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Multi-scale sensing of antibody-antigen interactions by organic transistors and single molecule force spectroscopy

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ABSTRACT

Antibody-antigen recognition in real label-free electronic biosensors is studied by a multi-scale approach combining measurements with an electrochemically-gated organic field-effect transistor (EGOFET) and single molecule force spectroscopy. Detection of anti-inflammatory cytokine, interleukin-4 (IL-4), down to 5 nM concentration is achieved using gate electrodes functionalized with IL-4 antibodies on different adhesion layers. Single force spectroscopy on the same electrodes reveals that (un-)binding force between IL-4 on the tip and its antibodies grafted onto the surface is distributed according to a chi-square distribution with mean force ≈ 100 pN and standard deviation ≈ 57 pN, independently on the adhesion layer used. Its positive skewness reflects the finite probability of multiple specific bonds between tip and surface. The control experiments (where specific binding is prevented either by the absence of the antibody or by a different antigen at the tip) yield significantly different distributions and absence of multiple binding events. The highest probability of specific binding (about 30%) is observed on Au electrodes functionalized with His-tagged protein G (PG), revealing that PG yields the largest areal density of oriented (thus available for recognition events) antibodies. The enhanced sensitivity observed in EGOFET functionalized with protein G lies in the strong electrostatic coupling of the highly oriented IL-4 antibodies with the charge carriers in the transistor channel.

1. INTRODUCTION

Immunosensing exploits one of nature's most optimized molecular recognition mechanisms, namely the interaction between an antigen (Ag) and its specific antibody (Ab)¹. The large binding constant is exploited in Enzyme-Linked ImmunoSorbent Assay (ELISA) to detect the presence of biomarkers in bodily fluids whose concentration can be below picomolar level².

Detecting Ab-Ag interactions with a label-free sensing scheme requires the integration of bio-recognition moieties at a solid-liquid interface and their coupling with the transducer. The transduction of the molecular binding event should occur with minimum, if not any, further chemical amplification or development steps³. This is particularly relevant for point-of-care applications and in-field deployed sensors. Sensitivity and specificity both depend on how the bio-recognition groups are made available to the target and on the coupling between environment and transducer.

Among label-free immunosensors, mechanical and electronic transductions have been demonstrated⁴⁻⁸. In the case of an electronic sensor, several phenomena induced by the bio-recognition event might be exploited: local changes of electrostatic potential⁹, density of charge carriers, conductivity¹⁰, impedance¹¹, capacitance¹². Simplicity of instruments and low cost are factors that make electronic transduction favoured for single-shot applications¹³. Device sensitivity is optimized by tuning the Debye length scale in biological fluids to the size of the specific binding pair. However the modulation of the Debye length is not always doable¹⁴⁻¹⁶, especially in bodily fluids.

Mechanical sensors, such as quartz crystal microbalance (QCM)⁵ and cantilevers⁶, detect changes of mass, binding/unbinding forces and viscoelastic response. They are effective in those

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3 regimes where electronic sensing does not provide enough sensitivity. However the interpretation
4 of the device output in terms of specific molecular interactions is not usually straightforward.
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9 Quantification, reproducibility and standardization are open issues in label-free
10 immunosensing. They require multi-scale control from nanometer to hundreds of micrometers of
11 the density, orientation and functionality of the recognition moieties on the sensing area of the
12 device. Open questions, that also represent technological challenges, include: how to control the
13 density of active Abs; what fraction of Abs gives rise to specific bio-recognition events; what is
14 the detection limit of the device in terms of number of recognition events; how to make the
15 device more effective, sensitive, and specific.
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25 In this Paper, we propose a multi-scale approach, combining local and non-local
26 techniques that serve to quantify antibody-antigen recognition events in label-free electronic
27 biosensors. Our approach combines an electrolyte-gated organic field effect transistor
28 (EGOFET) (Fig.1) and single molecule force spectroscopy (SFS) (Fig. 2) to assess specific
29 recognition on device-relevant Au surfaces in the limit of strong electrostatic screening regime.
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37 In EGOFET the gate electrode immersed in the electrolyte solution is functionalized with
38 a bio-recognition moiety. In the presence of the target molecule in solution, a number of local
39 binding events at the gate electrode leads to a potential change¹⁷⁻¹⁹. This change affects the
40 electrostatic potential at the electrolyte solution/organic semiconductor interface, which
41 couples to the semiconductor channel via the capacitance C_{DL} of the Debye-Helmholtz layer.
42 Being C_{DL} on the order of 10-20 $\mu\text{F}/\text{cm}^2$,²⁰ EGOFET responds to changes of potential as low as
43 50-100 μV ²¹. These correspond approximately to a few recognition events per 100x100 nm^2
44 area of the device. Considering an active channel area $A=W\cdot L$ given by width W multiplied by
45 length L , EGOFET with $A=1 \text{ mm}^2$ responds to 10-100 million recognition events occurring on
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3 the device. EGOFETs were shown to transduce signals in neuronal cell populations, sub-nM
4 concentration of neurotransmitters²² and DNA²³, local pH changes (9mV/pH)²⁴, penicillin²⁵
5 and biotin-streptavidin hybridization²⁶.
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10 SFS is instead sensitive to a few single antibody-antigen interactions. In SFS, the force
11 dependence on the probe-surface distance, termed force curve, is recorded. A force curve
12 exhibits regions where a smooth variation vs the distance is interrupted by abrupt changes.
13 These “jumps” are interpreted as the rupture of single or multiple bonds that were formed
14 because of molecular recognition interactions. The value of the forces measured by SFS
15 depends on both the loading rate^{27,28} and the relevant electrostatic interactions²⁹. Being the
16 latter anisotropic, the orientation of the recognition moiety on the surface is important. This
17 orientation may substantially vary depending on the protocol adopted for immobilizing the
18 recognition group on the surface. SFS has been successfully applied to measure the forces
19 between ligands and receptors^{30,31}, antibody-antigen^{32,33}, to investigate the unfolding of
20 proteins³⁴, protein stability³⁵, the interaction between carbohydrates³⁶, and cell adhesion³⁷.
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36 Here the analytical target is interleukin-4 (IL4), an anti-inflammatory cytokine relevant in
37 several pathologies³⁸⁻⁴¹. We adopt IL4 monoclonal antibody as the specific recognition moiety
38 in EGOFET and SFS. Two distinct Ab-immobilization protocols on the gate electrode have
39 been compared. The first is based on 6-aminohexanethiol (HSC6NH2) monolayer activated by
40 glutaraldehyde^{42,43}. This functional approach guarantees chemical binding between the metal
41 and the side chains of the lysine residues in the Ab; however, it does not allow one to control
42 the Ab orientation due to the natural abundance of lysine in the Ab backbone. The second
43 strategy exploits the recombinant His-Tagged PG, whose N-terminus side is tailored by a 6-
44 histidine chain (6-His-Tag). His-Tags are well-known to bind polycrystalline Au^{44,45}. This
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3 affinity was already exploited for the fabrication of nano-mechanical motors based on the
4 grafting of F1-ATPase on gold substrates⁴⁶. His-Tagged PG forms an oriented layer which
5 promotes Ab immobilization on the Au electrode⁴⁷. Neutron reflectometry, light interferometry
6 and ellipsometry show that Abs on PG-functionalized ideal surfaces form smooth
7 monolayers⁴⁸. Antibodies are oriented by the specific interaction of PG with the fragment
8 crystallizable region (Fc) that forces Ab to expose its binding sites to the environment^{49,50}.
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11 Our results show a substantial difference in EGOFET and SFS responses to IL-4 when its
12 antibody is immobilized on 6-His-Tagged PG/Au electrodes. EGOFET detects changes of
13 charge mobility and threshold voltage for IL-4 concentrations as low as 5 nM. No change is
14 detected on electrodes functionalized with HSC6NH2. SFS experiments allow us to estimate a
15 larger probability of specific recognition events ($P_{sb} \approx 30\%$) occurring on PG-functionalized
16 electrodes with respect to the control samples ($P_{sb} < 10\%$). Specific binding events display a
17 mean (un-)binding force ≈ 99 pN, and ≈ 109 pN for PG- and HSC6NH2-functionalized ones,
18 respectively. The respective dissociation time constants are in the 50:1 ratio. This evidence
19 demonstrates that Ab-Ag pairs with larger conformational stability are more likely formed on
20 PG functionalized electrodes, as a result of higher orientational order of the Ab on PG-
21 functionalized Au electrodes.
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43 These results show the direct correlation between SFS measurements at the single
44 molecule level and the electronic response of the EGOFET that is caused by a change of
45 electrostatic potential on mm^2 channel. The evolution of this approach leads to a methodology
46 for optimization and reproducibility of label-free biosensors.
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2. RESULTS AND DISCUSSION

2.1 Electrochemical measurements

The result of the functionalization of Au electrodes was first assessed by cyclic voltammetry and impedance spectroscopy (see Fig. 3) by monitoring the changes in the faradaic response of the ferricyanide redox probe, $[\text{Fe}(\text{CN})_6]^{3-/4-}$. In the case of HSC6NH₂-functionalized Au electrodes, cyclic voltammetry displays an increase of the peak-to-peak distance from 60 mV to >250 mV upon changing the pH of the solution from neutral to basic values (Fig. 3a). This indicates a dramatic slowing down of the electron transfer, not observed on bare Au, that is consistent with the presence of the amino-terminated SAM on the electrode surface⁵¹.

