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# Network controllability mediates the relationship between rigid structure and flexible dynamics

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<sup>16</sup> Keywords: Flexible Dynamics, Diffusion Tractography, Network Controllability, Network Modularity, Development

# ABSTRACT

1

Precisely how the anatomical structure of the brain supports a wide range of complex functions remains a
 question of marked importance in both basic and clinical neuroscience. Progress has been hampered by
 the lack of theoretical frameworks explaining how a structural network of relatively rigid inter-areal
 connections can produce a diverse repertoire of functional neural dynamics. Here, we address this gap by

positing that the brain's structural network architecture determines the set of accessible functional 21 connectivity patterns according to predictions of network control theory. In a large developmental cohort 22 of 823 youths aged 8 to 23 years, we found that the flexibility of a brain region's functional connectivity 23 was positively correlated with the proportion of its structural links extending to different cognitive 24 systems. Notably, this relationship was mediated by nodes' boundary controllability, suggesting that a 25 region's strategic location on the boundaries of modules may underpin the capacity to integrate 26 information across different cognitive processes. Broadly, our study provides a mechanistic framework 27 that illustrates how temporal flexibility observed in functional networks may be mediated by the 28 controllability of the underlying structural connectivity. 29

### AUTHOR SUMMARY

Precisely how the relatively rigid white matter wiring of the human brain gives rise to a diverse repertoire 30 of functional neural dynamics is not well understood. In this work, we combined tools from network 31 science and control theory to address this question. Capitalizing on a large developmental cohort, we 32 demonstrated that the ability of a brain region to flexibly change its functional module allegiance over 33 time (i.e., its modular flexibility), was positively correlated with its proportion of anatomical edges 34 projecting to multiple cognitive networks (i.e., its structural participation coefficient). Moreover, this 35 relationship was strongly mediated by the region's boundary controllability, a metric capturing its 36 capacity to integrate information across multiple cognitive domains. 37

# **INTRODUCTION**

The human brain is a complex interconnected system. Neural signals from one region spread to other regions in the system by traveling through underlying nerve fibers. Conceptually, every function has its foundation in structure (Huntenberg, Bazin, & Margulies, 2018; Park & Friston, 2013). Yet in practice, it is methodologically challenging to construct interpretable and theoretically justified relations between structure and function (Suarez, Markello, Betzel, & Misic, 2020). A key challenge lies in addressing precisely how individual neural circuits interact with each other and thereby give rise to brain dynamics.

<sup>44</sup> A second challenge lies in addressing the marked differences in structural and functional connectivity
<sup>45</sup> fingerprints across individuals (Finn et al., 2015; S. Mueller et al., 2013).

A promising approach to meet these challenges is network neuroscience. Network neuroscience 46 utilizes graph theory to understand connectivity patterns in neural systems. The computational toolbox of 47 network neuroscience can be used to encode data acquired from multiple imaging modalities including 48 diffusion weighted imaging (DWI) and functional magnetic resonance imaging (fMRI) (Bassett & Sporns, 2017). The former allows us to quantify the structural connectivity defined from axonal 50 projections, whereas the latter provides time series of the brain's blood-oxygen-level-dependent (BOLD) 51 activity that can be used to assess its **functional connectivity** (Bassett et al., 2011; Bullmore & Sporns, 52 2009; Hutchison et al., 2013). Therefore, network neuroscience equips us with a highly appropriate 53 framework to address our question on how the diverse functional expression of the human brain emerges 54 from its underlying structural architecture. 55

Although several prior studies have utilized graph theoretical principles to predict functional 56 connectivity given the underlying structural connectivity, it still remains unclear how relatively rigid 57 anatomical networks give rise to functional time series encoding flexible dynamic signals (Deco, Jirsa, & 58 McIntosh, 2011; Goñi et al., 2014; Hermundstad et al., 2013; Honey et al., 2009; Mišić et al., 2016; Park 59 & Friston, 2013; Supekar et al., 2010; Vasquez-Rodriguez et al., 2019). An early attempt to tackle this 60 problem focused on the statistical similarity between spatio-temporal structural and functional 61 connectivity patterns (Honey et al., 2009), and reported that functional patterns, although variable, are 62 constrained by the underlying structure. More recent studies have used principles from communication 63 theory to predict activity (Goñi et al., 2014), as well as to suggest that the transient nature of functional 64 connectivity depends on both the anatomical connections and the dynamic coordination of polysynaptic 65 pathways (Shen, Hutchison, Bezgin, Everling, & McIntosh, 2015). Complementary studies have also 66 begun to evaluate the relation between flexible functional expression and enhanced cognitive 67 performance (Baum et al., 2017, 2020; Bertolero, Yeo, & D'Esposito, 2015; Cocuzza, Ito, Schultz, 68 Bassett, & Cole, 2020; Cole, Ito, Cocuzza, & Sanchez-Romero, 2021; Hermundstad et al., 2013; Park & 69 Friston, 2013; Rosenberg, Martinez, et al., 2020; Rosenberg, Scheinost, et al., 2020; Sanchez-Alonso, 70

71 Rosenberg, & Aslin, 2021; Supekar et al., 2010; Wendelken et al., 2017; Yoo et al., 2020).

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A crucial consideration when attempting to bridge structure and function is the identification of 72 descriptive statistics of network organization that can be translated from structural to functional 73 modalities (Cabral, Kringelbach, & Deco, 2017; Murphy, Bertolero, Papadopoulos, Lydon-Staley, & 74 Bassett, 2020). One such descriptive statistic considered in this work is modular flexibility (Khambhati, 75 Sizemore, Betzel, & Bassett, 2018). Modular flexibility represents how frequently brain regions change 76 the functional modules they belong to, across time. On a dynamic functional network, a region that is 77 more likely to be connected to multiple functional modules at different time-points is hence more 78 flexible. Indeed, modularity has been reported to flexibly vary across time in a manner that tracks 79 cognitive processes, including working-memory performance (Pedersen, Zalesky, Omidvarnia, & 80 Jackson, 2018), executive function (Baum et al., 2017), learning capability (Bassett et al., 2011), 81 attention (Shine, Koyejo, & Poldrack, 2016), ability to respond to environmental uncertainty (Kao et al., 82

<sup>83</sup> 2020), and overall cognitive flexibility (Braun et al., 2015).

