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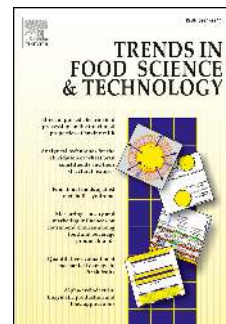
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# Accepted Manuscript

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1 **Natural products for glycaemic control: Polyphenols as inhibitors of**  
2 **alpha-amylase**

3

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23 **Abstract**

24 *Background:*  $\alpha$ -Amylase plays an important role in starch digestion, the main source  
25 of exogenous glucose in the human diet. Retarding glucose absorption through  
26 delaying digestion of starchy foods by inhibiting  $\alpha$ -amylase in the digestive tract has  
27 potential as a management and/or therapeutic approach to type II diabetes.  
28 Polyphenols have been reported to have inhibitory activity against the enzyme.

29 *Scope and approach:* This review provides an overview of structure-activity  
30 relationships of dietary polyphenols inhibiting  $\alpha$ -amylase and the underlying  
31 mechanisms. The methods applied to characterize binding interactions between  
32 polyphenols and  $\alpha$ -amylase, as well as the relationships between the constants  
33 obtained from these methods are discussed. As polyphenols can interact with both  
34 polysaccharides and  $\alpha$ -amylase, the potential effects of polysaccharides on the binding  
35 of polyphenols with  $\alpha$ -amylase are also summarised.

36 *Key findings and conclusions:* The inhibition of  $\alpha$ -amylase by polyphenols results  
37 from binding interactions between the enzyme and polyphenols. The galloyl moiety in  
38 polyphenols plays an important role.  $IC_{50}$ , inhibition kinetics, fluorescence quenching,  
39 differential scanning calorimetry, isothermal titration calorimetry and molecular  
40 docking can be comprehensively combined to analyze the binding interactions, as the  
41 constants obtained from these methods can be correlated. Soluble polysaccharides  
42 may reduce the binding and inhibitory action of polyphenols against  $\alpha$ -amylase. Most  
43 work reported in this review is from *in vitro* studies, so if and how the binding  
44 interactions affect starch digestion *in vivo* need to be further studied.

45 Keywords: Enzyme inhibition; kinetic analysis; fluorescence quenching; isothermal  
46 titration calorimetry; molecular binding; starch

47

## 48 **1 Introduction**

49 In recent years, some food and plant extracts have been reported to effectively  
50 inhibit  $\alpha$ -amylase, the mammalian digestive enzyme which catalyses the first step of  
51 starch breakdown in the digestive tract. The main components exerting the inhibitory  
52 activity in such extracts are typically polyphenols (Sun et al., 2018). The inhibition of  
53  $\alpha$ -amylase by a polyphenol is highly related with its molecular structure, as the  
54 inhibition results from binding interactions between polyphenols and the enzyme  
55 (Jakobek, 2015; Lo Piparo et al., 2008; Sun, Warren, et al., 2016). The interaction  
56 forces between polyphenols and  $\alpha$ -amylase are expected to include hydrogen bonding  
57 (between hydroxyl groups and the enzyme catalytic active site) and hydrophobic force  
58 (between aromatic rings of polyphenols and tryptophan residues of  $\alpha$ -amylase) (Lo  
59 Piparo, et al., 2008). Electron delocalization between C=C (or C=O) and aromatic  
60 rings in polyphenols has been reported to enhance hydrophobic ( $\pi$ - $\pi$ ) interactions with  
61  $\alpha$ -amylase (Lo Piparo, et al., 2008; Xiao et al., 2013). The relationship between  
62 polyphenol structure and inhibitory activity has been investigated and reviewed for  
63 some polyphenol types, *e.g.* flavonoids (Desseaux et al., 2018; Lo Piparo, et al., 2008;  
64 Xiao, et al., 2013), but there are other polyphenol molecular structures that exhibit  
65 inhibitory activity and need to be further reviewed. Together with detailed inhibition

66 and biophysical studies, this can lead to a better definition of structure-activity  
67 mechanisms.

68 Classical methods to characterize the binding interactions between dietary  
69 polyphenols and  $\alpha$ -amylase include Lineweaver-Burk kinetic analysis, fluorescence  
70 quenching (FQ) and molecular docking, from which Michaelis constant ( $K_m$ ),  
71 fluorescence quenching constant ( $K_{FQ}$ ) and binding energy ( $E_b$ ) can be obtained,  
72 respectively (Fei et al., 2014; Miao et al., 2013). Recently, inhibition kinetics,  
73 isothermal titration calorimetry (ITC), differential scanning calorimetry (DSC) and  
74 circular dichroism (CD) have also been applied to study the binding of polyphenols  
75 with  $\alpha$ -amylase. From these measurements, inhibition constants (including  
76 competitive inhibition constant,  $K_{ic}$  and uncompetitive inhibition constant,  $K_{iu}$ ),  
77 binding constant ( $K_{itc}$ ), thermal stability and secondary structure contents can be  
78 obtained, respectively (Cai et al., 2015; Liu et al., 2017; Sun, Gidley, & Warren, 2017).  
79 The correlation between the constants obtained from these different methodologies as  
80 well as how these approaches are reasonably combined to characterize the binding  
81 interactions between polyphenols and  $\alpha$ -amylase need to be reviewed and elucidated.

82 Food, as a complex system, contains starch, proteins, lipids, polysaccharides,  
83 polyphenols, *etc.* Particularly, aqueous plant extracts often contain both soluble  
84 polyphenols and polysaccharides, and the two compounds have been suggested to  
85 interact with each other (Chamorro et al., 2012). Other components are also consumed  
86 together with polyphenol-containing plant extracts when eating foods. Therefore, the  
87 effects of other food components, such as polysaccharides and proteins on inhibition

88 of  $\alpha$ -amylase by dietary polyphenols should be analyzed to comprehensively evaluate  
89 the inhibitory activity of functional foods with phenolic compounds as the key  
90 constituents.

91 Here we summarise molecular structure-inhibitory activity studies of polyphenols  
92 and the corresponding mechanisms. Then, correlations between constants obtained  
93 from multiple techniques indicating interactions of polyphenols with  $\alpha$ -amylase are  
94 elucidated to define a set of methods to analyze binding interactions. Potential  
95 influences of other food components (*e.g.* polysaccharides) on the binding interactions  
96 between polyphenols and  $\alpha$ -amylase are also suggested, along with some suggested  
97 methods to study them.

## 98

## 99 **2 Relationships between phenolic structure and inhibitory activity against**

## 100 **$\alpha$ -amylase**

101 Although the structure-inhibition relationships for some dietary phenolic  
102 compounds, *e.g.* flavonoids against  $\alpha$ -amylase have been reviewed previously (Lo  
103 Piparo, et al., 2008; Xiao, et al., 2013), there have been recent studies on potential  
104 mechanisms underlying structure-activity relationships that deserve further review.

### 105 **2.1 $\alpha$ -Amylase**

106  $\alpha$ -Amylase is an enzyme that hydrolyses  $\alpha$ -1,4-glucan polysaccharides, such as  
107 starch and glycogen, in an endo action producing primarily maltose and  
108 maltooligosaccharides prior to production of glucose. The frequency and magnitude  
109 of excursions in blood glucose trigger insulin response, which is highly related with

110 type II diabetes.  $\alpha$ -Amylase is the form of amylase found in mammals. It also exists in  
111 plant seeds containing starch as a food reserve (Mundy & Rogers, 1986), and is  
112 commonly secreted by bacteria (Mohapatra, Banerjee, & Bapuji, 1998). In the  
113 three-dimensional structure determined by X-ray crystallography (Fig.1A), there are  
114 four secondary structure motifs in the enzyme, including  $\alpha$ -helix,  $\beta$ -sheet,  $\beta$ -turn and  
115 random coil (Cai, et al., 2015). At the active site of  $\alpha$ -amylase (Fig.1B), there are  
116 calcium and chloride ions, which are essential for maintenance of the tertiary structure  
117 and catalytic activity of the enzyme (Buisson et al., 1987). Porcine pancreatic  
118  $\alpha$ -amylase (PPA) is often used to study catalytic digestion of starch *in vitro*. There are  
119 496 amino acid residues in the single polypeptide chain of the enzyme (Qian, Haser,  
120 & Payan, 1993). Through modeling based on the X-ray crystallographic structure,  
121 Asp<sup>300</sup>, Asp<sup>197</sup> and Glu<sup>233</sup> are considered as essential catalytic residues at the active  
122 site of PPA (Fig.1B) (MacGregor, Janeček, & Svensson, 2001). In addition, some  
123 aromatic residues, like Trp<sup>58</sup>, Trp<sup>59</sup> and Tyr<sup>62</sup> are stacking features at the entrance of  
124 the active site (Qian, Haser, & Payan, 1993). Notably, amino acid residues at the  
125 active site of  $\alpha$ -amylase are proposed to be in positions where the main interactions  
126 between (polyphenol) inhibitors and the enzyme are thought to occur (Miao et al.,  
127 2015).

## 128 **2.2 Flavonoids**

129 Hydroxyl (-OH) groups are essential for the inhibitory activity of flavonoid  
130 compounds against  $\alpha$ -amylase, as the inhibition is likely to depend on the formation  
131 of hydrogen bonds between -OH groups of phenolics and side chains of amino acids



132 (such as Asp<sup>197</sup> and Glu<sup>233</sup>) at the active site of  $\alpha$ -amylase (Kawamura-Konishi et al.,  
133 2012; Lo Piparo, et al., 2008). Flavonoids without substitution of -OCH<sub>3</sub> at -OH in  
134 their molecular structures (Fig.2) are more likely to be effective inhibitors of  
135  $\alpha$ -amylase than those with such substitution patterns (Al-Dabbas et al., 2006; Lo  
136 Piparo, et al., 2008). To support the role of hydroxyl groups, quercetagenin and  
137 scutellarein were demonstrated as potential  $\alpha$ -amylase inhibitors, with IC<sub>50</sub> values of  
138 10.2 and 9.64  $\mu$ M, respectively (Lo Piparo, et al., 2008). The molecular structures of  
139 both flavonoids have hydroxyl groups at each of positions 5, 6, 7 in the A ring as well  
140 as 4' in the B ring (Fig.2). By contrast, the IC<sub>50</sub> values of some other flavonoids  
141 (kaempferol, apigenin, naringenin, daidzein and catechin) with less hydroxyl groups  
142 in their molecular structures (Fig.2) were much higher (0.5 mM-6.0 mM) than  
143 quercetagenin and scutellarein (Barrett et al., 2013; Tadera et al., 2006; Wang, Du, &  
144 Song, 2010). Therefore, these confirm the role of flavonoid hydroxyl groups in  
145 inhibiting enzyme activity.

