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### Title

Next-generation liquefaction database

### Permalink

<https://escholarship.org/uc/item/0v6770g3>

### Journal

EARTHQUAKE SPECTRA, 36(2)

### ISSN

8755-2930

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### Publication Date

2020

### DOI

10.1177/8755293020902477

Peer reviewed

# 1 Next Generation Liquefaction Database

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6 **M.EERI,**

7 The Next Generation Liquefaction database is a resource for the geotechnical  
8 hazard community. It is publicly available online under the following digital object  
9 identifier (DOI): 10.21222/C2J040. The database organizes objective liquefaction  
10 data into tables and fields (columns of information), with the relationships among  
11 the tables and fields described by a schema. The data is organized into tables  
12 pertaining to (i) sites, including geotechnical and geophysical site investigation  
13 data, surface geology information, and laboratory test data, (ii) earthquake events,  
14 including source and ground motion information, and (iii) observations of sites  
15 following events. The schema was vetted through community outreach efforts  
16 involving multiple workshops and meetings. Users can view the data, download  
17 existing data, and upload new data through a geographic information system (GIS)-  
18 based graphical user interface. Information uploaded to the database is reviewed by  
19 a database working group to verify consistency between uploaded data and source  
20 documents. The database is replicated in DesignSafe where users can interact with

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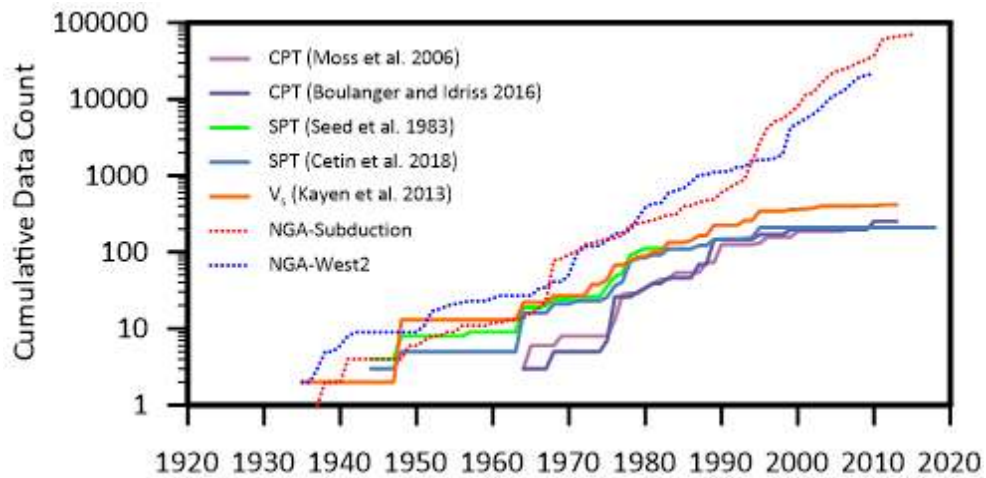
21 the data using Python scripts in Jupyter notebooks, view point cloud data using  
22 Potree, and interact with geospatial data using QGIS.

## 23 INTRODUCTION

24 Semi-empirical procedures for evaluating liquefaction susceptibility, triggering, and effects  
25 combine empirical observations with various aspects of theory. Measurements of penetration  
26 resistance [e.g., Seed et al. (1983), Çetin et al. (2004) and (2018), Idriss and Boulanger (2012),  
27 for standard penetration test, SPT; Robertson and Wride (1998), Moss et al. (2006), Boulanger  
28 and Idriss (2016) for cone penetration test, CPT], or shear wave velocity,  $V_s$  [e.g., Andrus and  
29 Stokoe (2000), Kayen et al. (2013)] are generally utilized to assess soil resistance to  
30 liquefaction. Qualitative geology-based criteria can also be used to estimate relative levels of  
31 liquefaction resistance (Youd and Hoose, 1977; Youd and Perkins, 1978; Obermeier et al.,  
32 1990; Lewis et al., 1999). In a similar manner, liquefaction resistance at the regional scale can  
33 be modeled using geospatial variables (Zhu et al., 2015 and 2017). Surface ground motion  
34 parameters are combined with wave propagation theory to estimate stress demands at a  
35 particular depth, and this demand is compared with resistance to obtain a factor of safety or the  
36 probability of liquefaction given a certain event. For this reason, procedures for evaluating  
37 liquefaction rely strongly on empirical data. An analogous data-driven model development  
38 approach has been adopted by the various Next Generation Attenuation (NGA) projects (e.g.  
39 NGA-West2, Bozorgnia et al. 2014 for shallow crustal earthquakes in active tectonic regions;  
40 NGA-East, Goulet et al. 2018 for stable continental regions; and NGA-Subduction, Kishida et  
41 al. 2017, for subduction events). A goal of the NGL project is to facilitate a similar process for  
42 modeling liquefaction triggering and consequences.

43 Variations over time of the cumulative numbers of case histories in the SPT, CPT, and  $V_s$   
44 liquefaction databases are presented in Figure 1 along with the cumulative numbers of ground  
45 motion records in the NGA-West2 and NGA-Subduction projects. The number of ground  
46 motion records in the NGA projects has grown exponentially with time, as indicated by the  
47 essentially linear slope in semi-log space in Figure 1. The liquefaction databases, by contrast,  
48 show essentially exponential growth from about 1960 to 1995, but the growth has slowed  
49 recently, with very few new case histories introduced into these databases since 1995. This  
50 trend is not due to a lack of available data. For example, the Canterbury Earthquake Sequence  
51 in 2010 and 2011 produced tens of thousands of cone penetration tests with observations of  
52 ground performance during multiple earthquakes (e.g., van Ballegooy et al. 2014; Maurer et

53 al. 2014). Furthermore, post-1995 earthquakes that have produced potential liquefaction case  
54 history information include: 1999 Kocaeli, 1999 Chi-Chi, 1999 Duzce, 2001 Bhuj, 2001  
55 Nisqually, 2001 Peru, 2002 Denali, 2004 Niigata Chuetsu-Oki, 2007 Niigata Ken Chuetsu-  
56 Oki, 2007 Pisco, 2008 Iwate, 2009 L'Aquila, 2010 Maule, 2010 El Mayor Cucapah, 2011  
57 Tohoku-Oki, 2012 Emilia, 2015 Nepal, 2016 Kaikoura, 2017 Puebla, 2018 Hokkaido Eastern  
58 Iburi, 2018 Anchorage, and 2019 Ridgecrest.



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60 **Figure 1.** Cumulative number of liquefaction case histories utilized in various triggering models, and  
61 ground motion records in the NGA-West2 and NGA-Subduction projects.

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63 We believe that liquefaction case history databases have lagged behind the exponential  
64 growth exhibited by the ground motion databases because the NGA projects involved a broad  
65 community effort surrounding database development, whereas development of liquefaction  
66 datasets has largely been undertaken by small research groups [i.e., Seed et al. (1983) and Çetin  
67 et al. (2004 and 2018) for standard penetration test (SPT) data, Moss et al. (2006) for cone  
68 penetration test (CPT) data, Kayen et al. (2013) for shear-wave velocity ( $V_s$ ) data, and Zhu et  
69 al., (2015) for geospatial data] without a broader organizational framework. A larger  
70 community effort is needed to optimize the potential to learn from recent events and grow the  
71 liquefaction database both in size and quality. The need for a publicly available liquefaction  
72 database was the first recommendation of the committee commissioned by the National  
73 Academies of Sciences, Engineering, and Medicine to address the state of the art and practice  
74 in assessment of earthquake-induced liquefaction and its consequences (National Academies  
75 2016):

76 "Recommendation 1. Establish curated, publicly accessible databases of relevant  
77 liquefaction triggering and consequence case history data. Include case histories in  
78 which soils interact with built structures. Document the case histories with relevant  
79 field, laboratory, and physical model data. Develop the databases with strict  
80 protocols and include indicators of data quality."

81 The Next-Generation Liquefaction (NGL) project was designed in part to address this clear  
82 need. NGL is a multi-year community-based effort consisting of three components: (1) a  
83 transparent, open-source liquefaction database, (2) supporting studies for effects that should be  
84 captured in models but that cannot be constrained by case history data, and (3) model  
85 development (Stewart et al. 2016).

86 This paper presents the structure of the NGL relational database, and the graphical user  
87 interface (GUI) developed to upload, view, and download data. We also discuss the review  
88 process implemented to ensure that uploaded data are consistent with source documents. The  
89 GUI allows users to interact with the data in a limited manner, but model developers will need  
90 to work with the data in ways that are not implemented in the GUI. For this reason, the database  
91 is periodically replicated in DesignSafe, a cyberinfrastructure for the natural hazards  
92 community (Rathje et al. 2017). This allows users to formulate their own queries, perform high  
93 level data analysis, integrate geospatial datasets, and draw conclusions. We discuss several  
94 publicly available tools in DesignSafe and provide example queries to extract desired data, and  
95 show examples of geospatial data integration.

## 96 **NGL DATABASE STRUCTURE**

97 The NGL database is a relational database, meaning that it has a well-defined data structure  
98 and can be queried using structured query language (SQL). The term "database" is often  
99 informally applied to file repositories lacking a formal organizational structure. In contrast, a  
100 relational database is organized into a schema that describes the tables, fields, and relationships  
101 among tables. In this context, a *table* is a collection of information containing a series of *fields*  
102 (or columns). Database fields are related using keys. Every entry in the database is assigned a  
103 *primary key* that uniquely identifies the field. In some cases, a field from one table might appear  
104 in another table to relate the two tables. In this case, the primary key from the host table appears  
105 as a *foreign key* in the other table to map the relationship.

