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Niche pre-emption increases with species richness in experimental plant communities

Abstract

In plant communities, invasion resistance may increase with diversity because empty niche space decreases simultaneously. However, it is not clear if this only applies to exotic species or also to native species arriving at a site with few other native species during community assembly. We tested the latter by transplanting four native species into experimental grassland communities varying in species richness from 1-16 (260) species. In addition, we tested the hypothesis that invasion is less successful if the invading species belongs to a functional group that is already present in the community. The test invaders included a grass species (*Festuca pratensis*, FP), a short (*Plantago lanceolata*, PL) and a tall herb species (*Knautia arvensis*, KA), and a legume species (*Trifolium pratense*, TP). The same four functional groups also occurred alone or in all possible combinations in the different experimental communities. The overall performance of the transplants was negatively related to the logarithm of the species richness of host communities. Plant biomass declined by 58%, 90%, 84% and 62% in FP, PL, KA and TP, respectively, from monocultures to 16-species mixtures, indicating lower invasiveness of the two herbs than of the grass and the legume. Resident grasses showed a strong negative effect on the performance of all test invaders, whereas resident small and tall herbs had neutral, and resident legumes had positive effects. The case of the legumes indicates that contributions to invasion resistance need not parallel invasiveness. Communities containing resident species of only one functional group were most inhibitive to transplants of the same functional group. These results indicate that invasion resistance of experimental plant communities is related to the degree of niche overlap between resident species and invaders. This niche overlap can be high due to generally low amounts of empty niche space in species-rich resident communities or due to the occurrence of the same functional group as the one of the invader in the resident community. Stronger within- than between-functional-group invasion resistance may be the key mechanism underlying diversity effects on invasion resistance in grassland and other ecosystems at large.

1 **Niche pre-emption increases with species richness in experimental plant**
2 **communities**

3
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1 Running headline:

2 **Niche pre-emption and invasion resistance**

1 **Abstract**

2 1. In plant communities, invasion resistance may increase with diversity because
3 empty niche space decreases simultaneously. However, it is not clear if this only applies
4 to exotic species or also to native species arriving at a site with few other native species
5 during community assembly. We tested the latter by transplanting four native species into
6 experimental grassland communities varying in species richness from 1–16 (–60) species.
7 In addition, we tested the hypothesis that invasion is less successful if the invading
8 species belongs to a functional group that is already present in the community.

9 2. The test invaders included a grass species (*Festuca pratensis*, FP), a short
10 (*Plantago lanceolata*, PL) and a tall herb species (*Knautia arvensis*, KA), and a legume
11 species (*Trifolium pratense*, TP). The same four functional groups also occurred alone or
12 in all possible combinations in the different experimental communities.

13 3. The overall performance of the transplants was negatively related to the logarithm
14 of the species richness of host communities. Plant biomass declined by 58%, 90%, 84%
15 and 62% in FP, PL, KA and TP, respectively, from monocultures to 16-species mixtures,
16 indicating lower invasiveness of the two herbs than of the grass and the legume.

17 4. Resident grasses showed a strong negative effect on the performance of all test
18 invaders, whereas resident small and tall herbs had neutral, and resident legumes had
19 positive effects. The case of the legumes indicates that contributions to invasion
20 resistance need not parallel invasiveness. Communities containing resident species of
21 only one functional group were most inhibitive to transplants of the same functional
22 group.

1 5. These results indicate that invasion resistance of experimental plant communities
2 is related to the degree of niche overlap between resident species and invaders. This niche
3 overlap can be high due to generally low amounts of empty niche space in species-rich
4 resident communities or due to the occurrence of the same functional group as the one of
5 the invader in the resident community.

6 6. Stronger within- than between-functional-group invasion resistance may be the
7 key mechanism underlying diversity effects on invasion resistance in grassland and other
8 ecosystems at large.

9

10 *Key words:* diversity effects; invasion resistance; invasiveness; niche overlap;

11 phytometers; plant functional groups; The Jena Experiment

1 **Introduction**

2 Understanding the mechanisms behind the relationship between resident species richness
3 and the establishment of non-resident species (i.e. invaders in the broad sense) in natural
4 communities is a major goal in ecology. This relationship has potential applications in
5 conservation, restoration and prediction of community invasion resistance. Elton (1958)
6 and Levine & D'Antonio (1999) provide evidence for a generally negative relationship
7 between diversity and the likelihood that an intruder will be able to establish itself in a
8 community. Such relationships have been found in a large number of experimental
9 studies using temperate plant communities (Tilman, 1997; Knops *et al.*, 1999; Joshi *et al.*,
10 2000; Naeem *et al.*, 2000; Prieur-Richard *et al.*, 2000; Diemer & Schmid, 2001; Kennedy
11 *et al.*, 2002; Pfisterer *et al.*, 2004). In contrast, observational studies, which necessarily
12 assess invasion by exotic invaders, often report higher numbers of invading species in
13 species-rich than in species-poor plant communities (Stohlgren *et al.*, 1999; Stadler *et al.*,
14 2000; Pysek *et al.*, 2002; Stohlgren *et al.*, 2002), though Stohlgren *et al.* (1999) found the
15 opposite at one site in their study. The results of observational studies may be attributed
16 to uncontrolled extrinsic factors, whose effect on native and exotic species is the same
17 (Stohlgren *et al.*, 1999; Levine, 2000; Shea & Chesson, 2002). Additionally,
18 observational studies mostly analyse the number of invading species (e.g. Stohlgren *et*
19 *al.*, 1999; Meiners *et al.*, 2004) whereas many experimental studies also assess the
20 performance of particular invaders (see e.g. Prieur-Richard *et al.*, 2000; Diemer &
21 Schmid, 2001; Hector *et al.*, 2001).

22 In most cases, species richness is the only component of diversity manipulated in
23 experimental studies (e.g. Prieur-Richard *et al.*, 2000; Troumbis *et al.*, 2002), though

1 some studies have demonstrated the importance of functional diversity in competitive
2 suppression of invaders (Crawley *et al.*, 1999; Hector *et al.*, 2001; Prieur-Richard *et al.*,
3 2002; Xu *et al.*, 2004; Fargione & Tilman, 2005). Functional groups are sets of species
4 (not necessarily taxonomic) that show close similarities in traits related to ecosystem
5 functioning, e.g. traits related to resource uptake and biomass production. Increasing
6 evidence suggests that the influence of functional diversity in a community might be
7 more important than pure species richness (Diaz & Cabido, 2001; Garnier *et al.*, 2004;
8 Heemsbergen *et al.*, 2004; Petchey *et al.*, 2004).

9 It is supposed that empty niche space (Hutchinson, 1957) declines with increasing
10 species richness in a community (MacArthur, 1970). As a consequence, species-rich
11 communities can utilize the total resources available in a biotope more completely than
12 do species-poor communities (e.g. Scherer-Lorenzen *et al.*, 2003; Dimitrakopoulos &
13 Schmid, 2004), thereby pre-empting resources for potential invaders (Tilman, 1999;
14 Hector *et al.*, 2001; Fargione *et al.*, 2003). This effect occurs because, generally, an
15 increase in species richness should also increase functional richness, suggesting that the
16 number of functional groups in an experimental community may be a good predictor of
17 these diversity effects. Conversely, the effect should be minimal if species richness is
18 increased without increasing the number of functional groups at the same time. In
19 addition, a community should be more resistant to invaders belonging to functional
20 groups already present among the resident species (e.g. Fargione *et al.*, 2003; Turnbull *et*
21 *al.*, 2005).

