

# 1 Nanostructured and Heterostructured 2D

## 2 Materials for Thermoelectrics

3 Qin-Yi Li<sup>1,5,\*</sup>, Qing Hao<sup>2,\*</sup>, Tianhui Zhu<sup>3</sup>, Mona Zebarjadi<sup>3,4,\*</sup>, Koji Takahashi<sup>1,5</sup>

4 <sup>1</sup>Department of Aeronautics and Astronautics, Kyushu University, Fukuoka 819-0395, Japan

5 <sup>2</sup>Department of Aerospace & Mechanical Engineering, University of Arizona, 1130 N  
6 Mountain Ave, Tucson, AZ, 85721, USA

7 <sup>3</sup>Department of Electrical and Computer Engineering, University of Virginia, Charlottesville,  
8 Virginia, 22904, USA.

9 <sup>4</sup>Department of Materials Science and Engineering, University of Virginia, Charlottesville,  
10 Virginia, 22904, USA.

11 <sup>5</sup>International Institute for Carbon-Neutral Energy Research (WPI-I2CNER), Kyushu  
12 University, Japan

13 \*Corresponding authors, Qin-Yi Li: qinyi.li@aero.kyushu-u.ac.jp

14 Qing Hao: qinghao@arizona.edu

15 Mona Zebarjadi: m.zebarjadi@virginia.edu

## 16 Abstract

17 The rapid development in the synthesis and device fabrication of 2D materials provides new  
18 opportunities for their wide applications in fields including thermoelectric energy conversion.  
19 As one important research direction, the possibly poor thermoelectric performance of the  
20 pristine 2D materials can be dramatically improved with patterned nanoporous structures and/or  
21 heterostructures. This article reviews the recent advancement along this direction, with  
22 emphasis on both fundamental understanding and practical problems.

23 **Keywords:** 2D materials, thermoelectrics, nanostructure, heterostructure

## 24 1. Introduction

25 Solid-state thermoelectric devices, which directly convert heat into electricity or  
26 oppositely, can be utilized to address two significant issues in the development of emerging  
27 micro- and nano-scale devices. One issue is how to power the ubiquitous sensors in the future  
28 IoT (Internet of Things) society, where the thermoelectric power generator utilizing the  
29 Seebeck effect is one of the most promising self-powering technologies to harvest energy from  
30 waste heat and the environment. The second is how to dissipate the increasingly high heat flux  
31 from a hot spot in the large-scale integration, where a solid-state Peltier cooler can play an  
32 irreplaceable role in efficient heat removal. In the context of power generation, the performance  
33 of thermoelectric material is evaluated by a well-defined dimensionless property, figure of

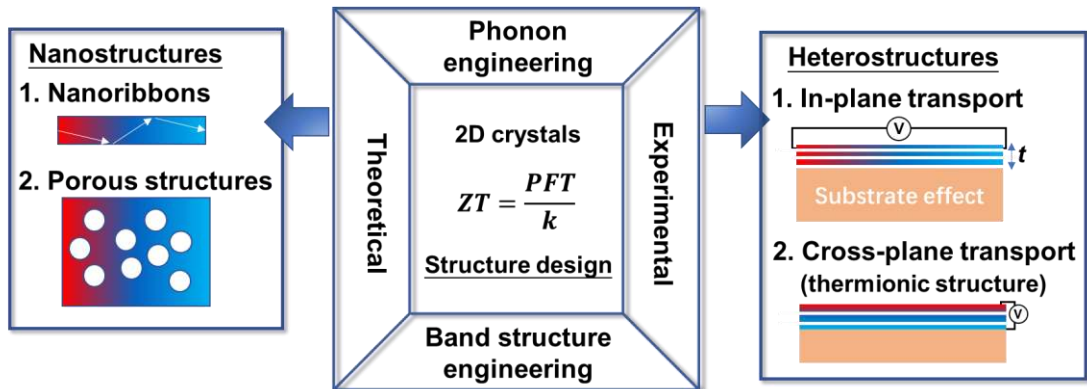
34 merit, *i.e.*  $ZT = S^2\sigma T/(k_e+k_l) = PFT/k$ , where  $S = -\Delta V/\Delta T$  is the Seebeck coefficient  
35 (thermopower),  $\sigma$  the electrical conductivity,  $T$  the absolute temperature;  $k$  is the thermal  
36 conductivity that can be divided into the electronic part,  $k_e$ , and the lattice part,  $k_l$ ; and  $PF = S^2\sigma$   
37 is defined as the power factor ( $PF$ ) that evaluates the ability to output a high electric power  
38 with an applied temperature difference or oppositely [1]. A good thermoelectric material for  
39 power generation requires a high  $PF$ , and at the same time a low  $k$  to minimize the conduction  
40 heat leakage and thus maintain the temperature difference. A high  $ZT$  is also desired for a Peltier  
41 refrigerator to pump heat from a cold source to a hot end. However, both a high  $PF$  and a high  
42  $k$  are beneficial in the context of thermal management where heat is transferred from a hot spot  
43 to a heat sink [2]–[4]. Note that the product of  $PF$  and  $T$ , *i.e.*  $PFT$ , has the same unit with the  
44 thermal conductivity of Watt per meter per Kelvin. Thus,  $PFT$  represents the ability of active  
45 cooling using the Peltier effect, while  $k$  represents the ability of passive cooling [2],[5]. For  
46 either power generation or thermal management, we need to manipulate  $S$ ,  $\sigma$ , and  $k$  for improved  
47 performance, which has been particularly challenging for decades because these transport  
48 properties are generally intertwined.

49 There are mainly two strategies to enhance the thermoelectric figure of merit: (1) to  
50 suppress phonon transport without significantly affecting electron transport, and (2) to engineer  
51 the band structure for a higher  $PF$ . It is beneficial to increase the number of modes within the  
52 Fermi window near the band edges, which can be realized by reducing the dimensionality  
53 [6],[9]. A sharp density of states (DOS) profile at the band edge is expected in 2D materials,  
54 and superior electron mobility has been observed in many 2D crystals like graphene and 2D  
55 transition metal dichalcogenides (TMDCs), which is one reason why 2D materials are  
56 promising for thermoelectric applications. Another advantage of 2D materials is the  
57 environment-friendliness and flexibility. Traditional thermoelectric materials with  $ZT > 1$   
58 usually involve toxic or rare elements like Bi, Te, Pb, and Ag, which has long hindered their  
59 large-scale deployment due to the high cost and environmental harm. Besides, these hard  
60 materials are not suitable for energy harvesting in small-scale, flexible devices. Therefore, 2D  
61 layered materials with non-toxic, low-weight, and flexible features have attracted increasing  
62 attention for thermoelectric applications that are integrated into small-scale and flexible devices.

63 Many 2D materials in the pristine form indeed possess a relatively high  $PF$ . For pristine  
64 graphene without doping, the Fermi level is at the Dirac point or charge neutrality point so that  
65  $S$  and  $S^2\sigma$  are both zero. [27] The sign of the Seebeck coefficient is changed across the charge  
66 neutrality point, where the majority charge carrier switches from electrons to holes. With an  
67 applied gate voltage to optimize the Fermi level, semimetal graphene exhibits an improved  
68 Seebeck coefficient of about  $80 \mu\text{V/K}$  [1],[10],[11], but its  $PF$  can be up to  $10 \text{ mW/m}\cdot\text{K}^2$  due  
69 to the extremely high mobility [10]. Semiconducting bilayer  $\text{MoS}_2$  can exhibit a  $PF$  up to  $8.5$   
70  $\text{mW/m}\cdot\text{K}^2$  [12], about twice that of bulk  $\text{Bi}_2\text{Te}_3$ . However, the figure of merit of the existing  
71 pristine 2D materials is orders of magnitude lower than commercial thermoelectric materials  
72 such as  $\text{Bi}_2\text{Te}_3$  alloys. For example, the  $ZT$  value of pristine graphene [10] and 2D  $\text{MoS}_2$  are  
73 only on the order of  $10^{-3}$  and  $10^{-2}$ , respectively. Therefore, it is desired to independently  
74 engineer both electron and phonon transport for improved thermoelectric performance of 2D  
75 materials.

76 Two approaches can be used to modify the structure of a 2D system and alter the  
 77 thermoelectric properties accordingly. First, a 2D sheet can be patterned into a nanostructure  
 78 like a nanoribbon or a porous mesh through lithographical or special synthetic approaches. As  
 79 pioneered by Hicks and Dresselhaus in 1993 [13],[14], nanostructures can alter the band  
 80 structure. In later studies, suppressed phonon transport is often found as another important  
 81 benefit for nanostructured materials with strong boundary or interface phonon scattering.  
 82 [15],[16] For charge carriers with usually shorter mean free paths (MFPs) than those for  
 83 phonons, they are less scattered so that bulk-like electrical properties can be preserved. In  
 84 general,  $S$ ,  $\sigma$ , and  $k$  can be hopefully decoupled and independently manipulated by careful  
 85 structure design. Second, different 2D crystals can be stacked layer by layer to establish a van  
 86 der Waals heterostructure where the band structure as well as phonon transport can be tuned by  
 87 the van der Waals interfaces, promisingly leading to enhanced thermoelectric performance.  
 88 Besides, the thermoelectric properties of 2D crystals can be significantly modified by the type  
 89 of the substrate, and the number of layers. Up to now, researchers have exfoliated or synthesized  
 90 a few dozen of 2D crystals, with at least one thousand more still waiting to be discovered  
 91 [17]–[19], while the nanostructuring and stacking approaches can yield infinite possibilities for  
 92 constructing a 2D system. The only limitation for tuning the thermoelectric properties is our  
 93 imagination and a rational approach of the structure design.

94 In recent years, the thermoelectric studies of 2D materials have been reviewed by several  
 95 articles [1],[2],[20]–[27] from different angles including an emphasis on how to tune the Fermi  
 96 level. The present review is devoted to the tunability of both electron and phonon transport that  
 97 is benefited from the structure manufacturability of 2D materials, including critical reviews of  
 98 the most updated experimental and theoretical progress. We will start from a summary of the  
 99 thermoelectric properties of pristine 2D materials, and then successively discuss the  
 100 nanostructured and heterostructured 2D materials for the manipulation of thermoelectric  
 101 properties and give our perspectives in the last section.



102

103

**Fig. 1 Concept and outline of this review.**

## 104 2. Thermoelectric properties of pristine 2D materials

105 In this section, the thermoelectric properties of 2D materials are reviewed. It should be  
106 noted that the possibly low  $ZT$  values of these pristine materials may be largely improved with  
107 the nanostructuring approach that will be discussed in later sections.

### 108 2.1 Thermoelectric properties of single- and few-layer graphene

109 Monolayer graphene is an intrinsic semimetal with a gapless band structure. Several  
110 measurements of monolayer graphene supported on  $\text{SiO}_2$  have confirmed a Seebeck coefficient  
111 of about  $80 \mu\text{V/K}$  under an optimized gate voltage to tune the Fermi level [1], [10], [11],[29],[30]  
112 and an electrical conductivity on the order of  $10^6 \text{ S/m}$  at room temperature (RT) for high-quality  
113 samples [10]. A high  $PF$  up to about  $10 \text{ mW/m}\cdot\text{K}^2$  was reported for exfoliated single-layer  
114 graphene on a suspended  $\text{SiO}_2$  thin film, [10] which is more than twice that of commercial bulk  
115  $\text{Bi}_2\text{Te}_3$  alloys. However, pristine graphene has an extremely high thermal conductivity of around  
116  $3000 \text{ W/m}\cdot\text{K}$  in the suspended form [10]–[35] and  $600 \text{ W/m}\cdot\text{K}$  in the  $\text{SiO}_2$ -supported form [10]  
117 at RT leading to a very small  $ZT$  value on the order of  $10^{-3}$ . Here, the considerably reduced  
118 thermal conductivity of the supported graphene is caused by the suppression of flexural phonons  
119 from the amorphous substrate. Due to the small  $ZT$  value, large-area pristine monolayer  
120 graphene is not suitable for thermoelectric power generation, but the relatively high  $PF$  and  
121 remarkably high thermal conductivity can be utilized for efficient active cooling of a hot spot  
122 [2].

123 Bulk graphite is also a semimetal with a high thermal conductivity up to  $2000 \text{ W/m}\cdot\text{K}$ . For  
124 free-standing graphene, the thermal conductivity increases from the graphite value to the  
125 monolayer value as the thickness decreases. However, the thermal conductivity of supported  
126 graphene, which is suppressed by the substrate coupling, increases with increasing layer  
127 numbers and can recover to the graphite value when the thickness is more than 30 layers [31].  
128 As for the bandgap, bilayer and trilayer graphene can exhibit a nonzero bandgap and band  
129 structure that can be widely tuned by the electric field or twist angle, which involves profound  
130 physics [36]–[39] and can be beneficial for enhancing the Seebeck coefficient. The maximum  
131 Seebeck coefficient of bilayer graphene without bandgap tuning was measured to be about  $100$   
132  $\mu\text{V/K}$  at  $250 \text{ K}$  [40], while the maximum Seebeck coefficient of bilayer graphene gapped by  
133 the electric field was reported to be up to  $180 \mu\text{V/K}$  at  $100 \text{ K}$  [41],[42]. Moreover, a recent  
134 theoretical work [43] reported a  $ZT$  value larger than unity in gapped bilayer graphene at an  
135 ultralow temperature of  $1 \text{ K}$ .

### 136 2.2 Thermoelectric properties of 2D TMDCs

137 TMDCs are a family of layered materials with a molecular formula of  $\text{MX}_2$ , where a  
138 transitional metal atom,  $M$ , is sandwiched by two chalcogen element atoms,  $X$ . The 2D forms  
139 of semiconducting TMDCs represented by 2H-type  $\text{MX}_2$  ( $M = \text{Mo}, \text{W}; X = \text{S}, \text{Se}$ ) have attracted  
140 intense research efforts for their potential applications in nanoelectronics and optoelectronics  
141 [44], and many studies have exploited their potentially high thermoelectric performance due to  
142 their direct bandgaps and much lower thermal conductivities than that for graphene [45]–[71].