106 The NGL database schema was developed over a number of years by a database working  
107 group, and vetted by an interested community of geotechnical earthquake engineers through a  
108 series of public workshops. Draft versions of the database were presented during workshops at  
109 the University of California, Berkeley, in July 2017, and at the University of California, Los  
110 Angeles in September 2018. The database schema presented here is the product of that process.  
111 While the database structure is essentially complete, population of the database is ongoing and  
112 is anticipated to continue indefinitely as additional data become available.

113 The NGL database consists of 60 tables that can be broadly categorized as: general, site,  
114 event, and observation (Tables 1-4, respectively). The general tables contain fields about the  
115 users, reviewers, project team members, and miscellaneous tables related to permissions,  
116 password reset requests, and version logs. The general table also contains fields for file uploads  
117 and citations of published datasets or other publications. The site tables contain  
118 geotechnical/geological information such as CPT data, SPT data, soil layer descriptions (as in  
119 borehole logs), geophysical measurements, laboratory tests, and groundwater table depth.  
120 Geospatial data, such as geology maps, digital elevation models, etc. may also be included with  
121 or linked to a specific site. The event tables contain information about the earthquake source,  
122 recording stations, and ground motion intensity measures from recordings (if available). The  
123 observation tables contain results of post-earthquake reconnaissance at the site, which may  
124 include photographs, maps, measured ground deformations, commentary on the presence of  
125 liquefaction surface manifestation or lack thereof, and links to large datasets such as light  
126 detection and ranging (LIDAR), structure from motion (SfM), or geospatial raster files.

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**Table 1.** General tables.

Table Name	Table Description	Number of Fields
CRES	Site creator	2
CREO	Observation creator	2
CRET	Test creator	2
DICT	Dictionary (service table)	7
FILE	Table storing supplemental files (16 MB max)	6
FILE_EXT	Table storing information about large supplemental files (>16 MB)	4
OBSM	Observation member information	3
OBSR	Observation Reviewer	3
password_resets	Password Reset	4
PERM	Permissions	5
phinxlog	Version log	5
CITATION	Citation for a publication	3
SITM	Site member information	3
SITR	Site Reviewer	3
TESM	Test member information	3
TESR	Test Reviewer	3
USER	User Information	14

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132 **Table 2.** Site tables.

Table Name	Table Description	Number of Fields
BORH	General information for boreholes	11
DETL	Within-layer Description	4
GIND	Invasive Geophysical Investigation – Data	5
GINV	General information for geophysical investigation	7
GRAG	General information for particle size distribution test	4
GRAT	Particle Size Distribution – Data	4
GSWD	Surface Wave Geophysical Test – Dispersion Curve	5
GSWG	General information and configuration for surface wave geophysical test	7
INDX	Index Tests	9
ISPT	Standard Penetration Test Results	9
OTHF	Other Field Tests	7
OTHR	Other Laboratory Tests	6
PLAS	Atterberg Limits	6
RDEN	Relative Density	6
SAMF	Associated Files for Laboratory Tests	4
SAMP	General information for sample	11
SCPG	General information for Cone Penetration Test (CPT)	10
SCPT	Standard Penetration Test (SPT) – Data	6
SITC	Site Comments	5
SITE	Site general information	8
SITF	Junction table relating a file to a site	4
SITP	Junction table relating a publication citation to a site	4
SPEC	General information for specimens	7
STRA	Stratigraphic Layer Description	7
SWVD	Surface Wave Geophysical Test Data – $V_s/V_p$ Profiles	7
SWVG	General Information for Surface Wave Geophysical Test	4
TEPT	Test Pit	8
TESC	Test Comments	5
TESF	Junction table relating a file to a test	4
TESP	Junction table relating a publication citation to a test	4
TEST	General information for in-situ tests	9
WATR	Ground water table information	5

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134 **Table 3.** Event tables.

Table Name	Table Description	Number of Fields
EVNT	General information for earthquake events	20
GMIM	Ground Motion Intensity Measure recorded or estimated at a site	9
IM	Recorded Intensity Measure	125



SEGM	Fault Segments (finite fault models)	11
STAT	Recording stations	15

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**Table 4.** Observation tables.

Table Name	Table Description	Number of Fields
FLDD	Displacement vectors	8
FLDF	Junction table relating a file to an observation	6
FLDP	Junction table relating a publication citation to an observation	4
FLDM	Liquefaction manifestation	11
FLDO	General information for field observation	6
OBSC	Observation Comment	5

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A total of 494 different fields are contained within the tables defined by Tables 1 through 4. A dictionary describing each individual field in these tables is provided in an interactive webpage: <http://nextgenerationliquefaction.org/schema/index.html>. The current schema at that web page is updated from an earlier version presented by Brandenberg et al. (2018), and any future changes will also be reflected in the online schema. Here we describe several example tables to illustrate key aspects of database functionality.

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Figure 2 shows example site investigation tables and fields, with arrows indicating the primary key / foreign key relationships between tables. A site consists of a primary key (SITE\_ID), site name (SITE\_NAME), latitude (SITE\_LAT), longitude (SITE\_LON), description of surface geology (SITE\_GEOL), a remark about the site (SITE\_REM), a boolean field indicating whether the site has been submitted for review (SITE\_STAT), and a boolean field indicating whether the site has been reviewed (SITE\_REVW). Minimum data requirements for the SITE table are: SITE\_NAME, SITE\_LAT, and SITE\_LON.

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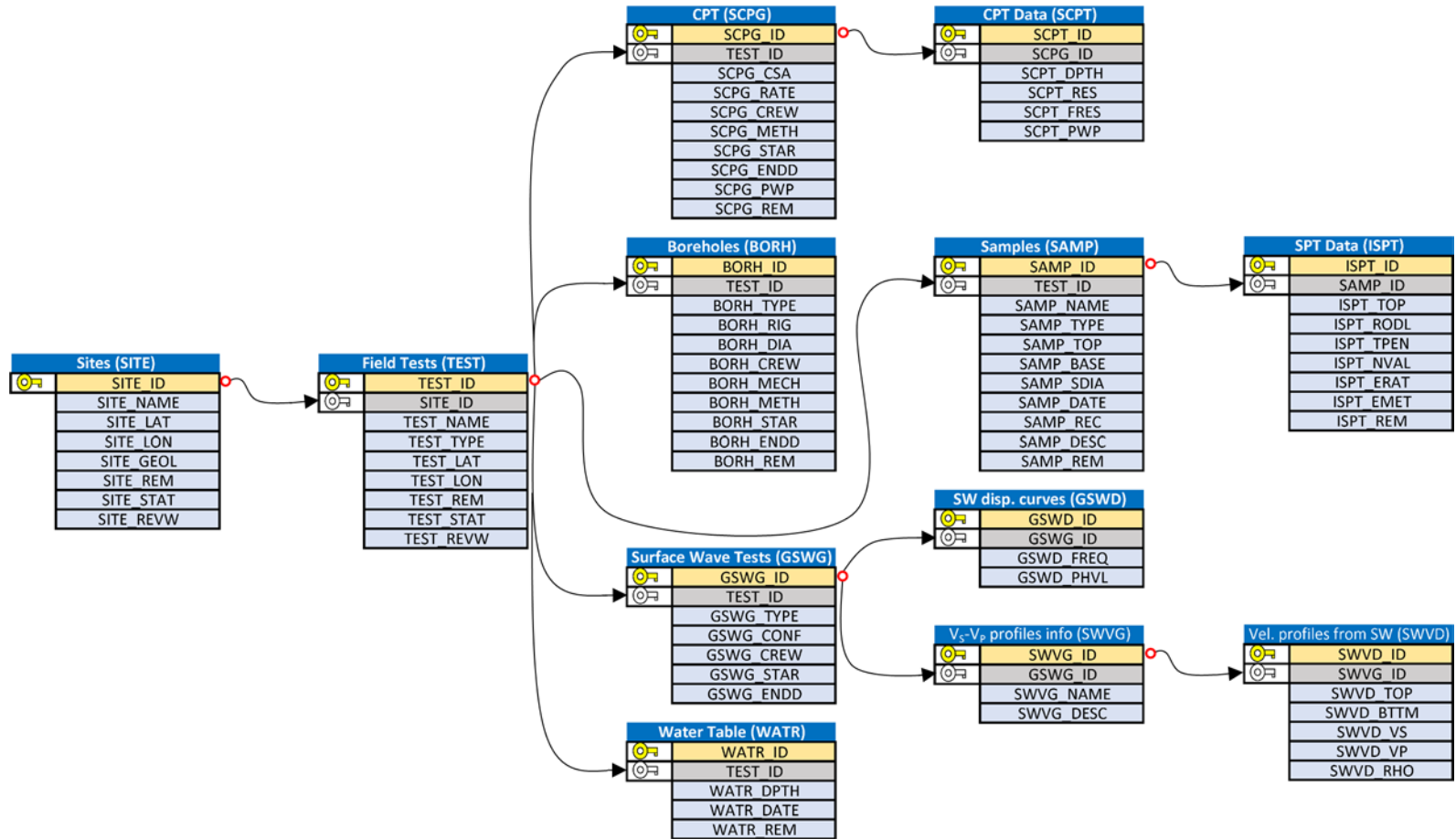
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Because the NGL database scheme uses the word “site,” an operational definition is required. A site is a high level entity into which a team of NGL users organize their data. A site generally represents a contiguous geographical area that has been investigated by the team and for which observations of liquefaction effects have been made for an event or sequence of events. Latitude and longitude fields are required for each site so that the site can be located on the map within the GUI, but that does not mean that a site should be interpreted as a single location in space. Geotechnical conditions and observations of liquefaction effects may vary spatially within a site. Users must exercise judgment in assigning a specific geographical area

159 to a site, and are encouraged to provide a remark explaining their rationale for organizing the  
160 information with a site.

