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# National Health and Nutrition Examination Survey 2017–March 2020 Prepandemic Data Files—Development of Files and Prevalence Estimates for Selected Health Outcomes

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### **Abstract**

Background and objectives—In March 2020, the coronavirus disease 2019 (COVID-19) pandemic halted National Health and Nutrition Examination Survey (NHANES) field operations. As data collected in the partial 2019–2020 cycle (herein referred to as 2019—March 2020) are not nationally representative, they were combined with previously released 2017–2018 data to produce nationally representative estimates. This report explains the creation of the 2017–March 2020 prepandemic data files, provides recommendations for and limitations of the files' use, and presents prevalence estimates for selected health outcomes based on the files.

Methods—The 2019–2020 primary sampling units (PSUs) were reassigned to the 2015–2018 sample design strata and combined with the 2017–2018 data to create a data set that could be used to calculate nationally representative estimates. A PSU-level adjustment factor was created to equalize the contribution of each stratum to the total survey sample and applied to participant base weights. Interview and examination weights were calculated from the adjusted base weights. The performance of final interview weights was assessed by comparing the demographic characteristics of the weighted NHANES 2017–March 2020 prepandemic sample with nationally representative estimates from the 2018 5-year American Community Survey. Prevalence estimates and 95% confidence intervals were calculated for selected health outcomes.

Results—Among children and adolescents aged 2–19 years, the prevalence of obesity was 19.7% and the prevalence of untreated or restored dental caries in one or more primary or permanent teeth was 46.0%. Among adults aged 20 and over, the age-adjusted prevalence of obesity was 41.9%, severe obesity was 9.2%, and diabetes was 14.8%. Among adults aged 18 and over, the age-adjusted prevalence of hypertension was 45.1%. Among adults aged 65 and over, the age-adjusted prevalence of complete tooth loss was 13.8%.

Conclusion—A PSU-level adjustment factor and additional weighting adjustments made nationally representative estimates from the 2017–March 2020 prepandemic data files possible; this was the last NHANES data collected before widespread transmission of COVID-19.

Keywords: obesity • hypertension • diabetes • tooth loss • dental caries

### Introduction

The National Health and Nutrition Examination Survey (NHANES) is unique among nationwide health surveys in the United States, combining in-person interviews with standardized physical examinations and laboratory tests. Since 1999, NHANES has operated as a continuous survey, and nationally representative data have been released in 2-year cycles (1). During March 2020, the coronavirus disease 2019 (COVID-19) pandemic halted NHANES field operations after data were only partially collected from the intended number of geographic locations in the 2019-2020 sample. As a result, analyses based solely on the partial 2019–2020 data, which are not nationally representative, would not produce results that are generalizable to the U.S. civilian noninstitutionalized population. Thus, for the first time since the initiation of the continuous NHANES in 1999, nationally representative 2-year data and estimates cannot be released. Instead, the partial 2019–2020 data (herein referred to as 2019-March 2020 data) were combined with the full data set from the previous cycle (2017–2018) to create the 2017-March 2020 prepandemic data





files, which may be used to produce nationally representative estimates.

This report provides: a) a brief explanation of the creation of the NHANES 2017-March 2020 prepandemic data files; b) recommendations for analysis and limitations of these files; and c) nationally representative prevalence estimates of several selected health outcomes calculated from the files. A comprehensive National Center for Health Statistics (NCHS) Series 2 report describing the sample design, weighting methodology, response rate, and variance estimation procedures for these files will be released later in 2021. More detailed methodological reports on NHANES sample design, estimation, and analytic guidelines can be found on the NCHS website.

### Overview and Description of the NHANES 2017–March 2020 Prepandemic Data Files

### **About NHANES**

NHANES is a cross-sectional survey designed to monitor the health and nutrition of the civilian noninstitutionalized resident U.S. population. NHANES uses a complex, multistage, stratified probability sampling design. The primary sampling units (PSUs; usually a county or a group of contiguous counties) are sampled with probability proportional to size within strata (2). NHANES consists of interviews conducted in participants' homes and in a mobile examination center (MEC) and a standardized physical examination, including tests on blood and other specimens, conducted in the MEC (1). NHANES procedures and protocols were approved by the NCHS Research Ethics Review Board. Signed informed consent was obtained from participants who had reached the age of maturity in their state (usually age 18). A parent or guardian gave permission for minors to participate. Children aged 7–17 years provided documented assent.

### Creation of the 2017–March 2020 prepandemic data files

#### Rationale

The sample for the NHANES 2019-2020 survey cycle was selected using the 4-year 2019–2022 sample design. This design allowed each 2-year data cycle to be nationally representative and included 30 PSUs each, for a total of 60 PSUs in the 4-year 2019–2022 sample, following methods from previous sample designs (3). However, the 2019–2020 NHANES operations were suspended in mid-March 2020 due to the pandemic after data were collected in only 18 PSUs. Data collection for the remaining 12 PSUs in the cycle was canceled. Because data collection was incomplete for the full 2-year sample, the 2019-March 2020 sample is not nationally representative, and unbiased estimates cannot be produced from this partial cycle alone. To provide nationally representative estimates, the 2019-March 2020 data were combined with the previously released 2017–2018 data for content that was collected in both survey cycles and could be combined across cycles because no major changes to data collection methodology, procedures, or protocols occurred. For these combined data, additional weighting procedures were implemented to create the NHANES 2017-March 2020 prepandemic data files.

### Creating a data set for nationally representative estimates

Combining NHANES 2017–2018 and 2019-March 2020 data presented statistical challenges because PSUs for these cycles were selected from different 4-year sample designs: the 2017–2018 cycle was drawn from the 2015-2018 sample design, and the 2019-2020 cycle was drawn from the 2019–2022 sample design (3). For both sample designs, the major strata, or geographical areas, used to define the first sampling stage were chosen based on urban–rural population distribution and other characteristics of the locality (3). However, because population size and other characteristics that determine major strata membership change over time, the strata comprised

different PSUs in the 2015–2018 design than in the 2019–2022 design.

Because the 18 PSUs in the 2019-March 2020 sample did not represent the nation (or any defined population), the 2019–2020 PSUs were reassigned to the 2015–2018 sample design strata and combined with the 2017-2018 data to create a data set that could be used for nationally representative estimates (3). The reassignment to the 2015–2018 design ensured that the same general NHANES sampling approach used historically, including the inclusion of at least two PSUs per strata, could be maintained. The combined 2017–March 2020 prepandemic data files thus comprise a total of 48 PSUs: 30 PSUs from the 2017–2018 cycle and 18 PSUs from the 2019-March 2020 data collection, with unequal numbers of PSUs per major stratum. However, the NHANES sample design requires equal numbers of PSUs per major stratum; therefore, special weighting measures were needed to calibrate the data set back to an equal number of PSUs across major strata.

### Weighting procedures

A PSU-level adjustment factor was created to equalize the contribution of each stratum to the total survey sample and applied to participant base weights. This approach is similar to an approach that could have been taken to combine a probability sample with a nonprobability sample, modeling the contribution of the 2019-2020 data based on the 2015-2018 stratification. In this application, the fact that the 2019–2020 PSUs were a subset of a probability sample adds credibility to the approach. The PSU-level adjustment was derived to effectively increase the weights from underrepresented strata and reduce the weights from overrepresented strata, while improving the efficiency of the combined sample (4). Interview weights and MEC weights then were calculated using standard methodology including adjusting for nonresponse and unequal probability of selection and calibrating to known population totals

The performance of interview weights was assessed by comparing the demographic characteristics of the

weighted NHANES 2017-March 2020 prepandemic sample with nationally representative estimates from the 2018 5-year American Community Survey (ACS) (5). The 2018 5-year ACS and NHANES 2017-March 2020 prepandemic estimates were consistent for adult education, marital status, household composition, and health insurance coverage (comparisons used the same methodology used to compare 2017–2018 NHANES estimates with ACS estimates) (2). However, a statistically significant difference in urban-rural distribution between NHANES and ACS was seen across four categories: large central, large fringe, medium and small metropolitan areas, and micropolitan and noncore. The weights were recalibrated to NCHS rural-urban code categories in addition to the three dimensions used for the NHANES 2017–2018 weighting calibration (race–Hispanic origin-age-sex demographic subgroups, race-Hispanic origin-sex-education level subgroups, and area-level household income) (3). The introduction of the additional dimension resulted in the weighted 2017-March 2020 sample being more consistent with the urban-rural distribution of the 2018 5-year ACS file than previously, with no statistically significant differences between NHANES and ACS in the proportion of sample in each urban-rural designation category. The weights are designed to yield nationally representative estimates for the entire period covered by the 2017-March 2020 prepandemic data files.

