

# Nanopharmaceuticals (part I): products on the market

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**Abstract:** In 2000, the National Institute of Health launched the National Nanotechnology Initiative to support, coordinate, and advance research and development of nanoscale projects. The impact of this new program on health-science related research and development became quickly visible. Broad governmental financial support advanced the start of new, and the deepening of already existing, interdisciplinary research. The anticipated merger of nanoscience with medicine quickly instigated the conceptualization of nanomedicine. The adoption of nanoscience terminology by pharmaceutical scientists resulted in the advent of nanopharmaceuticals. The term “nano” became tantamount to “cutting-edge” and was quickly embraced by the pharmaceutical science community. Colloidal drug delivery systems reemerged as nanodrug delivery systems; colloidal gold became a suspension of nano gold particles. In this review, we first review nanoscience related definitions applied to pharmaceuticals, we then discuss all 43 currently approved drug formulations which are publicized as nanopharmaceuticals, and finally we analyze clinical aspects of selected drug formulations.

**Keywords:** amphotericin-B, fenofibrate, nanodrugs, nanomedicine, nanoparticle, drug delivery

## Introduction

Based on major advances in nanoscale material science, the National Institute of Health (NIH) started in the year 2000 the National Nanotechnology Initiative (NNI) as a federal government program in order to promote nanoscience-related research and development. The federal launch of a broad program generously supporting and coordinating the design, study, and exploration of nanomaterial has had a quick impact on health-science related research and development. Extensive governmental financial support greatly stimulated the launch of interdisciplinary research. The new concept of nanomedicine arose from merging nanoscience and nanotechnology with medicine. Pharmaceutical scientists quickly adopted nanoscience terminology, thus “creating” “nanopharmaceuticals”. Moreover, just using the term “nano” intuitively implied state-of-the-art research and became very fashionable within the pharmaceutical science community. Colloidal systems reemerged as nanosystems. Colloidal gold, a traditional alchemical preparation, was turned into a suspension of gold nanoparticles, and colloidal drug-delivery systems<sup>1</sup> became nanodrug delivery systems.<sup>2</sup>

The exploration of colloidal systems, ie, systems containing nanometer sized components, for biomedical research was, however, launched already more than 50 years ago<sup>3-5</sup> and efforts to explore colloidal (nano) particles for drug delivery date back about 40 years.<sup>6</sup> For example, efforts to reduce the cardiotoxicity of anthracyclines via encapsulation into nanosized phospholipid vesicles (liposomes) began at the end of the 1970s.<sup>7,8</sup> During the 1980s, three liposome-dedicated US start-up companies (Vestar in Pasadena, CA, USA, The Liposome Company in Princeton, NJ, USA, and

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Liposome Technology Inc., in Menlo Park, CA, USA) were competing with each other in developing three different liposomal anthracycline formulations. Liposome technology research culminated in 1995 in the US Food and Drug Administration (FDA) approval of Doxil<sup>®</sup>, “the first FDA-approved nanodrug”.<sup>9</sup> Notwithstanding, it should be noted that in the liposome literature the term “nano” was essentially absent until the year 2000.

A comprehensive analysis of the worldwide state of investigational and approved nanomedicine products as of January 2012<sup>10</sup> has identified 67 commercialized nanodevices and 33 marketed nanotherapeutics. A total of 25 devices and 122 therapeutics currently in development accounted for 789 ongoing clinical trials. Our review will focus on commercialized nanotherapeutics or nanopharmaceuticals only, all of which are listed in Table 1. Nanomaterials as components of medical devices or for regenerative medicine, nanoparticles with antibacterial activities when used as surface coating for medical devices, and nanodevices used for biomarker detection like nanobiochips, nanoelectrodes, or nanobiosensors will not be included in this review.

In listing the 43 products in Table 1 as nanopharmaceuticals we followed the currently widely used custom of classifying drug products as nanopharmaceuticals mainly based on the apparent size. Each of these 43 listed drug formulations have been publicized and referred to in at least one recent peer-reviewed publication or press release/media report as “nanopharmaceutical”, “nanodrug”, or “nanomedicine”. Out of these 43 approved nanopharmaceuticals, 15 received FDA (or related foreign agency) approval before the year 2000. Further, assuming a preclinical and clinical development time of at least 10 years before any new drug formulation gets marketing approval, it becomes apparent that research and development for another 22 drugs listed in Table 1 had begun long before the NNI was launched in 2000. Only four products have been approved after the year 2010. Subsequently, attributing the successful development of the vast majority of the products listed in Table 1 to the widely advertised and NNI-supported promotion of nanoscience and nanotechnology appears questionable. We believe that the undoubted promise of nanoscience and nanoengineering for the development of unique and highly efficient therapeutics has still to materialize. We will address future developments which are based on the merger of nanotechnology and material science with pharmaceutical research in part 2 of our review paper.

## What are nanopharmaceuticals?

In 2000, the NIH defined in its NNI nanotechnology as

The understanding and control of matter at dimensions between approximately 1 and 100 nanometers (nm), where unique phenomena enable novel applications not feasible when working with bulk materials or even with single atoms or molecules.<sup>11</sup>

The emphasis in this definition lies in our interpretation on the unique phenomena directly associated with this particular size range. A suitable example would be the surface plasmon resonance which is based on restricting the collective electron oscillations in a metal by limiting the shape and size of that metal. The localized surface plasmon resonance<sup>12</sup> depends on particle size being within an extremely narrow size distribution. This causes, for example, gold nanoparticles of different sizes to appear in different colors, which neither atomic gold nor bulk gold possess. In general terms, distinctive physical, chemical, and biological properties can emerge in nano-sized materials. A “true” nanomaterial therefore possesses properties which neither the bulk material nor the atoms or molecules of that same material display. In extension, engineered nanomaterials of a nearly infinite variety of sizes and shapes produced from almost any chemical substance have the potential of exhibiting unique optical, electrical, and magnetic properties.<sup>13</sup> Logically, therefore, nanopharmaceuticals have been defined by Rivera et al as

Pharmaceuticals engineered on the nanoscale, ie, pharmaceuticals where the nanomaterial plays the pivotal therapeutic role or adds additional functionality to the previous compound.<sup>14</sup>

In conclusion, in order to be classified as a “nanopharmaceutical”, we suggest the drug product has to meet two major criteria. First, nanoengineering has to play a major role in the manufacturing process. Second, the nanomaterial used has to be either essential for the therapeutic activity or has to confer additional and unique properties to the active drug entity.

Consequently, in the following we shall apply these criteria to all drug formulations listed in Table 1. Basically, we will try to answer the question as to whether these 43 commercialized drug products are the result of nanoengineering and, further, whether the nanomaterial used is either essential for the therapeutic activity or whether it adds new functionality to the original drug molecule.

## Evaluation of approved drugs as nanopharmaceuticals

### Liposomes as nanopharmaceuticals

Liposomes are formed from phospholipids and cholesterol in aqueous medium; they are characterized by an aqueous