146 The 2,3-double bond in ring C (Fig.2) also affects the inhibitory activity of  
147 flavonoids to some extent. This double bond is conjugated with the 4-carbonyl group  
148 (Fig.2), which enhances electron delocalization between ring C and ring A; therefore,  
149 it has been proposed that the benzopyrone system (rings A and C) can form a highly  
150 stable conjugated  $\pi$ - $\pi$  system with the indole ring of Trp<sup>59</sup> at the active site of  
151  $\alpha$ -amylase, promoting its binding to  $\alpha$ -amylase and reducing the catalytic activity (Lo  
152 Piparo, et al., 2008). Hydrogenation of the 2,3-double bond of flavonoids not only  
153 weakens the conjugation but also transforms the near-planar molecular structure

154 (flavonol and flavone, Fig.2) to a more flexible and non-planar stereochemical  
155 structure (flavanone and flavanols, Fig.2) (Todorova et al., 2013), reducing the  
156 binding ability with  $\alpha$ -amylase due to steric hindrance. As a consequence, flavanone  
157 and flavanol compounds show weaker inhibition of  $\alpha$ -amylase than some flavonols  
158 and flavones (Kim, Kwon, & Son, 2000; Lo Piparo, et al., 2008; Wang, Du, & Song,  
159 2010)

160 There are also glycosylated forms of flavonoids in plant extracts (da Silva et al.,  
161 2013). Quercetin (Fig.2) is a flavonol (without glycosylation) that has been shown to  
162 possess competitive inhibitory activity against  $\alpha$ -amylase (Li et al., 2009). There are  
163 some monoglycoside forms of quercetin, such as quercitrin (rhamnoside), hyperin  
164 (galactoside), guajaverin (arabinopyranoside) and avicularin (arabinofuranoside),  
165 with rutin (rhamnosylglucoside) as a disaccharide form. The inhibiting activity of  
166 these glycosylated quercetin molecules against  $\alpha$ -amylase was determined to be  
167 quercetin > guajaverin > avicularin > hyperin > rutin (Li, et al., 2009; Ye et al., 2010).  
168 Komaki et al. (2003) also measured the  $IC_{50}$  value of luteolin (Fig.2) (0.01 mg/mL)  
169 against  $\alpha$ -amylase, and found it much lower than that of luteolin-7-*O*- $\beta$ -glucoside (0.5  
170 mg/mL) and luteolin-4'-*O*- $\beta$ -glucoside (0.3 mg/mL). Besides, the inhibiting effect of  
171 kaempferol (Fig.2) on  $\alpha$ -amylase was much stronger than its glycoside form  
172 (kaempferol-3-*O*- $\beta$ -D-diglucoside) (Ye, et al., 2010). Therefore, glycosylation on  
173 flavonoids is commonly observed to decrease the inhibitory activity. The potential  
174 reasons for the inhibition change are as follows: (i) Spatial structures of  
175 flavonoid-glycosides are transformed to bulky non-planar from near-planar, limiting

176 the ability to enter the hydrophobic (active) site of  $\alpha$ -amylase due to steric hindrance  
177 effects, and (ii) as an -OH group is substituted by a glycoside, the group affinity for  
178 protein is correspondingly affected; therefore, the glycosylation of flavonoids  
179 decreases their binding affinity to  $\alpha$ -amylase.

180

181

### 182 **2.3 Phenolic acids**

183 Phenolic acids, an important polyphenol class, are aromatic phenols of secondary  
184 plant metabolites with a carboxylic acid functional group. They are widely distributed  
185 throughout the plant kingdom (Shahidi, Janitha, & Wanasundara, 1992). The natural  
186 plant phenolic acids mainly contain two molecular groups: hydroxycinnamic and  
187 hydroxybenzoic acids (Fig.3). For individual molecular groups, although the essential  
188 structure remains the same, the substituents (hydroxyl and methoxyl groups) on the  
189 aromatic ring contribute to distinct molecular properties, such as polarity, stability and  
190 binding.

191 It has been reported that hydroxybenzoic acids (Fig.3), like vanillic acid and  
192 salicylic acid hardly show any inhibitory activity against  $\alpha$ -amylase (McDougall et al.,  
193 2005; Sharma, Sharma, & Rai, 1986), while hydroxycinnamic acids (Fig.3) show  
194 inhibition (Narita & Inouye, 2011). For hydroxycinnamic acids, the C=C double  
195 bonds in the molecular structure are conjugated with the carbonyl group and are  
196 responsible for electron transfer between the acrylic acid and benzene ring moieties.  
197 As a consequence, hydroxycinnamic acids could form a highly conjugated system

198 which stabilizes the compounds when binding to the active site of  $\alpha$ -amylase. Caffeic  
199 acid (Fig.3) has been reported to have a relatively strong inhibitory activity ( $IC_{50}=0.4$   
200 mM). However, both dehydroxylation and methylation of caffeic acid lowered its  
201 inhibiting activity against  $\alpha$ -amylase, although these compounds are still structures  
202 with a delocalized  $\pi$ -system established through carbonyl, C=C double bonds and  
203 benzene (Narita & Inouye, 2011). The  $IC_{50}$  of quinic acid was determined as 26.5 mM,  
204 much higher than chlorogenic acids and caffeic acid. Although there are 4 hydroxyl  
205 groups in the quinic acid structure, it has no strong conjugated system (Fig.3).  
206 Therefore, both conjugated structural features and multiple hydroxyl groups are  
207 essential for hydroxycinnamic acids to show inhibition of  $\alpha$ -amylase.

208 Chlorogenic acids are a set of esters between quinic acid and one or more cinnamic  
209 acid derivatives like caffeic acid, ferulic acid, and *p*-coumaric acid (Fig.3). They are  
210 widely distributed in e.g. green coffee beans, and include three main classes:  
211 caffeoylquinic acids, dicaffeoylquinic acids and feruloylquinic acids (Clifford et al.,  
212 2006). Narita et al. (2011) investigated the  $\alpha$ -amylase inhibitory activity of 16 kinds  
213 of chlorogenic acids and cinnamate derivatives from green coffee beans. It was found  
214 that methylation of the 3-OH group on caffeoylquinic acids (converting 3-, 4-, and  
215 5-caffeoylquinic acids to 3-, 4-, and 5-feruloylquinic acids, respectively, Fig.3)  
216 decreased their inhibition effects against porcine pancreatic  $\alpha$ -amylase isozyme I,  
217 possibly as a result of weakened hydrogen bonds between feruloylquinic acids (3-, 4-,  
218 and 5-feruloylquinic acids) and amino acid residues of the enzyme. This shows a  
219 similar trend as methylation of flavonoids. Among the chlorogenic acids tested,

220 dicaffeoylquinic acids (Fig.3) showed strongest inhibitory activity, with  $IC_{50}$  values  
221 for 3,4-, 4,5-, and 3,5-dicaffeoylquinic acids of 0.02, 0.02, and 0.03 mM, respectively  
222 (Narita & Inouye, 2009). The potential reasons for their comparatively effective  
223 inhibition are (i) the dicaffeoyl groups provide more hydroxyl groups which are  
224 essential for inhibition due to the formation of hydrogen bonds between hydroxyl  
225 groups and the active catalyzing site, and/or (ii) there is an extra carbonyl, C=C  
226 double bond, and benzene ring in dicaffeoylquinic acids than caffeoylquinic acids. As  
227 a consequence, dicaffeoyl molecules are more electron-rich with  $p-\pi$  (between double  
228 bonds and benzene) and  $\pi-\pi$  (carbonyl and double bonds) conjugated systems, leading  
229 to potentially stronger  $\pi$ -interactions with the indole ring of Trp<sup>59</sup> (Lo Piparo, et al.,  
230 2008).

231 Tannic acid (TA) is a common family of secondary metabolites in higher plants.  
232 The chemical structure for standard TA is decagalloyl glucose (Fig.3), but in fact it is  
233 a mixture of polygalloyl glucoses or polygalloyl quinic acid esters with 2-12 galloyl  
234 moieties per molecule depending on plant source. There are some studies reporting  
235 that TA has inhibitory activity against  $\alpha$ -amylase *in vitro* (Kandra et al., 2004). The  
236  $IC_{50}$  of TA was determined as 0.301 mg/mL, and it was found to demonstrate  
237 competitive inhibition (Sun, et al., 2016). Besides, the optimum pH value for  
238 pancreatic  $\alpha$ -amylase is around 7.0 (Coronado et al., 2000), but the  $PK_a$  of the  
239 phenolic carboxylic acid proton is between 4 and 5, making its aqueous solution acid  
240 (pH<7.0); therefore, the catalytic activity of  $\alpha$ -amylase *in vitro* may be also partly  
241 inhibited due to the unfavorable acidity (Nielsen, Borchert, & Vriend, 2001). On the

242 other hand, the loss or decrease of the ability to inhibit  $\alpha$ -amylase *in vivo* may occur  
243 for TA. This may be because: (i) TA may be oxidized by oxygen and oxygen-derived  
244 radicals in the stomach and/or (ii) TA can interact or bind with proteins present in  
245 foods and stomach digesta before reaching the small intestine where starch is  
246 hydrolyzed by pancreatic  $\alpha$ -amylase. In addition, orally-taken TA may cause  
247 astringent and unpleasant bitter taste due to interactions with salivary proteins. To  
248 avoid these unacceptable properties, microencapsulation systems for TA have been  
249 developed to control its release and to improve inhibition in the gastrointestinal tract  
250 (Xing et al., 2004).

