplifier Non-Linearity	69
4.3	Error Probability Analysis	71
4.3.1	System Model	75
4.3.2	BER Due to Clipping	76
4.4	Performance in AWGN and Fading	85
4.5	Bandwidth regrowth	91
5	Synchronization	97
5.1	Timing and Frequency Offset in OFDM	97
5.2	Synchronization & System Architecture	102
5.3	Timing and Frame Synchronization	103
5.4	Frequency Offset Estimation	105
5.5	Phase Noise	106
6	Channel Estimation and Equalization	115
6.1	Introduction	115
6.2	Channel Estimation	115
6.2.1	Coherent Detection	117
6.2.2	Block-Type Pilot Arrangement	120
6.2.3	Comb-Type Pilot Arrangement	124
6.2.4	Interpolation Techniques	125
6.2.5	Non-coherent detection	128

6.2.6	Performance	128
6.2.7	Channel estimation for MIMO-OFDM	132
6.3	Equalization	135
6.3.1	Time Domain Equalization	136
6.3.2	Equalization in DMT	140
6.3.3	Delay Parameter	144
6.3.4	AR Approximation of ARMA Model	144
6.3.5	Frequency Domain Equalization	147
6.3.6	Echo Cancellation	150
6.3.7	Appendix - Joint Innovation Representation of ARMA Models	158
7	Channel Coding	165
7.1	Need for Coding	165
7.2	Block Coding in OFDM	166
7.3	Convolutional Encoding	171
7.4	Concatenated Coding	177
7.5	Trellis Coding in OFDM	178
7.6	Turbo Coding in OFDM	183
8	ADSL	187
8.1	Wired Access to High Rate Digital Services	187
8.2	Properties of the Wire-Pair Channel	188
8.3	ADSL Systems	198

9	Wireless LAN Applications	201
9.1	Introduction	201
9.1.1	Background	201
9.1.2	Pros and Cons	202
9.2	Topology	204
9.2.1	Independent BSS	204
9.2.2	Infrastructure BSS	206
9.2.3	Services	209
9.3	Architecture	211
9.4	Medium Access Control	215
9.4.1	DCF Access	219
9.4.2	Markov Model of DCF	225
9.4.3	PCF	231
9.5	Management	237
9.5.1	Synchronization	237
9.5.2	Scanning	238
9.5.3	Power Management	239
9.5.4	Security	240
9.6	IEEE 802.11 and 802.11b Physical Layer	242
9.6.1	Spread Spectrum	243
9.6.2	FHSS Physical Layer	245
9.6.3	DSSS Physical Layer	247
9.6.4	IR Physical Layer	250

9.6.5	HR/DSSS Physical Layer	250
9.6.6	RF Interference	251
9.7	IEEE 802.11a Physical Layer	252
9.7.1	OFDM Architecture	253
9.7.2	Transmitter	254
9.7.3	Receiver	267
9.7.4	Degradation Factors	272
9.8	Performance of 802.11a Transceivers	273
9.8.1	Data Rate	274
9.8.2	Phase Noise	276
9.8.3	Channel Estimation	277
9.8.4	Frequency Offset	278
9.8.5	IQ Imbalance	279
9.8.6	Quantization and Clipping Error	281
9.8.7	Power Amplifier Nonlinearity	283
9.8.8	Hard or Soft Decision Decoding	284
9.8.9	Co-channel Interference	284
9.8.10	Narrowband Interference	286
9.8.11	UWB Interference	286
9.8.12	Performance of 64QAM	287
9.9	Rate Adaptation	289
9.10	Zero IF Technology	290
9.11	IEEE 802.11e MAC Protocol	291

9.11.1	MAC services	292
9.11.2	MAC architecture	293
9.11.3	Hybrid coordination function (HCF)	293
9.11.4	HCF controlled channel access	297
9.11.5	Admission Control	298
9.11.6	Block Acknowledgement	299
9.11.7	Multi-rate support	299
9.11.8	Direct Link Protocol	299
9.12	HIPERLAN/2	300
9.12.1	Protocol Architecture	302
9.12.2	Data Link Control	302
9.12.3	Convergence Layer	308
9.12.4	HIPERLAN/2 vs 802.11a	308
9.13	MMAC-HiSWAN	310
9.14	Overview of IEEE 802.11 Standards	310
9.14.1	IEEE 802.11c - Bridge Operation Procedures	310
9.14.2	IEEE 802.11d - Global Harmonization	311
9.14.3	IEEE 802.11f - Inter Access Point Protocol	311
9.14.4	IEEE 802.11g - Higher Rate Extensions in the 2.4GHz Band	311
9.14.5	IEEE 802.11h - Spectrum Managed 802.11a	312
9.14.6	IEEE 802.11i - MAC Enhancements for En- hanced Security	313

9.14.7	IEEE 802.1x - Port Based Network Access Control	314
9.14.8	IEEE 802.1p - QoS on the MAC Level	314
10	Digital Broadcasting	315
10.1	Broadcasting of Digital Audio Signals	315
10.2	Signal Format	318
10.3	Other Digital Broadcasting Systems	321
10.3.1	DAB in the U.S.A	321
10.4	Digital Video Broadcasting	322
11	OFDM based Multiple Access Techniques	325
11.1	Introduction	325
11.2	OFDM-FDMA	327
11.3	OFDM-TDMA	328
11.4	Multi Carrier CDMA (OFDM-CDMA)	329
11.5	OFDMA	334
11.5.1	OFDMA Architecture	335
11.5.2	Resource Allocation Regarding QoS	339
11.5.3	Resource Allocation Regarding Capacity	340
11.6	Flash-OFDM	341
11.7	OFDM-SDMA	344
12	Ultra WideBand Technologies	347
12.1	Impulse Radio	348

