



Published as: *Nature*. 2008 October 16; 455(7215): 903–911.

## Neuroligins and Neurexins Link Synaptic Function to Cognitive Disease

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### Preface

The brain processes information by transmitting signals at synapses, which connect neurons into vast networks of communicating cells. In these networks, synapses not only transmit, but also process and refine information. Neurexins and neuroligins are synaptic cell-adhesion molecules that connect pre- and postsynaptic neurons at synapses, mediate trans-synaptic signaling, and shape neural network properties by specifying synaptic functions. In humans, alterations in neurexin or neuroligin genes are implicated in autism and other cognitive diseases, connecting synaptic cell adhesion to cognition and its disorders. Thus, neurexins and neuroligins are core components of the molecular machinery that controls synaptic transmission and enables neural networks to process complex signals.

### Introductory paragraphs

The brain integrates and processes sensory inputs to generate motor outputs appropriate for the survival of the organism. Cascades of synapses, assembled into overlapping neural circuits, transform sensory inputs and generate motor outputs<sup>1</sup>. All information processing in the brain involves synapses, and virtually all abnormalities in brain function affect, directly or indirectly, synaptic function.

Synapses are specialized intercellular junctions dedicated to transfer information from a neuron to a target cell, usually another neuron (Figure 1a)<sup>1</sup>. Synaptic transmission of information is fast, dynamic, efficient, and tightly regulated (Box 1). Synapses share many properties with intercellular junctions found in other tissues, but differ from all other such junctions because they are inherently asymmetric, transmit information by an extremely fast mechanism, and are highly plastic. Moreover, synapses exhibit diverse properties that are specified by both the pre- and the postsynaptic neuron (e.g., see<sup>2</sup>).

Enormous progress has been made in our understanding of synaptic transmission; much is known about the machinery and functional properties of synapses. However, the molecular mechanisms underlying synapse formation and the specification of synapse diversity are less clear, as are the processes mediating the assembly of synapses into neural circuits<sup>3</sup>. For neural circuit function, synapse formation and specification are immensely important. The input/output properties of a neural circuit depend on both its pattern of synaptic connectivity (referred to as its wiring diagram), and on the diverse properties of individual synapses in the circuit pattern<sup>4</sup>. The pattern of connectivity in a circuit is no more important than the properties of the individual synapses comprising the circuit. For example, use-dependent changes in synaptic strength (i.e., synaptic plasticity) can completely alter the relative contributions of different

synapses in a circuit, thereby sometimes even reversing its input/output properties as a function of previous use without a change in the wiring diagram (e.g., see <sup>5</sup>).

Synapse formation and the specification of synaptic diversity are intricately linked, and likely depend on the actions of synaptic cell-adhesion molecules<sup>3</sup>. The diversity of synapses is partly due to differences in the composition of their release and receptor machineries, but appears to be largely based on differences in the organization of these machineries. Synapse formation and specification likely involves three steps:

1. initial recognition of the target cell by the neural growth cone
2. formation of synaptic junctions with recruitment of synaptic components
3. maturation of synaptic junctions with specification of circuit-specific properties

Functional assays for synapse formation and tests of specific molecules are difficult (Box 2), hindering identification of the molecular mechanisms involved. These difficulties are confounded by the fact that many candidate molecules, such as cadherins and wnts, perform essential functions during earlier development besides their presumptive role in synapse formation<sup>6,7</sup>.

Neurexins (Nrxns) and neuroligins (Nlgns) are arguably the best characterized synaptic cell-adhesion molecules, and the only ones for which a specifically synaptic function was established<sup>8,9</sup>. In the present review, we will describe the role of Nrxns and Nlgns as synaptic cell-adhesion molecules that act in an heretofore unanticipated fashion. We will show that they are required for synapse function, not synapse formation; that they affect trans-synaptic activation of synaptic transmission, but are not essential for synaptic cohesion of the pre- and postsynaptic specializations; and that their dysfunction impairs the properties of synapses and disrupts neural networks without completely abolishing synaptic transmission as<sup>10–12</sup>. As cell-adhesion molecules, Nrxns and Nlgns probably function by binding to each other and by interacting with intracellular proteins, most prominently PDZ-domain proteins, but the precise mechanisms involved and their relation to synaptic transmission remain unclear. The importance of Nrxns and Nlgns for synaptic function is evident from the dramatic deficits in synaptic transmission in mice lacking Nrxns or Nlgns.

As we will describe, the role of Nrxns and Nlgns in synaptic function almost predestines them for a role in cognitive diseases, such as schizophrenia and autism spectrum disorders (ASDs), that have been resistant to our understanding. One reason for the difficulties in understanding cognitive diseases is that they may arise from subtle changes in a subset of synapses in a neural circuit, as opposed to a general impairment of all synapses in all circuits. As a result, the same molecular alteration may produce different circuit changes and neurological symptoms that are then classified as distinct cognitive diseases. Indeed, recent studies have identified mutations in the genes encoding Nrxns and Nlgns as a cause for ASDs, Tourette syndrome, mental retardation, and schizophrenia, sometimes in patients with the same mutation in the same family<sup>13–27</sup>. Viewed as a whole, current results thus identify Nrxns and Nlgns as trans-synaptic cell-adhesion molecules that mediate essential signaling between pre- and postsynaptic specializations, signaling that performs a central role in the brain's ability to process information and that is a key target in the pathogenesis of cognitive diseases.

## Neurexins: polymorphic synaptic receptors

Black widow spider venom contains a vertebrate-specific toxin called  $\alpha$ -latrotoxin.  $\alpha$ -Latrotoxin is a large protein that binds to presynaptic receptors and induces massive neurotransmitter release<sup>28</sup>. Nrxns were originally discovered as receptors for  $\alpha$ -latrotoxin<sup>29</sup>. Nrxns are type 1-membrane proteins that come in two flavors: larger  $\alpha$ -Nrxns, and shorter  $\beta$ -

Nrxns.  $\alpha$ - and  $\beta$ -Nrxns contain different N-terminal extracellular sequences, but identical C-terminal transmembrane regions and cytoplasmic tails (Fig. 1b). Extracellularly,  $\alpha$ -Nrxns have six LNS-domains [laminin/neurexin/sex hormone-binding globulin-domain] with three intercalated EGF-like domains, whereas  $\beta$ -Nrxns have a single LNS domain. In addition to  $\alpha$ - and  $\beta$ -Nrxns, neurons express Nrxn-related proteins called CASPRs (contactin-associated proteins) that resemble  $\alpha$ -Nrxns, but contain additional extracellular domains not found in  $\alpha$ -Nrxns<sup>30</sup>. CASPRs also function as cell-adhesion molecules like Nrxns, but are primarily involved in neuron-glia interactions outside of synapses<sup>31</sup>. The mammalian genome harbors three Nrxn genes, each of which directs transcription of  $\alpha$ - and  $\beta$ -Nrxns from independent promoters<sup>32</sup>. Furthermore, extensive alternative splicing at five canonical positions generates thousands of Nrxn isoforms (Fig. 1c)<sup>33</sup>. Conceptually, these isoforms could specify a 'code' of interactions at synapses. Consistent with this notion, alternative splicing of Nrxns is regionally regulated, and altered by activity in neurons<sup>33,34</sup>. Splice sites 1 to 4 (SS#1 to SS#4) involve relatively short sequences ( $\leq 30$  residues), are located in or adjacent to LNS domains, and are conserved in all three Nrxns. Splice site 5 in Nrxn1 involves only three residues, but in Nrxn2 inserts 191 residues, and in Nrxn3 creates a baroque diversity of sequence inserts that include multiple variants with in-frame stop codons encoding secreted Nrxns<sup>33,35</sup>.