161 Once a site has been established, a test or set of tests used to evaluate site conditions may  
162 be defined for that site. Note that the TEST table has a SITE\_ID field, which is a foreign key  
163 that links the TEST table with a particular SITE. In the TEST table TEST\_NAME,  
164 TEST\_TYPE, TEST\_LAT, and TEST\_LON are required fields. In this manner, multiple tests  
165 may be specified for a specific site without the need for repeating information from the site  
166 table. The types of tests illustrated in Figure 2 include CPT (SCPG), borehole (BORH), surface  
167 wave geophysical test (GSWG), groundwater table measurement (WATR), and sample  
168 (SAMP). As shown in Figure 2, an individual test has a location, which does not necessarily  
169 align with that of the SITE. Although not shown in Figure 2, additional test types, including  
170 stratigraphy (STRA), detailed soil description (DETL), test pit (TEPT), and invasive  
171 geophysical tests (GINV), may also be provided. Furthermore, users may upload source  
172 materials such as PDF files, photos, maps, etc. as Binary Large Object (BLOB) files. We limit  
173 BLOB file size to 16 MB because large files could slow operation of the database and GUI.  
174 When a user wishes to include a file larger than 16 MB in the site data, the large data files must  
175 be published outside of the NGL database and assigned a digital object identifier (DOI). The  
176 DOI for the published data may then be included with the NGL database site data using the  
177 CITATION and FILE\_EXT tables. We require that external files be published and assigned a  
178 DOI to maintain integrity of the data, and ensure that the URL created by appending the DOI  
179 to <http://doi.org/> will always point to the correct data location. For example, the NGL website  
180 can be found at <http://doi.org/10.21222/C2J040>, and will always be accessible through this  
181 DOI even if the host location of the website changes.

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**Figure 2.** Subset of NGL relational database schema illustrating tables containing site investigation data.

185 For CPT data, the SCPG table contains general information about the test, including the  
186 cone area (SCPG\_CSA), push rate (SCPG\_RATE), crew (SCPG\_CREW), method  
187 (SCPG\_METH) (e.g., ASTM D5778-12), time stamp at the start and end of the test  
188 (SCPG\_STAR and SCPG\_ENDD, respectively), position of the pore pressure measurement  
189 (SCPG\_PWP), and remarks (SCPG\_REM). The CPT data are contained in the SCPT table,  
190 which has SCPG\_ID as a foreign key. The fields include depth (SCPT\_DPTH), tip resistance  
191 (SCPT\_RES), sleeve friction (SCPT\_FRES), and pore pressure (SCPT\_PWP). Minimum data  
192 requirements for the SCPT table are: SCPT\_DPTH and SCPT\_RES.

193 For SPT data, users enter borehole information in the BORH table such as borehole type,  
194 rig, diameter, crew, hammer drop mechanism, start and end dates. Users then also enter  
195 information about the samples in the SAMP table, including the sampler type, diameter, and  
196 depth of the top and bottom of the sample. SPT blow count data are entered in the ISPT table,  
197 which contains SAMP\_ID as a foreign key. Users can enter the distance that the sampler was  
198 driven, the number of blows required to drive it that distance, hammer energy ratio, method  
199 used to obtain hammer energy ratio (i.e., directly measured, calibrated rig, hammer type), and  
200 rod length.

201 Figure 2 also shows the tables for surface wave measurements. In this case, users upload  
202 general information about the surface wave measurements in the GSWG table, and the surface  
203 wave dispersion curve data in the GSWD table. A velocity profile, or multiple velocity profiles,  
204 obtained by inversion of the measured dispersion curve may also be uploaded in the SWVG  
205 and SWVD tables. We consider a velocity profile from a surface wave measurement to be  
206 subjective because the inversion procedure is highly non-unique and many different velocity  
207 profiles may provide a good fit to a measured dispersion curve (e.g., Foti et al., 2018).  
208 However, the measured dispersion curve is relatively objective, and therefore the dispersion  
209 curve should be included in the database when possible.

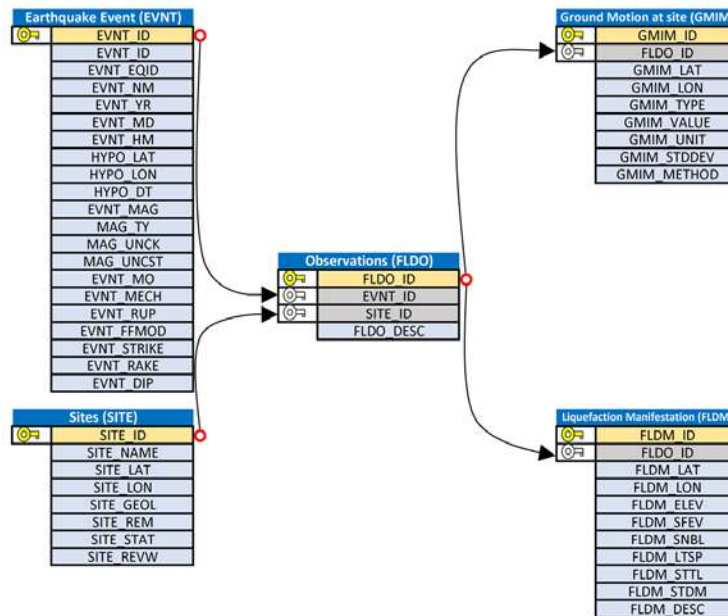
210 Figure 3 illustrates tables associated with observations of liquefaction manifestation or lack  
211 thereof. Observations are first organized into a junction table, FLDO, that contains the  
212 observation primary key, and foreign keys for SITE\_ID and EVNT\_ID. These foreign keys  
213 must be present because they connect the observation to the site and event for which the  
214 observation was made. A general description of the observation at the site for the event should  
215 be provided (FLDO\_DESC). In some cases, an observation is made after a sequence of events  
216 and it is not clear the extent to which each event contributed to the observation. In this case,

217 users must use judgment in selecting an event, and are encouraged to explain this in the  
218 FLDO\_DESC field. The ground motion intensity measure for traditional triggering procedures  
219 has been peak horizontal acceleration (PGA), although other intensity measures, such as peak  
220 ground velocity, peak ground displacement, 5%-damped pseudo-spectral acceleration for a  
221 range of oscillator periods, significant duration, Arias intensity, and cumulative absolute  
222 velocity above  $5 \text{ cm/s}^2$  (CAV<sub>5</sub>, Kramer and Mitchell 2006), can also be input. The method  
223 used to estimate the intensity measure (GMIM\_METHOD) is a required field, and can be  
224 accompanied by a standard deviation (GMIM\_STDDEV) representing uncertainty in the  
225 estimate. Quantifying uncertainty is important because we envision three scenarios for ground  
226 motion estimation, in order of increasing uncertainty:

227 (i) A strong motion record is co-located with a liquefaction observation. Uncertainty  
228 is minimized in this case. Currently 23 such sites are available in the NGL database,  
229 which have produced 31 total observations. Procedures described by Kramer et al.  
230 (2016) and Greenfield (2017) identify the timing of liquefaction triggering from  
231 waveforms.

232 (ii) The event is recorded by a network of strong motion stations, but a strong motion  
233 record is not co-located with the liquefaction observation. In this case, spatial  
234 interpolation techniques can be utilized, ideally with consideration of differences in  
235 site conditions at the liquefaction observation site relative to the recording stations  
236 [e.g., Stafford (2012); Kwak et al (2016)].

237 (iii) Strong motion records are sparse or non-existent for a particular event, and shaking  
238 intensity is estimated using a ground motion model. This approach involves  
239 significant uncertainty and judgment, but is frequently required for case histories  
240 used in previous susceptibility, triggering, and/or consequences models.



241

242 **Figure 3.** Subset of NGL relational database schema illustrating tables containing event data.

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244 Users may describe detailed observation(s) of site performance using the FLDM table. The  
 245 location of the observation is indicated by the FLDM\_LAT, FLDM\_LON, and FLDM\_ELEV  
 246 fields. The FLDM\_SFEV field indicates whether there is surface evidence of liquefaction (0 =  
 247 no, 1 = yes). It is important to note that FLDM\_SFEV = 0 indicates that an observation was  
 248 made, and surface evidence was confirmed to have not occurred. A lack of surface evidence  
 249 does not necessarily indicate a lack of liquefaction at some depth within the soil profile.  
 250 Additional fields include evidence of sand boils (FLDM\_SNBL), lateral spreading  
 251 (FLDM\_LTSP), settlement (FLDM\_STTL), and structural or foundation damage  
 252 (FLDM\_STDM). Additional data entry options include descriptions of observations, ground  
 253 displacement vectors uploaded using the FLDD table, and files such as photographs and maps  
 254 of observations of damage uploaded using the FILE and FLDF tables. Minimum data  
 255 requirements for the FLDM table are: FLDM\_LAT, FLDM\_LON, FLDM\_SFEV,  
 256 FLDM\_SNBL, FLDM\_LTSP, FLDM\_STTL, and FLDM\_STDM.