**Table I** Approved drugs commonly referred to as “nanopharmaceuticals”

Name	Description	Mechanism of action	Approval/indication
<b>I) Liposomes</b>			
<b>AmBisome®</b>	Amphotericin B encapsulated in liposomes (60–70 nm) composed of hydrogenated soy phosphatidylcholine, cholesterol, and distearoyl phosphatidylglycerol (2/0.8/1 molar) <sup>15</sup>	MPS targeting: Liposomes preferentially accumulate in organs of the MPS. Negative charge contributes to MPS targeting. Selective transfer of the drug from lipid complex to target fungal cell with minimal uptake into human cells has been postulated <sup>16,17</sup>	FDA 1997 Systemic fungal infections (IV)
<b>DaunoXome®</b>	Daunorubicin citrate encapsulated in liposomes (45 nm) composed of distearoyl phosphatidylcholine and cholesterol (2/1 molar) <sup>18,19</sup>	Passive targeting via EPR effect: Concentration of available liposomal drug in tumors exceeds that of free drug. Liposomal daunorubicin persists at high levels for several days <sup>20</sup>	FDA 1996 HIV-related KS (IV)
<b>DepoCyt®</b>	Cytarabine encapsulated in multivesicular liposomes (20 µm; classified as nanopharmaceutical based on its individual drug containing “chambers”) made from dioleoyl lecithin, dipalmitoyl phosphatidylglycerol, cholesterol, and triolein <sup>21</sup>	Sustained release: This formulation of cytarabine maintains cytotoxic concentrations of the drug in the cerebrospinal fluid for more than 14 days after a single 50 mg injection <sup>22</sup>	FDA 1999/2007 Lymphomatous malignant meningitis (IV)
<b>DepoDur®</b>	Morphine sulfate encapsulated in multivesicular liposomes (17–23 µm; per se not a nanopharmaceutical – classified as such based only on its individual drug containing “nano-sized chambers”) made from dioleoyl lecithin cholesterol, dipalmitoyl phosphatidylglycerol, tricaprilyn, and triolein	Sustained release: After the administration into the epidural space, morphine sulfate is released from the multivesicular liposomes over an extended period of time <sup>23,24</sup>	FDA 2004 For treatment of chronic pain in patients requiring a long-term daily around-the-clock opioid analgesic (administered into the epidural space)
<b>Doxil®</b>	Doxorubicin hydrochloride encapsulated in Stealth® liposomes (100 nm) composed of N-(carbonyl-methoxypolyethylene glycol 2000)-1,2-distearoyl-sn-glycero-3-phosphoethanolamine sodium, fully hydrogenated soy phosphatidylcholine, and cholesterol <sup>9</sup>	Passive targeting via EPR effect: Extravasation of liposomes by passage of the vesicles through endothelial cell gaps present in solid tumors. Enhanced accumulation of doxorubicin in lesions of AIDS-associated KS after administration of PEG-liposomal doxorubicin <sup>25</sup>	FDA 1995 AIDS-related KS, multiple myeloma, ovarian cancer (IV)
<b>Inflexal® V</b>	Influenza virus antigens (hemagglutinin, neuraminidase) on surface of 150 nm liposomes	Mimicking native antigen presentation: Liposomes mimic the native virus structure, thus allowing for cellular entry and membrane fusion. <sup>26</sup> Retention of the natural presentation of antigens on liposomal surface provides for high immunogenicity <sup>27,28</sup>	Switzerland 1997 Influenza vaccine
<b>Marqibo®</b>	Vincristine sulfate encapsulated in sphingomyelin/cholesterol (60/40, molar) 100 nm liposomes	Passive targeting via EPR effect: Extravasation of liposomes through fenestra in bone marrow endothelium	FDA 2012 Acute lymphoid leukemia, Philadelphia chromosome-negative, relapsed or progressed (IV)
<b>Mepact™</b>	Mifamurtide (synthetic muramyl tripeptide-phosphatidylethanolamine) incorporated into large multilamellar liposomes composed of 1-palmitoyl-2-oleoyl-sn-glycerol-3-phosphocholine and 1,2-dioleoyl-sn-glycero-3-phospho-L-serine <sup>29</sup>	MPS targeting: The drug, an immune stimulant, is anchored in negatively charged liposomal bilayer membrane	Europe 2009 Non-metastasizing resectable osteosarcoma (IV)
<b>Myocet®</b>	Doxorubicin encapsulated 180 nm oligolamellar liposomes composed of egg phosphatidylcholine/cholesterol (1/1, molar)	MPS targeting: Forms “MPS depot”, slow release into blood circulation resembles prolonged infusion <sup>30</sup>	Europe 2000 Metastatic breast cancer (IV)
<b>Visudyne®</b>	Verteporfin in liposomes made of dimyristoyl-phosphatidylcholine and egg phosphatidylglycerol (negatively charged); lyophilized cake for reconstitution	Drug solubilization: Rendering drug biocompatible and enhancing ease of IV administration. No other apparent function of liposomes. Liposomal formulation instable in the presence of serum. Fast transfer of verteporfin from Visudyne® to lipoproteins <sup>31</sup>	FDA 2000 Photodynamic therapy of wet age-related macular degeneration, pathological myopia, ocular histoplasmosis syndrome (IV)

(Continued)

Table 1 (Continued)

Name	Description	Mechanism of action	Approval/indication
<b>2) Lipid-based (non-liposomal) formulations</b>			
<b>Abelcet<sup>®</sup></b>	Amphotericin B complex 1:1 with DMPC and DMPG (7:3), >250 nm, ribbon like structures of a bilayered membrane <sup>15</sup>	MPS targeting: Selective transfer of drug from lipid complex to fungal cell with minimal uptake into human cells has been postulated <sup>32,33</sup>	FDA 1995 and 1996 Marketed outside USA as Amphotecil <sup>®</sup> Systemic fungal infections (IV)
<b>Amphotec<sup>®</sup></b>	Amphotericin B complex with cholesteryl sulfate (1:1). Colloidal dispersion of disc-like particles, 122 nm × 4 nm <sup>15</sup>	MPS targeting	
<b>3) PEGylated proteins, polypeptides, aptamers</b>			
<b>Adagen<sup>®</sup></b>	PEGylated adenosine deaminase <sup>34</sup> One enzyme molecule is modified with up to 17 strands of PEG, MW 5,000, 114 oxymethylene groups per strand	Increased circulation time and reduced immunogenicity PEGylation generally increases hydrodynamic radius, prolongs circulation and retention time, decreases proteolysis, decreases renal excretion, and shields antigenic determinants from immune detection without obstructing the substrate-interaction site <sup>35,36</sup>	FDA 1990 Adenosine deaminase deficiency – severe combined immunodeficiency disease FDA 2008 Crohn's disease, rheumatoid arthritis FDA 2002 Febrile neutropenia, In patients with nonmyeloid malignancies; prophylaxis (SC) FDA 1994 Acute lymphoblastic leukemia FDA 2002
<b>Cimzia<sup>®</sup></b>	PEGylated antibody (Fab' fragment of a humanized anti-TNF-alpha antibody)		Hepatitis B and C FDA 2001 Hepatitis C FDA 2003 Acromegaly, second-line therapy FDA 2004 Intravitreal Neovascular age-related macular degeneration FDA 2007 Anemia associated with chronic renal failure in adults
<b>Neulasta<sup>®</sup></b>	PEGylated filgrastim (granulocyte colony-stimulating factor)		
<b>Oncaspar<sup>®</sup></b>	PEGylated L-asparaginase		
<b>Pegasys<sup>®</sup></b>	PEGylated interferon alfa-2b		
<b>PegIntron<sup>®</sup></b>	PEGylated interferon alfa-2b		
<b>Somavert<sup>®</sup></b>	PEGylated human growth hormone receptor antagonist		
<b>Macugen<sup>®</sup></b>	PEGylated anti-VEGF aptamer		
<b>Mircera<sup>®</sup></b>	PEGylated epoetin beta (erythropoietin receptor activator)		
<b>4) Nanocrystals</b>			
<b>Emend<sup>®</sup></b>	Aprepitant as nanocrystal	Increased bioavailability due to increased dissolution rate:	FDA 2003 Emesis, antiemetic (oral) FDA 2005
<b>Megace ES<sup>®</sup></b>	Megestrol acetate as nanocrystal	Below 1,000 nm, the saturation solubility becomes a function of the particle size leading to an increased saturation solubility of nanocrystals, which in turn increases the concentration gradient between gut lumen and blood, and consequently the absorption by passive diffusion <sup>37</sup>	Anorexia, cachexia (oral) FDA 2002 Immunosuppressant (oral) FDA 2004 Hypercholesterolemia, hypertriglyceridemia (oral)
<b>Rapamune<sup>®</sup></b>	Rapamycin (sirolimus) as nanocrystals formulated in tablets		
<b>Tricor<sup>®</sup></b>	Fenofibrate as nanocrystals		
<b>Triglide<sup>®</sup></b>	Fenofibrate as insoluble drug-delivery microparticles		
<b>5) Polymer-based nanoformulations</b>			
<b>Copaxone<sup>®</sup></b>	Polypeptide (average MW 6.4 kDa) composed of four amino acids (glatiramer)	No mechanism attributable to nanosize. Based on its resemblance to myelin basic protein, glatiramer is thought to divert as a “decoy” an autoimmune response against myelin	FDA 1996/2014 Multiple sclerosis (SC)
<b>Eligard<sup>®</sup></b>	Leuprolide acetate (synthetic GnRH or LH-RH analog) incorporated in nanoparticles composed of PLGH copolymer (DL-lactide/glycolide; 1/1, molar)	Sustained release <sup>38</sup>	FDA 2002 Advanced prostate cancer (SC)
<b>Genexol<sup>®</sup></b>	Paclitaxel in 20–50 nm micelles <sup>39</sup> composed of block copolymer poly(ethylene glycol)-poly(D,L-lactide)	Passive targeting via EPR effect	South Korea 2001 Metastatic breast cancer, pancreatic cancer (IV)