#### Other considerations

While the 2017–March 2020 prepandemic data files generally provide prevalence estimates similar to those of the 2017–2018 files, the decreased variance and increased sample size allow more reliable comparison of statistical differences between subgroups. As for all analyses using NHANES data, the complex survey design should be taken into account for estimation of variance (6).

Comparisons or examination of trends between 2017–2018 and 2019–March 2020 data are not possible

and should not be conducted because the 2019–March 2020 data do not represent any defined population. To prevent data analysts from separating the two cycles, changes have been made to respondent sequence identification numbers, and PSU and strata variables have been masked. Additionally, there are no appropriate survey weights to make national estimates using 2019–March 2020 data.

Due to the unusual circumstances surrounding the construction of this file and the need to reduce disclosure risk for participants in the partially completed cycle, the 2017-March 2020 prepandemic data release is more limited in content compared with previous 2-year files. Currently available data files are presented in Table 1. Additional files may be released to the public in the future. Other 2017-March 2020 prepandemic data files will also be released through the NCHS Research Data Center, including data determined to have disclosure risks that require additional measures to protect confidentiality (7). Data on indicators that were collected only during 2019-March 2020 will be released as a convenience sample through the NCHS Research Data Center (7).

### Prevalence Estimates of Selected Health Outcomes From the NHANES 2017–March 2020 Prepandemic Data Files

Health outcomes selected for presentation in this report include obesity and dental caries (untreated or restored) among children and adolescents; obesity, severe obesity, hypertension, and diabetes among adults; and complete tooth loss among older adults.

### Methodology to calculate 2017–March 2020 prepandemic health outcome prevalence estimates

#### **Definitions of health outcomes**

For hypertension, a new methodology for measuring blood pressure (BP), an oscillometric protocol (OP), was introduced during the 2017–2018 cycle, concurrently with the auscultatory protocol (AP), used historically in NHANES, and was used exclusively during 2019-March 2020 data collection. During 2017–2018, both OP and AP were performed; however, previously released 2017-2018 estimates used the AP method (8,9). BP measurements released within the 2017–March 2020 prepandemic data files reflect use of the OP method. For the new OP method, BP was measured in the MEC by health technicians using a standard methodology with an automatic oscillometric BP device (10,11). While an investigation contrasting the AP and OP approach in the same subjects during survey years 2017-2018 showed some between-protocol differences, stage I hypertension estimates generally agreed (11).

The remaining health outcomes have been reported for previous NHANES data releases and are defined similarly here (12–17). Definitions of all outcomes are included in the Technical Notes. The methodology used to obtain these measurements can be found on the NHANES website (18–23). Measurements of all reported health outcomes presented in this report were collected using the same protocols during 2017–2018 and 2019–March 2020.

#### **Definitions of covariates**

Prevalence estimates were calculated by sex, age category, race and Hispanic origin, family income, and, for some health outcomes, education and weight status. To provide consistency with previously reported estimates from NHANES, age categories were defined specifically for each health outcome. Definitions for covariates are included in the Technical Notes.

### Description of included sample

The original survey design for the 2017-2018 cycle (the 2015-2018 design) and the 2019-2020 cycle (the 2019-2022 design) used oversampling to improve the reliability of estimates among several subgroups including: Hispanic persons, non-Hispanic black persons, non-Hispanic and non-black Asian persons, non-Hispanic white persons and persons of other races and ethnicities at or below 185% of the federal poverty level (FPL), and non-Hispanic white persons and persons of other races and ethnicities aged 0-11 years or 80 and over (3). All pregnant females were excluded from the analyses for obesity and severe obesity. Pregnant women aged 20-44 were excluded from the analyses for hypertension and diabetes. Pregnant women outside of this age range were not excluded from the analyses for hypertension and diabetes because pregnancy status is not reported due to disclosure concerns. Inclusion criteria and sample sizes for each health outcome are defined in the Figure. Unweighted NHANES examination response rates during 2017-March 2020 were 51.4% for children and adolescents aged 2-19 years and 43.9% for adults aged 20 and over.

To provide a comparison of sample size and variance, overall estimates for each health outcome were also calculated for the 2017–2018 survey cycle alone using the same methodology. Some of these estimates have previously been reported elsewhere (14,15,17).

#### Statistical methods

Sample weights were used for all analyses and accounted for unequal probability of selection, were adjusted for nonresponse, and were calibrated to known population totals as described previously (2,3). Examination sample weights were used for all outcomes except total diabetes, for which morning fasting sample weights were used. All analyses accounted for the survey's complex, multistage probability design. For adults, prevalence estimates were age adjusted to the 2000 projected U.S. Census population using the direct method (24). Standard errors were estimated using Taylor series linearization, and 95% confidence

intervals were calculated using the methodology proposed by Korn and Graubard (25). The reliability of estimates was assessed using the "National Center for Health Statistics data presentation standards for proportions" (26). Estimates that did not meet these standards are noted. Significance testing for pairwise differences between groups within unordered covariates was performed using a two-sided univariate t statistic. For ordered covariates with an apparent monotonic pattern, linear trends across groups were tested using orthogonal contrast matrices. For ordered covariates with no apparent monotonic pattern, pairwise differences were tested between groups using a two-sided univariate t statistic. All reported differences and trends were statistically significant (p < 0.05) unless otherwise indicated. All analyses were performed using R (R Foundation for Statistical Computing), including the R survey package, SAS version 9.4 (SAS Institute, Inc., Cary, N.C.), or SUDAAN version 11.0 (RTI International, Research Triangle Park, N.C.) (27).

# Results for 2017–March 2020 prepandemic health outcome estimates

#### **Overall estimates**

Among children and adolescents aged 2-19 years, the prevalence of obesity during 2017-March 2020 was 19.7% (95% confidence interval [CI]: 17.9-21.6) and the prevalence of dental caries (untreated or restored) was 46.0% (95% CI: 42.9-49.1; Table 2). Among adults aged 20 and over, the age-adjusted prevalence of obesity was 41.9% (95% CI: 39.4-44.3), the age-adjusted prevalence of severe obesity was 9.2% (95% CI: 8.0-10.6), and the age-adjusted prevalence of diabetes was 14.8% (95% CI: 13.1–16.7; Table 2). Among adults aged 18 and over, the age-adjusted prevalence of hypertension was 45.1% (95% CI: 42.5–47.6; Table 2). Among adults aged 65 and over, the age-adjusted prevalence of complete tooth loss was 13.8% (95% CI: 10.6–17.6; Table 2). Estimates for 2017-2018 are included for reference; however, statistical testing for

comparison to 2017–March 2020 cannot be performed because the samples are not independent (Table 2). Generally, estimates from 2017–March 2020 were similar to those from 2017–2018, and the standard errors were similar or smaller.

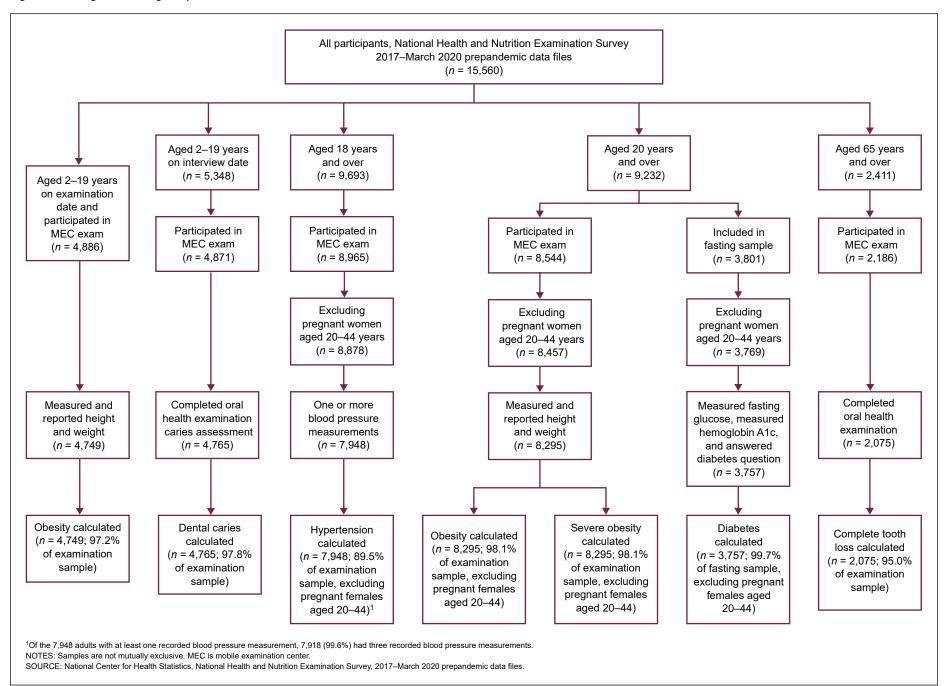
### Obesity among children and adolescents

