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Nanoplasmonic Quantification of Tumor-derived Extracellular Vesicles in Plasma Microsamples for Diagnosis and Treatment Monitoring

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Abstract

Tumour-derived extracellular vesicles (EVs) are of increasing interest as a resource of diagnostic biomarkers. However, most EV assays require large samples, are time-consuming, low-throughput

Author contributions

Competing interests

The authors declare that they have no competing interests.

Data availability

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K.L., F.L., J. F. and T.Y.H. designed the research plan. K.L., F.L. and J.F. performed the experiments. E.J.K., D.W.B. and M.H.K., collected the clinical samples and clinical data analysis. K.L., F.L. performed data analysis. K.L., F.L., C.J.L., Z.Z. and T.Y.H. wrote the manuscript and all authors contributed to the revision of the manuscript.

The data that support the findings of this study are available in "Figshare" with the identifier(s) [doi: 10.6084/m9.figshare.4265030]⁶⁵. The authors declare that all other data supporting the findings of this study are available within the paper and its supplementary information.

and costly, and thus impractical for clinical use. Here, we describe a rapid, ultrasensitive and inexpensive nanoplasmon-enhanced scattering (nPES) assay that directly quantifies tumor-derived EVs from as little as 1 μ L of plasma. The assay uses the binding of antibody-conjugated gold nanospheres and nanorods to EVs captured by EV-specific antibodies on a sensor chip to produce a local plasmon effect that enhances tumour-derived EV detection sensitivity and specificity. We identified a pancreatic cancer EV biomarker, ephrin type-A receptor 2 (EphA2), and demonstrate that an nPES assay for EphA2-EVs distinguishes pancreatic cancer patients from pancreatitis patients and healthy subjects. EphA2-EVs were also informative in staging tumour progression and in detecting early responses to neoadjuvant therapy, with better performance than a conventional enzyme-linked immunosorbent assay. The nPES assay can be easily refined for clinical use, and readily adapted for diagnosis and monitoring of other conditions with disease-specific EV biomarkers.

MAIN TEXT

Extracellular vesicles (EVs), including exosomes and other membraneous vesicles, are abundantly secreted into the extracellular space by most cells from where they can ultimately accumulate in the circulation. EVs actively participate in tumor initiation, progression, and metastasis¹⁻³, shuttle signaling molecules (proteins and nucleic acids) that reflect their parental cell and tissue origins^{4–9}. Circulating tumor-derived EVs thus hold great potential as novel biomarkers for noninvasive cancer detection^{5,10–12}; however, translating tumor EVs into cancer biomarkers has been challenging due to the lack of 1) simple methods for EV analysis and 2) biomarkers that distinguish tumor-derived EVs from normal EVs. Conventional detection technologies require time-consuming and laborintensive isolation and purification procedures (e.g., ultracentrifugation¹³, immunomagnetic enrichment^{14,15}, multi-step filtration⁷ or microfluidic-based separation¹⁶) followed by EV quantification and/or analyses of EV-carrying molecular contents (e.g., mRNA, microRNA, and proteins)^{8,12,17–19}. These techniques are impractical for clinical and research use since they require relatively large sample volumes and are complex, low-throughput, expensive and have long turnaround times. Sample requirements are a particular barrier to animalbased research studies, since the blood volume available from common mouse models of human disease is very limited and precludes longitudinal studies. EV-enriched membrane markers (e.g., CD9, CD63, and CD81) used for standard EV analyses are present on EVs derived from most cell types^{3,14,20,21}, but currently very few EV proteins have been proposed to represent cancer-associated biomarkers. Recently, methods have been developed to isolate tumor-derived EVs by capturing candidate tumor-regulated markers on the EV membrane^{18,21–24}, but the clinical utility of EVs as cancer biomarkers is still very limited since most proposed methods require time-consuming EV isolation steps prior to the actual analysis²².

Assays useful in clinical settings generally share several features in common. Most are rapid, highly sensitive and specific, require minimal processing and are usually amenable to automation. To address these issues, we developed a rapid nanoparticle-based EV assay described herein in which EVs present in small volumes of unprocessed plasma samples were captured by an EV-specific antibody on the surface of a sensor chip, and then

hybridized with two antibody-conjugated nanoparticle probes. Dual binding of EVs by the two nanoparticle probes produced a local plasmon to increase scattering intensity and shift its wavelength, resulting in a marked increase in the sensitivity and specificity of EV detection. Comparative and quantitative proteomic analyses of EVs derived from normal and tumor-derived pancreatic cells lines identified candidate biomarkers that were selectively enriched on EVs of pancreatic cancer cells, including ephrin type-A receptor 2 (EphA2), which is enriched on several tumors and plays critical roles in cancer progression, metastasis and prognosis^{25,26}. EphA2 overexpression is reported to increase *in vitro* invasiveness and anoikis resistance of pancreatic adenocarcinoma cell lines, while in vitro EphA2 knockdown has the opposite effect and EphA2 siRNA treatment decreases mouse pancreatic tumor growth and metastases in parallel with increased tumor-associated apoptosis²⁶⁻²⁸. Substituting an EphA2-specific nanoparticle for one of the two EV-specific nanoparticles in our nanoplasmon-enhanced scattering (nPES) method produced a blood-based EphA2-EV nPES assay that demonstrated strong diagnostic sensitivity and specificity for pancreatic cancer patients in a pilot study performed with cohorts of normal healthy control (NC) subjects, pancreatitis patients and pancreatic cancer patients with stage I-III cancer. Changes in EphA2-EV blood levels pre- to post-therapy also corresponded with therapy responses, suggesting that EphA2-EV blood levels could be used to non-invasively monitor treatment responses. This study thus indicates that the nPES platform can be used as a rapid, low cost, high throughput, sensitive and specific method for detection and quantitation of EVs in microsample volumes from a variety of sample types. This approach can be readily customized to detect specific disease-derived EV populations for diagnostic assay development, as indicated by our proof-of-concept studies in pancreatic cancer patients.