#### 251 **2.4 Galloyl moiety**

252 The galloyl group is a common substituent of polyphenols, especially tea  
253 polyphenols. Catechin (C), epicatechin (EC), epigallocatechin (EGC),  
254 epigallocatechin gallate (EGCG), epicatechin gallate (ECG), theaflavin (TF),  
255 theaflavin-3'-gallate (TF1), theaflavin-3, 3'-digallate (TF2) (Fig.4) are the prominent  
256 polyphenols in aqueous green, oolong or black tea extracts (Sun, et al., 2016). The  
257 inhibitory activities of tea polyphenols against  $\alpha$ -amylase have been studied, and the  
258 results show that polyphenols with a galloyl moiety in their molecular structures have  
259 greater enzyme inhibition than those without a galloyl moiety, indicated by  $IC_{50}$   
260 values (Miao, et al., 2015; Miao, et al., 2013; Sun, et al., 2016). The binding of tea  
261 polyphenols with  $\alpha$ -amylase has been studied through inhibition kinetics  
262 (Lineweaver-Burk, Dixon and Cornish-Bowden equations), fluorescence quenching,  
263 isothermal titration calorimetry and molecular docking methods, confirming that the

264 binding of galloylated polyphenols with  $\alpha$ -amylase is higher than for non-galloylated  
265 polyphenols (Miao, et al., 2013; Sun, Gidley, & Warren, 2017; Sun, et al., 2016).  
266 These results indicate that the higher inhibitory activities of galloylated polyphenols  
267 are attributed to the greater binding of the polyphenols with the enzyme.

268 It should be noted that for the theaflavin family in black tea, the number of galloyl  
269 groups at the 3-position on the C ring or the corresponding 3'-position on the C' ring  
270 in the TF, TF1 and TF2 molecular structure is 0, 1, and 2, respectively (Fig.4). The  
271 inhibitory potency is enhanced as the number of galloyl moieties increased.  
272 Additionally, ECG and EGCG, the respective 3-gallate forms of EC and EGC (Fig.4),  
273 have inhibitory activities against the enzyme around 5 times greater than EC and EGC,  
274 respectively (Sun, Gidley, & Warren, 2017). Each galloyl group provides three  
275 hydroxyl groups that can potentially interact with the catalytic amino acid side-chains  
276 of  $\alpha$ -amylase (Asp<sup>197</sup>, Glu<sup>233</sup> and Asp<sup>300</sup>) through formation of hydrogen bonds (Fei,  
277 et al., 2014), and the benzene ring may develop hydrophobic  $\pi$ - $\pi$  (aromatic-aromatic)  
278 interactions at the active site of the enzyme (Miao, et al., 2013). In addition, in the  
279 galloyl group, the C=O double bond is conjugated to the benzene ring and is  
280 responsible for electron delocalization, which has been proposed to lead to enhanced  
281  $\pi$ - $\pi$  interactions with the indole ring of Trp<sup>59</sup> of  $\alpha$ -amylase (Lo Piparo, et al., 2008).  
282 Therefore, 3 or 3'-galloyl groups on the C or C' ring are likely to be responsible for  
283 enhancing the inhibitory activities of catechins and theaflavins against  $\alpha$ -amylase.

284

285 **3 Methods used to characterize interactions between polyphenols and  $\alpha$ -amylase**

### 286 3.1 Inhibition of $\alpha$ -amylase

287 *In vitro* assays to measure enzyme inhibition are relatively convenient to conduct.  
288 Generally, a real or synthetic substrate at a physiological concentration is used with  
289  $\alpha$ -amylase, and the assay is carried out at a suitable pH value. The inhibition is  
290 assayed based on the determination of initial amylase-catalyzed reaction velocity  
291 against a starch substrate in the absence and presence of polyphenols that can be  
292 monitored through iodo-starch reaction, reducing sugars method,  
293 *p*-nitrophenyl- $\alpha$ -D-maltoside method or fluorescently-labelled starch method (Fei, et  
294 al., 2014; Karim, Holmes, & Orfila, 2017; Kawamura-Konishi, et al., 2012; Warren et  
295 al., 2011). Then, the inhibitory activity of a polyphenol is typically described by its  
296 half inhibitory concentration value,  $IC_{50}$ . It should be noted that the  $IC_{50}$  value, for a  
297 competitive inhibitor, as many polyphenols are, can only be considered a value for  
298 comparative purposes within a given study, or with reference to a known inhibitor  
299 such as acarbose. This is because the  $IC_{50}$  value is dependent on the competition  
300 between inhibitor and substrate for enzyme active site, and is therefore dependent not  
301 only on the inhibitor concentration, but also on the substrate concentration. The  
302 consequence of this is that  $IC_{50}$  values for competitive inhibitors are highly dependent  
303 on the assay conditions used, and specifically substrate concentration, making direct  
304 comparison between studies difficult. Therefore, more detailed kinetics analyses are  
305 required to reproducibly characterize the inhibitory activity of competitive enzyme  
306 inhibitors such as polyphenols.

### 307 3.2 Inhibition kinetics



308 Usually, the Lineweaver-Burk plot, a double-reciprocal plot of the  
309 Michaelis-Menten equation is applied in inhibition analysis to identify the inhibition  
310 type, maximum initial reaction velocity ( $V_{max}$ ) and Michaelis constant ( $K_m$ )  
311 (Lineweaver & Burk, 1934). In practice, there are commonly experimental and  
312 statistical errors when applying the Lineweaver-Burk equation, which may affect the  
313 full fitting of the plot (Fei, et al., 2014; Yang, He, & Lu, 2014).

314 Although the Lineweaver-Burk equation can give the  $V_{max}$  and  $K_m$ , there is a  
315 relatively large error in this plot when an inappropriate range of substrate  
316 concentrations is used, particularly for very low substrate concentrations relative to  
317  $K_m$ . Also, the Lineweaver-Burk plot cannot distinguish between uncompetitive,  
318 non-competitive and mixed-type inhibition (Cornish-Bowden & Eisenthal, 1974).  
319 Therefore, additional inhibition analysis methods may be used to assist in analyzing  
320 the kinetics of inhibition. The use of a Dixon plot, in which the reciprocal of initial  
321 reaction velocity ( $1/v$ ) is plotted against inhibitor concentration ( $i$ ) at various substrate  
322 concentrations ( $a$ ), along with a Cornish-Bowden plot, plotting  $a/v$  against  $i$  at several  
323 values of  $a$ , are useful in the case that the interaction between inhibitor and enzyme is  
324 more complex than competitive or uncompetitive inhibition mechanisms  
325 (Cornish-Bowden & Eisenthal, 1974). In addition, the inhibition type, competitive  
326 ( $K_{ic}$ ) and uncompetitive inhibition constant ( $K_{iu}$ ) can be obtained by use of these plots.  
327 As defined,  $K_{ic}$  suggests the dissociation of an inhibitor-enzyme complex; therefore  
328  $1/K_{ic}$  demonstrates the association of an inhibitor with enzyme (competitive  
329 inhibition). Similarly,  $1/K_{iu}$  describes the binding of an inhibitor-enzyme-substrate

330 ternary complex (uncompetitive inhibition). It should be noted that a lower  $K_{ic}$  value  
331 means a shift of equilibrium position in favour of enzyme-inhibitor complex, or in  
332 other words, it means the inhibitor binds more tightly with the enzyme  
333 (Cornish-Bowden & Eisenthal, 1974).

334 Inhibition kinetics, including Lineweaver-Burk, Dixon and Cornish-Bowden plots  
335 have been applied to analyse the inhibition mechanisms of polyphenols against  
336  $\alpha$ -amylase. It was found that EGCG, TF2 and TA are competitive inhibitors of  
337  $\alpha$ -amylase, while ECG, TF1 and TF are mixed-type inhibitors that have both  
338 competitive and uncompetitive inhibition characteristics (Sun, et al., 2016).  
339 Additionally, the galloyl moiety was found to play an important role in binding  
340 (association) of catechins and theaflavins with the enzyme (Sun, Gidley, & Warren,  
341 2017). Correlations between  $IC_{50}$  and inhibition constants ( $K_{ic}$  and  $K_{iu}$ ) for tea  
342 polyphenols were established in the above study (Fig.5A). It was found that there is a  
343 positive linear relationship between  $IC_{50}$  and  $K_{ic}$  and between  $IC_{50}$  and  $K_{iu}$  for the tea  
344 polyphenols (Sun, et al., 2016), indicating that the inhibition of polyphenols against  
345  $\alpha$ -amylase results from binding (association) of polyphenols with the enzyme, and  
346 that  $IC_{50}$  and inhibition kinetics ( $K_{ic}$  and  $K_{iu}$ ) can be combined to characterize the  
347 interactions between dietary polyphenols and  $\alpha$ -amylase (Fig.6).

