

1           **Title: Multispecies diel transcriptional oscillations in open ocean**  
2                           **heterotrophic bacterial assemblages**

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5   CONFIDENTIAL: Accepted, Science magazine, 5/22/2014:

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21 **Abstract:** Oscillating diurnal rhythms of gene transcription, metabolic activity and behavior are  
22 found in all three domains of life. Diel cycles in naturally occurring heterotrophic bacteria and  
23 archaea however, have rarely been observed. Here we report time-resolved whole genome  
24 transcriptome profiles of multiple, naturally occurring oceanic bacterial populations sampled *in*  
25 *situ* over three days. As anticipated, the cyanobacterial transcriptome exhibited pronounced diel  
26 periodicity. Unexpectedly however, several different heterotrophic bacterioplankton groups also  
27 displayed diel cycling in many of their gene transcripts. Furthermore, diel oscillations in  
28 different heterotrophic bacterial groups suggested population-specific timing of peak transcript  
29 expression in a variety of metabolic gene suites. These staggered multispecies waves of diel gene  
30 transcription may influence both the tempo and mode of matter and energy transformation in the  
31 sea.

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34 **Main Text:**

35           The coordination of biological activities into daily periodic cycles is a common feature of  
36 eukaryotes and is widespread among plants, fungi, and animals, including man (1). Among  
37 single celled non-eukaryotic microbes, diel cycles have been well documented in cyanobacterial  
38 isolates (2-4), one halophilic archaeon (5), and in bacterial symbionts of fish and squid (6, 7).  
39 Some evidence for diel cycling in microbial plankton has also been suggested on the basis of  
40 bulk community amino acid incorporation, viral production, or metabolite consumption (8-10).  
41 The existence of regular diel oscillations in free-living heterotrophic bacterial species however,  
42 has rarely been assessed.

43           Microbial community RNA sequencing techniques now allow simultaneous  
44 determination of whole genome transcriptome profiles among multiple co-occurring species (11,  
45 12), enabling high frequency, time resolved analyses of microbial community dynamics (12,  
46 13). To better understand temporal transcriptional dynamics in oligotrophic bacterioplankton  
47 communities, we conducted a high-resolution multi-day time series of bacterioplankton sampled  
48 from the North Pacific Subtropical Gyre (14).

49           To facilitate repeated sampling of the same planktonic microbial populations through  
50 time, automated Lagrangian sampling of bacterioplankton was performed every two hours over  
51 three days using a free-drifting robotic Environmental Sample Processor (ESP; (13, 15); Fig. S1).  
52 Following instrument recovery, planktonic microbial RNA was extracted, purified and converted  
53 to cDNA to assess whole genome transcriptome dynamics of predominant planktonic microbial  
54 populations (Table S1, Table S2). The recovered cDNAs were dominated by transcripts from  
55 *Prochlorococcus* and several proteorhodopsin-containing or photoheterotrophic bacteria,

56 including members of the *Pelagibacter* (SAR11), *Roseobacter*, SAR116, SAR86, and SAR324  
57 clades (Fig. S2).

58 Phylogenetic analysis of gene transcripts in the most abundant taxa revealed the presence  
59 of some microdiversity (Figs. S3-8). The most abundant transcripts sampled at any given time  
60 point however, were dominated by only a few genotypes within each population that persisted  
61 throughout the sampling period. An exception was *Roseobacter*, with transcripts for two  
62 different genes (*groEL* and *dnaK*) indicating the presence of a genotype that started at a very low  
63 abundance and increased in representation over the course of the time series. This variability  
64 could be due to an injection of a new population as water masses mixed during the latter portion  
65 of the time series, or possibly to an alteration in the relative transcriptional activities of two  
66 ecotypes that are responding to changes in the surrounding environment.