We monitored PG adsorption onto polycrystalline Au electrodes by means of impedance spectroscopy (Fig. 3b). The data fitted with Randles circuit (see Experimental Section 4.3) show that the capacitance (C_{DL}) decreases from 11.3(±0.2) μF to 2.1(±0.1) μF and R_{CT} increases from 12.8(±0.2) Ω to 270(±3) Ω. This indicates that PG is adsorbed onto Au electrode. The orientation of the adsorbed PG is assessed by measuring the impedance changes upon incubation of the PG-functionalized electrode in a 400 mM imidazole solution for 30 min. We observe a capacitance increase to 2.7(±0.1) μF, along with a dramatic decrease of R_{CT} down to 63(±0.1) Ω. These changes evidence partial desorption of His-Tagged PG from the gold electrode, thus proving that His-Tag mediates the PG assembly on Au (see Fig. 3b).

Both strategies are effective for immobilizing of anti-IL4 on the surface as apparent from Fig. 4 and the data reported in Table S1. For both electrode functionalizations, we observe a significant increase of the charge transfer resistance R_{CT} upon incubation in anti-IL4 solution. The capacitance change is consistent with the Ab adsorption for the HSC6NH₂-functionalized

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3 electrode. The capacitance exhibits no significant change in the case of PG-functionalized
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6 electrode.
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8 9 **2.2 Tapping mode AFM**

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12 The ability of both functionalization protocols to lead to Ab immobilization onto the gold
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14 substrate has been evaluated by tapping mode AFM in air and PBS medium. The surface
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16 topography of a typical bare gold sample recorded in air is displayed in Fig. 5a. The sample
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18 consists of few tens nm-diameter gold grains formed by thermal sublimation, with a maximum
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20 height of 10 nm and root mean square (RMS) roughness of 1.1 nm. PG adsorption (Fig. 5b)
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22 yields a smoother surface of reduced height and roughness. Incubation of the sample with the
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24 anti-IL4 solution leads to an increase of the maximum height by 3.5 nm and roughness by 0.39
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26 nm (Fig. 5c). The height difference is in good agreement with the size of IgG antibodies
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28 adsorbed with an orientation consistent with the so-called Y configuration previously reported in
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30 air⁵².
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36 The RMS roughness for the different functionalization steps (Fig. 5d) follows the same trend
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38 both in air and in phosphate buffer solution (PBS). There is a decrease upon PG deposition that is
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40 followed by an increase of 0.39 nm in air and 0.61 nm in PBS as a result of the antibody
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42 immobilization.
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47 48 **2.3 Single force spectroscopy**

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51 After assessing the successful immobilization of anti-IL4 on modified gold substrates, bio-
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53 molecular recognition has been studied by means of SFS using probes functionalized with IL-4
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55 linked to the tip by a flexible PEGylated chain (see Experimental Section 4.4). The binding
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3 forces between the specific probe and anti-IL4 bound to the electrode surface were extracted
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5 from series of repeated force curves acquired on a 32 x 32 points grid on an area of 1 μm x 1 μm
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7 (see Experimental Section 4.4).
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10 Fig. 6a and Fig. 6b show the 2D-histograms containing the number of events with a given
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12 unbinding force (F_{ub}) and unbinding length (L_{ub}) for PG- and HSC6NH2-functionalized
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14 electrodes, respectively. These histograms cluster together the curves with similar values of the
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16 unbinding forces and unbinding distance. Each point (represented as an hexagon) in the 2D
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18 histograms contains the force curves corresponding to different types of events. As an example,
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20 Fig.s 6c and 6d show a representative force curve for one of the hexagons in Fig.s 6a and 6b. The
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22 noisy and adhesive behavior observed in the force curves is related to the fact that the
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24 measurements were performed on a real technological surface like polycrystalline gold, instead
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26 of prototypical substrates such as mica, which is atomically flat on large areas and more
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28 homogenous.
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33 Regarding unbinding lengths, all events occur in the 20-40 nm range with a dispersion ranging
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35 from 10 to >50 nm corresponding to the PG-coated Au surface. The most probable unbinding
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37 lengths occur in the 10-20 nm range with dispersion ranging from 10 to 35 nm for anti-
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39 IL4/HSC6NH2/Au surface. We infer that for PG/Au electrodes unbinding events are more spread
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41 out at different lengths, and their unbinding distance is further away from the surface. This
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43 observation is consistent with the presence of a larger fraction of oriented antibodies in a
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45 standing Y-shape configuration⁵³, since in this case we expect that the unbinding event take place
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47 further away from the surface. Additionally, the Fab fragments of an IgG antibody are linked to
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49 the Fc fragment through di-sulphide bonds, resulting in an increased flexibility of these
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51 fragments⁵⁴. Thus, an antibody in Y-shape configuration is less constrained by the substrate,^hich
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3 explains the broader distribution of unbinding events in distance in the case of highly-oriented
4 anti-IL4. For HSC6NH2 functionalization, our results suggest that the anti-IL4 is randomly
5 oriented.
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11 We then performed a statistical analysis of the large dataset of (un-)binding forces F_{ub} . As
12 shown in Fig.6e, both anti-IL4/PG/Au and anti-IL4/HSC6NH2/Au, along with the crosscheck
13 sample (namely, tip functionalized with IL6 and Au electrode coated with anti-IL4) yield
14 apparently skewed histograms of F_{ub} . This is not surprising because the data sets have been
15 filtered out the aspecific events occurring at $F_{ub} \leq 20$ pN. The size of the bin of each histogram is
16 calculated depending on the number of curves N_{SB} in the data set giving rise to (high-force)
17 specific (un-)binding (SB). This number changes from sample to sample and therefore the sizes
18 of histograms in Fig. 6 are different. Specifically, the number of bins in each histogram is chosen
19 as $N_{bin} = \text{NINT}(3.49 \cdot \sigma / N_{SB}^{1/3})$, with NINT being the nearest integer round-off, and σ the standard
20 deviation of the data set. The bin size of each histogram is given by $\Delta F = (F_{max} - F_{min}) / N_{bin}$, where
21 F_{max} , F_{min} are the boundary values of the force range measured experimentally. The value of the
22 histogram is normalized to the Specific Unbinding Probability Density (SUPD):
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$$(1) \text{ SUPD}(F_k) \approx 100 \frac{N_{SB}}{N_{TOT}} \left[\frac{1}{\Delta F} \frac{N_k}{N_{SB}} \right]$$

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42 where N_k is the number of curves in the k -th bin whose unbinding force F_{ub} falls within $F_k \pm \Delta F/2$.
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46 Its integral vs F_{ub} across the data set is estimated as the summation on the histogram bins
47 multiplied by ΔF . The summation index runs from 1 to k_{max} , k_{max} being the index corresponding
48 to $F_{k_{max}}(F_{ub})$. The Specific Unbinding Probability $\text{SUP}(F_{max}) = 100 \cdot N_{SB} / N_{TOT}$ is the asymptotic
49 limit of the curves shown in Fig. 6f:
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$$(2) \text{ SUP}(F_{ub}) = \int_0^{F_{ub}} \text{SUPD}(F) dF \approx 100 \left[\sum_{k=1}^{k_{\max}} \frac{N_k}{N_{TOT}} \right]$$

The skewness (standardized third moment) of each data set is found to be significant as its values largely exceed the corresponding Gaussian distribution estimator $\sqrt{6/N_{SB}}$ ⁵⁵. The three data sets exhibit a mean force value $\langle F_{ub}^* \rangle (\pm \sigma)$ equal to 98(± 55) pN, 109(± 59) pN and 80(± 39) pN for PG, HSC6NH2, and crosscheck samples respectively. We have inserted these values as parameters in the functional^{56,57},

$$(3) \text{ SUPD}(F_{ub}) = 100 \cdot \frac{N_{SB}}{N_{tot}} \cdot \chi^2(F_{ub}) = 100 \cdot \frac{N_{SB}}{N_{tot}} \frac{1}{2^p \Gamma(p)} \left(\frac{\sqrt{p} \cdot F_{ub}}{\sigma} \right)^{p-1} \exp\left(-\frac{\sqrt{p} \cdot F_{ub}}{\sigma} \right)$$