### 348 **3.3 Fluorescence quenching (FQ)**

349 Fluorescence quenching (FQ) has been used to clarify if and how phenolic  
350 compounds interact with proteins at a molecular level. As there are fluorophores in  
351  $\alpha$ -amylase, like tryptophan and tyrosine, the enzyme can emit fluorescence at certain

352 excitation wavelengths, and the fluorescence intensity is directly related with the  
353 amount of enzyme in solution (Soares, Mateus, & de Freitas, 2007). Polyphenols can  
354 interact with any surface-accessible tyrosine or tryptophan or its vicinity, including  
355 the tryptophan at the active site of  $\alpha$ -amylase (Trp<sup>59</sup>), decreasing the fluorescence  
356 properties of the fluorophores; therefore, the fluorescence of  $\alpha$ -amylase can be  
357 quenched and should at least in part be modified by prior binding of a polyphenol to  
358 the same site(s). From the Stern-Volmer equation ( $\frac{F_0}{F} = 1 + k_q \tau_0 [Q] = 1 + K_{FQ} [Q]$ )  
359 or its modified form ( $\frac{F_0}{F} = e^{(K_{FQ}[Q])}$ ), the fluorescence quenching constant ( $K_{FQ}$ ) can  
360 be calculated (Soares, Mateus, & de Freitas, 2007). As  $K_{FQ}$  can reflect the interaction  
361 (binding) of polyphenols with  $\alpha$ -amylase, higher  $K_{FQ}$  values correspond to higher  
362 binding properties of polyphenols. FQ can be classified into dynamic and static  
363 patterns, in which the former results from collisional encounters between fluorophore  
364 and quencher, and the latter is caused by formation of a ground state complex between  
365 the two compounds (Soares, Mateus, & de Freitas, 2007). Usually, a linear  
366 Stern-Volmer plot indicates that there is a single class of fluorophore in the protein  
367 interacting with the quencher in the same way and that only one quenching  
368 mechanism (dynamic or static) takes place. However, positive deviations from the  
369 equation are frequently observed when the quenching extent is large. In this case, the  
370 plot of  $F_0/F$  against  $[Q]$  is an upward curve, concave towards the y axis. Commonly,  
371 the upward curvature indicates that there are several mechanisms responsible for the  
372 quenching effects on fluorophores in the protein, or it suggests the existence of a  
373 'sphere of action', *i.e.*, apparent static quenching. The bimolecular quenching constant,

374  $k_q$ , which reflects the efficiency of quenching or the availability of quenchers to  
375 fluorophores, can be used to determine if the quenching results from complex  
376 formation between proteins and quenchers. The  $k_q$  is close to  $1 \times 10^{10} \text{ M}^{-1} \text{ s}^{-1}$  for the  
377 typical dynamic mechanism (collision-controlled quenching) (Soares, Mateus, & de  
378 Freitas, 2007). Therefore, in recent studies, EGCG was shown to quench the  
379 fluorescence of  $\alpha$ -amylase by a dynamic mechanism because its Stern-Volmer plot  
380 was linear and its  $k_q$  was determined to be lower than  $1 \times 10^{10} \text{ M}^{-1} \text{ s}^{-1}$  (Fei, et al., 2014;  
381 Miao, et al., 2015), while sorghum procyanidins were shown to apparently statically  
382 quench the  $\alpha$ -amylase fluorescence with an upward Stern-Volmer plot and a  $k_q$  value  
383 that was much higher than  $1 \times 10^{10} \text{ M}^{-1} \text{ s}^{-1}$  (Cai, et al., 2015).

384 As both  $K_{\text{FQ}}$  and  $1/K_{\text{ic}}$  indicate binding interactions between polyphenols and  
385  $\alpha$ -amylase, it is interesting and necessary to establish the relationship between the two  
386 indices to evaluate the feasibility of and relationship between the two measurement  
387 methods. By doing this, it was found that there was a positive linear correlation ( $K_{\text{FQ}}$   
388  $= 13.97 \cdot 1/K_{\text{ic}} + 1517.1$ ,  $R^2 = 0.9590$ , Fig.5B) between  $K_{\text{FQ}}$  and  $1/K_{\text{ic}}$  for 6 pure  
389 polyphenols (Sun, Gidley, & Warren, 2017). This indicates that lower  $K_{\text{ic}}$  corresponds  
390 with higher  $K_{\text{FQ}}$  for individual polyphenols, and that FQ and inhibition kinetics may  
391 be combined to characterize the binding interactions between dietary polyphenols and  
392  $\alpha$ -amylase (Fig.6).

393 It is worth noting that in some fluorescence spectra of enzyme in the presence of  
394 polyphenols, a red-shift of maximum emission wavelength ( $\lambda_{\text{em}}$ ) is observed (Fei, et  
395 al., 2014; Sun, Chen, et al., 2016; Sun, Gidley, & Warren, 2017). This indicates that

396 partial structural unfolding may occur for  $\alpha$ -amylase upon binding with these  
397 polyphenols (Soares, Mateus, & de Freitas, 2007). The interaction between  
398 polyphenols and  $\alpha$ -amylase is assumed to involve Tyr and Tyr residues via their  
399 aromatic heterocyclic and hydrophobic groups which are exposed, causing  
400 microenvironmental changes in the local spatial structure and backbone conformation  
401 of the protein (Fei, et al., 2014). In addition, polyphenol-amylase interactions have  
402 been found to make the structure of the enzyme more flexible (Cai, et al., 2015).  
403 Interestingly, the potential structural unfolding of  $\alpha$ -amylase upon binding with  
404 polyphenols indicated by the red-shift of  $\lambda_{em}$  may also be detected by techniques that  
405 report on the thermal stability and spatial structure of a protein, such as differential  
406 scanning calorimetry (DSC) and circular dichroism (CD). This will be discussed in  
407 the following sections.

#### 408 **3.4 Differential scanning calorimetry (DSC)**

409 Differential scanning calorimetry (DSC) can be applied to monitor phase and  
410 conformational transitions through measurement of specific heat capacity as a  
411 function of temperature for a sample (Tang, Covington, & Hancock, 2003). In DSC  
412 analysis, the parameters indicating thermostability of a protein include denaturation  
413 temperature,  $T_d$  and denaturation enthalpy,  $\Delta H$  *i.e.* the energy required to denature the  
414 protein. DSC offers an objective and quantitative way of evaluating the effects of  
415 dietary polyphenols on thermal stability of proteins (Barrett, et al., 2013; Prigent et al.,  
416 2003; Raghavendra, Kumar, & Prakash, 2007). It has been reported that phenolic  
417 acids, such as chlorogenic acid and caffeic acid could decrease the thermostability of

418 lipase indicated by a decreased  $T_d$  (Raghavendra, Kumar, & Prakash, 2007). Some  
419 earlier studies also indicate that phenolic compounds decreased the thermal stability  
420 of some proteins by binding with them (Muralidhara & Prakash, 1995; Prigent, et al.,  
421 2003; Rawel et al., 2002). A decrease in thermal stability of an enzyme is usually  
422 associated with protein conformational changes. Thus, the partial unfolding of  
423  $\alpha$ -amylase by dietary polyphenols may be suggested by the  $T_d$  and  $\Delta H$  values from  
424 DSC thermograms. The thermal denaturation process for a protein usually takes place  
425 in two steps. One is reversible, arising from the protein unfolding process. In this step,  
426 there is a partial loss of activity for the protein due to the disruption of intramolecular  
427 non-covalent interactions (Lumry & Eyring, 1954; Violet & Meunier, 1989). The  
428 second step is irreversible, leading to the denaturation of the initially-unfolded  
429 molecule (Cueto et al., 2003). Therefore, the reversible unfolding process of  
430  $\alpha$ -amylase under external force is expected to promote the denaturation process of the  
431 enzyme during a DSC experiment. As reported, four tea polyphenols, including TA,  
432 ECG, EGCG and TF2 could potentially cause  $\alpha$ -amylase structure to unfold, as  
433 suggested by the red-shift of  $\lambda_{em}$ , while EC, EGC, TF1 and TF did not cause the  
434 red-shift of  $\lambda_{em}$  of the enzyme. TA, ECG, EGCG and TF2 were each found to decrease  
435 the thermal stability of  $\alpha$ -amylase as indicated by the decreased  $T_d$  and  $\Delta H$ , while EC,  
436 EGC, TF1 and TF did not affect the enzyme thermal stability (Sun, Gidley, & Warren,  
437 2017). It is therefore proposed that it is the partial unfolding of  $\alpha$ -amylase by TA,  
438 ECG, EGCG and TF2 (suggested by the red-shift of  $\lambda_{em}$  in the presence of the four  
439 polyphenols) that promotes the complete unfolding (denaturation) of the enzyme in

440 the DSC experiment. Therefore, the combination of DSC and FQ to characterize the  
441 unfolding effects of dietary polyphenols on  $\alpha$ -amylase structure is feasible (Fig.6).

### 442 **3.5 Circular Dichroism (CD)**

443 CD spectroscopy has been widely used to investigate the secondary structures of  
444 proteins, from which the contents of  $\alpha$ -helix,  $\beta$ -sheet,  $\beta$ -turn and random coil in a  
445 protein can be estimated. CD has also been applied to study the effect of dietary  
446 polyphenols on the secondary structures of  $\alpha$ -amylase, with the effect found to be  
447 dependent on polyphenol type. For example, sorghum procyanidins were found to  
448 retain  $\alpha$ -helix but reduce  $\beta$ -sheet content of  $\alpha$ -amylase, suggesting that the  
449 polyphenols made the enzyme structure looser (Cai, et al., 2015). Red rice  
450 polyphenols were able to reduce  $\alpha$ -helix but increase  $\beta$ -sheet content of  $\alpha$ -amylase  
451 (Liu, et al., 2017), while tea polyphenols could increase both  $\alpha$ -helix and  $\beta$ -sheet  
452 contents of the enzyme (Liu, Ou, & Huang, 2016). Changes in  $\alpha$ -helix and  $\beta$ -sheet  
453 contents have been reported to be related with the folding and/or unfolding extent of a  
454 protein (Cai, et al., 2015; Liu, et al., 2017), which may be compared with the  
455 folding/unfolding extent obtained from FQ and DSC. Therefore, in future studies the  
456 conformational changes of  $\alpha$ -amylase after binding with dietary polyphenols may be  
457 characterized by a combination of FQ, DSC and CD methods (Fig.6).

