# Multi-Objective Reinforcement Learning-based Deep Neural Networks for Cognitive Space Communications

### CCAA Workshop 2017

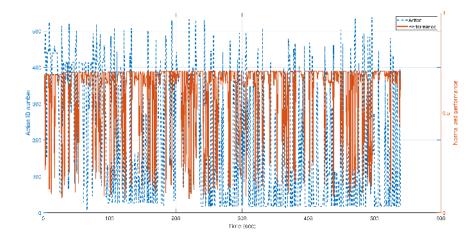
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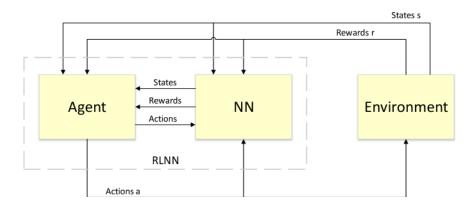
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P. V. R. Ferreira, R. Paffenroth, A. M. Wyglinski, T. M. Hackett, S. Bilén, R. Reinhart, and D. Mortensen, "Multi-Objective Reinforcement Learning for Cognitive Radio-Based Satellite Communications," in 34th AIAA International Communications Satellite Systems Conference, October 2016.

RLNN: a neural network-based reinforcement learning method



Reinforcement learning *Q*-function equations:

• State-Action-Reward-State-Action (SARSA)

$$Q_{k+1}(s_k, a_k) = Q_k(s_k, a_k) + \alpha[r + \gamma Q(s_{k+1}, a_{k+1}) - Q(s_k, a_k)]$$
(1)

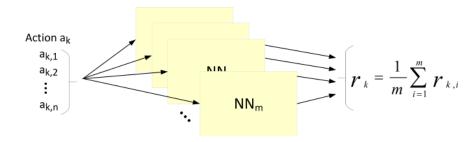
• Time-Difference

$$Q_{k+1}(s_k, a_k) = Q_k(s_k, a_k) + \alpha [r + \gamma \max_{a} Q_k(s_{k+1}, a) - Q_k(s_k, a_k)]$$
(2)

• Proposed equation for SATCOM

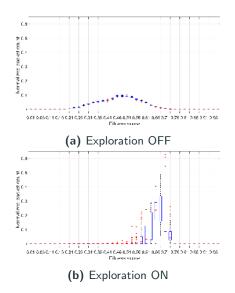
$$Q_{k+1}(s_k, a_k) = Q_k(s_k, a_k) + \alpha[r_k - Q_k(s_k, a_k)]$$
(3)

Ensemble of deep neural networks



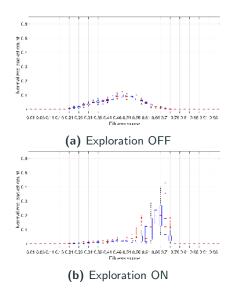
## **Simulation results**

#### Exploration probability $\epsilon = 0.5$ , $w_i = 1/6$



## **Simulation results**

#### Exploration probability $\epsilon = 1/k$ , $w_i = 1/6$



- Hybrid ML-based multi-objective radio resource allocation RLNN
  - Virtual exploration enables control over:
    - Performance levels while exploring actions
    - Time spent exploring very "bad" actions
- RLNN is independent of exploration probability function
- Improvements of up to  $3.9\times$  on packets experiencing performance values higher than 0.55

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- Performance threshold
  - 95% of current maximum performance predicted by NN
- Rejection probability = 1

## Backup

$$f_{obs}(x) = w_1 f_{\rm Thrp} + w_2 f_{\rm BER} + w_3 f_{\rm BW} + w_4 f_{\rm Spc\_eff} + w_5 f_{\rm Pwr\_eff} + w_6 f_{\rm Pwr\_con}$$
(4)

Throughput

$$f_{Thrp} = R_s * k * c \tag{5}$$

 $\mathsf{Bandwidth}$ 

$$f_{BW} = R_s * (1 + \beta) \tag{6}$$

Spectral efficiency

$$f_{Spc\_eff} = k * c/(1 + \beta)$$
(7)

Power efficiency

$$f_{Pwr\_eff} = (k * c) / ((10^{(E_s/N_0)/10)} * R_s)$$
(8)

Additional consumed power

$$f_{Pwr\_con} = E_s * R_s \tag{9}$$

#### Table 1: Adaptable parameters

Parameter	Variable	Value range
Modulation order	M	[4, 8, 16, 32]
Bits per symbol	k	[2,3,4,5]
Encoding rate <sup>1</sup>	Ē	[1/4 - 9/10]
Roll-off factor	$\bar{\beta}$	[0.2, 0.3, 0.35]
Bandwidth	ΒĪΨ	[0.5 – 5] MHz
Symbol rate	$\bar{R_s}$	[0.41 : 0.1 : 3.7] MSamples/sec
Additional Tx $E_s/N_0$	$\bar{E}_s$	[0 : 1 : 10] dB

 $<sup>^1\</sup>mathrm{Diff}\mathrm{erent}$  modulation schemes use different encoding rate sets