Design of a purification-free nPES platform for EV detection

Gold nanoparticles (GNPs) scatter light at characteristic wavelengths according to their size and shape^{29–32}. For example, 50 nm gold nanospheres (AuS) scatter green light and 25×60 nm gold nanorods (AuR) scatter red light; however, when the distance between AuS and AuR particles is < 200 nm their scattering is coupled^{33–36}, forming a plasmon that shifts the spectra of scattered light to yellow while also markedly increasing in scattering intensity (Fig. 1a–f). We applied this principle to design a simple, purification-free nPES assay platform to directly detect EVs in micro-samples of different specimen types, including culture media and plasma.

For a proof-of-principle demonstration, we used antibodies against CD81, CD63, and CD9, which are enriched on most EV membranes, to both capture and detect all EVs present in a sample. We first conjugated an anti-CD81 antibody to the silica surface of a sensor chip, so that all EVs that express this common EV marker are captured and enriched when the wells of this chip are loaded with samples containing EVs from any cell type. Bound EVs are detected by addition of anti-CD63-AuS and anti-CD9-AuR GNPs to these sample wells, so that dual binding of these two GNP species with immobilized EVs on the chip surface forms AuS-EV-AuR complexes (Fig. 1a). AuS and AuR signals are readily detectable by dark-field microscopy (DFM) (Fig. 1b and 1c), but AuS-EV-AuR complexes formed after addition of both GNPs produce nanoplasmons that markedly shift the spectra of the scatted light (Fig. 1d and 1e) and increase signal intensity (Fig. 1f), although this spectral shift is not always

apparent due to significant variation in CD63/CD9 expression. Scanning electronic microscopy (SEM) performed to analyze AuS-EV-AuR, AuS-EV and AuR-EV binding and morphology on this sensor chip, detected relatively uniform EV distribution, with readily detectable single and dual GNP binding events (Fig. S1).

Evaluation of the nPES platform for EV detection

To characterize the EV detection performance of this nPES platform, EV samples revealing vesicle morphology and size distributions consistent with pure EV preparations (Fig. S2) were added to EV-free plasma (Supplementary Methods and Fig. S3) to create EV plasma standards of known concentration^{37,38}, using nPES area ratios (area of nPES signal vs. well area) to evaluate sample EV concentrations. Negative control assays performed with EV-free plasma revealed very low nPES area ratios (< 0.02 %), and similar results were found when 150 ng/µL of the EV plasma standard was analyzed on sensor chips without anti-CD81 capture antibody modification (Fig. 2a and 2b). Experiments performed with only the AuSanti-CD63 probe exhibited an nPES area ratio of 0.04 % (Fig. 2a and 2b). Markedly different results were observed, however, when anti-CD81-conjugated sensor chips were incubated with this concentration standard and both of the two antibody-conjugated GNPs. Assays performed with both AuS-anti-CD63 and AuR-anti-CD9 exhibited area ratios > 0.35 % due to nPES signal enhancement (Fig. 2a and 2b). Reproducibly low non-specific signal in negative control samples and AuS-EV assays, which exhibited only ~ 10 % the signal of AuS-EV-AuR assays, strongly suggested that nPES area ratios should accurately reflect AuS-EV-AuR complex abundance, and thus plasma EV concentrations.

To confirm this hypothesis, we analyzed the sensitivity and linearity of EV values from this nPES assay against those determined by a conventional enzyme-linked immunosorbent assay (ELISA). We found that nPES signal increased with EV concentration (Fig. 2c), and that there was a broad linear range ($\sim 10^{-1} - 10^4 \text{ ng/}\mu\text{L}$) with a strong correlation ($r^2 = 0.99$) between calculated and known EV concentrations (Fig. S4). This nPES assay revealed a 0.07 ng/µL limit of blank and a 0.23 ng/µL limit of detection, while ELISA, the standard quantification method for EVs, failed to detect EV concentrations lower than 10 ng/µL (Fig. 2d). Notably, area ratios generated by AuS-EV-AuR labeling were significantly greater (p < 10.05) than those generated by single GNP labeling (AuS-EV) at all concentrations and this difference progressively increased with EV concentration (slopes of 0.010 for AuS-EV, and 0.069 for AuS-EV-AuR), revealing a signal-amplification plasmon-coupling effect of double-GNP recognition (Fig. 2d). The nPES platform required very little plasma due to its extremely high sensitivity, and unprocessed plasma samples that fell above the assay's linear range (estimated 50 μ g/ μ L) could be diluted > 40-fold and still generate signal within this range (Fig. S5). We found that 1 μ L of plasma was sufficient for these dilutions, performed as well as larger input volumes (Table S1), and yielded sufficient material for > 5 replicate wells using 5 µL of diluted plasma, which exhibited good intra- and inter-assay reproducibility in samples with low and high nPES signal (Table S2). A comparable ELISA required a pre-purification procedure and a minimum of 50 µL of undiluted plasma for a single replicate well. These ELISAs also cost more than nPES assays (\$1.65/well vs. \$1.20/ well) due to their requirement for prior EV purification and use of larger wells, greater sample volumes and need for an additional enzyme-linked detection antibody and reagents

(Table 1). Based on these results, these nPES assays offered multiple advantages over ELISA methods routinely used to measure EV concentrations (Table 1).

Identification of pancreatic cancer-associated EV markers

Pancreatic cancer is a lethal disease characterized by aggressive local invasion, early metastasis, and a high degree of treatment resistance^{39,40}. Despite its dire prognosis, there are currently no effective noninvasive biomarkers for pancreatic cancer diagnosis^{41,42}. Blood carbohydrate antigen 19-9 (CA19-9) level is the only clinically accepted pancreatic cancer marker but it is of limited use as it is approved only to monitor pancreatic cancer progression or response to therapy^{41,43–46}. Most pancreatic cancer patients are diagnosed with advanced disease, which usually precludes complete resection to greatly reduce the odds of a favorable treatment outcome. Noninvasive biomarkers that can be effectively employed for early pancreatic cancer diagnosis, and discriminate between pancreatic cancer and chronic pancreatitis, are thus badly needed to reduce cancer morbidity and mortality^{39,47}. Pancreatic cancer derived EVs represent a likely source for such a biomarker, since pancreatic cancer cells differentially express multiple factors, some of which should be present on stably circulating EVs secreted by pancreatic tumors thereby enhancing their detection in the circulation during early stages of pancreatic cancer^{10,22}. Such tumor-derived EVs may represent a relatively small contribution to a complex EV population derived from a wide variety of tissues, however, and thus be difficult to detect with conventional methods, which would require EV purification and subsequent analysis to determine the relative abundance of candidate diagnosis marker in this population. Our nPES assay could theoretically be rapidly adapted to sensitively quantitate tumor-derived EVs directly from patient blood samples, by replacing one of the two EV-specific GNPs with one specific for a membrane protein that is selectively enriched on EVs secreted by tumor cells. Both the conventional and the nPES approach suffer from the same limitation, however, a lack of known tumorspecific EV markers.