### 458 **3.5 Isothermal titration calorimetry (ITC)**

459 Thermodynamic surveys of complexation may be performed using isothermal  
460 titration (micro)calorimetry, a technique that permits the determination of binding  
461 enthalpy and binding constant of the reaction between a macromolecule and a ligand

462 (Jelesarov & Bosshard, 1999). This technique has been successfully applied to  
463 characterize the binding interactions between polyphenols and proteins (Frazier,  
464 Papadopoulou, & Green, 2006; Karonen et al., 2015; Wu et al., 2013). Through ITC  
465 analysis, the binding constant ( $K_{itc}$ ) can be obtained, with higher  $K_{itc}$  indicating higher  
466 binding affinity of a polyphenol to a protein. Usually, the binding of polyphenol with  
467 protein is an exothermic process, as hydrogen bonding and hydrophobic interactions  
468 occur (Poncet-Legrand et al., 2007). During the ITC experiment, a plot of heat flow  
469 ( $\mu\text{cal}/\text{min}$ ) against time (min) can be obtained. To calculate the binding energy  
470 released by the binding interactions,  $\Delta H_{itc}$ , a plot of observed enthalpy change per  
471 mole of injectant ( $\Delta H$ , J/mol) against molar ratio of polyphenol to enzyme is obtained  
472 by peak-to-peak integration of a plot of heat flow against time. Here, higher  $\Delta H_{itc}$   
473 corresponds to higher binding interactions (Karonen, et al., 2015). One feature that  
474 should be noted in ITC experiments is that heat flow may be observed for the titration  
475 of some polyphenols (especially polymers) into blank (buffer) solution. This is caused  
476 by the heat of dilution, which affects the detected heat resulting from the binding of  
477 polyphenol with enzyme. Therefore, the dilution heat should be subtracted from the  
478 total observed heat obtained from the titration of polyphenol solution into enzyme  
479 solution. Two binding models are usually used to fit ITC data, including a one-site  
480 binding model in which specific binding is expected and a two-site binding model in  
481 which both specific and non-specific binding occur (Karonen, et al., 2015). As the  
482 reversible inhibition of an enzyme by polyphenol mainly involves the binding of  
483 polyphenol with the active site of the enzyme (Kromann-Hansen et al., 2016), the



484 interactions between polyphenol and enzyme more likely involve specific binding.  
485 Therefore, to simplify the analysis, a one-site binding model is often used to analyze  
486 the interactions between them (Le Bourvellec & Renard, 2012; Wu, et al., 2013).

487 ITC has been applied to study the interactions between dietary polyphenols and  
488  $\alpha$ -amylase (Gyémánt et al., 2018; Sun, Gidley, & Warren, 2017), through which the  
489 binding constant ( $K_{itc}$ ) is obtained. It was found that higher  $K_{itc}$  corresponded to higher  
490 inhibitory activity of tea polyphenols against  $\alpha$ -amylase (Sun, Gidley, & Warren,  
491 2017), which indicates that the enzyme inhibition of polyphenols results from the  
492 binding between them. This conclusion can also be obtained by comparison of  
493 fluorescence quenching results with inhibition results for inhibition of  $\alpha$ -amylase by  
494 polyphenols (Dai et al., 2018; Miao, et al., 2015; Zhao et al., 2018), as FQ results also  
495 reflect the binding of an inhibitor with the enzyme. Additionally, it should be noted  
496 that similar to  $K_{FQ}$  and  $1/K_{ic}$ ,  $K_{itc}$  characterizes the binding interactions as well. If so,  
497 there should be a correlation between  $K_{itc}$  and  $K_{FQ}$  and between  $K_{itc}$  and  $1/K_{ic}$ . The  
498 constants of binding interactions between tea polyphenols and  $\alpha$ -amylase support this.  
499 It was found that there is a positive linear relationship between  $K_{itc}$  and  $K_{FQ}$  (Fig.5C)  
500 and between  $K_{itc}$  and  $1/K_{ic}$  (Fig.5D) (Sun, Gidley, & Warren, 2017), indicating that it  
501 is feasible to combine the inhibition kinetics, FQ and ITC to characterize the binding  
502 interactions between dietary polyphenols and  $\alpha$ -amylase (Fig.6).

### 503 **3.6 Molecular docking**

504 Molecular docking is a useful tool in structural molecular biology and  
505 computer-assisted drug design. The aim of ligand-protein docking is to predict the

506 main binding modes of a ligand with a protein of known three-dimensional structure  
507 (Vriend, 1990). Molecular docking has been applied to investigate the binding  
508 interactions between polyphenols and enzymes (Schwartz et al., 2018). Through  
509 docking studies, hydrogen bonding between the hydroxyl groups of polyphenols and  
510 amino acid residues (Asp<sup>197</sup>, Glu<sup>233</sup> and Asp<sup>300</sup>) at the active site of  $\alpha$ -amylase was  
511 suggested, as well as hydrophobic interactions between the aromatic groups of  
512 polyphenols (benzene rings) and the enzyme (Trp<sup>59</sup>) (Lo Piparo, et al., 2008; Miao, et  
513 al., 2015). The total binding energy,  $E_b$  (kJ/mol), of a polyphenol with  $\alpha$ -amylase can  
514 be predicted from the docking study. It was found that higher  $E_b$  corresponds to higher  
515 binding interactions between a polyphenol and  $\alpha$ -amylase and thus higher inhibitory  
516 activity (Hua et al., 2018; Miao, et al., 2015). Notably, similar to  $K_{itc}$ ,  $K_{FQ}$  and  $1/K_{ic}$ ,  
517  $E_b$  can also indicate the binding of polyphenols with  $\alpha$ -amylase; therefore, there may  
518 be positive relationships between  $E_b$  and the three constants. Although this has not yet  
519 been reported, a combination of molecular docking, inhibition kinetics, FQ and ITC,  
520 as well as establishment of correlations between the binding constants obtained from  
521 these methods is a reasonable approach to characterize the binding interactions  
522 between dietary polyphenols and  $\alpha$ -amylase in future work (Fig.6).

523

## 524 **4 Effect of polysaccharides on binding interactions between polyphenols and** 525 **$\alpha$ -amylase**

### 526 **4.1 Potential influence**

527 Many plant extracts have been studied for their inhibitory activity against  
528  $\alpha$ -amylase, and the main components with inhibiting effects have been shown to be  
529 phenolic compounds. However, aqueous extracts from plants are likely to be a  
530 complex mixture containing not only polyphenols but also other components that they  
531 may bind to, such as soluble polysaccharides (Chamorro, et al., 2012). Besides, even  
532 though pure phenolic or phenolic extracts containing high contents of polyphenols are  
533 consumed, polyphenols, in practice may interact with other food components (like  
534 proteins, polysaccharides, *etc.*) in the digestive tract (Le Bourvellec & Renard, 2012).  
535 Therefore, it is necessary to investigate if and how other food components affect the  
536 binding and inhibitory activity of dietary polyphenols against  $\alpha$ -amylase.

537 Previous studies have suggested that some carbohydrates, like arabinogalactan,  
538 dextran, xanthan, *etc.* are able to interrupt the binding of polyphenols with proteins  
539 (de Freitas, Carvalho, & Mateus, 2003). Besides, some soluble polysaccharides  
540 (arabic gum, pectin,  $\beta$ -cyclodextrin and polygalacturonic acid) have also been  
541 reported to inhibit protein-polyphenol aggregation through two possible mechanisms  
542 (Soares, Mateus, & de Freitas, 2012; Soares et al., 2009). One is that a ternary  
543 protein-polyphenol-polysaccharide complex is formed that increases the solubility of  
544 protein-polyphenol aggregates. Another is that polysaccharide is able to interact with  
545 polyphenol, competing with the binding of polyphenol to protein (Soares, Mateus, &  
546 de Freitas, 2012; Soares, et al., 2009). As inhibitors, polyphenols are able to exhibit  
547 inhibitory activity against  $\alpha$ -amylase through binding with the protein. The presence  
548 of polysaccharides in the reaction solution may be a factor influencing these binding

549 interactions because of the potential binding interactions between soluble  
550 polysaccharides and dietary polyphenols.

#### 551 **4.2 Characterization of polysaccharide effects on binding interactions**

552 To study the influence of polysaccharides on protein/polyphenol aggregates,  
553 nephelometry and dynamic light scattering have been applied, because these two  
554 methods can be used to determine the formation and dissolution of insoluble  
555 aggregates (Soares, Mateus, & de Freitas, 2012; Soares, et al., 2009). However, the  
556 competitive or uncompetitive inhibition of an enzyme is attributed to the reversible  
557 (soluble) binding of an inhibitor with the enzyme or enzyme-substrate complex, rather  
558 than irreversible (insoluble) aggregation. Therefore,  $IC_{50}$  value, inhibition kinetics and  
559 FQ methods that have been applied to characterize binding and inhibitory activity of  
560 polyphenols against  $\alpha$ -amylase may also be used to characterize the influence of  
561 polysaccharides on the binding interactions between polyphenols and  $\alpha$ -amylase. It  
562 was found that three soluble polysaccharides, including citrus pectin, wheat  
563 arabinoxylan and oat  $\beta$ -glucan are able to increase  $IC_{50}$  and decrease  $1/K_{ic}$  and  $K_{FQ}$   
564 values of tea polyphenols interacting with  $\alpha$ -amylase (Sun, Warren, & Gidley, 2018).  
565 This indicates that the soluble polysaccharides can decrease the inhibitory activity of  
566 tea polyphenols against the enzyme through decreasing the binding interactions  
567 between them.

568 In addition, the binding interactions between polyphenols and soluble  
569 polysaccharides have been studied using ITC (Watrelet et al., 2013, 2014), from  
570 which the binding constant ( $K_{itc}$ ) between the two compounds can be obtained

571 (Renard, Watrelot, & Le Bourvellec, 2017). The presence of a  $K_{itc}$  for polyphenol  
572 binding with  $\alpha$ -amylase (Sun, Gidley, & Warren, 2017) as well as a  $K_{itc}'$  for  
573 polyphenol binding with polysaccharides (Renard, Watrelot, & Le Bourvellec, 2017)  
574 indicates that there may be a competitive mechanism between polysaccharides and  
575  $\alpha$ -amylase in terms of binding with polyphenols. Furthermore, by comparing the two  
576  $K_{itc}$  values, it should be possible to determine how polysaccharides affect the  
577 inhibition of  $\alpha$ -amylase by polyphenols. Therefore, in the study of effects of soluble  
578 polysaccharides on the binding interactions between dietary polyphenols and  
579  $\alpha$ -amylase, ITC may be combined with  $IC_{50}$  value, inhibition kinetics and FQ methods  
580 to provide a comprehensive understanding. Besides, the above methods may also be  
581 applied to study the influence of other food macromolecules, such as proteins, lipids  
582 and insoluble polysaccharides on binding of polyphenols with  $\alpha$ -amylase. This  
583 analysis is also suggested to be performed to understand the consequence of whole  
584 food intake containing functional polyphenols rather than only pure polyphenols. To  
585 get a full understanding of food-delivered polyphenols on amylase activity, it is  
586 necessary and valuable to evaluate comprehensively the inhibitory activity of food  
587 components complexed against  $\alpha$ -amylase in addition to the polyphenol itself.

