

1 **NeuroKit2: A Python Toolbox for Neurophysiological Signal Processing**

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Abstract

18

19 NeuroKit2 is an open-source, community-driven, and user-friendly Python package
20 dedicated to neurophysiological signal processing with an initial focus on bodily signals
21 (e.g., ECG, EDA, EMG, EOG, PPG etc.). Its design philosophy is centred on
22 user-experience and accessibility to both novice and advanced users. The package provides
23 a consistent set of high-level functions that enable data processing in a few lines of code
24 using validated pipelines, which we illustrate in two examples covering the most typical
25 scenarios, such as an event-related paradigm and an interval-related analysis. The package
26 also includes tools dedicated to specific processing steps such as rate extraction and
27 filtering methods, offering a trade-off between efficiency and fine-tuned control to the user.
28 Rather than focusing on specific signals, NeuroKit2 was developed to provide a
29 comprehensive means for a simultaneous processing of a wide range of signals. Its goal is
30 to improve transparency and reproducibility in neurophysiological research, as well as
31 foster exploration and innovation.

32

Keywords: Neurophysiology, Biosignals, Python, ECG, EDA, EMG

33

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35 Neurophysiological measurements increasingly gain popularity in the study of cognition and
36 behavior. These measurements include electroencephalography (EEG), electrocardiography
37 (ECG), electromyography (EMG) and electrodermal activity (EDA). Their popularity is
38 driven by theoretical motivations (e.g., the growth of embodied or affective neuroscience;
39 Kiverstein & Miller, 2015) as well as practical reasons. The latter include low costs (es-
40 pecially compared with other imaging techniques, such as MRI or MEG), ease of use (e.g.,
41 portability, setup speed), and the increasing availability of recording devices (e.g., wearables;
42 Yuehong, Zeng, Chen, & Fan, 2016). Moreover, the extraction of meaningful information
43 from neurophysiological signals is facilitated by current advances in signal processing algo-
44 rithms (Clifton, Gibbons, Davies, & Tarassenko, 2012; Roy et al., 2019). Unfortunately,
45 these algorithms are mostly inaccessible to researchers without experience in programming
46 and signal processing. Moreover, many software tools for neurophysiological analyses are
47 limited to one type of signal (for instance, focused on ECG). This makes it inconvenient for
48 researchers who might have to learn and concurrently rely on different software to process
49 multimodal data.

50 Another important issue existing in psychology and neuroscience has been coined as the
51 “reproducibility crisis” (Maizey & Tzavella, 2019; Miłkowski, Hensel, & Hohol, 2018; Nosek,
52 Cohoon, Kidwell, & Spies, 2015; Topalidou, Leblois, Boraud, & Rougier, 2015), and has lead
53 to a profound questioning and reassessment from different actors involved (researchers, pub-
54 lishers, fund agencies, ...). One of the main identified contributing factor is the actual opacity
55 of data processing, where analysis pipelines are not described in enough details to ensure a
56 full and exact reproduction. One of the suggested response to that issue has been to provide,
57 alongside the study, the analysis script, which in turns opens new challenges. Indeed, these
58 scripts must be shareable (not always feasible with closed-source and proprietary software or
59 programming languages), accessible (enticing documented and well-organized scripts) and

60 reproducible (which is inherently difficult for many software relying on a graphical user
61 interface - GUI - in which the manual point-and-click sequence is hard to automate).

62 *NeuroKit2* addresses these challenges by offering a free, user-friendly, and comprehensive
63 solution for neurophysiological data processing, with an initial focus on bodily signals (in-
64 cluding ECG, PPG, RSP, EDA, EMG, EOG) and generic functions that could also support
65 other signal processing such as EEG (for which more specific support is in development).
66 It is an open-source Python package, developed by a multi-disciplinary team that actively
67 invites new collaborators. It aims at being accessible, well-documented, well-tested, cutting-
68 edge, flexible and efficient, allowing users to select from a wide range of validated analysis
69 pipelines as well as creating their own. Historically, *NeuroKit2* is the re-forged successor
70 *NeuroKit1* (Makowski, 2020), taking on its most successful features and design choices, and
71 re-implementing them in a professional and well-thought way.

72 The package is implemented in Python 3 (Van Rossum & Drake, 2009), which means that
73 *NeuroKit2*'s users benefit from an large amount of learning resources and a vibrant com-
74 munity. The package depends on relatively few, well established and robust packages from
75 the Python data analysis ecosystem (Virtanen et al., 2020) such as *NumPy*, *pandas*, *SciPy*,
76 *scikit-learn* and *Matplotlib* (with an additional system of optional dependencies), making
77 *NeuroKit2* itself a viable dependency in other software.

