

Neonicotinoid insecticides induce salicylate-associated plant defense responses

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Neonicotinoid insecticides control crop pests based on their action as agonists at the insect nicotinic acetylcholine receptor, which accepts chloropyridinyl- and chlorothiazolyl-analogs almost equally well. In some cases, these compounds have also been reported to enhance plant vigor and (a)biotic stress tolerance, independent of their insecticidal function. However, this mode of action has not been defined. Using *Arabidopsis thaliana*, we show that the neonicotinoid compounds, imidacloprid (IMI) and clothianidin (CLO), via their 6-chloropyridinyl-3-carboxylic acid and 2-chlorothiazolyl-5-carboxylic acid metabolites, respectively, induce salicylic acid (SA)-associated plant responses. SA is a phytohormone best known for its role in plant defense against pathogens and as an inducer of systemic acquired resistance; however, it can also modulate abiotic stress responses. These neonicotinoids effect a similar global transcriptional response to that of SA, including genes involved in (a)biotic stress response. Furthermore, similar to SA, IMI and CLO induce systemic acquired resistance, resulting in reduced growth of a powdery mildew pathogen. The action of CLO induces the endogenous synthesis of SA via the SA biosynthetic enzyme ICS1, with ICS1 required for CLO-induced accumulation of SA, expression of the SA marker *PR1*, and fully enhanced resistance to powdery mildew. In contrast, the action of IMI does not induce endogenous synthesis of SA. Instead, IMI is further bioactivated to 6-chloro-2-hydroxypyridinyl-3-carboxylic acid, which is shown here to be a potent inducer of *PR1* and inhibitor of SA-sensitive enzymes. Thus, via different mechanisms, these chloropyridinyl- and chlorothiazolyl-neonicotinoids induce SA responses associated with enhanced stress tolerance.

Neonicotinoids are the newest of the three major classes of insecticides, which also include the organophosphorus compounds and pyrethroids. Imidacloprid (IMI), with a chloropyridinyl (Cl-pyr) substituent, is the most important neonicotinoid, used primarily as a systemic compound absorbed and translocated by plants to control sucking insect pests (1). The neonicotinoids clothianidin (2) (CLO) and a metabolic precursor, the oxadiazine compound thiamethoxam (3, 4), which have chlorothiazolyl (Cl-thia) substituents, are also extensively used as systemic insecticides in plants. The neonicotinoids IMI and CLO are oxidatively cleaved *in planta* to 6-chloropyridinyl-3-carboxylic acid (CPA) and 2-chlorothiazolyl-5-carboxylic acid (CTA), respectively, among other metabolites (5). In studying metabolism of neonicotinoids in spinach (5) under insect-free conditions, we sometimes observed enhancement of foliage growth, plant vigor, and drought-tolerance. These remarkable effects of neonicotinoids directly on plants, independent of controlling insect pests, have also been noted by many researchers and farmers and documented in both research publications and patent disclosures, especially for IMI (6–8) and the CLO precursor, thiamethoxam (9). In addition, treatment with IMI and its carboxylic acid metabolite CPA has been associated with enhanced resistance against microbial pathogens (6, 7), although their mode of action has not been defined. Therefore, these neonicotinoids have been cited as inducing a “stress shield” (e.g., ref. 6).

This study characterizes the mechanisms by which IMI, CLO, and their carboxylic acid metabolites induce a stress shield in *Arabidopsis thaliana*, a model plant species with extensive genetic and genomic resources. We find that the effects of IMI and CLO are attributable to their carboxylic acid metabolites CPA and CTA, respectively, and are similar to those of salicylic acid (SA) (Fig. 1A), an established local and systemic activator of a broad spectrum of plant defense responses resulting in systemic acquired resistance (SAR) (10, 11). Importantly, although both IMI and CLO activate SA-associated plant defense responses, we find that they differ in their mode of activation, with CLO inducing endogenous biosynthesis of SA in the plant and IMI undergoing metabolism to a highly potent analog of SA (Fig. 1A). This finding could explain the greater transcriptional impact we observed for IMI compared with CLO (and SA) on plant responses.