In situ hybridizations showed that different  $\alpha$ - and  $\beta$ -Nrxns are co-expressed in the same class of neurons, but that each type of Nrxn is differentially distributed among different classes of neurons<sup>33</sup>. Immunofluorescence studies, subcellular fractionations, and the function of Nrxns as  $\alpha$ -latrotoxin receptors indicate that Nrxns are located on presynaptic terminals<sup>29,36–38</sup>. The exact localization of Nrxns remains unclear, however, as deletion of  $\alpha$ -Nrxns also causes postsynaptic effects<sup>39</sup>, and Nrxn is also partly present on postsynaptic sites<sup>40</sup>.

## Neuroligins are neurexin ligands

Nlgn were identified as endogenous Nrxn ligands<sup>41</sup>. Nlgn are type-I membrane proteins like Nrxns, but exhibit a simpler domain structure and less diversity (Fig. 1). In addition to Nlgn, neurexophilins (neuropeptide-like proteins), and dystroglycan (a cell-adhesion molecule involved in many different types of junctions) are also Nrxn ligands<sup>42,43</sup>. Different from Nlgn, however, no functional effect of neurexophilin- or dystroglycan-binding to Nrxns has been observed.

The extracellular sequences of Nlgn are composed of a single domain that is homologous to acetylcholinesterases, but lacks critical residues in the active site which is thus disabled (Fig. 1). Nlgn form constitutive dimers via this domain, which is connected to the single transmembrane region by a glycosylated linker sequence. Mammals express four Nlgn genes, with the Nlgn3 and Nlgn4 gene in humans localized to the X-chromosome. In humans, the Nlgn4 gene is complemented on the Y-chromosome by a similar Nlgn5 gene. All Nlgn are alternatively spliced at a single canonical position (referred to as SS#A); in addition, Nlgn1 is alternatively spliced at a second position (called SS#B)<sup>44,45</sup>. Most Nrxns and Nlgn are conserved evolutionarily in vertebrates, with more distant relatives in invertebrates<sup>32,46</sup>. Interestingly, Nlgn4 diverged rapidly in rodents, suggesting that at least some Nlgn are subject to a lesser evolutionary constraint<sup>47</sup>. Sequence comparisons indicate that Nlgn1, Nlgn3, and Nlgn4/5 are more similar to each other than to Nlgn2. All Nlgn are enriched in postsynaptic densities as judged by subcellular localizations. Immunocytochemistry revealed that Nlgn1 and Nlgn2 are exclusively localized to excitatory and inhibitory synapses, respectively, whereas Nlgn3 may be present in both<sup>48–51</sup>.

Nlgn bind to both  $\alpha$ - and  $\beta$ -Nrxns with nanomolar affinities; binding involves the sixth LNS-domain of  $\alpha$ -Nrxns which corresponds to the only LNS-domain of  $\beta$ -Nrxns<sup>52</sup>. The binding affinities differ characteristically between various pairs of Nlgn and Nrxns, and are controlled

by alternative splicing of both Nrnxns and Nlgnns (Figure 1c)<sup>45,52,53</sup>. SS#B of Nlgn1 represents a master switch for Nrnxn binding – inclusion of only 8 residues in this site restricts Nlgn1 binding to  $\beta$ -Nrnxns lacking an insert in SS#4, whereas exclusion of these 8 residues allows binding of both  $\alpha$ - and  $\beta$ -Nrnxns independent of SS#4 (45). The Nlgn1 splice variant containing an insert in SS#B predominates, indicating that most Nlgn1 is specific for  $\beta$ -Nrnxns lacking an insert in SS#4, whereas all other Nlgnns react with both  $\alpha$ - and  $\beta$ -Nrnxns. SS#A of all Nlgnns also regulates Nrnxn binding, but the effect is smaller<sup>52</sup>. In Nrnxns, SS#4 (which is located in the last LNS-domain) not only controls binding of  $\beta$ -Nrnxns to Nlgn1 containing an insert in SS#B (see above), but also modulates the affinity of  $\alpha$ - and  $\beta$ -Nrnxns for Nlgnns lacking an insert in SS#B. Thus, the current data suggest that Nrnxn/Nlgn binding is governed by a hierarchical code that depends on which principal isoforms are expressed, and which splice variants are used.

## The trans-synaptic neurexin/neuroligin complex

Nrnxns and Nlgnns are thought to form a trans-synaptic complex that is coated on both sides by PDZ-domain containing proteins (Fig. 1b). The crystal structure of the Nrnx1/Nlgn1 complex (without inserts in the Nrnxn SS#4 and Nlgn1 SS#B) revealed that the Nrnxn LNS-domain attaches with a large contact area to the lateral sides of the Nlgn esterase-homology domain, opposite to the position of the crippled active site (Fig. 2)<sup>54–56</sup>. In the structure of crystals that were grown in the presence of  $\text{Ca}^{2+}$ , two fully occupied  $\text{Ca}^{2+}$ -binding sites were found that are coordinated by ligands from both proteins<sup>55</sup>. Mapping of the alternative splicing sites into the structure shows that SS#B is included in the binding interface, and that SS#A of Nlgn1 and SS#4 of Nrnx1 are close by, providing an explanation for the effect of alternative splicing of these sites on the Nrnxn/Nlgn binding affinity. Indeed, direct comparison of the crystal structures of  $\beta$ -Nrnxn LNS domains containing and lacking inserts in SS#4 supports this conclusion by revealing major conformational changes induced by this alternative splicing event<sup>57,58</sup>.

The shape of the Nrnxn/Nlgn complex suggests that it forms an interaction layer in the center of the synaptic cleft, with the C-terminal sequences emerging from the complex in opposite directions (Fig. 2). This interaction layer – which may contribute to the electron-dense material observed in the synaptic cleft by electron microscopy – is separated from the pre- and postsynaptic plasma membranes by the glycosylated linker sequences that are present in Nrnxns and Nlgnns just outside of the membrane. These glycosylated sequences could serve as a ‘cuff’ that creates a distance between the interaction layer and the plasma membranes, and forces the extracellular domains to project into the synaptic cleft away from the membrane.

The cytoplasmic sequence of Nrnxns contains a C-terminal binding site for class-II PDZ-domains that binds to the PDZ-domain of CASK and related proteins, and a membrane-proximal binding site for protein 4.1<sup>59,60</sup>. CASK is a MAGUK protein (for “membrane-associated guanylate-kinase protein”) containing a PDZ-, SH3- and guanylate kinase-domain. CASK is an unusual MAGUK, however, because the PDZ-, SH3- and guanylate kinase-domains account for only its C-terminal half; its N-terminal half is occupied by a  $\text{Ca}^{2+}$ /calmodulin-dependent protein kinase (CaM Kinase) domain that is absent from other MAGUKs. The CASK CaM kinase domain contains substitutions in canonical residues that coordinate  $\text{Mg}^{2+}$  in CaM kinases, suggesting that it may be catalytically inactive. However, recent evidence indicates that CASK may be the first described  $\text{Mg}^{2+}$ -independent kinase that phosphorylates Nrnxn1 *in vivo*<sup>61</sup>. In addition, CASK nucleates the assembly of actin on the Nrnxn cytoplasmic sequence by simultaneously binding to protein 4.1<sup>60</sup>. Finally, CASK interacts with Velis/MALs proteins (homologs of *C. elegans* Lin-7) and with Mints/X11 proteins to form a tight trimeric complex<sup>62,63</sup>. Besides Nrnxns, CASK binds to other cell-surface proteins including CASPRs, and likely performs analogous functions there. Deletion of CASK in mice causes a lethal phenotype that includes synaptic abnormalities, indicating

that CASK is an important molecule<sup>64</sup>. It is likely that CASK acts as a component of a signal transduction cascade that translates extracellular interactions of cell-surface proteins into an intracellular response by modulating the actin cytoskeleton and phosphorylating target proteins.