78 *NeuroKit2*'s source code is available under the permissive MIT license on GitHub ([https://](https://github.com/neuropsychology/NeuroKit)
79 github.com/neuropsychology/NeuroKit). Its documentation ([https://neurokit2.readthedocs.](https://neurokit2.readthedocs.io/)
80 [io/](https://neurokit2.readthedocs.io/)) is automatically built and rendered from the code and includes guides for installation
81 and contribution, a description of the package's functions, as well as several "hands-on"
82 examples and tutorials (e.g., how to extract and visualize individual heartbeats, how to ana-
83 lyze event-related data etc.). Importantly, users can add new examples by simply uploading
84 a Python notebook (Kluyver et al., 2016) to the GitHub repository. The notebook will
85 automatically be displayed on the website, ensuring easily accessible and evolving documen-

86 tation. Moreover, users can try out the example notebooks directly in their browser via a
87 cloud-based *Binder* environment (Jupyter et al., 2018). Finally, the issue tracker on GitHub
88 offers a convenient and public forum that allows newcomers and potential collaborators to
89 report bugs, get help and gain insight into the development of the package.

90 *NeuroKit2* aims at being reliable and trustworthy, including peer-reviewed processing pipelines
91 and functions tested against established software such as *BioSPPy* (Carreiras et al., 2015),
92 *hrv under review*, *PySiology* (Gabrieli, Azhari, & Esposito, 2019), *HeartPy* (Gent, Farah,
93 Nes, & Arem, 2019), *systole* (Legrand & Allen, 2020) or *nolds* (Schölzel, 2019). The repos-
94 itory leverages a comprehensive test suite and continuous integration to ensure stability
95 and prevent errors. Thanks to its collaborative and open development, *NeuroKit2* can re-
96 main cutting-edge and continuously evolve, adapt, and integrate new methods as they are
97 emerging.

98 Finally, we believe that the design philosophy of *NeuroKit2* contributes to an efficient (i.e.,
99 allowing to achieve a lot with few functions) yet flexible (i.e., enabling fine control and
100 precision over what is done) user interface (API). We will illustrate these claims with two
101 examples of common use-cases (the analysis of event-related and resting state data), and will
102 conclude by discussing how *NeuroKit2* contributes to neurophysiological research by raising
103 the standards for validity, reproducibility and accessibility.

104

Design Philosophy

105 As stated above, *NeuroKit2* aims at being accessible to beginners and, at the same time,
106 offering a maximal level of control to experienced users. This is achieved by allowing begin-
107 ning users to implement complex processing and analyses pipelines with very few functions,
108 while still enabling fine-tuned control and precision over arguments and parameters to more
109 experienced users. In concrete terms, this trade-off is allowed by a API structure organized
110 in three three layers of abstraction.

111 **Low-level: Base Utilities for Signal Processing**

112 The basic building blocks are functions for general signal processing, i.e., filtering, resam-
113 pling, interpolation, peak detection, etc. These functions are signal-agnostic, and include
114 a lot of parameters (e.g., one can change the filtering method, frequencies, and order, by
115 overwriting the default arguments). Most of these functions are based on established algo-
116 rithms implemented in *scipy* (Virtanen et al., 2020). Examples of such functions include
117 `signal_filter()`, `signal_interpolate()`, `signal_resample()`, `signal_detrend()`, and
118 `signal_findpeaks()`.

119 **Mid-level: Neurophysiological Processing Steps**

120 The base utilities are used by mid-level functions specific to the different physiological modal-
121 ities (i.e., ECG, RSP, EDA, EMG, PPG). These functions carry out modality-specific signal
122 processing steps, such as cleaning, peak detection, phase classification or rate computa-
123 tion. Critically, for each type of signal, the same function names are called (in the form
124 `signaltype_functiongoal()`) to achieve equivalent goals, e.g., `*_clean()`, `*_findpeaks()`,
125 `*_process()`, `*_plot()`, making the implementation intuitive and consistent across different
126 modalities.

127 For example, the `rsp_clean()` function uses `signal_filter()` and `signal_detrend()`,
128 with different sets of default parameters that can be switched with a “method” argu-
129 ment (corresponding to different published or established pipelines). For instance, setting
130 `method="khodadad2018"` will use the cleaning workflow described in Khodadad et al. (2018).
131 However, if a user wants to build their own custom cleaning pipeline, they can use the clean-
132 ing function as a template, and tweak the parameters to their desires in the low-level signal
133 processing operations.