Among all children and adolescents aged 2-19 years, obesity prevalence increased with age (12.7% for those aged 2-5 years, 20.7% for 6-11, and 22.2% for 12–19), was highest among Hispanic (26.2%) and non-Hispanic black (24.8%) children and adolescents, followed by non-Hispanic white (16.6%) and non-Hispanic Asian (9.0%) children and adolescents, and decreased with increasing family income (Table 3). Prevalence of obesity among children and adolescents aged 2-19 years was similar among boys and girls (20.9% compared with 18.5%). Boys had a higher prevalence of obesity than girls among those aged 6–11 years, among non-Hispanic Asian children and adolescents, and among those with family income more than 350% FPL. However, among non-Hispanic black children and adolescents, obesity prevalence was lower among boys compared with girls. A few differences in the patterns of obesity by sociodemographic characteristics between boys and girls were seen. For boys, obesity prevalence was higher among those aged 6-11 and those aged 12-19 years than among those aged 2-5 years, whereas obesity increased with increasing age among girls. Also among boys, obesity prevalence was highest among Hispanic boys compared with other race and Hispanic-origin groups. Among girls, obesity prevalence was significantly different between each race and Hispanic origin-group, with the highest prevalence among non-Hispanic black girls. The prevalence of obesity decreased with increasing family income for both boys and girls.

### Untreated or restored dental caries among children and adolescents

For all children, the prevalence of dental caries (untreated or restored) was highest among Hispanic children and adolescents (Hispanic: 54.5%,

Figure. Flow diagram showing sample included for 2017-March 2020 estimates



non-Hispanic Asian: 46.6%, non-Hispanic white: 42.7%, and non-Hispanic black: 41.7%; Table 4). Prevalence of dental caries increased with age among children and adolescents overall (22.0% for those aged 2–5 years, 48.1% for 6–11, and 56.0% for 12–19), but decreased with family income (130% or less FPL: 53.5%, more than 130% through 350% FPL: 47.4%, and more than 350% FPL: 35.8%). Prevalence of any dental caries was similar among both boys and girls overall (47.5% compared with 44.5%) but was higher among boys for those aged 6-11 years. Among both boys and girls, prevalence of dental caries increased with age and decreased with increasing family income. The prevalence of dental caries was higher among Hispanic boys than non-Hispanic black boys and was higher among Hispanic girls than non-Hispanic white and non-Hispanic black girls.

### Obesity among adults

No differences in obesity prevalence were seen with age (39.8% for those aged 20-39, 44.3% for 40-59, and 41.5% for 60 and over) among all adults (Table 5). Obesity was most common among non-Hispanic black adults (non-Hispanic black: 49.9%, Hispanic: 45.6%, non-Hispanic white: 41.4%, and non-Hispanic Asian: 16.1%). Obesity prevalence was lower among adults with family income of more than 350% FPL (39.0%) compared with those with family income more than 130% through 350% FPL (46.5%) but was not different than those with family income 130% or less FPL (43.9%). Obesity was also highest among those with a high school diploma or some college (46.4%) followed by those with less than a high school diploma (40.1%) and those with a college degree or above (34.2%). No differences in obesity prevalence were observed between men and women overall (41.8% each, Table 5). However, a higher percentage of women than men had obesity among non-Hispanic black adults, adults with family income of 130% or less FPL, and adults with less than a high school diploma. Patterns of obesity among adults differed according to some characteristics by sex. There was a higher percentage of men aged

40-59 than men aged 60 and over with obesity, while obesity was not associated with age among women. Non-Hispanic black women had the highest prevalence of obesity compared with women of other race and Hispanic-origin groups. Among men, obesity prevalence was lowest among non-Hispanic Asian men but did not differ between other race and Hispanic-origin groups. Although no differences were seen in obesity by family income categories among men, obesity was lowest among women with family income more than 350% FPL. Patterns of obesity prevalence by education were different for men than for women. Among men, prevalence was highest among those with a high school diploma or some college, while among women, prevalence was higher for those with less than a high school diploma and those with a high school diploma or some college compared with those with a college degree or above.

### Severe obesity among adults

The prevalence of severe obesity was lowest among those aged 60 and over (9.7% for those aged 20–39, 10.7% for 40-59, and 6.1% for 60 and over; Table 6). For men and women combined, all groups were different from each other except non-Hispanic white and Hispanic adults (non-Hispanic black: 14.0%, non-Hispanic white: 9.5%, Hispanic: 7.4%, and non-Hispanic Asian: 1.8%). Those with family income of more than 350% FPL had the lowest prevalence of severe obesity (130% or less FPL: 10.9%, more than 130% through 350% FPL: 11.8%, and more than 350% FPL: 6.9%) and those with a high school diploma or some college had a higher prevalence (11.3%) of severe obesity than those with less than a high school diploma (7.6%) and those with a college degree or above (6.1%). A higher percentage of women than men had severe obesity overall (11.7% compared with 6.6%; Table 6) and among every age, race and Hispanic origin, family income, and education category, with the exceptions of non-Hispanic Asian and Hispanic adults, no differences were seen. Among men, non-Hispanic Asian men had a lower prevalence of severe obesity than other race and Hispanic-origin groups.

Among women, the prevalence of severe obesity differed between all race and Hispanic-origin groups, with the highest among non-Hispanic black women and the lowest among non-Hispanic Asian women. The prevalence of severe obesity was higher in men with family income more than 130% through 350% FPL than those with family income more than 350% FPL but not those with family income 130% or less FPL, and was highest among those with a high school diploma or some college. Among women, the prevalence of severe obesity was lowest among those with family income more than 350% FPL and was lower in those with a college degree or above than among those with a high school diploma or some college.

### Hypertension among adults

Hypertension prevalence increased with age among all adults (23.4% for those aged 18–39, 52.4% for 40–59, and 74.1% for 60 and over), and was highest among non-Hispanic black adults for men and women combined (non-Hispanic black: 56.9%, non-Hispanic white: 43.5%, non-Hispanic Asian: 44.6%, and Hispanic: 42.7%; Table 7). The prevalence of hypertension was lower among those with family income more than 350% FPL compared with those with family income more than 130% through 350% FPL and was lowest among those with a college degree or above. Hypertension prevalence also increased with weight status (normal weight: 31.1%, overweight: 40.6%, and obesity: 57.7%). The prevalence of hypertension was higher among men than women overall (48.7% compared with 41.2%). Prevalence of hypertension was also higher for men than women among the following subgroups: age groups 18–39 and 40–59, non-Hispanic Asian, Hispanic, family income more than 130% through 350% and more than 350% FPL, high school diploma or some college, college degree or above, those with normal weight status, and those with overweight status. Prevalence of hypertension increased among both men and women with age and with weight status. Among men, hypertension prevalence was lower for those with a college degree or above compared with

those with a high school diploma or some college, whereas among women, hypertension prevalence decreased with increasing education levels. No association between hypertension prevalence and family income among men was seen; the prevalence of hypertension decreased with increasing family income among women. Prevalence of hypertension was higher among non-Hispanic black women and non-Hispanic black men than other race and Hispanic-origin groups.

### Diabetes among adults

Diabetes prevalence increased with age (4.4% for those aged 20–39, 16.4% for 40–59, and 30.0% for 60 and over) among all adults (Table 8). Non-Hispanic white adults had a lower prevalence of diabetes (12.0%) than other race and Hispanic-origin groups (Hispanic: 21.2%, non-Hispanic black: 18.8%, non-Hispanic Asian: 18.1%). For men and women combined, the prevalence of diabetes decreased with increasing family income (130% or less FPL: 20.0%, more than 130% through 350% FPL: 17.7%, and more than 350% FPL: 10.9%) and educational attainment (less than high school diploma: 22.7%, high school diploma or some college: 15.7%, and college degree or above: 10.4%) and increased with increasing weight status (normal weight: 6.6%, overweight: 10.3%, obesity: 23.3%). Men had a higher prevalence of diabetes than women overall (16.3% compared with 13.4%) as well as among those aged 60 and over, those with family income more than 350% FPL, those with a college degree or above, and those in the overweight category. The patterns of diabetes prevalence by age, race and Hispanic origin, family income, educational attainment, and weight status among both men and women followed the same patterns seen among all adults.

