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## Neurorestorative therapies for stroke: underlying mechanisms and translation to the clinic

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## Abstract

Restorative cell-based and pharmacological therapies for experimental stroke substantially improve functional outcome. These therapies target several types of parenchymal cells (including neural stem cells, cerebral endothelial cells, astrocytes, oligodendrocytes, and neurons), leading to enhancement of endogenous neurogenesis, angiogenesis, axonal sprouting, and synaptogenesis in the ischaemic brain. Interaction between these restorative events probably underpins the improvement in functional outcome. This Review provides examples of cell-based and pharmacological restorative treatments for stroke that stimulate brain plasticity and functional recovery. The molecular pathways activated by these therapies, which induce remodelling of the injured brain via angiogenesis, neurogenesis, and axonal and dendritic plasticity, are discussed. The ease of treating intact brain tissue to stimulate functional benefit in restorative therapy compared with treating injured brain tissue in neuroprotective therapy might more readily help with translation of restorative therapy from the laboratory to the clinic.

## Introduction

Stroke is a major cause of morbidity and mortality worldwide. Thrombolytic therapy with alteplase is effective when given within 4.5 h after stroke.<sup>1,2</sup> However, fewer than 5% of patients with ischaemic stroke in the USA receive this treatment. Even with effective thrombolysis, most patients will have neurological deficits.<sup>3,4</sup> Therefore, development of therapies for ischaemic stroke designed specifically to reduce neurological deficits is crucial.

Preclinical data indicate that cell-based and pharmacological therapies that enhance brainrepair processes substantially improve functional recovery when given 24 h or later after stroke or brain injury.<sup>5-13</sup> Cell-based therapies under investigation include use of bone-marrow mesenchymal cells, cord blood cells, fetal cells, and embryonic cells.<sup>12,14-20</sup> Pharmacological treatments include drugs that increase cGMP (eg, phosphodiesterase 5 inhibitors, such as sildenafil and tadalafil), statins, erythropoietin, granulocyte-colony stimulating factor, nicotinic acid, and minocycline.<sup>6,7,10,21-25</sup> The common restorative characteristic of these therapies is that they target many types of parenchymal cells (including neural stem cells, cerebral endothelial cells, astrocytes, oligodendrocytes, and neurons), leading to enhancement of endogenous neurogenesis, angio genesis, axonal sprouting, and synaptogenesis in ischaemic brain tissue. These events collectively improve neurological function after stroke. Furthermore, in addition to providing enhanced cerebral tissue perfusion, angiogenic vessels produce

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neurotrophic compounds, which create a suitable microenvironment within the injured brain that attracts endogenous stem cells and promotes integration of these cells within the parenchyma. Together with parenchymal astrocytes, angiogenic vessels contribute to enhancement of synaptogenesis and axonal sprouting.

In this Review, we describe the mechanisms by which cell-based and pharmacological treatments stimulate endogenous brain remodelling after stroke, particularly neurogenesis, angiogenesis, axonal plasticity, and white-matter change. We also briefly outline the potential of MRI to view these restorative events. Finally, we discuss the challenges of translating these therapies into the clinic and ongoing clinical trials.

## Enhancement of neurogenesis

The subventricular zone (SVZ) of the lateral ventricle and the dentate gyrus of the hippocampus of adult rodent brains contain neural stem cells that produce neuroblasts.<sup>26,27</sup> Under physiological conditions, neuroblasts in the SVZ travel via the rostral migratory stream to the olfactory bulb where they differentiate into granule and periglomerular neurons throughout adult life.<sup>27,28</sup> In the SVZ of adult human brains, neural stem cells are present in a band of astrocytes separated from the ependyma.<sup>29-31</sup> In experimental stroke, focal cerebral ischaemia increases neurogenesis in the ipsilateral SVZ (figure 1) and neuroblasts emigrate from the SVZ to the ischaemic boundary regions of the striatum and cortex where they have the phenotypes of mature neurons.<sup>32-37</sup> Stroke-induced neurogenesis also takes place in the SVZ and ischaemic boundary of adult human brains, even in elderly patients aged 60-87 years.<sup>38-40</sup>

Neurogenesis induced by stroke involves proliferation of neural stem and progenitor cells, differentiation of neural progenitor cells, and migration of neuroblasts to the ischaemic boundary where neuroblasts mature into resident neurons and integrate into the parenchymal tissue. In adult mice, gene-profile analysis of neural progenitor cells from the SVZ that were isolated by laser-capture microdissection has shown that these cells share more than 70% of all expressed genes with embryonic cortical neural progenitor cells.<sup>41</sup> In murine neural progenitor cells from the SVZ, stroke activates many genes involved in neurogenesis during embryonic development.<sup>42</sup> The most upregulated genes after stroke are those in the transforming growth factor  $\beta$  superfamily, such as bone morphogenetic protein 8, bone morphogenetic protein type I receptors, and growth differentiation factor 2.<sup>42</sup> After stroke, adult neural progenitor cells seem to recapture embryonic molecular signals, which probably mediate neuroblast migration and stroke-induced proliferation and differentiation of neural progenitor cells.