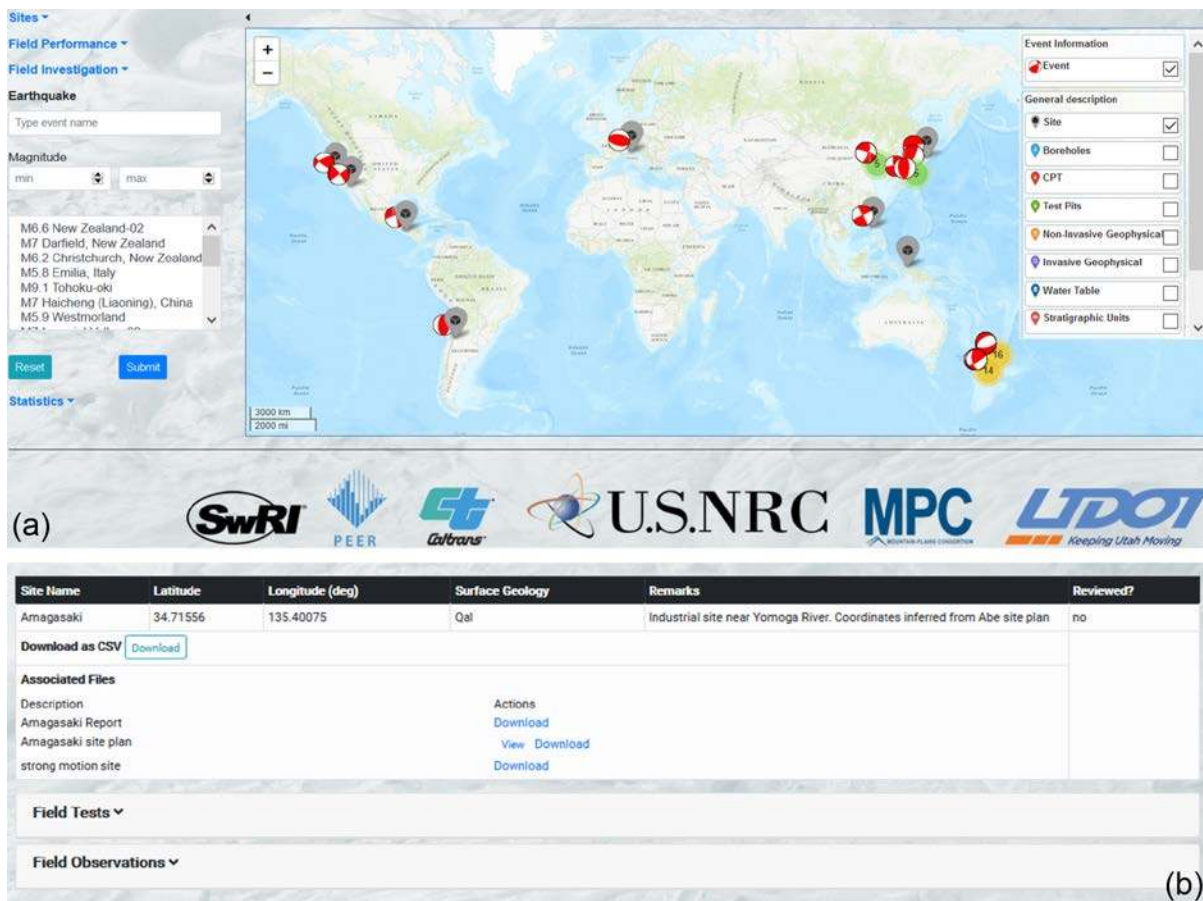
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### GRAPHICAL USER INTERFACE

258 The web GUI was developed using PHP: Hypertext Preprocessor, Hypertext Markup  
 259 Language 5, Javascript, and Cascading Style Sheets within the CakePHP web framework. The  
 260 GUI also utilizes two Application Program Interfaces (API's) to organize the data geospatially:  
 261 the Environmental Systems Research Institute Arc Geographic Information System API and

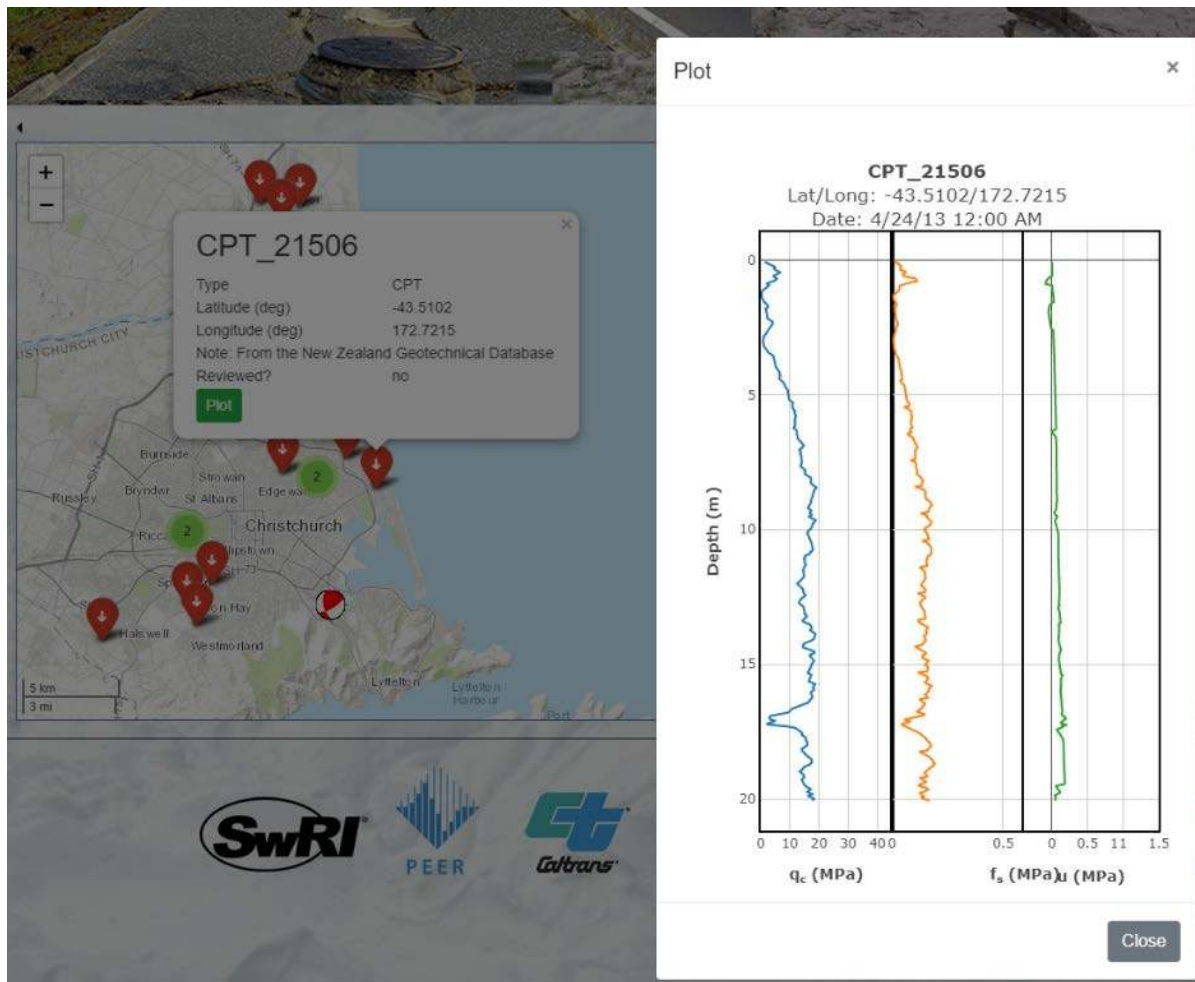
262 the Leaflet Javascript API. The GUI can be used to visualize, upload, and download  
 263 liquefaction case history data. The homepage of the database provides an overview of the  
 264 geographic distribution of events, sites, and observations (Figure 4). The NGL database GUI  
 265 also allows users to interact with the data by means of a list view (Figure 4b), which is  
 266 convenient for seeing all of the data fields for a particular site, event, or observation in tabular  
 267 form.

268 In map view, users can utilize the left panel to filter the data based on earthquake name,  
 269 magnitude range, or by selecting specific events from the event list. Although not shown in  
 270 Figure 4, the left panel also enables filtering data based on field performance by including or  
 271 excluding sites that exhibited surface evidence, sand boils, lateral spreading, settlement, and/or  
 272 structural damage. Case histories can also be filtered based on available field investigation  
 273 information, including boreholes, CPTs, test pits, geophysical tests, and other tests. The right  
 274 panel provides viewing options, including the ability to show or hide various database objects,  
 275 and to change the map view to topographic (default), imagery, or terrain.



276 **Figure 4.** (a) Homepage of the NGL database GUI (map view); and (b) organized list of case histories  
 277 in the database (list view).  
 278

280 Users may view site, event, and observation data through the GUI, as illustrated in Figure  
281 5, which shows results from a cone penetration test (CPT\_21506) performed in Christchurch,  
282 New Zealand after the Canterbury earthquake sequence. The plot shows measured cone tip  
283 resistance, sleeve friction, and pore pressure. The figure is not stored in the database as an  
284 image, but rather is generated from the data when a user clicks the "Plot" button. This prevents  
285 potential inconsistencies between the data stored in the database and the figure. In a similar  
286 manner, users can plot borehole data, including stratigraphic details and SPT blow counts,  
287 shear wave velocity profiles (and dispersion curves for surface wave measurements), and  
288 observations of earthquake damage including photographs, maps, and damage descriptions.