Results

Neonicotinoids Induce Global Transcriptional Response Similar to That of SA. A global view of the transcriptional response was obtained by comparing expression profiles using the Affymetrix ATH1 GeneChip of fully expanded mature leaves harvested from *A. thaliana* Col-0 (wild-type) plants following soil application with 4 mM IMI, CLO, or SA, compared with leaves obtained from control plants (Dataset 1). Ninety-one percent of the 2,212 genes with significant differences in expression in response to SA versus the control were also altered by treatment with IMI or CLO (Fig. 2A). Although almost all CLO-impacted genes (93%) were also affected by SA or IMI, IMI treatment exclusively affected 3,508 genes under these conditions. It is known that the extent of SA-responsive transcriptional changes varies depending upon the concentration of SA or SA analog, the timeframe for analyzing the response, and the assay system (10, 12). Therefore, to determine whether the IMI-exclusive gene set of 3,508 is also associated with SA-dependent responses not identified in the parallel SA treatment, we compared our findings with those characterizing the early response to SA (13), obtained after treatment with the functional SA-analog *S*-methyl benzo[1,2,3]thiadiazole-7-carbothioate (BTH) (14), or identified as SA-dependent in response to infection with powdery mildew using the *Arabidopsis* SA biosynthetic mutant *ics1* (15). Thirty percent of the IMI-exclusive set were previously associated with SA-dependent responses as ascertained by these studies.

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Data deposition: *Arabidopsis* microarray data are deposited in the Gene Expression Omnibus database (www.ncbi.nlm.nih.gov/geo) with accession no. GSE20188.

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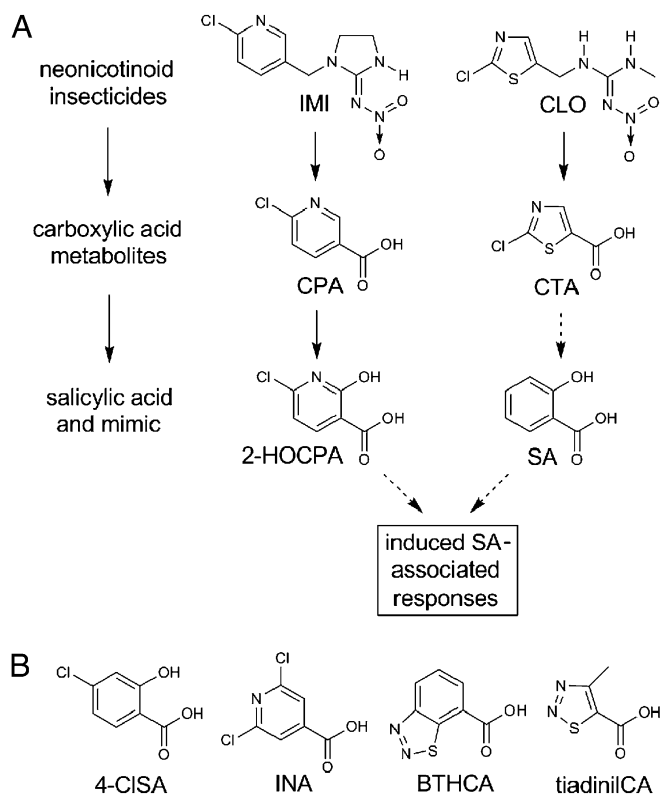


Fig. 1. (A) Neonicotinoids IMI and CLO, via their carboxylic acid metabolites CPA and CTA, respectively, induce responses in *Arabidopsis* similar to those of SA. IMI and CPA are proposed to act via their metabolite 2-HOCPA, an SA mimic, whereas CLO and CTA induce endogenous SA biosynthesis. Solid arrows indicate formation of a metabolite and dashed arrows induction of a pathway. (B) Arylcarboxylic acids that are known functional analogs of SA or inducers of SA-associated markers or responses. Note that BTH and tiadinil are bioactivated to the carboxylic acid (CA) metabolites shown.