(Continued)

Table I (Continued)

Name	Description	Mechanism of action	Approval/indication
<b>Opaxio®</b>	Paclitaxel covalently linked to solid nanoparticles composed of polyglutamate	Passive targeting via EPR effect: Drug release inside solid tumor via enzymatic hydrolysis of polyglutamate	FDA 2012 Glioblastoma
<b>Renagel®</b>	Cross-linked poly allylamine hydrochloride, <sup>40</sup> MW variable	No mechanism attributable to nano size. Phosphate binder	FDA 2000 Hyperphosphatemia (oral)
<b>Zinostatin stimalamer®</b>	Conjugate protein or copolymer of styrene-maleic acid and an antitumor protein NCS. <sup>41</sup> Synthesized by conjugation of one molecule of NCS and two molecules of poly(styrene-co-maleic acid) <sup>42</sup>	Passive targeting via EPR effect <sup>43</sup>	Japan 1994 Primary unresectable hepatocellular carcinoma
<b>6) Protein–drug conjugates</b>			
<b>Abraxane®</b>	Nanoparticles (130 nm) formed by albumin with conjugated paclitaxel <sup>44,45</sup>	Passive targeting via EPR effect: Dissociation into individual drug-bound albumin molecules, which may mediate endothelial transcytosis of paclitaxel via albumin-receptor mediated pathway <sup>46,47</sup>	FDA 2005 Metastatic breast cancer, non-small-cell lung cancer (IV)
<b>Kadcyla®</b>	Immunoconjugate. Monoclonal antibody (against human epidermal growth factor receptor-2)–drug (DMI, a cytotoxin acting on microtubule) conjugate, linked via thioether	No mechanism attributable to nano size	FDA 2013 Metastatic breast cancer
<b>Ontak®</b>	Recombinant fusion protein of fragment A of diphtheria toxin and subunit binding to interleukin-2 receptor	Fusion protein binds to interleukin-2 receptor, followed by receptor-mediated endocytosis; fragment A of diphtheria toxin then released into cytosol where it inhibits protein synthesis <sup>48</sup>	FDA 1994/2006 Primary cutaneous T-cell lymphoma, CD25-positive, persistent or recurrent disease
<b>7) Surfactant-based nanoformulations</b>			
<b>Fungizone®</b>	Lyophilized powder of amphotericin B with added sodium deoxycholate. Forms upon reconstitution colloidal (micellar) dispersion (also referred to as “conventional AMB”)	Drug solubilization: Rendering drug biocompatible and enhancing ease of administration after IV injection No other apparent function of micelles, which dissociate into monomers following dilution in circulation	FDA 1966 Systemic fungal infections (IV)
<b>Diprivan®</b>	Oil-in-water emulsion of propofol in soybean oil/glycerol/egg lecithin	Drug solubilization: Rendering drug biocompatible and enhancing ease of administration after IV injection	FDA 1989 Sedative–hypnotic agent for induction and maintenance of anesthesia (IV)
<b>Estrasorb™</b>	Emulsion of estradiol in soybean oil, polysorbate 80, ethanol, and water	Drug solubilization	FDA 2003 Hormone replacement therapy during menopause (transdermal)
<b>8) Metal-based nanoformulations</b>			
<b>Feridex®</b>	Superparamagnetic iron oxide nanoparticles coated with dextran. Iron oxide core 4.8–5.6 nm, hydrodynamic diameter 80–150 nm	MPS targeting: 80% taken up by liver and up to 10% by spleen within minutes of administration. Tumor tissues do not take up these particles and thus retain their native signal intensity <sup>36</sup>	FDA 1996 Liver/spleen lesion MRI (IV) Manufacturing discontinued in 2008
<b>Feraheme™ (Ferumoxytol)</b>	Superparamagnetic iron oxide nanoparticles coated with dextran. Hydrodynamic diameter >50 nm	MPS targeting: Iron released inside macrophages, subsequently enters into intracellular storage iron pool, or is transferred to plasma transferrin	FDA 2009 Treatment of iron deficiency anemia in adults with chronic kidney disease
<b>NanoTherm®</b>	Aminosilane-coated superparamagnetic iron oxide 15 nm nanoparticles	Thermal ablation: Injecting iron oxide nanoparticles exposed to alternating magnetic field causing the nanoparticles to oscillate, generating heat directly within the tumor tissue	Europe 2013 Local ablation in glioblastoma, prostate, and pancreatic cancer (intratumoral)

(Continued)

Table 1 (Continued)

Name	Description	Mechanism of action	Approval/indication
<b>9) Virosomes</b>			
<b>Gendicine®</b>	Recombinant adenovirus expressing wildtype-p53 (rAd-p53)	"[...] the adenoviral particle infects tumor target cells and delivers the adenovirus genome carrying the therapeutic p53 gene to the [...] nucleus [...] The expressed p53 gene appears to exert its antitumor activities" <sup>49</sup>	People's Republic of China 2003 Head and neck squamous cell carcinoma
<b>Rexin-G®</b>	Gene for dominant-negative mutant form of human cyclin G1, which blocks endogenous cyclin-G1 protein and thus stops cell cycle, inserted into retroviral core (replication-incompetent retrovirus) devoid of viral genes. About 100 nm particle	Targeted gene therapy: This retrovirus-derived particle targets specifically exposed collagen, which is a common histopathological property of metastatic tumor formation <sup>50,51</sup>	Philippines 2007 For all solid tumors

**Abbreviations:** DMPC, dimyristoylphosphatidylcholine; DMPG, dimyristoyl phosphatidylglycerol; EPR, enhanced permeability and retention; FDA, US Food and Drug Administration; GnRH, Gonadotropin-releasing hormone; IV, intravenous; KS, Kaposi's sarcoma; LH-RH, Luteinizing hormone-releasing hormone; MPS, Mononuclear phagocyte system; MRI, magnetic resonance imaging; MW, molecular weight; NCS, neocarzinostatin; PEG, polyethylene glycol; PLGH, poly-(D,L-lactide-co-glycolide); SC, subcutaneous.

inner space, or several of them, which are surrounded by one or more phospholipid bilayers. Based on the particular liposome preparation method, the size distribution of liposomes can range between 25 and 1,000 nm. Typically and most commonly used, liposome preparations display a size between 50 and 200 nm. Liposomes are used as drug carriers or drug-delivery systems based on their ability to encapsulate hydrophilic molecules in their aqueous inner space as well as hydrophobic molecules in their phospholipid bilayer membranes. Are all drugs associated with liposomes "nanopharmaceuticals"? Liposomes form spontaneously upon hydration of dry phospholipids. The self-assembly of phospholipids into bilayer membranes may take days or weeks when the water/phospholipid system is left undisturbed. Adding kinetic energy in the form of sonic or thermal energy, on the other hand, significantly accelerates the self-assembly of phospholipids into vesicles. For making liposomes suitable for therapeutic applications, their size distribution has to be controlled, which can easily be done by passing them repeatedly under elevated pressure through membranes with defined pore size. Further, a major feature of the first approved liposomal drug, Doxil®, is the presence of polyethylene glycol (PEG) chains on the liposomal surface. PEG is attached to phospholipids in the liposomal membrane via a simple one-step chemical conjugation reaction, which hardly qualifies as nanoengineering. In fact, neither probe sonication nor pressure filtration would qualify either. It should be noted, however, that new and emerging technologies like microfluidics and nanofluidics when applied to liposome preparations will potentially change this situation.