Like Nrnxns, Nlgnns bind to intracellular PDZ-domain proteins, but different from Nrnxns, Nlgnns bind to class-I PDZ-domains such as those contained in PSD-95, a postsynaptic MAGUK protein<sup>65</sup>. PSD-95 and its homologs are centrally involved in recruiting glutamate receptors at postsynaptic sites<sup>66</sup>. Similar to CASK, PSD-95 binds to intracellular adaptor proteins, especially GKAP which in turn binds to Shank (Fig. 1b). A possible role of these interactions is to recruit postsynaptic adaptor proteins to the site of synaptic junctions. Due to their binding to PDZ-domain proteins, the junction formed by Nrnxns and Nlgnns resembles the architecture of tight junctions, but differs from them in that the Nrnxn/Nlgn junction is asymmetric in all of its components.

## Function of neuroligins and neurexins

Initial evidence that Nlgnns function at synapses came from ingenious experiments demonstrating that Nlgnns expressed in a non-neuronal cell can induce co-cultured neurons to form presynaptic specializations onto the non-neuronal cell (Box 2)<sup>67</sup>. This finding was amplified by complementary experiments demonstrating that Nrnxns, when expressed in a non-neuronal cell, can induce formation of postsynaptic specializations in co-cultured neurons<sup>50, 68</sup>. Moreover, direct overexpression of Nlgnns in transfected neurons caused an increase in synapse numbers on these neurons<sup>69</sup>.

Together, these studies indicated that Nlgnns and Nrnxns may induce synapse formation. However, analysis of knockout (KO) mice surprisingly revealed that Nlgnns and  $\alpha$ -Nrnxns are essential for synaptic function, not synapse formation<sup>10–12</sup>. Triple KO mice lacking Nlgn1, Nlgn2 and Nlgn3 die at birth, but exhibit relatively normal synapse numbers with an apparently normal ultrastructure. Electrophysiological analyses in acute brain slices showed that these mice display a severe impairment of synaptic transmission<sup>11</sup>. Although single Nlgn1 or Nlgn2 KO mice are viable and fertile, electrophysiological analysis also uncovered significant synaptic dysfunctions in these mice<sup>12</sup>. Consistent with the localizations of Nlgn1 and Nlgn2 to excitatory and inhibitory synapses, respectively, excitatory synapses exhibited impairments in NMDA-receptor mediated signaling in the Nlgn1 KO mice, whereas the Nlgn2 KO mice displayed deficits in inhibitory synaptic transmission<sup>12</sup>.

The KO analysis appears to contradict the *in vitro* assays showing that Nlgnns induce synapses in the artificial synapse formation assay and the neuronal transfection assay (see Box 2 explaining the various approaches). However, the assays using cultured neurons do not directly measure synapse induction – rather, they measure an increase in synapse numbers after a particular manipulation. In these assays, the molecules tested could simply act by inducing signal transduction events that stabilize otherwise transient, tentative synaptic contacts. In support of this interpretation, and consistent with the KO results, the ability of Nlgnns to increase the number of synapses in a transfected neuron can be decreased by inhibition of synaptic activity, which has no effect on the expression and localization of the transfected Nlgnns<sup>12</sup>. More conclusively, paired recordings from inhibitory neurons in the somatosensory cortex of Nlgn KO mice demonstrated that deletion of Nlgnns did not decrease the number of synaptic connections (Fig. 3). Instead, deletion of Nlgn2 (but not of Nlgn1) selectively lowered the strength of GABAergic synapses formed by fast-spiking, parvalbumin-containing interneurons, but not of GABAergic synapses formed by somatostatin-containing interneurons. Together, these data suggest that Nlgnns function in the maturation of synaptic junctions with specification of circuit-specific properties, but not in the initial formation of synaptic junctions.

This conclusion is consistent with the finding that a partial knockdown of Nlgns in cultured neurons produced a partial decrease in synapse numbers that could have been a secondary consequence of a decrease in synaptic function<sup>70</sup>.

The activities of Nrnxns have been more difficult to characterize than those of Nlgns. The lack of high-affinity antibodies, the complexity of the Nrnxn isoforms, and the challenges in analyzing presynaptic function have contributed to this difficulty. At present, it even remains uncertain whether Nrnxns are exclusively presynaptic, or whether at least some Nrnxns are postsynaptic. Analysis of KO mice lacking all  $\alpha$ -Nrnxns but still containing  $\beta$ -Nrnxns uncovered a phenotype that is similar to that of Nlgn KO mice (note that  $\beta$ -Nrnxn KO or  $\alpha$ - $\beta$ -Nrnxn KO mice were not yet analyzed). Deletions of individual  $\alpha$ -Nrnxns cause only moderate increases in mortality in mice, but deletions of two of the three  $\alpha$ -Nrnxns increase postnatal mortality dramatically, and deletions of all three  $\alpha$ -Nrnxns lead to invariable neonatal fatality in mice<sup>10</sup>. Again, synapse numbers and their ultrastructure are relatively normal in  $\alpha$ -Nrnxn KO mice, but synapse function is severely impaired. This impairment is both pre- and postsynaptic, but is most significantly observed in action potential-driven neurotransmitter release, which is severely depressed, largely due to a loss of presynaptic  $\text{Ca}^{2+}$ -channel function<sup>71</sup>. Postsynaptically, deletion of  $\alpha$ -Nrnxns caused a decrease in NMDA- but not AMPA-receptor dependent synaptic responses, similar to the deletion of Nlgn1<sup>39</sup>. The overall analysis of the  $\alpha$ -Nrnxn KO mice indicates that deletion of  $\alpha$ -Nrnxns disorganizes synapses. These findings characterize  $\alpha$ -Nrnxns, like Nlgns, as synaptic cell-adhesion molecules essential for proper assembly of synapses into a fully functional unit, but not for the initial formation of synapses. Nrnxns may also be globally required for the organization of secretory systems since  $\alpha$ -Nrnxn KO mice exhibit an additional major change in neuroendocrine neurons<sup>72</sup>.

How precisely do Nrnxns and Nlgns function in synapses? A plausible hypothesis is that trans-synaptic cell adhesion mediated by Nrnxns and Nlgns – either by binding to each other, or by binding to other ligands – triggers pre- and postsynaptic signal transduction events that activate synaptic function and specify synaptic properties. Without this activation, synapses assemble, but do not work properly. The activation is clearly not a simple yes-or-no switch. Instead, Nrnxns and Nlgns shape synaptic efficacy and plasticity. Moreover, a synaptic transmission-specific element is involved at least for Nlgns. How this synapse activation may occur is unclear. Nlgn binding to Nrnxns does not induce dimerization of Nrnxns analogous to other receptor dimerization-dependent signaling cascades because the crystal structure reveals that the two Nrnxns bound to a Nlgn dimer are distant monomers (Fig. 2)<sup>54–56</sup>. The most parsimonious mechanism for this activation would be that Nrnxns and Nlgns recruit ‘coats’ to the junction, coats that may consist of PDZ-domain proteins, actin filaments, and/or involve other types of interactions. For example, Nlgn-binding to Nrnxns may stimulate CASK-dependent phosphorylation of Nrnxns and other substrates, but no direct evidence for this mechanism exists.