The functional processes impacted by IMI, CLO, and SA were determined using MapMan (16) and BioMaps in Virtual Plant (17). Results with the three compounds were qualitatively similar, but the magnitude of the response (number of genes associated with a given process) was consistently greater with IMI treatment compared with SA and CLO. Parent functional process categories defined by the Munich Institute for Protein Sequences (MIPS) (18) that were most strongly impacted by SA are associated with Systemic Interaction with the Environment; Cell Rescue, Defense, and Virulence; and Interaction with the Environment (Fig. 2B). This finding was also the case for treatment with IMI and CLO. IMI treatment affected approximately twofold more genes associated with these process categories. Furthermore, the magnitude of the change in expression compared with the control was usually heightened with IMI compared with CLO and SA. For example, a set of 94 SA-dependent genes defined by Wang et al. (19) shows universally elevated expression in response to IMI compared with CLO and SA (SI Appendix). This set includes the pathogenesis-related protein 1 (PR1), the most robust marker of SA-dependent gene expression, which was induced 534-fold by treatment with IMI compared with 163- and 51-fold increases with SA and CLO, respectively. Taken together, our analysis indicates that the majority of genes induced exclusively by IMI reflects its increased potency. However, as treatment with IMI also had a more profound impact on carbohydrate, nitrogen, and specialized product metabolism than treatment with SA or CLO (SI Appendix), it is also possible that IMI or a metabolite of IMI alter a small subset of responses not impacted by SA or CLO.

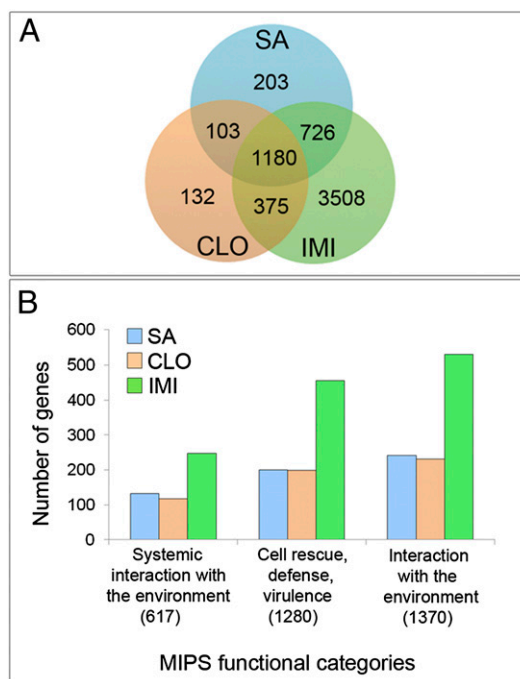


Fig. 2. (A) Venn diagrams depicting transcriptional response in *Arabidopsis* leaves 4 d after soil treatment with 4 mM SA, IMI, or CLO vs. the control. Genes with at least twofold expression change and false discovery rate <0.05 were considered significant. (B) *Arabidopsis* MIPS parent functional process categories most impacted by treatment with SA, CLO, and IMI (P values $<1 \times 10^{-10}$) are shown with the number of genes in each category (y axis) impacted by chemical treatment compared with the total genes on the ATH1 array in each functional category (x axis, in parentheses). Analyses were performed using BIOMAPs with P values calculated using a binomial hypergeometric function.

Neonicotinoids Induce SAR Similar to SA. The microarray analysis above indicates that treatments with IMI and CLO result in a similar transcriptional response to that of SA, including the enhanced expression of genes involved in plant defense. Exogenously applied SA is an effective inducer of SAR and results in enhanced resistance to a variety of pathogens, including powdery mildews (10, 11). Therefore, we assessed whether SAR was induced by soil treatment with IMI and CLO, in addition to SA. We found *Arabidopsis* plants treated with soil application of 4 mM IMI, CLO, or SA exhibited enhanced resistance to the powdery mildew *Golovinomyces orontii* compared with control plants (Table 1).