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290 **Figure 5.** Screenshot of NGL GUI showing CPT data for CPT\_21506 in Christchurch, New Zealand.  
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## DATA REVIEW PROCESS AND QUALITY CONTROL

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All data uploaded to the NGL database is reviewed using tools incorporated within the GUI. The NGL Database Working Group provides oversight to the review process. The goals of the review are to (i) ensure consistency between uploaded information and source documents, (ii) verify that all required fields are provided, (iii) identify ambiguities, or items that require clarification, and (iv) check for reasonableness of data to identify errors in data entry such as incorrect unit conversions, inappropriate negative values, etc. The review is intended to be objective; subjective opinions are not part of the review process. For example, a reviewer is justified in requesting clarification when data uploaded to the database does not agree with the same data plotted in a publication. On the other hand, a reviewer may believe that SPT data without hammer energy ratio measurements are not valuable and should not be included in development of a liquefaction model. However, the reviewer cannot decline the data based on this subjective opinion. The NGL project has been structured in such a way that subjective judgments of this type are not made during database development, instead being reserved for the model development phase. Two independent reviewers must formally review each individual piece of information uploaded to the database.

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Database population is usually performed in two-steps. During the first step, users and research teams upload data, which is flagged as un-submitted and un-reviewed, and is only available to project team members in the NGL GUI. Once the user or team believes the data is ready, they submit it to the review team, at which point the data is flagged as submitted, but un-reviewed and is made available to all NGL users through the NGL GUI. After all components of a case history have been reviewed and accepted, the case history is flagged as reviewed. At this stage, users may request a DOI for their dataset. A case history is complete only if includes at least the minimum required data components, and the original source of each individual piece of information is provided. Source documents are often journal or conference publications, technical reports, theses or dissertations. It is also possible for users to upload original (not previously published) data for which the source documents can be given as field and/or laboratory minutes or notes. In such cases, the assignment of a DOI is recommended to associate the data with the user.

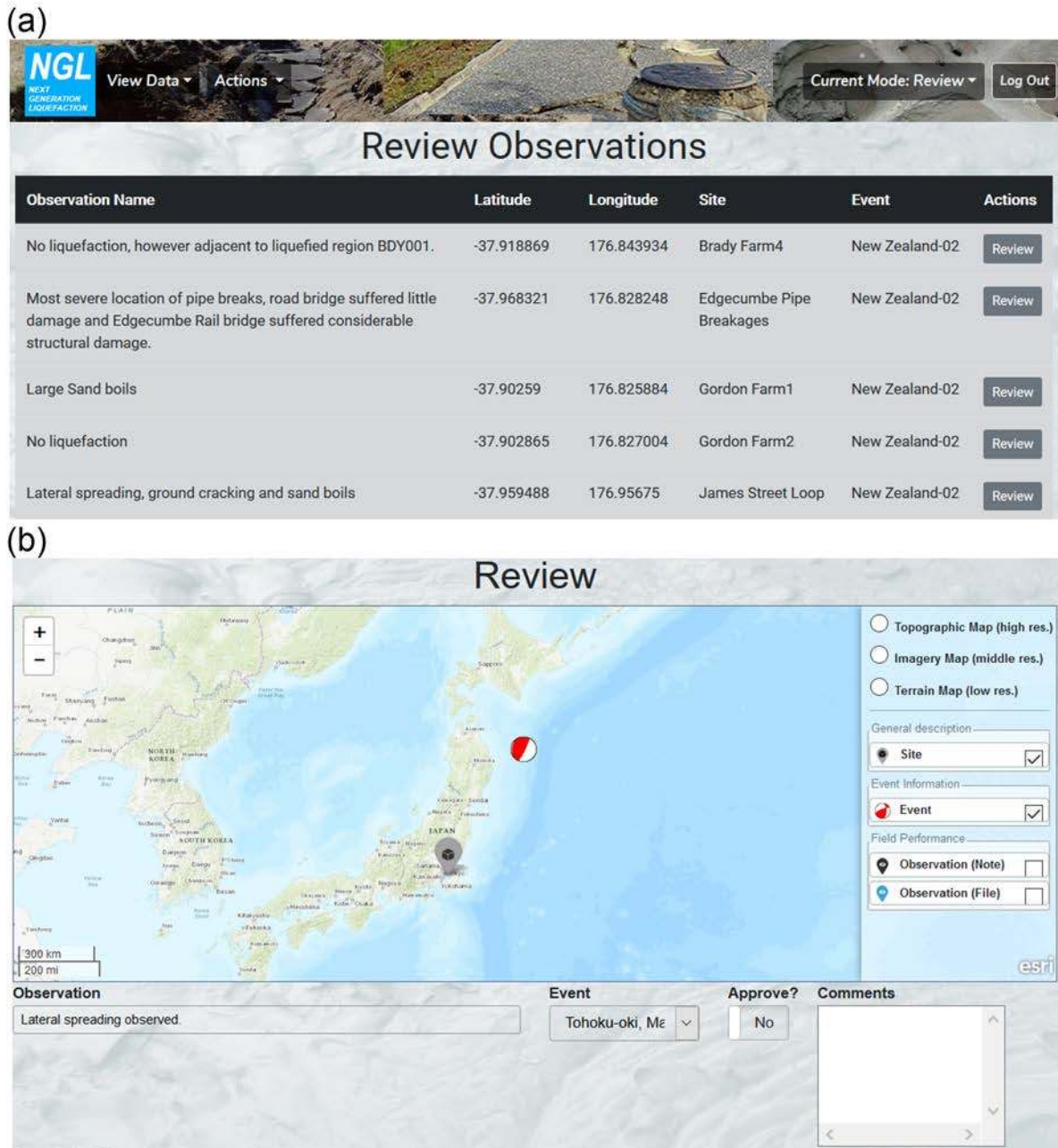
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Within the NGL GUI, review functions are only accessible to users having appropriate permissions. As a result, regular users are not able to access the review section of the GUI. Case history components are reviewed using three separate panels in the NGL GUI. Figure 6a

324 shows a list of post-earthquake observations under review, while Figure 6b shows the review  
 325 form for a specific observation entry in the NGL database. Similar forms are available for site  
 326 information and field investigation data. The review process for an example case history and  
 327 additional information on the quality control process implemented in the NGL project are  
 328 provided by Zimmaro et al. (2019b).



329 **Figure 6.** (a) Review observation panel showing post-earthquake observations under review; (b) review  
 330 form for post-earthquake observations.  
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## CLOUD-BASED DATABASE INTERACTION VIA DESIGNSAFE

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The NGL database GUI allows users to upload, visualize, and download data from the NGL database, but users cannot use the GUI to perform calculations on the data. If, for example, a user wished to compute a liquefaction index such as  $LPI_{ISH}$  (Maurer et al. 2015) for a specific CPT sounding, or perform geospatial liquefaction analysis (e.g. Zhu et al. 2015, 2017) they could not do so through the GUI. One option for such analyses is to download CPT data and perform calculations on local computers. However, we anticipate that the size of the database will eventually render this approach infeasible.

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To permit users to interact with the NGL database in the cloud, and integrate the NGL data into their custom workflows, we periodically replicate the NGL database in DesignSafe. The significance of this replication is that users can interact with the DesignSafe version of the database via applications available through the DesignSafe Discovery Workspace, such as Jupyter notebooks, QGIS, and the Potree point cloud viewer. A Jupyter notebook is a server-client application that allows editing and running notebook documents via a web browser. It combines rich text elements (equations, figures, HTML, LaTeX) and computer code executed by a Python kernel (Perez and Grainger 2007). These Jupyter notebooks utilize Python libraries for performing SQL queries to extract data from the database, where the extracted data may then be processed using custom computer code. Five example Jupyter notebooks that perform basic tasks that we anticipate users might perform during model development have been published in DesignSafe. One notebook provides example queries that extract various data into tables (Brandenberg and Zimmaro, 2019a, DOI: 10.17603/ds2-xvp9-ag60.). Notebooks are also available for viewing CPT (Brandenberg and Zimmaro, 2019b, DOI: 10.17603/ds2-99kprw11), boring logs and SPT (Lee et al., 2019, DOI: 10.17603/ds2-sj7t-av93), and invasive and non-invasive geophysical tests (Zimmaro and Brandenberg, 2019, DOI: 10.17603/ds2-tq39-kp49 and Brandenberg and Zimmaro, 2019c, DOI: 10.17603/ds2-cmn0-h864).