## Neuroligins and neuroligins in autism

ASDs are common and enigmatic diseases. ASDs comprise classical idiopathic autism, Asperger’s syndrome, Rett syndrome, and pervasive developmental disorder not otherwise specified<sup>73,74</sup>. Moreover, several other genetic disorders, such as Down syndrome, Fragile-X Mental Retardation, and tuberous sclerosis, are frequently associated with autism. Such syndromic forms of autism and Rett syndrome are usually more severe due to the nature of the underlying diseases. The key features of ASDs are difficulties in social interactions and communication, language impairments, a restricted pattern of interests, and/or stereotypic and repetitive behaviors. Mental retardation (~70% of cases) and epilepsy (~30% of cases) are frequently observed; in fact, the observation of epilepsy in patients with ASDs has fueled speculation that autism may be caused by an imbalance of excitatory vs. inhibitory synaptic

transmission. In rare instances, idiopathic autism is associated with specialized abilities, for example in music, mathematics, or memory. The relation of ASDs to other cognitive diseases such as schizophrenia and Tourette's syndrome is unclear. As we will see below with the phenotypes caused by mutations in Nlgn3 and Nrxn1, the boundaries between the various disorders may not be as real as the clinical manifestations suggest.

A key feature of ASDs is that they typically develop before 2–3 years of age<sup>73,74</sup>. ASDs thus affect brain development relatively late, during the time of human synapse formation and maturation. Consistent with this time course, few anatomical changes are associated with ASDs<sup>75</sup>. An increase in brain size was repeatedly reported<sup>76</sup>, but is not generally agreed upon<sup>75</sup>. Thus, similar to other cognitive diseases, ASDs are not a disorder of brain structure but of brain function. Among cognitive diseases, ASDs are the most heritable (~ 80%), suggesting that they are largely determined by genes and not the environment. ASDs exhibit a male:female ratio of approximately 4:1, indicating that ASDs involve the X-chromosome directly, or that the penetrance of pathogenic genes is facilitated in males<sup>73,74</sup>.

Mutations in many genes have been associated with familial ASDs. A consistent observation emerging from recent studies is the discovery of mutations in the genes encoding Nrxn1, Nlgn3, and Nlgn4. Specifically, seven point mutations, two distinct translocation events, and four different large-scale deletions in the Nrxn1 gene were detected in autistic patients<sup>13–18</sup>. Ten different mutations in the Nlgn4 gene were observed (2 frameshifts, 5 missense mutations, and 3 internal deletions), and a single mutation in the Nlgn3 gene (the R451C substitution)<sup>21–24</sup>. Besides these mutations, five different larger deletions of X-chromosomal DNA that includes the Nlgn4 locus (referred to as copy-number variations) were detected in autism patients<sup>18,25–27</sup>.

In addition to the Nrxn/Nlgn complex, mutations in the gene encoding Shank3 – an intracellular scaffolding protein that binds indirectly to Nlgn3 via PSD-95 and GKAP (Fig. 1)<sup>66</sup> – may also be a relatively frequent occurrence in ASDs. An astounding 18 point mutations were detected in the Shank3 gene in autistic patients, in addition to several cases containing CNVs that cover the gene<sup>18,77–82</sup>. Indeed, the so-called terminal 22q deletion syndrome is a relatively frequent occurrence that exhibits autistic features, which have been correlated with the absence of the Shank3 gene normally localized to this chromosome section. Shank3 is particularly interesting because it not only indirectly interacts with Nlgn3, but also directly binds to CIRL/Latrophilins which in turn constitute  $\alpha$ -latrotoxin receptors similar to Nrxn1, suggesting a potential functional connection between Shank3 and Nrxn1<sup>83</sup>.

Overall, the description of the various mutations in the Nrxn/Nlgn/Shank3 complex appears to provide overwhelming evidence for a role of this complex in ASDs, given the fact that in total, these mutations account for a significant proportion of autism patients. It should be noted, however, that two issues give rise to skepticism to the role of this complex in ASDs.

First, at least for some of the mutations in this complex, non-symptomatic carriers were detected in the same families in which the patients with the mutations were found. Whereas the Nlgn3 and Nlgn4 mutations appear to be almost always penetrant in males, and even female carriers with these mutations often have a phenotype, the Shank3 point mutations in particular were often observed in non-symptomatic siblings<sup>77,78</sup>. Thus, these mutations may only increase the chance of autism, but not actually cause autism.

Second, the same mutations can be associated with quite different phenotypes in different people. For example, a microdeletion in Nlgn4 was found to cause severe autism in one brother, but Tourette's syndrome in the other<sup>26</sup>. This raises the issue whether the 'autism' observed in patients with mutations in these genes is actually autism, an issue that could also be rephrased as the question of whether autism is qualitatively distinct from other cognitive diseases, as

opposed to a continuum of cognitive disorders. In support of the latter idea, two different deletions of *Nrxn1 $\alpha$*  have also been observed in families with schizophrenia<sup>19,20</sup>, indicating that there is a continuum of disorders that involves dysfunctions in synaptic cell adhesion and manifests in different ways. Conversely, very different molecular changes may produce a similar syndrome, as exemplified by the quite different mutations that are associated with ASDs<sup>84</sup>.

At present, the relation between the *Nrxn/Nlgn* synaptic cell-adhesion complex and ASDs is tenuous. On one hand, many of the mutations observed in familial ASD are clearly not polymorphisms but deleterious, as evidenced by the effect of these mutations on the structure or expression of the corresponding genes, and by the severe autism-like phenotypes observed in *Nlgn3* and *Nlgn4* mutant mice<sup>85–87</sup>. On the other hand, the nonlinear genotype/phenotype relationship in humans, evident from the only 70–80% heritability and from the occasional presence of mutations in non-symptomatic individuals, requires explanation. Elucidating the underlying mechanisms for this incomplete genotype/phenotype relationship is a promising avenue to insight into the genesis of autism. Furthermore, in addition to the link of *Nrxn1 $\alpha$*  mutations to schizophrenia<sup>19,20</sup>, linkage studies have connected *Nrxn3* to different types of addiction<sup>88,89</sup>. It is possible that because of the nature of their function, mutations in genes encoding *Nrxns* and *Nlgn*s constitute hotspots for human cognitive diseases.

## Dissecting autism in mouse models

One way to address the question whether the mutations in *Nrxns* and *Nlgn*s observed in human patients are directly related to ASDs is to test whether the same mutations elicit a significant phenotype in an animal. Such experiments were performed in mice for two *Nlgn* mutations, the *Nlgn3* R451C substitution and the *Nlgn4* loss-of-function mutation<sup>86,87</sup>.

The R451C knockin mouse exhibits a striking phenotype that shares some, but not all features – as far as analyzable – with human ASD patients. Behaviorally, the mice display normal motor and anxiety behaviors, exhibit a modest impairment in social interactions, and demonstrate a large increase in spatial learning capability<sup>86</sup>. Although this behavioral phenotype is somewhat satisfying because it is reminiscent of the ‘savant’ variant of autism and indicates that the R451C substitution did not impair cognitive function in the mice, this phenotype is also puzzling because the human patients with the R451C substitution suffer from mental retardation<sup>21</sup>. Electrophysiologically, the R451C mutant mice displayed an increase in inhibitory synaptic transmission in the somatosensory cortex, consistent with the notion that a change in the excitatory/inhibitory balance contributes to the phenotype (Fig. 4). Interestingly, the R451C mutation appears to be a gain-of-function and not a loss-of-function mutation because *Nlgn3* KO mice did not exhibit any of the phenotypes associated with the R451C knockin mice<sup>86</sup>. This is surprising because the R451C mutation depressed the *Nlgn3* protein levels in the knockin mice by ~90%; thus, it is the remaining 10% of the mutant protein that produced a dramatic change in synaptic transmission (Fig. 4).

