

1                                    **Nexus Approaches to Global Sustainable Development**

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## Abstract

Many global challenges, though interconnected, have been addressed singly, at times reducing one problem while exacerbating others. Nexus approaches simultaneously examine interactions among multiple sectors. Recent quantitative studies have revealed that nexus approaches can uncover synergies and detect trade-offs among sectors. If well implemented, nexus approaches have the potential to reduce negative surprises and promote integrated planning, management and governance. However, application and implementation of nexus approaches are in their infancy. No studies have explicitly quantified the contributions of nexus approaches to progress toward meeting Sustainable Development Goals (SDGs). To further implement nexus approaches and realize their potential, we propose a systematic procedure and provide perspectives on future directions. These include expanding nexus frameworks that consider interactions among more sectors, across scales, between adjacent and distant places, and linkages with SDGs; incorporating overlooked drivers and regions; diversifying nexus toolboxes; and making these strategies central in policy-making and governance for integrated SDG implementation.

## 1. Introduction

With global population projected to exceed 9 billion and per capita buying power expected to more than double by 2050, global challenges such as reducing food insecurity, water scarcity and fossil energy use, as well as improving human health and protecting the environment, are increasingly pressing and deeply interconnected<sup>1</sup>. Major threats such as climate change and its likely social, political and economic consequences compound the challenges and add further interlinkages<sup>2</sup>. To address global challenges and threats, the United Nations has set 17 Sustainable Development Goals (SDGs) for 2030, including the provision of sufficient food, energy, and water for all<sup>3</sup>. But taking the SDG agenda seriously, and operationalizing it on the ground, is far from straightforward.

Achieving the SDGs requires all relevant stakeholders to work together and manage the synergies and trade-offs among different management or governance sectors (e.g. food, health, water, and energy)<sup>4</sup>. While focused expertise and management remain important, traditional “silo” approaches by specialized institutions and agencies alone cannot effectively address the linked challenges. Consider, for example, the Aral Sea. River water that had flowed into the Aral Sea was diverted to create irrigated desert croplands but also led to a substantial loss of a productive fishery as the lake dried and shrank to a tenth of its original size<sup>5</sup>. These major impacts were avoidable. Well-made canals and efficient irrigation could have allowed agriculture to flourish while protecting the lake’s biodiversity so that it provided a sustainable fishery<sup>6</sup>.

New integrated approaches and tools are needed to address the challenges posed by multiple and often conflicting human needs and demands, and to achieve the SDGs successfully. Numerous approaches have been developed to help address these issues, including the concepts of natural capital and ecosystem services<sup>7,8</sup>, quantification of environmental footprints<sup>9</sup> and planetary boundaries<sup>10</sup>, integrated water resource management and “soft path” approaches to improve water use efficiency<sup>11</sup>, multifunctional landscapes<sup>12</sup>, and integrated ecosystem management<sup>13</sup>.

78 Each of these concepts has multiple dimensions and is valuable for addressing some of the  
79 SDGs, and they can be extended to address synergies and trade-offs among sectors <sup>14</sup>.

80 The nexus concept builds on many of these approaches by emphasizing the importance of  
81 understanding connections, synergies and trade-offs. The word "nexus" (from the Latin *nectare*,  
82 to connect<sup>15</sup>) has long been used in philosophy, cell biology, and economics, to refer to  
83 approaches that address the linkages between multiple distinct entities <sup>16</sup>. Nexus terminology was  
84 first used in the natural resource realm in 1983 under the Food-Energy Nexus Programme, which  
85 sought integrated solutions to food and energy scarcity <sup>16</sup>. Since then, it has been applied most  
86 frequently to studying connections among food, water and energy, sometimes with the addition  
87 of issues like biodiversity protection and human health, or within specific framings such as  
88 responding to climate change. Although the term can be overused <sup>17,18</sup>, we argue it is valuable to  
89 avoid the natural tendency to retreat into intellectual and institutional silos. Compared to  
90 previous integrated concepts, there has been a stronger demand for operationalization and  
91 solution-orientation by resource managers, policy makers, and other stakeholders. With broad  
92 interest and impetus, there is an opportunity for co-development of actionable knowledge from  
93 nexus assessments for problem solving such as simultaneously achieving multiple SDGs (Table  
94 1). Cross-sectoral integration is a major issue for both nexus approaches and SDGs.

95  
96 In this article, we address three key questions: What are the major advantages and costs of  
97 nexus approaches? What steps are essential for implementing nexus approaches? What are the  
98 main research gaps and directions? We also discuss how nexus thinking can help improve policy,  
99 management, and governance to ensure environmental sustainability while meeting human needs  
100 worldwide.

101

## 102 **Advantages and Costs of Nexus Approaches**

103 By identifying positive synergies and negative trade-offs, nexus approaches can help enhance  
104 sustainability pathways through promoting higher resource-use efficiency <sup>11</sup>, lower production of  
105 pollutants <sup>19</sup> and wastes <sup>20</sup>, and more coherent policy <sup>21</sup>. This point has in the past been argued  
106 through chiefly qualitative analysis <sup>18,22</sup>, and we see a need to extend this to more quantitative  
107 approaches. To demonstrate advantages, here we examine several recent quantitative studies on  
108 the food-energy-water nexus, which addresses fundamental human needs. Despite huge progress,  
109 660 million people still lack access to safe drinking water, 2.4 billion do not have good sanitation  
110 services <sup>23</sup>, 795 million people face chronic food shortage <sup>24</sup>, and 1.2 billion have no electricity  
111 <sup>25</sup>. Food, energy and water interact and can affect all the SDGs (Figure 1), yet each is often  
112 treated in isolation.

113

114 **Uncovering synergies and co-benefits.** Nexus approaches can identify synergistic effects  
115 and co-benefits that might otherwise be missed in complex production systems and supply  
116 chains. This is particularly important in densely populated urban areas where the benefits of  
117 more efficient resource consumption are high. For example, multi-sectoral systems analysis  
118 reveals that in London implementing urine separation technology (UST) that requires less water  
119 than conventional methods could lead to a 10% reduction in water needs <sup>26</sup>. This reduction would  
120 lower the energy use in water supply by about 10% and wastewater treatment by nearly 25%.

121 The energy savings in wastewater treatment result from fewer toilet flushes (since the technology  
122 relies on urine diversion and composting as opposed to flushing with water) and reduced nutrient  
123 levels in sewage. Furthermore, nutrients captured by the UST would contain on average 2,300  
124 tonnes of phosphorus and 24,000 tonnes of nitrogen annually that would be valuable as fertilizers  
125 <sup>26</sup>. These nitrogen fertilizers could satisfy the nitrogen needs for growing almost one million tons  
126 of wheat in the United Kingdom (~6% annual production) <sup>27</sup>.