143 The thermal conductivities of bulk MoS<sub>2</sub> crystals have been measured to be 85–110 W/m·K  
144 in the in-plane direction and  $2.0 \pm 0.3$  W/m·K in the through-plane direction at RT using the  
145 pump-probe metrology based on the magneto-optic Kerr effect [53]. A variable-spot-size time-  
146 domain thermoreflectance (TDTR) approach [54] was employed to systematically measure the  
147 in-plane and the through-plane thermal conductivities of bulk MX<sub>2</sub> (M = Mo, W; X = S, Se) at  
148 80-300 K. At RT, the in-plane thermal conductivity of the measured TMDCs exhibits the  
149 following decreasing order of WS<sub>2</sub> (120 W/m·K) > MoS<sub>2</sub> (82 W/m·K) > WSe<sub>2</sub> (42 W/m·K) >  
150 MoSe<sub>2</sub> (35 W/m·K), the trend of which agrees well with first-principles calculations [56].  
151 Another TDTR measurement of disordered, layered WSe<sub>2</sub> crystals yielded ultralow out-of-  
152 plane thermal conductivity of 0.05 W/m·K at RT [55]. These accurate measurements of the  
153 bulk crystals provide a baseline for understanding thermal transport in 2D TMDCs. The thermal  
154 conductivities of single- and few-layer TMDCs have been reported by a few Raman-based  
155 [57]–[63] and micro-bridge-based [64]–[66] measurements, but the limited data scattered in a  
156 large range (between  $\sim 15$  and  $\sim 100$  W/m·K at RT for monolayer MoS<sub>2</sub>). Meanwhile,  
157 careful MD simulations [68],[50], first-principles [67] and BTE [69] calculations agreed well  
158 on the in-plane thermal conductivities of 2D MoS<sub>2</sub>, which are above 100 W/m·K for the  
159 monolayer, decrease with increasing thickness and saturate at the trilayer to be about 80 W/m·K.  
160 The first-principles calculations [67] reported the RT thermal conductivities of 2H-type TMDC  
161 monolayers as 142 W/m·K for WS<sub>2</sub>, 103 W/m·K for MoS<sub>2</sub>, 54 W/m·K for MoSe<sub>2</sub>, and 53  
162 W/m·K for WSe<sub>2</sub>. Moreover, this first-principles work found that the 1T-type TMDC  
163 monolayers represented by ZrS<sub>2</sub> possess a much lower thermal conductivity than the 2H-type  
164 monolayers [67]. Besides, Wan and co-workers [71] measured assembled TiS<sub>2</sub> layers with  
165 organic intercalation, which exhibited an ultralow in-plane thermal conductivity of 0.12 W/m·K  
166 that is two orders of magnitude lower than both bulk and monolayer TiS<sub>2</sub>, along with a high *PF*  
167 of 0.45 mW/m·K<sup>2</sup>, resulting in a *ZT* value up to 0.28 at 373 K.

168 As for the *PF*, Wu and co-workers [48] measured a very large Seebeck coefficient of up to  
169 30 mV/K at RT in CVD monolayer MoS<sub>2</sub>, which indicated its promising application in  
170 thermoelectrics. Hippalgaonkar and co-workers [12] measured exfoliated single- to tri-layer  
171 MoS<sub>2</sub>, and obtained a Seebeck coefficient around -400  $\mu$ V/K at a zero gate voltage, while the  
172 *PF* of bilayer MoS<sub>2</sub> was as high as 8.5 mW/m·K<sup>2</sup> at a carrier concentration of  $1.06 \times 10^{13}$ /cm<sup>2</sup>.  
173 Another thickness-dependent study on exfoliated MoS<sub>2</sub>/SiO<sub>2</sub> samples also confirms that the  
174 best *PF* occurs for bilayer MoS<sub>2</sub>. [49] In addition, Li and co-workers [51] measured tunable  
175 bandgap in monolayer MoS<sub>2</sub> that changes with uniaxial strain at a modulation rate of up to  
176  $\sim 136$  meV/%, thus we may expect a even larger Seebeck coefficient in deformed MoS<sub>2</sub> as  
177 flexible thermoelectric applications. Besides MoS<sub>2</sub>, Exfoliated 3L WSe<sub>2</sub> on SiO<sub>2</sub> shows a peak  
178 Seebeck coefficient of around 200  $\mu$ V/K for electron conduction and around 250  $\mu$ V/K for hole  
179 conduction at 300 K. [52] Its maximum *PF* reaches 3.7 mW/m·K<sup>2</sup> for p-type WSe<sub>2</sub> and about  
180 3.2 mW/m·K<sup>2</sup> for n-type, corresponding to electrical conductivities of approximately  $3 \times 10^4$   
181 S/m and  $1 \times 10^4$  S/m respectively. [52] We can combine the separately measured *PF* and  
182 thermal conductivity and estimate *ZT* to be about 0.02 for pristine MoS<sub>2</sub> and WSe<sub>2</sub>.

### 183 2.3 Thermoelectric properties of 2D black phosphorene and layered tin selenide

184 2D black phosphorus (or phosphorene) is a narrow-bandgap semiconductor with a  
185 orthorhombic puckered honeycomb structure of phosphorus atoms, and has attracted great  
186 attention due to its high mobility and anisotropic properties [72]. The thermoelectric properties  
187 of phosphorene has attracted much attention and relatively high  $ZT$  values up to 2 have been  
188 theoretically reported [77]–[83]. Tin selenide (SnSe) layers possess the same puckered lattice  
189 structure and anisotropy with black phosphorus, and the layered bulk SnSe with the 2D  
190 nature has been identified as one of the most high-performance thermoelectric materials with  
191 an unprecedented  $ZT$  value up to 2.6 at 923 K [84]–[88]. Thus, we can group phosphorene and  
192 SnSe as a family of materials with the same lattice structure that can be very promising  
193 candidates as environmentally-friendly 2D thermoelectric materials.

194 The thermal conductivity of black phosphorus (BP) nanosheets has been measured by the  
195 Raman optothermal method [73], time-domain thermoreflectance (TDTR) method [74], the  
196 two-probe micro-bridge method [75] and the four-probe method [76]. Unlike suspended  
197 graphene whose thermal conductivity increases with decreasing thickness, BP nanosheets  
198 exhibited a decreasing trend of thermal conductivity in all the three directions as the thickness  
199 decreases [76], which indicates that monolayer or few-layer BP can have higher  $ZT$  values than  
200 thick flakes due to both enhanced electrical conductivity and reduced thermal conductivity. For  
201 138–552 nm-thick BP flakes at RT, the through-plane thermal conductivity was measured to be  
202 4.0 W/m·K, and the highest in-plane thermal conductivities were 86 and 34 W/mK in the zigzag  
203 and armchair directions, respectively [74]. The Seebeck coefficient of 10–30 nm thick BP was  
204 measured to be up to  $\sim 400$   $\mu\text{V}/\text{K}$  near 300 K [82], and the  $PF$  of 40 nm thick BP was measured  
205 to be  $\sim 4.5$   $\mu\text{W}/\text{cm}\cdot\text{K}^2$  at 210 K [80]. Combining the experimental results of thermal conductivity  
206 and  $PF$ , the  $ZT$  value of BP flakes with tens of nanometers thickness can be estimated to be on  
207 the order of  $10^{-3}$ , which indicates much room for the enhancement of thermoelectric  
208 performance. As for bulk single-crystal layered SnSe, Zhao and co-workers [84] measured  
209 ultralow thermal conductivities of less than 0.4 W/m·K at 923 K, Seebeck coefficients of up to  
210  $\sim 550$   $\mu\text{V}/\text{K}$  at 300 K, and very high  $ZT$  values of larger than 2.3 at 723–973 K even without  
211 doping. We can expect an even lower thermal conductivity in single- or few-layer SnSe because  
212 it shares the same lattice structure with BP, and thus 2D SnSe can be a very promising  
213 thermoelectric material.

### 214 2.4 Thermoelectric properties of other 2D sheets

215 Researchers have identified some other 2D sheets as potential good thermoelectric materials,  
216 including 2D tellurium (tellurene) [90]–[92], and 2D KAgSe nanosheet [93] *etc.*, the bulk  
217 counterparts of which are usually outstanding thermoelectric materials.

218 Bulk trigonal Te is a p-type narrow-bandgap semiconductor with the  $ZT$  value up to unity  
219 around 600 K and  $\sim 0.15$  at 300 K [89], and some theoretical studies [90],[91] indicated even  
220 higher thermoelectric performance for the 2D counterpart of Te due to the quantum  
221 confinement. Qiu and co-workers [92] measured the thermoelectric properties of 2D Te  
222 nanofilms with  $\sim 30$ -nm thickness and  $\sim 10$   $\mu\text{m}$  lateral sizes. With MEMS-based resistive  
223 thermometers, the RT Seebeck coefficient and  $PF$  of the Te nanofilm sample supported on  $\text{SiO}_2$

224 were measured to be  $413 \mu\text{V}/\text{K}$  and  $31.7 \mu\text{W}/\text{cm}\cdot\text{K}^2$ , respectively. The thermal conductivity of  
225 a suspended 2D Te sample was measured by the Raman optothermal method to be  $1.5 \text{ W}/\text{m}\cdot\text{K}$ ,  
226 half that of bulk Te. Eventually, the  $ZT$  value of  $\sim 30$ -nm thick Te nanofilms was up to 0.63 at  
227 300 K, four times that of bulk Te.

228 Besides, the thermoelectric properties of atomically thin 2D KAgSe nanosheet was studied  
229 by first-principles calculations and the Boltzmann transport equation (BTE) [93], which  
230 predicted an ultralow thermal conductivity of  $0.03 \text{ W}/\text{m}\cdot\text{K}$  at 700 K for the trilayer KAgSe and  
231 a very high  $ZT$  value up to 2.08.  $\text{SnS}_2$  nanosheets with a thickness of several tens of nanometers  
232 were measured by a MEMS-based device for the thermoelectric properties [95]. As the  
233 thickness of the  $\text{SnS}_2$  decreased, the electrical conductivity increased while the thermal  
234 conductivity decreased, which leads to a  $ZT$  value up to 0.13 at 300 K,  $\sim 1,000$  times that of  
235 bulk  $\text{SnS}_2$ . Shimizu and co-workers [96] measured an enhanced Seebeck coefficient in ZnO  
236 thin films with a thickness of several nanometers as compared to bulk ZnO. Hung and co-  
237 workers [97] conducted BTE and first-principles calculations for monolayer InSe and found a  
238  $PF$  up to  $50 \text{ mW}/\text{mK}^2$ . In addition, using the density functional theory (DFT) and semiclassical  
239 BTE calculations, Sharma *et al.* [98] predicted enhanced  $ZT$  in As and Sb monolayers as  
240 compared to the bulk counterparts, and Yu *et al.* [99] predicted a peak  $ZT$  value of 1.84 at 800 K  
241 in single-layer p-type BiOBr. Wang and co-workers [110] studied another 2D carbon allotrope  
242 named graphyne using the first-principles calculations and the nonequilibrium Green's function  
243 (NEGF) formalism, and obtained a  $ZT$  value of 0.16 at RT that is much larger than that of  
244 graphene.

### 245 **3. Nanostructured 2D materials for thermoelectrics**

246 As observed in Section 2, some 2D materials like graphene may not be attractive for  
247 conventional thermoelectric applications. However, unfavorable properties like an ultrahigh  
248 thermal conductivity can be tailored by introducing 2D nanofeatures across the atomic-thick  
249 material, e.g., nanoribbons or periodic pores. This Section will summarize the major  
250 advancement in this important research direction.

#### 251 **3.1 Theoretical progress**

252 To compute the lattice thermal conductivity, two major approaches are employed for 2D  
253 materials, namely first-principles calculations and molecular dynamics (MD) simulations. The  
254 accuracy of MD simulations can be largely improved with first-principles computed  
255 interatomic force constants [100]. Atomistic Green's function method [101],[156] can also be  
256 used in certain cases based on the computed phonon transmissivity across a structure. However,  
257 inelastic phonon scattering cannot be easily incorporated in this method.

258 For electrical properties, the required electronic band structures can be computed with  
259 first-principles calculations (unit cell of  $\sim 100$  atoms or less) or tight binding modeling (unit cell  
260 of  $\sim 10$  nm sizes or less). For electron conduction, both ballistic and diffuse regimes can be  
261 physically formulated by the Landauer approach [6],[7], by which the spectral electrical

262 conductivity per unit energy,  $\sigma'(E)$ , is formulated in terms of the energy ( $E$ )-dependent  
 263 transmission of electrons,  $\mathcal{T}_e(E)$ , given by [6]

$$\sigma'(E) = \frac{L}{A} \frac{2e^2}{h} \mathcal{T}_e(E) M_e(E) \left( -\frac{\partial f_{FD}}{\partial E} \right) \quad (1)$$

264 where  $M_e(E)$  is the energy-dependent number of modes that is proportionally related to the DOS  
 265 of electrons,  $e$  the electron charge,  $f_{FD}$  the Fermi-Dirac distribution function,  $h$  the Planck  
 266 constant,  $L$  the sample length and  $A$  the cross-sectional area. The electron transmission function,  
 267  $\mathcal{T}_e(E)$ , can be related to the energy-dependent MFP,  $\lambda(E)$ , by  $\mathcal{T}_e(E) = \lambda(E)/(\lambda(E) + L)$ . [102]  
 268 The total electrical conductivity is then given by

$$\sigma = \int \sigma'(E) dE \quad (2)$$

269 The Seebeck coefficient,  $S$ , is derived to be [6]

$$S = -\frac{1}{eT} \frac{\int (E - E_F) \sigma'(E) dE}{\sigma} = -\frac{1}{eT} \left[ \frac{\int E \mathcal{T}_e(E) M_e(E) \left( -\frac{\partial f_{FD}}{\partial E} \right) dE}{\int \mathcal{T}_e(E) M_e(E) \left( -\frac{\partial f_{FD}}{\partial E} \right) dE} - E_F \right] \quad (3)$$

270 where  $E_F$  is the Fermi energy. Accordingly, the Seebeck coefficient is determined by the  
 271 difference between the average carrier energy and the Fermi level. The Seebeck coefficient  
 272 decreases while the electrical conductivity increases with increasing Fermi level, and there  
 273 exists a maximum  $PF$  at the optimized Fermi level somewhere near the band edge. Further, the  
 274 electronic thermal conductivity,  $k_e$ , is formulated by

$$k_e = \frac{1}{e^2 T} \int (E - E_F)^2 \sigma'(E) dE - T \sigma S^2 \quad (4)$$

275 and the lattice thermal conductivity,  $k_l$ , which dominates thermal transport in semiconductors,  
 276 can be parallelly formulated by the Landauer approach in terms of the transmission function of  
 277 phonons [8]. Both electron and phonon transports can be scattered by defects, impurities, and  
 278 boundaries, the effects of which are reflected in the MFPs or the transmission functions of  
 279 phonons and electrons in the Landauer formalism.