However, liposomes (when considered as nanomaterial) most certainly meet the second part of the above definition. The ability of phospholipid vesicles to incorporate an unlimited

variety of compounds is used to add new functionality to low-molecular and already FDA approved drug molecules, something Gregoriadis described already back in the early 1970s as putting "old drugs in[to] new clothing".<sup>52,53</sup> As can be seen from Table 1, liposomal drugs can follow one of three mechanisms of action (or combinations thereof), all of which involve altering the pharmacokinetics (PK) of the free drug. First, the enhanced permeability and retention effect (EPR effect) is based on defective vascular architecture and impaired lymphatic drainage of solid tumors.<sup>54</sup> Provided a particulate nanocarrier possesses a sufficiently long circulation half-life, a small portion will passively accumulate in the interstitial tissue of a solid tumor, while at the same time avoiding other tissues due to its inability to extravasate from healthy vasculature.<sup>55-57</sup> The cutoff size of the gaps in the endothelial cell lining of human colon adenocarcinoma LS174T transplanted in dorsal skin chambers in severe combined immunodeficient mice, for example, was observed to be between 400 and 600 nm in diameter.<sup>58</sup> The major strategy towards increasing the longevity of liposomes in the circulatory system is based on modifying the liposomal surface with PEG, a technology which made the development of Doxil® possible.<sup>9,57</sup>

Second, targeting of the mononuclear phagocytic system (MPS) is based on what was considered in the 1970s and 1980s a major obstacle for the utilization of liposomes as drug carriers: namely, their rapid uptake by MPS cells such as macrophages and monocytes.<sup>59</sup> Phagocytosis has even become known as the "natural fate" of liposomes.<sup>60</sup> Whether intravenously injected liposomes are seeking or avoiding cells and organs of the MPS system almost solely depends on the absence or presence of PEG chains on the liposomal surface.<sup>61</sup>

Third, multilamellar liposomes (MLVs) are the liposomes of choice when using them as a slow or sustained release drug

carrier. MLVs are composed of multiple bilayer membranes (like an onion) with each membrane surrounding one aqueous compartment suitable for accommodating low-molecular-weight hydrophilic molecules. Once drug loaded MLVs are distributed, for example, via local injection to a specific tissue, the liposomes will slowly disintegrate via a variety of mechanisms (ideally membrane by membrane), thereby gradually releasing the encapsulated drug.

From the above it becomes obvious that the major advantages of using liposomes (altered PK, improved bioavailability, and reduced toxicity) can well be materialized in size ranges larger than 100 nm. Therefore, the NNI's definition of nanotechnology when applied to drugs seems to "pigeonhole nanotechnology into dimensions of roughly 1 to 100 nm"<sup>62</sup> and should be extended. This would make nanotechnology applied to wet science also more consistent with classic science, according to which colloidal solutions (in contrast to true solution or suspensions) contain particles that have at least one dimension between approximately 1 nm and 1  $\mu\text{m}$ <sup>63</sup> (by which we do not intend to suggest stretching the upper limit in the size range definition of nanotechnology to 1  $\mu\text{m}$ ).

## Lipid-based (non-liposome) nanoformulations

The above discussion of liposomes as nanopharmaceuticals applies equally to Abelcet<sup>®</sup> and Amphotec<sup>®</sup>, though electron microscopic images or other relevant data supporting the claimed unique ribbon and disk-like structures of both formulations appear to be unavailable. Interestingly, however, the half-life and the volume of distribution of amphotericin B (AMB) administered as Amphotec<sup>®</sup> seems almost identical to that of the free drug,<sup>64</sup> suggesting that Amphotec<sup>®</sup> quickly disintegrates upon intravenous (IV) injection.

## PEGylated proteins and polypeptides as nanopharmaceuticals

Generally, therapeutically used physiological macromolecules like proteins, enzymes, and polypeptides would already qualify as nanopharmaceuticals due to their size alone. Nonetheless, nanoengineering (provided one accepts a one-step chemical reaction as such) confers additional functions or properties to the original macromolecule. PEGylation of biologically active macromolecules generally increases their hydrodynamic radius, prolongs their circulation and retention time, decreases their proteolysis, decreases their renal excretion, and shields antigenic determinants from immune detection without obstructing the substrate-interaction site.<sup>35,36</sup> Nevertheless, attaching PEG chains to proteins

as a strategy for extending their blood life and reducing their immunogenicity was developed already in the early 1970s and patented in 1979.<sup>35,65</sup> Consequently, Enzon Pharmaceuticals (Piscataway, NJ, USA) was founded in 1981, a successful biotech company which brought a large variety of PEGylated protein pharmaceuticals to the market.

## Polymer-based nanoformulations as nanopharmaceuticals

Polymer-based nanoformulations comprise a very heterogeneous group of nanosized therapeutics. Eligard<sup>®</sup>, Genexol<sup>®</sup>, Opaxio<sup>®</sup>, and Zinostatin Stimalamer<sup>®</sup> would qualify as nanopharmaceuticals when evaluated by the same criteria applied to liposomes. The therapeutically active entities are incorporated in polymer-based nanosized formulations, the result of which is significantly altered PK. Passive targeting via the EPR effect and sustained release are the subsequent mechanism of actions for these formulations. Copaxone<sup>®</sup> (a polypeptide composed of four amino acids) and Renagel<sup>®</sup> (cross-linked polyallylamine hydrochloride), on the other hand, hardly meet the above discussed criteria for a true nanopharmaceutical. First, the properties of the polymer certainly differ from that of the monomer, but what should be considered in these cases as the bulk material? Again, the uniqueness of the nano concept, as laid out by the NNI, is associated with unique phenomena a certain nanomaterial is supposed to have – unique properties which are neither displayed by the bulk material nor the individual atoms or molecules of the same material. Second, categorizing or renaming chemical polymerization reactions, as introduced by Staudinger in the early 1920s, as "nanoengineering" might appear from a chemist's point of view as an extreme extension of definitions originally applied to "nano" and to "engineering", and subsequently to "nanoengineering". Third, in the case of Copaxone<sup>®</sup> and Renagel<sup>®</sup>, it remains unclear which nanomaterial is adding which additional functionality to which original drug molecule. Both drug products are efficient therapeutics made of simple polymers with a size of at least one of their dimension on the nanometer scale. Therefore, classifying them as "nanopharmaceuticals" we believe is disputable.

## Protein–drug conjugates as nanopharmaceuticals

Over the last decade, albumin has gained significant attention as a potential carrier for therapeutic agents suitable for improving the pharmacokinetic profile of the free drug, and an increasing number of albumin-based therapeutics are

currently in clinical trials.<sup>66</sup> The prototype of albumin-based pharmaceuticals is undoubtedly Abraxane<sup>®</sup>, which comprises 130 nm-sized nanoparticles prepared from albumin with conjugated paclitaxel. Just like any other particulate nanocarrier, albumin particles alter the PK of the free drug, subsequently leading to its passive accumulation at the site of solid tumors via the EPR effect. In addition, following the dissociation of the protein nanoparticle into individual drug-bearing albumin molecules, specific albumin-receptor mediated cellular uptake mechanisms have been discussed.<sup>46,47</sup>

Kadcyla<sup>®</sup> and Ontak<sup>®</sup>, an immunoconjugate and a recombinant fusion protein, respectively, are prototypes for targeted therapeutics. While the former selectively delivers the drug to epidermal growth factor receptor-2-expressing cells, the latter targets cells expressing the interleukin-2 receptor. The discussed definition of nanopharmaceuticals as drugs (in the section “What are nanopharmaceuticals?”) in which the nanomaterial plays a pivotal role by adding new functions to the previous compound seems to apply to both drugs provided one accepts individual proteins as “nanomaterials”.