The gain-of-function action of the R451C mutation differs from that of the *Nlgn4* deletion which also caused an autism-like phenotype in KO mice<sup>87</sup>, but clearly represents a loss-of-function mutation. These observations may provide an explanation for the finding of multiple *Nlgn4* mutations in autism patients, but only a single *Nlgn3* mutation, despite the fact that both genes are X-chromosomal. It seems likely that only a loss-of-function of *Nlgn4* but not of *Nlgn3* produces autistic symptoms, and that the R451C mutation in *Nlgn3* was an accidental gain-of-function mutation that occurred only in a single family. Moreover, these observations provide further support for the notion that *Nlgn*s and *Nrxn*s are activators of synapse function, not simply building blocks of synapses, where small changes in *Nlgn* function can induce massive changes in the neural network.



## Perspective

Discovery of the Nrnx/Nlgn cell-adhesion system opened up new avenues to the understanding of synapses and cognitive disease, but also raised many new questions. For example, do Nrnxns and Nlgnns only act by binding to each other – in fact, do they actually function by binding to each other at all? Do different Nrnxns - either different principal isoforms, or different splice variants - perform distinct functions?  $\alpha$ - and  $\beta$ -Nrnxns cannot be functionally redundant because the  $\alpha$ -Nrnxn deletion causes a massive phenotype that cannot be compensated for by the remaining  $\beta$ -Nrnxns<sup>10</sup>, so what else do  $\alpha$ -Nrnxns do? Uncovering answers to these and many other questions will provide insight not only into the fundamental mechanisms of synaptic cell-adhesion, but also into the molecular determinants of neural circuit properties. Moreover, the apparent involvement of Nrnxns and Nlgnns in different cognitive diseases begs the question whether these diseases represent truly distinct entities, or a continuum of mental dysfunctions. With the emerging findings on the genetics of cognitive diseases, a molecular nosology of cognitive diseases may become possible. Furthermore, if a participation of Nrnxns and Nlgnns in cognitive diseases is confirmed in more extensive studies, new diagnostic and therapeutic possibilities may emerge, for example by selectively modulating the Nrnxn/Nlgn interaction. Again, much more work will be required to explore these possibilities, but the present results are encouraging in this direction as well.

### BOX 1. How a synapse works

At a synapse, a presynaptic terminal containing abundant synaptic vesicles contacts a postsynaptic cell, usually a neuron (see electron micrograph [Figure a]). When an action potential invades the presynaptic terminal,  $\text{Ca}^{2+}$ -channels open, and the inflowing  $\text{Ca}^{2+}$  triggers fusion of synaptic vesicles with the presynaptic plasma membrane, thereby emptying the neurotransmitters contained in the vesicles into the synaptic cleft<sup>90</sup>. The neurotransmitters then react with postsynaptic receptors to complete the information transfer. The overall process is incredibly rapid, with each of the major steps (presynaptic synaptic vesicle fusion, postsynaptic signal reception) initiating in  $<1$  ms (Figure b). In addition to this classical mode of synaptic transmission, synapses exhibit other types of signaling that operate on a slower timeframe and serve to regulate the synaptic transmission. Structurally, synapses are characterized by coats that line the intracellular face of the presynaptic plasma membrane (referred to as the active zone because synaptic vesicles undergo fusion here) and the postsynaptic plasma membrane (referred to as the postsynaptic density). Pre- and postsynaptic plasma membranes are always precisely aligned, and are separated by a synaptic cleft of  $\sim 20$  nm. The cleft contains an undefined proteinaceous material in the middle, and is presumably bridged by synaptic cell-adhesion molecules such as Nrnxns and Nlgnns that align the pre- and postsynaptic elements and mediate trans-synaptic signaling.

### BOX 2. Analyzing synaptic cell-adhesion molecules and synapse formation

Gain-of-function approaches

1. Cell-adhesion assays employ non-neuronal cells expressing cell-adhesion molecules to test whether these molecules are capable of mediating stable cell-cell interactions (e.g., Nrnxns/Nlgnns).
2. Artificial synapse formation assays co-culture neurons with non-neuronal cells expressing a cell-adhesion molecule. The assay tests whether the cell-adhesion molecule induces the neurons to form stable junctions with synapse-like properties with the non-neuronal cells<sup>38,50,67,68</sup>. Many molecules are active in this assay.

3. Neuronal transfection assays utilize neurons overexpressing a cell-adhesion molecule, and measure the synapse density on the transfected neurons by microscopy<sup>53</sup>, and synapse function by electrophysiology<sup>12</sup>. The assay allows a better functional analysis of the effects of a cell-adhesion molecule than the artificial synapse formation assay, but neither assays directly measures synapse formation, and both assays are subject to overexpression artifacts.

#### Loss-of-function approaches

1. RNAi experiments in cultured neurons or cultured slices test whether a cell-adhesion molecule is essential for synapse formation or synapse function. When paired with rescue controls, RNAi is ideal, but suffers from three potential limitations. First, it is difficult to target multiple proteins simultaneously with RNAi, and thus hard to address redundancy. Second, for many targets RNAi is simply inefficient, i.e. achieves <75% suppression when measured quantitatively (and not by densitometry of blots). Even successful RNAi is never complete, i.e. achieves >95% suppression. Third, compensatory changes are as likely during RNAi as during KO experiments.
2. Constitutive genetic manipulations via gene targeting experiments permanently delete or alter expression of a gene to test its overall importance. In addition to the problems listed for RNAi, this approach suffers from the potential for developmental alterations, but allows for complete elimination of expression, and makes organismal analyses possible.
3. Conditional deletions via gene targeting allow spatially and/or temporally regulated deletion or changes of a cell-adhesion molecule usually involving cre-recombinase mediated genetic changes. A powerful approach that, however, is labor intensive, and limited by the paucity of mouse lines with reproducible, tight, and robust expression of cre-recombinase.
4. Pharmacological inhibition of a cell-adhesion molecule to cause an acute disruption of function. Potentially the best approach, it is limited by the unavailability of effective agents for almost all cell-adhesion molecules, and by the side effects of many of the agents that do exist.

Gain-of-function approaches for analyzing synapse formation are more sensitive, but harder to interpret. Loss-of-function approaches exhibit greater validity, but are technically more difficult, and can be limited by functional redundancy between multiple genes. Note that both gain- and loss-of-function approaches, including RNAi and overexpression experiments, suffer from the problem of compensatory changes in the expression, localization, and/or stability of other proteins induced by the experimental manipulation.