How does this flexible modularity arise from the relatively fixed white matter connectivity patterns? To 84 answer this question, we first turn to the way in which brain regions connect across modules. We use a 85 measure called the **participation coefficient**, which quantifies the relative distribution of a node's edges 86 between its own and different modules across the full brain network (Baum et al., 2017; Guimera & 87 Amaral, 2005; Power, Schlaggar, Lessov-Schlaggar, & Petersen, 2013). A lower participation coefficient 88 indicates that a node has edges primarily restricted to its own structural or functional **community**, 89 whereas a larger participation coefficient indicates that a node has edges uniformly distributed across 90 multiple communities (Power et al., 2013). To deepen our understanding, we next turn to a measure 91 called boundary controllability, recently introduced in the network control theory (NCT) literature 92 (Pasqualetti, Zampieri, & Bullo, 2014). The structural network architecture of a system, particularly as 93 measured by its **controllability**, can determine the range of dynamics that the system can support 94 (Towlson et al., 2018; Yan et al., 2017). Intuitively, structural boundary controllability measures the 95 necessary input required by a node to drive the overall system along a desired trajectory (Gu, Pasqualetti, 96 et al., 2015). The role of controllability in regulating dynamic brain state transitions as well as predicting 97 the maturity of adolescent brain systems during development has been corroborated by several recent 98 studies (Cornblath et al., 2020; Cui et al., 2020; Tang et al., 2017). 99

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In the present work, we sought to understand the relationship between rigid structure and flexible 100 dynamics. Our approach was to examine the relationships between the participation coefficients of both 101 structural and functional networks, the boundary controllability of structural networks, and the modular 102 flexibility of dynamic functional networks, across regions and among individuals. We hypothesized that 103 the participation coefficients of the structural network architecture would positively correlate with the 104 corresponding flexibility of the functional network. Such a potential association is conceptually justified: 105 a node with edges connecting only to other nodes within its own module would not typically be expected 106 to suddenly alter its connectivity patterns and establish connections with nodes from different modules, 107 over a short period of time. Moreover, we theorized that this relationship between structural participation 108 coefficients and modular flexibility would be fully mediated by the serial effects of boundary 109 controllability and functional participation coefficients. By bridging structure to dynamics in this 110 step-wise fashion, we conceptually unpack the transfer function from rigidity to flexibility. 111

#### MATERIALS AND METHODS

#### 112 Data acquisition and pre-processing

We used T1-weighted, diffusion tensor imaging (DTI) and resting-state fMRI BOLD data taken from 823 113 healthy individuals from the Philadelphia Neurodevelopmental Cohort (PNC) (Ingalhalikar et al., 2014; 114 Satterthwaite et al., 2014). All participants were between 8-23 years of age and their accompanying DTI 115 and fMRI data passed stringent quality control (Roalf et al., 2016; Rosen et al., 2018; Satterthwaite et al., 116 2014, 2013). All MRI scans were acquired on the same 3T Siemens Tim Trio whole-body scanner with a 117 32-channel head coil at the Hospital of the University of Pennsylvania. The Institutional Review Boards 118 of both the University of Pennsylvania and the Children's Hospital of Philadelphia have approved the 119 study procedures. 120

<sup>127</sup> The standardized structural imaging protocol included a T1-weighted scan obtained using a

<sup>128</sup> magnetization-prepared, rapid-acquisition gradient-echo sequence (repetition time: TR = 1810ms, echo

time: TE = 3.5ms, field of view: FoV =  $180 \times 240$ mm<sup>2</sup>, voxel dimensions = 1 x 1 x 1mm<sup>3</sup>, flip angle =

<sup>130</sup> 9°) and a DTI scan acquired using a twice-refocused spin-echo single-shot echo-planar imaging sequence

 $(TR = 8100 \text{ms}, TE = 82 \text{ms}, FoV = 240 \text{ x } 240 \text{mm}^2, \text{ voxel dimensions} = 2 \text{ x } 2 \text{ x } 2 \text{mm}^3, \text{ flip angle} = 100 \text{ms}$ 

 $_{132}$  90°/180°/180°). The T1-weighted scans were pre-processed using the automated *FreeSurfer* software



Figure 1. Structural and Functional Processing Pipeline Schematic. The (A) diffusive fiber tractography obtained from the DTI scans and (B) the restingstate BOLD fMRI time series are parcellated using (C) the 234-region Lausanne atlas, to construct (D) structural connectivity matrices and (E) functional time series for each subject. The structural connectivity matrices are then used to compute each region's (F) boundary controllability, whereas the functional time series are used to assess the modular dynamics of the time-resolved functional networks by calculating (G) the modular flexibility of each region. In our proposed analysis, the participation coefficients obtained from (H) the community partition of the static networks act as mediators in predicting how flexible the functional network will be, given its structural connections, thereby bridging the two imaging modalities.

suite (version 5.3) (Dale, Fischl, & Sereno, 1999; Fischl, Sereno, & Dale, 1999) and parcellated into 234 133 individualized network nodes based on the Lausanne atlas (Cammoun et al., 2012). Each node was then 134 assigned to one of eight pre-defined functional modules (Yeo et al., 2011): visual, somatomotor, dorsal 135 attention, ventral attention, limbic, frontoparietal control, default mode network, and subcortical. The 136 DTI scans were pre-processed using FSL, including skull stripping as well as correction for eddy currents 137 and in-scanner motion (Smith et al., 2004). Deterministic tractography was then implemented using DSI 138 Studio, and symmetric adjacency matrices were generated for each subject where the edge weight 139 between two given nodes was defined as the mean **fractional anisotropy** along the connecting 140

streamlines (Yeh, Verstynen, Wang, Fernández-Miranda, & Tseng, 2014). A more detailed description of
the parameters used in the proposed processing pipeline can be found in Ref. (Baum et al., 2017).