## Surfactant-based nanoformulations

Fungizone<sup>®</sup> is a preparation consisting of a dry powdery mixture of water-insoluble AMB and sodium deoxycholate. Upon adding buffer, the deoxycholate solubilizes the drug by forming polydisperse micelles.<sup>67</sup> Though the size of micelles lies in the nanometer range, for the consideration of micellar drug formulation as nanopharmaceuticals their stability in vivo should be considered. The critical micellar concentration (CMC) of deoxycholate is 2–6 mM (water, 20°C–25°C). Once Fungizone<sup>®</sup> has been injected into the blood stream (41 mg into 5 L blood), the deoxycholate concentration is diluted to about 0.02 mM, ie, two orders of magnitude below the CMC. Though the CMC of deoxycholate measured in blood might differ from the CMC in water, it appears as reasonable to assume that deoxycholate micelles most-likely will disintegrate upon IV injection. Subsequently, the micellar nanoformulation of AMB has no impact on the PK of the drug at all; the only function of deoxycholate is to make an IV injection possible by solubilizing the drug. Therefore, in our clinical analysis of AMB formulations below, we will compare Fungizone<sup>®</sup> as “conventional” AMB formulation with Abelcet<sup>®</sup>, Amphotec<sup>®</sup>, and AmBisome<sup>®</sup>, all of which utilize advances in lipid-based drug delivery technology.

The excipients used for Diprivan<sup>®</sup> and Estrasorb<sup>®</sup> (soybean oil, glycerol, and egg lecithin and polysorbate, ethanol, and soybean oil, respectively) are not known to form stable nanosized aggregate systems in the respective

combinations used. Not surprisingly, Diprivan<sup>®</sup> has been called “liposome”,<sup>62</sup> called “micellar preparation”,<sup>68</sup> and named “emulsion” in the package insert provided by Gensia Sicor Pharmaceuticals, Inc (Irvine, CA, USA). Likewise, Estrasorb<sup>®</sup> is marketed as “micro-encapsulated estradiol”<sup>69</sup> and described as estradiol “encapsulated using a micellar nanoparticle technology” in the package insert provided by Novavax, Inc (Gaithersburg, MD, USA).

## Nanocrystals

Nanocrystals constitute a unique group of pharmaceuticals, as they are composed of 100% water-insoluble drug without any added excipient or any associated nanocarrier system. The dissolution of solid particles in aqueous medium is described by the Noyes–Whitney equation, according to which an increased surface area increases dissolution velocity. Therefore, micronization is widely used as a common formulation method for sparingly soluble compounds. However, on the nanometer scale another factor comes into play. The saturation solubility is a constant and depends on the chemical nature of the solid material, the dissolution medium, and the temperature. Yet below a critical size, the saturation solubility becomes also a function of the particle size. Below 1,000 nm it increases with decreasing particle size. Subsequently, drug nanocrystals possess increased saturation solubility, which in turn increases the concentration gradient between gut lumen and blood, and thereby increases the absorption by passive diffusion.<sup>37</sup> In conclusion, nanocrystals are characterized by a unique phenomenon, ie, by an increased dissolution pressure, which can directly be attributed to their nanometer size. To what extent, or whether at all, this unique phenomenon translates into improved clinical efficacy will be discussed in the section “Fenofibrate formulation” for fenofibrate nanocrystal formulations.

## Virosomes

Viruses have evolved over millions of years, perfecting their ability to insert their genetic information into mammalian host cells. Attempts to utilize that unique nature-designed ability of infecting cells for gene therapy date back to the early 1980s.<sup>70–73</sup> Following a 10-year moratorium during which regulatory and oversight issues were addressed by governmental agencies, NIH approved the first gene transfer into humans in 1990.<sup>74</sup> As of January 2014, worldwide, a total of about 1,400 clinical gene-therapy trials using viral vectors have received regulatory approval.<sup>75</sup>

The world’s first commercial gene therapies were approved in the People’s Republic of China and the Philippines. In October 2003, Shenzhen SiBiono GenTech (Shenzhen, People’s



Republic of China) obtained a drug license from the State Food and Drug Administration of China (SFDA, Beijing, People's Republic of China) for Gendicine<sup>®</sup>, a recombinant Ad-p53 gene therapy for head and neck squamous cell carcinoma.<sup>49,76</sup> It should be noted, however, that the approval of Gendicine<sup>®</sup> by Chinese authorities has raised concerns among the Western medical community, mainly about genuine medical–scientific progress yielding to financial interests.<sup>77</sup> But any discussion thereof is beyond the scope of this review.

Rexin-G<sup>®</sup>, being described as the “first targeted injectable molecular genetic medicine”,<sup>50</sup> received its approval in the Philippines in 2007. In the United States, six clinical trials (Phase I and II) have been conducted with Rexin-G<sup>®</sup> between 2007 and 2012: four have been completed, two have been terminated. Results from these six trials have not been made available.<sup>50</sup> In Rexin-G, a von Willebrand factor-derived collagen-binding motif was incorporated via molecular engineering into the murine leukemia virus ecotropic envelope protein while maintaining its wild-type amphotropic infectivity.<sup>78</sup> At the same time, the retroviral core was depleted of viral genes, which were replaced by molecular engineering with a gene for a dominant-negative mutant form of human cyclin G1, which in turn is able to block the natural cell cycle. Rexin-G<sup>®</sup> embodies a nanopharmaceutical in which the nanomaterial, the original virus, was prefabricated by nature. Man-made nanomaterials created by the merger of nanotechnology and material science form the basis for Feridex<sup>®</sup>, Feraheme<sup>™</sup>, and NanoTherm<sup>®</sup>, which will be discussed next.

## Metal-based nanoparticles

Feridex<sup>®</sup> comprises an aqueous colloid solution of superparamagnetic iron oxide particles (SPION) with a diameter of around 5 nm, which have been surface-modified with dextran causing an increase of the particles' hydrodynamic diameter to up to 150 nm. Coated iron oxide nanoparticles are referred to as SPION if the overall hydrodynamic size is greater than 50 nm, while particles below 50 nm are named ultra-small SPION.<sup>79</sup> Feridex<sup>®</sup> was FDA approved in 1996 for IV administration as a magnetic resonance imaging (MRI) contrast media.<sup>80</sup> Cells and organs of the MPS system rapidly internalize these iron oxide particles as nonphysiological particular matter while malignant transformed cells have only a very limited ability to do so. Subsequently, using MRI, liver and spleen lesions become better distinguishable from nontransformed surrounding tissues. The production of Feridex<sup>®</sup> was discontinued in 2008.

Ferumoxytol (Feraheme<sup>™</sup>) has been FDA approved for the treatment of iron deficiency anemia in adult patients with chronic kidney disease.<sup>81</sup> Ferumoxytol releases iron inside

macrophages of the MPS system. Iron then enters into either the intracellular storage iron pool or is transferred to plasma transferrin. Ferumoxytol has also been discussed as a new superparamagnetic iron oxide colloidal blood pool contrast agent<sup>82</sup> and is currently under development as a novel imaging agent for MRI-based diagnosis of cancer and cardiovascular diseases (see Part 2 of this review).