### Complete tooth loss among older adults

The prevalence of complete tooth loss increased with age among all adults (10.6% for those aged 65–69, 10.8% for 70–74, and 17.3% for 75 and over) and was higher in non-Hispanic black (21.0%) than non-Hispanic white (12.3%)

adults, but otherwise did not differ by race and Hispanic origin (Table 9). Complete tooth loss decreased with increasing family income and increasing education (less than high school diploma: 31.5%, high school diploma or some college: 15.0%, and college degree or above: 2.7%). The prevalence of complete tooth loss did not vary between men and women (14.0% compared with 13.6%) overall; however, among those with family income more than 130% through 350% FPL, men had a higher prevalence of complete tooth loss than women. Among men, complete tooth loss was higher in non-Hispanic black than non-Hispanic white and non-Hispanic Asian adults. The prevalence of complete tooth loss decreased with increasing family income and with increasing educational attainment among both men and women.

### **Discussion**

The COVID-19 pandemic led to an unprecedented disruption of NHANES operations. While the data collected for the planned 2019–2020 NHANES cycle cannot be released in their usual 2-year format, methodological procedures were implemented to produce files that could provide updated nationally representative estimates by combining the available 2019–March 2020 data with existing 2017–2018 data.

Estimates for health outcomes calculated from the 2017-March 2020 prepandemic data files presented here are similar to 2017–2018 estimates. This reflects both the methodological adjustments as well as the patterns in prevalence estimates that typically are not expected to vary greatly annually in NHANES due to the relatively small sample size in a 1-year data collection. Data collected in 2019 make up the majority of the 2019-March 2020 data. The 2017–March 2020 prepandemic estimates and 2017–2018 estimates for the overall population fall well within each other's 95% confidence intervals. However, because the samples are not independent, statistical testing comparing these time periods cannot be performed and any differences should not be interpreted as a possible trend between 2017-2018 and 2019-March

2020. Besides inclusion of the most recently collected NHANES data, one potential benefit of data from the 2017-March 2020 prepandemic data files is the larger sample size, which may be beneficial when performing analyses with smaller subgroups. For the most part, this increased sample size leads to improved precision and smaller variance estimates. For example, the standard error for prevalence estimates for childhood obesity, childhood dental caries, adult obesity, adult severe obesity, adult hypertension, and adult diabetes are all lower in the 2017-March 2020 prepandemic estimates than the 2017–2018 estimates. However, for some estimates and some demographic subgroups, increased variation in the sampling weights, increased variation in the true underlying population values of the health outcomes from the data added from 2019-March 2020, or both may result in equivalent or increased variance of estimates. For example, the standard error for complete tooth loss among older adults is the same in 2017–March 2020 prepandemic estimates as in 2017–2018 estimates.

The 2017–March 2020 prepandemic data files are subject to several limitations that should be considered during analysis and when interpreting results. First, response rates on national surveys, including NHANES, have declined over the past two decades. A nonresponse bias study published on the previously released NHANES 2017–2018 data showed that the weighting methodology used for prior survey cycles was insufficient to account for nonresponse and sampling variability bias, but that enhanced weighting adjustments for education and income helped mitigate bias (2). Those weighting adjustments were also applied to the 2017-March 2020 data after the PSU-level adjustments were made, and an additional adjustment for urban-rural designation was also included. Second, the PSU-level adjustments to survey weights to account for the reassignment of 2019-March 2020 PSUs to the 2015–2018 sample design were designed for overall estimates and not specific subgroups. Therefore, any trend comparisons over time for subgroups (e.g., by age, sex, race and Hispanic

origin) between the 2017–March 2020 prepandemic data files and previous NHANES cycles should be interpreted in this context and with caution. The magnitude and direction of the trend within a certain subgroup may vary from the overall trend. In conducting analyses and interpreting results, analysts should consider the historical context of the trends in addition to the methodological approach to create the 2017–March 2020 prepandemic data files. Further, the unequal spacing of the 2017–March 2020 time period in relation to other NHANES cycles should be taken into account (28).

### Conclusion

The halting of NHANES field operations in March 2020 due to the COVID-19 pandemic prevented collection of a nationally representative sample during the planned 2019–2020 data cycle. To obtain nationally representative estimates, the 2017-2018 cycle and the partially completed 2019-2020 cycle were combined into the NHANES 2017-March 2020 prepandemic data files, and adjustments were made to account for the incomplete PSU collection. These files contain the last NHANES data collected before widespread transmission of COVID-19. As shown in the selected estimates reported here, the 2017-March 2020 prepandemic data files produce estimates similar to the previously released NHANES data files from 2017-2018 but provide a larger sample size and more precise estimates for most of the health conditions examined in this report.

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Table 1. Data files included in the initial public release of the National Health and Nutrition Examination Survey 2017–March 2020 prepandemic data files

Data file description	Data file name
Demographic variables and sample weights	P_DEMO
Questionnaire data	
Blood pressure and cholesterol Diabetes Oral health	P_BPQ P_DIQ P_OHQ
Examination data	
Body measures.  Blood pressure — Oscillometric measurement  Oral health — Dentition  Oral health — Recommendation of care	P_BMX P_BPXO P_OHXDEN P_OHXREF
Laboratory data	
Fasting questionnaire	P_FASTQX P_GHB P_GLU

<sup>&</sup>lt;sup>1</sup>Analysis requires use of fasting weights.

NOTES: These files are the files included in the initial public release of the National Health and Nutrition Examination Survey 2017–March 2020 prepandemic data files. Other data may be available in future releases or through the National Center for Health Statistics Research Data Center.

Table 2. Prevalence, confidence intervals, standard errors, and sample sizes of selected health outcomes using 2017–2018 data files compared with 2017-March 2020 prepandemic data files: United States, 2017-2018 and 2017-March 2020

	2017–2018 2017–March 2020 prepander					ic
Health outcome	Sample size	Prevalence percentage (95% confidence interval)	Standard error	Sample size	Prevalence percentage (95% confidence interval)	Standard error
Childhood obesity (2–19 years) <sup>1</sup>	2,824	19.3 (17.2–21.5)	1.0	4,749	19.7 (17.9–21.6)	0.9
Childhood untreated or restored dental caries	0.005	45.7 (44.4.50.0)	0.4	4 705	40.0 (40.0 40.4)	4.5
(2–19 years) <sup>2</sup>	2,835	45.7 (41.1–50.3)	2.1	4,765	46.0 (42.9–49.1)	1.5
Adult obesity (20 years and over) <sup>3</sup>	5,120	42.4 (38.8–46.2)	1.8	8,295	41.9 (39.4–44.3)	1.2
Adult severe obesity (20 years and over) <sup>4</sup>	5,120	9.2 (7.5-11.2)	0.9	8,295	9.2 (8.0-10.6)	0.6
Adult hypertension (18 years and over) <sup>5</sup>	4,761	44.7 (41.3-48.3)	1.6	7,948	45.1 (42.5-47.6)	1.2
Adult diabetes (20 years and over) <sup>6</sup>	2,274	14.5 (12.3-16.9)	1.0	3,757	14.8 (13.1-16.7)	0.8
Older adult complete tooth loss (65 years and over) <sup>7</sup>	1,328	13.1 (9.7–17.2)	1.7	2,075	13.8 (10.6–17.6)	1.7

Desity is defined as a body mass index of greater than or equal to the age- and sex-specific 95th percentile of the 2000 Centers for Disease Control and Prevention Growth Charts.

NOTES: Outcomes for adults and older adults were age adjusted by the direct method to the projected U.S. Census 2000 population. Age groups used for adult obesity, adult severe obesity, and adult diabetes are 20–39, 40–59, and 60 and over. Age groups used for adult hypertension are 18–39, 40–59, and 60 and over. Age groups used for older adult tooth loss are 65–69, 70–74, and 75 and over. Statistical testing for comparison of 2017–2018 and 2017–March 2020 cannot be performed because samples are not independent. Pregnant females were excluded from the analysis of childhood obesity, and adult obesity, and adult severe obesity. Pregnant women aged 20–44 were excluded from the analysis of adult hypertension and adult diabetes.

<sup>&</sup>lt;sup>2</sup>Dental caries is defined as having untreated or restored dental caries in one or more primary or permanent teeth. Missing permanent teeth due to dental disease are not included.

<sup>3</sup>Obesity is defined as as a body mass index of greater than or equal to 30 kg/m<sup>2</sup>

Severe obesity is defined as as a body mass index of greater than or equal to 40 kg/m<sup>2</sup>.