Resting-state fMRI scans were also acquired for each subject using a BOLD sequence (TR = 3000ms, 143 TE = 32ms, FoV =  $192 \times 192 \text{mm}^2$ , voxel dimensions =  $3 \times 3 \times 3 \text{mm}^3$ , flip angle =  $90^\circ$ ). The functional 144 images were pre-processed using a previously validated pipeline (Ciric et al., 2017). Steps included 145 correction for distortions induced by magnetic field inhomogeneities, removal of the initial four volumes 146 of each acquisition to allow for steady-state magnetization, re-alignment of all volumes to a reference 147 volume, co-registration of functional data to structural data, temporal band-pass filtering, and de-noising 148 (confound regression applied, including 36 regressors as well as spike regression) of the BOLD time 149 series (Ciric et al., 2017; Satterthwaite et al., 2013). In-scanner head motion was defined as the mean 150 relative root-mean-squared displacement calculated during the time series re-alignment step of the 151 pipeline (Satterthwaite et al., 2013). After the data were pre-processed, the scans were parcellated into 152 the same 234 individualized network nodes as the DTI scans. Functional connectivity matrices were 153 finally generated for each subject where the edge weight between two given nodes was defined as the 154 Pearson's correlation coefficient between their corresponding BOLD signals. The overall pipeline is 155 schematically illustrated in Figure 1. 156

#### **157** Participation Coefficients

Participation coefficients measure the extent to which a node's connectivity profile participates diversely across modules. Mathematically, given a network wherein  $N_m$  designates the total number of modules considered (here set to eight), s iterates through the eight pre-defined functional modules mentioned in the previous section,  $l_s^i$  represents the number of links between node i and nodes in module s, and  $d_i = \sum_s l_s^i$  represents the total degree of node i, the participation coefficient of node i is defined as:

$$pc_i = 1 - \sum_{s=1}^{N_m} \left(\frac{l_s^i}{d_i}\right)^2.$$
 (1)

Participation coefficients range between zero and one, where a value of zero indicates that a node's edges
 are entirely restricted to its own community and a value of one indicates that a node's edges uniformly
 extend across all other modules in the network (Power et al., 2013).

#### 161 Boundary Controllability of Structural Networks

NCT is a mathematical framework that aims to assess whether a network can be controlled. Specifically, 162 NCT asks whether the output of the overall system can be driven towards a desired outcome given a set 163 of input signals. There are several metrics from NCT that attempt to quantify a node's overall ability to 164 alter other nodes' neurophysiological states (Pasqualetti et al., 2014). Here, we focused on a metric called 165 boundary controllability. Assuming we have a structural connectivity matrix constructed from a DTI 166 scan, the boundary controllability of a node is a heuristic metric predicting its ability to integrate 167 information across different cognitive processes. In other words, brain regions with high boundary 168 controllability tend to lie at the boundaries between network communities, and are thus thought to be 169 structurally predisposed to efficiently control the integration of different cognitive systems (Gu, 170 Pasqualetti, et al., 2015). 171

In order to calculate the boundary controllability of each brain region, we first partitioned the cortical 172 mantle into communities, using a common community-detection algorithm (Louvain-like locally greedy 173 heuristic algorithm) (Bassett et al., 2013; Blondel, Guillaume, Lambiotte, & Lefebvre, 2008; Gu, 174 Pasqualetti, et al., 2015). Based on that community partition, we identified an initial set of boundary 175 nodes  $(N_1)$  and assigned them a boundary controllability value of one (Pasqualetti et al., 2014). Then, we 176 used an iterative process to further partition the network into communities to identify more boundary 177 nodes at increasingly finer levels of the modular hierarchy, until all nodes were assigned a boundary 178 controllability value. During each step of this iterative process, the boundary controllability value of each 179 node i was set to  $(N - N_i)/N$ , where N is the total number of cortical brain regions and  $N_i$  is the 180 number of nodes on the boundary between communities (Gu, Pasqualetti, et al., 2015). 181

### 182 Modular Flexibility of Functional Networks

<sup>183</sup> Brain regions have been shown to interact among themselves across multiple temporal scales, even at rest

(Betzel et al., 2019; Meunier, Lambiotte, Fornito, Ersche, & Bullmore, 2009; M. E. Newman, 2006;

<sup>185</sup> Rubinov & Sporns, 2010). This property gives rise to modular dynamics which can be assessed

quantitatively by modular flexibility (Bassett et al., 2011).

The parcellated time series of each subject  $\mathbf{x}_{N \times T}$  (N = 234 regions, T = 120 TRs) were divided into 10 non-overlapping time-windows, and the temporal network  $\{A_{ijt}\}_{t=1}^{10}$  was constructed by defining  $A_{ijt}$  as the Pearson's correlation coefficient between the BOLD time series of regions *i* and *j* within the  $t^{th}$ 

sliding window Telesford et al. (2016). Each time-window corresponded to a layer in the multi-layer network, and the multi-layer signed modularity function was defined as (Mucha, Richardson, Macon, Porter, & Onnela, 2010):

$$Q_{\text{multi-layer}} = \sum_{t=1}^{T} \sum_{ij} [(A_{ijt}^{+} - \gamma P_{ijt}^{+}) - (A_{ijt}^{-} - \gamma P_{ijt}^{-})] \delta(g_{i}^{t}, g_{j}^{t}) + \sum_{t=1}^{T-1} \sum_{i} \omega \cdot \delta(g_{i}^{t}, g_{i}^{t+1}), \qquad (2)$$

where  $A_{ijt} = A_{ijt}^+ - A_{ijt}^-$  is decomposed into its positive and negative parts with  $P_{ijt}^+$  and  $P_{ijt}^-$ 187 representing the corresponding parts obtained from null models (Newman-Girvan) (M. E. J. Newman & 188 Girvan, 2004). The label  $g_i^t$  denotes the community assignment of node *i* in the  $t^{th}$  layer,  $\delta(x, y)$  is the 189 Kronecker- $\delta$  function set equal to 1 if x = y and to 0 otherwise,  $\gamma$  is a parameter that tunes the size of 190 communities (here, equal to 1), and  $\omega$  represents the coupling strength between neighboring layers (here, 191 equal to 1). A recent study identified that the test-retest reliability in calculating dynamic network 192 measures, such as modular flexibility, depended on parameter selection (i.e.,  $\gamma$  and  $\omega$ ), among other 193 factors (Yang et al., 2020). Even though that study identified the parameter value pair with the overall 194 highest intra-correlation coefficient to be  $(\gamma, \omega) = (1.05, 2.05)$ , we implemented the more widely used 195 pair  $(\gamma, \omega) = (1, 1)$  (Bassett et al., 2011; Betzel, Satterthwaitte, Gold, & Bassett, 2017; Braun et al., 2015; 196 Pedersen et al., 2018; Telesford et al., 2016) because the corresponding modular flexibility values were 197 virtually identical (r = 0.963, p = 0). 198