67 Transcriptional activity in *Prochlorococcus* was highly dependent on the time of day.  
68 Harmonic regression analyses indicated that nearly half (1,491) of all *Prochlorococcus*  
69 population transcripts were significantly periodic (Table 1; Table S3; Fig 1). The expression  
70 patterns observed were similar to those of monocultures growing in controlled laboratory settings  
71 (4) but there were also notable differences (Fig. 1). For example, photosystem I gene expression  
72 exhibited a double peak in the wild *Prochlorococcus* transcriptome around noon (Fig. 1). In  
73 contrast, under laboratory conditions most photosystem I genes, i.e. *psaL* and *psaF*, were found  
74 to peak just before noon, while *psaA* and *psaB* peaked shortly after noon (4).

75 The largest discrepancy between *Prochlorococcus* laboratory studies and our field  
76 observations was that a considerable number of *Prochlorococcus* transcripts in our field  
77 populations peaked around midday (Fig. 1). Some of these genes did exhibit periodicity in  
78 cultures, but peaked at a different time of day in field populations. A larger fraction of these

79 mid-day peaking transcripts were either not periodically expressed, or were not present in the  
80 culture experiments. In addition, 62% of the 10am - 4pm peaking transcripts in our field study  
81 lacked KEGG orthology annotations, as opposed to those peaking in the evening or late at night.

82 A number of factors may be responsible for differences in transcript dynamics between in  
83 laboratory cultures versus field *Prochlorococcus* populations. Maximal light levels at our study  
84 site at 23 m depth were frequently two fold higher ( $450 \text{ umol Q/m}^{-2}/\text{s}^{-1}$ ) than those used in  
85 laboratory microarray experiments ( $232 \text{ umol Q/m}^{-2}/\text{s}^{-1}$ ) (4). Fundamental genetic differences  
86 between our field populations and the *Prochlorococcus* strain used in laboratory culture  
87 experiments likely also contribute to the differences we observed. Other variables, including  
88 nutrient composition and organismal interactions, may also be a factor in the observed  
89 differences. While we could not identify obvious trends in the type or function of transcripts  
90 showing peak expression during the mid-day period, they did include a wide range of enzymatic  
91 functions that are more consistent with nutrient-responsive metabolic changes rather than a  
92 simple high-light stress response.

93 An abundant *Roseobacter* population also showed strong diel oscillations in its  
94 transcriptome profile, most notably in expressed genes involved in bacteriochlorophyll-  
95 associated aerobic anoxygenic photosynthesis (AAnP). Overall, a large fraction of *Roseobacter*  
96 transcripts were periodically expressed (Table 1). Of these, the majority peaked during daylight  
97 hours, with only a few gene transcripts peaking at night (Fig. 2). While this pattern contrasts  
98 with that observed in *Prochlorococcus*, where most diurnally regulated transcripts peaked at  
99 dawn or dusk, it was consistent with transcriptional regulation recently reported in  
100 *Dinoroseobacter shibae* (16).

101 Thirty five of the forty significantly periodic *Roseobacter* transcripts that peaked between  
102 11 pm and 7 am encoded genes belonging to a large photosynthetic “superoperon” (Fig. S9).  
103 Nightly expression of these genes, followed by immediate repression upon light onset, is  
104 consistent with the *D. shibae* study (16), and may be preparing cells for efficient solar energy  
105 harvest in the early morning hours. Functions that peaked during the day-time hours included  
106 ribosomal proteins, respiratory transcripts, genes involved in amino acid metabolism, and  
107 transporters (Fig. 2).

108 Proteorhodopsin-containing photoheterotrophs including members of the SAR11,  
109 SAR116, and SAR86 also showed evidence of diel periodicity in many of their gene transcripts  
110 (Fig. 2, Table 1). Interestingly, all opsin-containing bacteria analyzed (SAR11, SAR116, SAR86,  
111 and SAR324) exhibited statistically significant diel oscillations in their proteorhodopsin gene  
112 transcripts (Table S3; Fig. S10). Peak expression of the opsin transcripts occurred near dawn in  
113 all these populations (Fig. S10), potentially optimizing solar energy capture by the light-driven,  
114 proton-pumping rhodopsins.