22 In most cases, invasion studies compare a set of species used as test invaders with a
23 separate set of species used as residents of host communities (Tilman, 1997; Knops *et al.*,

1 1999; Hector *et al.*, 2001; Prieur-Richard *et al.*, 2002; Fargione *et al.*, 2003; Pfisterer *et*
2 *al.*, 2004, but see Turnbull *et al.* 2005). This approach mimics biological invasions into
3 communities by exotic species. For example, the average competitive ability of exotic
4 invaders may change with diversity (e.g. Bossdorf *et al.*, 2004; Colautti *et al.*, 2004; e.g.
5 Vila & Weiner, 2004; Hierro *et al.*, 2005). Here we do not analyze exotic species
6 invasions but rather invasion as a process of community assembly within a pool of native
7 species. In this case, because the host communities and invaders belong to the same
8 species pool, it is possible to distinguish between the *invasiveness* of a particular species
9 or functional group as an invader and its contribution to *invasion resistance* of the host
10 community within the same experiment.

11 Using this approach, we selected four native species representative of four
12 functional groups used in a biodiversity experiment as test invaders or “phytometers”.
13 Specifically, we wanted to find out: (a) if increasing species richness or number of
14 functional groups in plant communities suppresses the performance of invaders; (b)
15 whether the presence of a particular functional group in a host community enhances
16 suppression of the test invaders; and (c) whether the test invaders are most suppressed by
17 host communities containing species belonging to the same functional group.

18

19 **Materials and methods**

20 Our study was part of a large biodiversity experiment, The Jena Experiment in Germany
21 (50°55' N, 11°35' E, 130 m altitude). This experiment was established in May 2002 on a
22 former agricultural field in the flood plain of the Saale river (Roscher *et al.*, 2004). Plant
23 communities were assembled by constrained random selection from a pool of 60 species

1 typical to Central European mesophilic grasslands. The species were categorized into the
2 four functional groups: grasses (16 species), small herbs (12 species), tall herbs (20
3 species), and legumes (12 species), based on multivariate analyses of their traits (Roscher
4 *et al.*, 2004). Analyzed traits included growth form (6 binary traits), lateral clonal spread,
5 height of vegetative and flowering plant, leaf size, depth and type of root system, life
6 cycle, seasonality of foliage, onset and duration of flowering and nitrogen fixation.
7 Seventy-eight plots, each measuring 20 x 20 m, were sown with 1, 2, 4, 8, or 16 species.
8 A factorial design was formed with all possible species richness x functional group
9 richness mixtures. At each level of species richness, 16 replicate mixtures with different
10 species composition were established, except at the highest level with 14 replicates only.
11 Four additional large plots contained mixtures of all 60 species in the pool. The field was
12 partitioned into four blocks following a gradient in soil characteristics perpendicular to
13 the river (Roscher *et al.*, 2004). The plots were mowed twice a year (June, September), as
14 is typical for this type of grassland ecosystem, and weeded twice a year to maintain the
15 original species composition. Mowing and weeding were done block-wise such that these
16 management effects could be accounted for with the block term in statistical analysis.

17 Our test invaders were pre-grown phytometer individuals of four species that also
18 occurred in a large number of experimental communities as resident species. Clements
19 and Goldsmith (1924) introduced the term “phytometer” for test plants that were used to
20 measure environmental factors. Each of the four species belonged to a functional group
21 used in the experiment: *Festuca pratensis* Huds. (grass), *Plantago lanceolata* L. (small
22 herb), *Knautia arvensis* L. (tall herb) and *Trifolium pratense* L. (legume). They are all

1 perennial plant species, form clearly defined compact individuals and are relatively easy
2 to transplant.

3 In mid-March 2003, we germinated the phytometers on moist filter paper in a
4 greenhouse. Individual seedlings were planted in 132-cm³ cells of potting trays filled with
5 a soil-compost-perlite mixture (3:2:1 in terms of volumes), and were exposed to a 14-h
6 light regime with 22°C day temperature and 15°C night temperatures. In mid-April 2003,
7 most of the plants had 4–7 leaves. We placed them outside the greenhouse for hardening
8 and one week later transplanted them into the experimental communities. Five
9 phytometer individuals of each test species were randomly allocated to positions at 28-cm
10 intervals in a 2 x 2-m subplot within each large plot and the initial size determined by
11 counting their number of leaves and number of ramets (the latter only for *F. pratense* and
12 *T. pratense*). Transplanted phytometers were marked by fixing numbered plastic labels
13 next to the plants to ease identification during data collection.

14 In mid-August, in addition to counting the number of leaves, we measured the
15 maximum height of the phytometers. For *T. pratense* and *F. pratensis*, we also counted
16 the number of ramets as before. We calculated the relative growth rate of the transplants
17 using the formula

$$18 \quad RGR = (\ln l_{t_2} - \ln l_{t_1}) / d,$$

19 where l_{t_2} is the mean number of leaves in August, l_{t_1} is the mean number of leaves in
20 April and d is the length of time interval in days (Harper, 1977). As a measure of plant
21 fitness, in August, we also counted the number of inflorescences of *P. lanceolata* and *T.*
22 *pratense* transplants. No individuals of *F. pratensis* or *K. arvensis* were flowering at this
23 time. In the last week of August 2003, shortly before mowing, transplants were cut at 3

1 cm above the ground and dried at 70°C for at least 48 h to determine the average biomass
2 of each transplant species per plot. In early June 2004, we once again measured the height
3 of the transplants, counted the number of inflorescences in *P. lanceolata* and *T. pratense*,
4 which were flowering at this time, and harvested the transplants per species per plot to
5 determine the average aboveground biomass as described above.

6 Before each harvest, we determined the leaf area index (LAI) of the resident
7 community in an undisturbed area next to the phytometers using an LAI-2000 Plant
8 Canopy Analyzer (LI-COR Inc., Lincoln, Nebraska, USA).

9

10 *Statistical analysis*

11 We used general linear models with sequential sum of squares (Type I) for data analysis
12 using Genstat 6th Edition, Release 6.2. (Payne *et al.*, 2002). Since individual plants were
13 pseudo-replicates within plots, we analysed means of the response variables plant
14 biomass, plant height, number of leaves and relative growth rate. The data were
15 transformed if residuals showed deviation from the normal distribution. According to the
16 experimental design, the analysis of variance (ANOVA) model consisted of the terms
17 block, sown species richness (partitioned into log-linear contrast and deviation from log-
18 linear contrast), functional group richness, species composition, phytometer species and
19 phytometer species x diversity interactions. Separate contrasts for the presence/absence of
20 each functional group and their interactions with species richness were tested in
21 alternative models. Similarly, separate contrasts were made to compare each phytometer
22 species and its interactions with diversity terms against the other three phytometer
23 species. The diversity terms (species richness, functional group richness, presence of

1 particular functional groups) had to be tested at the between-plot level (Error =
2 composition) whereas phytometer terms and their interactions with diversity terms could
3 be tested at the within-plot level (Schmid *et al.*, 2002). We also analysed the data of each
4 phytometer species separately. To determine if the effect of diversity terms was related to
5 a change in the leaf-area index (LAI) of the community we did post-hoc analyses with
6 LAI as a covariate. In addition, LAI was tested as a dependent variable itself, using the
7 between-plot ANOVA as explained above.

8 To test if the phytometer species were more affected by their own than by other
9 functional groups, we used a reduced data set of communities with only one functional
10 group (n = 34 plots). To do this, the resident x transplant functional group interaction was
11 decomposed into a “home versus away” contrast and remainder (taking all “away”
12 treatment combinations together; see Table 3). To illustrate the home versus away
13 contrast we use an equivalent of the relative-neighbour-effect of (Markham & Chanway,
14 1996), using the formula $(P_h - P_a) / \max(P_h, P_a)$. Here, P_h is the performance (e.g. biomass)
15 of phytometers in communities with their own functional group (home), P_a the
16 performance in communities with other functional groups (away) and $\max(P_h, P_a)$ is the
17 larger of the two.

18

19 **Results**

20 *Effects of species richness and functional richness*

21 Except for plant height in *P. lanceolata* and *K. arvensis*, the measured morphological
22 variables of phytometers were highly correlated with their aboveground biomass (Table
23 1), indicating that the latter is a good measure of overall phytometer performance.