IMI and CLO Differ in Their Requirement for an Intact Induced SA Biosynthetic Pathway. The mechanism of action of IMI and CLO in inducing SA-associated responses was investigated by assessing the expression of *PRI*, an established marker for this pathway. To determine whether endogenous production of SA via isochlorismate synthase 1 (ICS1) was required, an *ics1* (also known as *sid2* or *eds16*) null mutant (21) was used. Not only IMI and CLO, but also their carboxylic acid metabolites CPA and CTA, respectively, induced robust *PRI* expression in wild-type plants, as assessed by RT-PCR (Fig. 3A). Surprisingly, IMI and CLO differed in their requirement for endogenous biosynthesis of SA in the plant. IMI did not require SA synthesis via ICS1 for *PRI* induction, whereas CLO did (Fig. 3B). This finding suggests that CLO (and its metabolite CTA) induce endogenous synthesis of SA, resulting in SA-associated responses. In contrast, as IMI induction of *PRI* does not require SA synthesis via ICS1, this suggests that IMI, or a metabolite of IMI, may act as a func-

Table 1. Neonicotinoid-induced enhanced resistance of *Arabidopsis* to powdery mildew

Treatment	Percent of total plants with ≥35% mildew coverage		P value
	Exp. 1	Exp. 2	
Control	53	84	
SA	19	30	≤0.02
CLO	0	0	≤0.0001
IMI	4	26	≤0.0002

Four days after soil treatment with 4 mM chemical or control, boxes of *Arabidopsis* Col-0 plants were infected with powdery mildew conidia. Powdery mildew growth and reproduction was assessed at 10 d postinfection using a modified standard scoring system (20) to describe the visible percent coverage on fully expanded leaves of similar age per plant (*SI Appendix*). Exp. 1 ($n \geq 21$) and 2 ($n \geq 10$) were performed 1 mo apart. P values shown are valid for each experiment.

tional SA analog. Known functional SA analogs BTH and 2,6-dichloroisonicotinic acid (INA) (Fig. 1B) do not require ICS1 for *PR1* induction (22).

Neonicotinoid Induction of SA Biosynthesis. Concentrations of free and conjugated SA were determined 4 d after soil treatment with neonicotinoids to establish whether they induce endogenous synthesis of SA (Fig. 3D). The Cl-thia compounds CLO and CTA induced total SA accumulation, attaining concentrations associated with the induction of *PR1* and SAR (23), whereas treatment with the Cl-pyr compounds IMI and CPA did not result in a significant elevation in concentration of total SA compared with control plants.

ICS1-Dependence of CLO-Induced SA Synthesis and Enhanced Disease Resistance. As induction of the SA marker gene *PR1* by CLO required ICS1, we assessed whether SA accumulation following treatment with CLO also requires ICS1. SA accumulation in response to CLO was abrogated in the *ics1* mutant (Fig. 3E). Furthermore, visual disease scoring (*SI Appendix*) and microscopic assessment (Fig. 4) of powdery mildew growth and reproduction showed that CLO-induced enhanced resistance to powdery mildew was compromised in *ics1* compared with wild-type plants.

Chloropyridinyl Neonicotinoid Metabolite Is a Putative SA Analog. Because IMI and CPA induce *PR1* in *Arabidopsis* in an ICS1-independent manner and they do not induce significant accumulation of SA, we proposed that CPA or a metabolite of CPA acts directly as an analog of SA. The acid 6-chloro-2-hydroxypyridinyl-3-carboxylic acid (2-HOCPA) was considered to be the most interesting candidate because it is structurally similar to SA (2-hydroxybenzoic acid), with even closer similarity to 4-chlorosalicylic acid (4-CISA), an active SA derivative (24) (Fig. 1B). In addition, the bacterial conversion of CPA to 2-HOCPA has been established (25), and a similar enzymatic conversion (the 2-hydroxylation of benzoic acid to SA) has been reported in plants (26). To test the hypothesis that 2-HOCPA is the active CPA metabolite and SA analog, the required authentic standard was prepared by an improved version of an earlier synthesis (27) (*SI Appendix*). LC/MS analyses on HPLC-fractionated extracts from CPA-treated *Arabidopsis* served to identify 2-HOCPA in CPA-treated leaves but not in control leaves (Fig. 5 and *SI Appendix*). The possibility that 2-HOCPA could act as an SA analog was examined by its ability to induce *PR1* expression. Not only did 2-HOCPA induce *PR1* expression, but it was considerably more potent than CPA, as *PR1* expression was induced by treatment with 0.4 mM 2-HOCPA but not