Eq. 3 describes a chi-square distribution normalized to the overall probability to detect a specific

(un-)binding event. Here the parameters are: $p = \left(\frac{\langle F_{ub}^* \rangle}{\sigma} \right)^2$, $\Gamma(p)$ is the gamma function. The

trends of SUPD, depicted as continuous curves overlapping the histograms in Fig. 6e, show a conformational adherence within the force range from 20 pN to 300 pN⁵⁸⁻⁶². It is clear that the SUPD curves for both PG and HSC6NH2 are alike, and can be mapped one onto another by a simple vertical rescaling. On the other hand, they are substantially different from the one of crosscheck sample that has the same shape but whose peak is displaced at lower force values, and they are radically different from the one of the control sample (bare Au) that does not exhibit an apparent skewness. By looking at the SUP it is clear that anti-IL4/PG/Au induces a three-times larger frequency of specific binding events than the sample anti-IL4/HSC6NH2/Au (see Fig.6f). These values can be interpreted as the result of the effective coverage of functional Abs on the respective surfaces, viz. PG yields a 30% coverage of available Abs for recognition of

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3 IL4, whereas HSC6NH2 only 10%. The comparison between the two immobilization strategies
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5 has been reproduced with another antibody-antigen pair, viz. interleukin-6 (IL-6)/anti-IL6 pair,
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7 yielding even more marked differences in recognition probability (see Fig.S5 Supporting
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9 Information). Noticeably, the SUP of HSC6NH2 is comparable to that of crosscheck sample,
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11 although it appears that the latter is contributed to events whose force is below 200 pN. This
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13 implies that in the crosscheck sample it is possible to observe single specific recognition events,
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15 but not multiple ones, conversely to the other cases. The distribution related to bare gold shows a
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17 different trend, and the SUP is much lower than all the other distributions. This means that IL4
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19 poorly interact with un-functionalized Au, as expected.
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26 In order to gain insights into the energy landscape of the bound complexes, we carried
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28 out experiments at different retraction velocities. According to the Bell-Evans model, the force of
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30 a single-energy barrier in the thermally activated regime scales up with the logarithm of the
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32 loading rate²⁸ (see Supporting Information 4.4):
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$$(4) \quad F_{ub} = \frac{k_B T}{x_\beta} \ln \left(\frac{\nu x_\beta}{k_{off} k_B T} \right)$$

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36 Here F_{ub} is the most probable unbinding force, ν the loading rate, x_β the position of the energy
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38 barrier along the reaction coordinate, k_{off} is the dissociation constant at zero force and $k_B T$ is the
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40 thermal energy.
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44 The associated values for the Bell-Evans model parameters such as the reaction length x_β and the
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46 lifetime of the complex $\tau=1/k_{off}$ are reported in Table II. The value of the $k_{off}=4*10^{-3} \text{ s}^{-1}$,
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48 corresponding to $\tau=206 \text{ s}$ for PG-based functionalization, is in good agreement with the values
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50 observed in literature for specific antigen-antibody pairing characterized by single molecule
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52 force spectroscopy^{58,63}. For HSC6NH2-functionalization, we obtain $k_{off}=0.209 \text{ s}^{-1}$, corresponding
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3 to a lifetime $\tau=4.78$ s. The almost two orders of magnitude ratio of the k_{off} indicates that the
4 antigen fits more steadily the antibody when the latter is immobilized onto the PG substrate, as
5 compared to the HSC6NH2-functionalization^{64,65}. This yields the increased binding affinity
6 between IL4/anti-IL4 when PG is used for the antibody immobilization. As far as the potential
7 barrier width between the bound complex and the transition state, x_{β} , is concerned, the values for
8 both functionalization approaches fall in the range usually found for specific interactions
9 between partners with a rather high conformational stability⁵³. We observe that the IL4/anti-IL4
10 complex formed via PG immobilization with a lifetime of the complex of 206s shows higher
11 stability as compared to the one formed onto the SAM-functionalized surface, which will
12 dissociate faster at a complex lifetime of 4.78s.
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27 According to the Evans Bell model, the dissociation of the antibody-antigen complex under an
28 external force is described in the frame of the transition state theory^{27,66}. One k_{off} is calculated,
29 ΔG can be estimated using the following equation, where h is Planck's constant:
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$$(5) \quad \Delta G = -k_B T \ln \frac{k_{off} \cdot h}{k_B T}$$

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40 The total free energy of the antibody-antigen complex has been estimated for the two gold
41 functionalization approaches, obtaining values of -91 kJ/mol for the PG mediated
42 functionalization and -82 kJ/mol for the HSC6NH2-functionalization. One should take into
43 account the fact that this free energy includes contribution from the unbinding process of the
44 antibody-antigen complex, as well as from the stretching of the PEG linker. Therefore, the free
45 energy related exclusively to the unbinding process of the IL4/anti-IL4 complex can be
46 calculated from this expression:
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$$(6) \quad \Delta G_{ub} = \Delta G_{Ab/Ag} - \Delta G_{PEG}$$

The free energy related to the stretching of a 10 nm long PEG linker has been estimated experimentally to be $-7.45 \text{ kJ/mol}^{67}$, so the unbinding free energy corresponding to the antibody-antigen pairs are $-84(\pm 42) \text{ kJ/mol}$ for the PG-based functionalization and $-75(\pm 26) \text{ kJ/mol}$ for the HSC6NH2 functionalization. These values of the unbinding free energy could be related to the breaking of several hydrogen bonds and one or two salt bridges that are responsible of the Ab-Ag recognition.

2.4 Detection of IL4 with EGOFET-based immunosensors

The pristine device exhibits a field-effect charge mobility $\mu = 3.8 \times 10^{-4} \text{ cm}^2 \text{V}^{-1} \text{s}^{-1}$ and a threshold voltage $V_{th} = -40 \text{ mV}$. The leakage current is always lower than 10 nA along with an almost negligible hysteresis featuring no electrochemical doping of pentacene thin-film.

The I-V characteristics of an immuno-EGOFET with a gate electrode modified with anti-IL4, immobilized by glutaraldehyde-based protocol, is shown in Fig. 7a. We observe that the anti-IL4 (red curve) induces an electrical change in the I-V curve with respect to that recorded before anti-IL-4 immobilization (black curve). The Au gate electrode was then incubated in a reference solution of IL-4 at a concentration of 5 nM . This additional exposure does not give rise to further electrical change (blue curve). According to the protocol of Porter et al.^{68,69}, the biological layer has been electrochemically detached (see Fig. S4 in supporting info) via the cleavage of the chemical bond between Au and sulphur of the 6-aminohexanethiol. The subsequent increase of the EGOFET performance proves that no deterioration is taking place at the experimental time-scale. The same validation process has been applied to the PG-based protocol (see Fig. 3b). At

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3 variance with the previously described case, a significant change in the electrical response is now
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5 observed after incubation of the gate electrode in the IL-4 solution.
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9 We then focused our attention only on the PG-mediated functionalization and monitored step-by-
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11 step changes in the electrical performances of the device by recording shifts of μ and V_{th} (see
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13 Fig. 7c and Fig. 7d). Throughout the functionalization procedure, mobility shows a gradual
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15 decrease down to 60% of the initial value. This is ascribed to a decrease of the capacitive
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17 coupling between the gate electrode and the organic semiconductor thin film due to addition of
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19 biological layers on the gate surface (see Fig. 7c). Regarding the threshold voltage, a rather
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21 complex behavior has been observed. On one hand, the adsorption of protein G gives rise to a
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23 negative shift of threshold voltage, while on the other hand both anti-IL4 grafting and
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25 subsequently IL-4 recognition yield an opposite shift. This means that the protein G reduces the
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27 charge-carrier density in the conductive channel with respect to bare Au electrode. Owing to the
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29 fact that the isoelectric point (pI) of protein G is acidic (around 5), this means that protein G is
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31 negatively charged at pH=7.2 and strongly coupled to the charge carriers in the channel to act as
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33 a trap, and not as a dopant. In the case of IL-4 whose pI=8.2, there is a partial release of these
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35 “trapped” carriers manifesting itself with a shift towards less negative threshold voltage. This can
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37 be due either to formation of a surface dipole upon specific binding of IL-4 to its anti-IL4, thus
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39 increasing the capacitance of the interface and the consequent capacitive coupling, or to a partial
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41 compensation of the negative charge density of protein G.
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50 Our electrical measurements show that PG functionalized EGOFETs are capable to sense IL4
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52 down to 5 nM concentrations, while the HSC6NH2 functionalized device does not give a
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54 measurable response. Protein G functionalized device shows a mobility loss of 16% and a
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56 positive shift in the threshold voltage of approximately 10 mV after exposure to an IL-4 solution
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3 (see Table I). The absence of significant changes in the electrical properties EGOFETs with gate
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5 electrodes modified with the HSC6NH₂-based functionalization is consistent with the much
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8 lower probability of recognition events for randomly oriented anti-IL4.
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10 11 3. CONCLUSIONS 12