280 For materials like graphene and boron nitride, particular attention should also be paid to  
 281 the hydrodynamics of the phonon transport [103]–[106]. This occurs when the momentum-  
 282 conserving normal process overshadows the resistive Umklapp process. In contrast with bulk  
 283 materials, with hydrodynamic phonon transport only at extremely low temperatures [107],  
 284 phonon hydrodynamics can be found in some 2D materials well above cryogenic temperatures.  
 285 Similar phonon hydrodynamics is also claimed for graphite even above 100 K [108]. In this  
 286 case, heat can propagate in the form of a damped wave and second sound can be hosted within  
 287 the material. This interesting phenomenon should also be considered in the thermal design of  
 288 nanostructured 2D materials, such as graphene nanoribbons [109].

### 289 3.1.1 Nanoribbons

290 Nanoribbons are strips of 2D materials with a width of approximately less than 100 nm.  
 291 Different kinds of nanoribbon structures have been studied for thermoelectric properties,  
 292 including graphene nanoribbons (GNRs) of various shapes [118]–[132], sp<sup>3</sup>-bonded graphene



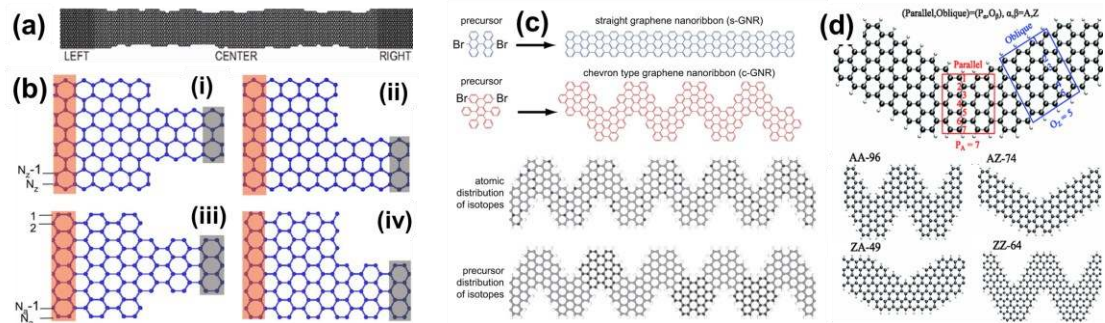
293 nanoribbons [133], silicene and germanene nanoribbons [134]-[136], TMDC nanoribbons  
294 [137]-[139], phosphorene nanoribbons [140], and hybrid superlattice nanoribbons  
295 [141]-[147],[134]. Table 1 lists the reported  $ZT$  values of nanoribbons in the existing  
296 theoretical studies, which range from 0.1 to  $\sim 6$  at RT.

297 All these studies reported both enhanced Seebeck coefficient due to the bandgap opening  
298 and drastically reduced thermal conductivity as compared with the bulk counterpart, which can  
299 be generally explained by phonon scattering with boundaries as well as the ballistic phonon  
300 transport because of the nanoscale sizes that are smaller than the phonon MFP [111]-[117]. For  
301 example, the phonon MFP of bulk graphene is up to  $\sim 300$  nm [113] and thus the phonon  
302 scattering with the rough edges dominates the thermal resistance in a GNR with a width of less  
303 than 100 nm, while the ballistic phonon transport can be important in a GNR with a length of  
304 less than 300 nm and reduce the effective thermal conductivity. Generally, the thermal  
305 conductivity of nanoribbons with the same edge roughness decreases with decreasing ribbon  
306 width [112]. The edge roughness is the other important factor that determines the strength of  
307 phonon-edge scattering. Because the zigzag edge is more smooth than the armchair edge, which  
308 suggests a weaker phonon scattering with the zigzag edge, it was found that the perfect zigzag  
309 GNR has a larger thermal conductivity than the armchair ones with the same width [115],[117].  
310 In practice, both experimental and theoretical studies also have demonstrated that the zigzag  
311 edges also have long-termed stability [114]. For instance, the edge of a hole with more armchair  
312 configuration initially would finally be stabilized with more zigzag configuration under  
313 electron beam irradiation. Interestingly, phonon scattering gets much stronger at atomically  
314 disordered zigzag edges of GNRs (Fig. 2 (a)), which can reduce the thermal conductivity by 10  
315 fold as compared with that of perfect zigzag GNRs [119]. In addition to the phonon-edge  
316 scattering, phonon scattering with isotopes [123],[124] and bulk defects [118],[120],[133] has  
317 also been explored to further reduce thermal conductivity of nanoribbons. In the GNRs with  
318 non-uniform widths or assembled GNRs (Fig. 2 (b)-(d)), the GNR segments can have different  
319 phonon spectra and the phonon transport can be further suppressed due to the thermal boundary  
320 resistance between segments as well as the phonon localization [124]-[127]. Besides, it was  
321 found that the tensile strain can reduce the thermal conductivity of GNRs and thus increase the  
322  $ZT$  value [128],[129]. In the superlattice nanoribbons consisting of hybrid 2D crystals (Fig. 3  
323 (b), (c) and (f), [141]-[147]), the mechanisms of thermal conductivity reduction include the  
324 phonon-interface scattering and interference effects.

325 The electron transport, however, can be suppressed by the same boundary scattering as the  
326 phonon transport. For the enhancement of  $ZT$ , the point is how to design a sophisticated  
327 nanostructure that can suppress phonon transport much more strongly than electron transport  
328 by utilizing the disparate features of phonons and electrons. Especially, defects are inevitable  
329 in real samples and it is important to figure out the effects of defects on both electron and  
330 phonon transport. Several theoretical studies [118]-[120] have suggested that disorders at the  
331 edges can scatter phonons much more strongly than electrons in GNRs, which can lead to a  
332 higher ratio of electrical to thermal conductivities and thus a higher  $ZT$ . However, bulk defects  
333 inside the GNR suppress electron transport more significantly than phonons [118],[120], which  
334 can result in a decreased  $ZT$ . These results suggest that a clean GNR with rough edges but few  
335 bulk defects is preferred for thermoelectric applications. A more recent theoretical study [123]

336 demonstrated that isotopes and vacancies at the lowest electron density positions of GNRs can  
 337 drastically reduce the thermal conductance without degrading the electrical conductance  
 338 particularly in the low-energy region, which provides further guidance for sophisticated defect  
 339 engineering in GNR thermoelectrics.

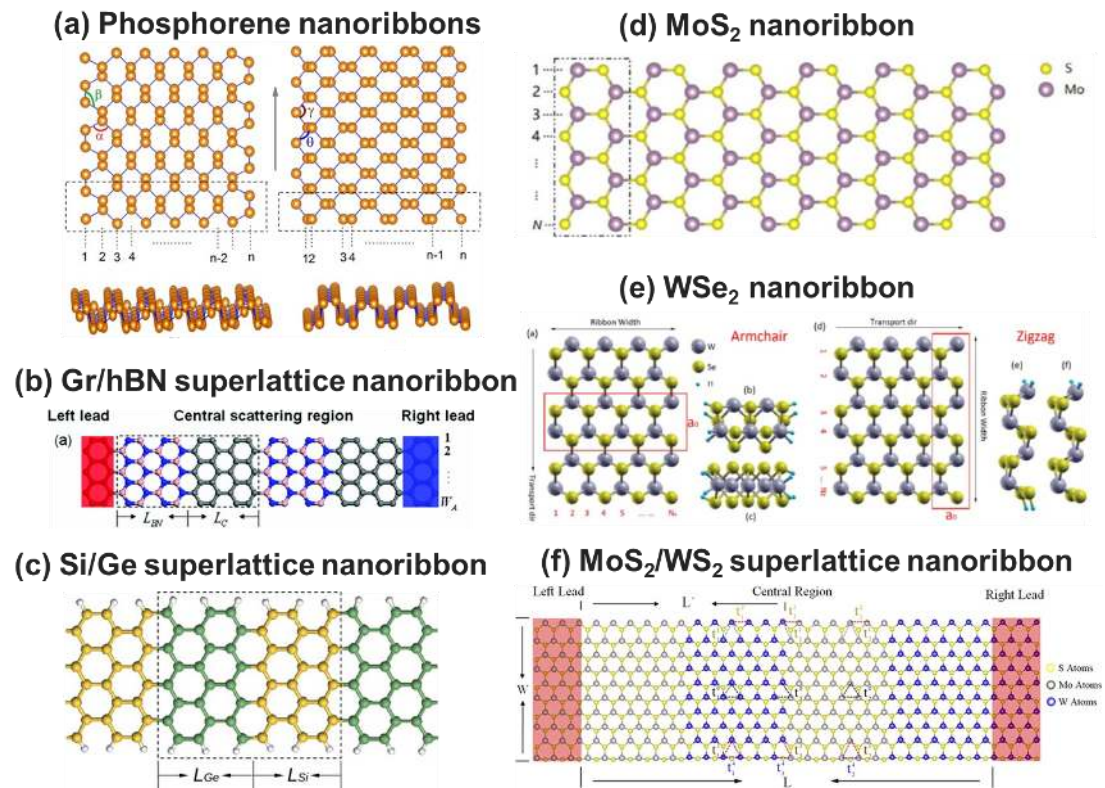
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341

342 **Fig. 2** Graphene nanoribbon (GNR) structures for enhanced thermoelectric  
 343 performance. (a) An edge disordered zigzag GNR (adapted from [119]). (b) T-shaped and  
 344 L-shaped, zigzag, and armchair GNRs (adapted from [126]). (c) Precursor or isotope-  
 345 based bottom-up construction of straight and chevron type GNRs (adapted from [125]).  
 346 (d) GNRs assembled to various structures (adapted from [130]).

347



348

349 **Fig. 3** Various nanoribbons explored for thermoelectric applications. (a) Phosphorene  
 350 nanoribbons (adapted from [140]). (b) Graphene/boron nitride superlattice nanoribbons

351 (adapted from [145]). (c) Si/Ge superlattice nanoribbons (adapted from [134]). (d) MoS<sub>2</sub>  
 352 nanoribbons (adapted from [137]). (e) WSe<sub>2</sub> nanoribbons (adapted from [138]). (f)  
 353 MoS<sub>2</sub>/WS<sub>2</sub> superlattice nanoribbon (adapted from [146]).

354

355

**Table 1. *ZT* values reported in theoretical studies of nanoribbons**

Material	Reference	Calculation method	Structure features	Max. <i>ZT</i>
GNR	Ouyang <i>et al.</i> (2009) [118]	NEGF	Width = 1.8 nm; varied edge disorder and vacancy	0.1 @ 300 K
GNR	Sevinçli <i>et al.</i> (2010) [119]	NEGF	Edge disordered zigzag GNRs (Fig. 2 (a))	4 @ 300 K
GNR	Karamitaheri <i>et al.</i> (2012) [121]	NEGF	Zigzag GNRs with line defects, substrate impurities & edge roughness	5 @ 300 K
GNR	Zheng <i>et al.</i> (2012) [122]	NEGF & MD	0.4-1.4 nm wide armchair and zigzag GNRs	6.0 @ 300 K
GNR	Tran <i>et al.</i> (2017) [123]	Green's function & Landauer theory	Width ~ 1 nm, isotopes & vacancies at the lowest electron density positions	2.5 @ 300 K
GNR	Tan <i>et al.</i> (2015) [124]	First-principles	Zigzag GNRs with gold atom chains at the edges	~1 @ 300 K, ~2 @ 500 K
GNR	Sevinçli <i>et al.</i> (2013) [125]	First-principles	Precursor/isotope-based straight/chevron type GNRs (Fig. 2 (c))	~2 @ 300 K 3.25@800 K
GNR	Pan <i>et al.</i> (2012) [126]	NEGF & Landauer theory	T- and L-shaped, varied chirality (Fig. 2 (b))	~0.6@300 K ~0.9@100 K
GNR	Yeo <i>et al.</i> (2013) [128]	DFT & Landauer theory	Strained armchair GNRs	~0.7@800 K
GNR	Mazzamuto <i>et al.</i> (2011) [127]	NEGF	Mixed chirality	~1 @300 K
GNR	Liang <i>et al.</i> (2012) [130]	DFT & semiempirical	GNR assembly (Fig. 2 (d))	~0.7@300 K
GNR	Mazzamuto <i>et al.</i> (2012) [131]	Green's function	Disordered edges & vacancies	0.4@300 K
GNR	Yan <i>et al.</i> (2012) [132]	NEGF	Hexagonal shapes with zigzag or armchair edges	~2@300 K
Graphane	Liang <i>et al.</i> (2009) [133]	DFT & NEGF	~1-to-5-nm wide	~2@300 K 5.8 @700 K
Silicene	Zborecki <i>et al.</i> (2013) [135]	First-principles	Zigzag edges, varied width	~2.5 @ 90 K
MoS <sub>2</sub>	Fan <i>et al.</i> (2014) [137]	First-principles & BTE	Varied chirality and width (Fig. 3 (d))	2.7@ 300 K
WSe <sub>2</sub>	Chen <i>et al.</i> (2016) [138]	First-principles	Varied chirality and width (Fig. 3 (e))	2.2 @ 300 K
WSe <sub>2</sub>	Wang <i>et al.</i> (2017) [139]	First-principles & NEGF & BTE	Varied chirality and sizes	1.4 @ 300 K 2.1 @ 500 K
Phosphorene	Zhang <i>et al.</i> (2014) [140]	DFT	Zigzag and armchair NRs (Fig. 3 (a))	6.4 @ 300 K

Si, Ge, and hybrid	Yang <i>et al.</i> (2014) [134]	First-principles	Varied width and supercell length (Fig. 3 (c))	2.5 @ 300 K
Gr/hBN hybrid	Tran <i>et al.</i> (2015) [143]	Green's function	hBN attached to the sides of GNRs	0.8 @ 300 K 1.0 @ 100 K
Gr/hBN hybrid	Yang <i>et al.</i> (2012) [145]	NEGF	Varied width and periodic length (Fig. 3 (b))	~0.7 @ 300 K
MoS <sub>2</sub> /WS <sub>2</sub> hybrid	Zhang <i>et al.</i> (2016) [146]	NEGF & MD & First-principles	Varied number of interfaces (Fig. 3 (f))	5.5 @ 600 K
TMDC hybrid	Ouyang <i>et al.</i> (2016) [147]	NEGF & MD & First-principles	Armchair MX <sub>2</sub> hybrid NRs (M = Mo/W, X = S/Se)	2-3 @ 300 K 7.4 @ 800 K

356 Note: Gr = graphene

### 357 3.1.2 Porous structures

358 Another important type of nanostructure is the porous structure where arrays of atomic- to  
359 nano-scale holes are introduced inside the 2D lattices. With tunable phonon transport and  
360 electron band structures, these 2D porous structures are named as antidot lattices, nanomeshes,  
361 or phononic structures [16],[148]. Several 2D porous structures have been theoretically  
362 investigated for thermal, electronic and thermoelectric properties, including the most studied  
363 graphene antidot lattices (GAL) [148]–[158], porous silicene [159], and 2D MoS<sub>2</sub> and  
364 phosphorene antidot lattices [160]–[161]. On the one hand, phonon transport in these porous  
365 structures can be significantly suppressed by boundary scattering and localization of phonons  
366 around the pores, as well as wave-like phonon interference in periodic structures  
367 [16],[152],[153]. On the other hand, the electronic band structure can be tailored in well-  
368 designed porous structures so that the Seebeck coefficient can be enhanced while the electrical  
369 conductivity can remain high [154]–[161]. Up to now, several theoretical studies have  
370 demonstrated enhanced  $ZT$  values in 2D porous structures, as listed in Table 2.