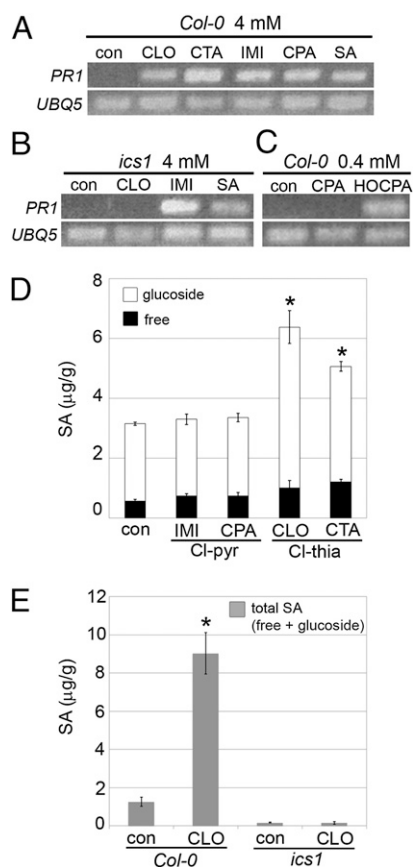


Fig. 3. Induction of the SA marker *PR1* and SA accumulation in *Arabidopsis*. RT-PCR (A–C) was performed for *PR1* and the housekeeping gene *UBQ5* for Col-0 and *ics1* SA biosynthetic mutant 4 d after chemical treatment compared with control (con). (D) SA accumulation at 4 d after 4-mM chemical treatment compared with control. Treatment with SA in the same experiment resulted in: free SA = 8.4 ± 0.9 and SA glucoside = 27 ± 4 $\mu\text{g/g}$. Data are mean \pm SD ($n = 3$) with $*P < 0.001$. (E) Total SA accumulation (free plus SA-glucoside) in response to 4 mM CLO for Col-0 or *ics1* plants 4 d posttreatment. Independent experiments gave similar results (*SI Appendix*).

CPA (Fig. 3C). Taken together, these data suggest that 2-HOCPA is a bioactivated metabolite acting similarly to SA.

The hydroxy-CPA 2-HOCPA Binds to SA-Sensitive Proteins. Because 2-HOCPA has obvious structural similarities to SA, we determined whether it functions similarly to SA at the biochemical level. An SA receptor has not been identified (10); however, there are two known SA-sensitive proteins, PBS3 and SABP2, which promote the induction of *PR1* in systemic tissue and SAR (28, 29) and are inhibited by SA with a K_i of 8 to 16 μM (29–31). Here, we establish that in addition to SA, 4-CISA and 2-HOCPA but not CPA inhibit PBS3 activity at physiologically relevant concentrations (30) (Table 2). The inhibition of PBS3 activity by 2-HOCPA is similar to that observed for the SA analog INA, which results in 9% inhibition of PBS3 activity at 30 μM and 70% inhibition at 300 μM INA (30). Inhibition of PBS3 activity by 2-HOCPA and not CPA highlights the importance of hydroxylation at the 2' position for inhibitory activity, as reported (30).