115 Principal components analysis distinguished time series samples for each heterotroph by  
116 time of day (Fig. 3) and showed significant correlation with the light-driven behavior of  
117 *Prochlorococcus* (Table 1). Overall, this data is consistent with profound, genome-wide  
118 transcriptional changes across the day-night cycle for each population. In addition, co-clustering  
119 of transcripts using GeneARMA (GA; (14, 17)) revealed suites of gene transcripts that exhibited  
120 similar expression patterns among different taxa (Fig. 4; Fig. S11-14; Table S4). For example, a  
121 group of transcripts that fit highly similar GA expression models across multiple species  
122 included Pro GA5, Pro GA7, Pro GA9, Pro GA23, SAR11 GA6, SAR11 GA18, SAR116 GA2,  
123 and *Roseobacter* GA8 (Fig 4; Fig S14; Table S4). These multispecies, day-peaking transcripts

124 (Fig S14; Table S4) included gene products associated with respiration (*Prochlorococcus*,  
125 SAR11, SAR116, *Roseobacter*), nitrogen metabolism (*Prochlorococcus*, SAR11, SAR116),  
126 glycine metabolism (*Prochlorococcus*, SAR11, *Roseobacter*), carbon monoxide metabolism  
127 (SAR116, *Roseobacter*) and DNA synthesis (*Prochlorococcus*, *Roseobacter*). This co-  
128 clustering of gene transcripts reveals a complex pattern of expression through the day and across  
129 the time series, and provides evidence for parallel trends in gene expression across multiple  
130 species (Fig. 4, Fig. S11-14, Table S4).

131 Together, the transcriptional profiles of *Roseobacter*, SAR11, SAR116 and SAR86  
132 indicate diel cycling of metabolic gene transcripts, and suggest a multispecies wave-like  
133 progression of upregulated gene suites across the day/night cycle (Fig. 4). Most conspicuously, a  
134 regular diel succession of translational, transcriptional and respiratory gene transcripts was  
135 followed by peaks in transporter transcripts that possibly reflect a metabolic recovery phase (Fig.  
136 2). Many of these metabolic pathway transcripts peaked earlier in the day in *Roseobacter* field  
137 populations relative to other bacterial heterotrophs (Fig. 2, Fig 4, Table S4).

138 The overall transcriptional profile of SAR324 did not show as many transcript diel  
139 oscillations as other heterotrophic taxa. Instead, principal components analysis clustered  
140 SAR324 transcripts according to the day that they were collected (Fig. 3). In particular, the  
141 SAR324 group showed a strong separation between the first portion of the time series and the  
142 second in principal components analysis (Fig. 3). This split appears to be associated with the  
143 increases in temperature and salinity observed across the time series (Fig. S1).

144 The diurnal patterns reported here for open ocean heterotrophic bacterioplankton were  
145 different from those observed in a previous study of phylogenetically related coastal  
146 bacterioplankton using similar methods (12). For example, coastal versus open ocean SAR11

147 populations revealed differential expression levels among several orthologous transcript  
148 categories (Fig. S15). Additionally, while the open ocean SAR11 populations reported here  
149 exhibited statistically significant diel oscillations for many gene transcripts (Fig. 2), the coastal  
150 SAR11 populations did not.

151       Currently available data are insufficient to provide definitive mechanistic explanations  
152 for the diel behaviors we observed in different heterotrophic bacterioplankton species. It is  
153 possible that photoreceptors in these bacteria are involved in regulating light-dark cycles of  
154 transcriptional activity. Marine *Roseobacter* species have previously been shown to regulate  
155 their global transcriptional behavior in response to light (16), and laboratory cultures of  
156 *Pelagibacter* also exhibit light-responsive metabolic behaviors (18). Differences between the  
157 behaviors of SAR11 coastal versus open ocean field populations however (Fig. S15), as well as  
158 comparisons of several taxa in our field study versus laboratory experiments on related cultivated  
159 isolates (Fig. 1), suggest that other factors may be at play in regulating diel behavior among these  
160 different bacterioplankton populations.