#### 588 **4.2 From *in vitro* to *in vivo***

589 Most work investigating binding interactions between polyphenols and  $\alpha$ -amylase  
590 is *in vitro*. The bioavailability of polyphenols and the inhibition of  $\alpha$ -amylase *in vivo*  
591 should be further studied. There are studies reporting that dietary polyphenols can  
592 retard the increase in postprandial blood sugar in mice (Cao et al., 2018; Murray et al.,

2018; Xu et al., 2018). However, there are several possible mechanisms including (i) the inhibition of starch digestion enzymes *in vivo*, including  $\alpha$ -amylase,  $\alpha$ -glucosidase, *etc.* (Figueiredo-González et al., 2018; Kato et al., 2017), and/or (ii) the inhibition of expression of glucose transporters (*e.g.* SGLT1 and GLUT2) in enterocytes of the small intestine which are responsible for transporting glucose from the intestine lumen into the blood (Muller et al., 2018; Villa - Rodriguez et al., 2017). Therefore, to study if and how binding interactions affect starch digestion *in vivo*, the binding constants obtained as described above are suggested to be compared and correlated with postprandial blood sugar level by use of mice models fed with starch and dietary polyphenols. Furthermore, the *in vivo* effects of polysaccharides on the binding interactions between polyphenols and  $\alpha$ -amylase should also be investigated, which should give a better understanding of how functional food components (polyphenols and polysaccharides) affect starch digestion and postprandial blood sugar level *in vivo*.

## 5 Conclusion

The inhibitory activity of a polyphenol against  $\alpha$ -amylase is determined by its molecular structure. Hydroxyl groups, galloyl substituents and conjugated systems are some of the important characteristics of polyphenols for effective inhibition of the enzyme. IC<sub>50</sub> value, inhibition kinetics, FQ and ITC can be combined to characterize the binding and inhibitory activity of dietary polyphenols against  $\alpha$ -amylase because the constants obtained from these techniques are correlated due to common origins.

615 FQ, DSC and CD are suggested to be combined to indicate conformational changes of  
616  $\alpha$ -amylase after binding with polyphenols. Soluble polysaccharides are indicated to  
617 reduce the binding interactions between polyphenols and  $\alpha$ -amylase. IC<sub>50</sub> value,  
618 inhibition kinetics, FQ and ITC may be applied to study the effects of other food  
619 components on binding of polyphenols with the enzyme. In addition, the influences of  
620 binding interactions between polyphenols and  $\alpha$ -amylase on starch digestion *in vivo*  
621 needs to be further studied.

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623

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881

882 **Figure Captions**

883 **Figure 1** Three-dimensional structure, determined by crystallography, of porcine  
884 pancreatic  $\alpha$ -amylase (Qian, Haser, & Payan, 1993) (**A**), and the active site of  
885  $\alpha$ -amylase (**B**) (MacGregor, Janecek and Svensson, 2001), in which the calcium,  
886 chloride ions and essential amino acids (Glu<sup>233</sup>, Asp<sup>197</sup>, Asp<sup>300</sup>) are indicated. The  
887 yellow structure is a short chain of five sugar units connected through  $\alpha$ -1,4-link  
888 (coloured pink).

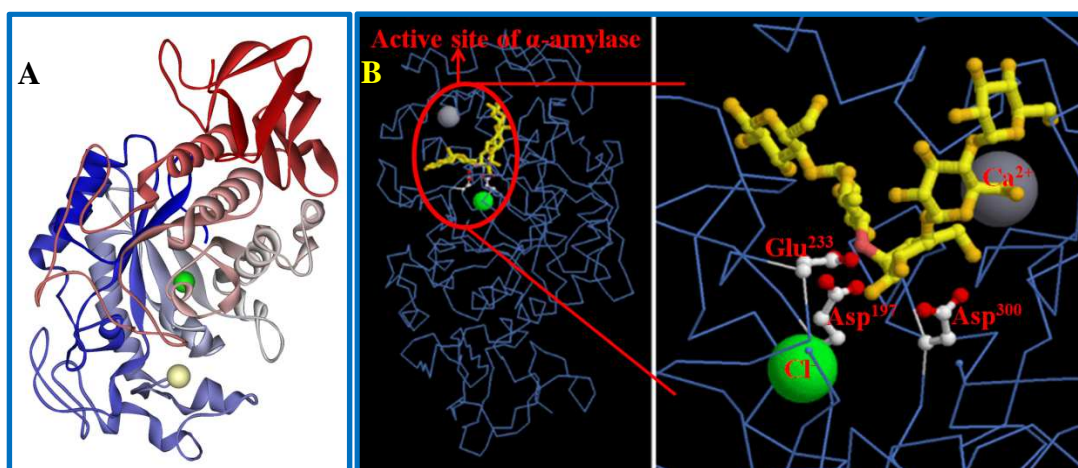
889 **Figure 2** Molecular structures of flavonoids (Lo Piparo et al., 2008).

890 **Figure 3** Molecular structures of phenolic acids (Narita & Inouye, 2011).

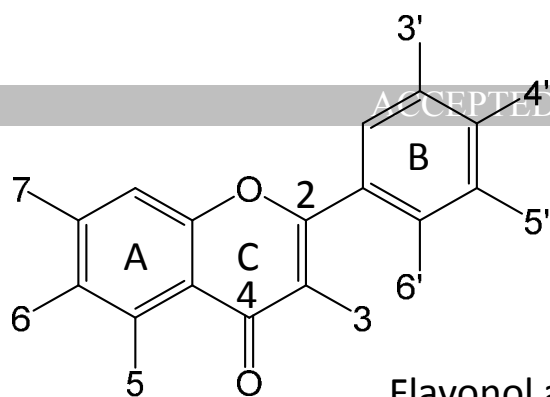
891 **Figure 4** Molecular structures of tea polyphenols, including catechins and theaflavins.

892 **Figure 5** Correlations between the constants characterizing binding and inhibitory  
893 activity of tea polyphenols against  $\alpha$ -amylase (Sun et al., 2016; Sun, Gidley, &  
894 Warren, 2017).

895 **Figure 6** Scheme for study of binding interactions between dietary polyphenols and  
896  $\alpha$ -amylase.

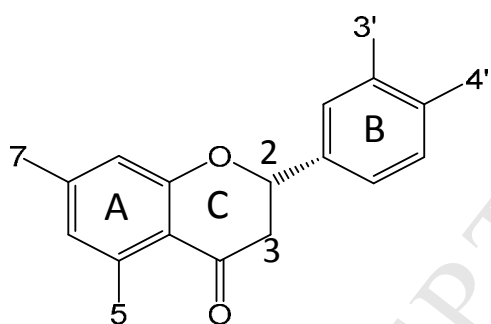


**Figure 1** Three-dimensional structure, determined by crystallography, of porcine pancreatic  $\alpha$ -amylase (Qian, Haser, & Payan, 1993) (A), and the active site of  $\alpha$ -amylase (B) (MacGregor, Janecek and Svensson, 2001), in which the calcium, chloride ions and essential amino acids (Glu<sup>233</sup>, Asp<sup>197</sup>, Asp<sup>300</sup>) are indicated. The yellow structure is a short chain of five sugar units connected through  $\alpha$ -1,4-link (coloured pink).



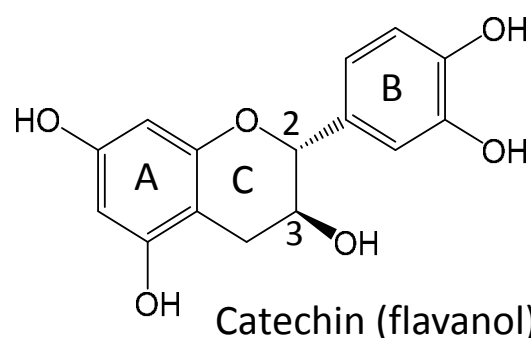
Flavonol and Flavone

3	5	6	7	3'	4'	5'	6'	
OH	H	H	OH	H	OH	OH	H	fisetin
OH	OH	H	OH	H	OH	H	H	kaempferol
OH	OH	H	OH	OH	OH	OH	H	myricetin
OH	OH	OH	OH	OH	OH	H	H	quercetagetin
OH	OH	H	OH	OH	OH	H	H	quercetin
OH	OH	H	OH	H	OH	OCH <sub>3</sub>	H	isorhamnetin
OH	OH	H	OCH <sub>3</sub>	OH	OH	H	H	rhamnetin
H	OH	H	OH	H	OH	H	H	apigenin
H	OH	H	OH	H	OCH <sub>3</sub>	H	H	acacetin
H	OH	H	OH	OH	OCH <sub>3</sub>	H	H	diosmetin
H	OH	OCH <sub>3</sub>	OH	H	OH	OH	H	eupafolin
H	OH	H	OCH <sub>3</sub>	H	OCH <sub>3</sub>	H	H	genkwanin
H	OH	H	OH	OH	OH	H	H	luteolin
H	OH	OH	OH	H	OH	H	H	scutellaretin