127  
128 **Detecting harmful trade-offs.** Nexus approaches can help detect and minimize harmful  
129 trade-offs <sup>28</sup>. For example, trade-offs occur in drier regions where farmers choose between  
130 multiple types of crops that have different water and energy demands. In a recent integrated  
131 assessment of the Mediterranean region, researchers used geographic information systems and a  
132 gridded water balance model to examine water productivity and carbon footprints across eight  
133 crop types <sup>29</sup>. Among the crops examined, citrus had the highest water productivity (yield per  
134 unit water applied) at around 3,200 t of crop/Mm<sup>3</sup> water per year and the lowest carbon footprint  
135 at approximately 12 kg CO<sub>2</sub>/t per year of emissions. Sunflower had the reverse, with the highest  
136 carbon footprint (73 kg CO<sub>2</sub>/t per year) and among the lowest water productivity (~510 t/Mm<sup>3</sup>  
137 per year). Regional differences also occurred. For instance, water demand for irrigation per unit  
138 of product was 75% higher in Egypt than Spain, yet the local carbon footprint was three times  
139 lower. This difference is due to the predominance of gravity-fed (more water intensive) irrigation  
140 in Egypt compared to pressurized (more energy intensive) irrigation in Spain. Scenario analysis  
141 (a process of projecting future possibilities under different assumptions about the future) found  
142 that switching from surface (gravity-fed) to pressurized irrigation would reduce the water  
143 demand by 13% but increase the carbon footprint by 135% <sup>29</sup>. Switching from rain-fed to  
144 irrigated agriculture, which increases land productivity, would increase both the water demand  
145 and carbon footprint by 168% and 270%, respectively <sup>29</sup>. Possible net economic return from  
146 these crops also varies. For example, in Turkey, citrus crops yield roughly 1,790-3,400 USD/ha  
147 <sup>30</sup>, but sunflower yields 570-1,690 USD/ha in Italy <sup>31</sup>. The relative benefits of different irrigation  
148 systems are variable across systems. Accordingly, a nexus approach helps to identify context-  
149 specific solutions adapted to the respective resource scarcities.

150  
151 **Unveiling unexpected consequences.** Nexus approaches can assist in identifying unexpected  
152 consequences <sup>32-34</sup>. For example, biofuels were proposed as part of the solution to increased CO<sub>2</sub>  
153 emissions from burning fossil fuels, but unexpected side effects occurred <sup>35</sup>. World consumption  
154 of biofuels increased by 78% from 1950 to 2010 <sup>36</sup> and currently 64 countries have biofuel  
155 targets or mandates <sup>35</sup>. However, biofuels can have profound negative consequences for water  
156 scarcity as their production, processing and distribution can require up to 500 times more water  
157 per unit of energy than oil and gas. Biofuels may also have unintended consequences for food  
158 security and social stability: the large and rapidly-imposed US biofuel mandate was associated  
159 with a spike in global cereal prices <sup>37</sup>, and in a tighter coupling of world market prices for energy  
160 and food, though the pattern of causation is debated. Roughly 25-50% of the net calories diverted  
161 from food to ethanol are never replaced in the agricultural sector (based on US and EU biofuel  
162 data) <sup>38</sup> and the consequent increase in food prices particularly impacts the world's poorest  
163 people with clear ethical implications. The food calories that were replaced came partly from  
164 increased productivity on existing farmland but also from conversion of land to agriculture.  
165 Both, and in particular the second, lead to GHG emissions that are frequently not considered <sup>39</sup>.  
166 There may be advantages of producing rain-fed biofuels on non-agricultural land, but it is

167 important to consider possible trade-offs such as the loss of ecosystem integrity and biodiversity,  
168 and alternatives such as using the land for carbon storage <sup>40</sup>.

169  
170 **Enhancing integrated planning, decision-making, governance, and management.** By  
171 bringing together actors involved in different sectors, nexus approaches can promote  
172 cooperation, coordination <sup>41</sup> and policy coherence <sup>21</sup>. For example, there has been a global boom  
173 in hydropower dam construction with nearly 4,000 major dams, each with a capacity of more  
174 than 1 MW, either planned or under construction <sup>42</sup>. A nexus approach has been incorporated  
175 into a hydro-economic systems model and scenario analysis to investigate resource security and  
176 allocation in the Brahmaputra River Basin in South Asia which is home to 130 million people  
177 across four countries: Bhutan, China, India, and Bangladesh <sup>43</sup>. As in many large watersheds,  
178 there are conflicts of interest over water. The political economy of this watershed is complex as  
179 it contains two regional superpowers (China and India) as well as a much smaller country –  
180 Bhutan – that is dependent on hydropower generation for export income <sup>43</sup>. By tracking current  
181 and proposed water uses in the basin over space and time, the model elucidated the potential  
182 sustainability of alternative development pathways. Several insights emerged, including the need  
183 for thresholds of total allowable water diversion, which if exceeded would cause collapse of  
184 water security in the region <sup>43</sup>. Differences in the effects of human activities on different sectors  
185 were also revealed. For instance, China’s planned water diversions <sup>44</sup> would affect India’s water  
186 availability for rice production but not hydropower (which comes from other locations). Such  
187 information is useful for international water treaty negotiations that decide how water and  
188 associated benefits are divided among the multiple competing users. There are similar upstream-  
189 downstream relationships in other river basins such as the Ganges, Brahmaputra, Nile, and  
190 Meghna basins, where cooperative efforts are needed to address challenges such as floods,  
191 erosion, water storage facilities and demand, and spatial separation between hydropower  
192 potential and energy market <sup>45</sup>. Thus, it would be helpful to account for cross-sectoral and  
193 transboundary interlinkages in planning and governance to ensure system resilience and  
194 sustainability in the face of future uncertainties <sup>46</sup>.

195  
196 **Costs of nexus approaches:** The costs of nexus approaches are generally higher than those  
197 of silo approaches, but no quantitative information is available about the additional expertise,  
198 time, coordination, and financial resources required. Nexus approaches need expertise in all  
199 relevant sectors instead of just one sector. Furthermore, it is necessary to coordinate experts in  
200 different sectors. For example, research on food-energy-water nexus requires expertise on food,  
201 energy, and water as well as coordination of experts in these sectors. To accomplish the common  
202 overall goal, experts need to understand each other’s work. As a result, it takes more time and  
203 financial resources to conduct nexus research.