<sup>&</sup>lt;sup>5</sup>Hypertension is defined based on an average of up to three systolic and diastolic blood pressure measurements taken using an oscillometric protocol as a measured systolic blood pressure greater than or equal to 130 mm Hg, a measured diastolic blood pressure greater than or equal to 80 mm Hg, or currently taking medication to lower high blood pressure.

Diabetes is defined as having answered "yes" to being told they have diabetes, having a fasting plasma glucose greater than or equal to 126 mg/dL, or having a hemoglobin A1c greater than or equal to 6.5%. Fasting weights were used.

7Complete tooth loss in adults is defined as having no natural tooth, no dental root fragment, and no implanted tooth present and was based on assessment of 28 teeth, excluding third molars.

Table 3. Prevalence of children and adolescents aged 2-19 years with obesity, by demographic characteristics: United States, 2017-March 2020

		Both sexes		Boys	Girls	
Characteristic	Sample size	Prevalence percentage (95% confidence interval)	Sample size	Prevalence percentage (95% confidence interval)	Sample size	Prevalence percentage (95% confidence interval)
Total	4,749	19.7 (17.9–21.6)	2,410	20.9 (18.9–22.9)	2,339	18.5 (16.3–21.0)
Age group (years):						
2–5	1,141	<sup>1</sup> 12.7 (10.8–14.8)	566	13.6 (10.8-16.8)	575	<sup>1</sup> 11.8 (9.3–14.8)
6–11	1,765	20.7 (17.9–23.7)	894	<sup>2</sup> 22.9 (19.5–26.5)	871	<sup>3</sup> 18.5 (15.2–22.1)
12–19	1,843	22.2 (19.7–24.8)	950	<sup>2</sup> 22.6 (19.7–25.7)	893	21.7 (18.1–25.7)
Race and Hispanic origin:						
Non-Hispanic white	1,471	<sup>4–6</sup> 16.6 (13.7–19.8)	743	<sup>6</sup> 17.6 (14.8–20.7)	728	<sup>4–6</sup> 15.4 (11.2–20.5)
Non-Hispanic black	1,270	<sup>5</sup> 24.8 (21.6–28.1)	662	<sup>5,6</sup> 18.8 (15.9–22.1)	608	<sup>3,5,6</sup> 30.8 (26.0–35.8)
Non-Hispanic Asian	420	<sup>6</sup> 9.0 (6.5–12.2)	208	<sup>6</sup> 13.1 (8.8–18.4)	212	*,3,65.2 (2.3-9.9)
Hispanic	1,143	26.2 (22.4-30.2)	562	29.3 (23.1-36.0)	581	23.0 (19.6-26.6)
Family income relative to federal poverty level (FPL):						
130% or less FPL	1,748	<sup>7</sup> 25.8 (22.8–29.1)	864	<sup>7</sup> 26.4 (22.4–30.8)	884	<sup>7</sup> 25.2 (22.3–28.3)
More than 130% through 350% FPL	1,514	21.2 (18.5–24.0)	789	20.7 (17.6–24.1)	725	21.7 (18.3–25.3)
More than 350% FPL	956	11.5 (8.9–14.5)	471	15.1 (11.1–19.8)	485	<sup>3</sup> 8.2 (5.0–12.5)

<sup>\*</sup> Estimate does not meet National Center for Health Statistics standards of reliability.

NOTES: Obesity is defined as a body mass index of greater than or equal to the age- and sex-specific 95th percentile of the 2000 Centers for Disease Control and Prevention Growth Charts. Children and adolescents were included and categorized into age categories based on age at examination in months. Pregnant females were excluded from the analysis.

<sup>&</sup>lt;sup>1</sup>Significantly increasing trend with increasing age.

<sup>&</sup>lt;sup>2</sup>Significantly different from those aged 2–5 years.
<sup>3</sup>Significantly different from boys.
<sup>4</sup>Significantly different from non-Hispanic black children and adolescents.
<sup>5</sup>Significantly different from non-Hispanic Asian children and adolescents.
<sup>6</sup>Significantly different from Hispanic children and adolescents.
<sup>7</sup>Significantly decreasing trend with increasing family income.

Table 4. Prevalence of children and adolescents aged 2-19 years with untreated or restored dental caries, by demographic characteristics: United States, 2017-March 2020

		Both sexes	Boys			Girls
Characteristic	Sample size	Prevalence percentage (95% confidence interval)	Sample size	Prevalence percentage (95% confidence interval)	Sample size	Prevalence percentage (95% confidence interval)
Total	4,765	46.0 (42.9–49.1)	2,421	47.5 (43.7–51.3)	2,344	44.5 (40.5–48.5)
Age group (years):						
2–5	1,191	<sup>1</sup> 22.0 (18.6–25.6)	599	<sup>1</sup> 22.9 (18.4–27.8)	592	<sup>1</sup> 21.1 (17.1–25.4)
6–11	1,763	48.1 (42.4-53.7)	896	51.6 (45.5–57.7)	867	<sup>2</sup> 44.3 (37.9–50.9)
12–19	1,811	56.0 (51.7-60.2)	926	55.9 (49.8-61.9)	885	56.0 (50.4-61.6)
Race and Hispanic origin:						
Non-Hispanic white	1,476	<sup>3</sup> 42.7 (38.8–46.6)	743	46.3 (41.4-51.2)	733	<sup>3</sup> 38.8 (31.9–46.1)
Non-Hispanic black	1,273	<sup>3</sup> 41.7 (34.2–49.4)	660	<sup>3</sup> 41.7 (32.6–51.3)	613	<sup>3</sup> 41.7 (35.1–48.5)
Non-Hispanic Asian	421	<sup>3</sup> 46.6 (40.2–53.0)	213	46.0 (39.2-52.9)	208	47.2 (36.2-58.4)
Hispanic	1,153	54.5 (49.9-59.1)	568	53.2 (47.9-58.4)	585	55.9 (49.5-62.2)
Family income relative to federal poverty level (FPL):						
130% or less FPL	1,772	<sup>4</sup> 53.5 (49.3–57.6)	877	<sup>4</sup> 54.0 (49.1–58.8)	895	<sup>4</sup> 53.0 (47.9–58.0)
More than 130% through 350% FPL	1,502	47.4 (44.2-50.6)	782	49.0 (44.0-53.9)	720	45.6 (39.7-51.5)
More than 350% FPL	958	35.8 (31.3-40.4)	473	37.9 (31.0-45.2)	485	33.7 (28.1-39.8)

NOTES: Dental caries is defined as having untreated or restored dental caries in one or more primary or permanent teeth. Missing permanent teeth due to dental disease are not included in this

<sup>&</sup>lt;sup>1</sup>Significantly increasing trend with increasing age. <sup>2</sup>Significantly different from boys. <sup>3</sup>Significantly different from Hispanic children and adolescents. <sup>4</sup>Significantly decreasing trend with increasing family income.

Table 5. Prevalence of adults aged 20 and over with obesity, by demographic characteristics: United States, 2017-March 2020

		Both sexes		Men		Women	
Characteristic	Sample size	Prevalence percentage (95% confidence interval)	Sample size	Prevalence percentage (95% confidence interval)	Sample size	Prevalence percentage (95% confidence interval)	
Total (age adjusted)	8,295	41.9 (39.4–44.3)	4,051	41.8 (37.7–45.9)	4,244	41.8 (39.3–44.4)	
Total (crude)	8,295	41.9 (39.4–44.3)	4,051	41.6 (37.4–45.8)	4,244	42.1 (39.6–44.8)	
Age group (years):							
20–39	2,489	39.8 (35.3-44.3)	1,177	39.9 (33.1-47.0)	1,312	39.6 (34.9-44.3)	
40–59	2,765	44.3 (41.3–47.4)	1,320	<sup>1</sup> 45.9 (41.0–50.9)	1,445	42.8 (38.7–47.1)	
60 and over	3,041	41.5 (38.4–44.7)	1,554	38.4 (32.9–44.1)	1,487	44.2 (40.5–47.9)	
Race and Hispanic origin:		,		,		, ,	
Non-Hispanic white	2,866	<sup>2,3</sup> 41.4 (37.9–44.9)	1,432	<sup>3</sup> 43.1 (37.4–48.9)	1,434	<sup>2–4</sup> 39.6 (36.2–43.0)	
Non-Hispanic black	2,213	<sup>3,4</sup> 49.9 (47.2–52.6)	1,058	<sup>3</sup> 40.4 (36.3–44.6)	1,155	<sup>3–5</sup> 57.9 (54.0–61.7)	
Non-Hispanic Asian	1,014	<sup>4</sup> 16.1 (13.6–18.9)	466	<sup>4</sup> 17.6 (13.7–22.2)	548	<sup>4</sup> 14.5 (11.4–18.1)	
Hispanic	1,806	45.6 (42.9-48.2)	880	45.2 (41.7-48.8)	926	45.7 (42.4-49.1)	
Family income relative to federal poverty level (FPL):							
130% or less FPL	2,019	43.9 (41.7-46.1)	892	38.6 (33.6-43.8)	1,127	<sup>5,6</sup> 47.9 (44.0–51.7)	
More than 130% through 350% FPL	2,815	<sup>6</sup> 46.5 (43.6–49.4)	1,400	43.9 (40.5-47.3)	1,415	<sup>6</sup> 48.8 (44.5–53.0)	
More than 350% FPL	2,312	39.0 (34.2-43.9)	1,189	42.4 (34.9-50.2)	1,123	35.1 (31.1-39.3)	
Education:		•		•			
Less than high school diploma	1,538	<sup>7,8</sup> 40.1 (36.5–43.8)	803	<sup>7</sup> 35.3 (30.4–40.6)	735	<sup>5,8</sup> 45.3 (41.0–49.7)	
High school diploma or some college	4,709	846.4 (44.0-48.9)	2,259	845.9 (41.9–50.0)	2,450	846.8 (43.9-49.8)	
College degree or above	2,037	34.2 (30.1-38.5)	984	36.3 (29.0-44.1)	1,053	32.2 (28.5-36.1)	