1 At the first harvest in summer 2003, i.e. 4 months after transplanting, the
2 performance of phytometer individuals was negatively related to the logarithm of sown
3 species richness (reduced number of leaves or number of ramets, reduced biomass and
4 reduced growth rate, Fig. 1a, c, d and Table 2a). The height of the phytometers was,
5 however, not affected and even increased with the logarithm of species richness in one of
6 the phytometer species (*F. pratensis*; $F_{1,70} = 9.30$, $p < 0.01$ in separate analysis),
7 suggesting a typical allometric response to increased competition for light (etiolation); i.e.
8 a faster increase in height, independent of size (Fig. 1b, Table 2a; see also lower
9 correlations of plant height than of other variables with biomass in Table 1). The length
10 of the leaves in *F. pratensis* also increased with increasing species richness ($F_{1,73} = 15.64$,
11 $p < 0.001$). The influence of resident species richness on phytometer performance varied
12 among phytometer species; the herbs (*P. lanceolata* and *K. arvensis*) were more strongly
13 affected than the grass (*F. pratensis*) and the legume (*T. pratense*) (see species richness x
14 phytometer species (PS) interaction in Table 2a). The negative effect of species richness
15 on phytometer aboveground biomass was still significant in spring 2004 ($F_{1,73} = 27.80$, p
16 < 0.001 , Fig. 2b), but again plant height was not affected by species richness ($F_{1,73} = 1.19$,
17 $p < 0.172$, Fig. 2a).

18 Functional richness had no effect on the performance of the phytometers after
19 controlling for species richness in both seasons ($p > 0.05$). By contrast, if fitted before
20 species richness, functional richness also had significant negative effects on all
21 phytometer variables except height (aboveground plant biomass: $F_{1,67} = 4.74$, $p = 0.03$;
22 plant height: $F_{1,67} = 1.38$, $p = 0.24$; number of leaves: $F_{1,67} = 7.13$, $p = 0.01$; growth rate:
23 $F_{1,67} = 6.12$, $p = 0.01$; Fig. 1e-h); and in addition the species richness effects remained

1 significant ($p < 0.05$) except for plant height, as before. The pattern was the same in
2 spring 2004 (Fig. 2c and d). This highlights the importance of species richness even if
3 functional richness in statistical terms is “held constant”, i.e. the species richness effect
4 remains negative within a particular level of functional richness.

5 Separate analyses showed that increasing species richness (log-scale) led to a
6 significant reduction in number of inflorescences per plant in *P. lanceolata* ($F_{1,70} = 25.58$,
7 $p < 0.001$) and *T. pratense* ($F_{1,69} = 6.07$, $p = 0.01$), the two phytometer species which
8 flowered before the first harvest in August 2003 (Fig. 3a). The same negative effect of
9 species richness on number of inflorescences per plant was observed in *P. lanceolata*
10 ($F_{1,69} = 18.31$, $p < 0.001$) and *K. arvensis* ($F_{1,68} = 18.88$, $p < 0.001$) in spring 2004 (Fig.
11 3a). Again, the effect of functional richness on the number of inflorescences was not
12 significant after controlling for species richness, but it was highly significant if fitted first
13 (summer 2003: *P. lanceolata*; $F_{1,70} = 11.15$, $p < 0.001$, *T. pratense*; $F_{1,69} = 6.13$, $p =$
14 0.016 ; spring 2004: *P. lanceolata*; $F_{1,69} = 12.04$, $p < 0.001$, *K. arvensis*; $F_{1,68} = 14.71$, $p <$
15 0.001 ; Fig. 3b), with the effect of species richness fitted afterwards again remaining
16 significant ($p < 0.01$).

17

18 *Effects of the presence of particular functional groups*

19 The presence of grasses or legumes in the host communities had significant overall
20 effects on phytometers, but this was not the case for the other two functional groups
21 (Table 2a). Grasses significantly reduced number of modules (number of leaves or
22 number of ramets), aboveground biomass, and growth rate of all the phytometer species
23 (Table 2a) as well as number of inflorescences in *P. lanceolata* in summer 2003 ($F_{1,68} =$

1 5.66, $p = 0.02$) and spring 2004 ($F_{1,67} = 7.56$, $p = 0.008$). For example, in summer 2003,
2 the average biomass of an individual phytometer (all species together) was 0.8 g in plots
3 with grasses compared to 1.9 g in plots without grasses (Fig. 4). In spring 2004, the
4 figures were 3.5 g and 10.8 g for plots with and without grasses respectively. The
5 presence of legumes had an overall significantly positive effect on the performance of the
6 phytometer species (Table 2a, Fig. 4 and 5). Separate analysis for each phytometer,
7 however, revealed that the presence of legumes actually reduced aboveground biomass of
8 the legume phytometer, *T. pratense*, at least in the spring 2004 ($F_{1,61} = 8.97$, $p = 0.004$).
9 The negative effect of legume presence on the legume phytometer as opposed to a
10 positive effect on the other phytometers is also evident in the significant contrast
11 interaction legume presence x *T. pratense* (LG x TP in Table 2b) and when inspecting the
12 last rows in figure 4 and figure 5.

13 There were no significant interactions between species richness and the presence
14 of particular functional groups in the communities on phytometer performance. We
15 mention this explicitly because such interactions might be expected if the sown
16 proportion of a functional group would influence invasion resistance; where present, the
17 proportion of a functional group decreases with increasing species richness.

18 In both seasons, the leaf area index (LAI) of the resident community increased
19 with the logarithm of species richness (August 2003: $F_{1,68} = 6.42$, $p = 0.014$, May 2004:
20 $F_{1,70} = 6.70$, $p = 0.012$) but was not affected by functional richness. Although there was
21 no effect of the presence of any functional group on LAI in August 2003, in May 2004,
22 LAI was high in mixtures containing legumes ($F_{1,68} = 28.01$, $p < 0.001$) and low in
23 mixtures containing small herbs ($F_{1,68} = 6.52$, $p < 0.013$). This suggests that belowground

1 competition may be responsible for the observed high suppression of phytometers in
2 communities containing grasses. As a covariate, in August 2003, LAI had significant
3 negative effects on number of leaves and growth rate, positive effects on plant height (P
4 < 0.05) but neutral effects on biomass of the phytometers. In May 2004 however, LAI
5 had negative effects on aboveground biomass, plant height, and number of ramets of the
6 phytometers ($P < 0.05$). However, where present, the effects of LAI did not explain the
7 significant effects of species richness; that is, species richness effects remained
8 significant after controlling for the effect of LAI.

9

10 *Effects of the functional group of the phytometer species*

11 As suggested by hypothesis (c) in the Introduction, comparing the suppression of
12 invaders by communities containing different functional groups is not the same as
13 looking at the performance of invaders belonging to different functional groups. In the
14 first case (hypotheses (a) and (b) in the Introduction), the panels in Fig. 4 and Fig. 5 are
15 compared row-wise, in the second case they are compared column-wise. If the two
16 approaches are combined, the performance of particular phytometer species in
17 assemblages containing only species of its functional group can be compared with its
18 performance in assemblages containing only the other functional groups (–1 diagonal in
19 Fig. 4 and Fig. 5). We refer to this as a “home-vs.-away” contrast (see e.g. Joshi *et al.*,
20 2001; Turnbull *et al.*, 2005), for which hypothesis (c) predicts a particularly strong
21 negative effect.