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205

### 206 **Implementation of Nexus Approaches**

207  
208 Nexus approaches are increasingly being used in quantitative research <sup>47</sup> and in policy  
209 implementation <sup>26,28</sup>. To help their operationalization, we propose five major steps (Figure 2),  
210 though noting different steps may be returned to more than once.

211

212 **Formulating nexus research goals.** Research goals may be motivated by practical  
213 problems that require understanding specific interrelationships among sectors or analyzing nexus  
214 dynamics in defined regions. More foundational work includes developing new nexus methods  
215 and exploring mechanisms underlying nexus dynamics. So far, nexus studies often have aimed to  
216 detect nexus co-benefits<sup>48</sup>, trade-offs,<sup>49</sup> and synergies<sup>34</sup> in order to optimize resource use and  
217 production and to achieve water security<sup>50</sup>, food security<sup>15,51</sup>, human health<sup>52</sup>, and energy  
218 security<sup>53</sup>. Most studies have focused on specific nexus questions<sup>54</sup> (e.g., How can we benefit  
219 across sectors from the association of the water sector with new technologies?<sup>26</sup>). Others have  
220 concentrated on solving specific problems<sup>56</sup> such as the sustainability of the food-energy-water  
221 nexus in BRICS (Brazil, the Russian Federation, India, China, and South Africa)<sup>57</sup>, while a few  
222 have tested specific hypotheses such as energy efficiency techniques can be extended to improve  
223 efficiency of other sectors, especially water<sup>58</sup>. However, no quantitative nexus studies have  
224 linked with specific SDGs.

225  
226 **Defining nexus systems of interest.** Systems(s) of interest can be socially or spatially  
227 defined or bounded. Their boundaries may be geographical, political, or administrative.  
228 Examples include studies on watersheds such as the nine river basins in Sri Lanka<sup>59</sup>, cities such  
229 as London, UK<sup>26</sup> and Bologna, Italy<sup>58</sup>, and countries like Brazil, the Russian Federation, India,  
230 China, and South Africa<sup>57</sup>. Defining systems using administrative and political rather than  
231 geographical boundaries has immediate policy relevance because policies are usually developed  
232 and implemented within those boundaries<sup>56</sup>. However, other criteria (e.g., geographical  
233 boundaries such as river basins) are also needed to define boundaries for managing  
234 transboundary resource stocks and flows that pose special judiciary difficulties and require  
235 unique political agreements.

236  
237 **Developing nexus conceptual frameworks.** Developing nexus conceptual frameworks  
238 is essential to clarify complex relationships across sectors and provide a foundation for further  
239 analysis. Many conceptual frameworks have been developed for nexus research. For food-  
240 energy-water nexus, food systems may include fisheries, aquaculture, and land-based agricultural  
241 production; energy systems may consist of geothermal, fossil fuels, hydro, shale gas, and  
242 renewables; and water resources may range from ground water, surface water, recycled and  
243 desalinated water to precipitation<sup>55</sup>. Another nexus framework put forward by the World  
244 Economic Forum<sup>50</sup> focuses on assessing risks across the sectors. Some other frameworks  
245 highlight key points of interest such as ecosystem services<sup>34</sup> or the role of stakeholders in  
246 achieving policy objectives<sup>60</sup>. However, few frameworks have integrated sectors across regions  
247 or made specific linkages to SDG goals, targets and indicators<sup>61</sup>. Furthermore, more efforts  
248 should be placed on integrating sociopolitical and biophysical processes to make the frameworks  
249 more applicable to the real world. An example is an actor-ecosystem services approach that  
250 integrated multi-level governance concepts in a livestock-biogas-drinking water system in  
251 Europe<sup>62</sup>.

252  
253 **Quantitatively analyzing nexus relationships.** Many methods can be used for  
254 quantitative nexus analysis. For example, nexus relationships can be represented by a suite of  
255 indices, in particular cross-resource or cross-sectoral input intensities such as the amount of  
256 energy used for food production (e.g., production of fertilizer) and water production (for  
257 pumping and extraction of water)<sup>22</sup>, amount of water for food production (especially irrigation)

258 and energy production <sup>63</sup>, and associated food security, energy security, and water security in  
259 food-energy-water studies <sup>64</sup>. Some studies have developed nexus indices that collapse the main  
260 nexus variables into a single number that is convenient for assessing different strategies and  
261 scenarios <sup>65</sup>. Some indices in nexus studies overlap with SDG indicators <sup>66</sup>, such as CO<sub>2</sub>  
262 emissions and environmental footprints <sup>26,29</sup>, facilitating direct connections between nexus  
263 research and SDGs.

264  
265 Nexus relationships can be analyzed using a variety of tools such as life cycle  
266 assessment, material flow analysis, input-output analysis <sup>67</sup>, Multi-sectoral System Analysis <sup>26</sup>,  
267 Integrated Assessment Models <sup>68</sup> and general linear model statistical analyses (Table 2). There  
268 are different types of data available, such as resource production, productivity, use, attitudes,  
269 intentions, and perceptions <sup>69</sup>, biophysical measurements <sup>69</sup>, and remote sensing data <sup>70</sup>. Data  
270 may come from different sources, including experiments, the literature, governmental agencies,  
271 and international organizations <sup>67</sup>. Different tools serve different purposes. For example,  
272 network analysis quantifies embodied energy and GHG emissions from irrigation through virtual  
273 water transfers in food trade <sup>71</sup>. Sankey diagrams <sup>72</sup> are useful to visualize the relationships and  
274 flows among different components. While multi-objective optimization has been used in other  
275 fields, the method has just begun to be used in food-energy-water nexus research and decision-  
276 making <sup>73</sup>, such as optimizing cropping pattern for maximum economic water and energy  
277 productivity and minimum use <sup>65</sup>.

278  
279 **Simulating nexus dynamics.** Nexus studies can benefit from computer simulations,  
280 which can evaluate temporal nexus dynamics (e.g., intergenerational trade-offs, time lags, and  
281 legacy effects) in the absence of long-term empirical data and project when SDGs may be  
282 achieved. Nexus models can elucidate the consequences of various scenarios such as different  
283 technology adoptions <sup>26</sup> and different levels of savings and cost effectiveness <sup>74</sup>. Scenarios can  
284 also identify complex and dynamic interactions such as temporal trade-offs <sup>75</sup>, co-benefits <sup>76</sup> and  
285 synergies <sup>70</sup> among different nexus sectors or components <sup>26,75</sup>. Model simulation results may  
286 include values of various environmental and socio-economic nexus indicators and indices.