161       Previous studies have proposed that tight metabolic coupling between primary producers  
162 and consumers in microbial plankton might elicit conspicuous diel cycling in heterotrophic  
163 bacterial activities (8). The diel cycling we observed among different bacterioplankton species is  
164 consistent with this hypothesis, with multiple co-existing heterotroph populations exhibiting  
165 diurnal oscillations resembling those of their photoautotrophic neighbors. We postulate that the  
166 tightly coupled multispecies temporal expression patterns observed may elicit corresponding  
167 waves of species-specific metabolic responses at regular time intervals, potentially coordinating  
168 diverse biogeochemical activities in these complex microbial communities. Such temporal  
169 coordination of biogeochemical activities among multiple species may be important regulators of



170 both the tempo and mode of microbial matter and energy transformation in the sea.

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248

## 249 **Acknowledgments:**

250 We thank the officers and crew of the Kilo Moana, Chief Scientist Julie Robidart, Sam Wilson,  
251 and the ESP engineering and Science team (John Ryan, Jim Birch, Chris Preston, Gene Massion,  
252 Scott Jensen, Brent Roman) for all the able assistance. This work was supported by grants from  
253 the Gordon and Betty Moore Foundation GBMF #492.01 and #3777 (EFD) and NSF grant  
254 EF0424599 (EFD). Development of the ESP was supported by National Science Foundation  
255 Grant OCE-0314222 (to C.A.S.), National Aeronautics and Space Administration Astrobiology  
256 Grants NNG06GB34G and NNX09AB78G (to C.A.S.), the Gordon and Betty Moore Foundation  
257 (C.A.S.), and the David and Lucile Packard Foundation. This work is a contribution of the  
258 Center for Microbial Oceanography: Research and Education (C-MORE). Sequences reported in

259 this paper have been deposited in the Gen-Bank database (accession no. SRP041215).

260

261

262 **Supplementary Materials:**  
263 Materials and Methods  
264 Figures S1-S14  
265 Table S1  
266 Legends for External Tables S2-S4  
267 References (27-43)  
268

269

270 **Fig. 1.** Laboratory versus field comparisons of periodic expression patterns in *Prochlorococcus*  
271 populations. **A.** Scatter plot shows time of peak abundance for 973 transcripts identified as  
272 significantly periodic in both studies. Histograms show the total number of genes peaking in 1-  
273 hour intervals in this study (top) and the laboratory experiment (side). Black bars represent  
274 genes identified as significantly periodic in both studies, grey bars represent genes expressed in  
275 both studies but significantly periodic in only one, and white bars represent significantly periodic  
276 transcripts that were not detected in the other dataset. For this comparison, we used published  
277 significance cutoffs from the laboratory study (4), but for consistency generated new peak times  
278 using our harmonic regression approach and the published normalized mean expression levels  
279 for each time point. In general, the peak times generated using our approach closely matched  
280 published values for that dataset. **B-C.** Plots showing relative expression (normalized to mean  
281 expression level) over time for our metatranscriptome (top trace) and in microarray data (bottom  
282 trace) for selected transcripts. For comparison, experimental midnights (24 hr and 48hr) from  
283 the microarray study are aligned with the 12:00AM samples from 9/9 and 9/10, respectively. All  
284 ATP synthase subunits (**B**) and selected subunits from photosystem I (**C**) are shown.

285

286 **Fig. 2. Timing of periodically expressed transcripts.** For each population, a histogram  
287 showing the number of periodically expressed diel transcripts with peak expression within 1-hr  
288 intervals throughout the day is shown (left). On the right, time of peak expression of all  
289 transcripts assigned to selected KEGG pathways is plotted (grey). Red circles denote transcripts  
290 identified as significantly periodic (24 hour period). The “transporters” category includes both  
291 the ABC Transporters KEGG pathway and the Transporters BRITE hierarchy, the  
292 “photosynthesis” category includes both the Photosynthesis KEGG pathway and the BRITE  
293 Photosynthesis Proteins categorizations. “Carbon Fixation” refers to genes assigned to the  
294 Carbon Fixation in Photosynthetic Organisms KEGG Pathway. The photosynthesis and carbon  
295 fixation categories are present in heterotrophic organisms due to cross-assignment of ATP  
296 Synthase genes and pentose phosphate cycle genes. Black and yellow bars depict the daily  
297 photoperiod (based on sunrise and sunset times).