In order to explore the temporal evolution of each module in the multi-layer network, each node within each time-window was assigned into a community, indicating its module allegiance. For this purpose, a Louvain-like locally greedy heuristic algorithm (Braun et al., 2015) was used to maximize the modularity index  $Q_{\text{multi-layer}}$ . This process gave rise to a partition matrix  $\mathbf{G}_{N \times T}$  (N = 234 regions, T = 10 time-windows) in which  $G_{i,t}$  represented the community to which node *i* in layer *t* belonged. The nodal flexibility  $f_i$  of each region *i* was then defined as:

$$f_i = 1 - \frac{1}{T - 1} \sum_{t=1}^{T-1} \delta(G_{i,t}, G_{i,t+1}),$$
(3)

and assesses how often brain region *i* shifts its community assignment between temporal layers. On the subject level, the global flexibility of the entire network was defined as the mean of all regional  $f_i$  values:  $F = \frac{1}{N} \sum_{i=1}^{N} f_i$ . An in-depth description of the method can be found in Ref. (Khambhati et al., 2018).

#### 202 Statistical Analyses

All analyses were performed using the SPSS statistical software (v26, Armonk, NY: IBM Corporation) 203 and Python (v3.9). A threshold for significance of p < 0.05 was used. Pearson's correlation coefficients 204 (r) and p-values were reported for each bivariate analysis. In order to address the potential spatial 205 autocorrelation between our structural and functional metrics of interest, we applied a previously 206 validated spatial permutation framework (i.e., spin test with 100,000 permutations) to generate null 207 models (Alexander-Bloch et al., 2018). For those analyses (i.e., Figures 2A and D), the corresponding 208 *p*-values are reported as  $p_{spin}$ . Moreover, for all multiple linear regression analyses performed, age, sex, 209 and in-scanner head motion were adjusted for, and correction for multiple comparisons was performed 210 using the Benjamini-Hochberg false discovery rate (FDR) procedure (i.e., Figures 4 and 5) (Benjamini & 211 Hochberg, 1995). 212

#### 213 Mediation Model

All mediation analyses were performed using the *PROCESS* (v3.4) statistical macro for SPSS (Haves, 214 2017). Structural participation coefficients were designated as the independent variable, boundary 215 controllability as the first mediator, functional participation coefficients as the second mediator, and 216 modular flexibility as the dependent variable. Age, sex, and in-scanner head motion were used as 217 covariates in all subject-wide analyses. The hypothesized serial mediation effect was tested using 218 bootstrapping (10,000 samples). Mediation was deemed significant if the bootstrapping confidence 219 interval did not include zero. Unstandardized regression coefficients (c) and p-values were reported for 220 each association within the mediation analysis. 221

For the purpose of maintaining consistency, all variables within the mediation model were rescaled to range from zero to one. Moreover, in order to incorporate temporal directionality into the model, the functional participation coefficient of each node was calculated only during the first time-window of the

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Figure 2. Region-wide patterns. Structural patterns are shown in the first row: (A) Boundary controllability was significantly correlated with the structural 227 participation coefficient (r = 0.59,  $p_{spin} = 1 \times 10^{-5}$ ; the shaded areas correspond to the confidence curves for the fitted line). In order to address the 228 potential spatial autocorrelation between boundary controllability and the structural participation coefficient, we applied a spatial permutation framework (spin 229 test; see Methods). We also show the average patterns of regional fluctuations of (B) the structural participation coefficients and (C) boundary controllability, 230 across all subjects. Functional patterns are shown in the second row: (D) Modular flexibility was significantly correlated with the functional participation 231 coefficient (r = 0.78,  $p_{spin} = 2 \times 10^{-5}$ ). In order to address the potential spatial autocorrelation between modular flexibility and the functional participation 232 coefficient, we applied a spatial permutation framework (spin test; see Methods). We also show the average patterns of regional fluctuations of (E) the functional 233 participation coefficients and (F) modular flexibility across all subjects. 234

resting-state fMRI BOLD sequence, whereas its modular flexibility was averaged across all remaining
 time-windows (second through tenth).

# RESULTS

#### <sup>225</sup> Structural Participation Coefficients Positively Correlate with Boundary Controllability

A brain region's structural participation coefficient quantifies its role in communicating across multiple 236 modules (Hall et al., 2018). Similarly, boundary controllability assesses a region's predicted ability to 237 integrate information from different cognitive modules, attributing higher values to regions that are 238 located on the boundary of larger modules (Medaglia et al., 2018). Therefore, we hypothesized that these 239 two metrics would be positively correlated. To examine this hypothesis, we computed both metrics for 240 each region and averaged them across all subjects. We observed a strong positive correlation (r = 0.59, 241  $p_{spin} = 1 \times 10^{-5}$ ) between the structural participation coefficient and boundary controllability across 242 regions (Figure 2A). Regionally, the parietal and temporal lobes displayed high values of the 243 participation coefficient and boundary controllability, whereas the occipital lobe displayed low values 244 (Figures 2B and C). 245