371 GALs with nanoscale pores have been extensively studied for the electronic properties  
372 [148]–[151], demonstrating a nonzero band gap around the nanopores. As for thermal transport,  
373 Yang and co-workers [152] demonstrated by MD simulations that the thermal conductivity of  
374 periodic graphene nanomeshes can be effectively tuned by changing the porosity and period,  
375 with the thermal conductivity reduced to 0.1 to 0.01 of that of pristine graphene as the porosity  
376 changed from ~21% to 65%. Feng and Ruan [153] studied thermal transport in graphene  
377 nanomeshes with 5 nm neck width, 10-20 nm pore sizes, and different pore shapes and  
378 arrangements using MD simulations. The thermal conductivity of the graphene nanomeshes  
379 was found to be 3 orders of magnitude lower than that of pristine graphene, and even 200-fold  
380 lower than that of GNRs with the same neck width, showing great potential for thermoelectric  
381 applications [153]. Further, several groups reported simultaneous simulations of phonon and  
382 electron transports in various graphene porous structures (or GALs), which yielded reduced  
383 thermal conductivity, maintained electrical conductivity, and enhanced Seebeck coefficients  
384 [154]–[158]. As listed in Table 2, the reported  $ZT$  values of GALs ranged from 0.2 to ~3.  
385 Especially, Chang and co-workers [158] modified the GAL by adding adatoms, which can  
386 enhance the spin-orbit coupling and thus convert bulk graphene into a topological insulator with  
387 a bandgap and robust helical edge states. While phonon transport was significantly suppressed

388 by the nanopores, the Seebeck coefficient reached up to 1 mV/K and the maximum  $ZT$  value  
 389 was as high as  $\sim 3$  at 40 K [158].

390 Besides graphene porous structures, Sadeghi and co-workers [159] reported enhanced  
 391 thermoelectric performance of silicene antidot lattices (Fig. 4 (d)), with the Seebeck coefficient  
 392 up to 500  $\mu\text{V/K}$  and the  $ZT$  value as high as 3.5. Shao *et al.* [160] reported electronic properties  
 393 of  $\text{MoS}_2$  antidot lattices (Fig. 4 (f)) using first-principles calculations and found tunable  
 394 bandgaps with the supercell sizes and the foreign atom adsorption. Cupo and co-workers [161]  
 395 reported tunable band gaps of phosphorene antidot lattices (Fig. 4 (e)) both theoretically and  
 396 experimentally. Their DFT calculations revealed that the bandgap scaling can be attributed to  
 397 quantum confinement effects [161].

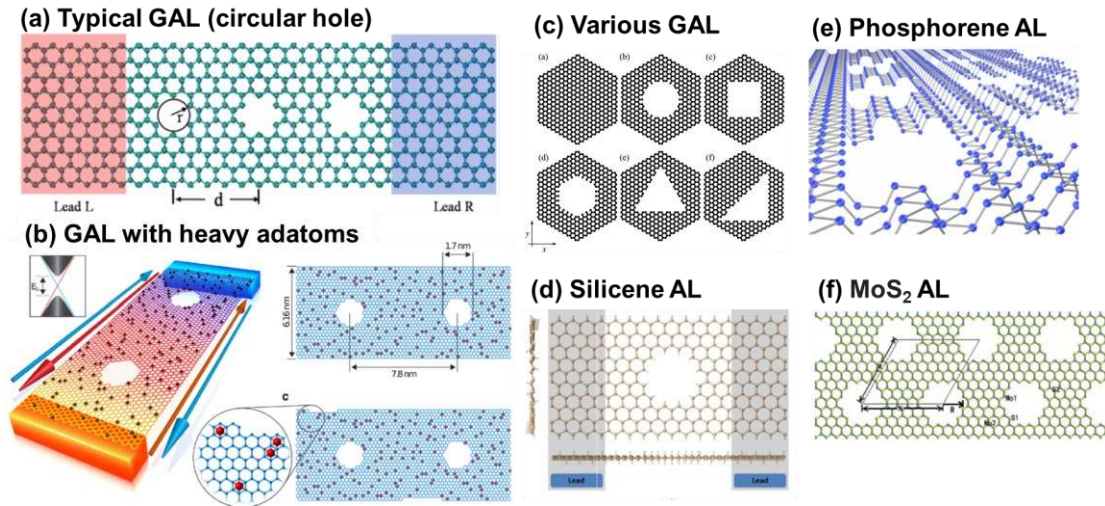
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399

**Table 2.  $ZT$  values reported in theoretical studies of 2D porous structures**

Material	Reference	Calculation method	Structure features	Max. $ZT$
GAL	Gunst <i>et al.</i> (2011) [154]	Landauer theory	Hexagonal holes with varied sizes and edge chiralities	$\sim 0.3$ @ 300 K
GAL	Karamitaheri <i>et al.</i> (2011) [155]	Landauer theory	Circular, rectangular, hexagonal, and triangular antidot shapes (Fig. 4 (c))	$\sim 0.2$ @ 300 K
GAL	Yan <i>et al.</i> (2012) [156]	NEGF	1D antidot arrays (Fig. 4 (a))	$\sim 1.0$ @ 300 K
GAL	Hossain <i>et al.</i> (2015) [157]	DFT & NEGF	Rectangular hole with varied sizes	$\sim 0.8$ @ 300 K
GAL	Chang <i>et al.</i> (2014) [158]	First-principles	Both heavy adatoms and nanopores (Fig. 4 (b))	$\sim 3$ @ 40 K
Porous silicene	Sadeghi <i>et al.</i> (2015) [159]	DFT	Varied doping and edge termination (Fig. 4 (d))	3.5 @ 300 K

400



401

402 **Fig. 4 2D porous structures.** (a) Typical graphene antidot lattice (GAL) with circular  
 403 holes (adapted from [156]). (b) GAL with heavy adatoms (adapted from [158]). (c) GAL  
 404 with holes of different geometries (adapted from [155]). (d) Silicene antidot lattice  
 405 (adapted from [159]). (e) Phosphorene antidot lattice (adapted from [161]). (f) MoS<sub>2</sub>  
 406 antidot lattice (adapted from [160]).

407

### 408 3.1.3 Machine learning approaches

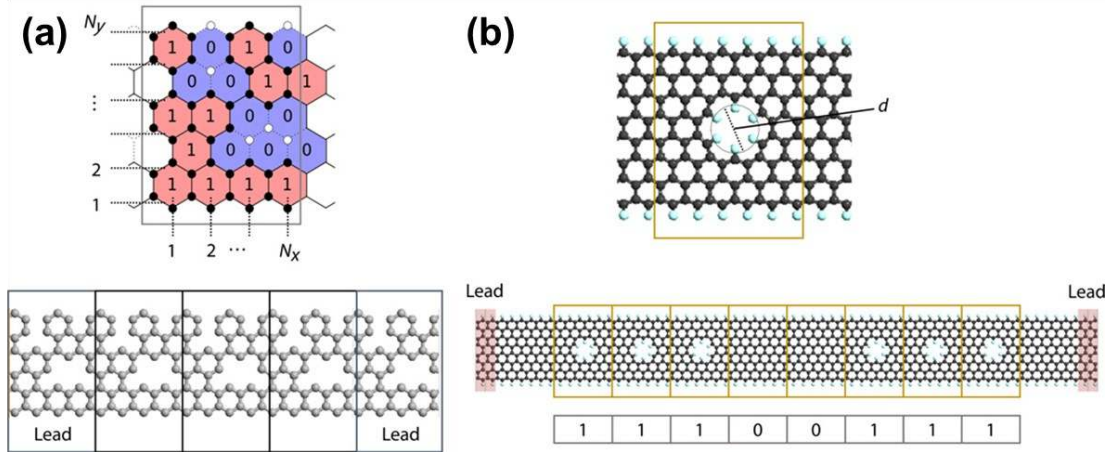
409 As suggested in the previous sections, there are infinite possibilities for the nanostructure  
 410 patterns of 2D materials, and hence the case-by-case enumerative studies towards an optimized  
 411 structure for thermoelectric applications are awfully expensive, inefficient, and often  
 412 unsuccessful. To address this issue, the machine learning techniques or materials informatics  
 413 have recently attracted growing interest in the field of thermal transport and thermoelectric  
 414 studies [162]–[166]. Combining the limited data accumulated from simulations and  
 415 experiments with the data-driven machine learning or AI approaches can greatly accelerate the  
 416 discovery of high-performance thermoelectric structures. Especially, some machine learning  
 417 algorithms have been utilized to optimize 2D nanostructures for the target properties.  
 418 Yamawaki and co-workers [162] combined phonon and electron transport calculations of  
 419 graphene nanostructures with Bayesian optimization, and successfully discovered certain  
 420 structure configurations that can comprehensively optimize the thermal and electrical properties  
 421 towards an enhanced thermoelectric figure of merit. Two kinds of well-defined graphene  
 422 nanostructures were investigated for the optimization (Fig. 5): (1) the distribution of vacancy  
 423 defects, and (2) one-dimensional configuration of the antidot lattice unit and pristine unit. The  
 424 optimized antidot structure exhibited the highest  $ZT$  value that is 11 times that of the pristine  
 425 GNR and more five times that achieved by random search, which was attributed to the zigzag  
 426 edge states and the unique aperiodic nanostructure.

427 A recent work by Wei *et al.* [163] adopted a two-step search process coupled with the  
 428 genetic algorithm to answer whether the thermal conductivity of GALs with periodically  
 429 distributed holes is always higher than that of GALs with randomly distributed holes. Around  
 430 these random holes, the phonon Anderson localization has been believed to largely suppress



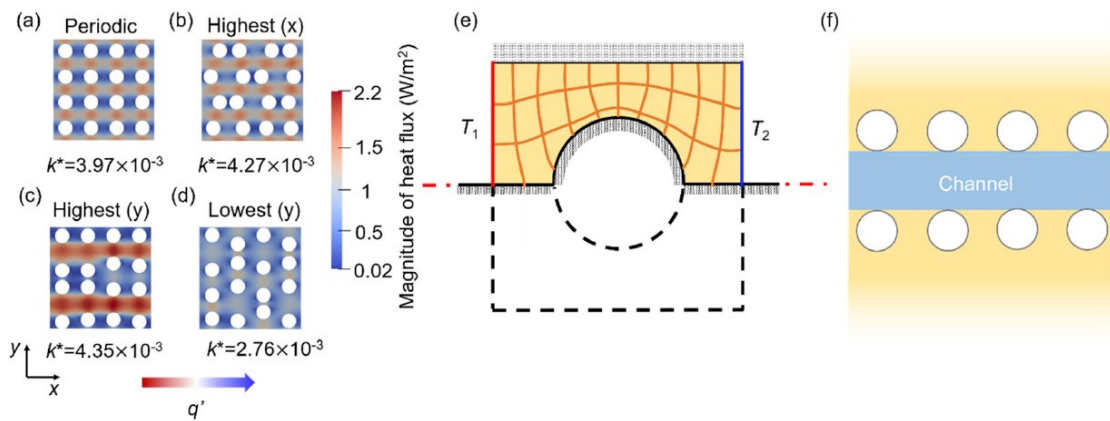
431 phonon transport. Unexpectedly, Wei and co-workers discovered certain patterns of nanopore  
 432 distributions with an enhanced thermal conductivity as compared to that of periodic pore  
 433 distribution (Fig. 6), which was never found during the manual search. The unexpected thermal  
 434 conductivity enhancement was attributed to the shape factor and channel factor in these unusual  
 435 structures that dominate over the phonon localization. This study demonstrated the possibilities  
 436 and complexity of the structure-property relationship in 2D nanostructures, which can stimulate  
 437 further machine-learning based optimization and provide guidance for the rational design of  
 438 two-dimensional structure patterns for enhanced thermoelectric performance.

439



440  
 441 **Fig. 5 Bayesian optimization for enhanced  $ZT$  of graphene nanostructures. (a)**  
 442 **Optimization of the vacancy distribution (Model A). (b) Optimization of the arrangement**  
 443 **of the pristine and antidot GNR cells (Model B). The descriptors, 0 and 1, respectively**  
 444 **describe the defective and complete hexagonal lattices for Model A, and the pristine and**  
 445 **antidot GNR cells for Model B. Adapted from [162].**

446



447  
 448 **Fig. 6 Genetic algorithm (GA) driven discovery of thermal conductivity enhancement**  
 449 **in disordered GALs. (a) Periodic configuration of nanopores, (b), (c) the configuration**  
 450 **with the disorder in  $x$  and  $y$  direction identified by GA for high thermal conductivity, (d)**  
 451 **the configuration with the disorder in  $y$ -direction identified by GA for low thermal**

452 conductivity. (e) The shape factor illustrated using a quasi-1D heat conduction model. (f)  
453 Schematic of heat conduction channel. Adapted from [163].  
454

### 455 3.2 Experimental progress

456 This section reviews the progress in experimental studies of nano-patterned 2D materials.  
457 Caution should be taken for the significant knowledge gap between computations in Section  
458 3.1 and the experimental studies in this section. A few issues should be addressed:

- 459 1) Band structure computed by first-principles calculations [167]–[169],[149] (unit cell  
460 of  $\sim 100$  atoms or less) or tight binding modeling [151],[170]–[174] (unit cell of  $\sim 10$   
461 nm sizes or less) often focus on pore diameters of  $\sim 1$  nm or less. In addition,  
462 qualitatively estimate of the band structure can be obtained by fast numerical solutions  
463 of the Dirac equation [171]. In experiments, however, the smallest pore diameter is still  
464 in the sub-20 nm regime due to the restriction of employed nanofabrication techniques  
465 [175],[176].
- 466 2) The band gap opening is suggested to depend on the chirality of the supercell lattice  
467 vectors [168],[170], which has not been verified experimentally.
- 468 3) Structure disorder (e.g. irregular pore shape) [174],[172],[169], and the exact structure-  
469 edge configuration (i.e. armchair or zigzag edges) are considered in some computations  
470 but no atomic structure information from real samples is available for such studies.