<sup>&</sup>lt;sup>1</sup>Significantly different from those aged 60 and over.

NOTES: Obesity is defined as a body mass index of greater than or equal to 30 kg/m². Except where reported as crude estimates, estimates were age adjusted by the direct method to the projected U.S. Census 2000 population using the age groups 20–39, 40–59, and 60 and over. Statistical comparisons were not performed on crude estimates. Pregnant women were excluded from the analysis. SOURCE: National Center for Health Statistics, National Health and Nutrition Examination Survey, 2017-March 2020 prepandemic data files.

<sup>&</sup>lt;sup>2</sup>Significantly different from non-Hispanic black adults. <sup>3</sup>Significantly different from non-Hispanic Asian adults.

<sup>&</sup>lt;sup>4</sup>Significantly different from Hispanic adults.

Significantly different from men.
Significantly different from those with family income more than 350% FPL.

<sup>7</sup> Significantly different from those with a high school diploma or some college. 8 Significantly different from those with a college degree or above.

Table 6. Prevalence of adults aged 20 and over with severe obesity, by demographic characteristics: United States, 2017-March 2020

		Both sexes		Men	Women		
Characteristic	Sample size	Prevalence percentage (95% confidence interval)	Sample size	Prevalence percentage (95% confidence interval)	Sample size	Prevalence percentage (95% confidence interval)	
Total (age adjusted)	8,295	9.2 (8.0–10.6)	4,051	6.6 (5.3–8.1)	4,244	<sup>1</sup> 11.7 (10.0–13.7)	
Total (crude)	8,295	9.0 (7.8–10.3)	4,051	6.4 (5.1–8.0)	4,244	11.4 (9.7–13.3)	
Age group (years):							
20–39	2,489	<sup>2</sup> 9.7 (7.7–12.0)	1,177	<sup>2</sup> 7.0 (4.7–10.1)	1,312	<sup>1,2</sup> 12.4 (9.8–15.3)	
40–59	2,765	<sup>2</sup> 10.7 (8.9–12.8)	1,320	<sup>2</sup> 8.1 (5.5–11.5)	1,445	<sup>1,2</sup> 13.2 (10.0–16.9)	
60 and over	3,041	6.1 (5.2–7.2)	1,554	3.5 (2.6–4.5)	1,487	<sup>1</sup> 8.3 (6.8–10.0)	
Race and Hispanic origin:		, ,		, ,		, ,	
Non-Hispanic white	2,866	<sup>3,4</sup> 9.5 (7.9–11.3)	1,432	<sup>4</sup> 6.8 (5.1–8.9)	1,434	<sup>1,3–5</sup> 12.0 (9.8–14.6)	
Non-Hispanic black	2,213	<sup>4,5</sup> 14.0 (11.9–16.3)	1,058	<sup>4</sup> 7.9 (6.3–9.7)	1,155	<sup>1,4,5</sup> 19.1 (16.0–22.6)	
Non-Hispanic Asian	1,014	51.8 (1.0-2.8)	466	<sup>5</sup> 2.4 (0.9–5.1)	548	<sup>5</sup> 1.1 (0.2–3.3)	
Hispanic	1,806	7.4 (6.1–8.9)	880	6.0 (4.2-8.4)	926	8.8 (7.0-10.9)	
Family income relative to federal poverty level (FPL):							
130% or less FPL	2,019	<sup>6</sup> 10.9 (8.2–13.9)	892	7.4 (5.3–9.9)	1,127	<sup>1,6</sup> 13.5 (10.0–17.7)	
More than 130% through 350% FPL	2,815	<sup>6</sup> 11.8 (10.1–13.6)	1,400	<sup>6</sup> 8.8 (7.1–10.8)	1,415	<sup>1,6</sup> 14.5 (11.8–17.4)	
More than 350% FPL	2,312	6.9 (5.4-8.6)	1,189	4.6 (2.9-6.8)	1,123	<sup>1</sup> 9.5 (7.0–12.4)	
Education:				•			
Less than high school diploma	1,538	<sup>7</sup> 7.6 (5.8–9.6)	803	<sup>7</sup> 3.3 (2.0–5.1)	735	<sup>1</sup> 12.2 (9.6–15.3)	
High school diploma or some college	4,709	811.3 (10.3–12.4)	2,259	<sup>8</sup> 9.0 (7.2–11.0)	2,450	<sup>1,8</sup> 13.5 (11.6–15.7)	
College degree or above	2,037	6.1 (4.3-8.5)	984	3.3 (1.9-5.4)	1,053	<sup>1</sup> 8.5 (5.7–12.2)	

<sup>&</sup>lt;sup>1</sup>Significantly different from men.

NOTES: Severe obesity is defined as a body mass index of greater than or equal to 40 kg/m². Except where reported as crude estimates, estimates were age adjusted by the direct method to the projected U.S. Census 2000 population using the age groups 20–39, 40–59, and 60 and over. Statistical comparisons were not performed on crude estimates. Pregnant women were excluded from the analysis.

<sup>&</sup>lt;sup>2</sup>Significantly different from those aged 60 and over. <sup>3</sup>Significantly different from non-Hispanic black adults.

<sup>&</sup>lt;sup>4</sup>Significantly different from non-Hispanic Asian adults.

Significantly different from Hispanic adults.
Significantly different from those with family income more than 350% FPL.

<sup>7</sup> Significantly different from high school diploma or some college. 8 Significantly different from college graduate or above.

Table 7. Prevalence of adults aged 18 and over with hypertension, by demographic characteristics: United States, 2017-March 2020