# 246 Functional Participation Coefficients Positively Correlate with Modular Flexibility

As described earlier, modular flexibility assesses how often a node shifts its community assignment 247 across different time-windows. Functional participation coefficients reflect the same property on the 248 static level; that is, during one time-window. Indeed, some regions known as connector hubs, play a 249 gating role across multiple communities and have a larger functional participation coefficient (Bertolero, 250 Yeo, Bassett, & D'Esposito, 2018; Cohen & D'Esposito, 2016). The same regions would also be 251 theoretically expected to often shift their allegiance between different cognitive networks, across multiple 252 temporal scales. Thus, we hypothesized that the participation coefficients obtained from the static 253 functional network would be positively correlated with the functional network's flexibility across time. 254 To test our hypothesis, we first computed these two metrics for each region and averaged the values 255 across subjects. We observed that the functional participation coefficients and the modular flexibility 256 were strongly positively correlated across regions (r = 0.78,  $p_{spin} = 2 \times 10^{-5}$ ; Figure 2D). Moreover, the 257 temporal lobe displayed high values of functional participation coefficient and modular flexibility, 258 whereas the medial frontal lobe displayed low values (Figures 2E and F). 259

# Boundary Controllability and Functional Participation Coefficients Serially Mediate the Relationship between Structural Participation Coefficients and Modular Flexibility



Figure 3. Serial Mediation Model. We examined the hypothesis that the structural participation coefficient of each brain region (obtained from the DTI sequences) can predict its modular flexibility (obtained from the resting-state fMRI BOLD sequences) via the serial mediation effects of boundary controllability and the functional participation coefficient. In order to establish temporal directionality within the mediation model, the functional participation coefficient was calculated only during the first time-window of the functional time series and modular flexibility was averaged across all remaining time-windows (second through tenth). The unstandardized regression coefficient (c) and p-value are reported for each association within the mediation analysis. Moreover, the total and direct effects of the structural participation coefficient (independent variable) on modular flexibility (dependent variable) are also provided (total effect: regression coefficient c, p-value; direct effect: regression coefficient c', p-value).

In the previous two sections, we established that a region's ability to dynamically interact with multiple 269 cognitive modules (via structural controllability and functional flexibility) was also reflected on the static 270 level (via participation coefficients), in both structural and functional modalities. We next attempted to 271 bridge the two imaging modalities. We hypothesized that the structural participation coefficient of a 272 region would predict its functional modular flexibility via the serial mediation effects of its boundary 273 controllability and functional participation coefficient. In testing this hypothesis, we found that, across 274 regions, the structural participation coefficient (the independent variable) was positively correlated with 275 temporal modular flexibility (the dependent variable) (r = 0.16,  $p_{spin} = 0.012$ ). As theorized, this effect 276 was serially mediated by boundary controllability and functional participation coefficients (Figure 3; total 277 effect = 0.215; p = 0.002, indirect effect = 0.190; Bootstrapping Confidence Interval = [0.119 0.269]). 278

It is noteworthy that the significant positive correlation between structural participation coefficients and (the second mediator) functional participation coefficients (r = 0.32,  $p = 3.88 \times 10^{-7}$ ) became

non-significant after regressing out (the first mediator) boundary controllability (c = 0.097; p = 0.25). 281 Similarly, even though the positive association between boundary controllability and modular flexibility 282 was significant (r = 0.32,  $p = 5.54 \times 10^{-7}$ ), it became non-significant once the functional participation 283 coefficient was regressed out of the model (c = 0.009, p = 0.84). More importantly, however, the direct 284 effect of the structural participation coefficient on modular flexibility became non-significant once the 285 effects of the mediators were regressed out of the model (Figure 3; c' = -0.049, p = 0.41). These 286 statistical results strongly support the notion that the total effect of the structural participation coefficient 287 on modular flexibility is indeed fully mediated by the serial effects of boundary controllability and the 288 functional participation coefficient. 289

# Relationship between Participation Coefficients, Boundary Controllability, and Modular Flexibility, across subjects

Thus far, we have focused on regional variation in structure and dynamics, and their relations. Next, we 300 turn to subject-level variation to better understand inter-individual differences. Specifically, we examine 301 the relationship(s) between participation coefficients, boundary controllability, and modular flexibility, 302 across subjects. We used multiple linear regression models to adjust for age, sex, and in-scanner head 303 motion (corrected for multiple comparisons using FDR). As in the regional analyses, we observed that 304 the structural participation coefficient was positively correlated with boundary controllability (Figure 4A; 305  $r = 0.22, p = 3 \times 10^{-10}$ ; FDR) and with the functional participation coefficient (Figure 4B; r = 0.10, 306 p = 0.005; FDR), but not with modular flexibility (Figure 4C; r = 0.03, p = 0.40; FDR). Moreover, 307 boundary controllability was correlated with the functional participation coefficient (Figure 4D; r = 0.10, 308 p = 0.005; FDR) and had a trending association with modular flexibility (Figure 4E; r = 0.07, p = 0.07; 309 FDR). Lastly, we observed a strong positive correlation between average functional participation 310 coefficient and modular flexibility (Figure 4F; r = 0.41,  $p = 1 \times 10^{-33}$ ; FDR). We note that in each 311 aforementioned multiple regression model, age was significantly and consistently correlated with the 312 dependent variable (Figure 4A:  $p = 1.6 \times 10^{-7}$ , Figure 4B: p = 0.002, Figure 4C: p = 0.003, Figure 4D: 313 p = 0.002, Figure 4E: p = 0.007, and Figure 4F: p = 0.066; FDR). 314

Although we observed no significant correlation between the structural participation coefficient and modular flexibility across subjects, a mediation effect between the two variables could still exist (Hayes,



Figure 4. Subject-wide correlations. We examined whether the correlations observed within our structural and functional variables across regions persisted 290 across subjects. In all analyses, we adjusted for age, sex, and in-scanner head motion (FDR corrected for multiple comparisons). Here we show leverage 291 plots corresponding to the multiple linear regression models used: (A) The structural participation coefficient was significantly correlated with boundary 292 controllability (r = 0.22,  $p = 3 \times 10^{-10}$ ) and with (B) the functional participation coefficient (r = 0.10, p = 0.005), but not with (C) modular flexibility 293 = 0.03, p = 0.40). (D) Global boundary controllability was also positively associated with the average functional participation coefficient for each subject 294 = 0.10, p = 0.005) and had a trending relationship with (E) modular flexibility (r = 0.07, p = 0.07). Lastly, as in the regional case, (F) each subject's 295 average functional participation coefficient was strongly correlated with its corresponding modular flexibility (r = 0.41,  $p = 1 \times 10^{-33}$ ). The shaded areas 296 correspond to the confidence curves for the fitted lines. 297

<sup>317</sup> 2018). Thus, we examined whether boundary controllability and the functional participation coefficient <sup>318</sup> still played a serial mediation effect in regulating the relationship between the structural participation <sup>319</sup> coefficient and modular flexibility. Once again, we observed a significant mediation effect in the same <sup>320</sup> direction as observed in the regional analyses (total effect = 0.034, p = 0.37; indirect effect = 0.006; <sup>321</sup> Bootstrapping Confidence Interval = [0.0002 0.0125]). However, when age, sex, and in-scanner head

motion were also included as covariates in the mediation model, the significance disappeared (total effect = -0.005, p = 0.90; indirect effect = 0.003; Bootstrapping Confidence Interval = [-0.001 0.009]).