471 Although electrical properties can usually be accurately measured, special attention should  
472 also be paid to the challenges of thermal measurements. As the most accurate approach for the  
473 thermal measurements of nanostructures, the thermal conductivity of a nanostructure can be  
474 obtained from steady-state and one-dimensional heat conduction along the structure. This can  
475 be achieved with suspended heater-thermometer membranes [177],[178] or metal lines [200]  
476 bridged by the measured nanostructure. For fragile nanoporous structures, this approach has  
477 not been used though it can be found for supported graphene nanoribbons [200] and suspended  
478 graphene [179],[180]. Metal deposition is often used to form good thermal/electrical contacts  
479 between the sample and the suspended device. Along another line, non-contact Raman  
480 thermometry can also be used to extract the in-plane thermal conductivity of a suspended 2D  
481 material. The model can be either based on a self-heated suspended strip [181] or laser-heated  
482 sample with circular temperature profiles from the laser spot [182],[183],[34],[202]. Besides  
483 the less accurate temperature reading of Raman thermometry, accurate thermal analysis may  
484 also involve the strong thermal nonequilibrium between electrons, optical phonons and acoustic  
485 phonons [183],[195] in laser-heated 2D materials.

486 Keeping these in mind, reliable and systematic thermoelectric measurements should be  
487 carried out with more advanced nanofabrication techniques to control the patterns at the atomic  
488 level. Nevertheless, the experimental results in this section are still critical and some of the  
489 materials can be useful in applications already.



### 490 3.2.1 Porous structures

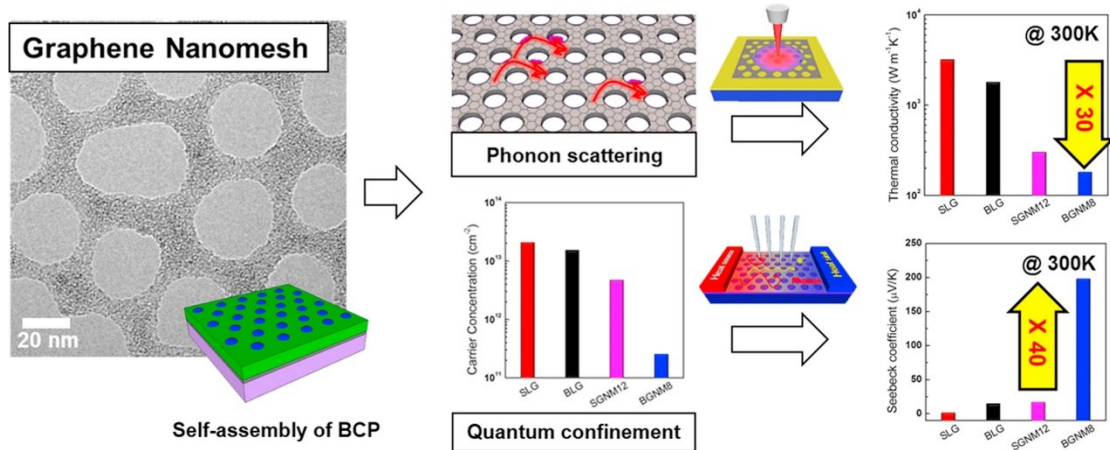
491 Despite many theoretical studies, extremely limited experiments have been carried out to  
492 demonstrate the predicted thermoelectric performance of 2D nanostructures due to the  
493 challenges of both sample fabrication and thermal measurements at the nanoscale.

494 The fabrication of 2D porous structures can be classified into three categories: (1)  
495 chemical etching or plasma treatment to introduce random and uncontrollable holes or defects  
496 [172],[191], (2) helium or gallium ion-beam based direct drilling of holes in the 2D material  
497 [189],[190], and (3) mask based patterning (electron beam lithography [161],[186], or block  
498 copolymer self-assembled porous mask [185]) followed by plasma etching processes. The  
499 electron beam lithography-based patterning can offer the most accurate and large-scale control  
500 of the nanostructure, with the smallest pore diameter around 15 nm [186]. The helium ion beam-  
501 based milling yielded the smallest pore size of approximately 6 nm in diameter [191].

502 Xiao and co-workers [172] treated few-layer graphene (FLG) with oxygen plasma for  
503 10–20 seconds to introduce atomic scale holes (defects). The Seebeck coefficient of the plasma-  
504 treated few-layer graphene was measured to be as high as 700  $\mu\text{V}/\text{K}$  at 575 K, which was  
505 attributed to the bandgap opening induced by the local holes or defects [172]. The electrical  
506 conductivity of the randomly etched FLG was  $\sim 10^4$  S/m, which is 2 orders of magnitude lower  
507 than high-quality samples, and the  $PF$  was  $4.5 \text{ mW}/\text{m}\cdot\text{K}^2$ , only about half that of high-quality  
508 pristine graphene. Such plasma treatment can not be used for single-layer graphene that can be  
509 easily destroyed by plasma etching [172]. Similar random chemical etching was recently adopted  
510 by Kang et al. [191] to introduce random holes in 7 nm-thick  $\text{MoS}_2$  thin films, the in-plane  
511 Seebeck coefficient of which was measured to be up to  $\sim 742 \mu\text{V}/\text{K}$ .

512 Using the block copolymer self-assembly method (Fig. 7 ), Oh and co-workers [185]  
513 fabricated mono- and bi-layer graphene nanomeshes with irregular holes. The hole diameter in  
514 the nanomeshes was about 20–40 nm, and the average neck widths were 8–21 nm. They  
515 measured the Seebeck coefficient and electrical conductivity of  $\text{SiO}_2$ -supported samples by a  
516 commercial instrument (TEP-600, Seepel Instrument, Korea), and measured the effective  
517 thermal conductivity of a different suspended porous graphene sample by the Raman  
518 optothermal method. Note that the Raman-based measurement here can involve large  
519 uncertainties due to several factors, including the unknown laser absorption and phonon  
520 nonequilibrium as well as the relatively low sensitivity for temperature detection [192]–[195],  
521 which we will discuss in more detail later. The bilayer graphene nanomesh with 8 nm neck  
522 width exhibited the lowest thermal conductivity of about 78 W/mK around 350 K due to the  
523 phonon scattering at the neck edge, and the highest Seebeck coefficient of  $-190 \pm 80 \mu\text{V}/\text{K}$  at  
524 300 K and  $-520 \pm 92 \mu\text{V}/\text{K}$  at 520 K, which can be explained by the bandgap opening. However,  
525 the electrical conductivity was significantly reduced by 2 orders of magnitude to be around  $2$   
526  $\times 10^4$  S/m, which should be originated from the defects and contamination introduced in the  
527 nanofabrication processes. Oh and co-workers achieved a  $PF$  of about  $8.2 \text{ mW}/\text{m}\cdot\text{K}^2$  at 520 K  
528 in the bilayer nanomesh with 8 nm neck width due to the superior Seebeck coefficient, but the  
529  $ZT$  of all the measured nanostructures were even decreased by 1–2 orders of magnitude as  
530 compared to large-area graphene because the electrical conductivity was not maintained high  
531 enough.

532 Although this work provided the first experimentally measured  $ZT$  for GALs, the thermal  
533 contact between the sample and the thermal probes may largely affect Seebeck coefficient  
534 measurements, where the measured temperature difference can be larger than that “felt” by the  
535 sample. Some  $PF$ s given by Oh and co-workers [185] were suspected to be an underestimate  
536 of the actual values. On the other hand, their thermal measurements used a Raman laser as a  
537 heat source and read the temperature from the Raman peak shift. The laser light was focused  
538 on a GAL suspended across a membrane hole. The laser spot acted as a heating source, whereas  
539 the membrane was the heat sink to “drain” the heat from the suspended sample. The effective  
540 thermal conductivity of a GAL was thus obtained from 2D heat conduction analysis. One  
541 challenge was how to determine the actual laser power absorbed by a sample. In the work by  
542 Oh *et al.*, the possible light reflection by the graphene antidot lattice was neglected and the laser  
543 power not transmitted through a sample was considered to be absorbed. In addition, the in-air  
544 measurements were affected by convection and air conduction around the sample, which was  
545 usually hard to be estimated. More accurate measurements also required a better understanding  
546 of light absorption by graphene, i.e., the strong thermal nonequilibrium between electrons,  
547 optical phonons and acoustic phonons [183],[195].



549 **Fig. 7 Reduced thermal conductivity and enhanced Seebeck coefficient measured in**  
550 **graphene nanomeshes. Adapted from [185].**

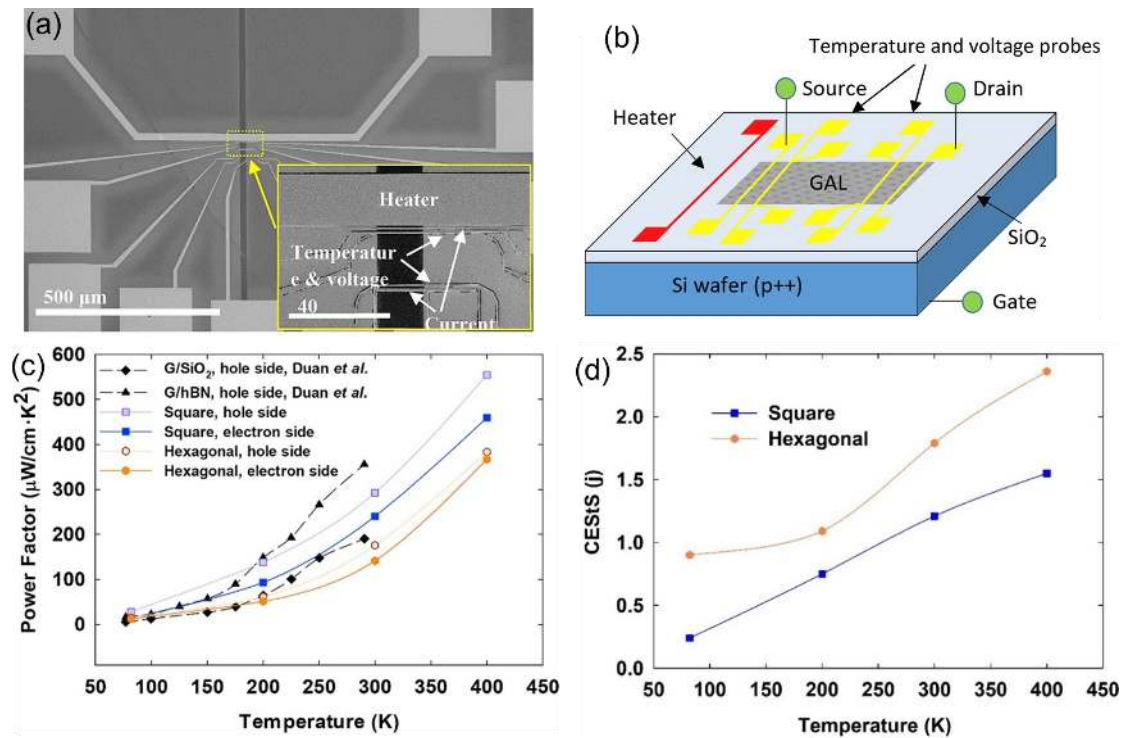
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552 Xu and co-workers [186] fabricated GALs by the electron beam lithography and reactive  
553 ion etching. To minimize the measurement errors, deposited metallic electrical/thermal probes  
554 were used to ensure good thermal/electrical contacts. The square and hexagonal patterns were  
555 compared for their electrical properties. The square pattern exceeded the hexagonal pattern for  
556 the  $PF$  under an gate voltage that maximized  $|S|$  (Fig. 8 (c)). At 400 K, a remarkable  $PF$  of  
557  $554 \mu\text{W}/\text{cm}\cdot\text{K}^2$  is achieved in the p-type GAL with a square pattern, which is far beyond the  
558 best  $PF$ s of bulk thermoelectric materials. One innovation of this work was to better analyze  
559 the maximum Seebeck coefficient under an applied gate voltage, from which the carriers’  
560 energy sensitivity ( $j$ ) to scattering (CEStS) was extracted to better understand the scattering  
561 mechanisms of charge carriers. In physics, this CEStS is defined as  $j = d[\ln(\tau)]/d[\ln \varepsilon]$ ,  
562 where  $\tau$  is energy-depdent relaxation time,  $\varepsilon$  is the carrier's energy referring to the edge of

563 the corresponding valley. The extracted  $j$  in Fig. 8 (d) reflects a statistical measure of the  
 564 average values of  $j$  for all existing scattering mechanisms. Because the divergence between  
 565 electrons and holes is within 10%, the averaged  $j$  value is plotted here. Here the positive  $j$   
 566 value indicates increased importance of the long-range scattering sources at elevated  
 567 temperatures. This new technique can be applied to general 2D materials for better understand  
 568 their electron transport processes.

569 Due to the experimental complexity, the pore-edge configuration (e.g. armchair or zigzag)  
 570 was not checked in all measured samples. The pore sizes are also significantly larger than those  
 571 used in computations. In this aspect, high-quality samples may be prepared by the direct growth  
 572 of a nanoporous pattern with SiO<sub>2</sub> pillar as the mask that can be removed with hydrofluoric acid  
 573 etching later. A similar study can be found for the direct growth of nanoporous In<sub>0.1</sub>Ga<sub>0.9</sub>N films  
 574 for thermal studies [187]. A more perfect structure, with an ordered inclusion of uniform holes  
 575 and nitrogen atoms, can be directly synthesized. Examples can be found in C<sub>2</sub>N-h2D crystals  
 576 [239], polyaniline (C<sub>3</sub>N) with six nitrogen atoms periodically surrounding a phenyl ring [240].  
 577 Phonon studies are also carried out on monolayer C<sub>3</sub>N structure with a periodic array of zigzag  
 578 holes [188].

579



580

581 **Fig. 8** The enhanced  $PF$  of GALs and the detection of major charge-carrier scattering  
 582 mechanism. (a) SEM image of the test device. (b) Schematic of the measurement  
 583 principles. (c)  $PF$ s of different samples as a function of temperature. (d) The CESTS ( $j$ )  
 584 of GALs with a square array and a hexagonal array of nanopores. Adapted from [186].