We therefore attempted to identify EV membrane markers to test the ability of a modified nPES assay to detect and quantify tumor derived EVs. We chose pancreatic cancer as our model system, and used an LC-MS/MS-based proteomics and bioinformatics approach to identify transmembrane proteins on EVs derived from human pancreatic carcinoma (PANC-1 and MIA PaCa-2) and pancreatic ductal adenocarcinoma (BxPC-3) cell lines. This approach identified 128 membrane proteins (Table S3), of which only 26 were expressed on the EVs of at least 2 of the 3 pancreatic cancer cell lines. Of these 26 membrane proteins, only EphA2 exhibited significantly higher expression in Oncomine database (www.oncomine.org) human pancreatic cancer tissue sample than chronic pancreatitis or normal pancreatic tissue samples (Fig. S6)^{48,49}. EphA2 was also of particular interest due to its reported strong association with cancer progression, metastasis and prognosis^{25,50–53}. Correspondingly, we found that EphA2 was significantly expressed by EVs from pancreatic cancer cell lines but not EVs from a non-transformed human pancreas cell line (HPNE; Fig. 3a). Based on these results we selected EphA2 as a candidate marker for detection of pancreatic cancer derived EVs (EphA2-EVs).

CD81 and CD9 were chosen as the EV capture and recognition targets for our assay since despite the fact that CD63, CD9 and CD81 are all well-known EV marker proteins^{54,55} and CD63 is routinely used for EV capture in many ELISAs, only CD81 and CD9 were expressed on EVs of all pancreatic cell lines analyzed in this study (Table S3). We therefore established a modified nPES detection system incorporating one capture antibody (anti-CD81) and two antibody-conjugated GNP probes (anti-EphA2-AuS and anti-CD9-AuR), where pancreatic cancer derived EVs were quantified by recognition of CD9/EphA2 double positive EVs. To evaluate the specificity of the CD81-EphA2-CD9 system for pancreatic cancer derived EVs, supernatants of cell lines derived from normal (HPNE) or tumor (PANC-1) tissue were analyzed at progressive tissue culture time points. EphA2-EV signal was significantly lower in HPNE vs. PANC-1 cell culture supernatants at all time points and did not significantly increase with culture duration, unlike PANC-1 EphA2-EV signal and total EV signal from HPNE and PANC-1 cells, which increased linearly with culture duration (Fig. 3b and 3c). Plasma samples from normal healthy controls (NC), and pancreatitis and pancreatic cancer patients were also tested to determine the pancreatic cancer specificity of the EphA2-EV (CD81-EphA2-CD9) and general-EV (CD81-CD63-CD9) detection and quantification systems. EphA2-EV signal was significantly higher in plasma samples of pancreatic cancer vs. pancreatitis patients or NC subjects (p < 0.001), who had similar EphA2-EV signals (Fig. 3d), while general-EV signal was not significantly different among these groups, nor were EV-CD9 ELISA values. EphA2 EV increases were also observed between these samples when we employed a custom EphA2 EV ELISA, although this assay required EV pre-isolation and detected much smaller differences (Fig. 3d). Calculations based on NanoSight, ELISA and nPES assay data (Table S4) determined that EphA2-EVs represented approximately 0.15 % and 0.26 % of total plasma EVs in NC and pancreatitis samples, respectively, and 5.93 % of plasma EVs in pancreatic cancer patient samples. To address the ability of the nPES CD81-EphA2-CD9 system to detect EphA2-EVs during pancreatic tumor development, athymic nude mice permissive for tumor growth were injected with PANC-1 cells and analyzed for EphA2-EV blood levels every 10 days post-injection. EphA2-EV plasma levels remained stable in control mice (P > 0.5 for difference at any time point), but increased with time in mice injected with pancreatic tumor cells, significantly diverging from both baseline and control mice by 20 days post-injection (Fig. 3f), and highly correlated ($R^2 > 0.60$) with tumor size (Fig. S7).

EphA2-EV nPES in pancreatic cancer diagnosis and monitoring

To investigate whether nPES EphA2-EV detects early pancreatic cancer cases, we analyzed EphA2-EV signal in plasma samples drawn from a larger cohort^{37,38} which included pancreatic cancer patients with early-stage disease (pancreatic cancer stage I and II) who could still potentially benefit from curative surgical resection (Table S4). None of these groups significantly differed by age or gender, while plasma levels of the non-diagnostic pancreatic cancer biomarker CA19-9 differed between pancreatic cancer *vs.* NC and pancreatic patients, but not when these patients were segregate by early (stage I+II) and late (stage III) tumor stage (Table S5). Similar to previous results, plasma EphA2-EV levels were significantly higher in pancreatic cancer than pancreatics and NC cases (Fig. S8, p < 0.001). Further, EphA2-EV levels of early-stage pancreatic cancer patients (stage I and II) were also significantly higher than those of the pancreatitis (p < 0.001) and NC cases (Fig. 4a and Fig.

S9, p < 0.001). These results indicated a strong association between circulating EphA2-EV and pancreatic cancer, including early-stage pancreatic cancer, suggesting the potential utility of EphA2-EV as an early detection marker. Similar comparisons were also performed for plasma CA19-9 levels, which are clinically approved as means of monitoring a patient's disease progression and therapy response but not for pancreatic cancer diagnosis or staging. CA19-9 levels were significantly increased in pancreatic cancer vs. pancreatitis and NC plasma samples, but not early-stage samples (Figs. S10 and S11), although there was extensive overlap across all these groups which would reduce the discriminatory power of this assay for pancreatic cancer diagnosis. Receiver operating characteristic (ROC) curves indicated that plasma EphA2-EV level was an excellent classifier for differentiating pancreatic cancer cases, including early-stage cancer, cases from pancreatitis and NC cases (Fig. 4b–e and Table 2; AUC 0.93 – 0.96), performing significantly better than CA19-9 (p <0.001). Plasma EphA2-EV sensitivities for pancreatic cancer vs. NC (94 %) or pancreatitis (89 %) cases were significantly better than CA19-9 (81 % and 61 %, respectively). Notably, EphA2-EV discriminatory sensitivity was only modestly diminished for early-stage (stage I-II) pancreatic cancer vs. NC (91 %) or pancreatitis (86 %) comparisons, further demonstrating the potential of EphA2-EV as a promising early-detection marker for pancreatic cancer (Table 2).