		Both sexes		Men		Women
Characteristic	Sample size	Prevalence percentage (95% confidence interval)	Sample size	Prevalence percentage (95% confidence interval)	Sample size	Prevalence percentage (95% confidence interval)
Total (age adjusted)	7,948	45.1 (42.5–47.6)	3,975	48.7 (44.8–52.6)	3,973	<sup>1</sup> 41.2 (38.5–44.0)
Total (crude)	7,948	48.1 (45.4–50.8)	3,975	50.5 (46.9–54.2)	3,973	45.7 (42.8–48.6)
Age group (years):						
18–39	2,608	<sup>2</sup> 23.4 (20.5–26.5)	1,280	<sup>2</sup> 29.4 (25.0–34.2)	1,328	<sup>1,2</sup> 16.9 (13.7–20.6)
40–59	2,534	52.4 (48.7–56.2)	1,231	56.2 (50.4–61.8)	1,303	<sup>1</sup> 48.8 (44.3–53.4)
60 and over	2,806	74.1 (71.4–76.7)	1,464	73.1 (68.5–77.3)	1,342	75.0 (72.1–77.7)
Race and Hispanic origin:		, ,		,		,
Non-Hispanic white	2,822	<sup>3</sup> 43.5 (39.4–47.6)	1,430	<sup>3</sup> 46.9 (40.3–53.5)	1,392	<sup>3,5</sup> 39.9 (36.0-43.9)
Non-Hispanic black	2,123	<sup>4,5</sup> 56.9 (54.1–59.8)	1,037	<sup>4,5</sup> 56.0 (51.7–60.2)	1,086	<sup>4,5</sup> 57.6 (52.9–62.2)
Non-Hispanic Asian	919	44.6 (41.4-47.9)	451	50.5 (45.8-55.2)	468	<sup>1</sup> 38.7 (34.3–43.3)
Hispanic	1,687	42.7 (40.4-45.1)	837	50.2 (45.6-54.8)	850	<sup>1</sup> 34.8 (31.2–38.5)
Family income relative to federal poverty level (FPL):						
130% or less FPL	2,013	47.3 (44.3-50.2)	924	47.9 (44.0-51.8)	1,089	<sup>6</sup> 46.3 (41.1–51.7)
More than 130% through 350% FPL	2,675	<sup>7</sup> 46.6 (43.4–49.7)	1,337	51.8 (46.7-56.9)	1,338	<sup>1</sup> 41.6 (36.6–46.8)
More than 350% FPL	2,231	43.1 (39.3–46.9)	1,175	47.2 (41.4–53.1)	1,056	<sup>1</sup> 38.3 (34.0–42.7)
Less than high school diploma	1,336	<sup>9</sup> 50.2 (46.3–54.1)	715	52.0 (46.7-57.2)	621	<sup>10</sup> 48.0 (42.2–53.8)
High school diploma or some college	4,350	<sup>9</sup> 50.3 (47.3–53.2)	2,133	<sup>9</sup> 53.6 (48.8–58.4)	2,217	<sup>1</sup> 46.0 (41.9–50.2)
College degree or above	1,881	38.5 (33.9-43.3)	929	43.3 (37.1-49.6)	952	134.3 (29.0–39.9)
Weight status:11						
Normal weight (BMI 18.5–24.9)	1,986	<sup>12</sup> 31.1 (26.8–35.6)	973	<sup>12</sup> 35.5 (29.7–41.6)	1,013	<sup>1,12</sup> 27.9 (23.7–32.6)
Overweight (BMI 25.0–29.9)	2,441	40.6 (37.9-43.3)	1,375	43.2 (39.0-47.4)	1,066	<sup>1</sup> 37.2 (34.3–40.2)
Obesity (BMI 30.0 and higher)	3,311	57.7 (54.8-60.6)	1,524	61.3 (55.7-66.7)	1,787	53.7 (50.1-57.4)

NOTES: Hypertension is defined based on an average of up to three systolic and diastolic blood pressure measurements taken using an oscillometric protocol as a measured systolic blood pressure greater than or equal to 130 mm Hg, a measured diastolic blood pressure greater than or equal to 80 mm Hg, or currently taking a medication to lower high blood pressure. Except where reported as crude estimates, estimates were age adjusted by the direct method to the projected U.S. Census 2000 population using the age groups 18–39, 40–59, and 60 and over. Statistical comparisons were not performed on crude estimates. Pregnant women aged 20-44 years were excluded from the analysis. BMI (body mass index) is measured in kg/m².

¹Significantly different from men. ²Significantly increasing trend with increasing age. ³Significantly different from non-Hispanic black adults. \$Significantly different from non-Hispanic Asian adults. \$Significantly different from Hispanic adults.

<sup>&</sup>lt;sup>6</sup>Significantly decreasing trend with increasing family income.

<sup>&</sup>lt;sup>7</sup>Significantly different from those with family income more than 350% FPL.

<sup>8</sup>Education status not available for participants under 20 years; therefore, participants under 20 were excluded from analysis by education.

Significantly different from college degree or above.

19 Significantly decreasing trend with increasing education.

11 Pregnant women of all ages were excluded from analysis by weight status.

<sup>&</sup>lt;sup>12</sup>Significantly increasing trend with increasing weight status.

Table 8. Prevalence of adults aged 20 and over with diabetes, by demographic characteristics: United States, 2017-March 2020

		Both sexes		Men		Women
Characteristic	Sample size	Prevalence percentage (95% confidence interval)	Sample size	Prevalence percentage (95% confidence interval)	Sample size	Prevalence percentage (95% confidence interval)
Total (age adjusted)	3,757	14.8 (13.1–16.7)	1,817	16.3 (14.2–18.7)	1,940	<sup>1</sup> 13.4 (11.0–16.1)
Total (crude)	3,757	16.2 (14.2–18.3)	1,817	17.7 (15.5–20.2)	1,940	14.8 (12.2–17.7)
Age group (years):						
20–39	1,100	<sup>2</sup> 4.4 (2.9–6.2)	520	*,24.0 (1.7–7.9)	580	<sup>2</sup> 4.7 (3.1–6.9)
40–59	1,279	16.4 (13.4–19.9)	594	17.1 (12.8–22.1)	685	15.9 (10.9–21.9)
60 and over	1,378	30.0 (27.2–32.9)	703	36.3 (30.8–42.1)	675	<sup>1</sup> 24.4 (19.4–30.1)
Race and Hispanic origin:		,		,		,
Non-Hispanic white	1,275	<sup>3–5</sup> 12.0 (9.4–15.0)	649	<sup>3–5</sup> 13.6 (10.0–17.9)	626	<sup>3–5</sup> 10.6 (7.6–14.4)
Non-Hispanic black	933	18.8 (16.4–21.5)	442	18.9 (15.3-22.9)	491	18.7 (15.3-22.4)
Non-Hispanic Asian	478	18.1 (14.4–22.3)	211	20.7 (14.2-28.4)	267	15.8 (11.7-20.8)
Hispanic	872	21.2 (18.3-24.3)	414	23.5 (19.0-28.5)	458	19.2 (15.5-23.5)
Family income relative to federal poverty level (FPL):						
130% or less FPL	887	<sup>6</sup> 20.0 (16.8–23.6)	390	<sup>6</sup> 21.7 (16.3–28.0)	497	<sup>6</sup> 18.7 (14.3–23.8)
More than 130% through 350% FPL	1,305	17.7 (14.8–20.9)	644	18.5 (14.7–22.8)	661	17.1 (12.5-22.4)
More than 350% FPL Education:	1,062	10.9 (8.3–14.0)	543	13.7 (10.0–18.2)	519	<sup>1</sup> 8.1 (5.6–11.3)
Less than high school diploma	730	<sup>7</sup> 22.7 (19.1–26.7)	375	<sup>7</sup> 21.7 (16.4–27.8)	355	<sup>7</sup> 24.0 (17.9–31.0)
High school diploma or some college	2.103	15.7 (13.3–18.3)	992	16.3 (13.0–20.1)	1.111	15.2 (12.2–18.5)
College degree or above	921	10.4 (7.7–13.7)	447	14.2 (9.6–19.8)	474	<sup>1</sup> 6.8 (4.3–10.2)
Weight status:8		, - ,		,,		, - /
Normal weight (BMI 18.5–24.9)	892	<sup>9</sup> 6.6 (4.0–10.0)	420	<sup>9</sup> 9.0 (4.6–15.5)	472	<sup>9</sup> 4.9 (2.5–8.5)
Overweight (BMI 25.0–29.9)	1,193	10.3 (8.4–12.3)	669	12.7 (9.3–16.8)	524	<sup>1</sup> 7.4 (4.9–10.7)
Obesity (BMI 30.0 and higher)	1,565	23.3 (20.3–26.6)	677	24.0 (19.7–28.8)	888	22.8 (18.3–27.8)

<sup>\*</sup> Estimate does not meet National Center for Health Statistics standards of reliability.

NOTES: Diabetes is defined as having answered "yes" to a question asking if they have been told they have diabetes, have a fasting plasma glucose greater than or equal to 126 mg/dL, or have a hemoglobin A1c greater than or equal to 6.5%. Fasting weights were used. Except where reported as crude estimates, estimates were age adjusted by the direct method to the projected U.S. Census 2000 population using the age groups 20–39, 40–59, and 60 and over. Statistical comparisons were not performed on crude estimates. Pregnant women aged 20–44 years were excluded from the analysis. BMI (body mass index) is measured in kg/m².

<sup>\*</sup> Estimate does not meet National Center for Health Statistics standards of relia Significantly different from men.

Significantly increasing trend with increasing age.

Significantly different from non-Hispanic black adults.

Significantly different from Hispanic Asian adults.

Significantly different from Hispanic adults.

Significantly decreasing trend with increasing family income.

Significantly decreasing trend with increasing education.

Pregnant women of all ages were excluded from the analysis by weight status.

Significantly increasing trend with increasing weight status.

<sup>&</sup>lt;sup>9</sup>Significantly increasing trend with increasing weight status.