In vivo analysis of the cytokinetics of neural progenitor cells has suggested that stroke might trigger actively proliferating neural progenitor cells from the SVZ in adult rodents to repeat the cell-cycle kinetics of the embryonic form of these cells.<sup>43</sup> During cortical neurogenesis, cell-cycle length is associated with progression of neural progenitor cells from proliferation to neurogenic division, and lengthening of the G<sub>1</sub> phase of the neuroepithelial cell cycle activates neuronal differentiation.<sup>44-47</sup> In rats, studies done in vivo that used cumulative and single S-phase labelling with 5-bromo-2'-deoxyuridine (BrdU)<sup>48</sup> showed that dynamic changes in cell-cycle kinetics of neural progenitor cells correlated with the proportion of daughter cells that remained within and left the cell cycle over a period of 2 to 14 days after stroke.<sup>49</sup> Decreasing the length of the G<sub>1</sub> phase of the cell cycle at 2 to 4 days after stroke was associated with an increase in dividing daughter cells that remained within the cell cycle to expand the SVZ progenitor pool rapidly. By contrast, lengthening the G<sub>1</sub> phase at 4 to 14 days after stroke was accompanied by an increased number of daughter cells that left the cell cycle to differentiate into neurons.<sup>49</sup> These data indicate that stroke triggers dynamic changes in the G<sub>1</sub> phase of the actively dividing SVZ cell cycle, resulting in early expansion of a neural progenitor pool and

subsequent neuronal differentiation, which leads to increased neurogenesis.<sup>49</sup> Neuroblasts in the ischaemic boundary have the phenotypes of mature neurons;<sup>35,50</sup> by use of the patch-clamp technique, new neurons in the ischaemic boundary were shown to have the electrophysiological characteristics of mature neurons. These finding suggest that neuroblasts mature into resident neurons and integrate into local neuronal circuitry.<sup>51</sup> However, neurogenesis is diminished after stroke and many newly formed neurons die.<sup>35</sup>

Cell-based and pharmacological therapies increase neurogenesis in the ischaemic brain (figure 1). These therapies activate the phosphatidylinositol 3-kinase (PI3K)-Akt signalling pathway in neural progenitor cells.<sup>7,10</sup> The PI3K-Akt pathway affects several cellular functions such as cell survival, proliferation, differentiation, and migration.<sup>52,53</sup> Akt regulates proliferation of neural stem cells and neuronal differentiation in embryonic mice,<sup>53,54</sup> and blockage of Akt activation with a selective PI3K inhibitor decreases proliferation of neural progenitor cells.<sup>55</sup> Therefore, the PI3K-Akt signalling pathway seems to be important in the regulation of neuro genesis enhanced by restorative therapies. However, initiation of the PI3K-Akt signalling pathway could differ with individual therapies. Treatment with bone-marrow mesenchymal cells stimulates brain parenchymal cells to secrete an array of neurotrophic factors, including basic fibroblast growth factor and brain-derived neurotrophic factor, which are known to activate Akt.<sup>56,57</sup> Erythropoietin activates the PI3K-Akt pathway by interaction with its receptor in neural progenitor cells, whereas phosphodiesterase 5 inhibitors and statins are thought to activate Akt via increased concentrations of cGMP.<sup>7,10,58,59</sup>

*Mammalian achaete-scute homolog 1 (Mash1)* and *neurogenin 1 (Neurog1*; also known as *Ngn1*) are pro-neuronal basic helix-loop-helix (bHLH) transcription factors that mediate differentiation of neural progenitor cells into neurons.<sup>60,61</sup> Akt regulates the assembly and activity of bHLH-coactivator complexes to promote this differentiation.<sup>53</sup> Inhibition of the PI3K-Akt pathway in neural progenitor cells suppresses expression of Mash1 and Ngn1. As a result, neuronal differentiation induced by erythropoietin and statins is prevented.<sup>62,63</sup> Small interfering RNA in neural progenitor cells also attenuates expression of endogenous Mash1 and Ngn1, which further minimises the rise in the neuronal population caused by erythropoietin and statins.<sup>62,63</sup> These findings indicate that the PI3K-Akt signalling pathway activated by these restorative therapies can trigger pro-neuronal bHLH transcription factors in neural progenitor cells, leading to neuronal, but not astrocytic, differentiation.<sup>10,62-66</sup>