134 High-level Wrappers for Processing and Analysis

135 The mid-level functions are assembled in high-level “master” functions, that are convenient
136 entry points for new users. For instance, the `ecg_process()` function internally chains
137 the mid-level functions `ecg_clean()`, `ecg_findpeaks()`, `ecg_rate()`. A specific processing
138 pipeline can be selected with the `method` argument, that is then propagated throughout the
139 internal functions. Easily switching between processing pipelines allows for the compari-
140 son of different methods, and streamlines critical but time-consuming steps in reproducible
141 research, such as the validation of data preparation and quality control (Quintana, Al-
142 vares, & Heathers, 2016). Finally, the package includes convenience meta-functions (e.g.,
143 `bio_process`) that enable the combined processing of multiple types of signals at once (e.g.,
144 `bio_process(ecg=ecg_signal, eda=eda_signal)`).

145 Performing an entire set of operations with sensible default parameters in one function can
146 be rewarding, especially for beginners, allowing them to perform cutting-edge processing or
147 replication of research steps without requiring much programming expertise. Moreover, it
148 contributes to the demystification of the usage of “pure” programming tools (as opposed to
149 GUI-based software such as *SPSS*, *Kubios*, or *Acqknowledge*), providing a welcoming frame-
150 work to further explore the complexities of physiological data processing. Importantly, more
151 advanced users can easily build custom analysis pipelines by using the mid-level functions,
152 allowing for a finer control over the processing parameters. We believe that this implemen-
153 tation is a well-calibrated trade-off between flexibility and user-friendliness.

154 Examples

155 In this section, we present two examples that illustrate the most common use-cases. The first
156 example is an event-related paradigm, in which the interest lies in short-term physiological
157 changes related to specific events (see **Figure 1** and **Table 1**). The second example shows
158 how to extract the characteristics of physiological activity during a longer period of time (not

159 necessarily tied to a specific and sudden event). The example datasets are made available
 160 with the package and can be downloaded using the `data()` function.

Domains of interest in physiological analyses

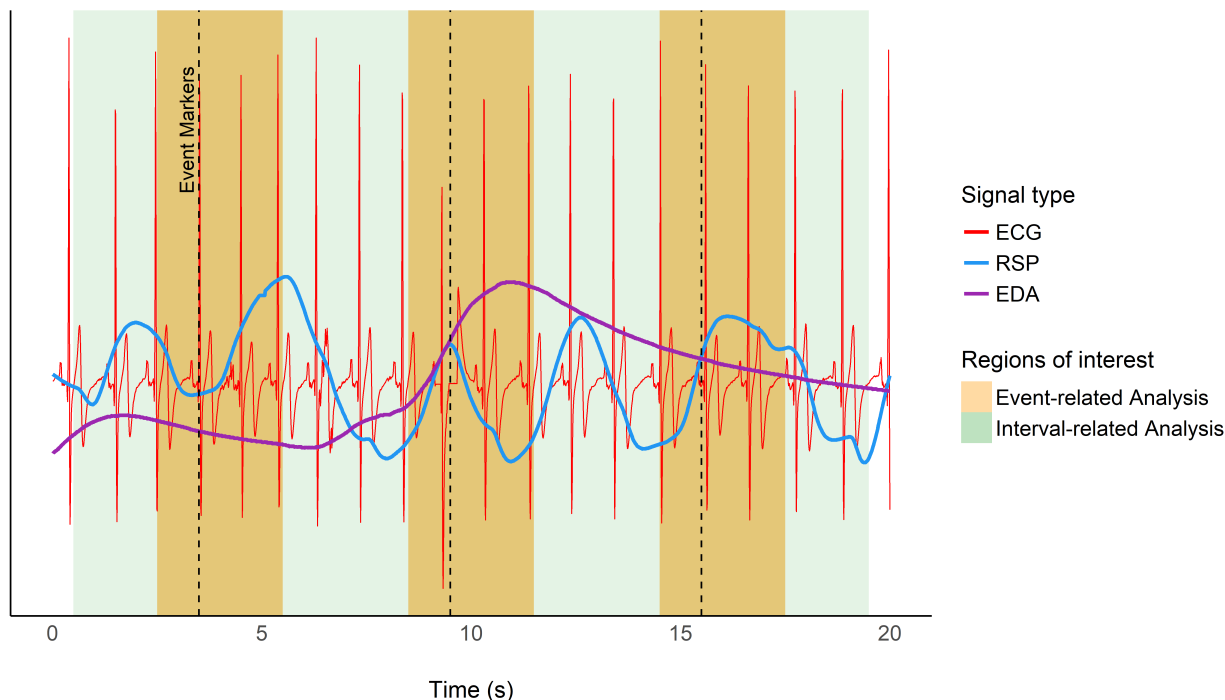


Figure 1. Illustration of the difference between event-related analysis, focusing on activity changes in short windows (the orange rectangles), and interval-related analysis, pertaining to features of large areas, or the whole signal (e.g., the green rectangle).