Table 9. Prevalence of adults aged 65 and over with complete tooth loss, by demographic characteristics: United States, 2017-March 2020

		Both sexes		Men	Women		
Characteristic	Sample size	Prevalence percentage (95% confidence interval)	Sample size	Prevalence percentage (95% confidence interval)	Sample size	Prevalence percentage (95% confidence interval)	
Total (age adjusted)	2,075	13.8 (10.6–17.6)	1,058	14.0 (10.4–18.3)	1,017	13.6 (10.2–17.7)	
Total (crude)	2,075	13.2 (9.9–17.2)	1,058	13.4 (9.7–17.8)	1,017	13.1 (9.6–17.4)	
Age group (years):							
65–69	656	<sup>1</sup> 10.6 (6.6–15.8)	331	12.2 (6.1–21.2)	325	<sup>1</sup> 9.1 (5.1–14.7)	
70–74	530	10.8 (7.4–15.1)	276	<sup>2</sup> 9.5 (5.7–14.6)	254	11.9 (7.0–18.3)	
75 and over	889	17.3 (13.1–22.1)	451	17.4 (12.5–23.3)	438	17.2 (12.4–22.8)	
Race and Hispanic origin:		,		,		,	
Non-Hispanic white	1,040	<sup>3</sup> 12.3 (8.0–17.9)	541	<sup>3</sup> 12.3 (7.7–18.3)	499	12.3 (7.7-18.3)	
Non-Hispanic black	491	21.0 (14.9-28.3)	251	<sup>4</sup> 22.5 (16.3–29.9)	240	20.2 (12.4-30.1)	
Non-Hispanic Asian	157	*12.7 (5.7–23.2)	68	*9.3 (2.3-23.5)	89	15.8 (7.7–27.5)	
Hispanic	315	18.3 (13.2-24.3)	150	15.8 (8.8–25.2)	165	19.9 (13.7-27.4)	
Family income relative to federal poverty level (FPL):							
130% or less FPL	408	<sup>5</sup> 27.8 (22.8–33.2)	201	<sup>5</sup> 25.1 (18.5–32.7)	207	<sup>5</sup> 29.2 (23.1-35.9)	
More than 130% through 350% FPL	811	16.8 (11.3–23.6)	410	19.8 (14.2–26.4)	401	<sup>6</sup> 14.7 (9.0–22.1)	
More than 350% FPL	551	*5.8 (2.6–10.9)	295	*5.2 (1.7–11.6)	256	*6.7 (2.6-13.7)	
Education:		-		•			
Less than high school diploma	470	<sup>7</sup> 31.5 (26.0–37.4)	246	<sup>7</sup> 31.9 (23.2–41.6)	224	<sup>7</sup> 31.1 (24.2–38.7)	
High school diploma or some college	1,125	15.0 (10.8–20.1)	547	17.1 (11.6–23.7)	578	13.5 (9.7-18.2)	
College degree or above	471	2.7 (1.1–5.4)	260	1.7 (0.5–4.1)	211	*3.9 (1.4-8.4)	

<sup>\*</sup> Estimate does not meet National Center for Health Statistics standards of reliability.

NOTES: Complete tooth loss in adults is defined here as having no natural tooth, no dental root fragment, and no implanted tooth present and was based on an assessment of 28 teeth, excluding third molars. Except where reported as crude estimates, estimates were age adjusted by the direct method to the projected U.S. Census 2000 population using the age groups 65–69, 70–74, and 75 and over. Statistical comparisons were not performed on crude estimates.

Significantly increasing trend with increasing age.
Significantly different from those aged 75 and over.
Significantly different from non-Hispanic black adults.
Significantly different from non-Hispanic Asian adults.
Significantly decreasing trend with increasing family income.
Significantly different from men.

<sup>&</sup>lt;sup>7</sup>Significantly decreasing trend with increasing education.

### **Technical Notes**

### Definitions of health outcomes

### Body mass index, obesity, and severe obesity

Height and weight were measured using a standard protocol. Body mass index (BMI) was calculated as weight in kilograms divided by height in meters squared, rounded to one decimal place. Obesity in adults aged 20 and over was defined as a BMI of greater than or equal to 30 kg/m<sup>2</sup>, while severe obesity was defined as a BMI of greater than or equal to 40 kg/m<sup>2</sup>. Obesity in children and adolescents aged 2-19 years was defined as a BMI of greater than or equal to the age- and sex-specific 95th percentile of the 2000 Centers for Disease Control and Prevention Clinical Growth Charts. Although these definitions of obesity and severe obesity are based on BMI, this is not a direct measure of body fat. Body composition varies by sex, age, and race and Hispanic origin and affects the relationship between BMI and body fat. Health risks may vary among different groups at the same BMI.

### Hypertension

All blood pressure (BP) readings were obtained during a single examination. After a 5-minute rest in a seated position, participants had up to three brachial systolic and diastolic BP measurements taken 1 minute apart. An average of up to three systolic and diastolic BP readings were used for systolic and diastolic BP values. During the in-home interview, participants were asked whether they currently take a medication to lower high BP. Criteria from the American College of Cardiology and the American Heart Association were used to define hypertension. Hypertension was defined here as a measured systolic BP greater than or equal to 130 mm Hg, a measured diastolic BP greater than or equal to 80 mm Hg, or currently taking a medication to lower high BP.

#### **Diabetes**

During the in-home interview, participants were asked "Other than during pregnancy, have you ever been told by a doctor or health professional that you have diabetes or sugar diabetes?" Participants were randomly assigned to a morning, afternoon, or evening examination. Fasting plasma glucose (from those assigned to the morning examination, after an 8- to 24-hour fast) and hemoglobin A1c were measured from venous blood samples, collected in the mobile examination center (MEC) from participants using standardized protocols. Plasma fasting glucose values reported in 2017-March 2020 prepandemic data were already adjusted using a forward regression equation for comparability as described in the data documentation. Criteria from the American Diabetes Association were used to define diabetes. Diabetes was defined here as those who had at least one of the following: a) answered "yes" to the question about being told that they have diabetes, b) had a fasting plasma glucose greater than or equal to 126 mg/dL, or c) had a hemoglobin A1c greater than or equal to 6.5%. This definition includes both those with previously diagnosed diabetes and undiagnosed diabetes. Two participants who did not answer the interview question about having diabetes and 10 participants who did not have hemoglobin A1c values were excluded.

### Dental caries and complete tooth loss

The National Health and Nutrition Examination Survey (NHANES) includes an oral health examination in the MEC. Trained, licensed dentists conduct the oral health examination. Among the components of this examination were a tooth count assessment and a comprehensive dental surface-by-dental surface evaluation. During the tooth count assessment, the presence of a tooth, whether it was a permanent or primary tooth, and if the root tip was present were noted. During the dental surface-by-dental surface evaluation, the presence of untreated caries and dental restorations was assessed. The presence of dental caries is defined as having untreated or restored dental caries in

one or more primary or permanent teeth. Missing teeth due to dental disease are not included in this definition. Complete tooth loss in adults is defined here as having no natural tooth, no dental root fragment, and no implanted tooth present. Measures for permanent teeth were based on 28 teeth, excluding third molars.

### **Definitions of covariates**

### Age

For childhood and adolescent obesity and dental caries, age categories were defined as 2-5, 6-11, and 12-19 years. For adult obesity, severe obesity, and diabetes, age categories were defined as 20-39, 40-59, and 60 and over. For adult hypertension, age categories were defined as 18-39, 40-59, and 60 and over. For tooth loss in older adults, age categories were defined as 65–69, 70–74, and 75 and over. For childhood obesity, age was defined using age at the time of examination (this age information is not available in publicly released data files to protect participant confidentiality). For all other health outcomes, age was defined using age at interview. For adults and older adults, these same age groupings were used for age adjustment.

### Race and Hispanic origin

Race and Hispanic origin were categorized as non-Hispanic white, non-Hispanic black, non-Hispanic Asian, and Hispanic. Participants who were categorized as other race, including multiracial, were included in total estimates but are not shown separately.

### Family income

Family income was defined as the percentage of family income relative to the federal poverty level (FPL), which accounts for inflation, family size, and geographic location. Categories were 130% or less, more than 130% through 350%, and more than 350% of the FPL.

#### **Education**

Education was defined for adults aged 20 and over as the highest degree or grade finished. Categories were defined as: a) less than high school diploma,

b) high school diploma or equivalent, associate's degree, or some college, and c) college degree or above. Information on education of those under age 20 is not available publicly in this data set, given concerns for disclosure risk. Those under 20 were not included in estimates by education.

### Weight status

Weight status categories were used as covariates for some outcomes and defined for adults as normal weight (BMI  $18.5-24.9 \text{ kg/m}^2$ ), overweight (BMI  $25.0-29.9 \text{ kg/m}^2$ ), and obesity (BMI  $30.0 \text{ kg/m}^2$  and higher).

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