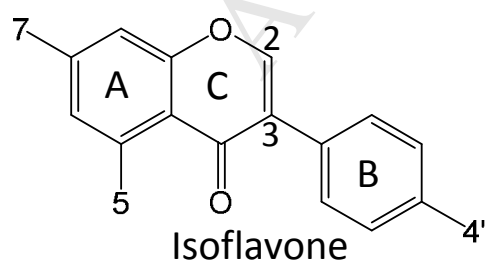


Flavanone

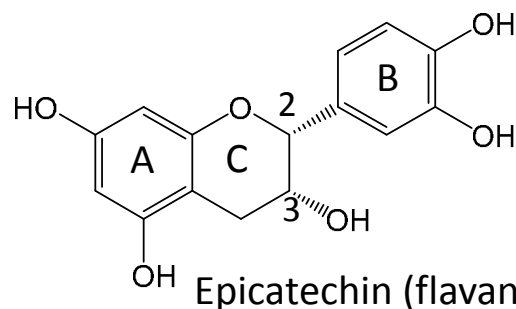
5	7	3'	4'	
OH	OH	OH	OCH <sub>3</sub>	heperetin
OH	OH	H	OH	naringenin



Catechin (flavanol)

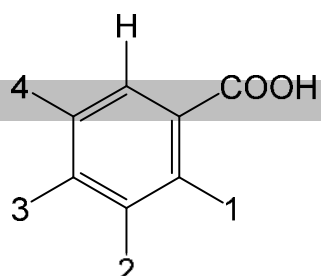


Isoflavone

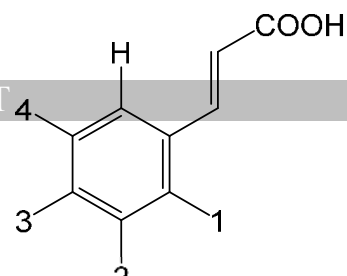


Epicatechin (flavanol)

5	7	4'	
OH	OH	OH	genistein
H	OH	OH	daidzein



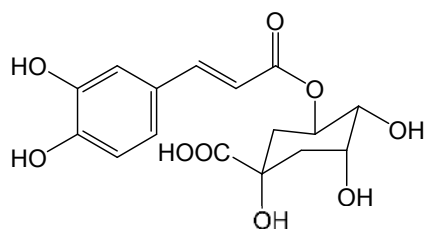
Hydroxybenzoic acid



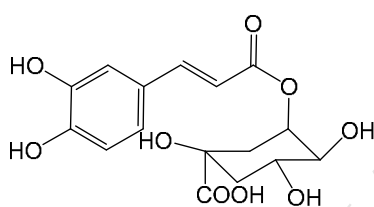
Hydroxycinnamic acid

1	2	3	4	
H	H	H	H	benzoic acid
H	H	OH	H	<i>p</i> -hydroxybenzoic acid
H	OCH <sub>3</sub>	OH	H	vanillic acid
H	OH	OH	OH	gallic acid
H	OH	OH	H	protocatechuic acid
H	OCH <sub>3</sub>	OH	OCH <sub>3</sub>	syringic acid
OH	H	H	OH	gentistic acid
H	OCH <sub>3</sub>	OCH <sub>3</sub>	H	veratric acid
OH	H	H	H	salicylic acid

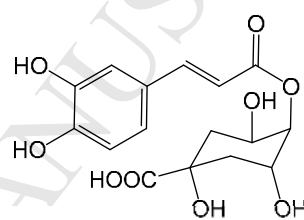
1	2	3	4	
H	H	H	H	cinnamic acid
OH	H	H	H	<i>o</i> -coumaric acid
H	OH	H	H	<i>m</i> -coumaric acid
H	H	OH	H	<i>p</i> -coumaric acid
H	OCH <sub>3</sub>	OH	H	ferulic acid
H	OCH <sub>3</sub>	OH	OCH <sub>3</sub>	sinapic acid
H	OH	OH	H	caffeic acid



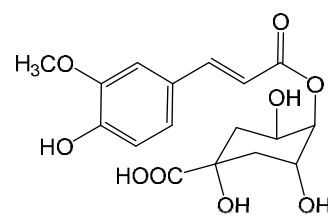
5-Caffeoylquinic acid



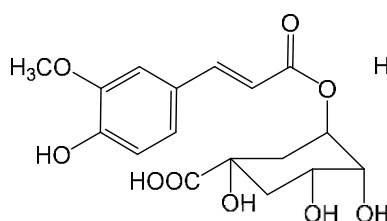
3-Caffeoylquinic acid



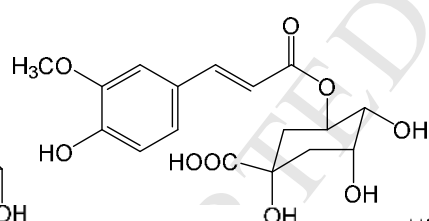
4-Caffeoylquinic acid



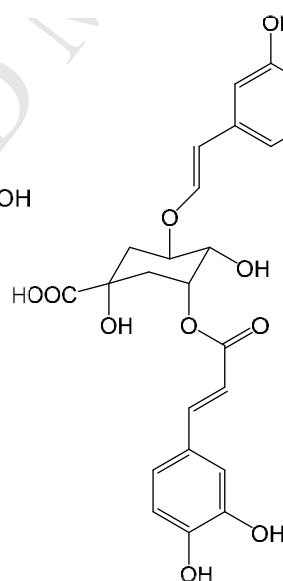
4-Feruloylquinic acid



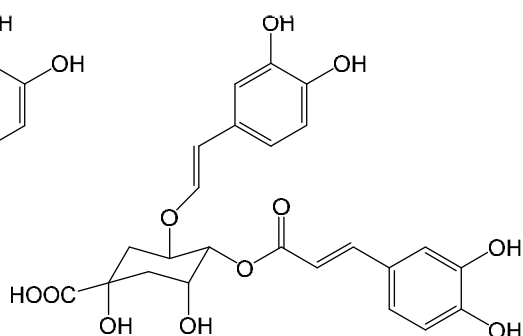
3-Feruloylquinic acid



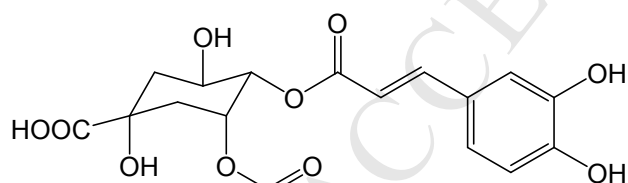
5-Feruloylquinic acid



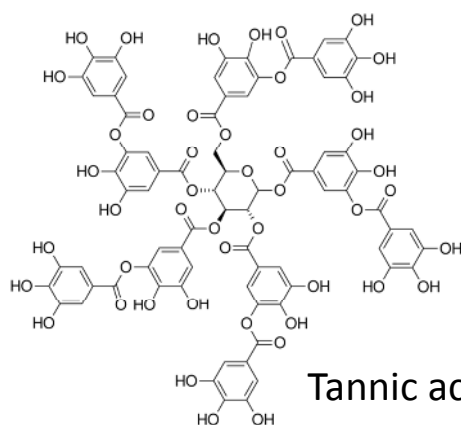
3,5-Dicaffeoylquinic acid



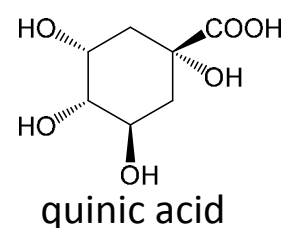
4,5-Dicaffeoylquinic acid



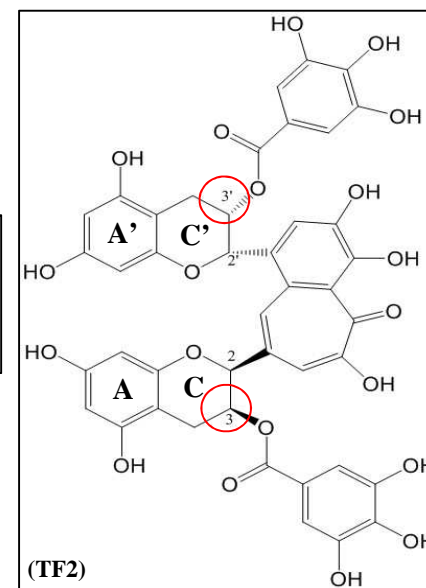
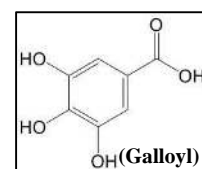
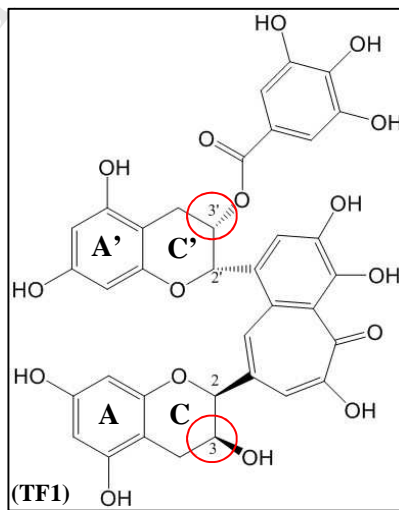
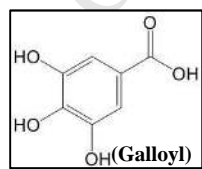
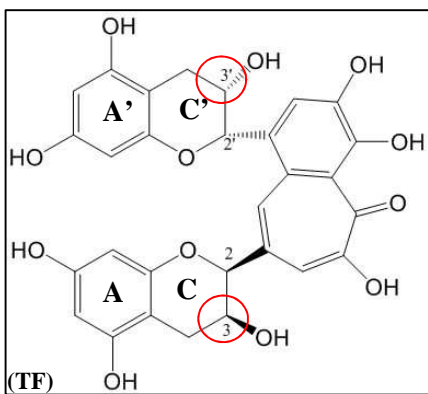
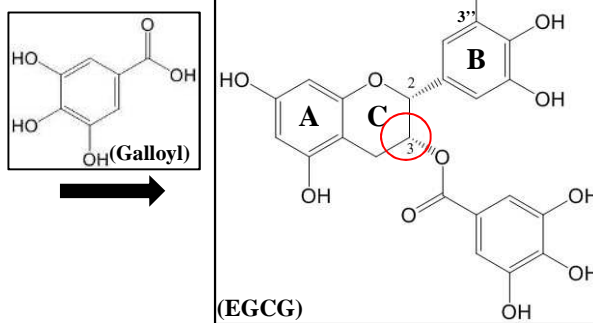
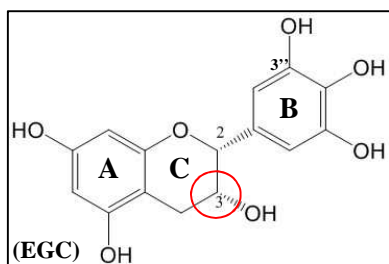
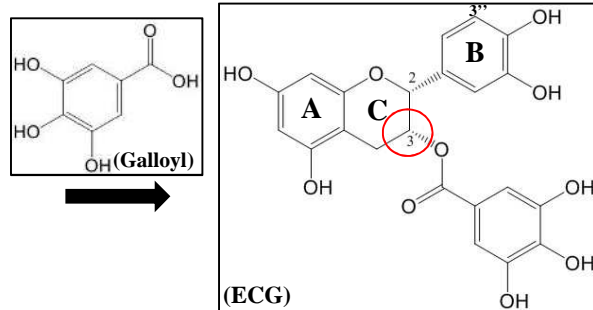
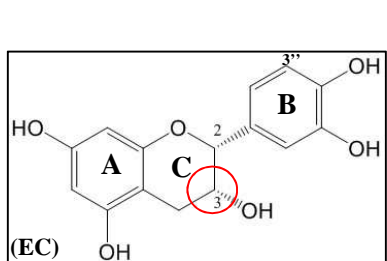
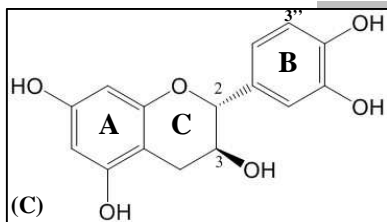
3,4-Dicaffeoylquinic acid