22 The effect of different single-functional-group assemblages on number of
23 modules (leaves or ramets), aboveground biomass and growth rate of the phytometers

1 was similar (Table 3). However, the height of the phytometers significantly differed
2 among these assemblages: it increased from grass < small-herb < tall-herb < legume
3 communities, suggesting that competition for light increased in this order. Overall, the
4 two herbaceous phytometer species were least affected by differences between these one
5 functional group assemblages, whereas the grass (*F. pratensis*) and the legume (*T.*
6 *pratense*) phytometer were more affected by these differences. This is evident in Fig. 5
7 by comparing differences between open and filled symbols in monocultures. A contrast
8 between monocultures versus multi-species assemblages containing one functional group
9 showed that the number of modules (leaves or ramets), aboveground biomass and growth
10 rate of the phytometers was significantly lower in the latter (Table 3). This reinforces the
11 statistical observation made above, that competitive suppression increases with species
12 richness of a community even if functional richness is held constant, in this case at the
13 lowest level. The home-disadvantage was similar in mono-specific and multi-species
14 single-functional-group assemblages (interaction home x mono not significant in Table
15 3).

16 Except for plant height, the home vs. away contrast almost fully explained the
17 resident functional group x phytometer species interactions (Table 3). That is, as
18 predicted, the phytometers had significantly lower performance when transplanted into
19 assemblages consisting of the same rather than a different functional group (the effects of
20 home-functional groups were stronger than of away-functional group, i.e. negative bars in
21 Fig. 6). The significant residual RFG x PS interaction for plant height indicates that the
22 home effect on plant height is not as clear-cut; for example, *F. pratense* phytometers
23 were taller in non-grass single function group assemblage whereas *T. pratense*

1 phytometers were shorter in non-legume single functional group assemblages (Fig. 6).
2 The four-phytometer species responded differently to mono-specific versus multi-species
3 single-functional-group assemblages (Table 3): the biomass of *P. lanceolata* declined
4 from 5.3 g in mono-specific to 0.9 g in multi-species single-functional-group assemblages
5 whereas the other three-phytometer species showed little reduction in aboveground
6 biomass. For two phytometer species that also occurred as monocultures, *P. lanceolata*
7 was greatly suppressed by its own monoculture in both seasons. It attained less than 1.5 g
8 in its own monoculture in both seasons compared to an average of 5.6 g and 14.7 g in
9 other monocultures in summer 2003 and spring 2004 respectively. By contrast, *F.*
10 *pratense* performed well in its own monocultures especially in 2004 (i.e. 19.6 g in its own
11 and 13.5 g in others).

12

13 **Discussion**

14 *Effects of species richness and functional richness*

15 By introducing young plants of native species as test invaders into host communities we
16 have shown that plant diversity enhances competitive suppression of newly arriving
17 individuals during the invasion process. This supports the proposition that species-rich
18 communities contain less empty niches that can be occupied by extra individuals. The
19 performance of the test invaders in our experiment was linearly related to the logarithm
20 of species richness. This indicates an attenuation of invasion resistance in more diverse
21 host communities, probably due to increased niche overlap among resident species. It is
22 conceivable that stronger invasion resistance could have been observed if we had
23 introduced seeds (see e.g. Symstad, 2000; Dukes, 2001) instead of young plants, but then

1 we would have confounded requirements for empty germination niches with those for
2 growing plants. Our results agree with several previous findings referring to invasions by
3 non-native species (e.g. Levine, 2000; Prieur-Richard *et al.*, 2002). With one of the most
4 balanced designs in terms of species and functional diversity achieved so far in
5 biodiversity experiments (Roscher *et al.*, 2004), our results show that in contrast to
6 previous suggestions (Diaz & Cabido, 2001) species richness was a better predictor of
7 invasion resistance than was functional group richness.

8 Since functional groups are aggregations of species, three observations (that can
9 apply in natural communities) may explain why the effect of species richness in this
10 study was stronger than that of functional group richness. First, aggregating several
11 species into few functional groups makes species richness have a wider range (1–60
12 species) than functional richness (1–4 functional groups). Second, differential effects of
13 functional richness on different phytometer species leads to an averaging of the overall
14 effect of functional richness in a balanced design, where each functional group is
15 represented equally among the four phytometer species and among the resident plant
16 communities. A case in point is the positive effect of resident legumes on non-legume
17 test-invaders and the negative effect on the legume test-invader. Third, contrasting effects
18 of different functional groups on resources may weaken the overall effect of functional
19 group richness. For example, while legumes enrich soil with nitrogen, grasses deplete this
20 resource (Tilman *et al.*, 1997; Scherer-Lorenzen *et al.*, 2003). These counteracting effects
21 of functional groups on resource pre-emption weaken the overall effect of functional
22 group richness on suppression of test-invaders, supporting resource pre-emption as one
23 mechanism of invasion resistance in plant communities.

1 Nonetheless, as in the study by Symstad (2000), using seeds instead of transplants
2 as invaders, functional group richness can enhance invasion resistance, if considered
3 alone. Interestingly, Symstad (2000) could not attribute increased invasion resistance by
4 functionally rich communities to resource pre-emption, which indicates that in her case
5 perhaps specific requirements for germination played an important role. Our study
6 showed that pure grass mixtures can be most resistant to invasion after germination,
7 underlying the importance of traits of specific groups (see next section). It remains
8 debatable, of course, if the *a priori* definitions of functional groups that we adopted in
9 The Jena Experiment are adequate to understand the relationship between functional
10 diversity and invasion resistance. Nevertheless, the fact that species richness log-linearly
11 increased invasion resistance even within plant assemblages consisting of a single
12 functional group further exemplifies the importance of species richness as a driver in this
13 particular case of an ecosystem function.

14

15 *Effects of the presence of particular functional groups*

16 The negative, positive and neutral effect of legumes, grasses and herbs, respectively, on
17 invasion resistance in our study reflects their known patterns of resource use (Fargione *et*
18 *al.*, 2003; Fargione & Tilman, 2005) and fits with a general theory of invasibility of
19 Davis *et al.* (2000). In our experiment, better performance of the phytometers in
20 communities with legumes corresponded with findings that they actually benefited from
21 nitrogen fixed by legumes (Temperton *et al.*, submitted). It is well documented that
22 legumes, by adding nitrogen to the soil, can promote invasion in nitrogen-limited
23 environments (Yelenik *et al.*, 2004 and reference therein). By actively fixing atmospheric

1 nitrogen, legumes do not rely on soil-nitrogen pools. Thus, a related effect of legumes is
2 the reduction of competition for soil nitrogen. Some previous studies, however, have
3 reported increased invasion resistance due to presence of legumes (Hector *et al.*, 2001;
4 Fargione *et al.*, 2003). It is notable that positive effects of legumes usually correlate with
5 their effect on belowground resources, mainly soil nitrogen (Maron & Connors, 1996;
6 Prieur-Richard *et al.*, 2002) while their negative effects usually correlate with their effect
7 on aboveground resources (Hector *et al.*, 2001; Fargione *et al.*, 2003). Thus, in general
8 legumes may enhance invasion resistance in fertile soils but promote invasion in poor
9 soils. In addition, legumes may have a stronger potential than grasses and herbs to
10 differentially affect different invaders. Notably, as mentioned above, they can have
11 negative effects on other legumes even when they have positive effects on other species.