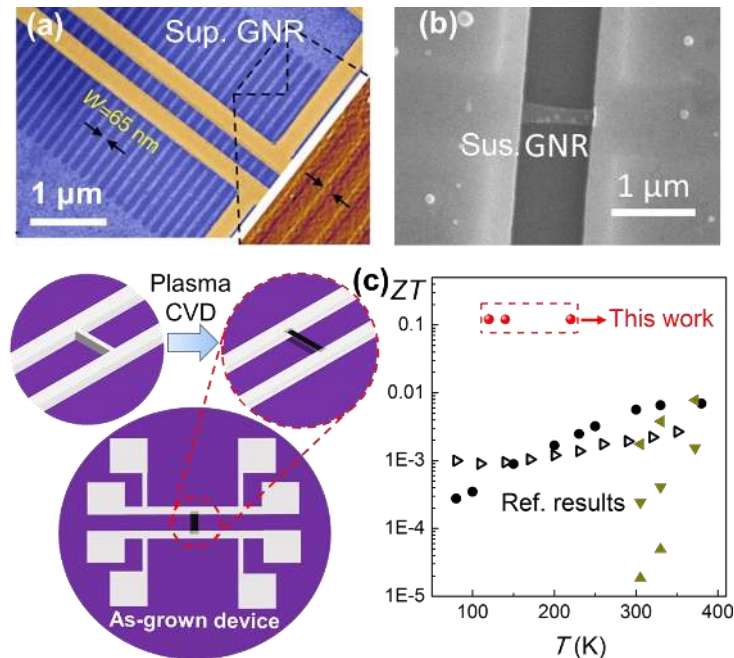
### 585 3.2.2 Graphene nanoribbons

586 Han and co-workers [199] first experimentally demonstrated the bandgap opening in  
587 lithographically patterned GNRs in 2007. The bandgap increased from 3 meV to 300 meV as  
588 the GNR width decreased from 90 nm to 15 nm [199], thus we can expect an enhanced Seebeck  
589 coefficient in GNRs with a width of several tens of nanometers. Recent experimental progress  
590 has also confirmed drastic reduction of thermal conductivity in both SiO<sub>2</sub>-supported and  
591 suspended GNRs (Fig. 9). Bae and co-workers [200] patterned exfoliated graphene sheets into  
592 arrays of GNRs with ~260nm length and 45–130 nm widths using electron beam lithography  
593 and deposited the heater and sensor on top for the thermal conductivity measurement of SiO<sub>2</sub>-  
594 supported GNRs (Fig. 9 (a)). The thermal conductivity exhibited a clear width dependence that  
595 scales as  $k \sim W^{1.8 \pm 0.3}$ , with the room-temperature  $k$  of 65-nm-wide supported GNRs suppressed  
596 to about 100 W/mK, about one-sixth of large-area supported graphene [10]. This reduction of  
597  $k$  was mainly attributed to the strong scattering between phonons and the plasma-etched rough  
598 ribbon edges. Also using the lithographical patterning and plasma etching followed by substrate  
599 etching, Li and co-workers [197] measured the thermal and electrical conductivities of an  
600 individual suspended GNR with 169 nm width and 846 nm length (Fig. 9 (b)). The RT thermal  
601 and electrical conductivities of the 169-nm-wide suspended GNR were about 350 W/m·K and  
602  $9 \times 10^5$  S/m, respectively. This thermal conductivity was about 15% of that of large-area  
603 suspended graphene, which can be explained by phonon-edge scattering, but the electrical  
604 conductivity was also largely reduced as compared to pristine graphene because of the  
605 inevitable defects and contaminations from the lithographical processes. The  $ZT$  value of this  
606 sample could be estimated to be on the order of  $10^{-3}$  by assuming the Seebeck coefficient the  
607 same as that of large-area graphene. Later, using MEMS fabricated metallic heater and sensors,  
608 Hossain and co-workers [198] measured the Seebeck coefficient of graphene sheets and a  
609 patterned individual 50-nm-wide GNR supported on SiO<sub>2</sub>. The Seebeck coefficient was  
610 measured to be enhanced from ~10  $\mu$ V/K for the graphene sheet to ~30  $\mu$ V/K for the GNR,  
611 which demonstrated the nanostructure effect on the Seebeck coefficient although both were  
612 quite low values due to the sample quality.

613 A recent work by Li *et al.* [196] finally realized the enhancement of  $ZT$  of graphene by  
614 several orders of magnitude (Fig. 9 (c)). Li and co-workers [196] designed a one-process  
615 approach to fabricate suspended high-quality GNRs along with an 8-terminal test device.  
616 Instead of lithographical patterning, the suspended GNRs were directly synthesized by a  
617 method called plasma chemical vapor deposition. In this synthesis method, a nanoscale Ni thin  
618 film bridging the Ni heater and sensor acted as the catalyst and melted during the synthesis,  
619 leaving the above GNR free-standing. The as-grown process ensured a low number of defects  
620 and relatively smooth GNR edges, which is important for maintaining high electrical  
621 conductivity. The 8-terminal device allowed simultaneous measurements of thermal and  
622 electrical conductivities and the Seebeck coefficient. The 40-nm-wide and ~250-nm-long  
623 samples exhibited record-high ratios of electrical conductivity to thermal conductivity for  
624 graphene that were 1-2 orders of magnitude higher than those previously reported. Detailed  
625 phonon BTE simulations revealed that the reduction of thermal conductivity mainly resulted  
626 from quasi-ballistic phonon transport, and the significant enhancement of  $\sigma/k$  is attributed to  
627 disparate electron and phonon MFPs and the defect-free samples. Furthermore, the Seebeck

628 coefficient was 87.7–125.7  $\mu\text{V/K}$  at 80–220 K, several times that of large-area graphene  
 629 because of bandgap opening. As a result, the  $ZT$  reached record-high values for graphene of  
 630 about 0.1, which demonstrated that nanostructured graphene can be a superior thermoelectric  
 631 material for power generation as predicted in the theoretical studies.

632



633

634 **Fig. 9 Thermal and thermoelectric measurements of graphene nanoribbons (GNRs).**  
 635 **(a) Thermal conductivity measurements of SiO<sub>2</sub>-supported GNRs (adapted from [200]).**  
 636 **(b) Thermal conductivity measurements of suspended GNRs (adapted from [197]).** (c)  
 637 **Record-high  $ZT$  measured in as-grown 40-nm-wide suspended GNRs (adapted from**  
 638 **[196]).**

#### 639 4. Van der Waals heterostructures for thermoelectrics

640 One of the advantages of 2D materials is the ability to tune their bandgap, hence tuning  
 641 the thermoelectric  $PF$ . The change in the bandgap could be achieved by changing the number  
 642 of layers, applying strain or electric field, and changing the structural composition of the  
 643 material (by hydrogenation or oxidation). For example, by reducing the number of layers of  
 644 arsenic from its semimetallic bulk, one can observe a transition to a semiconducting state. The  
 645 monolayer honeycomb structures of arsenic, called arsenide, is a semiconductor with an indirect  
 646 bandgap of 0.831 eV.[201] The electronic transport of 2D layers also depends on the type of  
 647 substrate used. Via long-range Coulomb interaction, the impurities in the substrate can affect  
 648 the electrons moving in the 2D layer material. The lattice constant mismatch between the  
 649 substrate and the 2D layer can cause strain/stress on the 2D material and modify its electronic  
 650 structure. The mismatch of the crystal structure can result in breaking the symmetry and also  
 651 can modify the electronic structure of the 2D layer. In the first part of this section, we focus on

652 the in-plane thermoelectric transport with the substrate and the number of layers as two  
653 important parameters in mind.

654 The discovery of 2D layered materials and the possibility of mixing and matching  
655 atomically thin layers by stacking them on top of each other, open up a new exciting opportunity.  
656 Millions of combination of the different 2D layers with different band structures are now  
657 possible to engineer the energy potential of electronic transport, enabling the design of high-  
658  $PF$  structures. At the same time, due to the nature of the weak van der Waals bonds, these 2D  
659 layered materials, inherently own very low thermal conductivity values in the cross-plane  
660 direction and hence pave the way for the design of solid-state thermionic structures. In the  
661 second part of this section, we focus on the cross-plane thermoelectric transport in 2D layered  
662 structures with less than 10 layers.

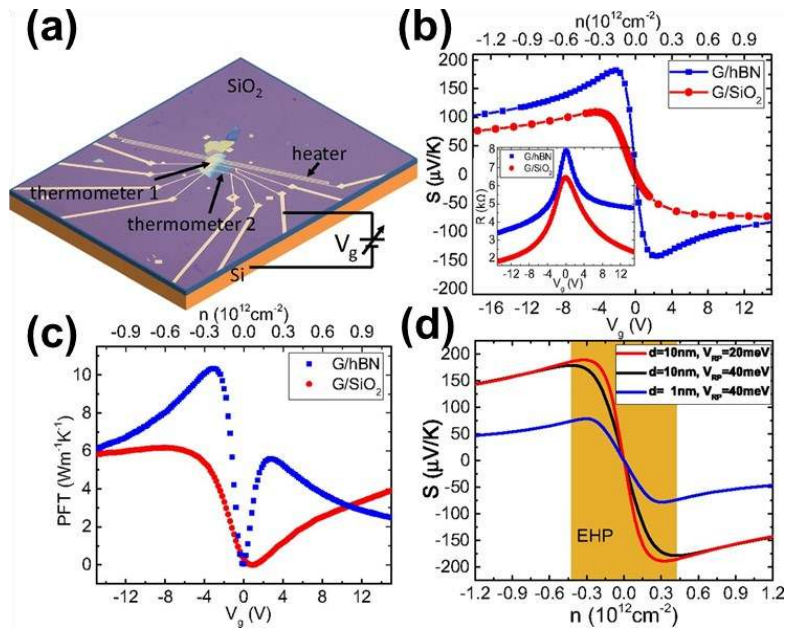
#### 663 4.1 In-plane transport

664 The transport properties of 2D layers are a strong function of the substrate that they are  
665 placed on. In section 2, we focused on the thermoelectric properties of 2D layers placed on  
666  $\text{SiO}_2/\text{Si}$  substrate which is the most widely used substrate. Here, we summarize some of the  
667 results obtained by using a different substrate or placing on hBN or graphene in between the  
668 2D material and the  $\text{SiO}_2$  substrate.

669 Duan and co-workers [5] fabricated graphene on hBN samples using the poly(methyl  
670 methacrylate) (PMMA)-based dry transfer method, and patterned metallic heater and  
671 thermometers on top the heterostructure for the electrical conductivity and Seebeck coefficient  
672 measurements. They found that the Seebeck coefficient of graphene on hBN can be twice that  
673 of graphene on  $\text{SiO}_2$ , and measured the room-temperature  $PFT$  value of the graphene/hBN  
674 device up to 10.35 W/mK (Fig. 10 (c)), which exceeded all the previously reported room-  
675 temperature  $PFT$  values for both 3D and 2D materials. Duan *et al.* [5] further demonstrated that  
676 the Seebeck coefficient offers a measure of the substrate-induced random potential fluctuations  
677 and the hBN substrates can enable larger bipolar switching slopes for the Seebeck coefficient  
678 (Fig. 10 (b) and (d)). Combining the high  $PF$  with the large thermal conductivity of both  
679 graphene and boron nitride, this work by Duan and co-workers demonstrated that the  
680 graphene/hBN heterostructure can be a very promising material for active cooling of electronics.  
681 Wu and co-workers [213] fabricated  $\text{MoS}_2/\text{hBN}$  heterostructure samples with sulfur vacancies  
682 and measured the in-plane thermoelectric properties using a similar approach as in Ref. [5]. The  
683 n-type  $\text{MoS}_2$  on hBN exhibited an extremely large positive Seebeck coefficient of 2 mV/K in  
684 the on-state because of the magnetically induced Kondo effect [213]. Further, by tuning the  
685 chemical potential, Wu *et al.* measured a  $PF$  as high as 50 mW/m · K<sup>2</sup> at 30K or  $PFT$  value of  
686 1.5 W/mK.

687 By combining the nanostructuring and stacking approaches, Oh and co-workers [208]  
688 fabricated sub-20 nm GNR arrays on monolayer  $\text{MoS}_2$ . They measured the in-plane electrical  
689 conductivity and Seebeck coefficient of the GNR/ $\text{MoS}_2$  heterostructure using a commercial  
690 instrument and achieved a relatively high  $PF$  of 222  $\mu\text{W}/\text{mK}^2$  [208].

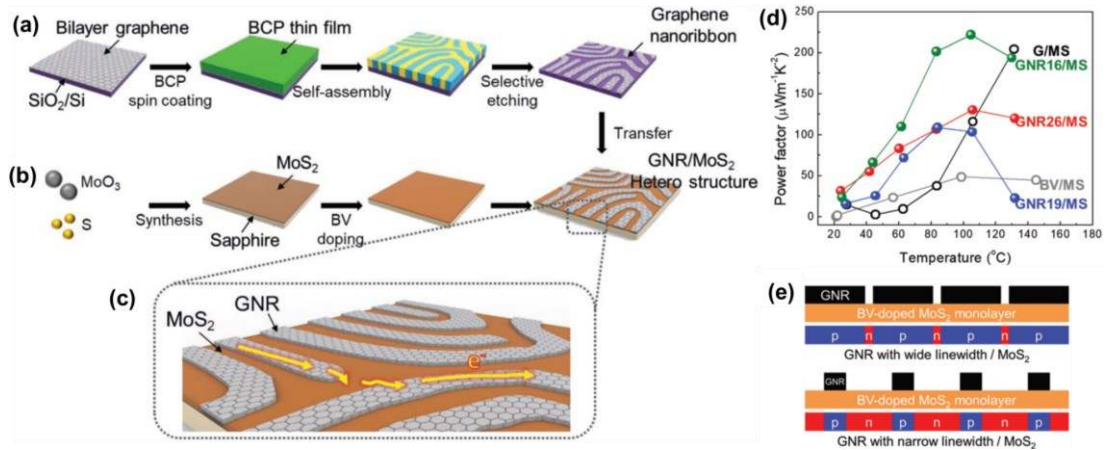




691

692 **Fig. 10** A graphene/hBN heterostructure device with an enhanced in-plane  $PF$ . (a)  
 693 Schematic of the thermoelectric measurement device. (b) Seebeck coefficients as a  
 694 function of the gate voltage for G/hBN and G/SiO<sub>2</sub> at 290 K. (c)  $PF$ T as a function of the  
 695 gate voltage for G/hBN and G/SiO<sub>2</sub> at 290 K. (d) Simulation of the Seebeck coefficient as  
 696 a function of the carrier density at 300 K, with different hBN thicknesses,  $d$ , and random  
 697 potential fluctuations,  $V_{RP}$ . Adapted from [5].