#### <sup>324</sup> Developmental Trajectories of Participation Coefficients, Boundary Controllability, and Modular Flexibility

Due to the substantial effects of age in the aforementioned subject-wide analyses, we tracked the 325 age-related changes in all pertinent variables. We specifically examined the relationships between age 326 and the structural and functional participation coefficients, boundary controllability, and modular 327 flexibility, after regressing out the effects of sex and in-scanner head motion (corrected for multiple 328 comparisons; FDR). Notably, all variables of interest decreased linearly with age, with the structural 329 markers (i.e., structural participation coefficient, Figure 5A, r = -0.20 and  $p = 2 \times 10^{-8}$ ; boundary 330 controllability, Figure 5B, r = -0.22 and  $p = 3 \times 10^{-10}$ ) displaying larger effect sizes than their 331 functional counterparts (i.e., functional participation coefficient, Figure 5C, r = -0.14 and 332  $p = 1 \times 10^{-4}$ ; modular flexibility, Figure 5D, r = -0.12 and  $p = 4 \times 10^{-4}$ ). 333

#### DISCUSSION

The brain is an interconnected dynamical system whose functional expression relies on the underlying 339 white matter architecture (Deco et al., 2013). The intrinsic mechanisms, however, of how such a diverse 340 repertoire of functions emerges from a relatively rigid anatomical backbone have yet to be fully 341 understood. In this study, we combined tools from network neuroscience and control theory to examine 342 whether and how white matter tractography networks support the flexible modular architecture of the 343 brain, as derived from resting-state fMRI BOLD signals. In structural networks, we found that a region's 344 participation coefficient was strongly positively correlated with its boundary controllability, suggesting 345 that its ability to be controlled by external input can be assessed by the distribution of edges within its 346 own and different modules across the network. Similarly, in functional networks, we found that a region's 347 participation coefficient strongly correlated with its modular flexibility, suggesting that a region's 348 flexibility across multiple temporal windows can be captured by its static participation in the 349 communication occurring both within and between modules. Collectively, these observations provide us 350 with foundational intuitions regarding how temporally-evolving patterns of communication can arise 351 from fixed structural connectomes. 352



Figure 5. Age-related changes in structural and functional metrics. Global structural and functional metrics were derived per individual by averaging the corresponding values across brain regions. (*A*) The structural participation coefficient (r = -0.20,  $p = 2 \times 10^{-8}$ ; FDR), (*B*) boundary controllability (r = -0.22,  $p = 3 \times 10^{-10}$ ; FDR), (*C*) functional participation coefficient (r = -0.14,  $p = 1 \times 10^{-4}$ ; FDR), and (*D*) modular flexibility (r = -0.12,  $p = 4 \times 10^{-4}$ ; FDR) all declined linearly with age. The effects of sex and in-scanner head motion were regressed out, and each analysis was FDR corrected for multiple comparisons. The shaded areas correspond to the confidence curves for the fitted lines.

#### 353 Structure-function relations across anatomical regions

Once a network structure is provided, one can begin to understand the anatomical support for various patterns of communication both within and between its component modules (Avena-Koenigsberger, Misic, & Sporns, 2018). Each module (or community) consists of a group of densely interconnected nodes (Sporns & Betzel, 2016). Each node can then be assigned a participation coefficient which

- <sup>358</sup> quantifies its connectivity distribution across communities (Guimera & Amaral, 2005). A high
- <sup>359</sup> participation coefficient indicates strong between-module and weak within-module connectivity; a low
- <sup>360</sup> participation coefficient indicates a more uniformly distributed connectivity pattern across modules.

Intuitively, whether a region's connections remain local to or expand beyond their community could 361 partially determine that region's control over signal transduction throughout the network (Gu, Pasqualetti, 362 et al., 2015; Medaglia et al., 2018). Here we validated that intuition in our structural analyses, where the 363 participation coefficient of a given node displayed a strong correlation with its boundary controllability. 364 The result also deepens our understanding of the brain's structural organization. The participation 365 coefficient is calculated from a single scale of modules as defined by the eight cognitive systems 366 examined (Yeo et al., 2011), whereas the boundary controllability assesses a region's location betwixt 367 modules defined across all scales, from eight modules to N modules. Hence, the strong relation between 368 these two variables indicates that submodules tend to be formed by larger modules breaking at a hinge, 369 rather than by the center of a large module falling out, like a donut hole. It would be interesting in future 370 work to further examine individual differences in these hinge-like hierarchies and assess their relevance 371 for cognitive function. 372