12 Suppression of all phytometers was particularly strong in resident communities
13 containing grasses. Due to their extensive root systems, grasses are efficient in taking up
14 resources from the upper soil layers (Fargione *et al.*, 2003), thereby diminishing
15 resources for potential invaders. Other studies have also reported grasses as a keystone
16 functional group reducing the success of invaders (Crawley *et al.*, 1999; Dukes, 2002;
17 Prieur-Richard *et al.*, 2002). Crawley *et al.* (1999) found that an assembly of 80
18 herbaceous species was more vulnerable to invasion than were assemblies composed of
19 1–4 grass species. A weak effect of grasses on LAI did not explain the strong negative
20 effect of their presence on invasion resistance, suggesting that their contribution to
21 invasion resistance is mainly through their effect on belowground resources. From these
22 results we can conclude that with regard to functional diversity, functional group identity
23 may be more important than pure number of functional groups (Schmid *et al.*, 2002). This

1 was also observed in the same experiment by Scherber *et al.* (2006), investigating
2 herbivory on a different phytometer species, *Rumex acetosa*.
3
4 *Effects of the functional group of the phytometer species and niche pre-emption within*
5 *functional groups as major mechanism of invasion resistance*
6 With regard to the identity of the invader, experimental communities were particularly
7 resistant to a phytometer species if they contained species belonging to the same
8 functional group. For example, although non-legume herbs had no effect on invasion
9 resistance in general, their presence in the resident communities enhanced suppression of
10 their respective phytometers. Likewise, despite notable facilitation by legumes,
11 communities containing only this functional group strongly inhibited the legume test
12 invader, *T. pratense*. Our results and a previous observation that legume monocultures
13 were most resistant to invasion by legumes (Turnbull *et al.*, 2005) indicate that resident
14 legumes also pre-empt other resources that limit legumes, most likely phosphorus, water
15 and light (Vitousek & Howarth, 1991). This is consistent with high niche overlap along
16 several resource-use axes between resident and invading legumes, and further supports
17 niche pre-emption as a mechanism of invasion resistance. Thus, high niche overlap
18 between newly arriving individuals and resident species can reduce chances of an
19 invasion, rate of colonisation or even success of restoration. This corresponds to findings
20 of Fargione *et al.* (2003) in a seed addition experiment, where they concluded that high
21 invasion resistance was due to similar patterns of resource use between the resident
22 species and the invaders. Xu *et al.* (2004) also found that the presence of a functionally

1 similar herb in a resident community increased resistance to invasion by Alligator weed
2 (*Alternanthera philoxeroides*), which was also attributed to niche overlap.

3 Finally, it should be mentioned that alternative hypotheses may also be consistent
4 with the result of stronger within- than between-functional-group invasion resistance
5 (Fukami *et al.*, 2005; Britton-Simmons, 2006). Namely, the presence of a species in a
6 community might build up pathogens, parasites, or herbivores that have negative impacts
7 on invaders from the same functional group (Wardle *et al.*, 2004; Bartelt-Ryser *et al.*,
8 2005). It is conceivable that such effects are particularly important during the early
9 phases of the life cycle of invaders, e.g. during germination. However, in this study we
10 could not test this because we introduced our test invaders as young plants rather than
11 seeds into the communities.

12 This study confirms that, first, communities that are more diverse confer high
13 resistance to invasion independent of invasiveness of the introduced species. Secondly,
14 presence of grasses enhances invasion resistance while legumes may promote invasion
15 due to their influence on nitrogen dynamics. Thirdly, communities are more resistant to
16 invaders belonging to functional groups already present among the resident species.
17 Although these results pertain to grassland ecosystems which are regularly “disturbed” by
18 mowing, similar mechanisms may play a role in other terrestrial and in aquatic
19 ecosystems where species richness has been shown to increase invasion resistance (see
20 review of Balvanera *et al.*, in press). However, to our knowledge this has not been
21 investigated in such a systematic way as we did here in grassland systems.

22

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11

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1

Table 1 Partial correlation of phytometer vegetative and reproductive traits measured in summer 2003 with aboveground biomass harvested at the same time. Significance levels are, * P<0.05; ** P<0.01; *** P<0.001

Plant Trait	<i>F. pratense</i> (N=71)	<i>P. lanceolata</i> (N=78)	<i>K. arvensis</i> (N=76)	<i>T. pratense</i> (N=68)
Number of leaves	0.919***	0.887***	0.855***	0.776***
Number of ramets	0.904***	-	-	0.866***
Height	0.467***	0.128 ^{ns}	0.228*	0.415***
Number of flowers	-	0.974***	-	0.938***
Relative growth rate	0.804***	0.610**	0.720***	0.680***

2

Table 2a Summary of analyses of variance of the performance of the four phytometer species in summer 2003. Residual d.f. are ^a=225 and total ^b=308 for number of leaves and plant height and ^a=240 and total ^b=327 for growth rate. Diversity is represented by a log-linear contrast (SR) and the deviation from this contrast. The phytometer species (PS) at the same time represents the functional group of the phytometer. Due to hierarchical design of the experiment, the terms above composition are tested at plot-level error term, i.e. composition. The terms presence of functional groups and their interaction with SR were added alternatively because they are intrinsically related, same communities contained presence/absence of different groups. PS and SR x PS was tested against within-plot error (residual). Significance levels are * P < 0.05; ** P < 0.01; *** P < 0.001.

Source	BIOMASS			LEAVES		HEIGHT		GROWTH RATE	
	d.f.	MS	F	MS	F	MS	F	MS	F
Block	3	6.23	2.63	1.06	1.23	0.79	1.61	<0.01	1.53
Species richness (SR)	1	22.22	9.39 **	14.84	17.23 ***	1.17	2.36	<0.01	13.59 ***
Deviation	3	5.17	2.18	1.26	1.46	0.26	0.53	<0.01	0.71
Composition	70	2.37	3.28 ***	0.86	4.28 ***	0.49	4.30 ***	<0.01	3.07 ***
Grasses (GR)	1	19.52	9.25 **	3.20	3.89 *	4.93	11.66 **	<0.01	4.41 *
SR x GR	1	2.72	1.29	1.10	1.33	0.93	2.19	<0.01	2.98
Composition	68	2.11	2.89 ***	0.82	4.21 ***	0.42	3.82 ***	<0.01	3.11 ***
Short-herbs (SH)	1	3.33	1.41	0.15	0.17	1.06	2.19	<0.01	0.46
SR x SH	1	1.60	0.68	0.00	<0.01	0.77	1.59	<0.01	0.99
Composition	68	2.37	3.39 ***	0.88	4.36 ***	0.48	4.13 ***	<0.01	3.07 ***
Tall-herbs (TH)	1	4.22	1.78	1.27	1.46	0.70	1.41	<0.01	0.04
SR x TH	1	0.06	0.02	0.08	0.09	0.31	0.62	<0.01	<0.01

Composition	68	2.38	3.31 ***	0.87	4.23 ***	0.49	4.38 ***	<0.01	3.12 ***
Legumes (LG)	1	13.15	5.86 *	0.82	0.94	7.18	17.88 ***	<0.01	<0.01
SR x LG	1	0.03	0.01	0.35	0.41	0.08	0.20	<0.01	0.17
Composition	68	2.24	3.29 ***	0.87	4.76 ***	0.40	3.64 ***	<0.01	3.31 ***
Phytometer species (PS)	3	10.44	14.47 **	14.82	73.58 **	13.31	115.84 **	<0.01	82.60 ***
SR x PS	3	2.07	2.88 *	0.25	1.26	0.17	1.45	<0.01	3.08 *
Residual	216 ^a	0.72		0.20		0.11		<0.01	
Total	299 ^b	1.39		0.56		0.34		<0.01	

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Table 2b Summary of analyses of variance of contrasts of phytometer species and their interaction with the diversity terms. Residual d.f. are ^a=219 for number of leaves and plant height and ^a=234 for growth rate. Contrasts for each phytometer species were added alternatively into model in table 2a and tested against their respective residuals. Abbreviations not included in table 2a are; FP = *Festuca pratensis*, PL = *Plantago lanceolata*, KA = *Knautia arvensis*, TP = *Trifolium pratense*, for the phytometer species.