The binding of 2-HOCPA and CPA in the active site of SABP2 was modeled in comparison with SA (Fig. 6), 4-CISA, and several related compounds (*SI Appendix*). The chloro and hydroxyl groups of 2-HOCPA play important roles in the binding; 2-HOCPA is calculated to bind the active site of SABP2 with similar affinity to SA and 4-CISA, and with higher affinity than CPA and the isomers 4-HOCPA and 5-HOCPA, further sup-

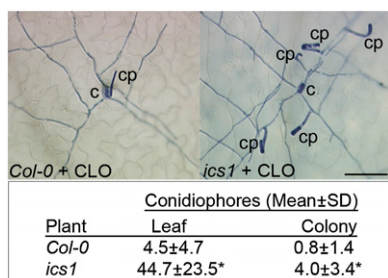


Fig. 4. CLO-induced enhanced resistance of *Arabidopsis* to powdery mildew is mediated by ICS1. Representative microscopic images at the leaf surface of a powdery mildew colony at 5 d postinfection, shown for *Col-0* and *ics1* plants treated with 4 mM CLO and infected 4 d later with powdery mildew conidia. c, germinated conidia; cp, conidiophores (reproductive structures). (Scale bar, 100 μ m.) Quantitative data ($n = 6$ leaves) for total conidiophores per leaf and per colony on a leaf for above experiment. * $P \leq 0.002$. An independent experiment ($n = 6$) gave similar results.

porting 2-HOCPA as the bioactive metabolite and functional analog of SA. With each compound, the interaction lengths for Ser O (from OH) to C of the ligand carboxylate are 2.9 to 3.0 Å, and for Ala 13 N to the nearest O of the ligand carboxylate are 2.7 Å. Furthermore, the carboxylic acid metabolite of BTH is predicted to bind SABP2 with higher affinity than INA, consistent with SABP2 inhibition studies (33).

Discussion

Neonicotinoids Induce SA-Associated Plant-Defense Responses. This study establishes that IMI and CLO induce SA-associated plant responses in structurally-dependent ways with the Cl-pyr and Cl-thia moieties as the pharmacophores (Fig. 1). This conclusion is based on three distinct lines of evidence: global expression profiles highly similar to that of SA with greatly enhanced expression of SA-associated genes including the marker *PRI*; enhanced resistance to powdery mildew; and either elevated endogenous biosynthesis of SA (by CLO and CTA) or metabolic conversion to an active SA-mimic (for the IMI metabolite, CPA).

The Cl-thia compound CLO and its metabolite CTA induce endogenous synthesis of SA via ICS1 that is required for SA-associated gene expression (e.g., *PRI*), and fully enhanced resistance to powdery mildew. This result differs from previous findings with the thiazolopyridine compounds BTH and tiadinil (Fig. 1B), both of which induce *PRI* expression and SAR, as BTH does not require endogenous accumulation of SA for these induced responses (22, 34) and the fungicide tiadinil does not induce endogenous synthesis of SA (35). Further study is needed to confirm tiadinil does not require endogenous SA accumulation for SA-associated gene expression and SAR, as the tiadinil experiments did not use *Arabidopsis* (35) and species-specificity may be important (36). However, long-established inducers of SAR, such as BTH and INA, do act on a wide variety of species and the beneficial effects of IMI and CLO on plant vigor and stress tolerance have been reported or claimed for a similarly diverse group of plants (7, 9).

In contrast to the Cl-thia compounds, IMI and its metabolite CPA elicit SA-associated responses in *Arabidopsis*, but do not induce significant accumulation of SA nor require ICS1 to induce *PRI* expression. Therefore, the hypothesis was tested that CPA, the common metabolite of all Cl-pyr neonicotinoids (5), is hydroxylated to the isostere of SA (and 4-CISA). Analyses of CPA-treated *Arabidopsis* showed the presence of a hydroxy-CPA, tentatively identified as 2-HOCPA. The very high *PRI*-inducing potency of 2-HOCPA and its tested or modeled ability to inhibit PBS3 and SABP2, respectively, support 2-HOCPA as an active mimic of SA, similar to the well established Cl-pyr SA analog, INA (Fig. 1B).