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14 The detection of the bio-molecular recognition between interleukin-4 and its specific
15 antibody by electrolyte-gated organic field-effect transistor and force spectroscopy
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17 measurements involves different processes, spatial and temporal scales. While EGOFET
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19 detection involves change of electrostatic charge due to about 10^{12} local recognition events per
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21 cm^2 , SFS involves the detection of a few biorecognition events occurring at nm length-scales.
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23 For this reason, comparing and complementing the results acquired with the two techniques is a
24
25 challenging task whose correlation is not trivial. We have successfully merged the evidences
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27 obtained by electrical and mechanical techniques to comparatively assess two immobilization
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29 protocols for anti-IL4 on polycrystalline Au. The success of EGOFET in detecting nanomolar
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31 concentrations of IL4 in the case of protein G mediated Ab immobilization correlates with the
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33 larger areal density of available anti-IL4 antibodies as evidenced by SFS measurements,
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35 corresponding to 30% coverage with respect to just 10% in the case glutaraldehyde-based
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37 immobilization. While there is no substantial difference in the distribution of the specific binding
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39 force for the two immobilization schemes, there is a marked difference in the kinetic constants,
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41 that show a longer average lifetime of the antibody-antigen complex, $\tau = 206(\pm 103)$ s, and a
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43 closer bond, $x_\beta = 3.2(\pm 0.2)$ nm, for the anti-IL4/Pg/Au. This shows that the local environment of
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45 the available antibodies, which is subtly affected by the density and orientation of other
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47 antibodies, is important in determining the effectiveness of the local recognition event. Our
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49 comparative study demonstrates that the optimum antibody functionality towards its antigen is
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3 achieved for the PG-mediated anchoring and that achieving this high density of available
4 antibodies is crucial for enhancing the label-free detection of the relevant protein.
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10 **4. EXPERIMENTAL METHODS**

11 **4.1. Device fabrication**

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14 Our devices are prepared onto gold-coated glass slides purchased from Phasis (Switzerland).
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16 These substrates are made of quartz glass (1mm thick) and a gold layer of 50nm plus few nm of
17 titanium as adhesive layer. Each test-pattern bears 4 transistors, whose channel length is 15 μ m
18 and channel width equal to 27000 μ m. The fabrication is carried out by laser ablation with a
19 short-pulsed Nd:YAG infrared (IR)-laser supplied by a laser scan marker (Scriba Nanotecnologie
20 S.r.l., Bologna, Italy). The IR-Laser pulse frequency and intensity are optimized in order to find
21 the best compromise between removal of the Au layer and roughening of the underlying quartz.
22 Typical operation is performed at a laser power of 8300W and a pulse of 10ns and a frequency of
23 15500Hz. The laser focus is moved over the surface at a scan-rate of 2000 μ m/s. Details are
24 described elsewhere⁷⁰.
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42 **4.2. Gate functionalization**

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44 6-aminohexanethiol (HSC6NH₂) and glutaraldehyde are purchased from Sigma-Aldrich and
45 used without further purification. Recombinant PG, monoclonal anti-murine IL-4 (Anti-IL4), and
46 recombinant murine IL-4 were purchased from Vinci-Biochem S.r.l. (Firenze, Italy). These
47 biological species are produced by Biovision (San Francisco, USA). His-Tagged recombinant
48 protein G lacks the albumin and cell membrane binding domains.
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3 The gate electrode is a polycrystalline Au wire (diameter equal to 1mm). First, this electrode
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5 undergoes a standard cleaning procedure⁷¹: (i) immersion in a concentrated H₂SO₄ at 100°C for
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7 1h; (ii) 20 cycles of electro-polishing by sweeping the potential from 0V to 1.5V in H₂SO₄ (1M).
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10 The glutaraldehyde-based functionalization occurs by immersing the gate electrode in a 6-
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12 aminohexanethiol solution (1mM) overnight. The further activation is achieved by using
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14 glutaraldehyde solution (2.5%v/v) for 1h at 5°C and then the functionalized electrode is
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16 immersed in an antibody solution (0.25mg/ml anti-IL4) for 1h at 5°C. The last step consists of
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18 immersing the Ab-coated electrodes in buffer solution (100mM of PBS, pH 7.4) IL-4 (5nM). The
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20 other functionalization exploits a buffer solution (100mM of PBS pH 7.4) of protein G (5mg/ml).
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25 Ab and Ag solutions are the same of the previous protocol.
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27 28 **4.3. Electrical measurements**

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31 All the electrochemical, morphological and mechanical investigations described so far proved
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33 the possibility of successfully immobilizing anti-IL4 antibodies on functionalized gold surfaces.
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35 SFS experiments have also suggested that the use of PG-based immobilization protocol
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37 significantly enhances the probability of recognition events between the surface coated with anti-
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39 IL4 and IL4. We then applied these immobilization strategies to the functionalization of the gate
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41 Au electrode of EGOFETs to obtain an immunosensor. Our aim is to establish the minimum
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43 detection level of IL4 in test solutions, and assess whether these concentration ranges are
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45 comparable with biologically-relevant ones. We compared the electrical responses of EGOFETs
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47 with gate electrodes functionalized with the different protocols to assess whether controlling the
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49 orientation of the Abs on the surface would enhance the sensing capability of the
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51 immunosensors.
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3 All the electrical measurements were performed with home-built EGFETs. As mentioned in
4 the experimental methods (4.1), the electronic transducer was fabricated by means of laser
5 ablation⁷⁰. Particular attention has been paid to maximize the W/L ratio, which is the geometrical
6 parameter scaling the drain-source current (I_{DS}). This home-built EGFET has been operated in
7 a buffer solution (100mM of PBS at pH 7) mimicking the physiological conditions. The buffer
8 solution has been confined on top of the electronic transducer by means of a PDMS pool, as
9 shown in the cross-section of Fig.1.

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21 Source, drain and gate electrodes were connected to a Keithley 2612 Source Meter. The
22 electrical response was acquired by means of a probe station. All the electrical measurements
23 were carried out in ambient atmosphere. The I-V transfer characteristics were performed by
24 sweeping the gate-source voltage (V_{GS}) from +0.2 V to -0.5 V while leaving the drain-source
25 voltage constant at -0.5V (saturation regime) for the reference device. The I-V output
26 characteristics were carried out by sweeping drain-source voltage (V_{DS}) from 0 V to -0.5 V and
27 V_{GS} from 0 V to -0.5 V with step of 0.1 V. The V_{GS} scan rate is 20mV/s and 80mV/s for transfer
28 and output characteristics respectively.

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41 Electrochemical measurements are performed by an usual three-electrodes cell connected to a
42 potentiostat/galvanostat μ -Autolab type III (Metrohm Italiana S.r.l., Varese, Italy), using a
43 polycrystalline Au wire, as working electrode, functionalized with the above-mentioned
44 protocols; a Pt sheet and Ag/AgCl were used as counter and reference electrodes respectively.

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51 The impedance response is fitted by Randles circuit, which is an equivalent circuit composed by
52 an electrolyte solution resistance, R_S , a charge transfer resistance, R_{CT} , a Debye-Helmholtz
53 capacitance, C_{dl} and a Warburg element, W .

4.4. Single molecule force spectroscopy measurements

In these experiments, the force dependence on the probe-surface distance is recorded (viz. force curve). A force curve contains regions that show a smooth variation with the distance. Those regions are interrupted by the presence of sharp changes in the force, which are associated with molecular recognition interactions, interpreted as the rupture of one or several bonds. The forces measured by force spectroscopy are dynamic in nature, as they depend on the loading rate^{27,28} and electrostatic interactions²⁹. To certain extent, the electrostatic interactions are controlled by the immobilization protocols applied to a bio-specie of interests on a certain substrate.

4.4.1. Tip functionalization

Phosphate buffer saline powder which yields 0.01 M phosphate buffered saline (NaCl 0.138 M; KCl 0.0027 M) when dissolved in 1 liter of water, hydrogen peroxide 30%, sulphuric acid, 3-aminopropyl-triethoxysilane (APTES), glutaraldehyde 8%, 6-aminohexanethiol and ethanol were purchased from Sigma Aldrich. The 24-unit ethyleneglycol functionalized with succinimidyl and maleimido ends (NHS-PEG₂₄-Mal) and the sulfhydryl addition kit containing: SATA (*N*-succinimidyl-S-acetylthioacetate), hydroxylamine•HCl, conjugation buffer stock (10X), dimethylformamide and Dextran desalting column were purchased from Thermo Scientific. Recombinant protein G, monoclonal anti-murine IL-4 and recombinant murine IL-4 are produced by Biovision (San Francisco, USA).