Source of variation	BIOMASS			LEAVES		HEIGHT		GROWTH RATE	
	d.f.	MS	F	MS	F	MS	F	MS	F
FP	1	<0.01	<0.01	33.52	171.4 **	25.57	231.06 **	<0.01	36.33 ***
SR x FP	1	3.55	4.86 *	0.42	2.14	0.50	4.49 *	<0.01	2.26
GR x FP	1	0.19	0.26	1.46	7.48 **	0.01	0.08	<0.01	18.02 ***
GR x PS	2	0.23	0.32	0.52	2.68	0.34	3.04 *	<0.01	3.89 *
SR x GR x FP	1	0.38	0.52	0.00	0.02	0.15	1.31	<0.01	2.26
SR x GR x PS	2	0.64	0.88	0.00	0.00	0.40	3.57 *	<0.01	0.13
Residual	210 ^b	0.73		0.20		0.11		<0.01	0.61
PL	1	1.49	2.13	12.46	61.43 **	2.15	18.44 **	<0.01	50.16 ***
SR x PL	1	3.68	5.27 *	0.53	2.60	0.04	0.34	<0.01	0.77
SH x PL	1	1.67	2.39	0.29	1.43	0.05	0.46	<0.01	0.15
SH x PS	2	2.06	2.96	0.06	0.28	0.10	0.84	<0.01	0.66
SR x SH x PL	1	2.01	2.87	0.00	0.01	0.00	0.01	<0.01	0.86
SR x SH x PS	2	0.66	0.94	0.24	1.20	0.03	0.28	<0.01	0.93
Residual	210 ^b	0.70		0.20		0.12			
KA	1	14.31	19.96 **	12.03	58.72 **	23.91	212.05 **	<0.01	107.17 ***
SR x KA	1	0.56	0.78	0.02	0.11	0.08	0.71	<0.01	3.49
TH x KA	1	0.00	0.00	0.01	0.04	0.18	1.56	<0.01	0.17
TH x PS	2	1.69	2.35	0.15	0.74	0.10	0.89	<0.01	0.75
SR x TH x KA	1	0.02	0.02	0.01	0.03	0.37	3.31	<0.01	0.56

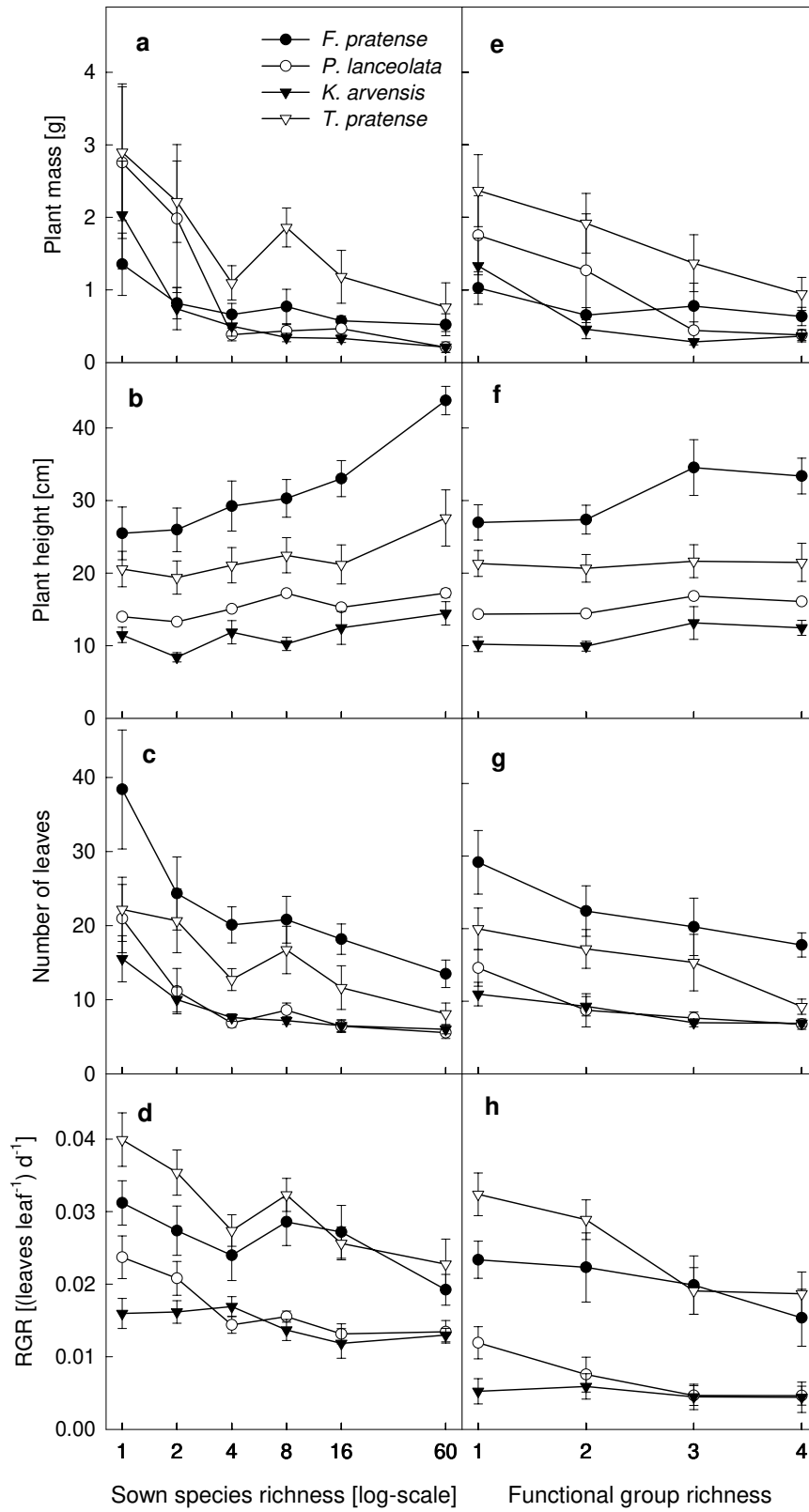
SR x TH x PS	2	0.87	1.22	0.07	0.33	0.21	1.84	<0.01	0.45
Residual	210 ^b	0.72		0.20		0.11			
TP		25.83	37.88 **	1.38	7.56 **	1.56	14.17 **	<0.01	144.96 ***
SR x TP	1	0.56	0.82	0.05	0.28	0.05	0.49	<0.01	6.25 *
LG x TP	1	8.17	11.97 **	2.56	14.02 **	0.44	3.97 *	<0.01	7.61 **
LG x PS	2	0.76	1.11	0.82	4.50 *	0.06	0.51	<0.01	2.35
SR x LG x TP	1	0.55	0.81	0.16	0.89	0.54	4.89 *	<0.01	0.77
SR x LG x PS	2	1.16	1.71	0.45	2.45	0.31	2.78	<0.01	2.53
Residual	210 ^a	0.68		0.18		0.11		<0.01	

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Table 3 Summary of analyses of variance of summer 2003 data for home-vs.-away effect on the four phytometer species using plots with resident communities consisting of species from only one functional group. Significance levels are * $P < 0.05$; ** $P < 0.01$; *** $P < 0.001$.