298

299 **Fig. 3.** Principal Component analysis of population transcriptional profiles. Transcript  
300 abundances were normalized to total transcripts assigned to each population at each time point,

301 and arcsin transformed to approximate normality (19). Symbol color denotes time of day and  
302 shape denotes day of collection. Grey lines connect samples to centroids for selected sample  
303 groupings that separate points well. *Roseobacter* SAGs: samples collected between 7am and 9pm  
304 (vs. 9pm to 7am); SAR11, SAR116 and SAR86 cluster, samples collected between 9am and 6pm  
305 (and vice versa); SAR324 cluster, samples collected before or after 9/9 4pm. All factor  
306 correlations shown were highly significant ( $p = 0.001$ ). Alternative time of day categories were  
307 also highly significant for *Roseobacter* SAGs, SAR11, SAR116 and SAR86. SAR116 ( $r^2$  0.10,  $p$   
308 0.037) and SAR86 ( $r^2$  0.12,  $p$  0.019) also correlated weakly with the grouping shown for  
309 SAR324. All analyses carried out using functions in the vegan software package (20).

310

311 **Fig. 4.** Timing of expression of functional gene clusters in different taxa clustered by similarity.  
312 Heatmap shows cluster models for all geneARMA clusters, colored by mean-centered relative  
313 expression (red=high, blue=low). Black and yellow bars show the daily photoperiod. Each box  
314 represents a single sampling event, for sample times see Table S1. Dendrograms show cluster  
315 model similarity (Pearson correlations, average linkage clustering, scale bar at upper right  
316 represents a correlation of 0.5). The total number of genes (A), significantly periodic genes (B),  
317 and genes associated with Photosynthesis (C), Ribosome (D), Oxidative Phosphorylation (E),  
318 Amino Acid Metabolism (F), and Transport (G) (defined as for Figure 2), are listed for each  
319 cluster.

320

321 Table 1: Harmonic Regression Results

	<b>Prochlorococcus</b>	<b>Roseobacter SAGs</b>	<b>SAR11</b>	<b>SAR116</b>	<b>SAR86</b>	<b>SAR324</b>
Sequence reads <sup>1</sup>	2886677	177982	774064	200368	151468	118098
Transcripts <sup>2</sup>	3045	2604	2802	2618	2367	4732
Periodic <sup>3</sup>	1491	426	201	80	10	8
Constrained PCA vs 24-hour clock <sup>4</sup>	0.68 (p = 0.005)	0.49 (p = 0.005)	0.24 (p = 0.005)	0.15 (p = 0.005)	0.13 (p = 0.005)	0.10 (p = 0.01)
Procrustes Test vs. Prochlorococcus PCA <sup>5</sup>		0.78 (p < 0.001)	0.55 (p < 0.001)	0.70 (p < 0.001)	0.52 (p < 0.001)	0.36 (p = 0.031)
Mantel Test vs. Prochlorococcus <sup>6</sup>		0.63 (p < 0.001)	0.40 (p < 0.001)	0.31 (p = 0.003)	0.26 (p = 0.005)	0.27 (p = 0.002)

322 <sup>1</sup> The total number of sequence reads assigned to each taxon bin

323 <sup>2</sup> The total number of unique ortholog clusters (see Database S1) with at least one mapped sequence.

324 <sup>3</sup> The total number of sequences identified as showing 24-hour periodicity using harmonic regression.