Neurogenesis in the adult brain is associated with neurological function.<sup>67</sup> Ionising radiation applied to the subgranular zone of the dentate gyrus where there are neural progenitor cells reduces neurogenesis and impairs functional recovery after global ischaemia.<sup>68</sup> Neurogenesis enhanced by cell-based and pharmacological therapies might drive functional improvement during stroke recovery. A substantial improvement in neurological function and enhancement of neurogenesis has been observed even 1 year after stroke in animals treated with bone-marrow mesenchymal cells.<sup>69</sup> However, currently, there are no data on the mechanisms of endogenous neurogenesis in functional recovery after stroke. In a recent genetic study in adult mice, conditional ablation of newly formed neurons in the olfactory bulb resulted in shrinkage of the olfactory bulb, and removal of new neurons in the dentate gyrus caused impairment of memory. <sup>51</sup> This transgenic mouse line could provide insight into the direct effect of neurogenesis on functional outcome during stroke recovery.

### Enhancement of cerebral angiogenesis

The cerebral vascular system mainly develops through angiogenesis.<sup>70</sup> Although proliferation of cerebral endothelial cells ceases in the adult brain, angiogenesis in adult human and rodent brains can take place under pathophysiological conditions.<sup>71,72</sup> In the rodent brain, capillary sprouting is initiated at the border of the infarct and new vessels develop in the ischaemic

boundary between 2 and 28 days after the onset of stroke,<sup>73,74</sup> whereas angiogenesis takes place in the penumbra of human ischaemic brains 3 to 4 days after stroke.<sup>72</sup> Angiogenic vessels are permeable during the early stages of development and new vessels become less leaky as they mature.<sup>71,75</sup> We have used this transient increase in vascular permeability as a signal to identify formation of new blood vessels.<sup>16</sup> Vascular permeability can be quantified and detected with MRI T1 indices of brain-to-blood transfer constants of extrinsic-contrast agents, such as gadolinium DTPA (diethylene triamine pentaacetic acid), as well as intrinsic magnetisation-contrast techniques.<sup>16,76</sup> Cerebral blood flow can be measured by perfusion-weighted MRI. With these MRI indices, we found that a transient increase in vascular permeability in the ischaemic boundary 2 to 3 weeks after stroke led to increased cerebral blood flow 6 weeks after stroke.<sup>16</sup> Histological measurement of vascular density showed notable correlation between increased cerebral blood flow and rises in vascular density indicative of angiogenesis.<sup>16</sup> These findings show that stroke induces new functional vessels in the ischaemic boundary and that angiogenesis can be monitored with MRI.

Angiogenesis is a multi-step process that involves endothelial-cell proliferation, migration, tube formation, branching, and anastomosis (figure 2).<sup>77,78</sup> Vascular endothelial growth factor (VEGF) and VEGF receptor 2 (VEGFR2) initiate angiogenesis, and angiopoietins 1 and 2 and their receptor, Tie2, are involved in maturation, stabilisation, and remodelling of vessels.<sup>15</sup>, <sup>79</sup> In rodent ischaemic brain tissue, upregulation of VEGF and VEGFR2 and of angiopoietins and Tie2 lasts for at least 28 days.<sup>80,81</sup> Patients with stroke have high serum concentrations of VEGF 7 days after acute stroke, and these concentrations remain high for 14 days after stroke. <sup>82</sup> The VEGF and VEGFR2 and the angiopoietin and Tie2 pathways mediate angiogenesis in the ischaemic boundary.<sup>71,74,83,84</sup> VEGF and VEGFR2 upregulated by stroke promote cerebral-vessel sprouting to form new permeable vessels, whereas upregulation of angiopoietin 1 and Tie2 leads to maturation of the vessels to functional cerebral vessels.<sup>71,74,83,84</sup> Treatment with VEGF 24 h after stroke enhances angiogenesis.<sup>71,85</sup> In rodents, cell-based and pharmacological therapies increase angiogenesis in the ischaemic boundary by regulating expression of VEGF and VEGFR2, as well as angiopoietins 1 and 2 and Tie2.<sup>86-88</sup> In preclinical studies, drugs such as recombinant human erythropoietin, statins, and phosphodiesterase 5 inhibitors increase concentrations of VEGF in the ischaemic boundary. In a tube-formation assay, blockage of VEGFR2 in endothelial cells suppressed angiogenesis promoted by these drugs.<sup>5,10,59,88,89</sup> In mice, endothelial nitric oxide synthase mediated statin-induced angiogenesis.<sup>90</sup> Bone-marrow mesenchymal cells stimulated parenchymal cells in rats to express VEGF, angiopoietin 1, and Tie2,<sup>86</sup> leading to increased angiogenesis and maturation of newly formed vessels by reducing vascular permeability and increasing the expression of tight-junction proteins.<sup>88</sup>