287  
288 It is challenging to examine the performance of nexus models because outputs of all  
289 sectors and their interactions need to be evaluated. For model validation, empirical data are  
290 needed for assessing all nexus sectors and their interactions instead of just one focal sector as in  
291 “silo” models. More efforts are needed to evaluate how sensitive each sector and its interactions  
292 are to changes in other model components or how uncertainty associated with errors or lack of  
293 knowledge affects all sectors and their interactions <sup>74</sup>.

294  
295 **Engaging with stakeholders.** Working with relevant stakeholders to co-design, co-  
296 produce and co-implement the research <sup>77</sup> throughout all the steps illustrated above can enhance  
297 the relevance of research by incorporating experiences and needs from the stakeholders <sup>55</sup>.  
298 Stakeholder involvement is challenging <sup>78</sup> as it requires more time and money as well as more  
299 organizing and coordinating efforts, but it is essential to identify conflicts and solutions such as  
300 how the real-world conflicts might be overcome by nexus approaches. Although co-production  
301 of knowledge between scientists and stakeholders is not a new concept, it has gained more  
302 traction recently <sup>54</sup>. However, so far only a small proportion of studies have engaged  
303 stakeholders <sup>79</sup>. For example, stakeholders participated in collecting data for the simulation

304 model of water allocation for food production and hydropower generation in Sri Lanka ,  
305 reviewing and implementing the water allocation plan, and reviewing the implementation  
306 outcomes <sup>59</sup>. Another example is a study where researchers engaged in scenario building with  
307 local stakeholders in Ethiopia and Rwanda to hone the design of a nexus toolkit for addressing  
308 interrelated issues such as biomass use, hydropower, and irrigation <sup>80</sup>.

309

310

### Future Directions

311 Application and implementation of nexus approaches are still in their infancy. Below we  
312 identify major research gaps and offer suggestions for enhancing their applications to research,  
313 policy, governance, and management.

314 **Expanding nexus frameworks.** Nexus frameworks need to be expanded in several different  
315 ways. First, more and different sectors need to be included, such as the health impacts of  
316 alternative diets, of alternative energy sources, and of alternative crops and agronomic practices.  
317 Indeed, the numerous linkages among agriculture, diet, health, GHG emissions, biodiversity,  
318 water and energy are sufficiently strong that effective policies may need to consider all of these  
319 sectors simultaneously. So far, most nexus studies focus on two sectors, such as energy and  
320 water, water and food, food and energy, and food and biodiversity (Table 1). New efforts are  
321 underway to evaluate nexuses with three sectors (Table 1), such as the food-energy-water nexus.  
322 Few studies have included four or more sectors <sup>67,81</sup> (Table 1). As new sectors are added, the  
323 number of interactions among sectors increases greatly and it is important to evaluate the  
324 benefits and costs of adding more sectors.

325 Second, it is important to bridge nexuses across small and large scales or levels (integrating  
326 both top-down and bottom-up approaches). For instance, food-energy-water nexus at the state  
327 level may affect various sectors at the county as well as national and international levels.  
328 California is a major food producer and exporter, which requires substantial energy and water,  
329 yet it also experiences growing conflicts over water resources and shortages <sup>82,83</sup>. Food-energy-  
330 water connections at the level of California have important impacts on health, food, energy, and  
331 water policies at the national and international levels.

332 Third, more widely applicable nexus frameworks are needed to simultaneously address  
333 nexuses in multiple places and the increasing spatial separation between resource production and  
334 consumption, which may reallocate costs and benefits across different places. In other words,  
335 achieving SDGs in one place may enhance or compromise achieving SDGs in other places.  
336 However, the current nexus conceptual frameworks often focus on a specific place or context <sup>84</sup>.  
337 The new integrated framework of metacoupling (socioeconomic and environmental interactions  
338 across space) can account for nexuses within a specific place (intracoupling), between adjacent  
339 places (pericoupling), and between distant places (telecoupling) <sup>85</sup> (Figure 3). Each place can be  
340 viewed as a coupled human and natural system <sup>86</sup>. Sustainability is a coupled human and natural  
341 systems issue, not just a technical issue, although techniques such as quantitative methods and  
342 computer models can help address drivers and dynamics of sustainability challenges such as  
343 growth in population size and number of households, economic growth, power relations, and



344 policies <sup>87</sup> (Figure 3). The metacoupling framework takes an interdisciplinary perspective to  
345 examine socioeconomic and environmental causes (drivers) and effects of flows (e.g., movement  
346 of matter, energy, information, people, organisms, and capital) between systems like countries  
347 facilitated by various agents such as investors, traders and policy makers. It can help identify and  
348 explicate nexuses within as well as between adjacent and distant systems. For example, food  
349 trade can affect the food-water-energy nexus i) in food exporting countries by increasing water  
350 and energy use for the food produced, ii) in food importing countries by reducing water and  
351 energy use for the food consumed, and iii) globally by increasing or decreasing overall global  
352 resource use efficiency and associated environmental impacts.

353 Fourth, it would be useful to apply nexus approaches to SDG implementation. Nexus  
354 approaches can help achieve SDGs because SDG goals are interconnected <sup>88</sup> and linked with the  
355 sectors of a particular nexus. For example, the food-energy-water nexus is directly linked with  
356 SDGs 2 (zero hunger), 6 (clean water and sanitation), and 7 (affordable and clean energy) <sup>3,89</sup>.  
357 This nexus also directly or indirectly affects all other SDGs (Figure 1), such as improving human  
358 health and well-being (SDG 3) by enhancing water quality and quantity, bolstering food safety  
359 and nutrition, and energy security; advancing economic development (SDG 8) through using  
360 food system residues to generate bioenergy, treating polluted water using the bioenergy, and  
361 using treated water to grow food; and mitigating climate change (SDG 13) through increasing  
362 resource efficiency and reducing CO<sub>2</sub> emissions. As nexus frameworks can make the direct or  
363 indirect relationships with and between SDGs clear (Table 1 and Figures 1 and 3), they can  
364 enable integrated SDG implementation as requested in the Agenda 2030. Accordingly, nexus  
365 approaches can also monitor progress towards integrated SDG implementation.

366 **Incorporating overlooked drivers and regions.** Some important drivers of  
367 (un)sustainability and geographic regions have been overlooked in nexus research. Below we  
368 highlight household dynamics and marine and coastal regions.