698



699

700 **Fig. 11** GNR/MoS<sub>2</sub> heterostructures with improved thermoelectric properties. (a)  
 701 Fabrication of the GNR network. (b) Synthesis of doped MoS<sub>2</sub>. (c) Schematic diagram of  
 702 the in-plane carrier movement across the GNR/MoS<sub>2</sub> van der Waals heterojunctions. (d)  
 703 The  $PF$ s of the GNR/MoS<sub>2</sub> heterostructures. (e) Schematic images of the GNR/MoS<sub>2</sub>  
 704 heterostructures with wide and narrow GNRs. Adapted from [208].

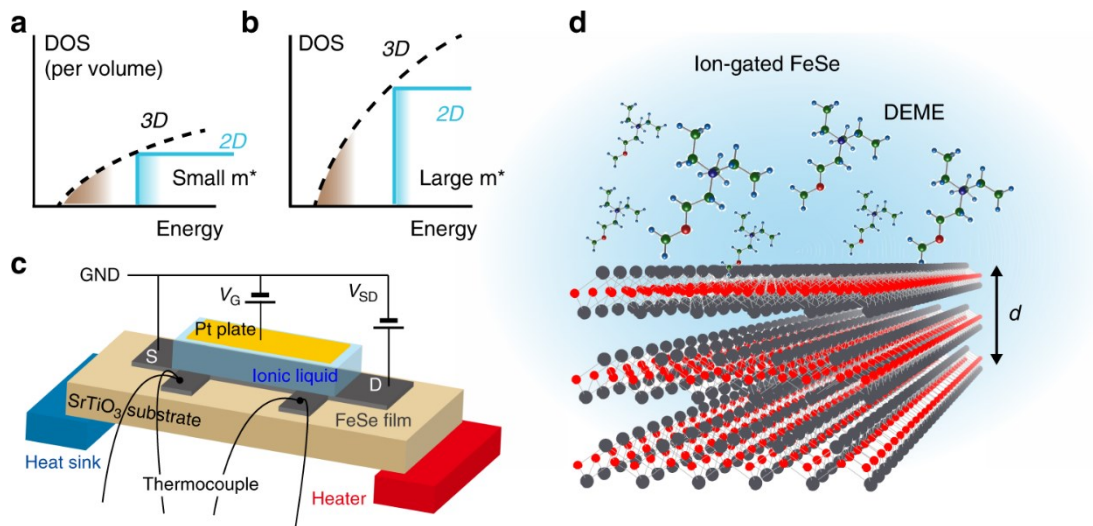
705

706 A very interesting recent work ([94], Fig. 12 ) shows that the thermoelectric  $PF$  of FeSe  
 707 films, a high- $T_c$  superconductor, placed on SrTiO<sub>3</sub> substrate increases as the thickness decreases.

708 When the films are about 1-nm thick, the equivalent of a bilayer, the thermoelectric  $PF$   
 709 increases to values as large as  $1.30 \text{ W/m}\cdot\text{K}^2$  or  $PFT$  value of  $390 \text{ W/m}\cdot\text{K}$ . [94] This value is  
 710 larger than anything reported before especially at such a low temperature. This large  
 711 enhancement is attributed to the strong electronic correlation of FeSe electrons. Other  
 712 possibilities such as the effect of the substrate, the ionic liquid used for gating, and the phonon  
 713 drag effect are disputed by the authors but require more through theoretical insights. As  
 714 mentioned in section 2, similar thickness dependence has been observed for  $\text{MoS}_2$  placed on  
 715  $\text{SiO}_2$  and 2L  $\text{MoS}_2$  possesses the best  $PF$  [12],[49].

716 Other than a single graphene sample, a counterflow room-temperature  $PF$  of  $\sim 700$   
 717  $\mu\text{W}/\text{cm}\cdot\text{K}^2$  was reported for bilayer-graphene double layers separated by a thin ( $\sim 20 \text{ nm}$ )  
 718 boron nitride layer (Fig. 13 ), where top and bottom gate voltages are separately applied to each  
 719 graphene layer [222].

720

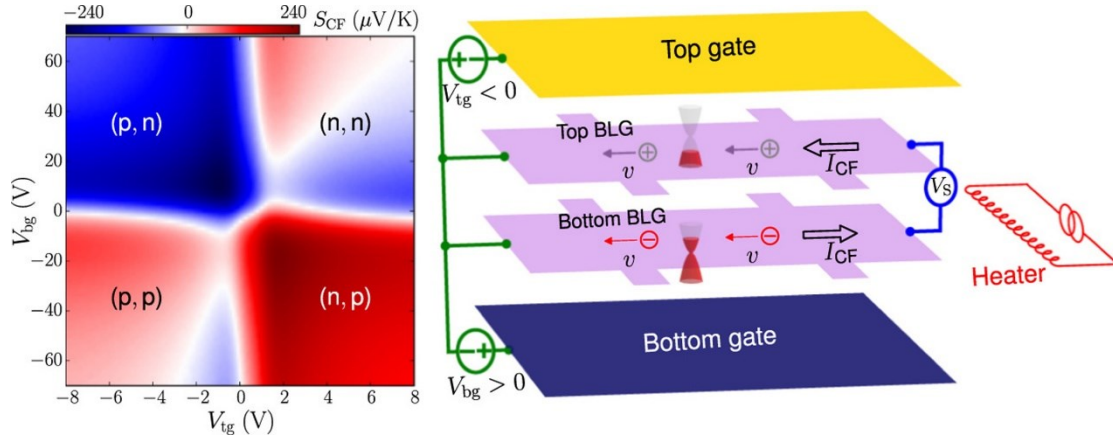


721

722 **Fig. 12** Thermoelectric measurement of ion-gated FeSe thin films. (a) Schematic  
 723 illustration of the DOS for 3D and 2D electrons. (b) The large effective mass  $m^*$  enhances  
 724 the DOS, which is beneficial for enhancing the Seebeck effect. (c) Device structure for  
 725 thermoelectric measurement. (d) Enlarged illustration of the ionic liquid/FeSe interface.  
 726 Adapted from [94].

727





728

729 **Fig. 13** A graphene/hBN heterostructure device with an enhanced in-plane *PF*. (a)  
 730 Schematic of the thermoelectric measurement device. (b) Seebeck coefficients as a  
 731 function of the gate voltage for G/hBN and G/SiO<sub>2</sub> at 290 K. (c) *PFT* as a function of the  
 732 gate voltage for G/hBN and G/SiO<sub>2</sub> at 290 K. (d) Simulation of the Seebeck coefficient as  
 733 a function of the carrier density at 300 K, with different hBN thicknesses, *d*, and random  
 734 potential fluctuations, *V*<sub>RP</sub>. Adapted from [222].

#### 735 4.2 Cross-plane transport: solid-state thermionic structure

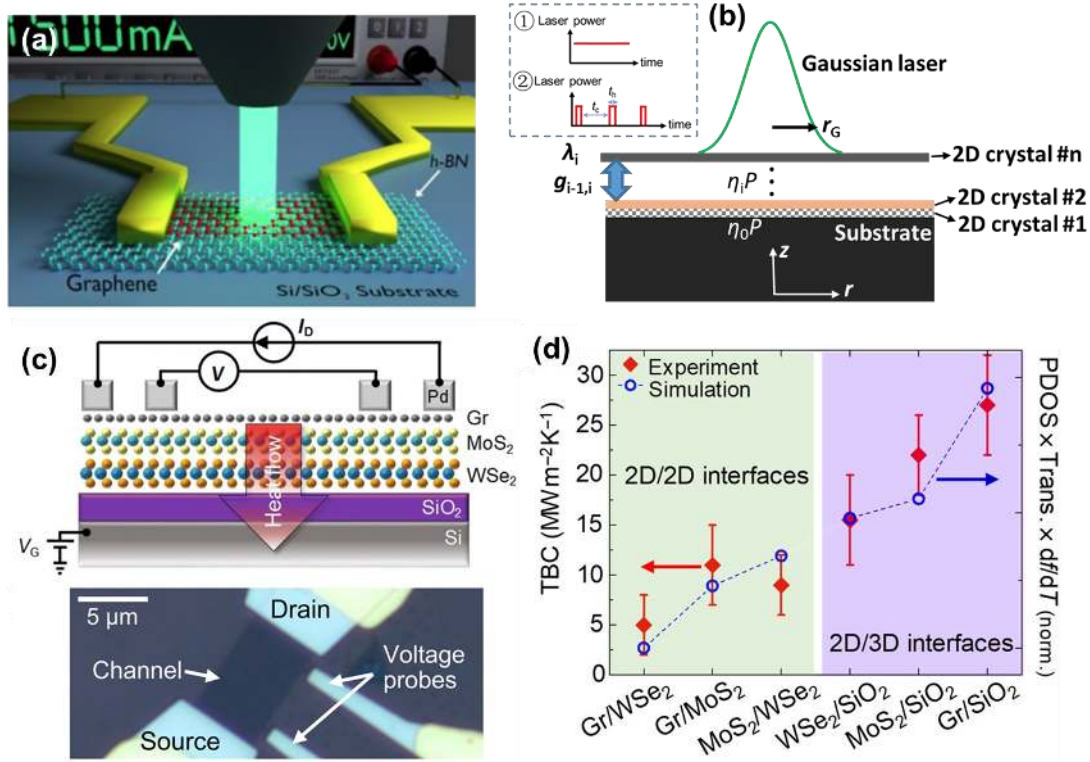
736 Here, we focus on 2D layered materials with metallic contacts on the top and the bottom  
 737 and hence transport of electrons and phonons perpendicular to layer planes wherein an energy  
 738 barrier is formed due to the band alignment of the heterostructure. When there is only a  
 739 monolayer, transport is dominated by electron tunneling. Due to the transfer of low energy  
 740 electrons, expected *ZT* values of tunneling interfaces is small. The tunneling can be eliminated  
 741 by increasing the number of layers. It is shown that about 4 layers are needed to suppress the  
 742 tunneling effects [223] and force the electrons to go above the energy barrier, the so-called  
 743 thermionic transport is then dominant. The concept of solid-state thermionic cooling was first  
 744 proposed by Shakouri [224] and later evaluated both in the power generation and the cooling  
 745 mode by Mahan [225]. These structures are in between vacuum state thermionic generators and  
 746 thermoelectric generators. Similar to vacuum state thermionic generators [226]–[229], there is  
 747 an energy barrier that electrons need to overcome to move from the hot cathode to the cold  
 748 anode. The energy barrier of a vacuum state thermionic generators is determined by the work-  
 749 function of the metallic cathode and anode. Since the work function of metals is on the order  
 750 of eV, temperatures larger than 1000 K are needed for electron transport. This problem is  
 751 resolved in solid-state thermionic generators since the energy barrier can be adjusted to much  
 752 lower values by proper choice of the metal-semiconductor interface. In these structures, the  
 753 difference between the metal work function and the electron affinity of the semiconducting  
 754 layer determines the electronic energy barrier. Hence by designing the energy barrier to few  
 755 *k<sub>B</sub>T*, one can design the appropriate generator for the given operating temperature, *T*. In the case  
 756 of 5 to 10 layers of 2D van der Waals heterostructure, the chemical potential is pinned by the  
 757 metallic contact but the energy barrier can be engineered with a high degree of flexibility by  
 758 finding the right sequence of layers [230],[231].

759 When the thickness of the 2D layered material is comparable or larger than the electron  
760 MFP, we see a transition from ballistic transport to diffusive transport which is closer to  
761 thermoelectric transport. In this case, transport is mostly a function of the semiconducting  
762 layers and the effect of the contacts is minimum and limited to the electrical and thermal contact  
763 resistances at the metallic interfaces. The situation is different in solid-state thermionic  
764 generators. There, due to the ballistic nature of transport, electrons are not in near equilibrium  
765 with the lattice of the semiconducting layer. Hence, to understand thermionic transport, metallic  
766 contacts need to be included in the analysis. The combination of cathode-semiconductor-anode  
767 determines the energy barrier, the positioning of the chemical potential, and hence the  
768 thermionic *PF*.

769 Several theoretical works have shown the potential of 2D van der Waals heterostructures  
770 for solid-state thermionic applications and they all point to large *ZT* values. [223], [232]–[234]  
771 However, all calculations are done for ideal interfaces. In practice, making such ideal clean  
772 interfaces using transfer techniques is not realistic. Hence, the future of this field should be  
773 guided by more experimental results.

774 In the out-of-plane direction, one of the experimental challenges is how to determine the  
775 temperature gradient across the atomic thin layers for the thermal and thermoelectric  
776 characterization. The Raman spectroscopy based non-contact thermometer enables  
777 simultaneous temperature detection of every atomic thin material from their distinct Raman  
778 peaks that shift with temperatures [34],[192],[193],[221], and thus has been employed to  
779 measure the thermal boundary resistance at the van der Waals interface and the Seebeck  
780 coefficient in the cross-plane direction. Chen and co-workers [207] stacked graphene on the  
781 insulating hBN and patterned electrodes on graphene to provide Joule self-heating. The Joule  
782 heat flux transferred across the heterojunction and the temperatures of both the graphene and  
783 hBN layers were simultaneously measured from the Raman spectrum (Fig. 14 (a)), from which  
784 the thermal boundary conductance (TBC) between graphene and hBN was determined to be 7.4  
785 MW/m<sup>2</sup>K. Vaziri *et al.* [203] applied this technique of combining Joule heating and Raman  
786 thermometry to the thermal measurement of more complicated heterostructure devices  
787 involving graphene (Gr), MoS<sub>2</sub>, and WSe<sub>2</sub> (Fig. 14 (c)). The TBC values at the 2D/2D interfaces  
788 were measured to be  $5 \pm 3$  MW/m<sup>2</sup>K,  $10 \pm 3$  MW/m<sup>2</sup>K, and  $9 \pm 3$  MW/m<sup>2</sup>K for the Gr/WSe<sub>2</sub>,  
789 Gr/MoS<sub>2</sub>, and MoS<sub>2</sub>/WSe<sub>2</sub> interfaces, respectively, which were significantly lower than those  
790 at the 2D/3D(SiO<sub>2</sub>) interfaces (Fig. 14 (d)). Besides, Li *et al.* [202] combined laser heating and  
791 Raman thermometry, and developed a variable-spot-size transient Raman opto-thermal method  
792 to measure both in-plane and cross-plane thermal properties of 2D heterostructures in a non-  
793 contact manner (Fig. 14 (b)).