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### Example SQL Queries

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Figure 7 shows an example SQL query that extracts CPT data at the Wildlife Array site. The query is actually a single text string, but is broken into five lines here for clarity. The query begins on Line (6) of the Jupyter notebook, where the SELECT command queries TEST\_ID, TEST\_NAME, SCPT\_DPTH, SCPT\_RES, and SCPT\_FRES. Note that data is queried from two different tables (TEST and SCPT), and the table name is prepended to the field name with a "." separator. Line (7) utilizes an INNER JOIN command, which synthesizes two tables into

364 a single table based on a common shared key. In this case, the SCPT and SCPG tables are  
 365 combined based on a common value of SCPG\_ID, which is the primary key for SCPG and a  
 366 foreign key for SCPT. In line (8) another INNER JOIN adds the TEST table based on the  
 367 TEST\_ID key, and in line (9) the final INNER JOIN adds the SITE table based on the SITE\_ID  
 368 key. In line (10), the WHERE statement indicates that the requested data should be included in  
 369 the query result for sites where SITE\_NAME = 'Wildlife Array'. The output from the query  
 370 shown in Figure 7 is a small excerpt of the overall resulting data table. Note that different CPT  
 371 soundings are indicated by different TEST\_ID values.

```
In [8]: 1 import pymysql
        2 import pandas as pd
        3
        4 %run ./connection.ipynb
        5 cursor = cnx.cursor()
        6 command = 'SELECT TEST.TEST_ID, TEST.TEST_NAME, SCPT.SCPT_DPTH, SCPT.SCPT_RES, SCPT.SCPT_FRES FROM SCPT '
        7 command += 'INNER JOIN SCPG ON SCPT.SCPG_ID = SCPG.SCPG_ID '
        8 command += 'INNER JOIN TEST ON TEST.TEST_ID = SCPT.TEST_ID '
        9 command += 'INNER JOIN SITE ON SITE.SITE_ID = TEST.SITE_ID '
       10 command += 'WHERE SITE.SITE_NAME = "Wildlife Array"'
       11 cursor.execute(command)
       12 result = cursor.fetchall()
       13 df = pd.read_sql_query(command, cnx)
       14 pd.set_option('display.max_rows', 10)
       15 df
```

Out[8]:

	TEST_ID	TEST_NAME	SCPT_DPTH	SCPT_RES	SCPT_FRES
0	977	3Cg_pre	0.0	0.0000	0.000000
1	977	3Cg_pre	0.1	0.0000	0.000000
2	977	3Cg_pre	0.2	0.0000	0.000000
3	977	3Cg_pre	0.3	0.0000	0.000000
4	977	3Cg_pre	0.4	0.5886	0.021950
...	...	...	...	...	...
2267	1006	10Cg	12.6	25.9278	0.282613
2268	1006	10Cg	12.7	26.8009	0.292130
2269	1006	10Cg	12.8	27.8898	0.303999
2270	1006	10Cg	12.9	27.1148	0.285552
2271	1006	10Cg	13.0	26.8696	0.000000

2272 rows x 5 columns

372  
 373 **Figure 7.** Example SQL query to extract CPT data from Wildlife Array site, and output table showing  
 374 truncated result.

375  
 376 Figure 8 shows an example query of Event and Observation data for the Wildlife Array  
 377 site. In this case, the query extracts earthquake magnitude (EVNT\_MAG), name  
 378 (EVNT\_NAME), and year (EVNT\_YEAR), along with observation latitude (FLDM\_LAT),  
 379 longitude (FLDM\_LON), whether surface evidence of liquefaction was observed  
 380 (FLDM\_SFEV), and a description of the observation (FLDM\_DESC). Observations are  
 381 available at the Wildlife Array site for four different events, two of which produced surface  
 382 evidence of liquefaction (1981 M5.9 Westmorland and 1987 M6.54 Superstition Hills-02) and

383 two of which produce no surface evidence (1987 M6.2 Superstition Hills-01 and 2010 M7.2  
 384 El Mayor-Cucapah).

```
In [1]: 1 # Imports libraries and modules
        2 import pymysql
        3 import pandas as pd
        4
        5 # Establishes connection to the NGL database
        6 *run ./Connection.ipynb
        7 cursor = cnx.cursor()
        8
        9 command = 'SELECT EVNT_MAG, EVNT_NM, EVNT_YR, FLDM.FLDM_LAT, FLDM.FLDM_LON, FLDM.FLDM_SFEV, FLDM.FLDM_DESC FROM FLDO '
       10 command += 'INNER JOIN FLDM ON FLDO.FLDO_ID = FLDM.FLDM_ID '
       11 command += 'INNER JOIN EVNT ON EVNT.EVNT_ID = FLDO.EVNT_ID '
       12 command += 'INNER JOIN SITE ON FLDO.SITE_ID = SITE.SITE_ID '
       13 command += 'WHERE SITE_NAME = "Wildlife Array"'
       14
       15 cursor.execute(command)
       16 result = cursor.fetchall()
       17 df = pd.read_sql_query(command, cnx)
       18 pd.set_option('display.max_colwidth', 100)
       19 df
```

Out[1]:

	EVNT_MAG	EVNT_NM	EVNT_YR	FLDM_LAT	FLDM_LON	FLDM_SFEV	FLDM_DESC
0	5.90	Westmorland	1981	33.0976	-115.5306	1	Significant liquefaction was observed at many locations within the Imperial Valley. Bennett et ...
1	6.22	Superstition Hills-01	1987	33.0976	-115.5306	0	Holzer et al. (1989) indicates the piezometers installed by Bennett et al. (1984) showed no evid...
2	6.54	Superstition Hills-02	1987	33.0976	-115.5306	1	Superstition Hills Earthquake observations by Holzer et al. (1989)
3	7.20	El Mayor-Cucapah	2010	33.0976	-115.5306	0	No observed liquefaction manifestation. This is also confirmed by co-located piezometric recordl...

385  
 386 **Figure 8.** Example SQL query to extract Event and Observation data from Wildlife Array site, and  
 387 output table showing truncated result.

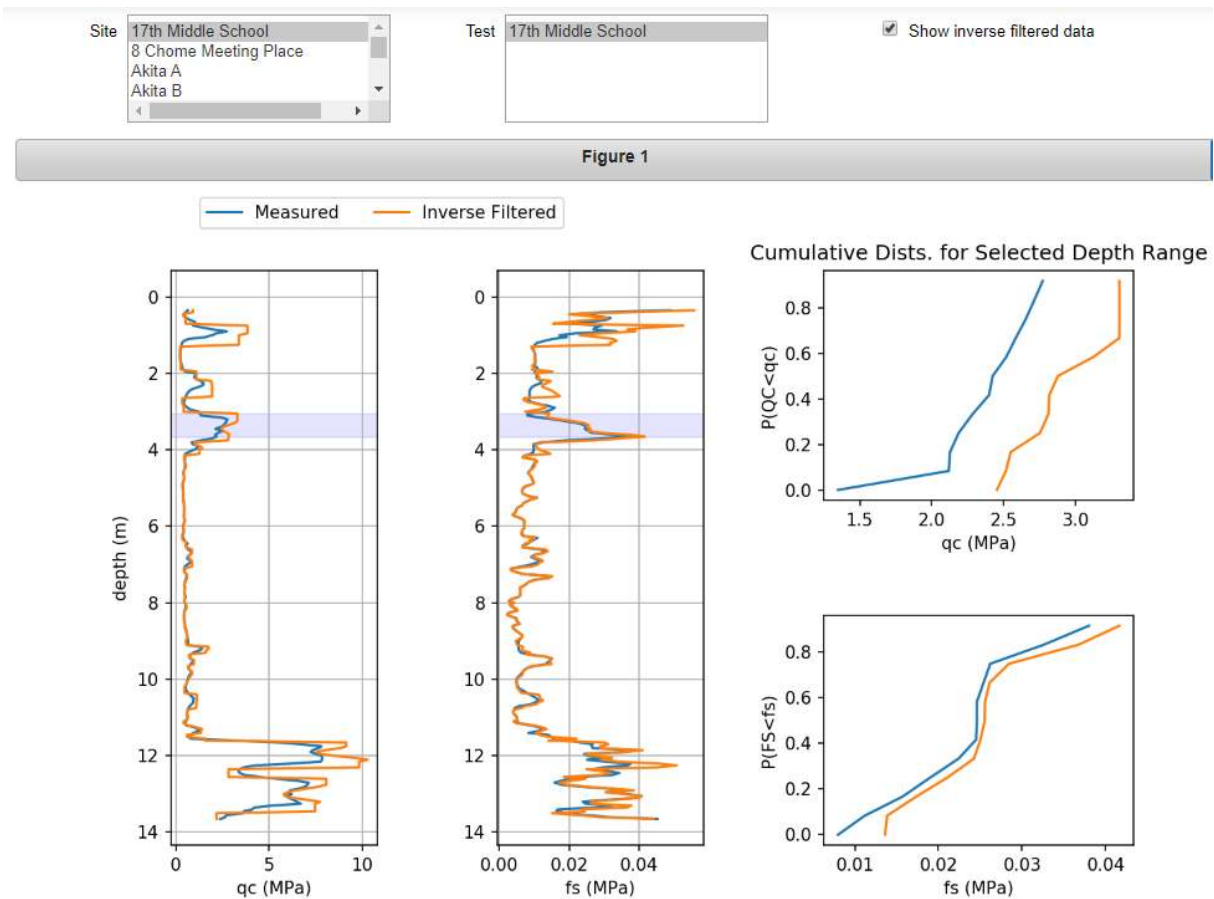
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### 389 Visualization Tools for Geotechnical Site Investigation Data

390 Figure 9 shows a Jupyter notebook for visualizing CPT data. Users select a site from a  
 391 dropdown menu, and the tool populates the *Test* box with CPT profiles for that site. The script  
 392 used to create this tool combines SITE and SCPT table entries using the INNER JOIN  
 393 command. It facilitates visualization of cone tip resistance ( $q_c$ ) and sleeve friction ( $f_s$ ) for CPT  
 394 profiles, along with cumulative distribution functions (CDFs) for user-specified depth ranges  
 395 (full-profile or narrower depth intervals). On the right side of the visualization panel, a box  
 396 labelled ‘Show inverse filtered data’ provides a filtered  $q_c$  profile based on the Boulanger and  
 397 DeJong (2018) procedure. This feature is useful for analyzing profiles with thinly-interbedded  
 398 soils.