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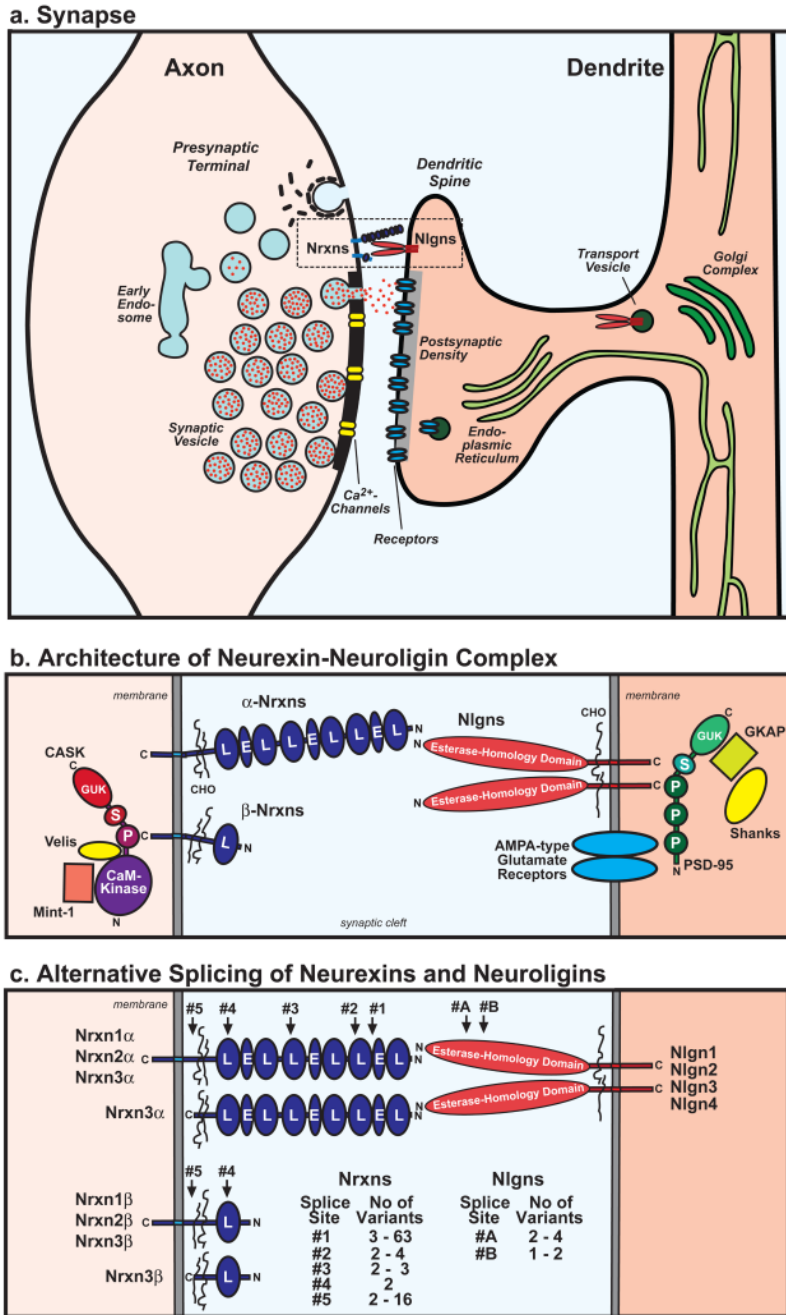
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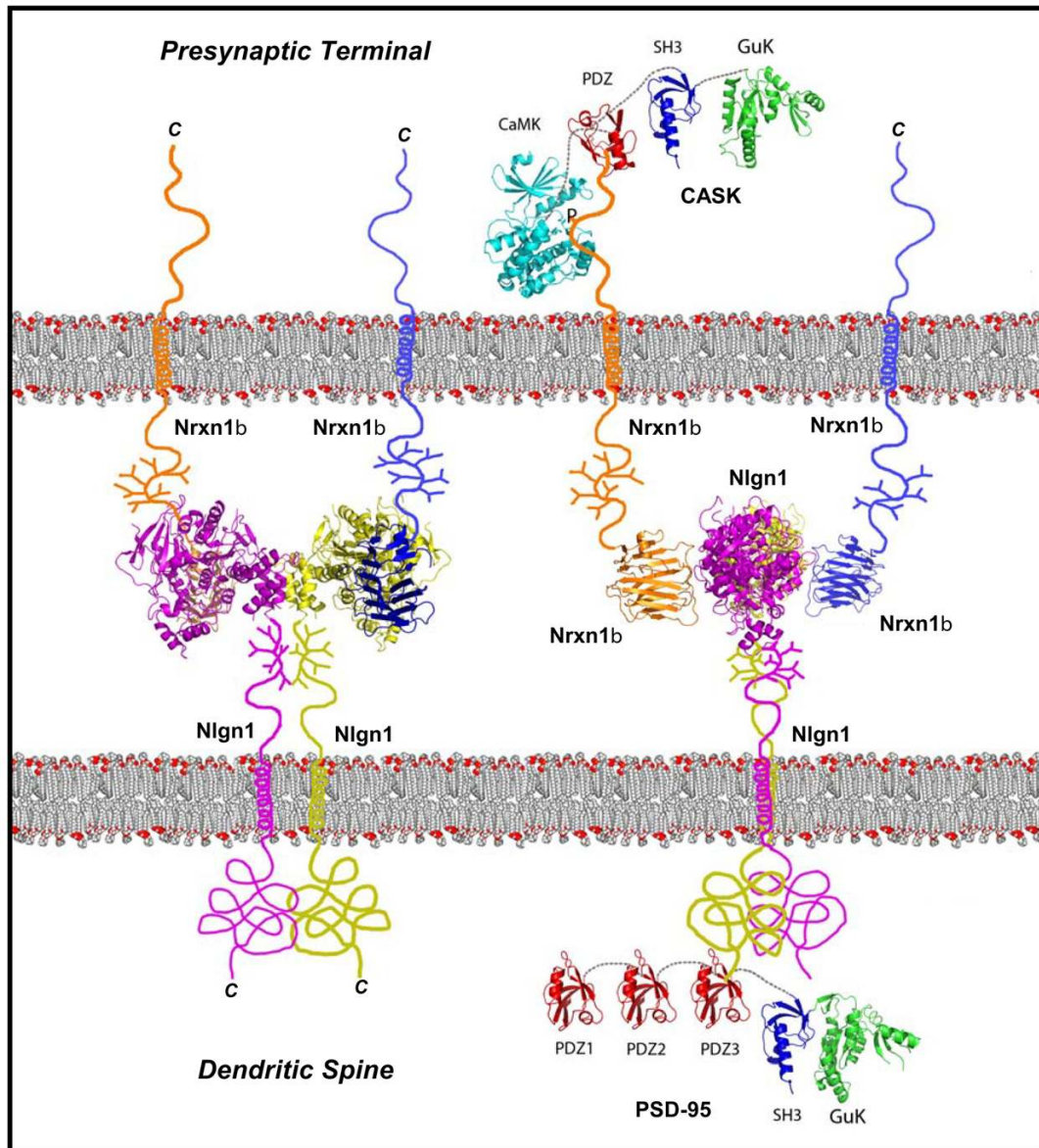


**Figure 1. Architecture of the trans-synaptic neurexin/neuroigin complex**  
**a.** Cartoon of the structure of an excitatory synapse and the putative locations of Nrnxns and Nlgn3 in the synapse.  
**b.** Schematic diagram of the Nrnx/Nlgn junction including selected pre- and postsynaptic binding proteins: CASK, Velis, and Mints on the presynaptic side<sup>62</sup>, and PSD-95 (which binds to AMPA-type glutamate receptors via its first PDZ domain<sup>64</sup>), GKAP, and Shanks on the postsynaptic side. Note that Nrnxns and CASK could be, at least in part, also postsynaptic, and that Shank may also be presynaptic (Abbreviations used: C and N = C- and N-termini; CHO = carbohydrate-attachment sequence; CaM Kinase = CaM