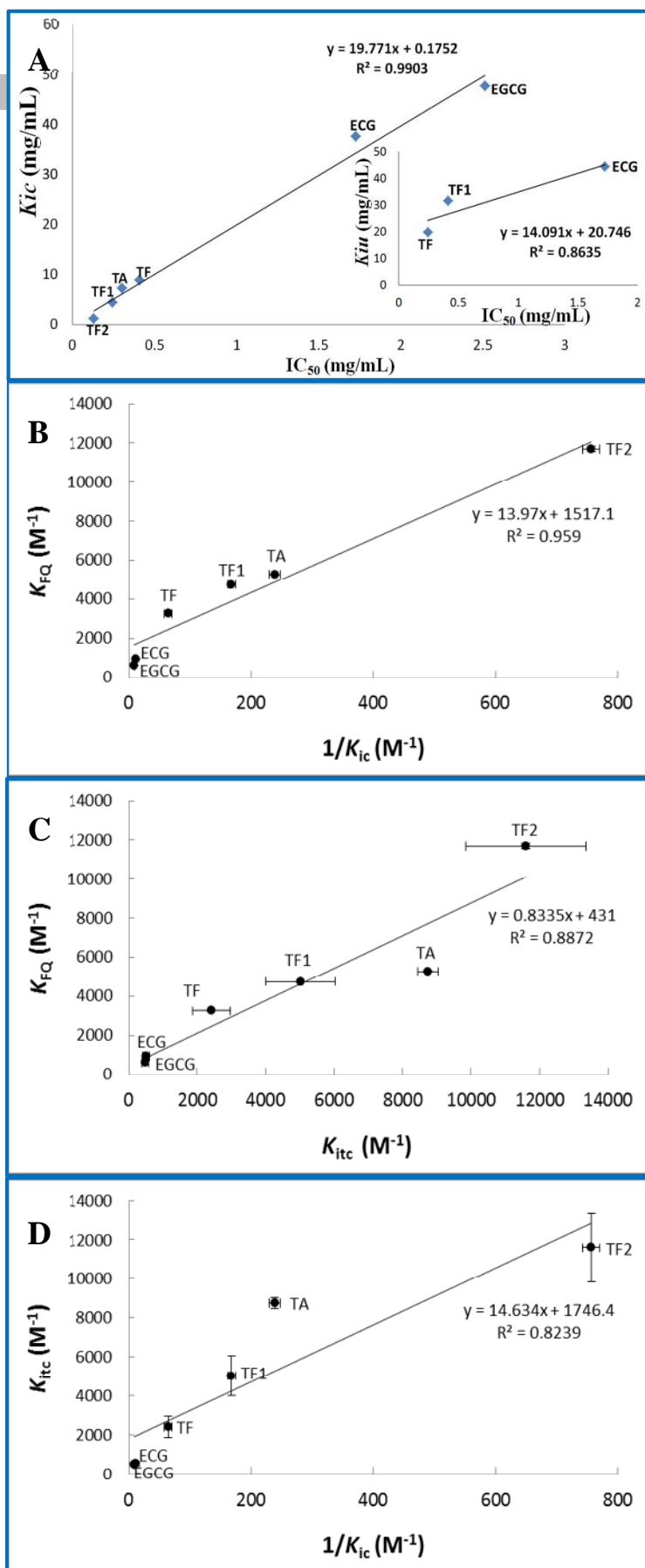
Tannic acid



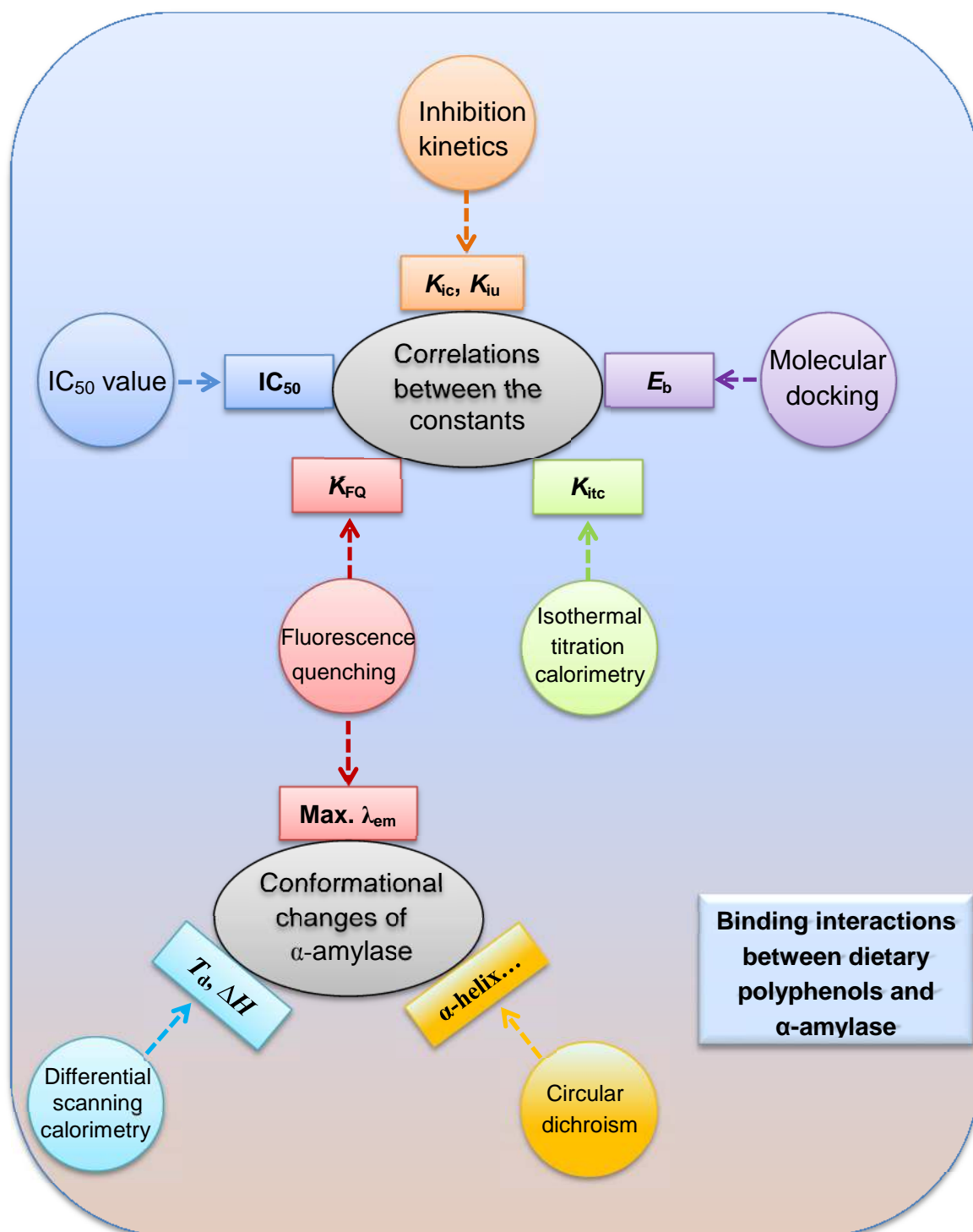
quinic acid







**Figure 5** Correlations between the constants characterizing binding and inhibitory activity of tea polyphenols against  $\alpha$ -amylase (Sun et al., 2016; Sun, Gidley, & Warren, 2017).



**Figure 6** Scheme for study of binding interactions between dietary polyphenols and  $\alpha$ -amylase.

**Highlights**

Inhibition of  $\alpha$ -amylase by polyphenols results from molecular binding interactions.

The galloyl moiety in polyphenols plays an important role in binding.

Calorimetry, fluorescence quenching, molecular modelling and kinetic analysis combined.

Soluble polysaccharides reduce amylase binding and inhibition action of polyphenols.

Most insights from *in vitro* studies, more *in vivo* studies needed.