369 Households are the basic units of consumption (and production in many regions) and need to  
370 be linked with nexus approaches to improve understanding of synergies and trade-offs. Human  
371 population size has been widely recognized as a major driver of nexus dynamics, e.g., as a key  
372 determinant of GHG emissions <sup>90</sup> and demand for resources <sup>37</sup> with their consequent effects on  
373 the environment. During the 20<sup>th</sup> century, global population increased by 270% but inflation-  
374 adjusted global per capita buying power increased by 360% <sup>91</sup>. Household size influences per  
375 capita buying power. As recent advances show <sup>92,93</sup>, household perspectives can offer new  
376 insights that differ from population perspectives because globally the number of households  
377 increases faster than population size. The faster increase in household numbers and in household  
378 incomes leads to dramatically higher environmental impacts and demands for resources <sup>94,95</sup>.  
379 Building on the many studies that have evaluated household consumption of different resources  
380 separately <sup>96-98</sup>, more work is needed to assess the synergistic effects of consuming resources  
381 (e.g., changing food consumption may alter energy and water consumption as energy and water  
382 are needed to prepare food). Evaluating household consumption of resources simultaneously may  
383 lead to more accurate estimates of global demand for resources, with implications for more  
384 effective policies.

385 Nexus research should also be expanded to marine and coastal systems. Nexus efforts to date  
386 have focused mainly on terrestrial and freshwater systems, although marine systems make up  
387 around 70% of the earth's surface and have great potential for providing food, energy, and other  
388 ecosystem services<sup>99</sup>. Some studies have linked marine and terrestrial processes, for example,  
389 work on desalination of sea water to mitigate freshwater scarcity<sup>100</sup>, seafood as a partial solution  
390 to food security<sup>101</sup>, and offshore oil drilling as an important energy source<sup>102</sup>. However, little is  
391 known about nexus synergies and trade-offs, or the full potential of marine and coastal systems,  
392 including nearshore or open ocean cages for aquaculture, to help meet global resource needs and  
393 environmental sustainability. Marine aquaculture increasingly depends on crop-based feeds that  
394 indirectly impact the terrestrial food-energy-water nexus. For instance, one study estimates that  
395 for one tonne of salmon production, standard feed with high levels of fish meal and oil used 30.0  
396 m<sup>3</sup> of water and 32,159 MJ of energy<sup>103</sup>. Estimates are similar in low-fishery feed which  
397 replaces fish meal and oil with plant-based sources (34.1 m<sup>3</sup> of water and 31,688 MJ of energy)  
398<sup>103</sup>. Thus, intensifications of aquaculture may cause an increase of nutrient pollution and degrade  
399 coastal and marine ecosystems, e.g. through higher hypoxia rates<sup>104</sup>. On the other hand, sourcing  
400 fish meal from open oceans damages marine ecosystems, and genetically modified (GM) crops  
401 with healthy lipid precursors may serve as a potential promising alternative<sup>105</sup>. Nexus  
402 approaches such as those applied in the Sahara Forest Project in the coastal zones of Qatar and  
403 Jordan could change conventional thinking and practices by contributing a new and  
404 transdisciplinary lens to the emerging field of “blue growth”<sup>106</sup> that promotes sustainable  
405 development across marine, coastal and terrestrial systems.

406 **Enlarging and diversifying toolboxes.** There have recently been several advances in  
407 qualitative methods to understand nexus issues, including institutional network analysis and  
408 environmental justice frameworks<sup>107,108</sup>. However, the development of comprehensive  
409 quantitative or mixed quantitative/qualitative toolboxes for nexus research has lagged behind.  
410 Although a number of quantitative methods have been “borrowed” from different disciplines<sup>109</sup>  
411 (Table 2), their applications to nexus research could be improved. Traditional methods used  
412 within the individual sectors such as life cycle assessment<sup>110</sup> or footprint analysis<sup>9</sup> often cannot  
413 fully capture cross-sector interactions. Future methods should be more diverse and have the  
414 power to implement comprehensive nexus frameworks (e.g., Figure 3), quantify complex  
415 systems, collect and integrate data on relevant factors from multiple sources, transfer results  
416 from case studies to other contexts, and scale up findings from local to global levels as well as  
417 scale down large-scale sustainability criteria to local policy- and decision-making<sup>111</sup>. Tools are  
418 needed to work across all spatial scales at which nexus problems should be governed and  
419 managed, and to identify which positive and negative outcomes of the nexus are local, regional,  
420 and global<sup>112</sup>. Common standards are also needed to allow comparisons among studies using  
421 compatible boundaries, scales, units, and methods to avoid mismatches and minimize differences  
422 in estimates.

423 In the age of “Big Data”, greater efforts should be made to integrate data across sectors of the  
424 nexus, including remotely sensed data such as those from the Global Earth Observation System  
425 of Systems (GEOSS<sup>113</sup>). Predicting future resource demand and availability can benefit from the  
426 integration of environment and development scenario projections such as those from Shared

427 Socioeconomic Pathways <sup>114</sup>. There is great potential to integrate existing “Big Data”  
428 frameworks together to create an interdisciplinary repository of “Big Nexus Data”. However,  
429 harmonizing data and indicators globally is quite a challenge, as the current indicator effort  
430 related to the SDGs demonstrates.

431 New integrative metrics and methods are needed to measure interrelationships across sectors  
432 <sup>115</sup>. Some metrics relate to efficiency and productivity, such as water and food per unit energy,  
433 water and energy per unit crop yield, and energy and food per unit water <sup>116</sup>. These simple  
434 metrics can serve as a foundation for building more comprehensive cross-sector metrics and  
435 models. Besides considering social values, there are also technical and political difficulties in  
436 terms of how to weight the importance of different resource productivities in both models and  
437 management planning. Addressing these issues can help develop comprehensive and effective  
438 guidance for nexus planning and governance.

439 Toolboxes need to integrate both the advances in qualitative analysis and quantitative  
440 modeling for adaptive nexus governance and management processes. These processes should  
441 address uncertainties systematically and support robust decision-making for achieving SDGs. As  
442 addressing SDGs will be associated with considerable uncertainties, improved methods are  
443 needed to assess, communicate and manage interlinked risks in the face of global change.