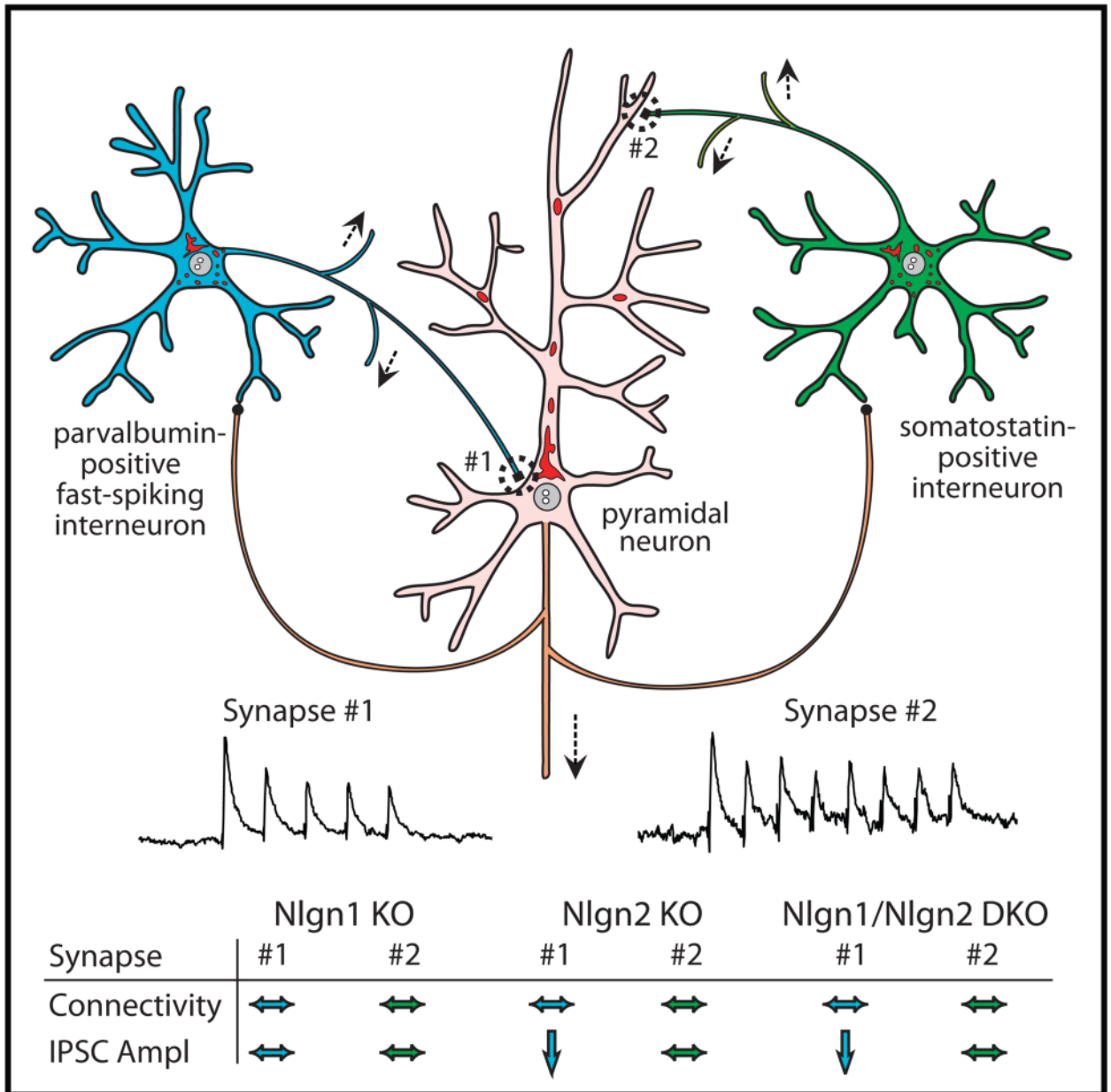
kinase domain of CASK; E = EGF-like domain; GUK = guanylate-kinase domain; L = LNS-domain; P = PDZ-domain; S = SH3 domain).

c. Alternative splicing of Nrns and Nlgn.  $\alpha$ -Nrns contain five canonical splice sites (#1 to #5), and  $\beta$ -Nrns two (#4 and #5). Splice site #1 is C-terminal to the first EGF-like domain, #2, #3, and #4 are at similar positions in the second, fourth and sixth LNS-domain, respectively, and #5 is between the glycosylated CHO-sequence and the transmembrane region. Most alternative splicing involves insertions of small evolutionarily conserved sequences except for splice site #5 which in Nrnx2 involves a large insert (191 residues), and in Nrnx3 involves a at least 16 variants, some of which include stop codons and thus produce secreted Nrnx3 isoforms<sup>35</sup>. Nlgn contains only two sites of alternative splicing, of which site #B is only present in Nlgn1.





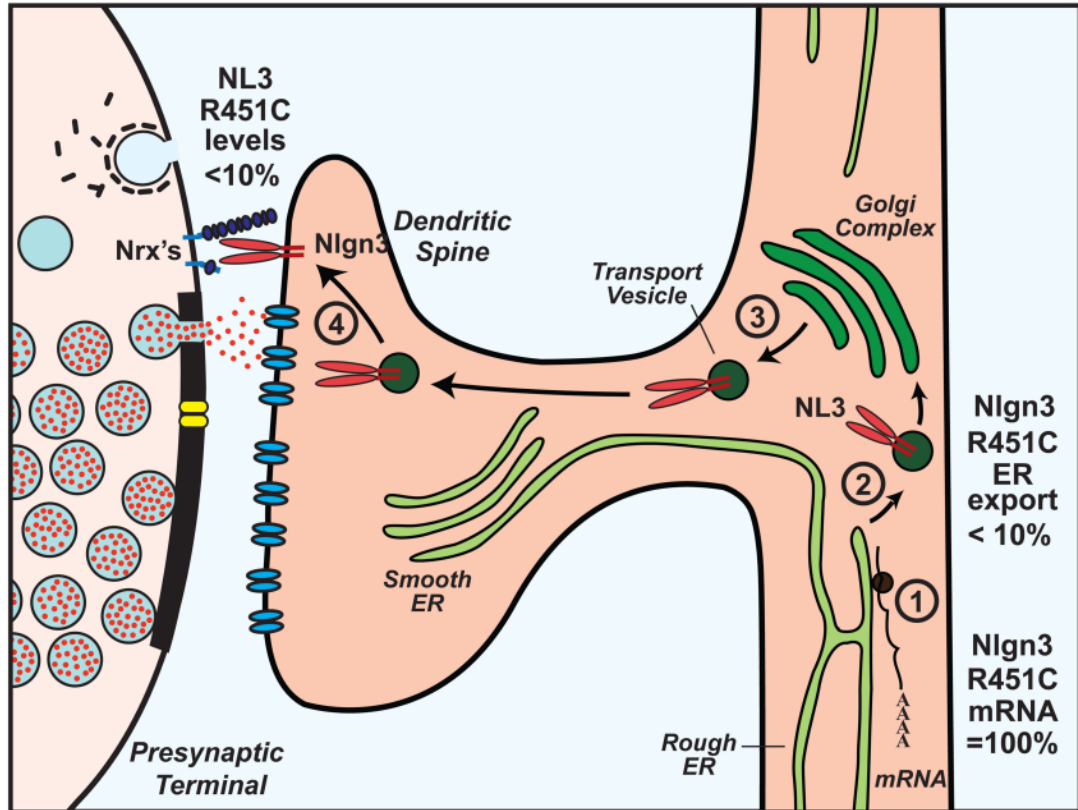
**Figure 2. Atomic model of the trans-synaptic complex formed by neurexin-1 $\beta$  and Neuroligin-1**  
 The Nrnx1 $\beta$ /Nlgn1 complex is shown in two orientations: Left, en face with the Nrnx1 $\beta$  LNS-domain on top of the Nlgn1 esterase-domain to illustrate the Nlgn1 dimer; right, in a 90° rotation to illustrate the sideways attachment of the Nrnx1 $\beta$  LNS-domains onto the Nlgn1 esterase-domains (structures are from the following PDB entries: 3BIW Nrnx/Nlgn, 1JXO PSD95 SH3 GuK, 1BE9 PSD95 PDZ, 3C0I CASK CAMK, 1KGD CASK GuK, 1KWA CASK PDZ; abbreviations as in Fig. 1; courtesy of D. Arac and A. Brunger, Stanford U.). The two orientations of the Nrnx1 $\beta$ /Nlgn1 complex illustrates the spatial arrangement and relative sizes of the Nrnx LNS-domains and the Nlgn esterase domain in a synaptic cleft. Other Nrnx and Nlgn isoforms for which no full structure is available, including  $\alpha$ -Nrnxns, would presumably have a similar arrangement except that the additional LNS-domain in  $\alpha$ -Nrnxns would occupy a larger space in the synaptic cleft.