NanoTherm<sup>®</sup>, developed by MagForce Ag (Germany), and most recently approved in Europe, is a therapeutic product which perhaps meets most closely the above-discussed criteria for a nanopharmaceutical. NanoTherm<sup>®</sup> has been engineered from nonphysiological inorganic material on the nanoscale with the nanomaterial playing the pivotal therapeutic role. NanoTherm<sup>®</sup> represents an example of inorganic systems currently under development which:

Open novel horizons for diagnosis, imaging and therapy mainly because of their nanometer-size and their high surface area to volume ratios which allow for specific functions that are not possible in the micrometer-size particles.<sup>14</sup>

NanoTherm<sup>®</sup> comprises aminosilane-coated 15 nm-sized SPION, which are directly introduced into the solid tumor mass. Exposure to a magnetic field that changes its polarity 100,000 times per second causes these particles to significantly increase their core temperature. Depending on the length of exposure to the oscillating magnetic field, the achievable intratumoral temperatures vary and either directly destroy tumor cells (thermal ablation) or sensitize them for chemotherapy (hyperthermia). Since most of the nanoparticles stay at the treatment area, follow-up treatments are possible.

## Clinical data

In this section we will review the clinical benefits (efficacy, safety, tolerability, adverse drug reactions [ADRs], and cost-related aspects) of conventional versus new nanoformulations of two therapeutic agents: AMB and fenofibrate. According to our assessment, the new nanoformulations offer improved clinical benefit as compared to the conventional product in the case of AMB, but not for fenofibrate.

## AMB formulations

### Overview

Conventional AMB, Fungizone<sup>®</sup> AMB deoxycholate, has been available for use and considered the “gold standard” of therapy to treat invasive systemic fungal infections since the early 1960s.<sup>83,84</sup> Despite broad-spectrum activity, the successful clinical use of Fungizone<sup>®</sup> is limited by accompanying

high rates of nephrotoxicity. This adverse effect becomes particularly important when used in patients for long periods of time (cumulative dose), if there is impaired renal function at baseline, and/or if it is used concomitantly with other nephrotoxic agents. In fact, rates of renal dysfunction have been reported to be as high as 53% with conventional AMB.<sup>85</sup>

With conventional AMB use, clinicians have been forced to alter the dose, frequency, and/or duration in patients who develop acute kidney injury (AKI) while being treated. Such changes, while avoiding irreversible damage to the kidney, may make therapy subtherapeutic. In fact, higher mortality rates have been reported in patients who developed AKI compared to those who retained normal kidney function while receiving conventional AMB.<sup>86</sup> Therefore, the development of agents which allow effective dosing may have a dramatic effect on clinical cure and mortality rates. The high rates of nephrotoxicity combined with infusion-related reactions (fevers, rigors, chills) that patients experience early in therapy, has earned conventional AMB its nickname of “amphoterrible”. During the 1980s and early 1990s, new formulations based on advances in liposome- and lipid-based drug-delivery technology were developed in efforts to improve successful AMB use. The main goal was to allow higher doses over a prolonged period of time with decreased nephrotoxicity. Between 1995 and 1997, the FDA approved three lipid-based AMB formulations – Abelcet<sup>®</sup>, Amphotec<sup>®</sup>, and AmBisome<sup>®</sup> (for brief description see Table 1) – which were labeled “nanoformulations” following the launch of the NNI in 2000. These new nanoformulations of AMB seem to take advantage of higher rates of tissue penetration in the liver, spleen, pulmonary tissue, and brain in a dose-dependent fashion, while renal AMB levels are comparable to those obtained with conventional AMB.<sup>87</sup> This effect may allow higher levels of nanoformulated AMB to penetrate infected areas while maintaining or decreasing the exposure to the kidney. AmBisome<sup>®</sup>, the smallest (diameter of 60–70 nm) of the three nanoformulations, has been reported to have four- to seven-times higher concentrations in the brain tissue than any of the other formulations, while Abelcet<sup>®</sup> appears to have superior pulmonary perfusion compared to the other agents.<sup>88</sup> Clinical trials have yet to be conducted to determine whether there are efficacy differences between the three nanoformulations dependent on the site of the infection.

### Mortality

Several prospective studies have established noninferiority of the nanoformulations in regards to mortality rates as compared to conventional AMB.<sup>89–93</sup> Harbarth et al<sup>86</sup> performed

a study which showed improve mortality rate with the use of Abelcet<sup>®</sup> as compared to conventional AMB which may have been attributable to reduced nephrotoxicity rates. In addition, one meta-analysis of all three nanoformulations as compared to conventional AMB demonstrated a significantly reduced risk of all-cause mortality (odds ratio [OR] 0.72; 95% confidence interval [CI] 0.54–0.97).<sup>94</sup>

### Clinical cure

Several studies have shown similar clinical cure rates (confirmed microbiological cases) with nanoformulations of AMB as compared to conventional AMB.<sup>89–94</sup> In the case of fungal infections, clinical cure becomes difficult to measure due to the ineffective microbiological growth. Due to low rates of confirmed fungal cases in reported studies, the clinical cure rate has not been found to be significantly different between the nanoformulation products and conventional AMB as statistical power was not likely met.

### Nephrotoxicity

Based on numerous trials, the most dramatic and beneficial effect of all three nanoformulations appears to be the decreased rate of nephrotoxicity as compared to conventional AMB.<sup>86,89,91,92,94,95,97</sup> The definition of nephrotoxicity varies amongst studies and may be reported as a doubling of serum creatinine, an increase of serum creatinine by at least 1 mg/dL, or a 50% decrease in calculated creatinine clearance. A meta-analysis done by Barrett et al<sup>94</sup> demonstrated reduced nephrotoxicity (doubling of serum creatinine) by 58% with both Abelcet<sup>®</sup> and AmBisome<sup>®</sup> compared with conventional AMB (OR 0.42; 95% CI 0.33–0.54), while a study conducted by White et al<sup>97</sup> demonstrated a 77% reduction in nephrotoxicity (composite score of change in serum creatinine) with Amphotec<sup>®</sup> as compared to conventional AMB (OR 0.23; 95% CI 0.12–0.43). It should be noted that the nanoformulations have not been directly compared to each other; thus, it is unknown if one agent is superior in the amount of renal protection provided in the same patient population.

### Infusion rate

Two of the nanoformulations, Abelcet<sup>®</sup> and AmBisome<sup>®</sup>, allow a faster infusion rate (a 2-hour infusion for maximal dosing) than either Amphotec<sup>®</sup> or conventional AMB (a 5-hour infusion for maximal dosing). According to the product information provided in 1997 by Fujisawa USA Inc., the infusion time with AmBisome<sup>®</sup> may be reduced even further to a minimum of 1 hour in tolerant patients. The necessity to prolong infusion time is secondary to

high rates of infusion-related reactions (chills, rigors, fever) associated with Amphotec<sup>®</sup> and conventional AMB. According to the product information provided in 1996 by The Liposome Company, Amphotec<sup>®</sup> has even higher rates of infusion related reactions than conventional AMB. The infusion-related reactions are generally most severe with the first dose and generally decline with time. The more convenient formulations (Abelcet<sup>®</sup> and AmBisome<sup>®</sup>) may allow for decreased home health-care time as well as freeing IV lines for administration of other necessary therapies while hospitalized.

### Other ADRs

The nanoformulations appear to have similar rates of other adverse effects (not mentioned above) compared to conventional AMB (incidence of 5%–10% listed): nausea/vomiting, dyspnea, tachycardia, and hypokalemia (reports range up to 50% due to varying definitions).

### Cost

The cost of the new nanoformulations is dramatically increased compared to the cost of conventional AMB (Table 2). Cost analyses are complex and difficult to truly assess when including non-pharmacy related expenditures such as AKI which may incur dialysis costs and those associated with prolonged length of stay; mortality may also appear to decrease costs due to shortened length of stay. A retrospective analysis<sup>98</sup> reviewed 707 charts to determine the cost of renal failure associated with conventional AMB that resulted in an increased total cost of \$29,823/patient who developed AKI. When this cost was averaged out amongst all study participants, the increased cost of conventional-AMB-associated renal failure was \$8,947 (or \$596 per day).

Of note, like all retrospective reviews, causality of renal failure cannot be determined due to confounding contributory variables that may have existed. Based on this information, the nanoformulations may be more cost-effective than conventional AMB when the cost of the product is less than approximately \$600/day. An even more practical approach may be to only use conventional AMB in patients who have low risk of developing AKI (ie, younger patients without other concomitant nephrotoxic agents and who have normal baseline renal function).