AFM silicon nitride tips were first cleaned thoroughly by immersion in a piranha solution (3:1 concentrated sulfuric acid to 30% hydrogen peroxide solution) for 30 minutes. They were then rinsed with nanopure water and dipped into a solution of 3-aminopropyl-

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3 triethoxysilane:water:ethanol (ratio 5:5:95 v/v) for 30 minutes. Finally, the amino-functionalized
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5 tips were rinsed with nanopure water, ethanol and nitrogen dried.
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9 Next, the heterobifunctional NHS-PEG₂₄-Mal linker was reacted with the antigen bearing a
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11 sulfhydryl group. Prior to that, free sulfhydryl functionality was added to the antigen using the
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13 SATA reagent. A 17.3 mM SATA solution in DMF was added in 10-fold molar excess to 1 mL of
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15 protein. The reaction was incubated for 30 minutes at room temperature. Then, 5 mg
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17 Hydroxylamine·HCl was mixed with 100 µl Conjugation Buffer Stock (10X). To de-protect the
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19 latent sulfhydryl, 100 µl of hydroxylamine solution was added to the SATA-modified protein and
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21 the mixture was incubated for 2 hours at room temperature. The de-protected sulfhydryl protein
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23 was then added to the equilibrated desalting column to remove non-reacted reagents. The
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25 maleimide conjugation buffer was added to the desalting column and 1 mL fractions were
26
27 collected and the absorbance of each fraction was measured at 280 nm to locate the protein.
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29 Fractions containing most of the protein were then reacted with the NHS-PEG₂₄-Mal linker. A
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31 10-fold molar excess of the PEG linker was incubated with the sulfhydryl-modified antigen at
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33 4°C for 12 hours. Finally, the amino-functionalized AFM tips were immersed in the PEG-antigen
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35 solution for 2 hours at room temperature. The tips were when rinsed with PBS 0.1 M and stored
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37 in a Petri dish at 4°C until further usage.
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45 46 **4.4.2. Substrate functionalization**

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48 The substrates used were mica sheets covered with a 3 nm adhesive Cr layer and a 50 nm thick
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50 gold layer. The antibody immobilization onto the gold substrate has been performed by
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52 following the same protocols used for the gate functionalization (section 4.2).
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56 57 **4.4.3. Topography measurements**

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3 Tapping mode AFM was employed to record topographical images of the samples at different
4 functionalization steps in both air and PBS⁷². Rectangular PPP-NCH (Nanoworld AG,
5 Switzerland) cantilevers with a nominal force constant $k= 40 \text{ N m}^{-1}$ and a resonant frequency of
6 291 kHz have been used for air measurements. As for the experiments in liquid environment,
7 rectangular OMCL-RC800PSA (Olympus, Japan) with a nominal force constant of 0.4 N/m and
8 a resonant frequency of 33 kHz were employed. The topography measurements were performed
9 in amplitude modulation AFM by driving mechanically the cantilever⁷³.

20 21 **4.4.4. Single molecule force spectroscopy measurements**

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23 Single molecule force spectroscopy experiments were performed with a Multimode atomic force
24 microscope fitted with a Nanoscope V controller (Bruker, Santa Barbara). The microscope is
25 equipped with a liquid cell where approximately 60 μl of PBS 0.01M pH= 7.4 are introduced in
26 order to carry out the measurements. Triangular silicon nitride tips OTR-4 (Bruker, Santa
27 Barbara) with a spring constant of 0.015 – 0.08 N/m and resonant frequency of 1.8 kHz and 8
28 kHz were used. The force constant and quality factor are determined by using the thermal noise
29 method⁷⁴. At the end of each experiment, the optical lever sensitivity was calibrated by
30 acquiring deflection versus distance curves on a hard surface (mica). Typically 100 deflection vs
31 distance curves are acquired and the sensitivity of the photodiode is calculated as the mean value.
32 The force is calculated using Hooke's law: $F = -kd$ (d = cantilever deflection, k =cantilever force
33 constant). The applied force was maintained below 600 pN.
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51 The force curves were acquired in static mode by approaching and retracting the tip toward the
52 sample by 200 nm at different velocities (0.5, 1, 2 and 5 Hz). Each time, the tip was kept in
53 contact with the sample for 0.5 s in order to favor the recognition process.
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3 Several rounds of control experiments have been performed to check the specificity of the
4 unbinding events. On one hand, force curves of a bare AFM tip interacting with the substrate at
5 each functionalization step for both protocols were recorded. Afterwards, antigen tethering AFM
6 tips were tested against Au, anti-IL4/HSC6NH2/Au and anti-IL4/Pg/Au. On the other hand,
7 cross-reactivity experiments have been performed by bringing IL4 antibodies on the substrate in
8 contact with the IL6 antigen on the tip.
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10 11 12 13 14 15 16 17 18 **4.5 Dynamic force spectroscopy data analysis** 19

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21 A total of 16297 force distance curves were analyzed by using customized software in an
22 automated way. The curves were averaged and the contact point was set according to a deflection
23 threshold. Event recognition was based on the values of the second and third derivatives of the
24 deflection; the event was labeled as a recognition event whenever the derivatives were found to
25 be above a threshold with respect to the noise level. An algorithm was created to discriminate
26 specific recognition events from surface adhesion events. The algorithm is based on the
27 calculation of the deviation between the deflection curve and the straight line that goes from the
28 peak minimum to the contact with the surface (see Supporting Information 4.5). Tables
29 containing information on specific events for all the experiments were processed and 1D and 2D
30 histograms were extracted. 1D histograms have been normalized to the number of force distance
31 curves for every set of experiments. 2D histograms represent the number of specific events that
32 occur which a given binding length and a given binding force. The binning sites for the binding
33 length and force were set to 3 nm and 13 pN respectively.
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53 The dynamics of the IL4 antibody-antigen binding was explored by determining the unbinding
54 force as a function of the unbinding rate. The loading rate is the product between the retract
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3 velocity and the spring constant. To account for the contribution of the PEG linker spring
4 constant to the overall spring constant of the system, the loading rate was extracted from the
5 slope of the force curve before unbinding occurs. The plots in figure S5 display the linear
6 increase of the most probable unbinding force with the logarithm of the loading rate for the two
7 antibody immobilization protocols. This characteristic behavior for a thermally activated
8 dissociation process under an applied load has been previously observed for other antibody-
9 antigen complexes.

10 To determine the kinetic parameters of the molecular recognition process, the length of the
11 energy barrier, x_{β} , was determined from the slope of linear fit of the unbinding forces vs. loading
12 rate logarithm plot (see Eq.1). Next, the kinetic off-rate constant of dissociation at zero force was
13 calculated by extrapolation to zero forces. Antibody-antigen complexes have limited lifetimes,
14 which are shortened by thermal activation under an applied force. The characteristic time needed
15 for the spontaneous dissociation, τ , is given by the inverse of the kinetic off-rate constant and can
16 be correlated with the specificity of the recognition process as well as the stability of the
17 complex.

5. FIGURES

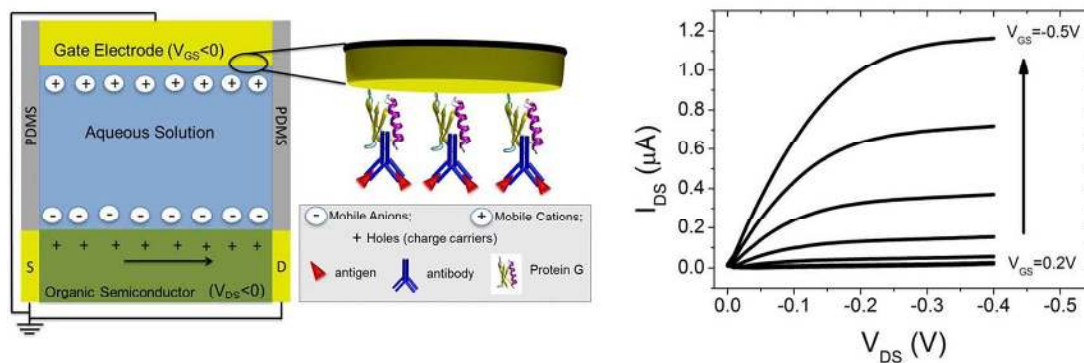


Figure 1 On the left, a schematic EGO-FET cross-section along with a sketch of the magnification of the gate/electrolyte interface. On the right, I-V output characteristics of a reference device.