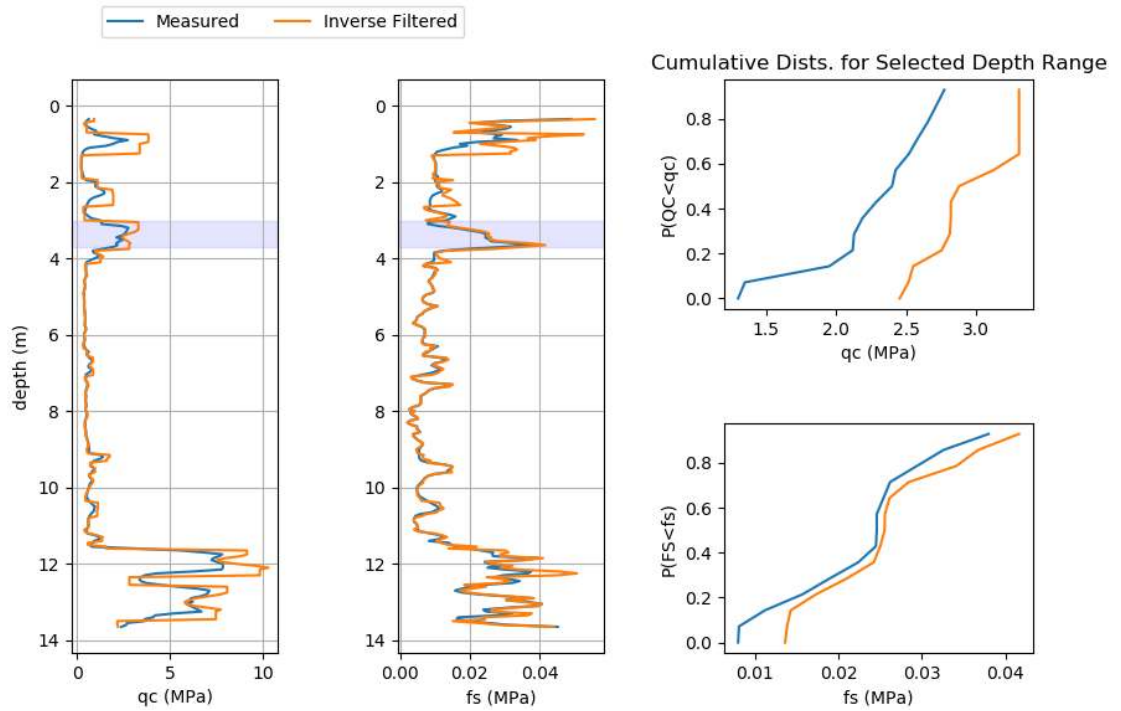
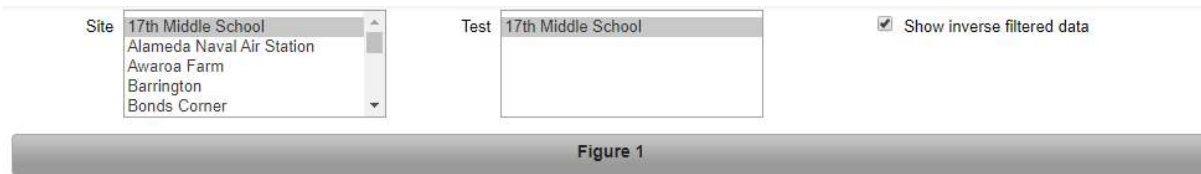
399 Figure 10 shows a tool developed to visualize boring logs and SPT data. This tool allows  
 400 users to select a site from a dropdown menu only populated with sites having boring logs and/or  
 401 SPT data. This tool combines SITE, STRA, and ISPT tables data entries using the INNER  
 402 JOIN command. After selecting a site, the tool automatically populates the *Remarks* field  
 403 (which shows available remarks for that site) and the *TEST* box with all available boring logs  
 404 and SPT profiles for the site. After selecting a test, the tool plots SPT profiles (N-value versus

405 depth) and boring logs side-to-side on the same vertical scale. The tool also plots the CDF for  
 406 SPT data over user-specified depth ranges. Two additional visualization tools are available on  
 407 DesignSafe: (1) a tool showing dispersion curves and inverted  $V_s$  profiles (if available) for  
 408 non-invasive geophysical tests (i.e. surface wave methods), and (2) a tool showing shear wave  
 409 velocity profiles and CDFs from invasive geophysical tests (e.g., cross hole, down hole, and  
 410 suspension logging). The visualization tool for non-invasive geophysical tests combines SITE,  
 411 GSWG, GSWD, SWVG, and SWVD table entries, while the visualization tool for invasive  
 412 geophysical tests combines SITE and GIND table entries. In both cases table entries are  
 413 combined using the INNER JOIN command.



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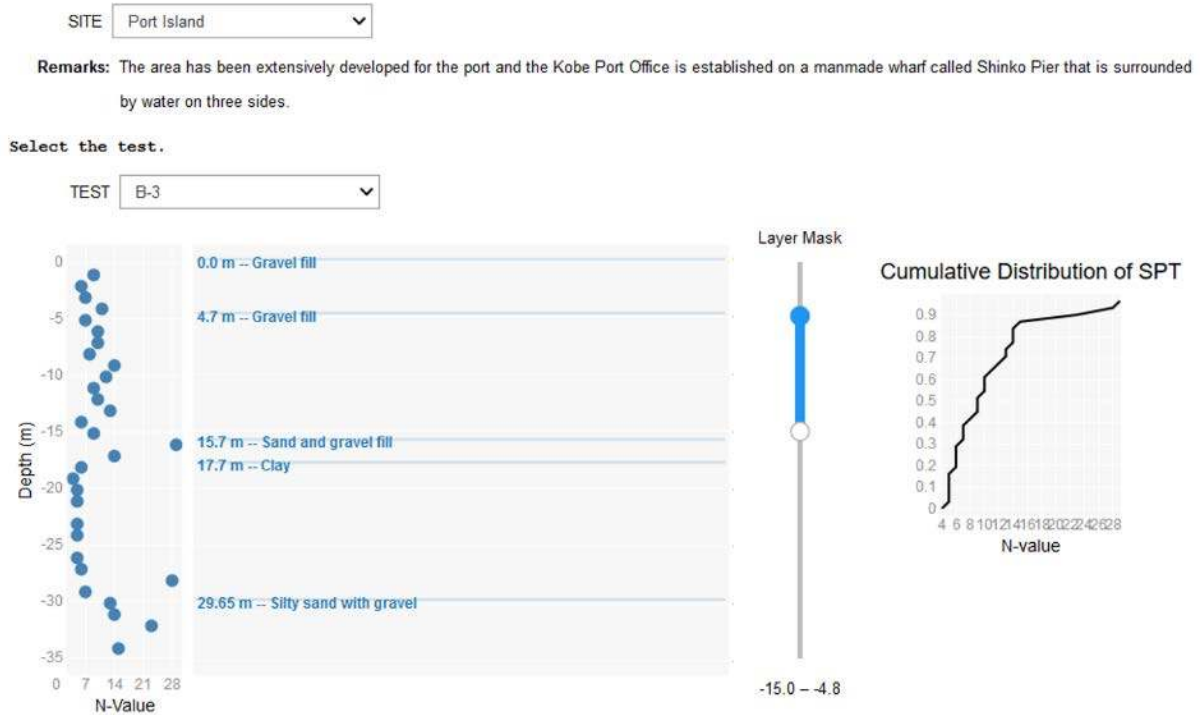
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Figure 9. Output from the NGL CPT viewer available on DesignSafe.



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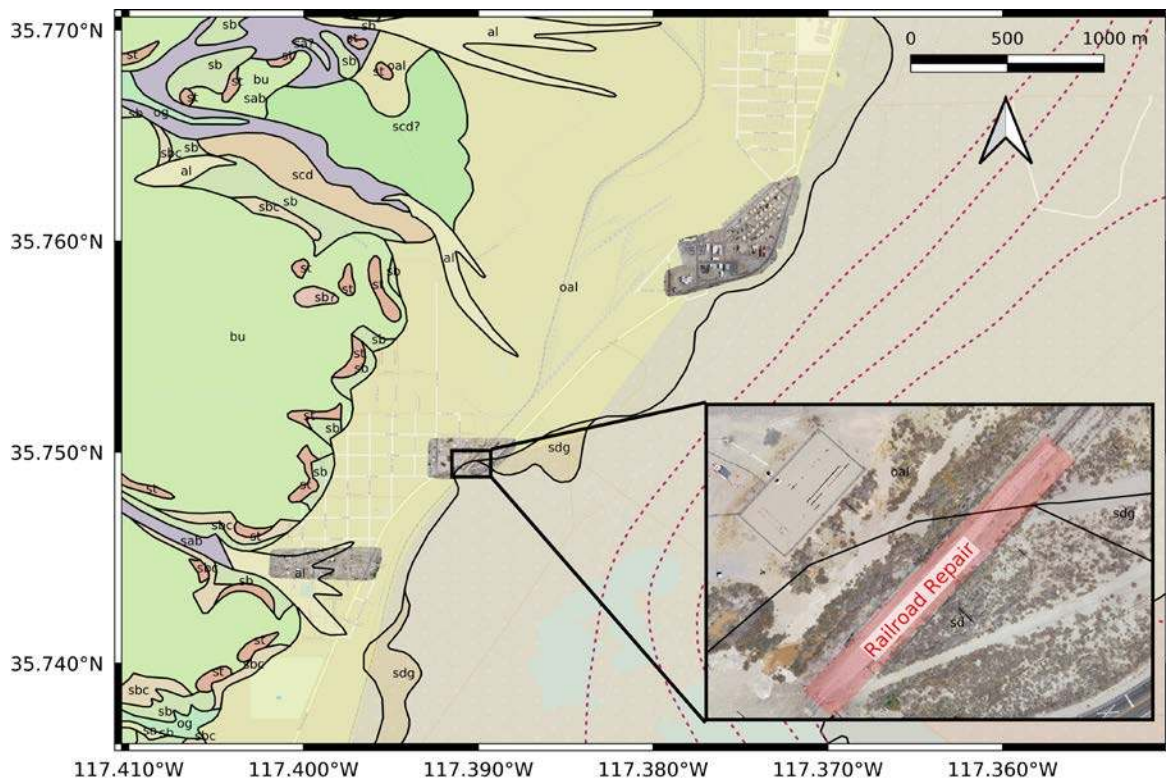
Figure 10. Output from the NGL SPT viewer available on DesignSafe.