444 **Transforming policy-making, governance, and management.** Researchers and  
445 stakeholders need to have closer dialogues to co-generate knowledge relevant for policy- and  
446 decision-makers and other stakeholders <sup>117</sup>, and for complementing sector-focused approaches  
447 with nexus-based approaches in support of more integrated policies. Such an enhancement can  
448 facilitate coherence, complementarities, and coordination among sectors <sup>64</sup>, detect major  
449 constraints and potential leverage points for triggering change, and map feasible and effective  
450 pathways for addressing multiple SDGs.

451 Nexus approaches can help to reconcile the human health-, environment- and development-  
452 oriented goals and targets within and across the SDGs <sup>52,115</sup>. For example, circular economy  
453 concepts that reframe wastes as valued resources instead of negative production externalities can  
454 help reduce environmental footprints and enhance economic efficiencies <sup>118</sup> and improve  
455 livelihoods. New uses for byproducts such as biogas from waste and bioenergy from plants with  
456 crassulacean acid metabolism also hold promise <sup>118</sup>. Rethinking trade-offs between bioenergy  
457 and food security can promote further synergies. For instance, well-designed biofuel programs  
458 might have positive effects on food security through diversifying income sources, increasing  
459 energy for food supply chain and domestic use, and generating beneficial spin-off effects on  
460 water and other sectors <sup>119</sup>.

461 Nexus approaches that consider inter-sectoral and inter-regional interactions help to avoid  
462 “leakage” or “spillovers”, i.e., transferring problems from one sector or region to another instead  
463 of solving them <sup>120</sup>. Reflexive governance offers potential to implement such approaches because  
464 it encompasses networks of relevant actors such as policy-makers, entrepreneurs, and civil  
465 society from multiple sectors that reshape governance structures as situations change <sup>120</sup>. Under a  
466 reflexive governance framework, actors engage in self-reflection that considers social

467 relationships and broader institutional structure and functioning that can be modified over time.  
468 Nexus research can also help design systemic and flexible governance instruments that support  
469 integration both horizontally (across organizations at the same level) and vertically (across  
470 organizations at lower and higher levels) <sup>121</sup> and also across regions. One example is an  
471 integrative social network approach that identified complex synergies across different levels of  
472 institutional structure for food-energy-water nexus management in the Blue Nile river in Ethiopia  
473 <sup>14</sup>. Stakeholders engaged in participatory network mapping, which revealed the complexity of  
474 conflicts and communication bottlenecks over in-demand resources such as land for food, water,  
475 and energy development. Such instruments need to integrate market-based and network-based  
476 approaches that support innovative co-production of knowledge and learning <sup>121</sup>. They should  
477 better account for the political economy and social science aspects of decision-making in the  
478 nexus to guide and influence consumers' choices. By doing so, nexus approaches could also  
479 improve political stability among countries competing for common resources (e.g., water in the  
480 Middle East) by enhancing human securities while reducing environmental pressures and  
481 resource demand.

482 There are many cross-sectoral coordination challenges <sup>122</sup>. Barriers to coordination arise  
483 from rigid sectoral regulatory frameworks as well as planning and implementation procedures,  
484 entrenched domain interests and power structures, and established sectoral communication  
485 structures. Currently, most educational and management systems do not embrace cross-cutting  
486 expertise and instead conform to traditional "silo" approaches <sup>122</sup>. Few policy frameworks exist  
487 that explicitly address nexus coordination. To address this gap, the nexus concept is entering the  
488 center stage of debates among private, public, academic, and other stakeholders <sup>123</sup>. Changes  
489 need to be implemented among institutions to harmonize cross-sectoral policies, align strategies  
490 across sectors and incentives, encourage cross-sectoral investment, and encourage the  
491 development of an interdisciplinary knowledge base <sup>122</sup>.

492 Nexus governance should be closely connected with the integrated SDG implementation  
493 because nexuses are directly or indirectly related to all SDGs (Figure 1). Although some studies  
494 have recognized synergies and trade-offs among SDGs within a place <sup>124</sup>, little is known about  
495 the SDG interrelationships among different places <sup>85</sup>. There are explicit and implicit statements  
496 that the goals should be achieved everywhere. For instance, SDG 1 aims "to end poverty in all its  
497 forms everywhere" <sup>3</sup>. However, the gaps between the current conditions and SDGs are vastly  
498 different among countries <sup>125</sup>. Applying the metacoupling framework would help enhance  
499 contributions of nexus approaches to achieving SDGs within as well as across adjacent and  
500 distant places <sup>126</sup>. Other advances such as integrating Advanced Sustainability Analysis and  
501 network analysis to quantify synergies among SDG indicators across different places <sup>127</sup> shows  
502 promise and should be combined with the nexus approaches explored in this article.

503

504

## Conclusions

505 The nexus approaches highlight the need for and potential benefits of taking a broad, multi-  
506 sector, multi-scale and multi-regional perspective to solve global challenges, such as those

507 related to the SDGs. Although giving a name to this perspective may be viewed as the needless  
508 creation of a buzzword<sup>17,18</sup>, the reason for bestowing this terminology is to remind researchers  
509 and policy makers of the strong linkages among sectors, scales and regions and the potential  
510 need to be aware of trade-offs and to seek synergies when solving major problems. Nexus  
511 approaches can help uncover synergies and detect harmful trade-offs among different sectors,  
512 scales and regions, reveal unexpected consequences, and promote integrated planning, decision-  
513 making, governance, and management. As a result, they can help enhance cooperation and  
514 reduce conflicts among sectors, scales and regions, increase resource-use efficiency, and reduce  
515 wastes and pollutants. Management of cross-sectoral, cross-scale and cross-regional integration  
516 is a major issue in both nexus approaches and SDGs.

517 There are reasons for optimism but the challenges are also great: providing an anticipated  
518 global population of 10 to 11 billion in 2100 with sustainable resources will require new  
519 perspectives and strong partnerships among science, government, industry and citizens. More  
520 efforts are needed to develop, implement, and apply comprehensive nexus frameworks;  
521 incorporate overlooked drivers and regions; expand and diversify nexus toolboxes; and  
522 mainstream nexus approaches into policy-making, governance, and management. Because nexus  
523 approaches require a broader range of expertise, more data, more coordination among sectors  
524 and more resources, they are challenging to implement. The examples so far demonstrate that  
525 nexus approaches can be feasible. However, it will be important to determine those problems for  
526 which nexus approaches would provide sufficient added value to justify the added effort. By  
527 continuing to expand the implementation efforts, novel interventions will emerge to meet the  
528 resource demands of a richer and more populous world while maintaining human well-being and  
529 building a sustainable and resilient planet.

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927 All authors wrote and commented on the manuscript.