Pancreatic cancer cases are frequently characterized by high rates of therapy resistance, and improved means of monitoring therapy responses are urgently needed to allow rapid modification of personalized treatment regimens in order to improve patient outcomes. We thus investigated whether plasma EphA2-EV levels reflected pancreatic tumor responses to neoadjuvant therapy. Plasma samples were collected from 23 pancreatic cancer patients before and after neoadjuvant chemotherapy and/or chemoradiation, and stratified according to patient responses to neoadjuvant therapy (Table S6). Post-therapy EphA2-EV levels significantly decreased in patients with good/partial therapy responses (< 50 % viable tumor cells post-therapy)⁵⁶, but not in patients with poor responses (> 50 % viable tumor cells post-therapy) (Fig. 4f–4g), while CA19-9 levels in these samples did not significantly differ by treatment response (Fig. S12). Changes in EphA2-EV levels are thus strongly associated with treatment response (Fig. 4h), and perform better than CA19-9 levels, which are sometimes used for clinical evaluation of pancreatic cancer treatment responses. EphA2-EV levels may thus be a useful independent indicator to monitor pancreatic cancer patient responses to therapy.

Discussion

Conventional protein biomarkers are often subject to variable regulation by non-specific factors, such as blood hydrolases, that can significantly impact their levels in the circulation^{56,57}. Biomarker detection can also be impaired by non-specific competition from abundant proteins and peptides in blood, particularly in early stages of diseases when biomarker levels are low^{10,58}. EV-based assays may be less affected by these confounding influences, since membrane-bound or membrane-enclosed EV biomarkers are likely to be at least partially protected from hydrolysis and more easily separated from abundant non-specific proteins that are not physically constrained by a vesicle membrane. Such advantages may be particularly important for detection of early disease

states when low biomarker levels are likely more susceptible to degradation or masking interactions.

EVs are increasingly recognized as a potentially valuable source of new diagnostic biomarkers, but current EV analysis techniques require complex and lengthy isolation procedures and their volumes requirements limit their use with common mouse models of human disease and preclude longitudinal studies. Sensitive detection and quantification of EVs associated with specific disease states, without need for a separate pre-purification step is highly desirable for both research and clinical applications. For example, a recently report described a surface plasmon resonance sensor method that can distinguish healthy and pancreatic cancer patients based on exosomal microRNAs isolated from patient blood samples⁵⁹; however, this method required both EV and RNA isolation prior to sample analysis and thus is not suitable for high-throughput clinical analyses.

Our nPES platform integrates EV capture and detection, using a plasmon-coupling effect to achieve dual increases in detection sensitivity and specificity to allow rapid, ultrasensitive biomarker quantification in small sample volumes. Notably, we achieved robust assay reproducibility using 1 μ L of plasma samples (Tables S1 and S2). This ability to use small sample volumes may be particularly valuable in clinical or research settings where samples are subject to volume constraints and often required for multiple analyses. For example, the microsample volumes required for this assay permit multi-sample mouse time course studies, which have not previously been feasible due to the large volume requirements of conventional EV analysis methods. This should greatly benefit studies using mouse models to monitor EV changes associated with tumor or disease progression and corresponding therapy responses.

The EV nPES platform we describe should be generalizable to any disease state associated with a specific EV marker. We selected pancreatic cancer as a proof-of-principle model in this study, using proteomics and bioinformatics to identify a candidate pancreatic cancer specific EV membrane biomarker for use as the disease-specific probe in a customized nPES assay. We found that EphA2 was highly enriched on EVs of pancreatic cancer cells but essentially absent on EVs of normal pancreas cells, and therefore chose EphA2 as a pancreatic cancer selective EV biomarker for our assay. EphA2 overexpression is not restricted to pancreatic tumors, however, as it is also overexpressed in the early stages of colorectal cancer and non-small cell lung cancer, suggesting its potential as a target for early cancer detection, and accumulates during tumor progression, interacting with downstream cancer-associated signaling pathways to promote malignant cell growth and invasiveness^{60,61}. Results of the present study illustrate the early diagnostic power of EphA2-EV for pancreatic cancer, and its potential to detect other forms of cancer should be addressed by future studies. If necessary, the cellular origin of EphA2-EVs, or other diseaseassociated EVs, could be addressed by replacing the EV-specific anti-CD9-AuR probe with a cell-specific AuR probe. Similarly, EV-markers associated with a particular mutation or phenotype could be assessed by replacing the anti-CD9-AuR probe or by parallel assays using an additional set of probes.

Studies were performed with pancreatic cancer patients, since this disease is characterized by aggressive local invasion, early metastasis, and high rates of therapy resistance, and there are currently no FDA-approved, non-invasive assays for pancreatic cancer diagnosis, and only one relatively non-specific biomarker, CA19-9, for evaluation of patient responses to therapy. Due to its nonspecific symptoms, aggressive nature and the lack of effective strategies for early detection, 80 % - 85 % of pancreatic cancer patients are diagnosed with advanced disease, precluding surgical resection, the only available cure. We therefore evaluated ability of our nPES EphA2-EV assay to discriminate pancreatic cancer patients with early disease (stage I/II), who could still potentially benefit from curative surgical resection, from NC and pancreatitis cases. Pre-therapy EphA2-EV blood levels accurately distinguished stage I/II pancreatic cancer patients from NC (AUC = 0.96) and pancreatitis patients (AUC = 0.93), performing much better than similar comparisons using circulating CA19-9 levels, sometimes used as an initial non-FDA-approved screening method in a pancreatic cancer diagnosis. We thus propose that an nPES EphA2-EV blood assay may have significant value as pancreatic cancer screening test, since a rapid, accurate, noninvasive and inexpensive blood test for early pancreatic cancer diagnosis could improve early detection rates to improve patient outcomes, although we acknowledge that this would require more imaging studies and/or biopsies to rule out false positive results.