161 **Event-related Paradigm**

162 This example dataset contains ECG, RSP and EDA signals of one participant who was
 163 presented with four emotional images (from the NAPS database; Marchewka, Żurawski,
 164 Jednoróg, & Grabowska, 2014), in a typical (albeit highly shortened) experimental psychol-
 165 ogy paradigm.

166 Signals are 2.5 minutes long and are recorded at a frequency of 100Hz (note that the sampling
 167 rate is low for storage purposes and should be higher in actual recordings, see Quintana et
 168 al., 2016). It has 4 channels including three physiological signals, and one corresponding to

Table 1

Examples of features computed in different domains.

| Event-related Features | Interval-related Features |
|--|--|
| ECG Rate Changes (Min, Mean, Max, Time of Min, Max, Trend) | ECG Rate Characteristics (Mean, Amplitude) |
| RSP Rate Changes (Min, Mean, Max, Time of Min, Max) | Heart Rate Variability (HRV) indices |
| RSP Amplitude Measures (Min, Mean, Max) | Respiratory Rate Variability (RRV) indices |
| ECG and RSP Phase (Inspiration/Expiration, Systole/Diastole, Completion) | Respiratory Sinus Arrhythmia (RSA) indices |
| SCR peak and its characteristics (amplitude, rise time, recovery time) | Number of SCR Peaks and mean amplitude |

169 the marking of events with a photosensor (which signal decreases when a stimulus appeared
 170 on the screen).

```
# Load the package
import neurokit2 as nk

# Download the example dataset
data = nk.data("bio_eventrelated_100hz")

# Process the data
df, info = nk.bio_process(ecg=data["ECG"],
                          rsp=data["RSP"],
                          eda=data["EDA"],
                          sampling_rate=100)

# Find events
conditions = ["Negative", "Neutral", "Neutral", "Negative"]
```

```

events = nk.events_find(event_channel=data["Photosensor"],
                        threshold_keep='below',
                        event_conditions=conditions)

# Epoch the data
epochs = nk.epochs_create(data=df,
                           events=events,
                           sampling_rate=100,
                           epochs_start=-0.1,
                           epochs_end=4)

# Extract event related features
results = nk.bio_analyze(epochs)

# Show subset of results
results[["Condition", "ECG_Rate_Mean", "RSP_Rate_Mean", "EDA_Peak_Amplitude"]]

```

Table 2

Subset of the output related to event-related analysis characterizing the pattern of physiological changes related to specific stimuli.

| Condition | ECG_Rate_Mean | RSP_Rate_Mean | EDA_Peak_Amplitude |
|-----------|---------------|---------------|--------------------|
| Negative | -0.92 | 1.41 | 0.93 |
| Neutral | -3.03 | 1.25 | 0.41 |
| Neutral | 0.28 | 0.00 | 0.02 |
| Negative | -3.34 | -1.12 | 1.06 |

¹⁷¹ In this example, after loading the package and the example dataset, each physiological

172 signal is processed using `bio_process()`. Stimulus onsets in the photosensor are detected
173 separately with `events_find()`. Once we have the preprocessed signals and the location of
174 events, we can slice the data into segments corresponding to a time window (ranging from
175 -0.1 to 4 seconds) around each stimulus with `epochs_create()`. Finally, relevant features
176 are computed for each epoch (i.e., each stimulus) by passing them to `bio_analyze()`.

177 The features include for example the changes in rate of ECG and RSP signals (e.g. maximum,
178 minimum and mean rate after stimulus onset, and the time at which they occur), and the
179 peak characteristics of the EDA signal (e.g., occurrence of skin conductance response (SCR),
180 and if SCR is present, its corresponding peak amplitude, time of peak, rise and recovery
181 time). In addition, respiration and cardiac cycle phases are extracted (i.e., the respiration
182 phase - inspiration/expiration - and cardiac phase - systole/diastole - occurring at the onset
183 of event).