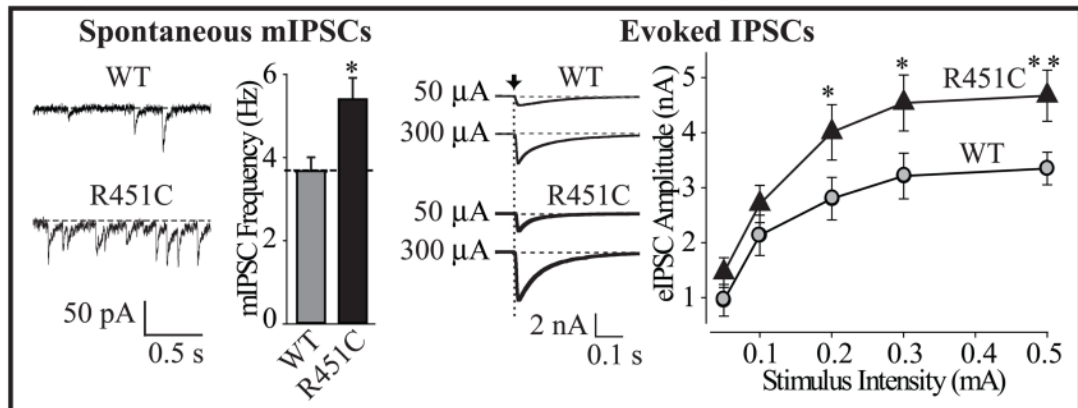
**Figure 3. Differential effects of neuroligin-1 and -2 deletions on inhibitory synapses in the somatosensory cortex**

Connections of parvalbumin-positive fast-spiking interneurons (blue) and of somatostatin-positive interneurons (green) with excitatory pyramidal neurons (pink) are shown schematically. The connectivity (as measured in paired recordings as % success) and amplitude (pA) of the inhibitory synapses of the interneurons onto the pyramidal neuron are shown for wild-type (WT), Nlgn1 KO, Nlgn2 KO, and Nlgn1/Nlgn2 double KO (DKO) mice (\* = statistically significantly different from WT; modified from J. Gibson, K. Huber, and T.C. Südhof, unpublished).

a. Nlgn3 R451C substitution impairs ER export



b. Nlgn3 R451C substitution enhances cortical synaptic inhibition

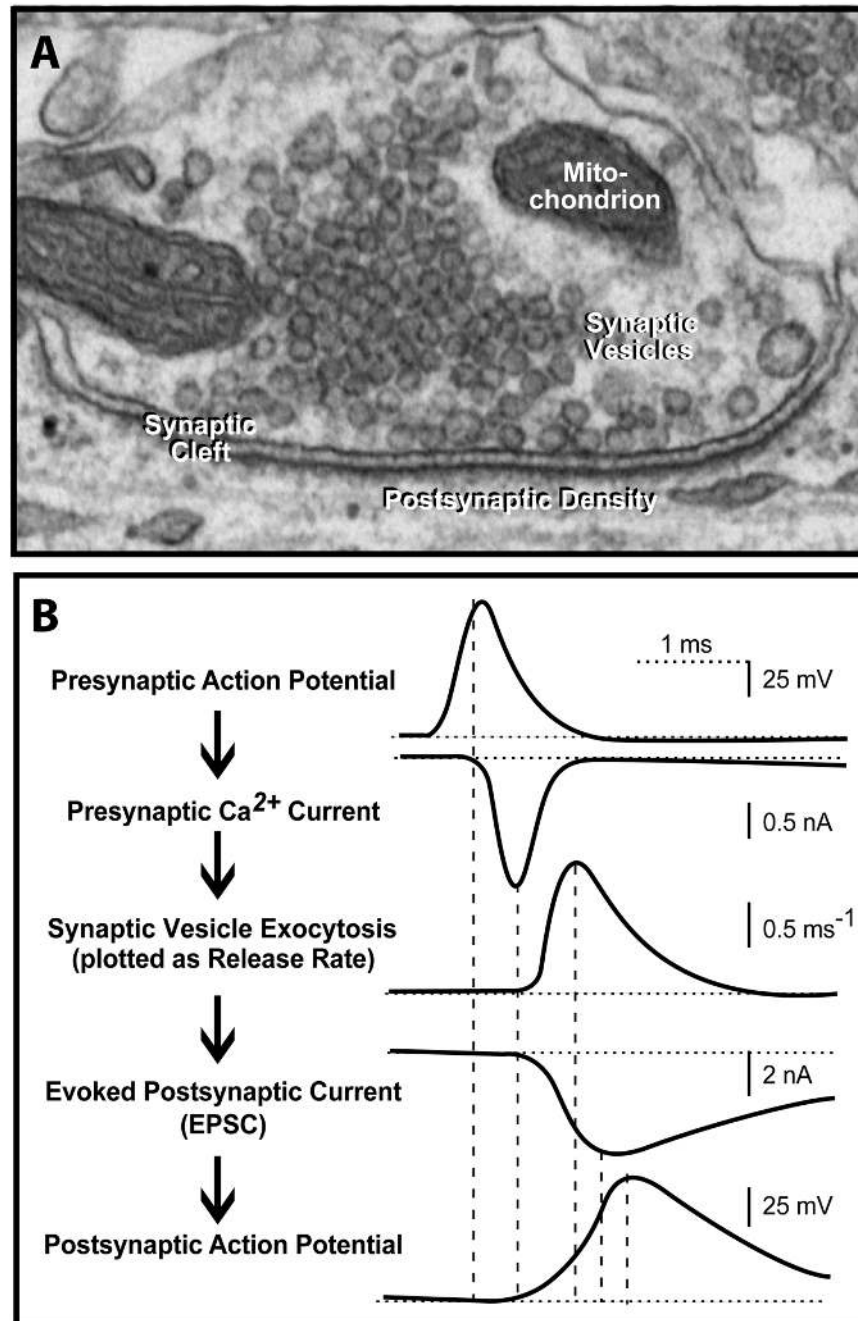


**Figure 4. The R451C substitution in Nlgn3 impairs Nlgn3 synthesis but enhances inhibitory synapses**

**a.** Schematic illustration of the effect of the R451C mutation on Nlgn3 synthesis. The mutation does not alter Nlgn3 mRNA levels (1), but decreases the export of Nlgn3 from the endoplasmic reticulum (2)<sup>85</sup>. As a result, the concentration of R451C-mutant Nlgn3 that is exported from the Golgi complex (3) and inserted into synapses (4) is <math><10\%</math> of the wild-type Nlgn3 concentration<sup>86</sup>.

**b.** Despite decreasing the Nlgn3 concentration, the R451C mutation produces a synaptic gain-of-function effect in inhibitory synapses in the somatosensory cortex. The figure illustrates in two examples increased inhibitory synaptic activity in R451C mutant mice: by measurements

of spontaneous ‘miniature’ synaptic events (left), or by measurements of evoked synaptic responses (right). Each example depicts representative electrophysiology traces on the left, and summary graphs on the right (modified from ref. <sup>86</sup>; \* = statistically significantly different from WT). Note that Nlgn3-deficient synapses from KO mice do not exhibit this phenotype<sup>86</sup>.



**Figure 5.**  
 a, electron micrograph of a synapse (courtesy of Dr. X. Liu, UT Southwestern)  
 b, time course of synaptic transmission as measured electrophysiologically. The five sequential steps are indicated, as deduced from measurements in the Calyx of Held synapse (modified from ref. <sup>90</sup>).