Whereas white matter structure provides anatomical support for communication patterns, the brain's 373 functional dynamics can provide more direct measurements of those putative patterns. In a functional 374 brain network, the participation coefficient can be used to assess how uniformly the edges of a node span 375 modules in a single temporal window, which is typically chosen to be the full duration of the functional 376 scan (Power et al., 2013). In contrast, modular flexibility can be used to assess how the allegiance of a 377 node to a module changes over multiple temporal windows, or over different time scales (Khambhati et 378 al., 2018). For instance, a node that constantly shifts its allegiance between different communities over a 379 task duration or resting-state period would have a high modular flexibility value. Flexibility is a 380 fundamental property of dynamical and adaptive systems, which is thought to support a range of human 381 cognitive processes including motivation (O'Ralley, 2020), working memory (Pedersen et al., 2018), and 382 cognitive flexibility (Braun et al., 2015; Ramos-Nunez et al., 2017), is age-dependent (Malagurski, Liem, 383 Oschwald, Merillat, & Janche, 2020; Schlesinger, Turner, Lopez, Miller, & Carlson, 2017), and can be 384 modulated by mood (Betzel et al., 2017), exercise (Sinha, Berg, Yassa, & Gluck, 2021), and hormonal 385 fluctuations (J. M. Mueller et al., 2021). Following existing literature discussing the relationship between 386 static and dynamic connectivity (Betzel, Fukushima, He, Zuo, & Sporns, 2016), we asked whether a region's static participation coefficient was associated with its dynamic flexibility. That is, if a region is – 388 on average – strongly connected to multiple modules, does that region also have a propensity to change 389

the module to which it is most strongly connected over short time periods? We find that that answer is "yes": a region's functional participation coefficient and modular flexibility were strongly positively correlated. This correspondence between the temporal average and the time-resolved behavior provides deeper insight into the nature of regional roles within a functional network. What appears over all time-windows as a broad participation is in fact produced by temporally resolved affiliations, where a region participates most closely with different modules at different time-points.

#### <sup>396</sup> What mediates the relationship between structure and dynamics?

Given the cognitive and clinical relevance of flexibility (Bailey, Aboud, Nguyen, & Cutting, 2018; 397 Barbey, 2018; Bassett, Yang, Wymbs, & Grafton, 2015; Chong et al., 2019; Doucet, Bassett, Yao, Glahn, 398 & Frangou, 2017; Finc et al., 2017; Harlalka, Bapi, Vinod, & Roy, 2019; Rolls, Cheng, & Feng, 2021; 399 Shine, Bissett, et al., 2016; Zhang et al., 2016), it is important to establish a statistically principled 400 pathway whereby modular flexibility could be regulated, and might depend upon underlying structure. 401 Accordingly, we tested the hypothesis that a region's structural participation coefficient predicts its 402 corresponding functional flexibility, via the serial mediation effects of its boundary controllability and 403 functional participation coefficient. In order to account for temporal directionality in this mediation 404 model, we computed each region's functional participation coefficient only during the first time-window 405 (out of a total of 10 non-overlapping time-windows) of the resting-state fMRI BOLD sequence, and we 406 calculated its modular flexibility across all remaining time-windows of the same sequence. Consistent 407 with our hypothesis, we found a strong serial mediation effect between structural participation 408 coefficients and functional flexibility, which was fully mediated by the serial effects of boundary 409 controllability and functional participation coefficient (Figure 3). This directional mediation effect was 410 strong – yielding a ratio of indirect to total effect of 0.88 – in predicting the functional flexibility of a 411 region given its structural participation coefficient (Kenny, Kashy, & Bolger, 1998). Notably, when the 412 mediation effects of the two mediators were regressed out of the model, the significant association 413 between structural participation coefficients and modular flexibility disappeared, suggesting that a full 414 mediation effect was present (Hayes, 2018; Rucker, Preacher, Tormala, & Petty, 2011). 415

<sup>416</sup> Overall, this result shows how a static structural property of a region can mediate a dynamic functional <sup>417</sup> property of the same region. Specifically, the distribution of a region's edges at a given time-point can

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mediate how a region shifts the edges' module allegiance over a period of time. Our observation that 418 boundary controllability and functional participation coefficients strongly mediated this relationship, 419 demonstrates that in addition to having edges reaching different modules across the network, a region's 420 strategic placement on the boundaries of such modules is also important in assessing its flexibility. This 421 dependence is intuitive, and existing on the boundary bestows the region with the ability to potentially 422 integrate information across different cognitive processes. Indeed, connector hubs - nodes with diverse 423 connections across modules and anatomically located at the boundaries between communities – have 424 been previously reported to coordinate connectivity changes occurring between nodes from different 425 communities, during cognitive tasks (Bertolero et al., 2018; Gratton, Laumann, Gordon, Adeyemo, & 426 Petersen, 2016). 427

# 428 Structure-function relations across individuals & through development

In order to examine how the relationships between participation coefficients, structural controllability, 429 and functional flexibility varied across participants, we computed a global average metric for each 430 structural and functional marker, per individual, and repeated the above analyses across a large 431 developmental cohort of youth (PNC) (Satterthwaite et al., 2014). Overall, the associations between the 432 variables of interest remained significant in multiple linear regression models adjusting for age, sex, and 433 in-scanner head motion, as in the region-wide analyses. There were, however, two main differences 434 between the across-region and the across-subjects results: (i) the relationship between the structural 435 participation coefficient and modular flexibility became non-significant in the across-subjects analysis, 436 and (ii) age was consistently correlated with the dependent variable in all regression models. 437

Even though there was no correlation between the structural participation coefficient and modular flexibility in the across-subjects analysis, we re-tested our hypothesis that the relationship between the two variables was still mediated by the structural networks' boundary controllability and the functional networks' participation coefficients (Hayes, 2018). Similarly to the across-region analysis, we discovered that a mediation effect of the same directionality was still present and significant. Notably, however, when we included age as a covariate, the mediation effect was no longer significant. This observation could reflect the instrumental role that age plays in shaping structural and functional connectivity during this developmental period.

The important effect of age in the subject-wide mediation model, in addition to our earlier observation 446 that age was strongly correlated with each one of the variables explored in the subject-wide analyses, 447 motivated us to study the age-related changes in all structural and functional variables of interest. Such 448 changes are anticipated, given that the age range of our cohort spans childhood and adolescence, which is 449 a critical period of neurodevelopment and neuroplasticity (Brun et al., 2009; Casey, Tottenham, Liston, & 450 Durston, 2005; Foulkes & Blakemore, 2018; Fuhrmann, Knoll, & Blakemore, 2015; Lenroot et al., 2009; 451 Somerville et al., 2018). We found that both sets of structural (i.e., structural participation coefficient and 452 boundary controllability) and functional (i.e., functional participation coefficient and modular flexibility) 453 metrics decreased linearly with age, with the former displaying larger effect sizes. 454

