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# Nitrogen in Diamond

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# Contents

1		Intr	Introduction					
2	Laboratory Based Synthesis of Diamond and Nitrogen-Containing Diamond							
	2.	1	Hig	h Pressure High Temperature (HPHT) Methods	9			
	2.2	2	Che	emical Vapour Deposition (CVD) Methods	13			
		2.2.	.1	Growth from C/H containing gas mixtures, with or without a noble gas	13			
		2.2.	.2	The CVD Growth Mechanism	18			
	2.2.3		.3	Effects of adding nitrogen to C/H containing gas mixtures	21			
		2.2.	.4	Doping CVD diamond films with nitrogen	24			
	2.:	3	Dia	mond particles – diamondoids, nanodiamonds and microdiamonds	26			
		2.3	.1	Diamondoids	26			
2.2.4 2.3 I 2.3.1 2.3.2 2.3.3 2.4 I 3 Prop 3.1 ( 3.1.1		.2	Nanodiamond	27				
		2.3	.3	Microdiamond	30			
	2.4	4	Imp	lanting Nitrogen into Diamond	32			
3		Pro	perti	es of nitrogen-doped diamond	33			
	3.	1	Opt	ical properties	34			
		3.1.1		Uniaxial stress	36			
		3.1	.2	Isotopic enrichment	36			
	3.2	2	Spir	n properties	37			
		3.2	.1	Linking optical properties and spin properties	38			
		3.2	.2	Atomistic modelling	39			
	3.:	3	Irra	diation and Annealing	41			
	3.4	4	Cha	rge Transfer and Photochromism	42			
	3.:	5	Nitı	rogen-containing defects in diamond	43			
		3.5	.1	Single substitutional nitrogen, Ns	45			
		3.5	.2	$N_2^0$ (the A-centre) and $N_2^+$	49			
		3.5	.3	Interstitial nitrogen defects	50			

	3.5.	.4	The $N_n V$ family, with $n = 1-4$	51				
	3.5.	.5	The N <sub>n</sub> VH family	73				
	3.5.	.6	The $N_n VH_m$ family	80				
	3.6	Sele	ected aggregates involving nitrogen and another element	81				
4	App	plica	tions of nitrogen doped diamond	86				
	4.1	1 Incorporation of nitrogen in natural diamond: Applications in geophysics and						
	geoch	ochemistry						
	4.2	Nitr	rogen defects and the identification of diamond gemstones.	87				
	4.3	App	plications of nitrogen-doped nanodiamonds	88				
	4.4	Qua	antum technologies based on the NV <sup>-</sup> defect in diamond	90				
	4.4.	.1	Properties of the NV <sup>-</sup> defect in diamond that underpin its exploitation in quan	tum				
	tech	nnolo	ogies	90				
	4.4.	.2	The NV <sup>-</sup> defect in diamond and Quantum Networks	92				
	4.4.	.3	The NV <sup>-</sup> defect in diamond and Quantum Computing	92				
	4.4.	.4	The NV <sup>-</sup> magnetometer	92				
	4.4.	.5	The NV <sup>-</sup> defect and the future of NMR and MRI	94				
	4.4.	.6	The NV <sup>-</sup> defect and thermometry	95				
	4.4.	.7	The NV <sup>-</sup> defect and Electric Field Sensing	95				
	4.4.	.8	Hybrid quantum devices involving a solid-state spin and a macrosco	opic				
	mea	chani	ical oscillator.	95				
	4.4.	.9	Diamond Masers	96				
5	Sur	Summary and Prospective						