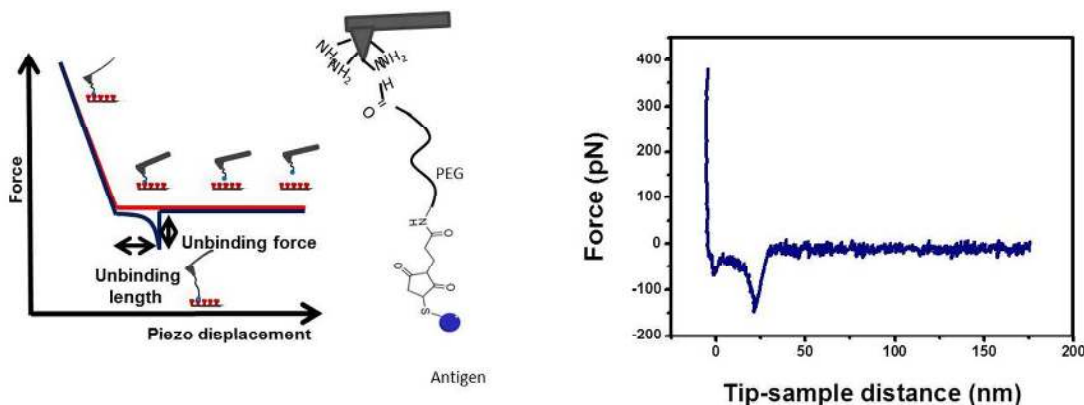


Figure 2. (Left) Schematics of a force-distance plot (force curve). As the tip approaches the sample, as contact is established the repulsive forces cause the tip to bend upwards (red line). The tip is then retracted (blue line). If a recognition event occurs, adhesion forces will make the tip to bend downward during retraction. When force gradient exceeds the spring constant of the cantilever, the probe jumps out of contact to its initial position. The unbinding force of the antibody-antigen pair is the maximum adhesive force, estimated as the vertical difference between the baseline and the minimum force at retraction. Unbinding length is the difference between the tip-sample distance where the unbinding event occurs and the contact point. Discrimination of specific and unspecific binding events relies on the estimate of the gradient of the force near the detachment point. Details are found in Supporting Information. (Center) Scheme of the AFM tip functionalization. IL-4- PEG linker complex is attached to an amino-functionalized AFM tip. (Right) Real force curve shows a specific unbinding event.

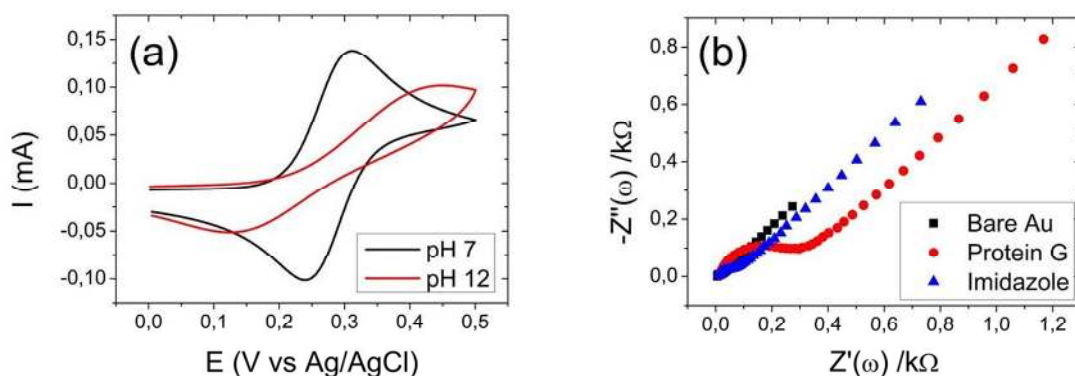


Figure 3 (a) Cyclic voltammograms of the ferricyanide signal at neutral and basic pH at a polycrystalline gold electrode functionalized with HSC6NH₂. (b) Impedance spectroscopy for bare Au (black filled squares), PG adsorption (red filled circles) and PG elution mediated by imidazole exposure (blue filled triangles).

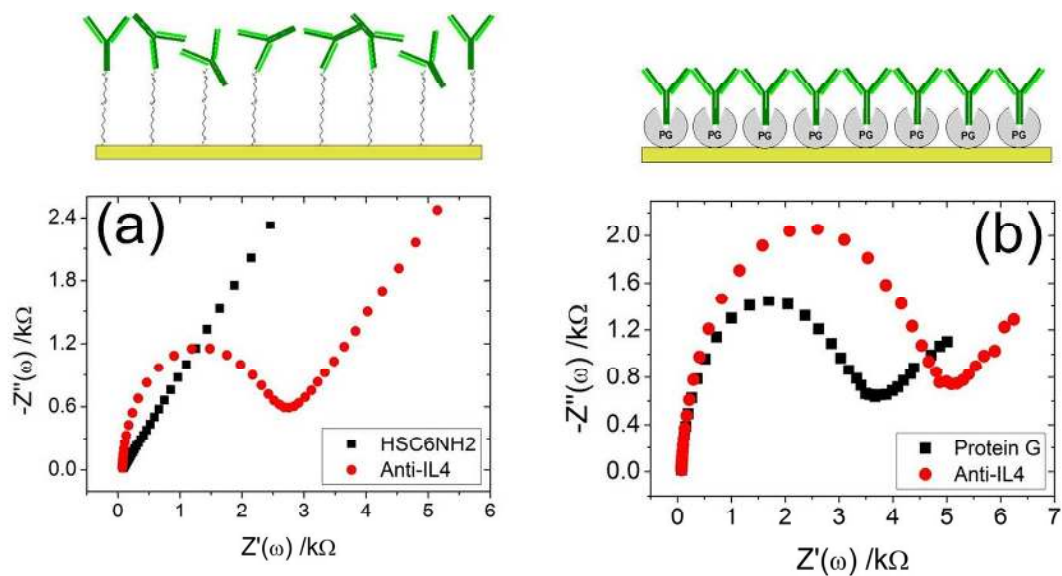


Figure 4 Nyquist plots of (a) HSC6NH₂- and (b) PG-mediated treatment. On the upper part of these plots, a cartoon of the two functionalization strategies is shown.

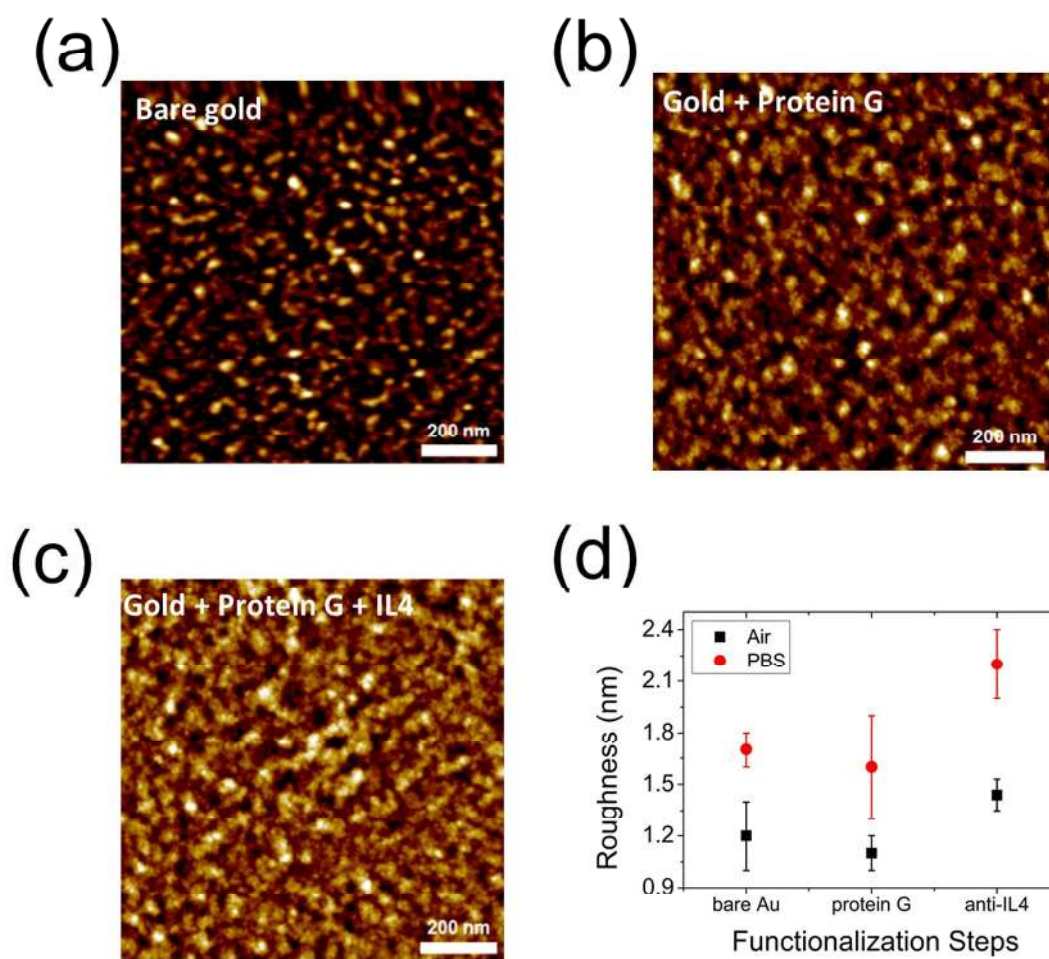


Figure 5 AFM images on bare gold (a), PG-coated gold (b), PG/Anti-IL4-coated gold (c). Roughness data are overlaid in air and in PBS solution (d).