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### 930 **Competing interests**

931 The authors declare no competing interests.

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940 **Table 1. Nexus examples and direct relationships to Sustainable Development Goals**  
941 **(SDGs). Each example also has indirect linkages with many other SDGs as illustrated by**  
942 **food-energy-water nexus' linkages with all SDGs in Figure 1.**

Nexus example	SDGs
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Food-energy-water nexus <sup>32</sup>	
Water-food-energy-climate nexus <sup>128</sup>	
Food-energy nexus <sup>129</sup>	
Food-water nexus <sup>130</sup>	
Energy-water nexus <sup>131</sup>	
Energy-economic growth-CO2 nexus <sup>132</sup>	
Water-land-energy nexus	
Energy-water-food-education nexus <sup>133</sup>	
Water-energy-people nexus <sup>134</sup>	
Women-water nexus <sup>135</sup>	
Energy-poverty-climate nexus <sup>136</sup>	
Food, energy, water, and health nexus <sup>137</sup>	
Tourism growth-water security nexus <sup>138</sup>	
Food-biodiversity nexus <sup>139</sup>	
Mining-water nexus <sup>140</sup>	
Nexus between financial autonomy, service provision, stakeholder participation and the resultant allocation of water <sup>141</sup>	
Nexus of climate change, water and food security, energy and social justice <sup>142</sup>	
Nexus between water service provision and property development <sup>143</sup>	
Renewable energy consumption-economic growth nexus <sup>144</sup>	
Urban-water-energy-climate nexus <sup>145</sup>	

944 **Table 2. Example methods used in food, energy, and water (FEW) nexus quantifications.**  
 945 Note that many studies integrate multiple different methods (e.g., physical models and economic  
 946 models were integrated to create multi-sector systems models).

Method	Functioning	Examples
<b>Biogeophysical model</b>	Investigates biogeophysical processes related to FEW	<ul style="list-style-type: none"> <li>• Linking hydrological (VMod), meteorological, floodplain (EIA 3D model), and climatological models (GCMs) to investigate consequences of changes in a watershed for local economies (with respect to FEW resources) <sup>146</sup></li> <li>• Hydrological models (HYMOD_DS) are used to simulate changes and implications for FEW in the Brahmaputra River Basin, South Asia under different scenarios <sup>43</sup></li> </ul>
<b>Production model</b>	Represents the amount of a FEW resource produced in different scenarios	<ul style="list-style-type: none"> <li>• Tradeoff frontier models- Investigation of rice paddy and hydroelectric production in Sri Lanka under different management regimes <sup>75</sup></li> </ul>
<b>Life cycle assessment (LCA)</b>	Evaluates “the inputs, outputs and potential environmental impacts of a product, process or system throughout its entire life” <sup>110</sup>	<ul style="list-style-type: none"> <li>• Investigation of the impact of agricultural production and evolving renewable energy programs on the FEW nexus in Qatar <sup>110</sup></li> <li>• Assessment of the impact of Kellogg Europe cereal production on FEW (GaBi Software) <sup>33</sup></li> </ul>
<b>Ecological footprint (or water/energy footprint) analysis</b>	Evaluates the total environmental impact of a product or activity on FEW systems (normally in terms of area or natural capital)	<ul style="list-style-type: none"> <li>• Calculations of water and energy footprints for different agricultural products grown in Nepal <sup>147</sup></li> <li>• Estimates of the water footprint of energy use in California <sup>83</sup></li> </ul>
<b>Material or resource flow analysis (MFA/RFA)</b>	Quantifies flows and stocks or materials/resources in a FEW system	<ul style="list-style-type: none"> <li>• Analysis of water fluxes, deforestation, and energy flows for cooking and heating in Uganda using Sankey diagrams- implications for food security <sup>51</sup></li> </ul>
<b>Econometric model</b>	Probabilistic modeling used to predict economic variables affecting FEW; often used for forecasting	<ul style="list-style-type: none"> <li>• Analysis of the impact of energy demand and water availability on food security in BRICS countries (Brazil, Russia, India, China, South Africa) using panel econometric models <sup>57</sup></li> </ul>



<b>Cost-benefit analysis (CBA)</b>	Evaluates strengths and weaknesses of alternatives of a measure or action for FEW using a business framework	<ul style="list-style-type: none"> <li>• Evaluation of costs and benefits of alternative irrigation technologies for FEW in Nepal <sup>147</sup></li> </ul>
<b>Supply chain analysis</b>	Investigates inputs and outputs across all stages of a product's production as it moves from primary production through supplier to customer in FEW systems	<ul style="list-style-type: none"> <li>• Investigation of sources of waste in all three sectors of global FEW and targeting points in the supply chain to improve efficiency <sup>148</sup></li> </ul>
<b>Input-output model</b>	Quantifies the economic relationship (monetary flows) between two entities (or sectors) as related to FEW	<ul style="list-style-type: none"> <li>• Quantification of two-way interdependencies among food, energy, and water and their implications for resilience of FEW systems; application to evaluating new policies such as organic farming <sup>149</sup></li> </ul>
<b>Computable General Equilibrium (CGE) Model</b>	Estimate how an economy responds to changes in FEW policy or other factors by following a general equilibrium paradigm	<ul style="list-style-type: none"> <li>• Prediction of potential future scenarios of Australia's environment and economy under different FEW and climate conditions <sup>32</sup></li> </ul>
<b>Agent-based model</b>	Models actions and interactions among individual actors and their impacts on FEW systems	<ul style="list-style-type: none"> <li>• Analysis of diverse FEW-related factors affecting individual farmer decision-making in the Midwestern USA with particular focus on biofuel crops <sup>150</sup></li> </ul>
<b>Systems model</b>	Examines relationships among multi-sectoral FEW systems, often incorporates scenario analysis and decision support tools	<ul style="list-style-type: none"> <li>• Multi-sectoral analysis (MSA) of urban FEW use, flows, and resource metabolism in London- towards strategies for better resource efficiency <sup>26</sup></li> <li>• Integrated assessment models such as PRIMA models <sup>47</sup> or CLEWs models <sup>68</sup> that integrate across multiple systems (e.g. climate, hydrology, agriculture, land use, socioeconomics, and energy systems) to make policy assessments</li> <li>• Other systems models include BRAHEMO <sup>43</sup>, WEF Nexus Tool 2.0 <sup>28</sup>, Foreseer Tool <sup>51</sup>, and WEF SI <sup>115</sup></li> </ul>

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### Figures

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**Figure 1. Impacts of nexus approaches on Sustainable Development Goals.** The figure illustrates that the food-energy-water nexus approach can influence the achievement of all SDGs directly or indirectly through helping strengthen synergies and reduce trade-offs and creating cascading effects beyond food, energy and water sectors.