794



795

796 **Fig. 14 Raman thermometry based thermal transport measurements of 2D van der**  
 797 **Waals heterostructures. (a) Measurement of TBC between graphene and hBN using the**  
 798 **Joule-heating and Raman-thermometer method (adapted from [207]). (b) The variable-**  
 799 **spot-size transient Raman optothermal method to measure the in-plane and cross-plane**  
 800 **thermal properties of van der Waals heterostructures (adapted from [202]). (c)**  
 801 **Measurement of TBC between multilayer heterostructures using the Joule-heating and**  
 802 **Raman-thermometer method. (d) Measured room-temperature TBC values of 2D/2D and**  
 803 **2D/3D (with SiO<sub>2</sub>) interfaces and the calculated product of phonon DOS, phonon**  
 804 **transmission, and  $df/dT$ , normalized to the minimum achieved for Gr/WSe<sub>2</sub>. (c) and (d)**  
 805 **are adapted from [203].**

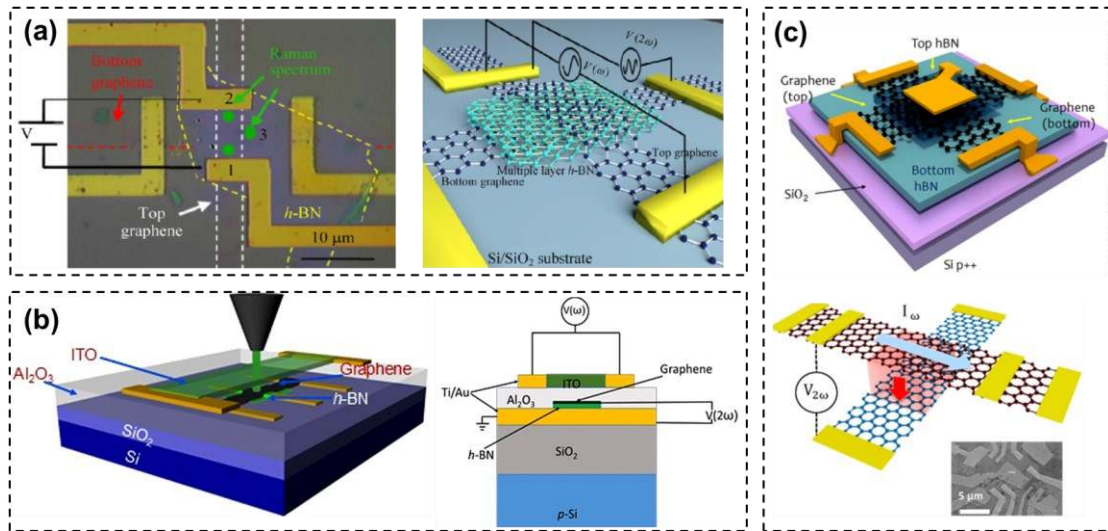
806

807 Another widely studied technique for thermal boundary resistance measurement is the  
 808 time domain thermo-reflectance method (TDTR). [235] TDTR has been widely used to  
 809 measure the thermal transport across various interfaces. However, only recently this method is  
 810 extended to small scales enabling measurement of micron-size flakes. In the context of cross-  
 811 plane thermoelectric transport measurements, TDTR is recently used to measure thermal  
 812 conductance across Au/Ti (or TiOx)/WSe<sub>2</sub>/HOPG. It is shown that the TBC depends on the  
 813 details of the contact, and the level of oxidization of titanium and is in the range of 6 to 22  
 814 MW/m<sup>2</sup>K. Despite the small thermal conductance values, the studied interface has a low  $ZT$   
 815 due to the dominance of the electronic tunneling transport. [236]

816 A few experiments have been conducted to measure the cross-plane Seebeck coefficient  
 817 of 2D heterostructures. Chen *et al.* [204] stacked a Gr/hBN/Gr heterostructure as shown in Fig.  
 818 15 (a), and patterned electrodes on the top and bottom graphene sheets. The top graphene was

819 fed with AC current to provide Joule heating and establish a temperature gradient in the cross-  
 820 plane direction. The Seebeck voltage across the top and bottom graphene was measured from  
 821 the  $2\omega$  voltage using the lock-in technique, while the temperature difference was detected by  
 822 the Raman thermometry. In this way, Chen *et al.* comprehensively measured the thermal  
 823 conductance, Seebeck coefficient, as well as the electrical conductance in the cross-plane for  
 824 the same heterostructure device. The cross-plane Seebeck coefficient was  $-99.3 \mu\text{V/K}$ , the  $PF$   
 825 ( $S^2G$ ) was  $1.51 \times 10^{-15} \text{ W/K}^2$ , and the  $ZT$  was  $1.05 \times 10^{-6}$  for the Gr/hBN/Gr heterostructure. The  
 826 extremely low  $ZT$  is the result of the low electrical conductance which in turn is due to the large  
 827 energy barrier formed by the insulating hBN layer. Poudel and co-workers [206] reported a  
 828 modified test device where the insulating  $\text{Al}_2\text{O}_3$  and an indium tin oxide (ITO) transparent  
 829 heater were coated on the Gr/hBN/Au heterostructure (Fig. 15 (b)). Similar with the work by  
 830 Chen *et al.*, while the transparent top heater was driven with AC current to provide heat flux in  
 831 the cross-plane direction, the temperature difference between graphene and hBN was measured  
 832 from their Raman peaks and the cross-plane Seebeck coefficient was detected from the lock-in  
 833  $2\omega$  voltage, which yielded an interfacial Seebeck coefficient of  $-215 \mu\text{V/K}$ . Mahapatra and co-  
 834 workers [205] stacked two layers of graphene sheets encapsulated by hBN using the layer-by-  
 835 layer mechanical transfer method, as illustrated in Fig. 15 (c). The top graphene was conducted  
 836 with AC current and the Seebeck coefficient across the twisted bilayer graphene was measured  
 837 by the lock-in technique, while the temperatures of the two monolayers were determined from  
 838 the temperature dependence of the in-plane electrical resistance of each graphene sheet. The  
 839 maximum Seebeck coefficient of the van der Waals junction between graphene layers was  
 840 measured to be  $20\text{--}25 \mu\text{V/K}$  at a carrier density of  $1 \times 10^{11} \text{ cm}^{-2}$  at  $\sim 70 \text{ K}$ .

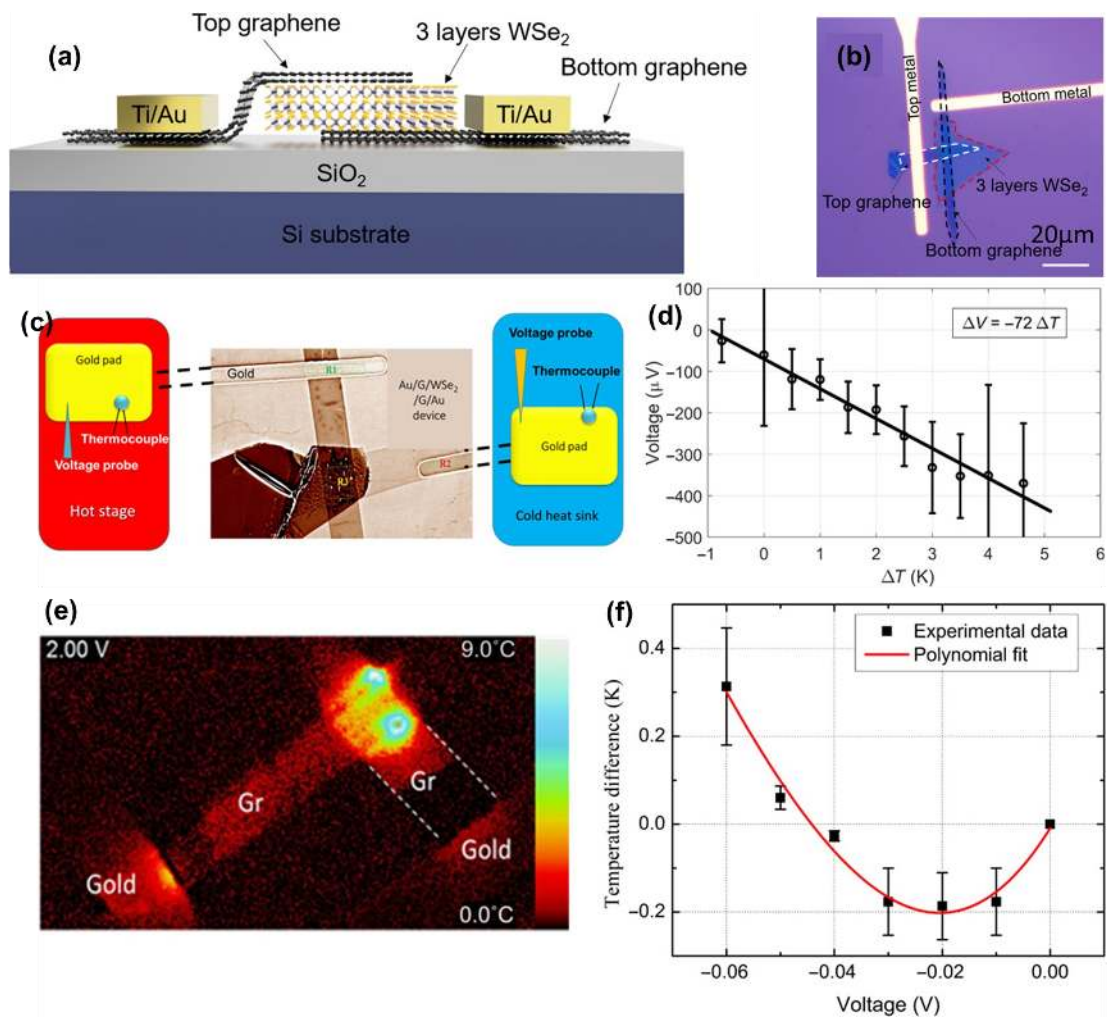
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842

843 **Fig. 15** Cross-plane Seebeck coefficient measurements of 2D van der Waals  
 844 heterostructures. (a) Measurement of cross-plane Seebeck coefficient of a  
 845 graphene/hBN/graphene heterostructure using Raman thermometry (adapted from  
 846 [204]). (b) Measurement of cross-plane Seebeck coefficient of graphene/hBN/Au  
 847 heterostructures using Raman thermometry (adapted from [206]). (c) Measurement of  
 848 Seebeck coefficient across the van der Waals junction in bilayer graphene (adapted from  
 849 [205]).





851

852 **Fig. 16 Thermionic transport measurement across gold/Gr/WSe<sub>2</sub> van der Waals**  
 853 **heterostructures. (a) Illustration of the cross-section of the Au/Gr/WSe<sub>2</sub>/Gr/Au**  
 854 **structure. (b) Optical microscope image of an Au/Gr/WSe<sub>2</sub>/Gr/Au device. (c) Schematic**  
 855 **of the Seebeck measurement setup. (d) Seebeck voltage measured versus applied**  
 856 **temperature difference. (e) Joule heating: 2D temperature map of the Au/Gr/WSe<sub>2</sub>/Gr/Au**  
 857 **device under a relatively high-voltage 2 V obtained using the thermoreflectance method.**  
 858 **(f) Cooling curve: Temperature difference between the substrate and top of the active**  
 859 **device in Kelvin versus applied voltage at small applied voltages. Adapted from [237].**

860

861 Rosul *et al.* [237] used a combination of TDTR measurements for determining the thermal  
 862 conductance, the thermo-reflectance method for cooling curve measurements, and direct  
 863 Seebeck measurement using thermocouples to study Au/Gr/WSe<sub>2</sub>/Gr/Au structures (Fig. 16 ).  
 864 They estimated a  $ZT$  value of  $10^{-3}$  at RT which is the largest reported for nanometer-size  
 865 thermionic structures. This small  $ZT$  is close to the predicted  $ZT$  using first-principles  
 866 calculations and at RT for this structure. Theoretically, it is predicted that the  $ZT$  can be  
 867 significantly improved by increasing the operating temperature and by changing the electrodes

868 from gold to platinum with a lower formed energy barrier. Hence developing the transport  
869 measurement techniques enabling high-temperature measurements, and developing techniques  
870 for more flexible fabrication of a variety of different metals-2D layers with clean interfaces,  
871 seems to be the most immediate need for further development of the 2D van der Waals based  
872 thermionic generators.

## 873 **5. Summary and outlook**

874 Instead of search for new materials, existing 2D materials can be tailored for their transport,  
875 optical, magnetic and other properties simply by patterned nanostructures and stacked forms.  
876 Tremendous research opportunities exist in device- and energy-related applications. However,  
877 there is still many important issues to be addressed. First, accurate thermoelectric measurements  
878 for such fragile samples are still challenging and caution should be taken for the  
879 electrical/thermal contacts to the samples. For  $ZT$  calculations, the same sample must be  
880 measured along the same direction for all three thermoelectric properties. This can be more  
881 difficult in some cases and an integrated measurement setup is required to simultaneously  
882 measure all properties, as demonstrated for nanoporous Si thin films [238]. Second, theoretical  
883 calculations often suggested the impact of detailed atomic structures, e.g., structure disorder,  
884 chirality, zigzag or armchair edges. This has been largely neglected in measurements. A unified  
885 picture is still missing here. In addition, the disconnection between theoretical studies and  
886 experimental measurements can also be found in the structure dimension, where ultrafine  
887 nanoporous 2D materials are still hard to be fabricated. In this aspect, directly grown 2D porous  
888 materials with uniform sub-1 nm holes [239],[240] may allow direct comparison between  
889 theoretical and experimental studies. High-energy electron or ion beam can also be used to drill  
890 sub-1 nm pores but attention should be paid to the atomic structure around pores [241]–[243].  
891 Third, more advancements are required in first-principles predictions of the transport properties.  
892 For materials genome, a high-throughput calculation method is required and this can be  
893 achieved with machine learning. Successful examples for machine learning in materials search  
894 and design can be found for interfacial thermal conductance [165],[244],[245], bandgap [246],  
895 and interatomic force constants [247],[248],[249] used in MD simulations. Machine learning  
896 driven by experimental data is desired for thermoelectric studies, but is still lacking due to the  
897 challenge of high-throughput measurements at the nanoscale. Finally, the device-level  
898 applications of these materials and their mass production should receive more attention. The  
899 high nanofabrication cost of some nanostructured or stacked 2D materials may hinder their  
900 wide applications.

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