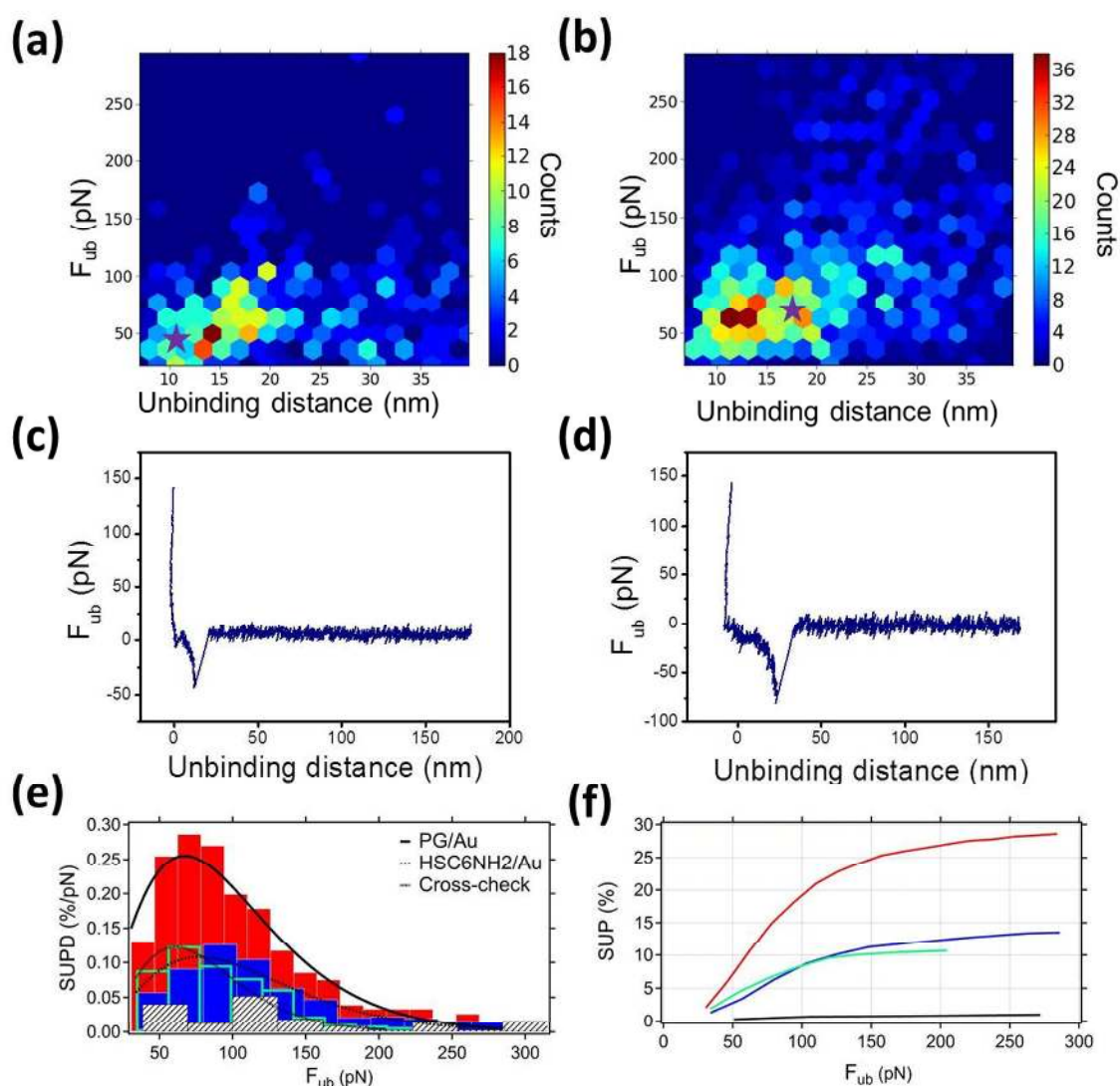


Figure 6 2D histograms of the unbinding distance and unbinding force for **(a)** GA-based protocol and **(b)** PG-based one. **(c-d)** Two representative Force vs distance curves corresponding to the starred hexagons in **(a)** and **(b)**. **(e)** Histograms of SPBD as a function of F . Red, blue, empty and white-black patterned bars stand for PG, GA, cross-check and bare Au respectively. Solid, dashed and dotted lines are the best χ -square fits corresponding to PG, GA and cross-check **(f)** SUP vs. F plots are shown for each protocol.

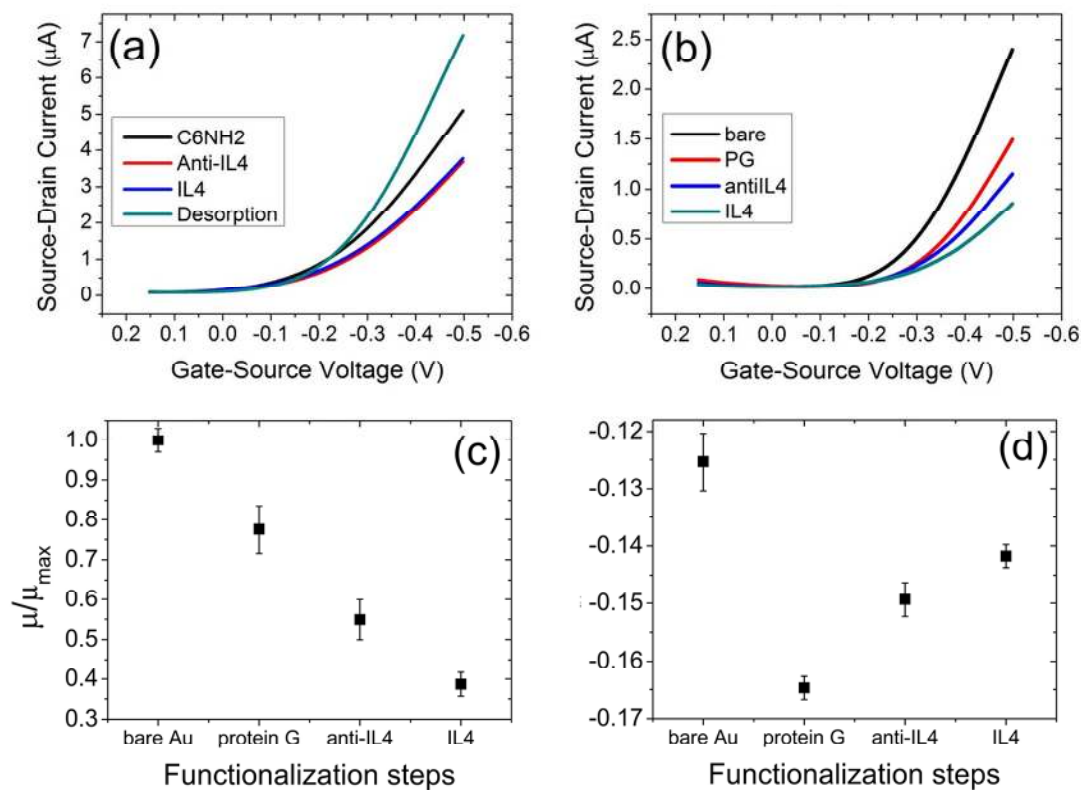


Figure 7 I-V transfer characteristics for (a) HSC6NH₂- and (b) PG-based protocols. Normalized mobility ratio (c) and threshold voltage (d) trends corresponding to the stepwise functionalization.