Low neoadjuvant therapy response rates are a major factor in poor pancreatic cancer patient outcomes. Circulating CA19-9 levels are sometimes used to monitor treatment responses, but more sensitive and specific non-invasive markers are needed to guide the design of more effective personalized therapy regimens. Our results suggest that an nPES EphA2-EV blood assay be used to monitor therapy responses of pancreatic cancer patients, as EphA2-EV blood levels significantly decreased in patients that revealed good/partial but not poor therapy responses.

The nPES approach described herein offers an attractive means for rapid, purification-free and ultrasensitive measurement of circulating EVs in small sample volumes. The results of our proof-of-concept study demonstrate promising translational implications and suggest important avenues for future research. Noninvasive nPES EphA2-EV analyses could improve early pancreatic cancer detection and treatment monitoring, but larger prospective studies are required to validate these results. The nPES platform should also be readily customized to diagnose and monitor other cancers and infections by replacing one or both probes with disease- of cell-type-specific EV markers. Further, while the image capture and analysis aspects of this assay have already been automated, and can be directly translated to a clinical setting, minor changes to increase assay capacity and automation should allow high-throughput detection for improved clinical translation.

Methods

Experimental Design

This translational study was designed to establish and characterize a rapid, purification-free three probe EV quantification assay that could be modified by addition of pancreatic cancer-specific EV probe to allow high-sensitivity and high-specificity diagnosis of early and late stage pancreatic cancer from small blood samples. Cancer-derived EVs are of great interest

as potential diagnostic markers, but few cancer specific EV markers have been identified and most current EV assays are labor-intensive and low-throughput, rendering them impractical for clinical use. We therefore attempted to develop a three probe EV capture and detection system, where a capture antibody recognizing an EV membrane protein (anti-CD81) was used to enrich EVs within a sensor well, while antibody-conjugated AuR and AuS recognizing two additional EV membrane proteins served as EV probes. This approach was designed so that binding of the different gold nanoparticle species on an EV would form a plasmon to shift the wavelength and increase the intensity of scattered light under dark field illumination to improve the sensitivity and specificity of EV detection. We next used comparative proteomics to identify membrane proteins with known cancer associations that were selectively enriched on EVs of human pancreatic carcinoma or ductal adenocarcinoma cell lines as candidates for a pancreatic cancer specific EV probe. In order to demonstrate the feasibility of this approach, a probe against this marker was included in our three probe assay, which was then tested for its sensitivity and specificity for pancreatic cancer EVs in cell culture supernatants; pre-treatment blood samples of a small cohort of 10 pancreatic cancer, 10 pancreatitis and 10 control patients; or longitudinal blood samples of mice injected with a human pancreatic cancer cell line. We next examined assay performance in a larger, independent cohort of 59 pancreatic cancer, 48 pancreatitis and 48 control patients, its ability to distinguish early pancreatic cancer disease stages from pancreatitis and control patients, and its performance against another commonly pancreatic cancer marker, CA 19-9, which is not FDA-approved for pancreatic cancer diagnosis or cancer staging. Finally, we analyzed whether assay values reflected pancreatic tumor responses to neoadjuvant therapy using blood samples collected from 23 pancreatic cancer patients before and after neoadjuvant therapy, and stratified according to patient responses to treatment, comparing results to CA 19-9 expression to assess assay non-inferiority.

Cell culture

The human pancreatic cancer cell lines PANC-1, MIA PaCa-2, BxPC-3, and the human pancreas cell line HPNE were obtained from the American Type Culture Collection (Manassas, VA). PANC-1 and BxPC-3 cells were cultured in RPMI-1640 medium (Hyclone, GE Healthcare Life Sciences), MIA PaCa-2 cells were cultured in DMEM/high-glucose medium (Hyclone, GE Healthcare Life Sciences), and HPNE cells were cultured in minimal essential medium (MEM, Hyclone, GE Healthcare Life Sciences) with 10 % FBS. All cultures were supplemented with 10 % fetal bovine serum (FBS, Life technology, Thermo Scientific Inc.), penicillin (1 U) and streptomycin (1 μ g/mL) and incubated at 37 °C in a humidified 5 % CO₂ incubator. All cell lines were cultured in triplicate under the same conditions and then harvested to collect independent EV samples.

Clinical samples

Pancreatic cancer, pancreatitis, and NC subject plasma samples from a small trial cohort (n = 10/group) and a larger validation cohort (n = 48-49/group) were collected at the time of diagnosis by the department of pathology and genomic medicine at Houston Methodist Hospital after approval by the Institutional Review Board (IRB0213-0011). Based on data from the trial cohort, chi-square power analysis (PASS V08.0.3, Kaysville, UT) indicated that we required at least 47 clinical specimens per group to detect an effect size of 30 %,

with an alpha of 0.05 of and 90 % power. Pancreatic cancer samples used for treatment evaluation, and demographic information, treatment history, and response to therapy were obtained from 23 pancreatic cancer patients undergoing treatment at MD Anderson Cancer Center. Plasma samples were collected from these pancreatic cancer patients 1–2 months before and after neoadjuvant chemotherapy and/or chemoradiation. All patients gave written informed consent for study participation (IRB PA11-0670 and IRB PA14-0646). Treatment response was assessed by pathologists at MD Anderson as part of the routine diagnostic evaluation, using a grading system based on previously proposed criteria⁶² to evaluate the extent of residual tumor. Demographic information is listed in Table S2 and S3, including age, gender, and cancer stage. The investigators were not blinded to the group identities of the clinical samples during sample analysis.

Preparation of EV concentration standards

Plasma samples were centrifuged at 110,000 g overnight, and supernatants were collected as EV-free plasma. Standard EV samples of known mass isolated from pooled human serum (System Biosciences Inc.) were dissolved in EV-free plasma to a final concentration of 1 μ g/ μ L, and further diluted to required concentrations (30000, 15000, 7500, 3750, 1870, 938, 469, 234 pg/ μ L) by 2-fold dilution with EV-free plasma at time of use.