#### Abstract

Nitrogen is ubiquitous in both natural and laboratory-grown diamond, but the number and nature of the nitrogen-containing defects can have a profound effect on the diamond material and its properties. An ever-growing fraction of the supply of diamond appearing on the world market is now lab-grown. Here, we survey recent progress in two complementary diamond synthesis methods – high pressure high temperature (HPHT) growth and chemical vapour deposition (CVD), how each is allowing ever more precise control of nitrogen incorporation in the resulting diamond, and how the diamond produced by either method can be further processed (*e.g.* by implantation and/or annealing) to achieve a particular outcome or property. The burgeoning availability of diamond samples grown under well-defined conditions has also enabled huge advances in the characterization and understanding of nitrogen-containing defects in diamond – alone, and in association with vacancies, hydrogen and transition metal atoms. Amongst these, the negatively charged nitrogen-vacancy (NV<sup>-</sup>) defect in diamond is attracting particular current interest on account of the many new and exciting opportunities it offers for, *e.g.*, quantum technologies, nanoscale magnetometry and biosensing.

## 1 Introduction

Nitrogen is fundamental to the evolution of Earth. It is the major component of our atmosphere. It is a key element in most of the biomolecules essential to life and is obviously present in the mantle of Earth. Much of our knowledge relating to nitrogen in the mantle come from analysis of natural diamonds. Most of these diamonds are formed at pressures and temperatures in the respective ranges of 5.5-8.0 GPa and 1000-1400°C, corresponding to depths of 140–240 km but some so-called 'super-deep' diamonds are deduced to have originated at much greater depths in the lower mantle  $^{1,2}$  – as illustrated in Figure 1.



Figure 1. The basic relationship between a continental craton, its lithospheric mantle keel (the thick portion of the lithospheric mantle under the craton), and diamond stability regions in the keel and the mantle. Reprinted with permission from Ref.1. Copyright © 2013 Gemological Institute of America.

All natural diamonds contain some nitrogen impurity.<sup>3</sup> The traditional classification of natural diamonds into types I and II is based on their nitrogen content: Type I samples typically contain hundreds if not thousands of parts per million (ppm) of nitrogen, which will often impart a visible coloration to the diamond and can be characterised (and quantified) by infrared (IR) spectroscopy. Type II diamonds, in contrast, were originally categorized on the basis that they contain too little nitrogen (typically just a few ppm or less) for its presence to be detected by

IR absorption. Both types are further sub-divided according to how the nitrogen is distributed and the extent, or otherwise, of any boron impurity – as illustrated in Figure 2.



Figure 2. The classification of diamond based on nitrogen content.

Various IR-active N-related defects have long been recognised,<sup>4-7</sup> and absorption coefficients estimated to allow quantification of the amount of nitrogen accommodated in such defects and studies of their interconversion under thermal processing.<sup>8,9</sup> Such defects were historically labelled alphabetically. Examples include: C-centres, wherein a single carbon atom in the diamond lattice has been substituted by a nitrogen atom, A-centres (pairs of substitutional nitrogen atoms) and B-centres – defects comprising four N atoms around a vacancy. A vacancy (henceforth often denoted as V) corresponds to a lattice site from which the carbon is missing. Each of these defects, and the cubic diamond lattice structure are illustrated in Figure 3. Recent advances in our understanding of these and many other N-related defects are surveyed in Section 3.

Most (~98%) natural diamonds are type I. Of these, most are classified as type Ia and have a pale yellow or brown colour attributable to aggregations of nitrogen atoms within the carbon lattice (see Figure 3). These nitrogen atoms often co-exist with hydrogen impurities. As Figure 2 showed, type Ia diamonds are often further sub-divided in types IaA, IaB (and even IaAB) reflecting the majority way in which the nitrogen is aggregated. Type Ib stones are much rarer. They also contain substantial nitrogen, but the nitrogen atoms are more widely dispersed

throughout the sample. Type Ib diamonds tend to display a wider range of (often more intense) colours – that reflect the distribution of nitrogen impurities.



Figure 3. Atomic models of: (a) the diamond structure and (b – d) three of the most common nitrogen point defect centres in diamond. The N atoms are blue and slightly larger than the C atoms (grey); a vacant lattice site is indicated by a dashed circle. (b) The C-centre with a single substitutional nitrogen, N<sub>s</sub>, results in one of the four N–C bonds being extended by ~30% as a result of the additional antibonding electron provided by the nitrogen. (c) The A-centre with a nearest-neighbour nitrogen pair, N<sub>2</sub>. (d) The B-centre with four nitrogen atoms surrounding a vacancy, N<sub>4</sub>V. All defects are depicted in their neutral charge state.