184 This example shows the straightforward process of extracting features of physiological re-
185 sponses. This pipeline can easily scale up to group-level analysis by aggregating the average
186 of features across participants. In addition to streamlining data analyses, *NeuroKit2* aims
187 to provide researchers an extensive suite of signal features, allowing for precise interpreta-
188 tions in terms of relationship between physiological activity and neurocognitive processes.
189 In this example (see **Table 2**), exposure to negative stimuli, as compared to neutral stimuli,
190 is related to stronger cardiac deceleration, higher skin conductance response, and acceler-
191 ated breathing rate (note that this descriptive interpretation is given solely for illustrative
192 purposes).

193 **Resting-state Features**

194 The second dataset corresponds to 5 minutes of physiological activity of a human participant
195 at rest (eyes-closed in a seated position), under no specific set of instructions. It contains
196 three channels (ECG, PPG and RSP) sampled at a frequency of 100Hz.

```
# Load the package
import neurokit2 as nk

# Download the example dataset
data = nk.data("bio_resting_5min_100hz")

# Process the data
df, info = nk.bio_process(ecg=data["ECG"],
                          rsp=data["RSP"],
                          sampling_rate=100)

# Extract features
results = nk.bio_analyze(df)

# Show subset of results
results[["ECG_Rate_Mean", "HRV_RMSSD", "RSP_Rate_Mean", "RSA_P2T_Mean"]]
```

Table 3

Subset of properties characterizing the physiological activity over a period of 5 minutes of resting-state.

| ECG_Rate_Mean | HRV_RMSSD | RSP_Rate_Mean | RSA_P2T_Mean |
|---------------|-----------|---------------|--------------|
| 86.39 | 3.88 | 15.74 | 0.01 |

197 In this example, the steps of the analysis are identical to the previous example, including
198 loading the package, the dataset and processing the data. The difference is that there is
199 no epoching, as we want to compute features related to the whole dataset (see **Table 3**).
200 Thus, we can directly pass the dataframe to `bio_analyze()`, which will detect that these are

201 not epochs, and compute the appropriate features accordingly. These include for instance
202 the average heart and breathing rate, as well as indices of heart rate variability (HRV) and
203 respiratory sinus arrhythmia (RSA).

204 This example illustrates a second type of physiological analysis, that we refer to as interval-
205 related (as opposed to event-related). Interval-related analyses compute features of signal
206 variability and activation patterns over a longer-term period of time (typically minutes).
207 *NeuroKit2* allows for the fast creation of a standardized and reproducible pipeline to describe
208 this kind of physiological activity, which can be beneficial for a wide variety of applications.

209

Discussion

210 *NeuroKit2* is a neurophysiological signal processing software accessible to people with all
211 levels of programming experience and background. Its development is focused on creating an
212 intuitive user-experience, as well as building a collaborative community. It is also a pragmatic
213 answer to the broader need for transparent and reproducible methods in neurophysiology.
214 Its modular structure and organization not only facilitates the use of existing and validated
215 processing pipelines, but also creates a fertile ground for experimentation and innovation.

216 We expect the package's future evolution to be driven by the communities' needs and the
217 advances in related fields. For instance, although *NeuroKit2* already implements a lot of
218 useful functions for EEG processing (such as entropy and fractal dimensions quantification),
219 its support could be further improved (for example with high-level functions built on top
220 of utilities provided by the leading EEG Python software, namely *MNE*; Gramfort et al.,
221 2013). Possible other future directions include extending the support for other types of
222 bodily signals (e.g., electrogastrography - EGG, electrooculography - EOG) and achieving
223 performance gains for large datasets by using efficient algorithms. Further validation of the
224 available processing pipelines could be made through the (re)analysis of public databases.
225 In line with this objective, the support of standardized data structure formats (e.g. WFDB,

226 BIDS, ...) could be extended.

227 In conclusion, we believe that *NeuroKit2* provides useful tools for anyone who is interested
228 in analyzing physiological data from research-grade hardware as well as wearable “smart
229 health devices”. By increasing the autonomy of researchers and practitioners, and by short-
230 ening the delay between data collection and results acquisition, *NeuroKit2* could be useful
231 beyond academic research in neuroscience and psychology, including applications such as
232 biofeedback, personal physiological monitoring and exercise science. Finally, we hope that
233 *NeuroKit2* encourages users to become part of a supportive open-science community with
234 diverse areas of expertise rather than relying on closed-source and proprietary software, thus
235 shaping the future of neurophysiology and its related fields.

236

Conflict of Interest

237 The authors declare that the research was conducted in the absence of commercial or financial
238 relationships that could constitute a conflict of interest.

239

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243

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