EV isolation from culture media

Cells were grown in culture media with 10 % FBS for at least 48 h, washed three times with PBS (pH 7.0), and then cultured for 48 h in serum-free media. Culture supernatants were then collected and centrifuged at 400 g for 15 min to pellet cells, centrifuged at 8,000 g for 40 min to remove cell debris, concentrated with 10 kDa centrifugal filtering units (Merck Millipore Ltd.) and then centrifuged at 110,000 g for 90 min. Precipitates were carefully collected, resuspended in PBS (pH 7.0), and then centrifuged at 110,000 g for 90 min. Resulting EV precipitates were collected, dissolved in 200 μ L PBS (pH 7.0), and stored at 4 °C.

Plasma EV isolation for ELISA

Total EVs in plasma were isolated using the ExoQuick kit (SBI Inc.). Briefly, 10 μ L of ExoQuick reagent was added to 50 μ L plasma. After gentle shaking, the mixture was incubated for 1 h in an ice bath, then centrifuged at 20,000 g for 30 min and the resulting EV precipitates dissolved in 100 μ L PBS (pH 7.0) with ultrasonication.

ELISA assays²⁴

Ninety-six-well plates first incubated with anti-human CD81 antibodies (0.2 μ g/mL in PBS; R&D Systems, clones #454720) for 12 h at 4 °C. After carefully washing with PBS, the plate was incubated for 4 h at 25 °C with EVs (100 μ L/well) isolated from cell culture media or plasma, aspirated and incubated at room temperature for 4 h with 5 % BSA in pH 7.0 PBS (100 μ L/well), washed three times with 0.01 % Tween-20 in PBS (PBST, pH 7.0), and incubated for 1 h at 37 °C with 100 μ L/well anti-human CD9 or EphA2 antibodies (0.2 μ g/mL in 5 % BSA/PBS; R&D Systems, clones #209306 and #371805). Wells were then washed five times with PBST, incubated for 0.5 h at 37 °C with a 1: 5,000 dilution of HRP-

conjugated secondary antibody (Cell Signaling Technology) in 5 % BSA/PBST (100 μ L/ well), washed five times with PBST, incubated for 10–15 min at 37 °C with 100 μ L/well of TMB reagent (eBioscience Inc.), and then supplemented with 50 μ L/well of stop solution (2 M H₂SO₄) and analyzed for absorbance at 450 nm. The standard curve was calculated using Origin 8.0 software (OriginLab) plotting light absorbance versus the log₁₀ EV standard concentration in pg/ μ L.

LC-MS/MS proteomics analyses

EV samples incubated with M-PER mammalian protein extraction reagent (Thermo Scientific Co.) to purified EV solution for 30 min in an ice bath and extracted protein concentrations were measured with a bicinchoninic acid (BCA) assay, (micro BCA Kit, Thermo Scientific). Protein extracts were diluted to 1 μ g/ μ L with 100 mM NH₄HCO₃, supplemented with 10 mM dithiothreitol, incubated at 37 °C for 1h, supplemented with 30 mM iodacetamide, incubated in the dark for 30 min at room temperature, then supplemented with 1 μ g trypsin and incubated and incubated overnight at 37 °C. Protein hydrolysis was arrested by addition of 0.1 % trifluoroacetic acid and peptide solutions were diluted to 0.25 μ g/ μ L with H₂O/acetonitrile (95 : 5), centrifuged at 21,000 g for 20 min, and supernatants directly subjected to LC-MS/MS analysis.

Peptides were separated using an ultimate 3000 nano-LC (Thermo Scientific Co.) equipped with a C18 Pepmap 100 enrichment column (Thermo Scientific; 5 μ m particle size, 100 Å pore size, 300 μ m i.d. × 5 mm) and a C18 Pepmap 100 analytical column (Thermo Scientific; 3 μ m particle size, 100 Å pore size, 75 μ m i.d. × 150 mm), using flow rates of 20 μ L/min and 300 nL/min for the loading and analytical columns, respectively. Eluted peptide fractions were analyzed by a Velos Pro Dual-Pressure Linear Ion Trap Mass Spectrometer (Thermo Scientific). One MS scan was followed by eight MS/MS scans. All of the MS/MS spectra were used to search Mascot 2.3.0 (www.matrixscience.com), using a measurement tolerance on of 0.5 Da.

Antibody modification of gold nanoparticles (GNPs)

Modifications of gold AuS and AuR with different antibodies followed similar procedures. Briefly, 40 μ L of carboxyl functionalized gold nanoparticles (GNPs; 9 × 10⁻¹⁰ M, Ocean NanoTech) were mixed with 20 μ L MES buffer (pH 4.7, Ocean NanoTech), then mixed with 20 μ L of EDC/sulfo-NHS solution (Sigma-Aldrich; 2 mg/mL 1-ethyl-3-[3-dimethylaminopropyl] carbodiimide hydrochloride (EDC) and 1 mg/mL N-hydroxysulfosuccinimide (sulfo-NHS) in MES buffer), incubated for 10 min at room temperature, and successively supplemented with 80 μ L coupling buffer (Ocean NanoTech) and 20 μ L antibody solution (0.5 mg/mL; R&D Systems, clones #371805 (anti-EphA2), #209306 (anti-CD9) and #460305 (anti-CD63)) then shaken for 2 h at room temperature to complete the coupling process. The reaction was then stopped with 2 μ L of quenching buffer (Ocean NanoTech), and centrifuged for 10 min at 6,000 rpm. Precipitated GNPs were washed three times with 400 μ L washing buffer (Ocean NanoTech), and then resuspended in 40 μ L washing buffer and stored at 4 °C.

Construction of an nPES platform for EV quantification

Amino group-functionalized glass slides (NH₂-glass, Nanocs Inc.) were ultrasonically cleaned in methanol for 5 min, thoroughly flushed with deionized water, dried under an N₂ stream, and then the NH₂-functionalized glass was covered with a 50 well polydimethylsiloxane membrane (CW-50R-1.0-CultureWell Gasket, Grace Bio-Labs Inc.). Slides were filled with 10 μ L/well of 12.5 μ g/mL anti-human CD81 antibody (R&D systems, clone #454720) in 5 mM EDC/PBS and incubated at room temperature for 2 h in a moist chamber, aspirated and filled with 10 μ L/well of 5 % BSA/PBS (pH 7.0) and incubated at room temperature for 4 h, then PBS (pH 7.0) washed and aspirated three times prior to loading these wells with analysis samples. Sample wells were filled with 5 μ L/well of plasma samples (diluted 40 with pH 7.0 PBS) or cell culture EV samples, incubated at room temperature for 4 h in a moist chamber, washed three times with PBST, three times with PBS and then filled with 7 μ L/well of AuS and AuR PBST probe solution (4 × 10⁻¹¹ M for each particle) and incubated for at room temperature for 1 h, after which the PDMS cover was removed, the slide was washed three times with PBST and three times with PBS, then fitted with a cover slip and imaged by dark-field microscopy (DFM).