Type IIa diamonds are considered the 'purest of the pure'. They contain very low levels of impurity and are transparent throughout the visible and ultraviolet (UV) spectral regions down to wavelengths ~230 nm – thus appearing colourless. Many of the most famous large diamonds (*e.g.* the Cullinan, Koh-i-Noor and Lesedi La Rona diamonds) are type IIa. Type IIb diamonds also have very low nitrogen content but, as illustrated in Figure 4, often generally appear light blue or grey due to the presence of trace quantities of atomic boron substituted within the lattice. The Hope diamond is a famous example of a type IIb diamond, which are rare in nature. Access to (and study of) type II material has been greatly boosted by the development of rival laboratory-based methods of synthesizing diamond described in Section 2.



Figure 4. Diamonds exhibit many different colours depending on their impurity levels. Reprinted and adapted with permission from Ref.10. Copyright © 2009 Gemological Institute of America.

Many 'nitrogen in diamond' issues continue to exercise the scientific community. For example, the empirical models used by geochemists to trace the behaviour of nitrogen in the Earth's mantle draw disproportionately on analysis of diamond samples - in which nitrogen is the most common and abundant lattice-bound impurity. The analysis is challenging, in part because many of the available samples display multiple growth zones, which likely developed at different times and in different environments (i.e. in different molten fluids and at different temperatures (T) and pressures (p)). But other fundamental questions also remain, not least how single nitrogen atoms arrive at substitutional sites in the carbon lattice. The substantial nitrogen content in type I diamonds encourages the view that nitrogen is 'compatible' in diamond (since nitrogen and carbon have similar atomic sizes and valence shells), but such a statement masks a wealth of complexity. Atomic nitrogen may be compatible in diamond, but molecular nitrogen, ammonium ions and/or metallic nitrides (i.e. the forms in which nitrogen is most likely to be present in the Earth's mantle <sup>11</sup>) are not. Thus, there remains a pressing need to understand better how the prevailing p, T and composition affect the speciation of nitrogen under a range of mantle conditions relevant to diamond formation, and then to understand how that information is encoded in the natural diamonds that are available for analysis. For example, there is growing recognition that many of the highest quality type II diamonds originate from the highly reducing deep mantle,<sup>12</sup> where the pressure and temperature are both more extreme. What is less clear, however, is whether the low N content in such diamonds implies low nitrogen abundance in that region of the mantle or whether the fluid from which such diamonds grew disfavours reactions that release monatomic nitrogen. The reliability of diamond as a reporter of nitrogen abundance in the mantle is thus now under renewed scrutiny.<sup>3</sup>

The remainder of this Review is structured as follows. We introduce the more popular laboratory-based synthesis routes that now complement nature as a source of diamond. Highpressure high-temperature (HPHT) and chemical vapour deposition (CVD) methods both offer opportunities for investigating single-crystal diamond (SCD) growth under conditions that are much better defined and controlled than in nature. Nano-sized diamond particles were first produced by detonation methods,<sup>13</sup> but the range of potential applications perceived for this material has encouraged alternative synthetic routes; these are also summarised in Section 2. The properties and the potential applications of diamond produced by all of these methods can be altered/expanded by strategic introduction of dopant atoms, and this too is discussed in Section 2, focusing particularly on doping with nitrogen. Section 3 surveys many of the methods used to reveal and characterize the presence of nitrogen in diamond, and some of the exquisite details about N-containing defects that have now being gleaned from combinations of theory and spectroscopic analyses of diamonds synthesized under well-defined and controlled laboratory conditions. We outline what such knowledge can tell us about how nitrogen is incorporated in both HPHT and CVD material, and how the presence of nitrogen affects some of the key properties and characteristics of diamond. The Review concludes with Section 4, in which some of the many new and exciting applications of nitrogen-doped diamond are summarized.