The effect of cell-based and pharmacological therapies on angiogenesis has been noninvasively monitored with MRI indices, including susceptibility-weighted imaging and T2\*weighted imaging. These techniques use susceptibility differences in cerebral tissues and are sensitive to blood in cerebral veins because of blood oxygenation level dependent (BOLD) effects.<sup>16,91-96</sup> Treatment of stroke with sildenafil or erythropoietin in rats substantially increases angiogenesis detected by susceptibility-weighted and T2\*-weighted imaging in the ischaemic boundary, and enhanced angiogenesis lasts for at least 6 weeks after stroke (figure 3).<sup>94-96</sup>

Angiogenesis is essential for ischaemic brain repair as this event stimulates blood flow and metabolism in the ischaemic boundary. In patients with stroke, there was a significant correlation between the number of cerebral blood vessels in the cortical rim and survival times. <sup>72,82,97</sup> Patients who have a high density of blood vessels seem to survive longer than patients with low vascular density.<sup>72,82,97</sup> In ischaemic brain tissue of animals treated with cell-based and pharmacological therapies, angiogenesis was increased, which was associated with

improvements in functional outcome.<sup>5,10,59,88,89</sup> These findings suggest that, in addition to neurogenesis, angiogenesis increased by these restorative therapies also improves functional recovery.

## Coupling of neurogenesis and angiogenesis

Stroke induces angiogenesis and neurogenesis, two processes that are linked together.<sup>71,74</sup>, 81,84,85,98-102 Cerebral blood vessels mainly provide nutritive blood flow. However, cerebral endothelial cells secrete factors that regulate the biological activity of neural progenitor cells. Under physiological conditions, neurogenesis in the subgranular zone of the dentate gyrus takes place within an angiogenic microenvironment.<sup>103</sup> The laminin receptor α6β1 integrin expressed by neural stem and progenitor cells interacts with laminin-containing vessels in the SVZ of adult mice: blockage of this interaction increases the proliferation of neural stem cells and progenitor cells.<sup>104,105</sup> After stroke, neuroblasts formed in the SVZ migrate to the ischaemic boundary where angiogenesis takes place and, during migration, these cells are closely associated with cerebral vessels.<sup>16,100,102</sup> Suppression of angiogenesis either with endostatins or with a neutralising antibody against Tie2 substantially reduces migration of newly formed neuroblasts to the ischaemic region.<sup>100</sup> Activated endothelial cells in angiogenic areas secrete many factors, among which are stromal-derived factor  $1\alpha$  and matrix metalloproteinases (MMPs).<sup>103,106,107</sup> Stromal-derived factor  $1\alpha$  is a CXC chemokine that mediates neuroblast migration in the developing brain.<sup>107</sup> In adult rodent brains, stromalderived factor 1a released by activated endothelial cells in the ischaemic boundary attracts neuroblasts in the SVZ to the boundary by interacting with its receptor CXCR4 expressed in neurob lasts.<sup>37,100,108,109</sup> Blocking CXCR4 inhibits stroke-induced neuroblast migration.<sup>37</sup>, <sup>109,110</sup> Treatment with bone-marrow mesenchymal cells increases concentrations of stromalderived factor 1a and promotes migration of neuroblasts to the ischaemic boundary.<sup>111-113</sup>

MMPs degrade the extracellular matrix, which enables cells to penetrate the extracellular matrix.<sup>114,115</sup> MMP2 and MMP9 facilitate neuroblast migration to the ischaemic boundary. <sup>114,115</sup> In vitro, erythropoietin stimulates cerebral endothelial cells to secrete active forms of MMP2 and MMP9.<sup>114</sup> Co-culture of cerebral endothelial cells activated by erythropoietin with neural progenitor cells promotes neuroblast migration, and MMP2 and MMP9 mediate cell motility.<sup>114</sup> These data indicate that MMPs regulate the relation between erythropoietin-enhanced angiogenesis and neurogenesis.<sup>10,114</sup>

In addition to guiding neuroblast migration, activated endothelial cells secrete VEGF to increase neurogenesis.<sup>101</sup> Co-culture of cerebral endothelial cells from the ischaemic boundary with neural progenitor cells from the nonischaemic SVZ substantially increases the number of neurons.<sup>101</sup> Blockage of VEGFR2 with a VEGFR2 antagonist suppresses the effect of endothelial cells on neurogenesis.<sup>101</sup> VEGF is an angiogenic and a neurogenic growth factor. <sup>116</sup> Intraventricular infusion of VEGFR2 could be common factors involved in the promotion of angiogenesis and neurogenesis.

Neural progenitor cells also enhance angiogenesis.<sup>89,101</sup> In a microarray analysis of neural progenitor cells isolated by laser-capture microdissection, ischaemic neural progenitor cells in the SVZ expressed several angiogenic factors, including angiopoietin 2, VEGFR2, and fibroblast growth factor.<sup>42</sup> These neural progenitor cells promote angiogenesis in vitro, as measured by a capillary-like tube-formation assay.<sup>89,101</sup> Transplantation of neural progenitor cells into ischaemic brains also promoted angiogenesis.<sup>117</sup>

Collectively, these data provide insight into the molecular mechanisms that underlie the coupling of angiogenesis and neurogenesis enhanced by cell-based and pharmacological therapies. These findings suggest that, in vivo, neurogenesis and angiogenesis are highly

## Effects on astrocytes, oligodendrocytes, and axons

of neurological function after stroke.

Axons in ischaemic brains have little capability to sprout.<sup>118</sup> Astrocytes form glial scars along ischaemic lesions and produce proteoglycans that inhibit axonal growth and that act as physical and biochemical barriers to axonal regeneration.<sup>119</sup> In experimental stroke, treatment with bone-marrow mesenchymal cells substantially increases axonal density around the ischaemic lesion, extends axonal fibres, and orients these fibres parallel to the boundary of a coronal section of an ischaemic lesion.<sup>120,121</sup> The increased axonal density is maintained for at least 1 year after stroke.<sup>69</sup> Bone-marrow mesenchymal cells substantially reduce expression of axonal-growth inhibitory proteins, such as reticulon (Rtn4; also known as Nogo), enabling axonal and neurite outgrowth.<sup>121,122</sup> Real-time RT-PCR analysis of astrocytes isolated by laser-capture microdissection showed that transplantation of bone-marrow mesenchymal cells notably downregulated neurocan (Ncan), a proteoglycan that inhibits axonal growth.<sup>122</sup> Coculture of bone-marrow mesenchymal cells with astrocytes also substantially reduced expression of Ncan in astrocytes activated by deprivation of oxygen-glucose.<sup>122</sup> These findings suggest that, in addition to induction of many growth factors within astrocytes, bone-marrow mesenchymal cells suppress inhibitory genes for axonal regeneration, which could contribute to facilitation of axonal remodelling. Suppression of inhibitory proteoglycans by cell-based therapies also leads to neurite outgrowth and axonal remodelling in the spinal cord and ipsilateral and contralateral hemispheres, which significantly correlate with improved functional outcome after stroke.123

Mature oligodendrocytes form myelin sheaths for sprouting axons in ischaemic brain tissue. <sup>124-126</sup> These oligodendrocytes are derived from non-myelinating oligodendrocyte progenitor cells that are present in the corpus callosum, striatum, and SVZ of adult rodent brains. <sup>125-127</sup> Transplantation of bone-marrow mesenchymal cells substantially increased the number of oligodendrocyte progenitor cells in these areas of the ischaemic hemisphere and the number of mature oligodendrocytes in the ischaemic boundary adjacent to myelinated axons. <sup>120</sup> Treatment of stroke with erythropoietin or sildenafil also notably enhanced myelinated axons adjacent to the ischaemic boundary. <sup>128,129</sup> Therefore, cell-based and pharmacological therapies might promote generation of oligodendrocyte progenitor cells in the ischaemic brain, which migrate to target axons where they extend their processes and myelinate axons. In addition to erythropoietin, statins promote neurite outgrowth in vitro and increase synaptogenesis around the ischaemic boundary.<sup>7,10</sup>

Diffusion-tensor imaging enables delineation of the anatomical connectivity of white-matter pathways. Water in white matter moves more easily in the direction parallel to the tract than perpendicular to it.<sup>130-132</sup> This diffusional directionality is known as fractional anisotropy, <sup>130-132</sup> and can be used to detect changes in white-matter structure in the ischaemic brain. Fractional anisotropy is directly correlated with histological markers of myelination (figure 4). <sup>128</sup> Diffusion-tensor imaging measurements have shown that treatment of stroke with sildenafil or erythropoietin substantially increases fractional anisotropy measurements around the ischaemic boundary starting 2 weeks after stroke; these increases lasted for at least 6 weeks after stroke. <sup>129,133</sup> Histological analysis verified that axons in areas with high fractional anisotropy measurements were myelinated. <sup>129,133</sup> Angiogenesis detected with T2\*-weighted imaging was shown to take place 1 week earlier than increased fractional anisotropy measurements, suggesting that angiogenesis is closely associated with axonal remodelling. <sup>129,133</sup>

## Translation to the clinic

Apart from treatment with alteplase, translation of therapies for stroke to the clinic from those in the laboratory has not been successful.<sup>134</sup> These attempts all aimed to develop neuroprotective treatments of stroke with early intervention to reduce the volume of cerebral infarction. Reasons for failure include the short time window required to intervene to salvage cerebral tissue. Many of the drugs tested in the laboratory were given immediately after or within the first hours after onset of stroke. Translation to the clinic frequently involved extending the therapeutic window to 6 h or longer after stroke: times at which animals showed no benefit. Doses used in animals often could not be used in human beings because of adverse effects.<sup>134</sup> Furthermore, without adequate tissue perfusion, neuroprotective drugs cannot target the compromised tissue.

The essential difference between neuroprotective and neurorestorative treatments is that the former treat the lesion and the latter, whether they are cell-based or pharmacological therapies, treat the intact tissue.<sup>135,136</sup> The therapeutic window and treatment protocols will thus be very different. Restorative therapies are effective when initiated 1 month after stroke onset<sup>111</sup> and cerebral perfusion is not problematic because the therapeutic target is cerebral tissue with normal perfusion. Restorative treatments are expected to reduce some of the impediments to the translation of laboratory-proven therapies to patients. However, restorative treatments have their own sets of complicating factors. The treatments must be clearly proven to be safe in patients; this is particularly challenging for cell-based therapies. A further complication is that patients who have had stroke are commonly not in a controlled environment. Moreover, patients often have various types and conditions of rehabilitation and home and social environments, which can affect functional response.<sup>135</sup> The interactions between restorative interventions and different environments, comorbidities, and rehabilitation strategies must be taken into account. More extensive and specific neurological outcome measures, beyond the National Institutes of Health stroke scale, Barthel index, and European stroke scale, need to be developed and implemented for restorative treatments.<sup>137</sup> Recommendations and guidelines for translation of laboratory stroke studies with stem cells to patients have been published after the Stem Cell Therapies as an Emerging Paradigm in Stroke (STEPS) conference.<sup>138</sup>

MRI could have an important role in the management of patients with stroke who receive neurorestorative treatment. The focus of MRI should not be on the ischaemic lesion volume and cerebral oedema, but on the restructuring of white matter, angiogenesis, and, possibly, neurogenesis and synaptic activity. These changes form the biological basis of functional improvement and can be non-invasively monitored with MRI and magnetic resonance spectroscopy, which could be used to monitor response to treatment and, possibly, to predict therapeutic response.

## **Clinical trials**

Approaches to enhance recovery of function after stroke in the laboratory and in clinical trials extend beyond the use of drugs and cell-based treatments and include electromagnetic stimulation, device-based strategies, repetitive training, and task-oriented strategies.<sup>136</sup> The recent Extremity Constraint Induced Therapy Evaluation (EXCITE) trial reported significantly positive results for distal and proximal arm motor function in response to constraint-induced therapy.<sup>139,140</sup> Here, we focus on cell-based and pharmacological approaches and how these approaches change brain structure and neural plasticity to promote functional recovery; few such restorative therapies tested in the laboratory have moved to clinical trials (table).<sup>141-145</sup> Patients with ischaemic stroke treated with autologous bone-marrow mesenchymal cells had no adverse effects and showed functional improvement.<sup>143</sup> A dose-tiered phase I safety trial of sildenafil in patients with stroke is in progress,<sup>145</sup> with patients receiving treatment 3-7 days

after stroke. In a case of compassionate use, sildenafil caused notable recovery in a patient with locked-in syndrome.<sup>146</sup> Plasticity of human and animal brains is increased after stroke, with clear induction of angiogenesis and neurogenesis. The available preclinical data show functional improvement through brain remodelling. Many of the therapies under consideration for restorative treatment of stoke are in clinical use for other indications; therefore, assuring the safety of these compounds for patients with stroke might not be difficult. Because most patients with stroke could be treated with restorative therapy, and the clinical need to promote recovery in patients with stroke is great, efforts to translate laboratory studies into the clinic safely and quickly are needed.

## Conclusions

The cell-based and pharmacological therapies described in this Review target multiple types of parenchymal cells in ischaemic brain tissue to increase neurogenesis, angiogenesis, and axonal outgrowth during recovery. Potential mechanisms underlying these beneficial therapies are emerging. Future studies must investigate mechanisms that temporally and spatially coordinate these events.

Brain remodelling after stroke and subsequent improvement of functional outcome probably result from several restorative events that are enhanced by restorative therapies. Induction of angiogenesis couples with and promotes neurogenesis and neuroblast migration to the lesion. These interlinked remodelling events could create a microenvironment within the injured brain through their interaction with astrocytes and oligodendrocytes, which then promote neurite outgrowth and plasticity within the brain and spinal cord. These restorative events enhanced by restorative cell-based and pharmacological therapies lead to improved functional outcome.

One main difference between cell-based and pharmacological treatments is that transplanted cells actively interact with parenchymal cells depending on their microenvironment, whereas drugs interact with brain cells depending on their pharmacokinetic profiles. Understanding the mechanisms underlying the beneficial effects of these therapies will greatly enhance translation of these treatments to clinical use.

### Search strategy and selection criteria

References for this Review were identified through searches of PubMed with the search terms "cell-based and pharmacological therapies", "experimental stroke", "restorative therapies", "neurogenesis", "angiogenesis", "MRI", from January, 1975, to January, 2009. Papers for cell-based and pharmacological therapies were only included if treatments were initiated 24 h or longer after stroke. Only papers published in English were reviewed.

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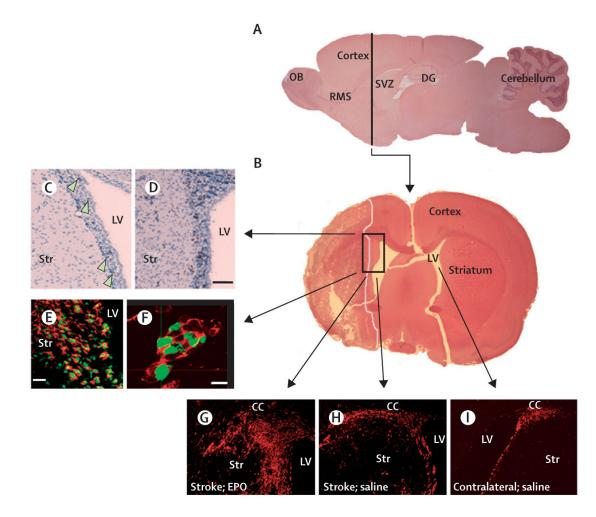
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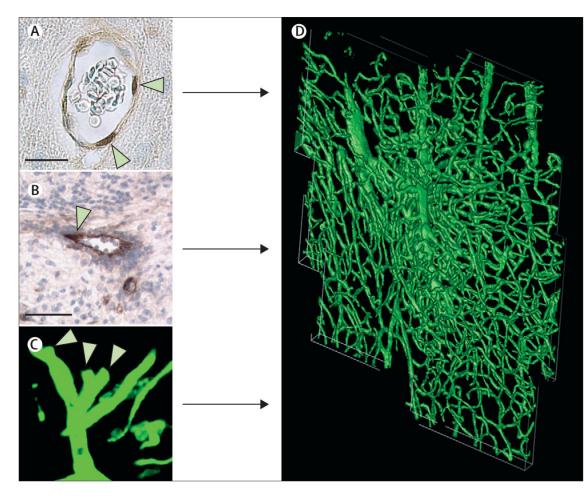
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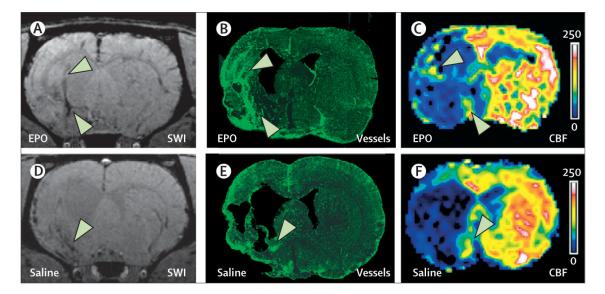
## Figure 1. Neurogenesis in the SVZ of the lateral ventricle of adult rodent non-ischaemic and ischaemic brain tissue

A and B show sagittal and coronal views, respectively, of rat brain tissue in the SVZ. SVZ cells in the non-ischaemic brain are proliferating, as shown by BrdU-positive cells (C, arrows, brown dots). After stroke, the number of these proliferating cells increased (D, brown). Confocal microscopic images (E and F) show that BrdU-positive cells (green) are positive for doublecortin (red), indicating that these are newly generated neuroblasts. G to I show doublecortin-positive cells (red) in the ischaemic (G and H) and non-ischaemic (I) hemispheres of rats treated with erythropoietin (G) and saline (H and I). Treatment of erythropoietin substantially increased doublecortin-positive cells in the SVZ and ischaemic striatum (G). Bars are 50  $\mu$ m (D), 20  $\mu$ m (E), and 10  $\mu$ m (F). BrdU=5-bromo-2'-deoxyuridine. CC=corpus callosum. DG=dentate gyrus. EPO=erythropoietin. LV=lateral ventricle. OB=olfactory bulb. RMS=rostral migratory stream. Str=striatum. SVZ=subventricular zone.



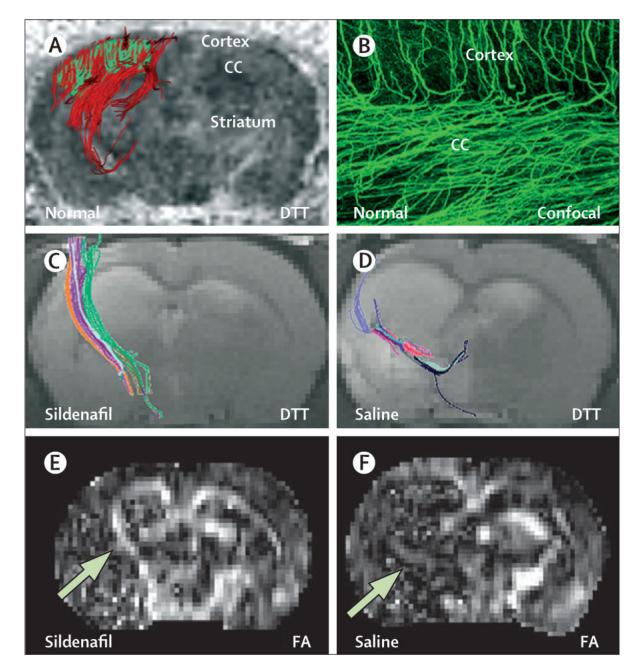
#### Figure 2. Stroke induces angiogenesis within the ischaemic boundary

Immunostaining with antibodies against BrdU shows proliferative endothelial cells of cerebral blood vessels (A, arrowheads). Sprouting cerebral vessels are detected with immunoreactive von Willebrand factor (B, arrowhead) and shown on three-dimensional images obtained from confocal microscopy (C, arrowheads). Proliferating endothelial cells and sprouting vessels contribute to angiogenesis seen at the ischaemic boundary region (D). D is a three-dimensional image of angiogenesis at the cortical ischaemic boundary of a rat 14 days after stroke. Bars are 10  $\mu$ m (A) and 50  $\mu$ m (B). BrdU=5-bromo-2'-deoxyuridine.



### Figure 3. Cerebral angiogenesis identified with MRI and confocal images

SWI of brain coronal sections shows dark areas at the ischaemic boundary (A and D, arrowheads), which match angiogenic areas detected with confocal microscopy (B and E, arrowheads). These areas show increased CBF measured with perfusion-weighted MRI (C and F, arrowheads). All images were acquired 6 weeks after stroke from rats treated with EPO (A to C) and saline (D to F). CBF=cerebral blood flow. EPO=erythropoietin. SWI=susceptibility-weighted imaging.



## Figure 4. Diffusion tensor imaging measurements of FA and fibre tracking

(A) A three-dimensional DTT image shows tracking of axonal projections (red) in a selected area of the CC and cortex (green). (B) A confocal image shows similar patterns of axonal projections in the same area. Treatment of stroke with sildenafil increases axonal projections (C) and FA concentrations (E, arrow) at the ischaemic boundary compared with animals treated with saline (D and F, arrow). CC=corpus callosum. DTT=diffusion tensor tractography. FA=fractional anisotropy.

### Table

Clinical studies of cell-based and pharmacological restorative therapies

	Patients (n)	Interventions	Results
Phase I	12	NT2N cells; parenchymal implantation	No cell-associated adverse effects 12-18 months after cell transplantation $^{141}$
Phase II	18	NT2N cells; parenchymal implantation	Safety and feasibility of neuron transplantation but no evidence of a substantial benefit on motor function $^{142}$
Phase I/II	30	Autologous bone-marrow mesenchymal cells; intravenous injection	No adverse effects and functional improvement seen 1 year after cell transplantation $^{143}$
Pilot	36	Granulocyte-colony stimulating factor 1-10 µg/kg for one or five doses; subcutaneous injection	Safety and feasibility 90 days after treatment <sup>144</sup>
Phase I	Ongoing	Sildenafil 150 mg; oral treatment	Not yet available <sup>145</sup>

NT2N cells=Ntera2/D1 neuron-like cells.