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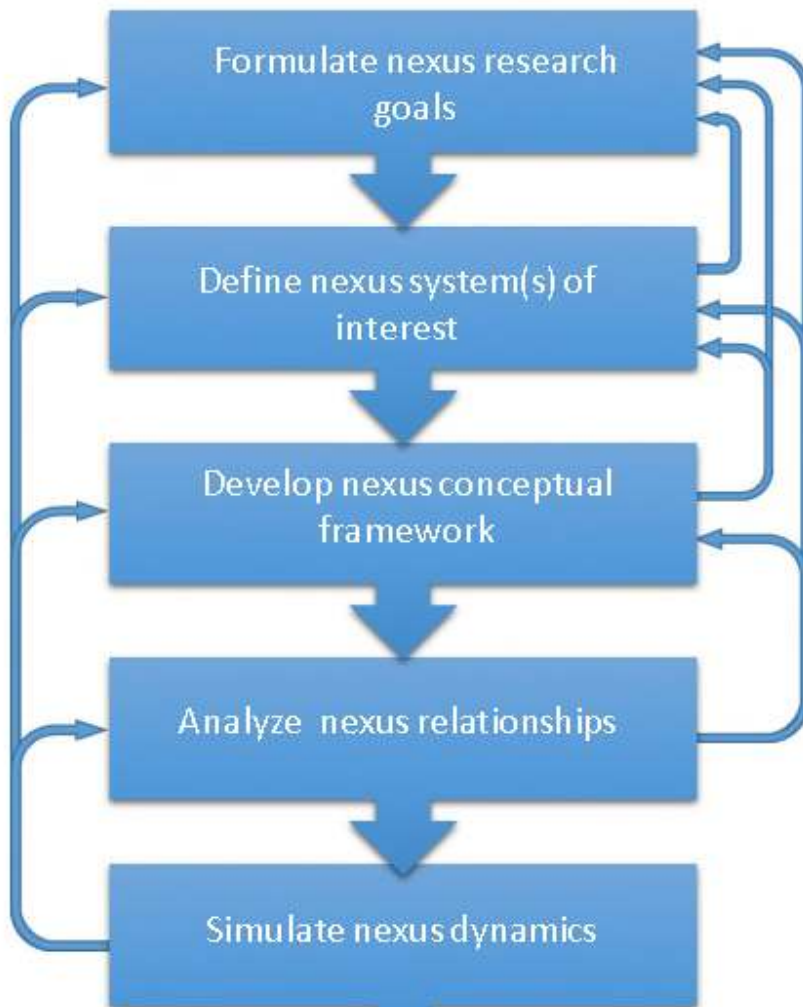
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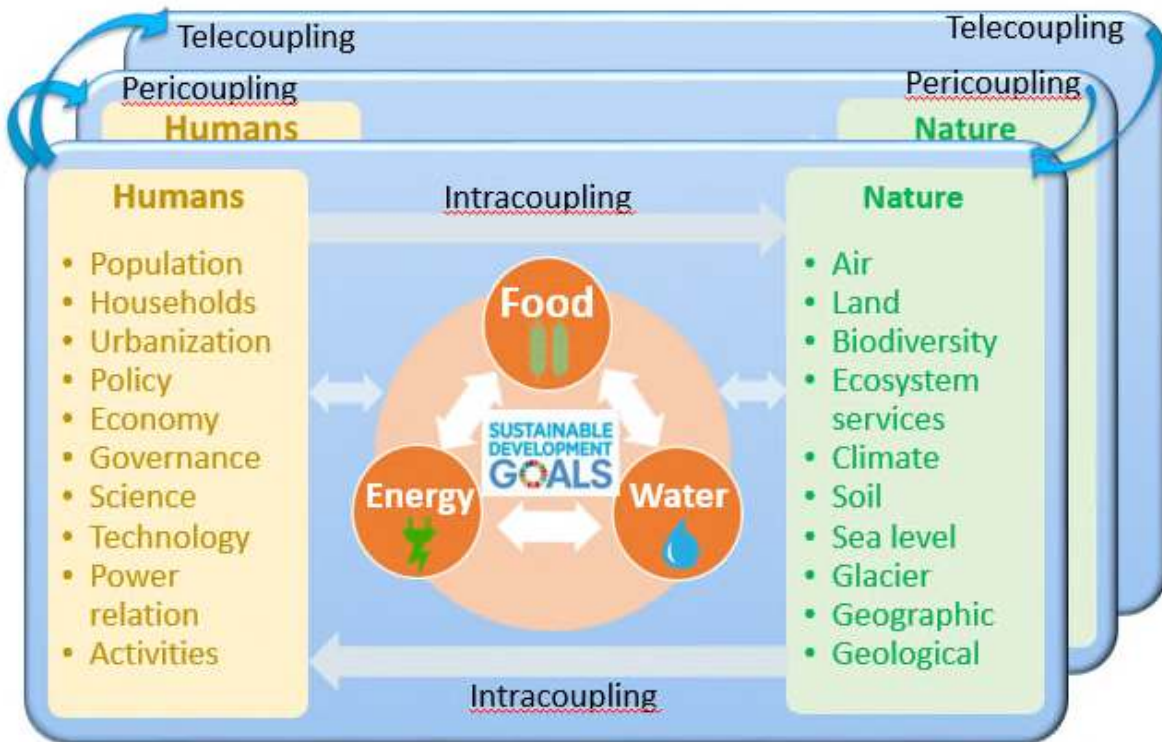
**Figure 2. Five major steps involved in implementing nexus approaches.** Stakeholders may be engaged throughout all the steps.



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**Figure 3. Conceptual framework of nexus approaches (using food-energy-water nexus as an example) across metacoupled human and natural systems.** It includes intracoupling – human-

976 nature interactions within a coupled human and natural system, pericoupling – human-nature  
 977 interactions between adjacent coupled systems, and telecoupling – human-nature  
 978 interactions between distant coupled systems. Each coupled system consists of two major subsystems  
 979 (humans and nature) and includes a wide range of drivers such as population, economic growth,  
 980 urbanization, power relations, and conflicting goals The nexus is directly or indirectly connected  
 981 with all Sustainable Development Goals. For the sake of simplicity, dynamics over time and  
 982 differences at organizational levels are not shown.



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