12.2 Multiband Approach	350
12.3 Multiband OFDM	350
13 IEEE 802.16 and WiMAX	355
13.1 Introduction	355
13.2 WiMAX	356
13.3 WirelessMAN OFDM	357
13.4 802.16 MAC	359
13.5 Conclusion	360
14 Future Trends	361
14.1 Comparison with Single Carrier Modulation	361
14.2 Mitigation of Clipping Effects	363
14.3 Overlapped Transforms	364
14.4 Advances in Implementation	368
Bibliography	371
List of Figures	391
List of Tables	403
Index	405

*We dedicate this book
to our families...*

Preface

Multi-carrier modulation, Orthogonal Frequency Division Multiplexing (OFDM) particularly, has been successfully applied to a wide variety of digital communications applications over the past several years. Although OFDM has been chosen as the physical layer standard for a diversity of important systems, the theory, algorithms, and implementation techniques remain subjects of current interest. This is clear from the high volume of papers appearing in technical journals and conferences.

Multi-carrier modulation continues to evolve rapidly. It is hoped that this book will remain a valuable summary of the technology, providing an understanding of new advances as well as the present core technology.

The Intended Audience

This book is intended to be a concise summary of the present state of the art of the theory and practice of OFDM technology. The authors believe that the time is ripe for such a treatment. Particularly based on one of the author's long experience in development of wireless systems (AB), and the other's in wireline systems (BS), we have attempted to present a unified presentation of OFDM performance and

implementation over a wide variety of channels.

It is hoped that this will prove valuable both to developers of such systems and to researchers and graduate students involved in analysis of digital communications.

In the interest of brevity, we have minimized treatment of more general communication issues. There exist many excellent texts on communication theory and technology. Only brief summaries of topics not specific to multi-carrier modulation are presented in this book where essential. As a background, we presume that the reader has a clear knowledge of basic fundamentals of digital communications.

Highlights of the Second Edition

During the past few years since the publication of the first edition of this text, the technology and application of OFDM has continued their rapid pace of advancement. As a result, it became clear to us that a new edition of the text would be highly desirable. The new edition provides an opportunity to make those corrections and clarifications whose need became apparent from continued discussions with many readers. However, the main purpose is to introduce new topics that have come to the forefront during the past few years, and to amplify the treatment of other subject matter.

Because of the particularly rapid development of wireless systems employing OFDM, we have introduced a section early in the text on wireless channel fundamentals. We have extended and modified our analysis of the effects of clipping, including simulation results that have been reported in a recent publication. These new results are restated here. A section on channel estimation has been added to the chapter on equalization. The chapter on local area networks has been greatly expanded to include the latest technology and applications. Two totally new chapters are added, on OFDM multiple access tech-

nology and on ultra wideband technology.

Organization of This Book

We begin with a historical overview of multi-carrier communications, wherein its advantages for transmission over highly dispersive channels have long been recognized, particularly before the development of equalization techniques. We then focus on the bandwidth efficient technology of OFDM, in particular the digital signal processing techniques that have made the modulation format practical. Several chapters describe and analyze the sub-systems of an OFDM implementation, such as clipping, synchronization, channel estimation, equalization, and coding. Analysis of performance over channels with various impairments is presented.

The book continues with descriptions of three very important and diverse applications of OFDM that have been standardized and are now being deployed. ADSL provides access to digital services at several Mb/s over the ordinary wire-pair connection between customers and the local telephone company central office. Digital Broadcasting enables the radio reception of high quality digitized sound and video. A unique configuration that is enabled by OFDM is the simultaneous transmission of identical signals by geographically dispersed transmitters. And, the new development of wireless LANs for multi-Mb/s communications is presented in detail. Each of these successful applications required the development of new fundamental technology.

Finally, the book concludes with describing the OFDM based multiple access techniques and ultra wideband technology.

Acknowledgements

The two authors of the first edition of this text are very pleased to include our colleague as an additional author, and gratefully acknowledge his extensive contributions in making this second edition possible.

The first edition of this text has been used for classes in University of California Berkeley, Stanford University, University of Cambridge, CEI-Europe and in other institutions. We are grateful to colleagues in the institutions where this book have been used. For the first edition, we acknowledge the extensive review and many valuable suggestions of Professor Kenji Kohiyama, our former colleagues at AT&T Bell Laboratories and colleagues at Algorex. Gail Bryson performed the very difficult task of editing and assembling this text. The continuing support of Kambiz Homayounfar was essential to its completion.

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Last, but by no means least, we are thankful to our families for their support and patience.

Despite all our efforts to keep the text error free, for any that remain, any comments, corrections and suggestions received will be much appreciated for the future printings. We can be reached via e-mail at *bahai@stanford.edu*, *bsaltzberg@worldnet.att.net*, and *ergen@eecs.berkeley.edu*. We will post any corrections and comments at the Web site ***<http://ofdm.eecs.berkeley.edu/>*** in addition to the support materials that may be necessary to prepare a lecture or paper.