DFM imaging and scattering spectroscopy measurements

DFM images were acquired on an inverted microscope (Olympus IX71, Olympus Co.) equipped with a 100 objective lens (NA, 0.8) and a dark-field condenser (0.8 < NA < 0.95). The scattered light from a 100 W halogen lamp was recorded by an Olympus DP70 digital camera to generate dark-field color images and by a spectrograph CCD equipped with a monochromator (CASCADE 512B, Roper Scientific.) to obtain scattering spectra (integrated over 10 s) of selected AuS, AuR and AuS-AuR particles in wells.

DFM images were processed with the NIH IMAGE J software with the color threshold set as hue 0, saturation 0, and brightness 255. Image areas with brightness equal to 255 were software-selected, and the ratios of these areas to those of the whole images were calculated by the software to give area ratios indicating specific nPES EV signal. Linear regression of nPES area ratio with \log_{10} EV concentration was used to generate the standard concentration curve for derivation of experimental EV concentrations.

Mouse pancreatic cancer model

Six- to eight-week-old male nude mice (Crl:NU-Foxn1nu) purchased from Charles River Laboratories (Wilmington, MA) were housed in Houston Methodist Research Institute (HMRI) animal facilities in accordance with institutional animal care and use committee (IACUC) guidelines. All animal procedures followed HMRI policies and IACUC-approved protocols. Three mice were subcutaneously injected in the left flank with 2×10^6 PANC-1 cells suspended in 100 µL of PBS to establish subcutaneous pancreatic tumors⁶³ and compared to three healthy control mice that did not receive tumor cell injections. Mouse retro-orbital blood samples for analysis of EphA2-EV plasma levels and tumor size data were collected at 0, 10, 20, 30, and 40 days post-injection. Calipers were used to determine tumor length and width, and tumor volume was estimated using the modified ellipsoid volume formula (½ length × width²)⁶⁴. Investigators were not blinded to the group identity of the animal samples during nPES analyses.

Western blots

Western blot analyses were performed with 10 μ g EV protein lysate and precast Mini-PROTEAN TGX gels and polyvinylidene difluoride membranes (Bio-Rad) using standard methods.

Measurement of method repeatability

Assay reproducibility was assessed using two randomly selected samples that were analyzed in three assays with 20 replicates that were performed on three separate days to generate 60 values per sample. Resulting values were used to calculate intra- and inter-assay means and coefficients of variation (% CV).

SEM image analysis

SEM images of GNP binding to EVs were generated using EVs purified from 50 μ L of human plasma with ExoQuick kits to reduce SEM artifacts. Purified EVs were immobilized on sensor chips and hybridized with anti-CD63-AuS and anti-CD9-AuR, as described above. Sensor chips were then PBS (pH 7.0) washed, dried under a gentle N₂ stream, then treated with a direct current sputter coating approach to apply a 3nm layer of iridium and imaged with a NOVA NanoSEM 230 microscope (FEI) at a 5kV acceleration voltage in high vacuum (3 × 10⁻⁶ Torr) using a 5 mm working distance. Three randomly selected 80–120 μ m² SEM fields were analyzed to calculate the number of total and GNP-bound EVs per μ m² for each assay.

EV concentrations in patient plasma samples

A NanoSight LM10 instrument and Nanoparticle Tracking Analysis software (Malvern Instruments) were used to measure total-EV concentrations in pooled patient plasma samples (10 pancreatic cancer, 10 pancreatitis, and 10 NC samples). Estimates of total-EV protein/µL plasma were determined by BCA assay of EVs isolated from pooled plasma samples with ExoQuick kits. The nPES assay standard curve equation (nPES signal intensity = $0.069 \times \text{Log}_{10}$ [EphA2 EV concentration] – 0.093) and mean EphA2 nPES signal intensity data from 10 samples in each patient group was used to calculate estimates of the plasma EphA2-EV protein content for each group (EphA2 EV concentration = $10^{(nPES \text{ intensity}+0.093)/0.069}$). The EphA2-EV percentage of Total-EVs in each patient group was calculated as the ratio of EphA2-EV to total-EV protein content. Total-EVs per assay well were calculated as the input volume of diluted plasma sample (5 µL of 40X diluted plasma) and EphA2-EVs per well as the fraction of EphA2-EVs.

Statistical analysis

GraphPad Prism version 5.0 (GraphPad Software) and MedCalc statistical software version 13.0 (MedCalc Software bvba) were used for all calculations. The MedCalc was used to create heat maps of patient nPES EphA2-EVs levels. Statistical analyses were performed using Student's t-tests, one-way ANOVAs with Bonferroni's post-test or Kruskal-Wallis one-way ANOVA with Dunn's post-test as determined by sample distribution and variance. Differences with *p*-values < 0.05 were considered statistically significant. ROC curves were used to determine sensitivity and specificity, with the optimal cut point defined as the point

of closest approach to the upper left axes according to the following criterion: min $[(1-\text{sensitivity})^2 + (1-\text{specificity})^2]$. Figures were prepared using GraphPad Prism (GraphPad) and Origin software (OriginLab). All data points are derived from three or more biological or technical replicates as indicated for each experiment.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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(a) Schematic overview of the nPES assay for specific detection of EVs. (**b**–**d**) Dark-Field Microscope (DFM) images of AuS-anti-CD63 (green dots), AuR-anti-CD9 (red dots), and the AuS-EV-AuR complexes are detectable as bright yellow dots. Scale bars: 2 μ m (100 nm for magnified image). (e) Scattering spectra and (f) intensities of AuS-anti-CD63⁴⁸, AuR-anti-CD9 (red), and AuS-EV-AuR (yellow) complexes. The scattering spectra and related intensities were recorded from 10 randomly-selected particles for each complex by a spectrograph CCD equipped with a monochromator (CASCADE 512B, Roper Scientific.). Data represent means ± SEM; n = 10 replicates/sample.