The robust age-related decrease of the structural participation coefficient across youth observed in this 455 study has been previously reported (Baum et al., 2017; Dosenbach et al., 2010; Fair et al., 2009; Gu, 456 Satterthwaite, et al., 2015). As a region's participation coefficient decreases, it develops strong 457 within-module connectivity and weak between-module connectivity. The corresponding increase in 458 modular segregation across development has been shown to enhance network efficiency via the 459 strengthening of hub edges, and to support executive performance (Baum et al., 2017). Here we further 460 observe that boundary controllability decreases with age, while other metrics of controllability (average 461 and modal) have been reported to increase over the same developmental period (Tang et al., 2017). This 462 pattern of findings is particularly notable as boundary controllability is driven by changes in modular 463 architecture, whereas neurodevelopmental changes in average and modal controllability are not (Gu, 464 Pasqualetti, et al., 2015; Tang et al., 2017). These observations suggest that a fundamental change in 465 graph architecture is taking place throughout this developmental period that potentially contributes to the 466 maturity of brain modules, in support of the emergence of functional roles of cognitive systems (Gu, 467 Satterthwaite, et al., 2015) associated with network segregation (Baker et al., 2015; Baum et al., 2017; 468 Fair et al., 2009, 2007). Furthermore, the changes in modular flexibility that we observed across this 469 period could represent enhanced communication plasticity, paving the way for the emergence of 470 high-order cognitive functions characteristic of adulthood (Geerligs, Saliasi, Maurits, & Lorist, 2015; 471 Luna, Marek, Larsen, Tervo-Clemmens, & Chahal, 2015). 472

# **LIMITATIONS & FUTURE DIRECTIONS**

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The work should be examined in light of several methodological considerations and limitations. This 473 study, by design, was cross-sectional aiming to explore how functional flexibility emerged from the 474 underlying white matter architecture. A cross-sectional design is limited in its ability to tease apart 475 temporal precedence. As such, it would be highly informative to also include longitudinal data that 476 address how the structural and functional properties of each region change during this crucial period of 477 neurodevelopment. In order to account for temporal directionality in our mediation analyses, we 478 computed functional participation coefficients from the first temporal window of the fMRI BOLD 479 sequence and modular flexibility from the remaining time-windows. This approach could, however, raise 480 the issue that incorporating functional signals from a single time-window with limited time series length 481 could inflate signal noise (Noble, Scheinost, & Constable, 2019). In order to address this potential 482 concern, we repeated our mediation analyses after computing mean functional participation coefficients 483 and modular flexibility from all time-windows; all conclusions remained the same. Moreover, although 484 most of the structural and functional markers examined here have been separately reported to regulate 485 cognitive functions such as executive function (Baum et al., 2017; Reineberg & Banich, 2016), 486 processing speed (Varangis, Habeck, Razlighi, & Stern, 2019), and working memory (Stevens, Tappon, 487 Garg, & Fair, 2012), it would be beneficial to incorporate clinical and neurocognitive dimensions into our 488 mediation models. Incorporating behavioral data will address the question of how the serial mediation 489 model as a whole could shape behavior and cognition, and how deficits in the inter-relations among its 490 components could potentially lead to neurological and psychiatric developmental disorders (Aerts, Fias, 491 Caeyenberghs, & Marinazzo, 2016; Griffa, Baumann, Thiran, & Hagmann, 2013; Millan et al., 2012; 492 Warren et al., 2014). Finally, replicating our results in different cohorts during the same period would be 493 of paramount importance to ensure reproducibility.

#### CONCLUSION

In this study, we used tools from network neuroscience and control theory to examine how the brain's relatively rigid white matter architecture gives rise to a diverse repertoire of flexible neural dynamics, during normative development. We demonstrated that a brain region's ability to display temporal flexibility in its functional expression positively correlated with the relative proportion of its anatomical edges reaching different cognitive modules across the brain. Indeed, this relationship was strongly

mediated by the region's boundary controllability, that is its capacity to integrate information across
multiple cognitive processes. Overall, this work addresses the central question of how the human brain's
anatomical pathways support changes in flexible neural dynamics across late childhood, adolescence, and
early adulthood, and provides a framework that could be used to study how neurological and psychiatric
disorders emerge during that critical period of high neuroplasticity. Such analyses can leverage data on
neurocognitive performance and clinical features available on this sample.

# AUTHOR CONTRIBUTIONS

Shi Gu: Conceptualization; Data curation; Analyses; Methodology; Visualization; Writing – original 506 draft; Writing - review & editing. Panagiotis Fotiadis: Conceptualization; Data curation; Analyses; 507 Methodology; Visualization; Writing – original draft; Writing – review & editing. Linden Parkes: 508 Conceptualization; Visualization; Writing – review & editing. Cedric H. Xia: Conceptualization; 509 Visualization; Writing – review & editing. David R. Roalf: Conceptualization; Writing - review & 510 editing. Ruben C. Gur: Conceptualization; Writing - review & editing. Raquel E. Gur: 511 Conceptualization; Writing - review & editing. Theodore D. Satterthwaite: Conceptualization; 512 Supervision; Funding Acquisition; Writing - review & editing. Danielle S. Bassett: Conceptualization; 513 Supervision; Funding Acquisition; Writing - review & editing. 514

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# **CITATION DIVERSITY STATEMENT**

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Recent work in several fields of science has identified a bias in citation practices such that papers from 523 women and other minority scholars are under-cited relative to the number of such papers in the field 524 Caplar, Tacchella, & Birrer, 2017; Dion, Sumner, & Mitchell, 2018; Dworkin et al., 2020; Maliniak, 525 Powers, & Walter, 2013; Mitchell, Lange, & Brus, 2013). We obtained the predicted gender of the first 526 and last author of each reference by using databases that store the probability of a first name being carried 527 by a woman (Dworkin et al., 2020). By this measure (and excluding self-citations to the first and last 528 authors of our current paper), our references contain 12.15% woman(first)/woman(last), 9.35% 529 man/woman, 23.36% woman/man, and 55.14% man/man. This method is limited in that a) names, 530 pronouns, and social media profiles used to construct the databases may not, in every case, be indicative 531 of gender identity and b) it cannot account for intersex, non-binary, or transgender people. We look 532 forward to future work that could help us better understand how to support equitable practices in science. 533

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