### Summary about AMB formulations

AMB has three nanoformulations which have been developed and studied as compared to conventional AMB. Of all commercially available nanoproducts, AMB has probably been one of the most robustly studied and reviewed as far as clinical application. It appears that all three nanoformulations have been proven to be as effective as conventional AMB and to potentially improve mortality rates, but not clinical cure rates, compared to the use of conventional AMB. Conventional AMB dosing is limited due to high rates of nephrotoxicity. The potentially improved mortality rates observed with the nanoformulations may be directly attributable to the ability to use higher doses for the long duration necessary to treat invasive systemic fungal infections by avoiding the potential nephrotoxicity caused by conventional AMB. Two of the nanoformulations (Abelcet<sup>®</sup> and AmBisome<sup>®</sup>) also allow faster infusion rates than conventional AMB with less infusion-related reactions, thus potentially decreasing home health-care time and freeing IV lines in the inpatient setting.

While it appears that the AMB nanoformulations have proven themselves an important development for the

**Table 2** Clinical data of amphotericin B formulations

Amphotericin B products	Clinical efficacy (all nanoproducts versus Fungizone <sup>®</sup> ) <sup>94</sup>	Safety (all nanoproducts versus Fungizone <sup>®</sup> ) <sup>94</sup>	Common dose	Cost*
Fungizone <sup>®</sup>			IV 0.3–1.5 mg/kg/day (max: 1.5 mg/kg/day)	\$96/day (max dose)
Abelcet <sup>®</sup>	Noninferiority confirmed	↓ Nephrotoxicity	IV 5 mg/kg/day (doses of up to 6 mg/kg/day have been used)	\$840/day
Amphotec <sup>®</sup>	↓ Mortality rates	↓ Infusion time (Amphotec <sup>®</sup> and AmBisome <sup>®</sup> )	IV 3–4 mg/kg/day (doses up to 6 mg/kg/day have been used)	\$448/day
AmBisome <sup>®</sup>	No difference in clinical cure rates	Abelcet <sup>®</sup> has ↑ infusion related toxicities	IV 3–6 mg/kg/day (doses up to 15 mg/kg/day have been used clinically)	\$1,646/day

**Note:** \*Cost relates to price per day for a 70 kg patient using the upper limit of dosing range.

**Abbreviations:** ↓, decreased; ↑, increased; IV, intravenous.

treatment of invasive fungal infections, they have not been directly compared to each other. Until such studies have been conducted, the determination of which agent to use should be based on the availability and cost to the institution. Based on our review, the use of the AMB nanoformulations, when and where available, is recommended over the use of conventional AMB in patients at highest risk for developing AKI (patients on concomitant nephrotoxic agents and/or increased baseline serum creatinine levels).

## Fenofibrate formulations

### Overview

Fenofibrate, marketed under various trade names, is a popular antilipidemic medication known for its poor oral bioavailability, requiring it to be dosed with food. Several dosage forms of fenofibrate are currently available (Table 3). Each aims to increase the bioavailability of the medication, primarily through smaller particle size, thus eliminating the need to be dosed with meals. The current formulation of Tricor<sup>®</sup> and Triglide<sup>®</sup> are nanoparticle formulations of fenofibrate. Fenofibrate is a peroxisome proliferator receptor alpha activator and is used as an adjunct to diet for the treatment of hypercholesterolemia and hypertriglyceridemia (product information for Tricor, Abbott Laboratories January 2014). Fenofibrate is an inactive prodrug that once hydrolyzed forms fenofibric acid.<sup>99</sup> Fenofibric acid reduces total cholesterol (TC), low-density lipoprotein cholesterol (LDL), triglycerides (TG), and very-low-density lipoprotein concentrations; and increases high density lipoprotein cholesterol (HDL).

This class of antilipidemics, commonly referred to as fibrates, also includes gemfibrozil (brand name Lopid<sup>®</sup>) and clofibrate (now discontinued). Fenofibrate is known for fewer ADRs and drug interactions than others in the fibrate class. Chemically, fenofibrate is a lipophilic and poorly soluble compound; therefore, maintaining therapeutic blood levels is difficult. In its original formulation, fenofibrate displayed poor bioavailability, which increased by 35% when taken with food.<sup>37</sup> Meals containing higher fat levels may further increase absorption of fenofibrate by acting as an emulsifier to increase its solubility.<sup>37</sup> Therefore, patients are counseled to take this fenofibrate formulation with meals, possibly negatively affecting compliance and subsequent lipid control. Various manufacturers of fenofibrate have aimed to improve formulations by decreasing particle size in efforts to increase solubility and bioavailability, therefore eliminating administration constraints.<sup>99</sup>

Table 3 lists all currently available fenofibrate formulations. The brand-named Tricor<sup>®</sup> has been reformulated and rereleased twice since its original 1998 micronized capsule formulation (Tricor<sup>®</sup>1). In 2001, a micronized tablet (Tricor<sup>®</sup>2) replaced the capsule and in May 2004, Abbott Laboratories (Abbott Park, IL, USA) released Tricor<sup>®</sup> 145 mg fenofibrate using nanoparticle technology (Tricor<sup>®</sup>NP).<sup>100</sup> This latest formulation does not have food restrictions, potentially increasing patient compliance and blood levels of fenofibrate.<sup>101</sup>

Abbott Laboratories, the maker of all formulations of Tricor<sup>®</sup>, is under legal scrutiny due to the alleged “subtle”

**Table 3** Clinical data on fenofibrate products

Product	Formulation	Clinical efficacy	Safety	Strength	Cost*
Antara <sup>®</sup>	Micronized capsule	No mortality benefit in those with type 2 diabetes. <sup>102-104</sup>	No differences in safety outcomes	30 mg	\$78.42
				43 mg <sup>+</sup>	\$70.58
				90 mg	\$230.88
				130 mg <sup>+</sup>	\$207.79
Fenoglide	MeltDose tablets			40 mg	\$106.20
				120 mg	\$318.96
Lofibra <sup>®</sup>	Film-coated tablet (formerly Tricor <sup>®</sup> 2)			54 mg <sup>+</sup>	\$23.76
				160 mg <sup>+</sup>	\$74.63
	Micronized capsule (formerly Tricor <sup>®</sup> 1)			67 mg <sup>+</sup>	\$31.70
				134 mg <sup>+</sup>	\$58.77
Lipofen <sup>®</sup>	Lidose capsule			200 mg <sup>+</sup>	\$94.52
				50 mg	\$87.96
				150 mg	\$191.44
Tricor <sup>®</sup>	Nanocrystal (Tricor <sup>®</sup> NP)	Lipid profile shows decreased TG and LDL. Questionable clinical significance. <sup>105,106</sup> Bioequivalence for Tricor <sup>®</sup> NP and Tricor <sup>®</sup> 1. <sup>100</sup>		48 mg <sup>+</sup>	\$57.29
				145 mg <sup>+</sup>	\$171.86
Triglide <sup>®</sup>	IDD-P	Equivalent absorption when compared to Tricor <sup>®</sup> 1 and Tricor <sup>®</sup> 2. <sup>107</sup>		160 mg	\$239.70

**Notes:** \*Cost relates to price per 30 days. <sup>+</sup>Generic available; priced according to generic price.

**Abbreviations:** IDD-P, Insoluble Drug Delivery – Particles™ technology; LDL, low-density lipoprotein cholesterol; TG, triglycerides.

reformulations of fenofibrate and the extension of the Tricor<sup>®</sup> brand.<sup>100</sup> Through the continued release of branded formulations, Abbott Laboratories dominates the fenofibrate market and prevents the substitution to a generic product.<sup>100</sup>