## 421 **Visualization Tools for Geospatial Data**

422 Observations of liquefaction manifestation are increasingly utilizing techniques such as LIDAR  
423 (e.g. Olsen et al., 2012; Imakiire and Koarai, 2012; Konagai et al., 2013; and Rathje et al., 2017), SfM  
424 applied to digital photos collected using unmanned aerial vehicles (UAV's) (e.g. Franke et al., 2017;  
425 Stewart et al., 2019; and Winters et al. 2019), and correlation analysis of satellite images (Rathje et  
426 al., 2017). Furthermore, geospatial products such as maps of surface geology, bodies of water, and  
427 digital elevation models provide useful information for liquefaction triggering evaluation, and have  
428 been used to supplement geotechnical data in regional liquefaction assessment procedures (e.g., Zhu  
429 et al. 2015, 2017). These geospatial data objects are important to include in the NGL database..

430 An example application of linking geospatial data with NGL data is provided for observations in  
431 Trona and Argus after the 2019 Ridgecrest earthquake sequence. A Geotechnical Extreme Events  
432 Reconnaissance Association (GEER) reconnaissance team visited these sites after the earthquakes  
433 (Stewart et al. 2019) to perform ground-based observations using GPS trackers, digital cameras, and  
434 hand-held measuring devices, and subsequently a team visited these sites to fly UAV's and gather  
435 image data. Data from these reconnaissance missions was published in DesignSafe (Brandenberg et  
436 al. 2019 and Winters et al. 2019), and assigned DOI's that are included as citations in the CITATION  
437 table and linked to the observation through the FLDP table. Orthomosaic images obtained from the  
438 UAV survey (Winters et al. 2019) are superposed on a surface geology map (Smith 2009) using QGIS  
439 in DesignSafe in Figure 11. Three different orthomosaics are shown, and a zoomed-in view of one  
440 orthomosaic shows a railroad that required repair due to liquefaction effects near the intersection of  
441 three different geologic units [sdg (gravel and sand), oal (older alluvium), and sd (sand and silt)].

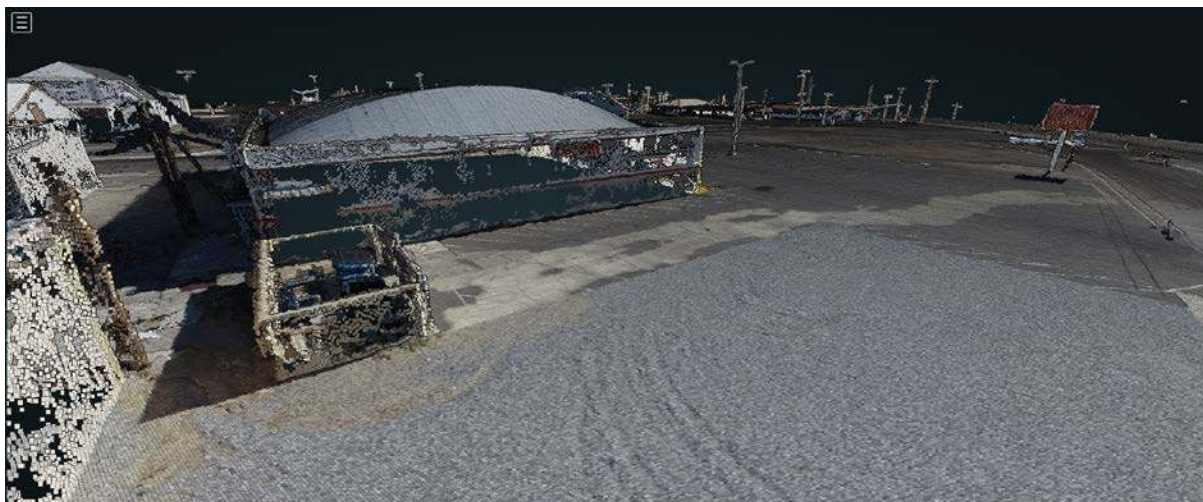




442

443 **Figure 11.** Map of Trona and Argus where liquefaction effects were observed after the Ridgecrest  
 444 earthquake sequence. The map includes orthomosaic images obtained from UAV SfM surveys (Winters  
 445 et al. 2019), and a surface geology map by Smith (2009).

446 A point cloud generated by SfM processing of the UAV images shows surface evidence of  
 447 liquefaction at the site of the Family Dollar store in Trona, CA in Figure 12. The image is a  
 448 screenshot from the Potree point cloud viewer available in DesignSafe. Sand ejecta originating  
 449 from a utility pole near the left side of the image flowed over the gravel and parking lot toward  
 450 the sign on the right of the image.



451

452 **Figure 12.** Point cloud from SfM processing of UAV images at the Family Dollar building in Trona,  
453 CA (Winters et al. 2019).

454

## CONCLUSION

455 In this paper we present the Next Generation Liquefaction (NGL) database (Zimmaro et al.  
456 2019a, DOI: 10.21222/C2J040). The NGL database is an open-source tool that results from a  
457 multi-year, ongoing community effort. We describe the NGL database organizational structure  
458 (i.e. the schema describing relationships among tables) and provide information about selected  
459 tables in the database, including minimum data requirements. The NGL database contains  
460 objective information about liquefaction, or lack thereof, during past earthquake events. Each  
461 individual site and observation component is reviewed through a formal vetting process  
462 coordinated by the NGL Database Working Group.

463 The NGL database is accessible through a GUI that allows users to upload, visualize, and  
464 download data. The database is also replicated onto DesignSafe where users can write queries  
465 and utilize Jupyter notebooks to interact with the data in the cloud, and integrate geospatial  
466 data into their workflow. We envision these cloud-based tools to be particularly useful for data  
467 analysis in support of development of future liquefaction susceptibility, triggering, and  
468 consequences models.

469

## ACKNOWLEDGEMENTS

470 Financial support for the NGL project is provided by California Department of  
471 Transportation (Caltrans) through the Pacific Earthquake Engineering Research Center  
472 (PEER), and by the U.S. Nuclear Regulatory Commission (NRC) through the Southwest  
473 Research Institute (SWRI). Neither the U.S. Government nor any agency thereof, nor any of  
474 their employees, makes any warranty, expressed or implied, or assumes any legal liability or  
475 responsibility for any third party's use, or the results of such use, of any information, apparatus,  
476 product, or process disclosed in this paper, or represents that its use by such third party would  
477 not infringe privately owned rights. The views expressed in this paper are not necessarily those  
478 of the U.S. Nuclear Regulatory Commission. The authors acknowledge the contributors of  
479 datasets, input on the schema during workshops, and/or input during database working group  
480 meetings by: Russel Green and Kristin Ulmer (Virginia Tech), Ross Boulanger (UC Davis),  
481 Robert Kayen (USGS/UC Berkeley), Kyle Rollins (BYU), Sjoerd van Ballegooy and Mike Liu  
482 (Tonkin + Taylor), Mike Greenfield (Greenfield Geotechnical), Christine Beyzaei and Sean

483 Ahdi (Exponent), Jonathan Bray (UC Berkeley), James Gingery (Hayward Baker), Eric  
484 Thompson (USGS), Yousef Bozorgnia (UCLA), Miriam Juckett, (SwRI), Roy Mayfield  
485 (Consulting Engineer), Steve Bartlett and Massoud Hosseinali (University of Utah), Mahyar  
486 Sharifi-Mood, Tim Cockerill, Christopher Jordan, Steve Mock (Texas Advanced Computing  
487 Center), Ellen Rathje, Maria Giovanna Durante, and Michael Little (University of Texas),  
488 Laurie Baise (Tufts University), Silas Nichols (FHWA), Derrick Wittwer (Bureau of  
489 Reclamation), Ahmed Elgamal (UCSD), Lelio Mejia (Geosyntec), Robert Pyke (Consulting  
490 Engineer), Albert Kottke (PG&E), Yi Tyan Tsai (GeoEngineers Inc.), Tom Shantz (Caltrans),  
491 Zia Zafir (Kleinfelder), Esam Abraham (Southern California Edison), Khaled Chowdhuri  
492 (USACE), Harold Magistrale (FM Global), Marty Hudson (Wood), Jianping Hu (LADWP),  
493 Craig Davis (recently retired LADWP), Joseph Weber (Loyola Marymount University), Tim  
494 Ancheta (RMS), Ariya Balakrishnan (California Division of Safety of Dams), and Allison Lee,  
495 Honor Fisher, Omar Issa, Wyatt Iwanaga, Arielle Sanghvi, Christopher Nicas, Jared Rivera,  
496 Michael A. Winders, Naoto Inagaki, Sahil Sibal, Siddhant Jain, Trini Inouye, Bryan Ong,  
497 Anjali Swamy, Tristan Buckreis, and Chukwuebuka Nweke (UCLA). The web-based graphical  
498 user interface was developed by Joey Mukherjee, Zachary Murphy, and Steven Ybarra  
499 (SWRI).

500

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