Figure 2. Characterization of nPES assay performance with exosome-spiked humanplasma samples

(a) DFM images and (b) area ratios of GNP signal in negative (EV-free plasma, no capture Ab and AuS-EV single labeling) controls vs. nPES assay (AuS-EV-AuR complexes) samples. (c) DFM images of AuS-EV-AuR complexes detected in plasma samples spiked with the indicated EV concentrations. (d) Correlation of AuS-EV-AuR and AuS-EV area ratios or ELISA absorbance with log EV concentration in EV-spiked plasma samples. All dark field images were analyzed by NIH IMAGE J image analysis software at a pixel intensity threshold of 255 (Supplementary Information and Fig. S13). Data represent means \pm SEM; n = 3/group.



Figure 3. Identification of pancreatic cancer associated EV as a potential biomarker by establishing an nPES-EphA2-EV detection system

(a) Western blot analysis of EphA2 expression on EVs from different pancreatic cancer cell lines (BxPC3, MIAPaCa-2, and PANC-1) and a normal pancreas (HPNE) cell line. (b) EphA2-EV and (c) total EV signal in HPNE and PANC-1 culture over time, respectively; n=3 triplicate samples/time point. (d) Quantification of EphA2-EVs and total-EVs (CD81-EphA2-CD9 and CD81-CD63-CD9 nPES assays, respectively), and EphA2-EVs and CD9-EVs (CD9- and CD81-EV-ELISAs) in plasma of NC (n = 10), pancreatitis (n = 10) and pancreatic cancer (n = 10) patients. All data is normalized to the corresponding NC sample data. (e) DFM images and (f) EphA2-EV area ratios at indicated time points in plasma samples of nude mice following subcutaneous injection without or with PANC-1 human pancreatic cancer cells (2 × 10⁶ cells/mouse); n=3 replicates/sample. Data represent means ± SEM; **p<0.01 and ****p < 0.0001 vs. control mice and †††p<0.005 and ††††p<0.0001 vs. pancreatic cancer baseline by two-way ANOVA followed by a Sidak multiple-comparison test.



Figure 4. EphA2-EV detection and clinical performance

(a) Comparison of EphA2-EV levels in plasma samples from normal control (NC; n = 48), chronic pancreatitis (Pt; n = 48) and pancreatic cancer (n = 49: 8 stage I, 29 stage II, and 12 stage III) patients. ROC curves of the ability of plasma EphA2-EV (black line) and CA19-9 (red line) level to distinguish (**b**, **c**) pancreatic cancer cases from (**b**) normal control and (**c**) pancreatitis cases, and (**d**, **e**) stage I+II pancreatic cancer from (**d**) normal control and (**e**) pancreatitis cases. ROC area under the curve (AUC) values are depicted on each graph and described in Table 2. (**f**–**h**) EphA2-EV levels before and after neoadjuvant therapy in pancreatic cancer patients who revealed (**f**) good/partial (n = 13) or (**g**) poor responses (n = 10) to therapy and (**h**) the relative pre-to-post therapy differences of these groups. Data represent means ± SEM; n=3 replicates/sample, *p < 0.05 by two-sided t-test (**f**) or paired t-test (**h**). Researchers performing these analyses were not blinded to sample identity.

Table 1

Comparison of nPES and ELISA methods.

| | nPES | ELISA* |
|---------------------------------|------------------------------|------------|
| Plasma consumption [†] | 1 µL | 150 μL |
| Isolation step | No | Yes |
| Analysis time⊄ | 5 h | $> 24 \ h$ |
| Limit of Detection | $0.2 \text{ ng/}\mu\text{L}$ | 77 ng/μL |
| Dynamic range | 4-5 logs | 2 logs |
| Reagent costs/1000 wells (\$) | ~1200 | ~1650 |

* EV ELISAs for CD81/EphA2 expressions

 † Assays were performed in triplicate; nPES: 1 µL plasma (diluted 40X) yields > 5 replicates; 1 ELISA: 150 µL plasma yields 3 replicates

 \ddagger Includes isolation time and detection time

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Table 2

AUCs, sensitivities, and specificities of EphA2-EV and CA19-9 for pancreatic cancer diagnosis.

| | | AUC (95 % CI) | Cutoff | Sensitivity (95 % CI) | Specificity (95 % CI) |
|---|----------|---------------------------|----------|--------------------------|-----------------------|
| Darrosatio cancas vo Normal control | CA19-9 | $0.81^{***}(0.72-0.90)$ | > 20.60 | $81~\%^{*}(67~\%-91~\%)$ | 77 % (62 %–88 %) |
| | EphA2-EV | 0.96 (0.93–0.99) | > 0.1668 | 94 % (85 %–98 %) | 85 % (72 %-93 %) |
| Dancraatio cancar ve Dancraatitie | CA19-9 | $0.74^{***}(0.64-0.84)$ | > 44.04 | 61 % *** (46 %-74 %) | 81 % (66 %–91 %) |
| ו מורו כמור כמורכו לא. ו מורו כמוונא | EphA2-EV | 0.94 (0.89–0.98) | > 0.1724 | (% 96-% (26 %) | 85 % (72 %-93 %) |
| Danceastic concer (chana LHI) ve Normal control | CA19-9 | $0.81^{***}(0.69-0.91)$ | > 20.60 | 80 % (62 %–92 %) | 77 % (62 %-88 %) |
| | EphA2-EV | 0.96 (0.91–0.99) | > 0.1668 | 91 % (78 %–98 %) | 85 % (72 %-93 %) |
| Dancreatic cancer (stage I±II) vs. Dancreatitis | CA19-9 | $0.72^{***}(0.59{-}0.84)$ | > 19.72 | 81 % (62 %–92 %) | 56 % *** (39 %-70 %) |
| | EphA2-EV | 0.93(0.87 - 0.97) | > 0.1724 | 86 % (71 %-95 %) | 85 % (72 %-93 %) |
| * p < 0.05 and | | | | | |

*** <0.001 for comparisons between CA 19-9 and EphA2-EV.