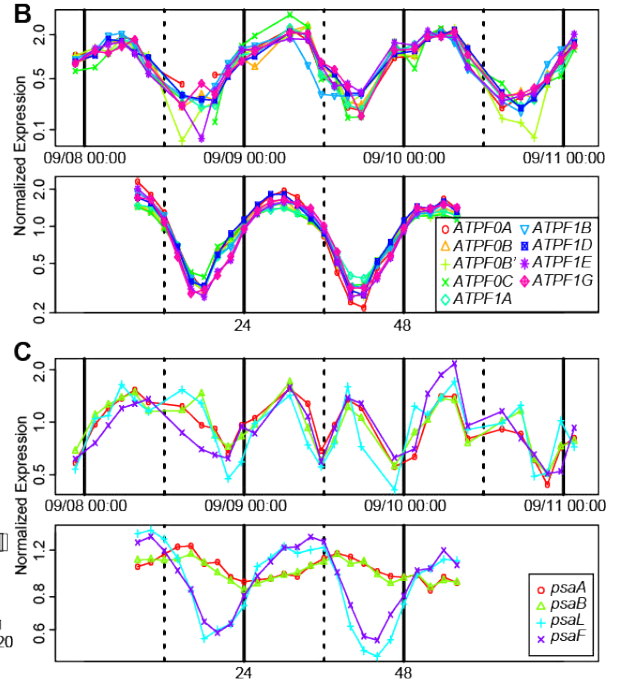
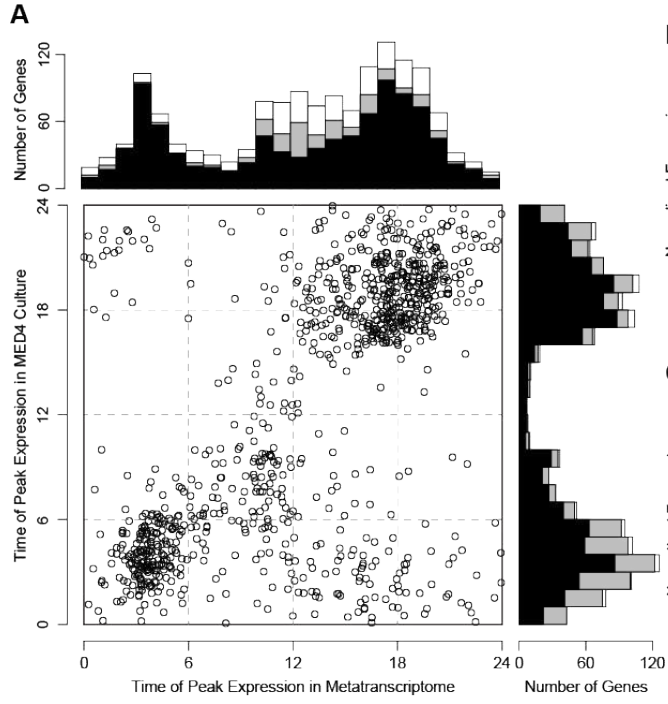
325 <sup>4</sup> Proportion of variance explained by 24-hour periodicity in constrained principal components analysis.