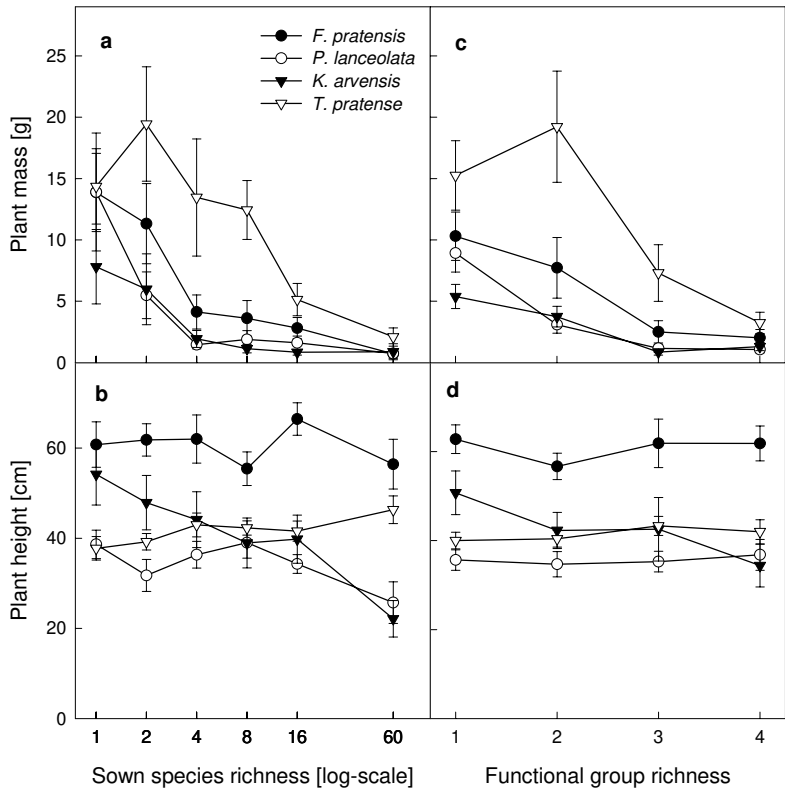
Source	d.f.	Biomass		Leaves		Height	
		MS	F	MS	F	MS	F
Block	3	11.63	4.09*	2.33	2.08	553.61	8.43
Resident Functional Group (RFG)	3	3.11	1.09	0.26	0.23	962.34	14.66***
Monoculture vs. Mixture (Mono)	1	22.02	7.75*	9.16	8.19**	49.64	0.76
RFG x Mono	3	0.53	0.19	0.06	0.06	6.44	0.10
Composition	23	2.84	4.19***	1.12	5.61***	65.66	1.68*
Phytometer species (PS)	3	3.36	4.95**	5.99	30.08***	1818.79	46.65***
Home vs. Away (RFG x PS main diag.)	1	4.25	6.26*	1.59	7.98**	169.93	4.36*
RFG x PS (residual interact.)	8	0.84	1.24	0.22	1.13	191.49	4.91***
Phytometer species x Mono	3	2.47	3.64*	0.28	1.40	42.37	1.09
Home vs. Away x Mono	1	0.19	0.28	0.38	1.89	8.51	0.22
Residual	79	0.68		0.20		38.99	
Total	128	1.68		0.63		128.91	

1 **Figure legends and Figures**



2
3

- 1 Fig. 1. Effect of plant species richness (a–d) and functional group richness (e–h) on
- 2 performance of four transplanted phytometer species in the first season (summer 2003).
- 3 Points and vertical bars represent means \pm 1 standard error. All panels use the legend in
- 4 panel (a).



1

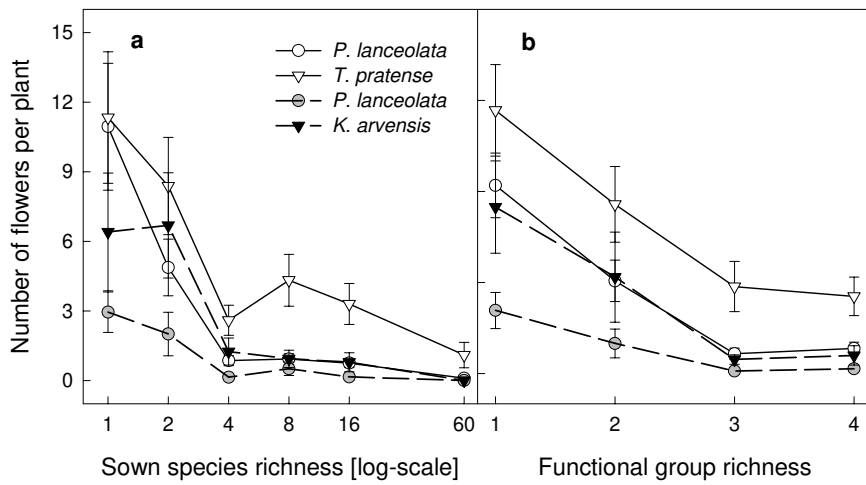
2

3 Fig. 2. Effect of plant species richness (a–b) and functional group richness (c–d) on

4 performance of four transplanted phytometer species in the second season (spring 2004).

5 Points and vertical bars represent means ± 1 standard error. All panels use the legend in

6 panel (a).



1

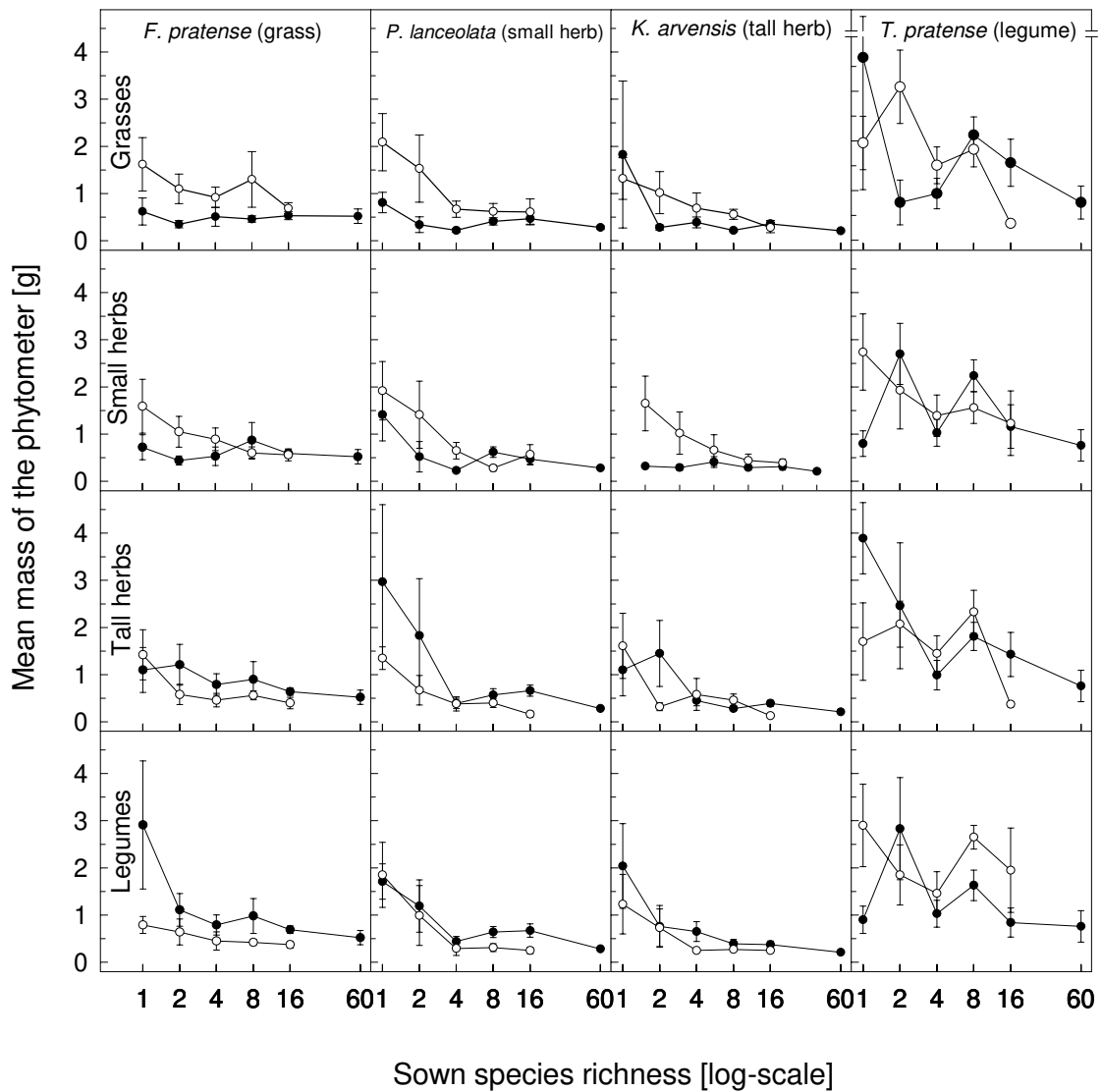
2

3 Fig. 3. Effect of plant species richness (a) and functional groups richness (b) on number

4 of inflorescences of four transplanted phytometer species in summer 2003 (solid lines)

5 and spring 2004 (broken lines). Points and vertical bars represent means ± 1 standard

6 error.