Table 1

Experiment	x_{β} (Å)	k_{off} (s^{-1})	τ (s)	$\Delta G_{\text{binding}}$ (kJ/mol)	μ (% loss)	ΔV_{th} (mV)
IL4 on Protein G	3.2(\pm 0.2)	0.004(\pm 0.002)	206(\pm 103)	84(\pm 42)	16	\approx 10
IL4 on Glutaraldehyde	2.4(\pm 0.1)	0.209(\pm 0.073)	5(\pm 2)	75(\pm 26)	-	-

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SUPPORTING INFO

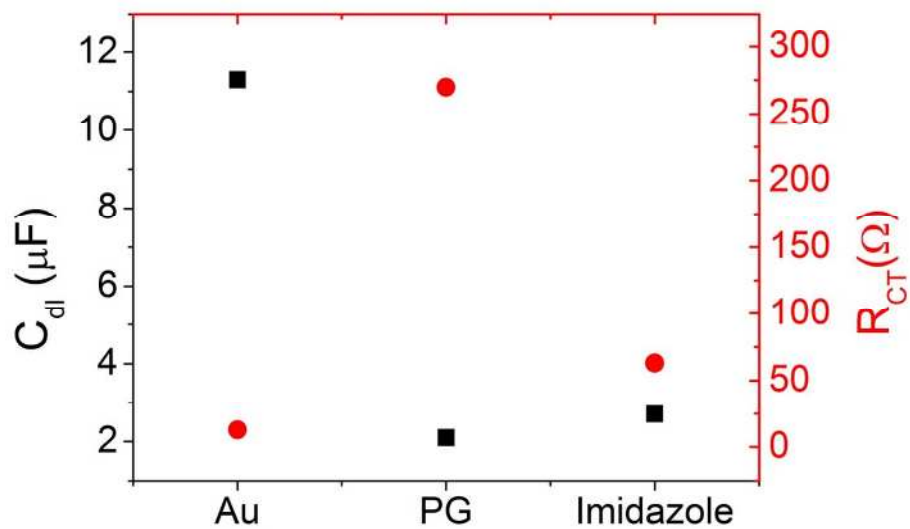


Figure S1 Overlay of the double-layer capacitance and charge transfer resistance for bare Au, PG adsorbed on it and imidazole elution.

Electrode modifiers	C_{dl} (μF)	R_{CT} ($\text{k}\Omega$)
HSC_6NH_2	$1.3(\pm 0.1)$	$0.7(\pm 0.1)$
$\text{HSC}_6\text{NH}_2 + \text{Anti-IL4}$	$0.56(\pm 0.07)$	$2.3 (\pm 0.1)$
PG	$1.14(\pm 0.02)$	$3.1(\pm 0.1)$
PG + Anti-IL4	$1.22(\pm 0.02)$	$4.3(\pm 0.1)$

Table S1 C_{dl} and R_{CT} values are listed for the two functionalization protocols.

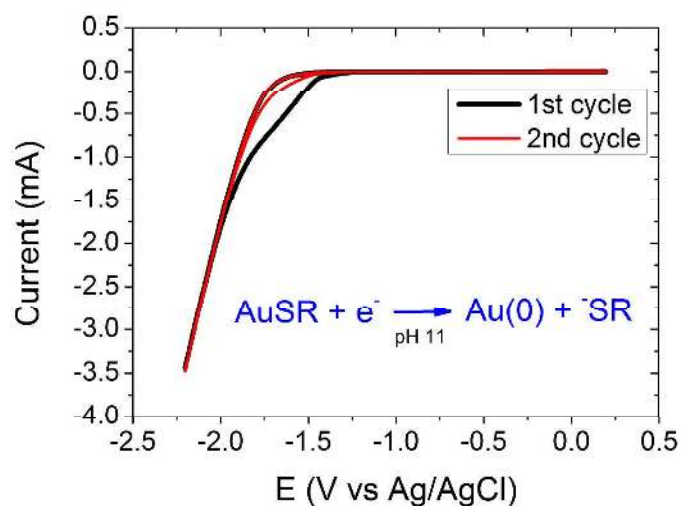


Figure S2 Reductive electrochemical desorption of the bio-material chemically adsorbed on polycrystalline Au. First scan (black line) and second one (red line) are overlaid.

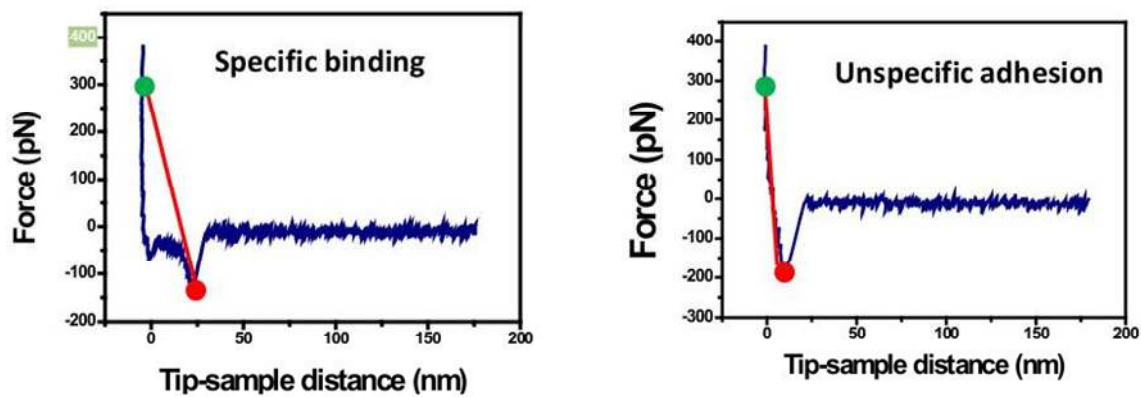


Figure S4 Scheme of the algorithm used to discriminate between specific recognition event, and unspecific adhesion.

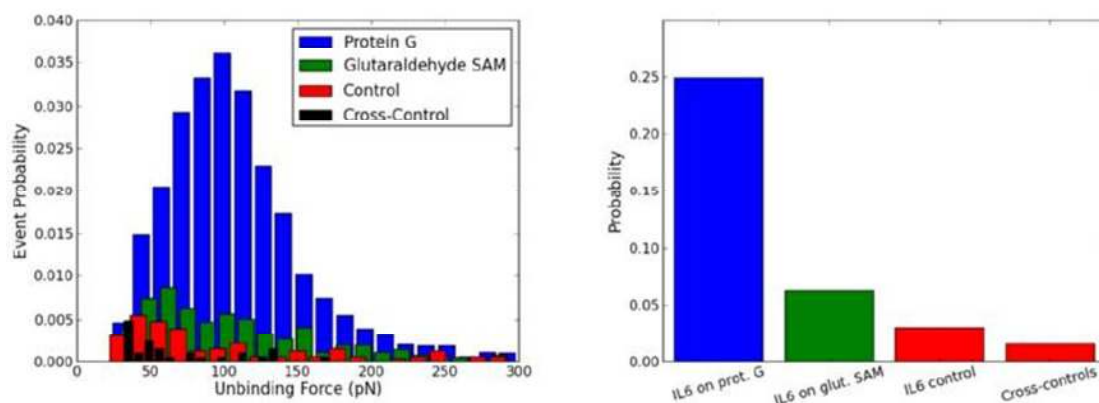


Figure S5 (a) Histogram and (b) bar-plot of the probability percentage for specific, aspecific immobilization, control experiments for IL6 antibody-antigen recognition.

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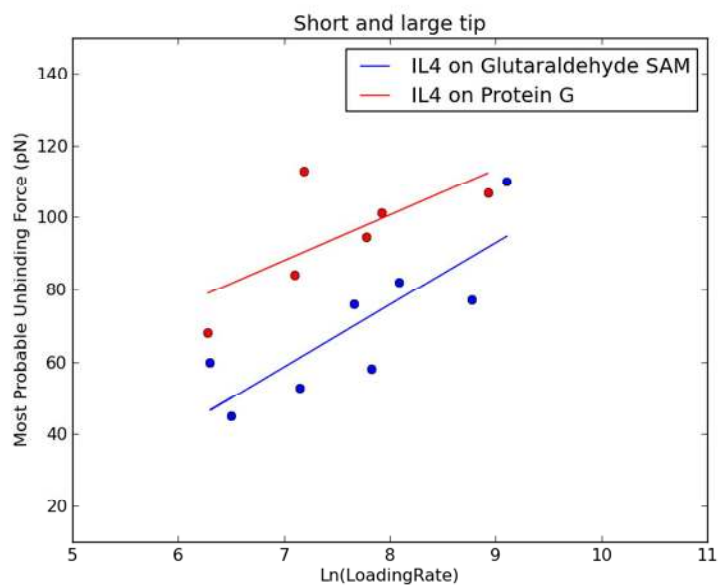


Figure S6 Most probable unbinding force vs loading rate for (a) aspecific and (b) specific functionalization: