# New Results on Degree Sequences of Uniform Hypergraphs 

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#### Abstract

A sequence of nonnegative integers is $k$-graphic if it is the degree sequence of a $k$ uniform hypergraph. The only known characterization of $k$-graphic sequences is due to Dewdney in 1975. As this characterization does not yield an efficient algorithm, it is a fundamental open question to determine a more practical characterization. While several necessary conditions appear in the literature, there are few conditions that imply a sequence is $k$-graphic. In light of this, we present sharp sufficient conditions for $k$-graphicality based on a sequence's length and degree sum.

Kocay and Li gave a family of edge exchanges (an extension of 2 -switches) that could be used to transform one realization of a 3-graphic sequence into any other realization. We extend their result to $k$-graphic sequences for all $k \geqslant 3$. Finally we give several applications of edge exchanges in hypergraphs, including generalizing a result of Busch et al. on packing graphic sequences.


Keywords: degree sequence, hypergraph, edge exchange, packing

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## 1 Introduction

A hypergraph $H$ is $k$-uniform, or is a $k$-graph, if every edge contains $k$ vertices. A $k$ uniform hypergraph is simple if there are no repeated edges. Thus, a simple 2-uniform hypergraph is a simple graph. For a vertex $v$ in a $k$-graph $H$, the degree of $v$, denoted $d_{H}(v)$ (or simply $d(v)$ when $H$ is understood) is the number of edges of $H$ that contain $v$. As with 2 -graphs, the list of degrees of vertices in a $k$-graph $H$ is called the degree sequence of $H$.

Let $\pi=\left(d_{1}, \ldots, d_{n}\right)$ be a nonincreasing sequence of nonnegative integers. We let $\sigma(\pi)$ denote the sum $\sum_{i=1}^{n} d_{i}$, and when it is convenient, we write $\pi=\left(d_{1}^{m_{1}}, \ldots, d_{n}^{m_{n}}\right)$, where exponents denote multiplicity. If $\pi$ is the degree sequence of a simple $k$-graph $H$, we say $\pi$ is $k$-graphic, and that $H$ is a $k$-realization of $\pi$. When $k=2$, we will simply say that $\pi$ is graphic and that $H$ is a realization of $\pi$.

Our work in this area is motivated by the following fundamental problems:
Problem 1.1. Determine an efficient characterization of $k$-graphic sequences for all $k \geqslant 3$.
Problem 1.2. Investigate the properties of the family of $k$-realizations of a given sequence.

We will present results relating to each of these problems. Our results are motivated by similar work on graphic sequences. When $k=2$, there are many characterizations of graphic sequences, including those of Havel [20] and Hakimi [19], and Erdős and Gallai [15]. Sierksma and Hoogeveen [21] list seven criteria and give a unifying proof. For $k \geqslant 3$, Problem 1.1 appears to be much less tractable.

The following theorem from [12] is the only currently known characterization of $k$ graphic sequences for $k \geqslant 3$.

Theorem 1.3 (Dewdney 1975). Let $\pi=\left(d_{1}, \ldots, d_{n}\right)$ be a nonincreasing sequence of nonnegative integers. $\pi$ is $k$-graphic if and only if there exists a nonincreasing sequence $\pi^{\prime}=\left(d_{2}^{\prime}, \ldots, d_{n}^{\prime}\right)$ of nonnegative integers such that

1. $\pi^{\prime}$ is $(k-1)$-graphic,
2. $\sum_{i=2}^{n} d_{i}^{\prime}=(k-1) d_{1}$, and
3. $\pi^{\prime \prime}=\left(d_{2}-d_{2}^{\prime}, d_{3}-d_{3}^{\prime}, \ldots, d_{n}-d_{n}^{\prime}\right)$ is $k$-graphic.

Dewdney's characterization hinges on a relatively simple, yet quite useful, idea that we will utilize in the sequel. Given a vertex $v$ in a hypergraph $H$, let $H_{v}$ denote the subgraph of $H$ with vertex set $V(H)$ and edge set consisting of the edges of $H$ that contain $v$. The link of $v, L_{H}(v)$, is then the hypergraph obtained by deleting $v$ from each edge in $H_{v}$. Thus, if $H$ is $k$-uniform, then $L_{H}(v)$ is a $(k-1)$-uniform hypergraph, and if $H$ is a graph, then each vertex in $N_{H}(v)$ gives rise to a 1-edge in $L_{H}(v)$.

Suppose that $\pi^{\prime}=\left(x_{1}, \ldots, x_{n}\right)$ is a $(k-1)$-graphic sequence and $\pi^{\prime \prime}=\left(y_{1}, \ldots, y_{n}\right)$ is a $k$-graphic sequence. It follows that the sequence

$$
\pi=\left(\frac{\sigma\left(\pi^{\prime}\right)}{k-1}, x_{1}+y_{1}, \ldots, x_{n}+y_{n}\right)
$$

is also $k$-graphic. To see this, let $H_{1}$ be a $(k-1)$-realization of $\pi^{\prime}$ and $H_{2}$ be a $k$-realization of $\pi^{\prime \prime}$, both with vertex set $\left\{v_{1}, \ldots, v_{n}\right\}$. Add a new vertex $v_{0}$ to each edge in $H_{1}$ to obtain the $k$-graph $H_{1}^{\prime}$. Then $H=H_{1}^{\prime}+H_{2}$ is a realization of $\pi$ as desired; furthermore, $\pi^{\prime}$ is the degree sequence of $L_{H}\left(v_{0}\right)$.

Considering this process in reverse, a sequence $\pi=\left(d_{1}, \ldots, d_{n}\right)$ is $k$-graphic if for some index $i$ there is a $(k-1)$-graphic sequence $\pi^{\prime}=\left(x_{1}, \ldots, x_{i-1}, 0, x_{i+1}, \ldots, x_{n}\right)$ such that

$$
\pi^{\prime \prime}=\left(d_{1}-x_{1}, \ldots, d_{i-1}-x_{i-1}, 0, d_{i+1}-x_{i+1}, \ldots, d_{n}-x_{n}\right)
$$

is $k$-graphic. Here, as above, we would be able to construct a realization $H$ of $\pi$ in which $\pi^{\prime}$ is the degree sequence of the link $L_{H}\left(v_{i}\right)$. Again, note that the $i$ 'th term of each sequence is 0 , corresponding to the vertex $v_{i}$ that does not appear in the $(k-1)$-realization of $\pi$ or the $k$-realization of $\pi^{\prime}$.

This is the crucial idea of the Havel-Hakimi algorithm, wherein it is proved that it is sufficient to select $\pi^{\prime}=\left(0,1^{d_{1}}, 0^{n-d_{1}-1}\right)$ (which is trivially 1 -graphic) and therefore one must only determine the graphicality of the residual sequence $\left(0, d_{2}-1, \ldots, d_{d_{1}+1}-\right.$ $\left.1, d_{d_{1}+2}, \ldots, d_{n}\right)$. In Theorem 1.3, however, there is no standard form of the "link sequence" that is sufficient to determine if $\pi$ is $k$-graphic. Were one able to similarly demonstrate that it suffices to check only one $(k-1)$-graphic $\pi^{\prime}$, or even a small number, then this would represent significant progress towards Problem 1.1.

In addition to Theorem 1.3, several other authors have studied Problem 1.1. Bhave, Bam and Deshpande [6] gave an Erdős-Gallai-type characterization of degree sequences of loopless linear hypergraphs. While interesting in its own right, their result does not directly generalize to Problem 1.1. Colbourn, Kocay and Stinson [11] proved that several related problems dealing with 3-graphic sequences are NP-complete. Additionally, several necessary conditions for a sequence to be 3-graphic have been found (see Achuthan, Achuthan, and Simanihuruk [1], Billington [7], and Choudum [10] for some).

Unfortunately, Achuthan et al. [1] showed that the necessary conditions of [1], [7], and [10] are not sufficient. In fact, surprisingly few sufficient conditions for a sequence to be $k$-graphic exist, despite the apparent interest in the general characterization problem. Inspired by sufficient conditions for 2-graphic sequences given by Yin and Li [29], Aigner and Triesch [2], and Barrus, Hartke, Jao and West [3], we present in Section 2 some general sufficient conditions for $k$-graphicality when $k \geqslant 3$.

A useful tool for studying graphic sequences is the edge exchange, or 2-switch, where two edges in a graph are replaced with two nonedges while maintaining the degree of each vertex. In particular, this is a key tool in the standard proof of the Havel-Hakimi characterization of graphic sequences [28, p. 45-46]. It is our hope that a better understanding of edge exchanges may lead to an efficient Havel-Hakimi-type characterization
of $k$-graphic sequences. Additionally, edge exchanges have proved vital in approaching a number of problems for graphs in the vein of Problem 1.2 (cf. [4, 8, 9, 16]).

In Section 3, we give a small collection of elementary edge exchanges that can be applied to transform any realization of a $k$-graphic sequence into any other realization. This extends a result of Kocay and Li on 3 -graphic sequences. As an application of these edge exchanges, we prove a result about packing of $k$-graphic sequences. Busch et al. [8] proved that graphic sequences pack under certain degree and length conditions. We extend their result to $k$-graphic sequences.

## 2 Sufficient conditions on length

### 2.1 Results

In this section, we give a new sufficient condition for a sequence to be $k$-graphic, and we give several corollaries that are inspired by previous results on graphic sequences. We say that a nonincreasing sequence is near-regular if the difference between the first and last terms of the sequence is at most 1 . The main result of this section shows that if the beginning of a sequence is near-regular, which will be made more precise later, then the sequence is $k$-graphic. For graphs, this is a simple consequence of the Erdős-Gallai inequalities (see for example Lemma 2.1 of [29]), but for $k \geqslant 3$ the situation is more complex.

We will state our theorems here, discuss their sharpness in Section 2.2, and present the proofs in Section 2.3.

Theorem 2.1. Let $\pi$ be a nonincreasing sequence with maximum entry $\Delta$ and $t$ entries that are at least $\Delta-1$. If $k$ divides $\sigma(\pi)$ and

$$
\begin{equation*}
\binom{t-1}{k-1} \geqslant \Delta \tag{1}
\end{equation*}
$$

then $\pi$ is $k$-graphic.
This result, combined with a variety of classical and new ideas, yields three immediate corollaries. While poset methods had earlier been used for degree sequences, Aigner and Triesch [2] systematized this approach. Bauer et al. [5] also used posets to study degree sequences, but with a different order relation. Using the same poset as Aigner and Triesch, we show that with a large enough degree sum, the near-regular condition is unnecessary.

Corollary 2.2. Let $\pi$ be a nonincreasing sequence with maximum term $\Delta$, and let $p$ be the minimum integer such that $\Delta \leqslant\binom{ p-1}{k-1}$. If $k$ divides $\sigma(\pi)$ and $\sigma(\pi) \geqslant(\Delta-1) p+1$, then $\pi$ is $k$-graphic.

This lower bound on the sum of the sequence immediately gives the following sufficient condition on the length of a sequence, analogous to the result of Zverovich and Zverovich [30] for graphs.

Corollary 2.3. Let $\pi$ be a nonincreasing sequence with maximum term $\Delta$ and minimum term $\delta$, and let $p$ be the minimum integer such that $\Delta \leqslant\binom{ p-1}{k-1}$. If $k$ divides $\sigma(\pi)$ and $\pi$ has length at least $\frac{(\Delta-1) p-\Delta+\delta+1}{\delta}$, then $\pi$ is $k$-graphic.

Finally, if we know a little bit more about the sequence, we can refine the length condition. A sequence is gap-free if it has entries with all values between the largest entry $\Delta$ and the smallest entry $\delta$. The graphicality of gap-free sequences was studied by Barrus, Hartke, Jao, and West [3].

Corollary 2.4. Let $\pi$ be a gap-free sequence with maximum term $\Delta$ and minimum term $\delta=1$, and let $p$ be the minimum integer such that $\Delta \leqslant\binom{ p-1}{k-1}$. If $\sigma(\pi)$ is divisible by $k$ and $\pi$ has length at least $(\Delta-1)(p-\Delta / 2)+1$, then $\pi$ is $k$-graphic.

The following lemma gives a simple necessary condition for a sequence to be $k$-graphic, and additionally gives information about the $k$-realizations of a sequence. This is used to show the sharpness of Corollaries 2.2 and 2.3, and also in Section 3.

Lemma 2.5. If $\pi=\left(d_{1}, \ldots, d_{n}\right)$ is a $k$-graphic sequence, then

$$
\sum_{i=1}^{t} d_{i} \leqslant k\binom{t}{k}+(k-1) \sum_{j=t+1}^{n} d_{j}
$$

for $k \leqslant t \leqslant n$. If equality holds, then the $t$ vertices of highest degree in any $k$-realization of $\pi$ form a clique, and any edge not contained in the clique contains exactly one vertex outside the clique.

### 2.2 Sharpness

Theorem 2.1 is sharp, as can by seen by examination of the sequence $\left(\Delta^{M}\right)$, for any $\Delta$.
For Corollary 2.2, consider the sequence

$$
\pi_{j}=\left(\left(\binom{j-1}{k-1}-(k-1)\right)^{j-1},\binom{j-1}{k-1}-k-j+1\right)
$$

where $j \geqslant k+2$. The maximum term $\Delta_{j}$ of $\pi_{j}$ satisfies $\binom{j-2}{k-1}<\Delta_{j} \leqslant\binom{ j-1}{k-1}$, so in the terminology of Corollary 2.2, $p=j$. We also have $\sigma\left(\pi_{j}\right)=\left(\Delta_{j}-1\right) j$, and $k$ divides $\sigma\left(\pi_{j}\right)$. However, $\pi_{j}$ is not $k$-graphic, for the inequality in Lemma 2.5 does not hold for $\pi_{j}$ when $t=j-1$.

To see that Corollary 2.2 is also sharp when $p=k+1$, consider the sequence $\pi=$ $\left(k,(k-1)^{k}\right)$. This is realized by a complete $k$-graph on $k+1$ vertices with one edge removed, and has degree sum $\sigma(\pi)=k^{2}$. Subtracting one from each of the last $k$ terms yields the sequence $\pi^{\prime}=\left(k,(k-2)^{k}\right)$, with degree sum $k^{2}-k$. This is not $k$-graphic: suppose $H$ is a $k$-realization of $\pi^{\prime}$. Let $S$ be the set of vertices of degree $k-2$ in $H$, and let $v$ be the vertex of degree $k$. Every edge containing $v$ must also contain a $(k-1)$-subset of
$S$. There are exactly $k$ of these. However, this means each vertex in $S$ must have degree $k-1$.

Corollary 2.3 is best possible up to a factor depending only on $k$. To see this, first note that $\binom{p-2}{k-1}<\Delta$, so $p<(k-1) e \Delta^{1 /(k-1)}+2$. Then the minimum length required by the corollary is bounded above by

$$
\frac{1}{\delta}\left((\Delta-1)\left((k-1) e \Delta^{1 /(k-1)}+2\right)-\Delta+\delta+1\right)
$$

which, when $\Delta>\delta$, is at most

$$
\frac{\Delta^{1+1 /(k-1)}}{\delta}\left(e(k-1)\left(1-\frac{1}{\Delta}\right)+\frac{2}{\Delta^{1 /(k-1)}}\right) .
$$

Now, as $\Delta$ becomes large, this quantity is bounded above by

$$
\frac{C \Delta^{1+1 /(k-1)}}{\delta}
$$

where $C$ depends only on $k$. Thus, a weaker but simpler form of Corollary 2.3 is: If $\pi$ is a nonincreasing sequence with maximum term $\Delta$ and minimum term $\delta$ such that $\delta \neq \Delta$, $k$ divides $\sigma(\pi)$, and the length of $\pi$ is at least $\frac{C \Delta^{1+1 /(k-1)}}{\delta}$, then $\pi$ is $k$-graphic.

Now, consider the sequence $\pi=\left(\Delta^{M}, \delta^{m}\right)$, where $\delta<\Delta$ and $M=c_{1} \Delta^{1 /(k-1)}$ for some $c_{1}<k / e^{2}$. By Lemma 2.5, if $\pi$ has length less than $\left\lceil\frac{M \Delta-k\binom{M}{k}}{(k-1) \delta}\right\rceil+M$, then it is not $k$-graphic. A lower bound on this expression is:

$$
\begin{aligned}
\frac{M \Delta-k\binom{M}{k}}{(k-1) \delta}+M & \geqslant \frac{M \Delta-k\left(\frac{M e}{k}\right)^{k}}{(k-1) \delta}+M \\
& =\frac{c_{1} \Delta^{1+1 /(k-1)}-k\left(\frac{c_{1} e \Delta^{1 /(k-1)}}{k}\right)^{k}}{(k-1) \delta}+c_{1} \Delta^{1 /(k-1)} \\
& =\frac{\Delta^{1+1 /(k-1)}}{\delta}\left(\frac{c_{1}-k\left(\frac{c_{1} e}{k}\right)^{k}}{(k-1)}\right)+c_{1} \Delta^{1 /(k-1)} \\
& =c_{2} \frac{\Delta^{1+1 /(k-1)}}{\delta}+c_{1} \Delta^{1 /(k-1)}
\end{aligned}
$$

where $c_{2}=\frac{c_{1}-k\left(\frac{c_{1} e}{k}\right)^{k}}{(k-1)}$. Thus, if the length of $\pi$ is less than $c_{2} \frac{\Delta^{1+1 /(k-1)}}{\delta}, \pi$ is not $k$-graphic. Comparing this to the result in the previous paragraph establishes our claim.

### 2.3 Proofs

Proof of Theorem 2.1. We will show that $\pi$ is $k$-graphic by constructing an appropriate ( $k-1$ )-graphic link sequence and $k$-graphic residual sequence, as described in the introduction following Theorem 1.3.

First, note that if $\Delta=1$, then $\pi=\left(1^{m k}, 0^{n-m k}\right)$ for some integer $m$. This sequence is realized by a set of $m$ disjoint edges and $n-m k$ isolated vertices. Thus, we can assume that $\Delta>1$, and in particular, $t>k$. When $k=2$, the result follows from the Erdős-Gallai inequalities, so we assume $k \geqslant 3$.

Consider the least $k$ for which the theorem does not hold. Among all nonincreasing sequences that do not satisfy the theorem for this $k$, consider those that have the smallest maximum term, and let $\pi=\left(d_{0}, \ldots, d_{n-1}\right)$ be one such sequence that minimizes the multiplicity of the largest term, $\Delta$.

Let

$$
c=\max \left\{i \in \mathbb{Z}: \sum_{j=1}^{n-1} \max \left\{0, d_{j}-i\right\} \geqslant(k-1) \Delta\right\}
$$

Note that $c \leqslant \Delta-1$, and we further claim that $c \geqslant 0$. Indeed, if $\Delta \geqslant k$, then $\sum_{j=1}^{n-1} d_{j} \geqslant$ $(t-1)(\Delta-1) \geqslant k(\Delta-1) \geqslant(k-1) \Delta$. If $\Delta<k$, then since $t>k$ there are $k$ terms in the set $\left\{d_{2}, \ldots, d_{t}\right\}$ that are at least $\Delta-1$. Their sum is at least $k(\Delta-1)$. Let $A=\sigma(\pi)-\Delta-k(\Delta-1)$. Since $k$ divides $\sigma(\pi), k$ must also divide $A+\Delta$, so $A \geqslant k-\Delta$. Then $\sum_{i=1}^{n-1} d_{j}=A+k(\Delta-1) \geqslant k-\Delta+k(\Delta-1)=(k-1) \Delta$. Thus, $c \geqslant 0$.

Define the sequence $L^{\prime}=\left(l_{1}^{\prime}, \ldots, l_{n-1}^{\prime}\right)$ by $l_{j}^{\prime}=\max \left\{0, d_{j}-c\right\}$, and let $s=\sigma\left(L^{\prime}\right)-$ $(k-1) \Delta$. Create the link sequence $L$ by subtracting 1 from each of the first $s$ terms of $L^{\prime}$. That is, $L=\left(l_{1}, \ldots, l_{n-1}\right)$, where

$$
l_{i}= \begin{cases}l_{i}^{\prime}-1 & \text { if } 1 \leqslant i \leqslant s \\ l_{i}^{\prime} & \text { if } i>s\end{cases}
$$

Finally, let $R=\left(r_{1}, \ldots, r_{n-1}\right)$ be the residual sequence, given by $r_{j}=d_{j}-l_{j}$ for $j=$ $1, \ldots, n-1$. It suffices to show that $L$ is $(k-1)$-graphic and $R$ is $k$-graphic, as adding a new vertex $v_{0}$ to each edge of a $(k-1)$-realization of $L$ and then combining the resulting $k$-graph with a $k$-realization of $R$ gives a $k$-realization of $\pi$.

Let $m$ be the number of nonzero entries of $L^{\prime}$. First suppose $m \geqslant t-1$. Since $\left(d_{0}, \ldots, d_{t-1}\right)$ is near-regular, the construction of $L^{\prime}$ implies that $\left(l_{1}^{\prime}, \ldots, l_{t-1}^{\prime}\right)$ is nearregular, and so $\left(l_{1}, \ldots, l_{t-1}\right)$ is near-regular. Let $\Delta_{L}$ be the largest term in $\left(l_{1}, \ldots, l_{t-1}\right)$. We now bound $\Delta_{L}$ in order to show that $L$ meets the conditions of the theorem and thus is $(k-1)$-graphic by the minimality of $\pi$. Since $\sigma(L)=(k-1) \Delta$ and $m \geqslant t-1$, we have

$$
\Delta_{L}=\left\lceil\frac{\sum_{i=1}^{t-1} l_{i}}{t-1}\right\rceil \leqslant\left\lceil\frac{(k-1) \Delta}{t-1}\right\rceil \leqslant\left\lceil\frac{k-1}{t-1}\binom{t-1}{k-1}\right\rceil=\left\lceil\binom{ t-2}{k-2}\right\rceil=\binom{t-2}{k-2}
$$

Therefore, $L$ satisfies the conditions of the theorem, and by the minimality of $\pi$, it is $(k-1)$-graphic. If $m<t-1$, then we must have $c=\Delta-1$, which means $L^{\prime}=\left(1^{m}, 0^{n-1-m}\right)$ and $L=\left(1^{(k-1) \Delta}, 0^{n-1-(k-1) \Delta}\right)$. This sequence has a $(k-1)$-realization consisting of $\Delta$ disjoint edges and $n-1-(k-1) \Delta$ isolated vertices.

Now we turn our attention to $R$. Since $r_{i}=d_{i}-l_{i}$ and $l_{i}=d_{i}-c$ or $l_{i}=d_{i}-c-1$ for $i \leqslant m$, we see that $r_{i}=c$ or $r_{i}=c+1$ for $i \leqslant m$. Thus, $R=\left((c+1)^{s}, c^{m-s}, d_{m+1}, \ldots, d_{n-1}\right)$. Note that $\sigma(R)=s+m c+\sum_{i=m+1}^{n-1} d_{i}=\sum_{i=1}^{n-1} d_{i}-(k-1) \Delta=\sigma(\pi)-k \Delta$, so $k$ divides
$\sigma(R)$. If $\Delta_{R} \leqslant\binom{ m-1}{k-1}$, then the minimality of $\pi$ implies that $R$ is $k$-graphic, so showing this inequality is our goal.

Note that $c+1 \leqslant \Delta$. Suppose first that $c+1<\Delta$. If $m>t-1$, then

$$
c+1<\Delta \leqslant\binom{ t-1}{k-1} \leqslant\binom{ m-1}{k-1}
$$

and we have our result. Since $c<\Delta-1$, we have $m \geqslant t-1$, and so we may assume that $m=t-1$. In this case,

$$
L=\left(\left\lceil\frac{k-1}{t-1} \Delta\right\rceil^{s},\left\lfloor\frac{k-1}{t-1} \Delta\right\rfloor^{m-s}, 0^{n-1-m}\right)
$$

Hence

$$
\begin{aligned}
\Delta_{R} \leqslant \Delta-\left\lfloor\frac{k-1}{t-1} \Delta\right\rfloor & <\Delta-\frac{k-1}{t-1} \Delta+1 \\
& =\left(1-\frac{k-1}{t-1}\right) \Delta+1 \\
& \leqslant \frac{t-k}{t-1}\binom{t-1}{k-1}+1=\binom{t-2}{k-1}+1
\end{aligned}
$$

so $\Delta_{R} \leqslant\binom{ t-2}{k-1}=\binom{m-1}{k-1}$. Thus, $R$ is $k$-graphic.
If $c+1=\Delta$, then $m \leqslant t-1$. In this case, any terms of $\pi$ that are equal to $\Delta-1$ become 0 in $L^{\prime}$. So $\pi=\left(\Delta^{m+1},(\Delta-1)^{t-1-m}, d_{t}, \ldots, d_{n-1}\right)$, and if $m=t-1$, there are no terms in $\pi$ equal to $\Delta-1$. Then, $R=\left(\Delta^{s},(\Delta-1)^{t-1-s}, d_{t}, \ldots, d_{n}\right)$, and we need to show that $\Delta \leqslant\binom{ t-2}{k-1}$. Since $L^{\prime}=\left(1^{m}, 0^{n-1-m}\right)$ and $L=\left(1^{(k-1) \Delta}, 0^{n-1-(k-1) \Delta}\right)$, we have $(k-1) \Delta \leqslant m \leqslant t-1$. Thus, $(k-1) \Delta \leqslant t-1$, so $\Delta \leqslant \frac{t-1}{k-1}$. When $t>k+1$, we have $\frac{t-1}{k-1} \leqslant\binom{ t-2}{k-1}$. If $t=k+1$, then $\Delta \leqslant \frac{k}{k-1}$, and since $\Delta$ is an integer, $\Delta \leqslant 1=\binom{t-2}{k-1}$. Thus, $\Delta \leqslant\binom{ t-2}{k-1}$, and by the minimality of $\pi, R$ is $k$-graphic.

To prove the first corollary, we require some additional terminology. The dominance order, $\preceq$, is defined on the set $D(n, \sigma)$ of nonnegative nonincreasing sequences with length $n$ and sum $\sigma$. For two elements $\pi=\left(d_{1}, \ldots, d_{n}\right)$ and $\pi^{\prime}=\left(d_{1}^{\prime}, \ldots, d_{n}^{\prime}\right)$ of $D(n, \sigma)$, we say $\pi \preceq \pi^{\prime}$ if $\sum_{i=1}^{m} d_{i} \leqslant \sum_{i=1}^{m} d_{i}^{\prime}$ for all $1 \leqslant m \leqslant n$. In this poset the set of $k$-graphic sequences forms an ideal (a downward-closed set) (see [2] for $k=2$ and [14] or [22] for $k \geqslant 3$ ).

We are now prepared to give our proofs.
Proof of Corollary 2.2. Suppose $\sigma(\pi)=m \geqslant(\Delta-1) p+1$. Then there is a sequence $\pi^{\prime}$ that has the same sum and maximum degree such that $\pi \preceq \pi^{\prime}$ in the dominance order, but the first $p$ terms of $\pi^{\prime}$ form a near-regular sequence. By Theorem 2.1, $\pi^{\prime}$ is $k$-graphic, and since $k$-graphic sequences form an ideal, $\pi$ is also $k$-graphic.

Proof of Corollary 2.4. A gap-free sequence of length $n$ with minimum term 1 has degree sum at least $\sum_{i=1}^{\Delta} i+(n-\Delta)=\binom{\Delta+1}{2}+(n-\Delta)$. Using this sum in Corollary 2.2 and solving for $n$ yields the result.

Proof of Lemma 2.5. Choose a set $S$ of $t$ vertices in a $k$-realization $H$ of $\pi$. The subgraph induced by $S$ has degree sum at most $k\binom{t}{k}$. A vertex $w$ in $V(H) \backslash S$ contributes at most $(k-1) d_{H}(w)$ to the degree sum of vertices in $S$. Thus, $\sum_{i=1}^{t} d_{i} \leqslant k\binom{t}{k}+(k-1) \sum_{j=t+1}^{n} d_{j}$. If equality holds, each vertex $w$ outside $S$ contributes exactly $(k-1) d_{H}(w)$ to $\sum_{i=1}^{t} d_{i}$. Thus, every edge containing $w$ consists of $w$ as the only vertex outside $S$ and $k-1$ vertices from $S$. Any edge whose vertex set is not contained in $S$ thus consists of only one vertex outside $S$, as claimed.

## 3 Edge exchanges

### 3.1 Edge exchanges in graphs and hypergraphs

An edge exchange is any operation that deletes a set of edges in a $k$-realization of $\pi$ and replaces them with another set of edges, while preserving the original vertex degrees. When $i$ edges are exchanged, we call this an $i$-switch. The 2 -switch operation has been used to prove many results about graphic sequences; for examples see $[4,8,9,16]$.

For completeness, we now formally define edge exchanges in hypergraphs. Let $F_{1}$ and $F_{2}$ be $k$-graphs on the same vertex set $S$ such that for every $x \in S, d_{F_{1}}(x)=d_{F_{2}}(x)$. Let $H$ be a $k$-multihypergraph containing a subgraph $F_{1}^{\prime}$ on vertex set $T$ such that $F_{1}^{\prime} \cong F_{1}$ via the isomorphism $\phi: T \rightarrow S$. The edge exchange $\epsilon\left(F_{1}, F_{2}\right)$ applied to $H$ replaces the edges of $F_{1}^{\prime}$ with the edges of a subgraph $F_{2}^{\prime}$ that is isomorphic to $F_{2}$ by the same map $\phi$.

Define $\mathcal{M}_{k}(\pi)$ to be the set of $k$-uniform multihypergraphs that realize a sequence $\pi$, and $\mathcal{S}_{k}(\pi) \subseteq \mathcal{M}_{k}(\pi)$ be the set of simple $k$-realizations of $\pi$. Let $\mathcal{F} \subseteq \mathcal{M}_{k}(\pi)$ and $\mathcal{Q}$ be a collection of edge exchanges such that $\epsilon\left(F_{1}, F_{2}\right) \in \mathcal{Q}$ if and only if $\epsilon\left(F_{2}, F_{1}\right) \in \mathcal{Q}$. Then $G(\mathcal{F}, \mathcal{Q})$ is the graph whose vertices are the elements of $\mathcal{F}$, with an edge between vertices $H_{1}$ and $H_{2}$ if and only if $H_{1}$ can be obtained from $H_{2}$ by an edge exchange in $\mathcal{Q}$. Note that the symmetry condition imposed on $\mathcal{Q}$ permits us to define $G(\mathcal{F}, \mathcal{Q})$ as an undirected graph.

### 3.2 Navigating the space of $k$-realizations

Let $e$ and $e^{\prime}$ be distinct edges in a $k$-graph $G$, and choose vertices $u \in e \backslash e^{\prime}$ and $v \in e^{\prime} \backslash e$. The operation $e \underset{v}{\stackrel{u}{\rightleftharpoons}} e^{\prime}$ deletes the edges $e$ and $e^{\prime}$ and adds the edges $e-u+v$ and $e^{\prime}-v+u$ (where $e-u+v$ denotes the set $e-\{u\} \cup\{v\}$ ); see Figure 1. Denote this family of edge exchanges by $\mathcal{Q}_{k}^{*}$.

Petersen [25] showed that given any pair of realizations of a graphic sequence, one can be obtained from the other by a sequence of 2 -switches. This result simply says that $G\left(\mathcal{S}_{2}(\pi), \mathcal{Q}_{2}^{*}\right)$ is connected. Kocay and $\mathrm{Li}[23]$ proved a similar result for 3-graphs, namely that any pair of 3 -graphs with the same degree sequence can be transformed into each other using edge exchanges from $\mathcal{Q}_{3}^{*}$. However, unlike in the graph case, intermediate
hypergraphs obtained while applying edge exchanges from $\mathcal{Q}_{3}^{*}$ may have multiple edges. In other words, $G\left(\mathcal{M}_{3}(\pi), \mathcal{Q}_{3}^{*}\right)$ is connected.

We extend Kocay and Li's result to arbitrary $k \geqslant 3$.
Theorem 3.1. If $\pi$ is any sequence of nonnegative integers with a $k$-multihypergraph realization, then $G\left(\mathcal{M}_{k}(\pi), \mathcal{Q}_{k}^{*}\right)$ is connected.

Proof. Suppose there exists a sequence $\pi$ with a $k$-multihypergraph realization for which $G\left(\mathcal{M}_{k}(\pi), \mathcal{Q}_{k}^{*}\right)$ is not connected. For two $k$-multihypergraphs $H$ and $F$ in $G\left(\mathcal{M}_{k}(\pi), \mathcal{Q}_{k}^{*}\right)$, let $R(H, F)$ be the subgraph of $H$ with $E(R(H, F))=E(H) \backslash E(F)$ and $B(H, F)$ be the subgraph of $F$ with $E(B(H, F))=E(F) \backslash E(H)$. Since $G\left(\mathcal{M}_{k}(\pi), \mathcal{Q}_{k}^{*}\right)$ is not connected, there are two $k$-multihypergraphs realizing $\pi$ that are in different components of this graph. Now, among all such pairs of $k$-multihypergraphs, choose the pair $H_{1}$ and $H_{2}$ that minimize $\left|E\left(H_{1}\right) \triangle E\left(H_{2}\right)\right|$, and subject to this, that maximize $\left|e_{r} \cap e_{b}\right|$ for edges $e_{r} \in E\left(R\left(H_{1}, H_{2}\right)\right)$ and $e_{b} \in E\left(B\left(H_{1}, H_{2}\right)\right)$. Let $i=\left|e_{r} \cap e_{b}\right|$, and let $R=R\left(H_{1}, H_{2}\right)$ and $B=B\left(H_{1}, H_{2}\right)$. We refer to the edges of $R$ as red and the edges of $B$ as blue.

Since $e_{r} \neq e_{b}$, there are vertices $u \in e_{r} \backslash e_{b}$ and $v \in e_{b} \backslash e_{r}$. As $H_{1}$ and $H_{2}$ are realizations of $\pi, d_{H_{1}}(x)=d_{H_{2}}(x)$ for any vertex $x$. Note, if we let $d_{P}(x)$ equal the number of edges incident to $x$ that appear in both $H_{1}$ and $H_{2}$, then $d_{R}(x)=d_{H_{1}}(x)-d_{P}(x)$ and $d_{B}(x)=d_{H_{2}}(x)-d_{P}(x)$. Thus $d_{R}(x)=d_{B}(x)$, and we may assume without loss of generality that $d_{B}(u) \geqslant d_{B}(v)$; otherwise $d_{R}(v) \geqslant d_{R}(u)$, and the roles of $u$ and $v$, and red and blue, may be switched in the remainder of the proof.

We claim that $u$ must be in some blue edge $e^{\prime}$ such that $v \notin e^{\prime}$ and such that $e^{\prime}+v-u$ is not a blue edge. Note that $e_{b}^{\prime}=e_{b}+u-v$ is not a blue edge, for otherwise $e_{r}$ and $e_{b}^{\prime}$ are red and blue edges, respectively, and intersect in $i+1$ vertices. Since $d_{B}(u) \geqslant d_{B}(v)$ and $v \in e_{b}$ while $u \notin e_{b}$, we know $u$ is in at least one blue edge that does not contain $v$. If $e+v-u$ is a blue edge for every blue edge $e$ containing $u$ but not $v$, then $d_{B}(u)$ is less than $d_{B}(v)$, a contradiction. Thus the edge $e^{\prime}$ exists.

Now we apply $e^{\prime} \underset{v}{\stackrel{u}{\rightleftharpoons}} e_{b}$ to $H_{2}$ to obtain the $k$-multihypergraph $H_{2}^{\prime}$. Let $B^{\prime}$ be the subgraph of $H_{2}^{\prime}$ such that $E\left(B^{\prime}\right)=E\left(H_{2}^{\prime}\right) \backslash E\left(H_{1}\right)$. Note that $H_{2}$ and $H_{2}^{\prime}$ are adjacent in $G\left(\mathcal{M}_{k}(\pi), \mathcal{Q}_{k}^{*}\right)$, so $H_{1}$ and $H_{2}^{\prime}$ must be in different components. However, there is a red edge $e_{r} \in E(R)$ and a blue edge $e_{b}^{\prime} \in E\left(B^{\prime}\right)$ that intersect in $i+1$ places. If $i+1<k$,


Figure 1: The operation $e \underset{v}{\stackrel{u}{\rightleftharpoons}} e^{\prime}$.
this contradicts our choice of $H_{1}$ and $H_{2}$ maximizing edge intersections. If $i+1=k$, then $e_{b}^{\prime}=e_{r}$ and $\left|E\left(H_{1}\right) \triangle E\left(H_{2}^{\prime}\right)\right|<\left|E\left(H_{1}\right) \triangle E\left(H_{2}\right)\right|$, again contradicting our choice of $H_{1}$ and $H_{2}$.

We have shown that for a $k$-graphic sequence $\pi$, there is a path between simple $k$ realizations of $\pi$ in $G\left(\mathcal{M}_{k}(\pi), \mathcal{Q}_{k}^{*}\right)$. This path may go through multihypergraph realizations, unlike in the result of Petersen. In the proof of Theorem 3.1, we argue that $e_{b}+u-v$ and $e^{\prime}+v-u$ are not blue edges, but either edge may still be an edge of $H_{2}$. Hence performing the edge exchange may in fact result in duplicating an edge of $H_{2}$. It is unknown whether $G\left(\mathcal{S}_{k}(\pi), \mathcal{Q}\right)$ is connected for some small collection $\mathcal{Q}$ of edge exchanges.

For a positive integer $i$, let $\mathcal{E}_{i}$ be the collection containing all $j$-switches for $j \leqslant i$. Gabelman [18] gave an example of a 3-graphic sequence $\pi$ whose simple realizations cannot be transformed into each other using only 2 -switches, without creating multiple edges. That is, $G\left(\mathcal{S}_{3}(\pi), \mathcal{E}_{2}\right)$ is not connected. We extend his example to $k \geqslant 3$, which shows we cannot replace $\mathcal{M}_{k}$ with $\mathcal{S}_{k}$ in Theorem 3.1.

Proposition 3.2. For each $k \geqslant 3$ there is a $k$-graphic sequence $\pi$ such that $G\left(\mathcal{S}_{k}(\pi), \mathcal{E}_{k-1}\right)$ is not connected.

Proof. Consider the following matrix $A$ of real numbers:

$$
A=\left[\begin{array}{ccccc}
x_{1,1} & x_{1,2} & \ldots & x_{1, k-1} & -y_{1} \\
x_{2,1} & x_{2,2} & \ldots & x_{2, k-1} & -y_{2} \\
\vdots & \vdots & \ddots & \vdots & \vdots \\
x_{k-1,1} & x_{k-1,2} & \ldots & x_{k-1, k-1} & -y_{k-1} \\
-z_{1} & -z_{2} & \ldots & -z_{k-1} & w
\end{array}\right]
$$

where

$$
y_{j}=\sum_{i=1}^{k-1} x_{j, i}, \quad z_{j}=\sum_{i=1}^{k-1} x_{i, j}, \quad \text { and } \quad w=\sum_{i, j} x_{i, j} .
$$

We choose the terms $x_{i, j}$ so that if a set of $k$ entries of the matrix sums to zero, then those entries must be from a single row or column. This can be done by choosing the $x_{i, j}$ 's to be linearly independent over $\mathbb{Q}$, or by choosing them to be powers of some sufficiently small $\epsilon$.

We form a hypergraph $H$ on a set $V$ of $k^{2}$ vertices as follows: weight each vertex with a different entry of the matrix. The edges of $H$ are the $k$-sets whose total weight is positive, and the $k$-sets corresponding to the rows of $A$. By construction of the matrix $A$, the only $k$-sets that have zero weight correspond to rows and columns. Thus the only $k$-sets that are non-edges either have negative weight or correspond to columns.

The degree sequence of $H$ is not uniquely realizable, as the $k$-switch that adds the $k$ sets corresponding to columns of $A$ to the edge set while removing the edges corresponding to rows gives another realization. However, we show that we cannot apply an $i$-switch to $H$ for any $i<k$.

Note that in any edge exchange that replaces a set $F_{1}$ of edges with a set $F_{2}$ of nonedges,

$$
\sum_{e \in F_{1}} \sum_{v \in e} w t(v)=\sum_{v \in V}\left(\operatorname{deg}_{F_{1}}(v)\right) w t(v)=\sum_{v \in V}\left(\operatorname{deg}_{F_{2}}(v)\right) w t(v)=\sum_{e \in F_{2}} \sum_{v \in e} w t(v) .
$$

Since edges of $F_{1}$ have nonnegative weight and nonedges of $F_{2}$ have nonpositive weight, we conclude that the edges of $F_{1}$ must have zero weight and thus correspond to rows of $A$, and the nonedges of $F_{2}$ have zero weight and correspond to columns of $A$. But no proper subset of edges corresponding to rows can be swapped for a proper subset of nonedges corresponding to columns, because this does not maintain the degree of every vertex.

This result immediately suggests the following problem:
Problem 3.3. Determine the smallest cardinality of a collection $\mathcal{Q}$ such that $G\left(\mathcal{S}_{k}(\pi), \mathcal{Q}\right)$ is connected for every $k$-graphic sequence $\pi$.

Results for graphs suggest several different possible approaches. Is there a finite collection that works? Would it be sufficient to add all possible $k$-switches? Or would it suffice to add just the $k$-switch suggested by Proposition 3.2 to $\mathcal{E}_{k-1}$ ?

### 3.3 Applications

### 3.3.1 Obtaining a "good" realization

One consequence of the Havel-Hakimi characterization of 2-graphic sequences is that any graphic sequence has a realization in which a specified vertex $v$ is adjacent to vertices whose degrees are the highest-degree vertices in the graph. This elementary fact has been proved in several places, for instance [17]. Motivated by this, we prove the following.

Theorem 3.4. Let $\pi=\left(d_{1}, \ldots, d_{n}\right)$ be a nonincreasing $k$-graphic sequence, and let $H$ be $a k$-realization of $\pi$ on vertices $\left\{v_{1}, \ldots, v_{n}\right\}$ such that $d\left(v_{i}\right)=d_{i}$ for each $i, 1 \leqslant i \leqslant n$. Let $i<j$ and suppose there is an edge $e$ in $H$ such that $v_{j}$ is in $e$ but $v_{i}$ is not in $e$. Then there is a realization $H^{\prime}$ of $\pi$ such that $e-v_{j}+v_{i}$ is an edge in $H^{\prime}$.

Proof. If $e-v_{j}+v_{i}$ is already an edge in $H$, we are done. So we can assume this edge does not exist. Since $d_{i} \geqslant d_{j}$, there is an edge $f$ such that $v_{i}$ is in $f$ but $v_{j}$ is not. Additionally, some such $f$ has the property that $f-v_{i}+v_{j}$ is not an edge in $H$. Perform the exchange $e \underset{v_{i}}{v_{j}} f$. This does not create any multi-edges, so we have the desired realization.

An immediate corollary of this result is that for any vertex $v$ of positive degree, there is a $k$-realization of $\pi$ such that $v$ is in an edge with the $k-1$ remaining vertices of highest degree. Thus, there is always a realization of $\pi$ in which the $k$ vertices of highest degree are in a single edge. If we could prove the existence of a $k$-realization in which the link of a vertex contains only the highest degree vertices, then we would be able to obtain a Havel-Hakimi-type characterization of $k$-graphic sequences.

### 3.3.2 Packing $k$-graphic sequences

Two $n$-vertex graphs $G_{1}$ and $G_{2}$ pack if they can be expressed as edge-disjoint subgraphs of the complete graph $K_{n}$. Kostochka, Stocker, and Hamburger [24], and Pilśniak and Woźniak [26, 27] recently studied packing of hypergraphs. Busch et al. [8] extended the idea of graph packing to graphic sequences. We utilize edge exchanges to examine related questions for hypergraphic sequences.

Let $\pi_{1}$ and $\pi_{2}$ be $k$-graphic sequences with $\pi_{1}=\left(d_{1}^{(1)}, \ldots, d_{n}^{(1)}\right)$ and $\pi_{2}=\left(d_{1}^{(2)}, \ldots, d_{n}^{(2)}\right)$. We say that $\pi_{1}$ and $\pi_{2}$ pack if there exist edge-disjoint $k$-graphs $G_{1}$ and $G_{2}$ on vertex set $\left\{v_{1}, \ldots, v_{n}\right\}$ such that $d_{G_{1}}\left(v_{i}\right)=d_{i}^{(1)}$ and $d_{G_{2}}\left(v_{i}\right)=d_{i}^{(2)}$ for all $i$. When we discuss packing of graphic sequences, the sequences need not be nonincreasing; however, no reordering of the indices is allowed.

Dürr, Guiñez, and Matamala [13] showed that the problem of packing two graphic sequences is NP-complete, and we show that the same conclusion holds when considering $k$-graphic sequences for $k \geqslant 3$.

Theorem 3.5. The degree-sequence packing problem for $k$-graphs is $N P$-complete for all $k \geqslant 2$.

Proof. The degree-sequence packing problem for $k \geqslant 2$ is in NP since the certificate giving realizations that pack can easily be checked in polynomial time. NP-hardness for $k=2$ is shown in [13]. For $k \geqslant 3$ we show that any instance of the degree-sequence packing problem for 2-graphs can be reduced to an instance of the degree-sequence packing problem for $k$-graphs. Given 2 -graphic sequences $\pi_{1}$ and $\pi_{2}$, add $k-2$ new entries to each sequence to create sequences $\pi_{1}^{k}$ and $\pi_{2}^{k}$, with each new entry of $\pi_{i}^{k}$ equal to $\frac{1}{2} \sigma\left(\pi_{i}\right)$. Then, any $k$-realization of $\pi_{i}^{k}$ has the same number of edges as a 2 -realization of $\pi_{i}$, and each of the $k-2$ vertices associated with the new entries must appear in every edge. Hence there is a one-to-one correspondence between 2-realizations of the original sequences and $k$-realizations of the new sequences.

Given the computational complexity of the overarching problem, it is natural to seek sufficient conditions that ensure a pair of $k$-graphic sequences pack. Busch et al. showed that if $\pi_{1}$ and $\pi_{2}$ are graphic sequences and $\Delta \leqslant \sqrt{2 \delta n}-(\delta-1)$, where $\Delta$ and $\delta$ are the largest and smallest entries in $\pi_{1}+\pi_{2}$, then $\pi_{1}$ and $\pi_{2}$ pack. We prove a similar result for $k$-graphic sequences when $k \geqslant 3$.

For a vertex $v$ in a $k$-graph $H$, we define the neighborhood of $v, N_{H}(v)$, to be the set of vertices that are in at least one edge with $v$. Similarly, for a set $S=\left\{v_{1}, \ldots, v_{m}\right\}$ of vertices in $H$, the neighborhood of $S$ is $N_{H}(S)=\cup_{i=1}^{m} N_{H}\left(v_{i}\right)$. When $H$ is understood, we write $N(v)$. Also, let $H[S]$ denote the subgraph of $H$ induced by the vertices in $S$.

Theorem 3.6. Fix an integer $k \geqslant 2$. There exist constants $c_{1}, c_{2}$ (depending only on $k$ ) such that if $\pi_{1}$ and $\pi_{2}$ are $k$-graphic sequences each with length $n$ that satisfy

$$
\begin{equation*}
n>c_{1} \frac{\Delta^{k /(k-1)}}{\delta}+c_{2} \Delta \tag{2}
\end{equation*}
$$

where $\Delta$ and $\delta$ are the maximum and minimum entries of $\pi_{1}+\pi_{2}$, then $\pi_{1}$ and $\pi_{2}$ pack.

Proof. Among all $k$-realizations of $\pi_{1}$ and $\pi_{2}$, let $H_{1}$ and $H_{2}$ be $k$-realizations such that the number of double edges in $H_{1} \cup H_{2}$ is minimized. We may assume that $H_{1} \cup H_{2}$ has at least one multiple edge, lest $H_{1}$ and $H_{2}$ give rise to a packing. Let $H=H_{1} \cup H_{2}$, $e=\left\{v_{1}, \ldots, v_{k}\right\}$ be a double edge in $H$, and $I=V(H) \backslash \bigcup_{i=1}^{k} N_{H}\left(v_{i}\right)$. Taking $c_{2}>k^{2}-k$, inequality (2) implies that $I \neq \emptyset$. Let $Q=N_{H}(I)$.

If there is some edge $f$ that contains more than one vertex of $I$, say $i_{1}$ and $i_{2}$, then the 2-switch $e \underset{i_{1}}{\stackrel{v_{1}}{\rightleftharpoons}} f$ reduces the number of double edges, contradicting the choice of $H_{1}$ and $H_{2}$. Hence, each edge including a vertex of $I$ consists of that vertex and $k-1$ vertices of $Q$.

Let $Q_{i}=N_{H_{i}}(I)$ for $i \in\{1,2\}$. Suppose $Q_{1}$ is not a clique in $H$. That is, let $A=\left\{y_{1}, \ldots, y_{k}\right\}$ be a set of vertices in $Q_{1}$ that is not an edge in $H$. Since each $y_{j}$ is in $Q_{1}$, for each $j$ with $1 \leqslant j \leqslant k$ there is an edge $f_{j} \in H_{1}$ that contains both $y_{j}$ and some vertex of $I$. Let $\mathcal{E}=\left\{f_{1}, \ldots, f_{k}\right\}$ be a set of such edges in $H_{1}$, where it is possible that some $f_{j}$ 's are equal. Now we can repeatedly perform 2 -switches of the form $e \underset{y_{j}}{v_{j}} f_{j}$ until one copy of $e$ is replaced by the new edge $\left\{y_{1}, \ldots, y_{k}\right\}$, in the following way. First, do the exchange $S_{1}=e \underset{y_{1}}{\stackrel{v_{1}}{\rightleftharpoons}} f_{1}$ to obtain edges $e_{1}=e-v_{1}+y_{1}$ and $f_{1}^{\prime}=f_{1}-y_{1}+v_{1}$. The edge $e_{1}$ may already exist in $H$, but it will be removed in the next step. The edge $f_{1}^{\prime}$ cannot exist in $H$, as it contains both a vertex of $e$ and a vertex of $I$. Having performed edge exchanges $S_{1}$ through $S_{j}$, the next exchange is $S_{j+1}=e_{j+1} \underset{y_{j+1}}{\stackrel{v_{j+1}}{\rightleftharpoons}} f_{j+1}$, unless $f_{j+1}=f_{p}$ for some $p \leqslant j$. In that case, $f_{j+1}=f_{p}$ is no longer an edge, but has been transformed into the edge $f_{p}^{\prime}=f_{p}-y_{p}+v_{p}$. Then $S_{j+1}=e_{j+1} \underset{y_{j+1}}{\stackrel{v_{j+1}}{\rightleftharpoons}} f_{p}^{\prime}$, and the new edges created in this exchange are $e_{j+1}=e_{j}-v_{j}+y_{j}$ and $f_{j}^{\prime}=f_{p}^{\prime}-y_{j}+v_{j}$. After the $k^{\text {th }}$ iteration of this process, we have created the edge consisting of the vertices in $A$, and removed one of the copies of $e$, while no new double edges have been created. Since this contradicts our choice of $H_{1}$ and $H_{2}$, the vertices of $A$ must already form an edge, so $Q_{1}$ is a clique. The same argument shows that $Q_{2}$ is a clique.

Let $v_{i} \in e$ and $x \in Q$, and suppose that $e-v_{i}+x$ is not an edge in $H$. Let $f$ be an edge containing $x$ and a vertex of $I$. Then the switch $e \underset{x}{\stackrel{v_{i}}{\rightleftharpoons} f \text { reduces the number of double }}$ edges in $H$. Hence every vertex of $Q$ is in an edge with each of the $(k-1)$-subsets of $e$.

Let $q=|Q|$ and $r=|E(H[Q])|$. Since $Q_{1}$ and $Q_{2}$ are cliques, $r \geqslant 2\binom{q / 2}{k}$. Counting the degrees of vertices in $Q$, we have

$$
\begin{aligned}
\Delta q & \geqslant k q+(k-1) \delta|I|+k r \\
& \geqslant k q+(k-1) \delta|I|+2 k\binom{q / 2}{k}
\end{aligned}
$$

Rearranging gives

$$
\begin{equation*}
|I| \leqslant \frac{(\Delta-k) q-2 k\binom{q / 2}{k}}{(k-1) \delta} \tag{3}
\end{equation*}
$$

By the principle of inclusion-exclusion, we also know that

$$
\begin{align*}
|I| & =n-\left|\bigcup_{i=1}^{k} N_{H}\left(v_{i}\right)\right|  \tag{4}\\
& =n+\sum_{s=1}^{k}(-1)^{s} \sum_{\substack{B \subseteq e \\
|B|=s}}\left|\bigcap_{v \in B} N_{H}(v)\right| .
\end{align*}
$$

For any subset $B$ of $e$, we have that all of $Q$ and $e \backslash B$ are in the common neighborhood of $B$ in $H$; thus

$$
q+k-|B| \leqslant\left|\bigcap_{v \in B} N_{H}(v)\right| .
$$

On the other hand, the size of this common neighborhood is maximized when all vertices in $B$ have the same neighborhood; hence

$$
\left|\bigcap_{v \in B} N_{H}(v)\right| \leqslant(k-1)(\Delta-2)+k-|B| .
$$

Using these inequalities in (4), we have

$$
\begin{aligned}
|I| & \geqslant n-\sum_{s \text { odd }}\binom{k}{s}((k-1)(\Delta-2)+k-s)+\sum_{s \text { even }}\binom{k}{s}(q+k-s) \\
& =n+\sum_{s=1}^{k}(-1)^{s}(k-s)\binom{k}{s}-(k-1)(\Delta-2) \sum_{s \text { odd }}\binom{k}{s}+q \sum_{s \text { even }}\binom{k}{s} .
\end{aligned}
$$

Applying the binomial theorem, this becomes

$$
\begin{align*}
|I| & \geqslant n-k-(\Delta-2)(k-1)\left(2^{k-1}\right)+q\left(2^{k-1}-1\right)  \tag{5}\\
& =n-\Delta(k-1)\left(2^{k-1}\right)+q\left(2^{k-1}-1\right)+(k-1)\left(2^{k}-1\right)-1 .
\end{align*}
$$

Combining equations (3) and (5) yields

$$
\begin{gather*}
(k-1) \delta\left(n-\Delta(k-1)\left(2^{k-1}\right)+(k-1)\left(2^{k}-1\right)-1\right) \\
\leqslant(\Delta-k) q-(k-1) \delta\left(2^{k-1}-1\right) q-2 k\binom{q / 2}{k}  \tag{6}\\
\leqslant \Delta q-2 k \frac{q^{k}}{(2 k)^{k}} .
\end{gather*}
$$

Without loss of generality, suppose $\left|Q_{1}\right| \geqslant\left|Q_{2}\right|$, and let $q_{1}=\left|Q_{1}\right|$. Since $Q_{1}$ is a clique, $\binom{q_{1}-1}{k-1} \leqslant \Delta$, so $q_{1} \leqslant c^{\prime} \Delta^{1 /(k-1)}$ for some constant $c^{\prime}$ depending only on $k$. Then, since $Q=Q_{1} \cup Q_{2}$, we have $q \leqslant 2 q_{1} \leqslant 2 c^{\prime} \Delta^{1 /(k-1)}=c \Delta^{1 /(1-k)}$. Inequality (6) now becomes

$$
n \leqslant\left(c-\frac{c^{k}}{(2 k)^{k-1}}\right) \frac{\Delta^{k /(k-1)}}{\delta}+\left((k-1) 2^{k-1}\right) \Delta
$$

This establishes the theorem, with $c_{1}=\left(c-\frac{c^{k}}{(2 k)^{k-1}}\right)$ and $c_{2}=\left((k-1) 2^{k-1}\right)$.
When $\delta=o\left(\Delta^{1 /(k-1)}\right)$, the bound in Theorem 3.6 reduces to

$$
n>c \frac{\Delta^{k /(k-1)}}{\delta}
$$

for $c=c_{1}+c_{2}$. We show that for $\delta$ in this range, Theorem 3.6 is best possible up to the choice of $c$.

Fix $k$ and $\delta$ and choose an integer $x \gg \delta$ such that $\frac{x-k}{\delta(k-1)}$ is an integer. Form a complete $k$-graph on $x$ vertices; set aside $k$ of these vertices to form the set $B$, and let $T$ be the set of remaining vertices. Add an independent set $I$ of order

$$
\frac{(x-k)}{\rho(k-1) \delta}\binom{x-1}{k-1}
$$

where $\rho>1$ is chosen such that $\frac{1}{\rho}\binom{x-1}{k-1}$ is an integer. Partition $T$ into sets $T_{1}, \ldots, T_{r}$, each of size $\delta(k-1)$, where $r=\frac{x-k}{\delta(k-1)}$, and partition $I$ into sets $I_{1}, \ldots, I_{r}$ of size $\frac{1}{\rho}\binom{x-1}{k-1}$. For each vertex $v \in I_{j}$, create edges $e_{1}, \ldots, e_{\delta}$, where each edge consists of $v$ and $k-1$ distinct vertices of $T_{j}$. Thus, $N(v)=T_{j}$ and each vertex in $T_{j}$ is in exactly one edge with each vertex of $I_{j}$. Finally, add an independent set of size $x-k+|I|$.

We now have a $k$-graph $H$ where each vertex in $T$ has degree

$$
\binom{x-1}{k-1}+\frac{1}{\rho}\binom{x-1}{k-1}=\left(1+\frac{1}{\rho}\right)\binom{x-1}{k-1}
$$

each vertex in $B$ has degree $\binom{x-1}{k-1}$, and each vertex in $I$ has degree $\delta$.
Consider two orderings of the degree sequence of $H$ :

$$
\begin{aligned}
& \pi_{1}=\left(\binom{x-1}{k-1}^{k},\left(\left(1+\frac{1}{\rho}\right)\binom{x-1}{k-1}\right)^{x-k}, 0^{x-k}, \delta^{|I|}, 0^{|I|}\right) \\
& \pi_{2}=\left(\binom{x-1}{k-1}^{k}, 0^{x-k},\left(\left(1+\frac{1}{\rho}\right)\binom{x-1}{k-1}\right)^{x-k}, 0^{|I|}, \delta^{|I|}\right) .
\end{aligned}
$$

Note that $n$, the length of sequences $\pi_{1}$ and $\pi_{2}$, is

$$
\begin{aligned}
n & =2 x-k+2|I| \\
& =2 x-k+\frac{2(x-k)}{\rho(k-1) \delta}\binom{x-1}{k-1} \\
& =\Theta\left(x^{k} / \delta\right) .
\end{aligned}
$$

In $\pi_{1}+\pi_{2}$ the minimum degree is $\delta$ and the maximum degree is $\Delta=2\binom{x-1}{k-1}=\Theta\left(x^{k-1}\right)$. Hence $\Delta=\Theta\left((\delta n)^{(k-1) / k}\right)$.

In any realization of $\pi_{1}$, Lemma 2.5 implies that the vertices of degree greater than $\delta$ must form a clique. Since the $k$ vertices of $B$ must be in this clique, those vertices must form an edge in any realization of $\pi_{1}$. The same argument applies to $\pi_{2}$, hence the sequences do not pack.

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