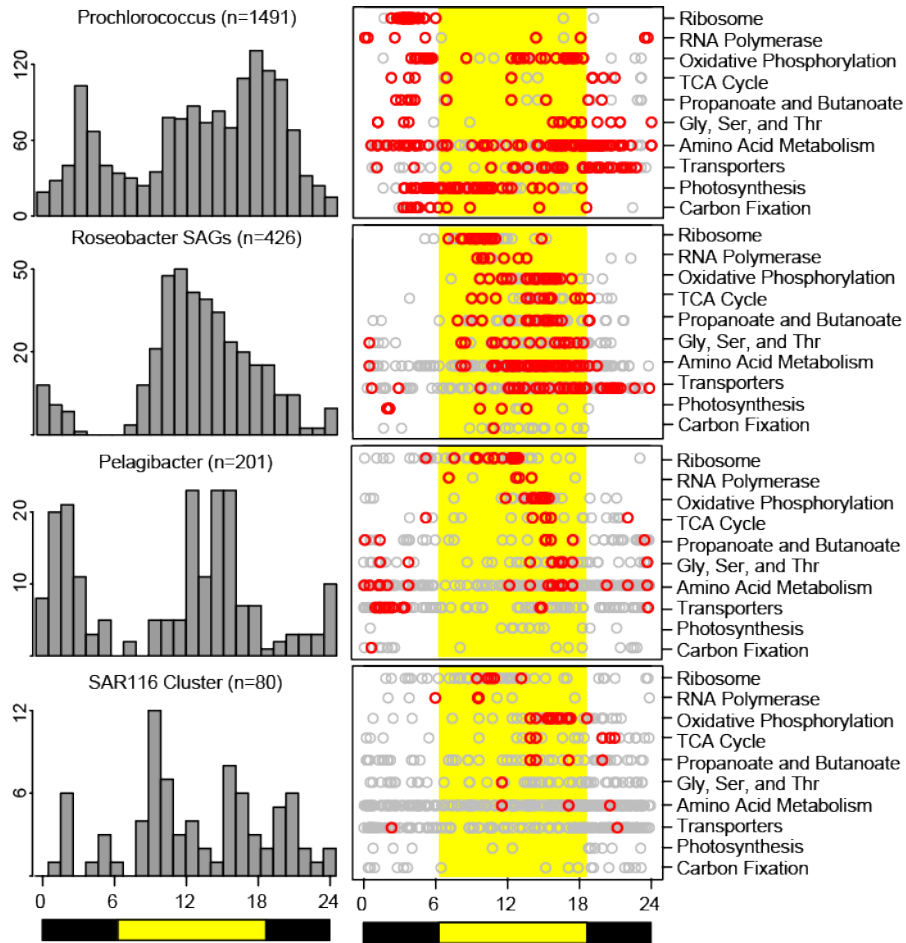
326 <sup>5</sup> Procrustes correlation between the first two principal components from *Prochlorococcus* and other taxa  
327 (unconstrained principal components analysis as shown in Fig. 3). P-value based on 999 permutations.

328 <sup>6</sup> Correlation between pairwise sample similarities from *Prochlorococcus* and heterotrophic taxa based on Mantel  
329 test on Euclidean distance matrices. P-value based on 999 permutations.

330

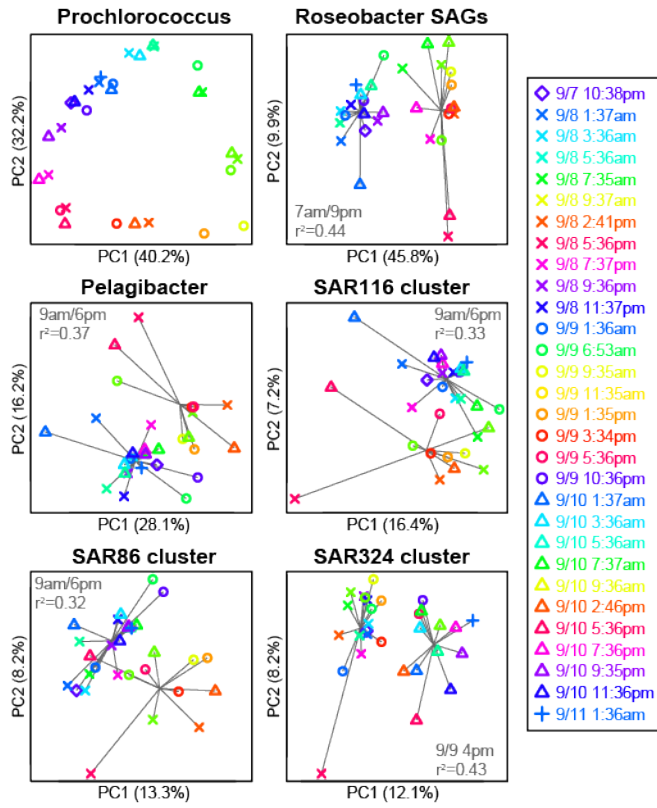
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