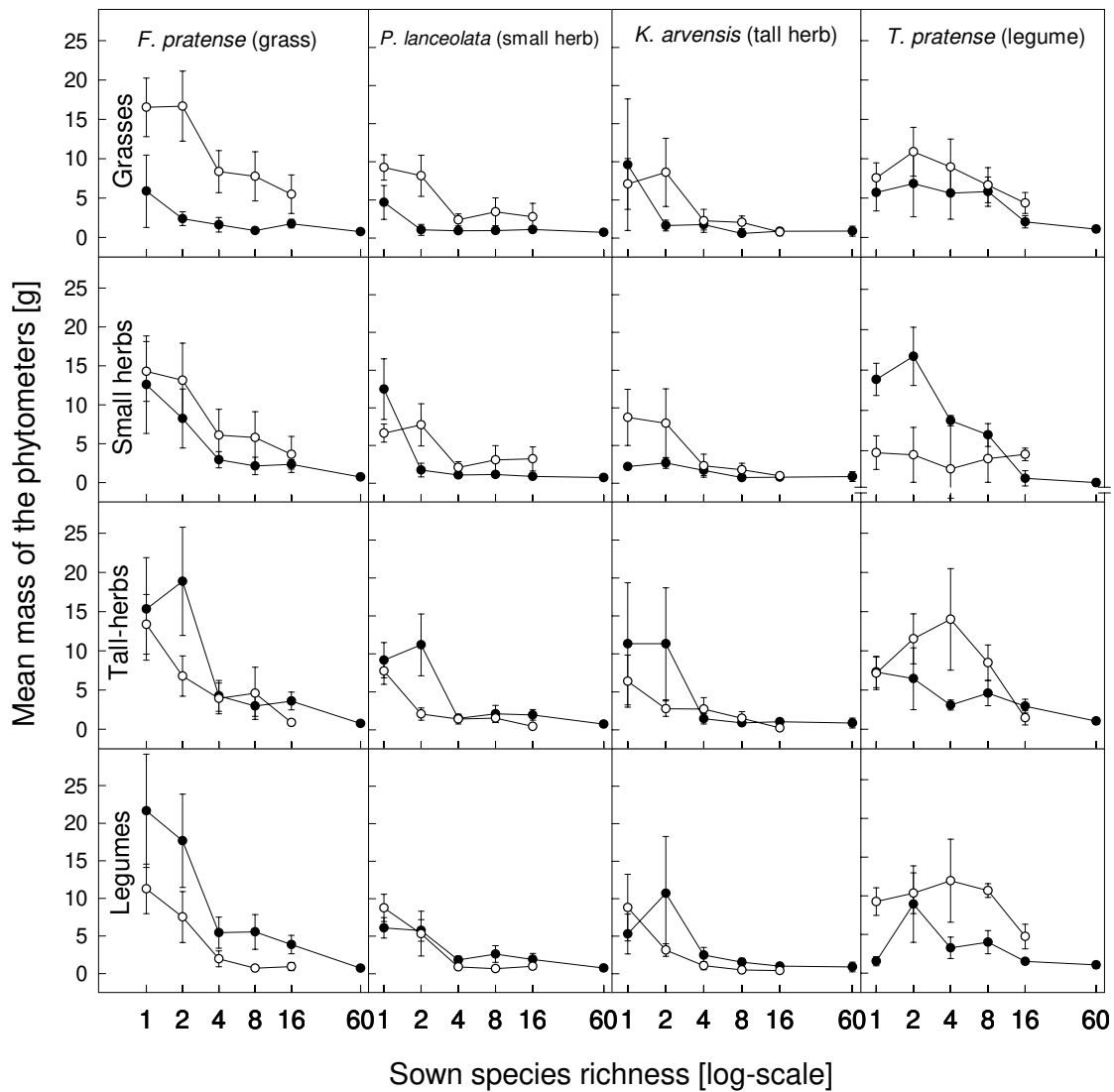


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2

3 Fig. 4. Effect of plant species richness and presence/absence of different functional
 4 groups on biomass of four transplanted phytometer species in the first season (summer
 5 2003). Columns represent phytometers species *F. pratensis*, *P. lanceolata*, *K. arvensis*
 6 and *T. pratense* from left to right and rows represent presence/absence of grasses, small-
 7 herbs, tall-herbs and legumes from top to bottom. Closed and open symbols indicate,
 8 respectively, presence and absence of the corresponding functional groups in the resident

1 communities. For example, the second panel in the first row shows the response of *P.*
2 *lanceolata* to species richness in the plots containing grasses (closed symbols) and in
3 plots without grasses (open symbols). Points and vertical bars represent means ± 1
4 standard error.

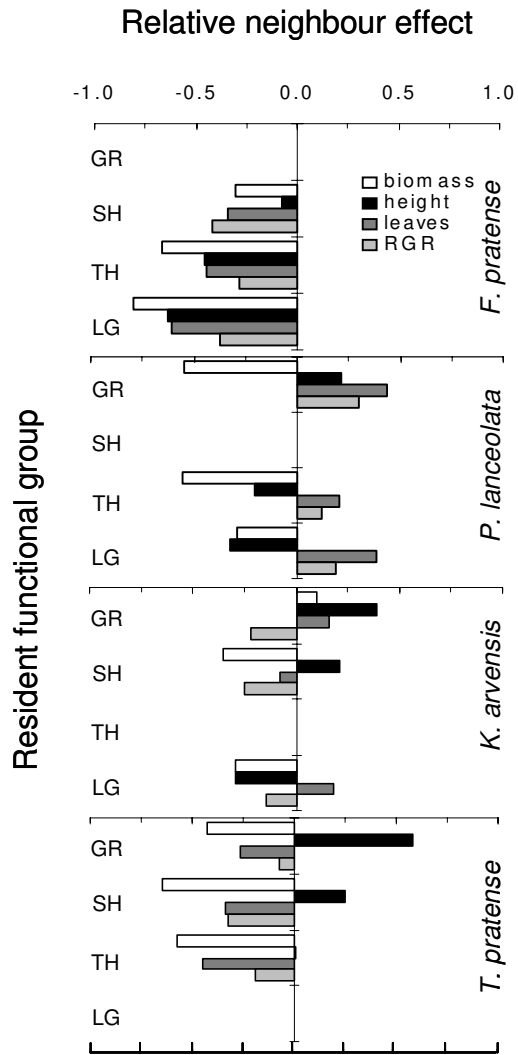


1

2

3 Fig. 5. Effects of plant species richness and presence/absence of different functional
 4 groups on biomass of four transplanted phytometer species in the second season (spring
 5 2004). Columns represent phytometers species *F. pratensis*, *P. lanceolata*, *K. arvensis*
 6 and *T. pratense* from left to right and rows represent presence/absence of grasses, small
 7 herbs, tall herbs and legumes from top to bottom. Closed and open symbols indicate,

- 1 respectively, presence and absence of functional groups in the resident communities.
- 2 Points and vertical bars represent means \pm 1 standard error.



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2

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4 Fig. 6. Performance of phytometers transplanted into single-functional-group

5 assemblages of grasses (GR), small herbs (SH), tall herbs (TH) and legumes (LG) relative

6 to performance in mono-functional group assemblages of their own functional group.

7 Negative values indicate negative “home” effects, i.e. that resident species belonging to a

8 functional group different from that of the phytometer were less inhibitive, while positive

9 values indicate positive home effects.