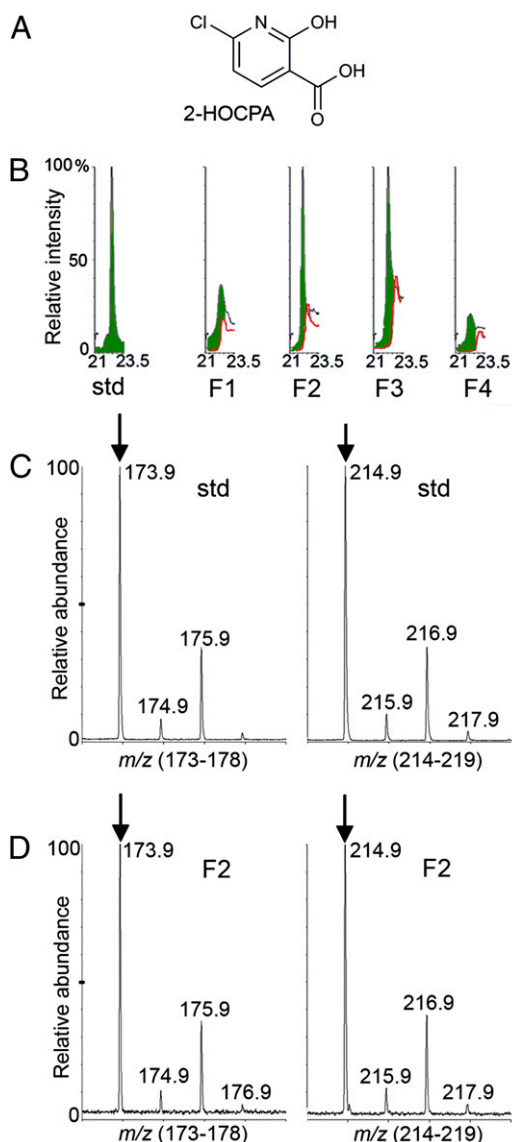


Fig. 5. Identification of 2-HOCPA (A) as a metabolite of CPA in *Arabidopsis*. (B) LC/MS chromatograms for $m/z = 174$ and $t_R = 21.0$ to 23.5 min for the synthetic 2-HOCPA standard (std) and HPLC-fractionated leaf extracts (fractions F1–F4) from CPA-treated (4 mM, 4 d posttreatment; black) and control (red) *Arabidopsis* leaf extracts. The green region in the overlay composite chromatograms can be largely attributed to 2-HOCPA. MS profile ($t_R = 22$ min) of (C) 2-HOCPA standard and (D) HPLC fraction F2 from CPA treated plants. Arrows point to $[M+1]^+ = 173.9$ and $[M + 1 + \text{acetonitrile}]^+ = 214.9$ with ^{35}Cl . Note the characteristic $^{35}\text{Cl}:^{37}\text{Cl}$ ratio of 3:1 for both ions. The MS profile for the parallel HPLC fraction F2 from control plants did not exhibit any of the MS ions shown (SI Appendix).

Action of Neonicotinoids in Insects and Plants. Cl-pyr and Cl-thia neonicotinoids, with combined annual world-wide sales of over 1.5 billion dollars, have in common outstanding insecticidal activity, often with independent enhancement of plant vigor and stress tolerance. Control of pest insects by neonicotinoids is based on their action as nicotinic agonists, and the nicotinic acetylcholine receptor site for insecticidal activity accepts the Cl-pyr and Cl-thia neonicotinoids almost equally well (37). In contrast to insecticidal action, which requires an intact neonicotinoid molecule, the activation of SA-associated responses by IMI and CLO requires only the carboxylic acid cleavage product. This activation of SA-associated responses may have an unanticipated negative impact on systemic insect defense, as robust activation of SA

four 1-mL fractions (F1–F4) encompassing the elution time of synthesized 2-HOCPA analyzed by LC/MS as detailed in *SI Appendix*.

Inhibition of SA-Binding Protein PBS3. Inhibitory activity was assessed using the coupled adenylation assay with 150 μ M *p*-aminobenzoic acid as the acyl substrate and 30 or 300 μ M of the test compound relative to the control, as in our earlier study (30).

Docking Model for SABP2 Active Site. Potential ligands were docked to the SABP2 crystal structure 1Y7I (32) after addition of hydrogen atoms and re-

moval of nonprotein moieties using Glide 5.5, as implemented in Maestro 9.0 (46) and detailed in *SI Appendix*.

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