### Clinical efficacy: lipid lowering

Maciejewski and Hilleman completed a retrospective medical record review to compare the effectiveness of Tricor<sup>®</sup>NP 145 mg to Tricor<sup>®</sup>2 160 mg in patients with dyslipidemia and coronary heart disease.<sup>105</sup> Subjects included in the review (n=130) must have been treated with Tricor<sup>®</sup>2 for at least 6 months prior to being switched to Tricor<sup>®</sup>NP. Subjects must have continued Tricor<sup>®</sup>NP for at least 3 months. Subjects were analyzed according to concomitant statin use. For each fenofibrate formulation, LDL, HDL, and TG levels were analyzed. Statistically significant differences were observed in LDL and TG levels, but not in HDL for both groups. In the group on combined fenofibrate and statin therapy, the authors reported a 2.8% reduction in LDL ( $P=0.002$ ) and a 5.1% reduction in TG ( $P<0.0001$ ) with the Tricor<sup>®</sup>NP compared to those on Tricor<sup>®</sup>2. In subjects on fenofibrate alone, the authors reported a decrease in LDL of 2.3% ( $P=0.009$ ) and TG of 4.6% ( $P=0.0008$ ) after the switch to Tricor<sup>®</sup>NP. The authors conclude that Tricor<sup>®</sup>NP is associated with greater improvements in LDL and TG compared to the original formulation, which may be attributed to increased bioavailability of the nanoparticle formulation.<sup>105</sup>

Davidson and Jones used electronic medical records to retrospectively compare TC, LDL, HDL, and TG levels in subjects with a history of hypertension, dyslipidemia, or diabetes.<sup>106</sup> Subjects (n=491) must have taken Tricor<sup>®</sup>2 160 mg at least 60 days prior to switching to the Tricor<sup>®</sup>NP 145 mg. Statistically significant differences between Tricor<sup>®</sup>NP and Tricor<sup>®</sup>2 were found with TC ( $-5.5$  mg/dL;  $P<0.0001$ ), LDL ( $-5$  mg/dL;  $P<0.0004$ ), and TG ( $-6$  mg/dL;  $P=0.004$ ). No difference was found between groups with regard to HDL. Authors concluded that Tricor<sup>®</sup>NP offers a less restrictive dosing regimen that positively affects lipid outcomes.<sup>106</sup>

Finally, FDA approval studies of the Abbott Laboratories Tricor<sup>®</sup>NP showed bioequivalency between Tricor<sup>®</sup>NP 145 mg, three Tricor<sup>®</sup>NP 48 mg, and Tricor<sup>®</sup>1 200 mg under low-fat fed conditions in 68 healthy subjects.<sup>100,108</sup> This was an open-label, single-dose, randomized, three-period, cross-over study.<sup>108</sup> While two of the studies show statistically significant decreases in LDL and TG with the nanoparticle formulation, it does not appear to be clinically significant. Also, lipid profiles serve as a surrogate marker only. The

addition of the bioequivalency analysis suggests that, with equipotent dosing, similar lipid effects may be seen.

Fenofibrate formulated via IDD-P<sup>™</sup> technology (Insoluble Drug Delivery – Particles<sup>™</sup> technology) is called Triglide<sup>®</sup> and can be dosed without regard to food. Triglide<sup>®</sup> was compared to Tricor<sup>®</sup>1 200 mg and Tricor<sup>®</sup>2 160 mg in six pharmacokinetic studies in healthy volunteers. Triglide<sup>®</sup> showed equivalent absorption under fed and fasting conditions.<sup>107</sup> Studies comparing the Triglide<sup>®</sup> with other fenofibrate formulations are lacking.

### Mortality (cardiovascular studies)

Despite its actions on cholesterol, fenofibrate has not shown to reduce morbidity and mortality in type 2 diabetics. The FIELD study (Fenofibrate Intervention and Events Lowering in Diabetes) assessed the long-term coronary morbidity and mortality of Tricor<sup>®</sup>1 in type 2 diabetics. Participants (n=9,795) were randomly assigned to receive 200 mg Tricor<sup>®</sup>1 daily or placebo. Tricor<sup>®</sup>1 did not significantly reduce the risk of the primary outcome of coronary events as 5% in the treatment group and 6% in the placebo group experienced events (95% CI 0.75–1.05,  $P=0.16$ ). Total mortality was also not reduced by Tricor<sup>®</sup>1 (7%) as compared to placebo (7%) (95% CI for difference 0.92–1.29,  $P=0.18$ ). Of note, there was a high rate of statin use in the placebo group which may have altered the results.<sup>102</sup>

The NIH-funded ACCORD trial (Action to Control Cardiovascular Risk in Diabetes) evaluated the use of Tricor<sup>®</sup>2 in combination with simvastatin on morbidity and mortality in patients with type 2 diabetes. Subjects (n=5,518) were evaluated for an average of 4.7 years. The primary outcome, first occurrence of nonfatal myocardial infarction, nonfatal stroke, or death from cardiovascular causes, occurred in 2.2% in the fenofibrate group and 2.4% in the placebo group (hazard ratio 0.92; 95% CI 0.79–1.08,  $P=0.32$ ). With respect to mortality, there was no statistically significant difference between groups (1.5% in fenofibrate group versus 1.6% in placebo; hazard ratio, 0.91; 95% CI 0.75–1.10,  $P=0.33$ ).<sup>103</sup>

The results of the DAIS study (Diabetes Atherosclerosis Intervention Study) suggest that treatment with Tricor<sup>®</sup>1 200 mg daily reduces angiographic progression of coronary artery disease in type 2 diabetics. Although not statically powered to examine clinical endpoints, the fenofibrate group showed a pattern of reductions in cardiac endpoints.<sup>109</sup> Due to the lack of evidence with respect to CV mortality, the role of fenofibrate in the treatment of dyslipidemia is severely limited. However, studies that have been conducted have not used the nanoparticle formulations of fenofibrate. The

question remains: would a better-absorbed product change the outcome? Based off data currently available comparing formulations, it seems unlikely.

Fenofibrate is now primarily used in severe hypertriglyceridemia. While it is established that fenofibrate lowers triglyceride levels, its ability to prevent hypertriglyceride-induced pancreatitis has not been established.

### Adverse reactions

There does not seem to be a difference in safety profiles between fenofibrate formulations. Common fenofibrate-associated ADRs are primarily gastrointestinal and include dyspepsia, abdominal pain, and nausea. Less common, but potentially more concerning ADRs include myopathy, abnormal liver function tests, and cholelithiasis. Fibrates, in general, may cause reversible increases in serum creatinine; however, this increase is not associated with renal damage.<sup>110,111</sup>

### Cost

The nanoparticle formulation Triglide® is the most expensive of fenofibrate formulations and does not have a generic equivalent. Tricor® does have a less-expensive generic equivalent as do many of the other non-nanoparticle formulations.

### Summary about fenofibrate formulations

There are few comparative studies showing safety and efficacy differences between the fenofibrate formulations. Also, FDA bioequivalence studies do not show any differences between Tricor® formulations, and there is a lack of data for Triglide®. As all products have similar side-effect profiles, the differences in formulation costs and food restrictions should be considered when selecting patient-specific treatment. While studies have shown a statistical improvement in intermediate lipid values, the clinical significance thereof is questionable.

### Conclusion

Without any doubt, the launch of the NNI has and will have a significant impact on the development of new therapeutic, diagnostic, and/or theranostic approaches. The merger of nanoscience and nanotechnology with pharmaceutical research and development opens new horizons for the creation of novel drugs, which will utilize the unique characteristics of nanosized materials. The application of engineered nanomaterials to medicine will produce nanomedicines with unprecedented benefits for the clinical outcome of any potential therapeutic intervention.

In this article, we have examined over 40 clinically approved drugs which have been widely publicized as nanodrugs or nanopharmaceuticals. We argue that following the launch of the NNI in the year 2000, nanoscience terminology was quickly adopted by the pharmaceutical science community to an extent which we believe does not appear to be justified. We believe the true promise of nanoscience for drug development still has to materialize. This we will address in the second part of our review.

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### Disclosure

The authors report no conflicts of interest in this work.

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