# 2 Laboratory Based Synthesis of Diamond and Nitrogen-Containing Diamond

# 2.1 High Pressure High Temperature (HPHT) Methods.

Nature was the inspiration for the HPHT method, by which diamond growth was demonstrated by Swedish company ASEA in 1953 (though not reported at that time) and subsequently by US company General Electric in 1955.<sup>14</sup>,<sup>15</sup> Most present-day HPHT synthesis exploits the temperature-gradient growth (TGG) method developed later in that decade.<sup>16</sup> However, it took many further years before the design and control of HPHT reactors yielded diamonds of

sufficient size and quality to attract much attention from the jewellery industry.<sup>17-18,19</sup> Key ingredients for HPHT growth are a carbon source (typically graphite or diamond powder) together with a metal catalyst (*e.g.* a Fe-Ni or Fe-Ni-Co alloy) that allows diamond formation at lower temperature. These ingredients are placed in a carefully designed reactor chamber such as that illustrated in Figure 5 to facilitate diamond growth on a diamond seed at pressures of 5-6 GPa and temperatures in the range 1300-1600°C – *i.e.* just on the diamond side of the Berman-Simon line<sup>20</sup> in the carbon phase diagram (Figure 6). Maintaining the carbon source at a slightly higher temperature than the seed ensures net diffusion of carbon atoms through the molten flux and formation of a synthetic diamond crystal on the seed. Early HPHT diamonds were coloured, due to impurities (*e.g.* N, B or transition metals from the catalyst used) in the growth system. The resulting 'industrial' diamonds were too unsightly for gemstone use but ideal for cutting or grinding applications. Nowadays, however, contamination levels can be controlled such that it is possible to grow several colourless gemstone-quality HPHT synthetics simultaneously within the press.



Figure 5. Schematic diagram of (a) an HPHT press, wherein a cell containing graphite, a metal melt and a diamond seed crystal is subjected to high pressures and temperatures. Under these conditions, (b) the graphite dissolves in the metal and precipitates on the seed crystal, propagating the diamond lattice.





that the region illustrating CVD growth has been exaggerated for clarity. Adapted from

Ref.21.

Such improvements in process control have led to similarly impressive advances in our knowledge and understanding of the effects that nitrogen impurities in the metal melts have on the growth, the morphology and the defect and impurity structure in diamonds grown by HPHT methods.<sup>22</sup> For example, HPHT diamonds with minimal nitrogen content can be grown by adding aluminium or titanium powder to getter the nitrogen in the starting materials. The N-content in the growth medium (and in the resulting HPHT diamond) can then be increased (and controlled) by judicious addition of a suitable nitride (*e.g.* NaN<sub>3</sub> or P<sub>3</sub>N<sub>5</sub>),<sup>23</sup> or a substance like melamine (C<sub>3</sub>N<sub>6</sub>H<sub>6</sub>) if N–H containing defects are the target for study.<sup>24</sup>

Pre-existing diamonds (natural or synthetic) can also be subject to further treatment by HPHT methods. One such recent study<sup>25</sup> has shown that appropriate annealing of HPHT-grown, N–H co-doped SCDs with N content of ~1000 ppm encourages aggregation of the nitrogen atoms (from C-centres to A-and B-centres). Such annealing reduces the visible coloration of these samples, especially in the {111} faces – thereby increasing their potential appeal and value. The IR absorption signatures of the post-annealed samples are also more akin to those of 'natural' type Ia and Ib diamonds. Further careful studies of the aggregation mechanism and the mutual interaction of nitrogen and hydrogen (section 3.5) should advance our understanding of how natural diamonds.

### 2.2 Chemical Vapour Deposition (CVD) Methods

## 2.2.1 Growth from C/H containing gas mixtures, with or without a noble gas

In contrast to nature and to the HPHT method, diamond grown on a suitably heated solid substrate in a CVD reactor starts from a mixture of hot gases at a total pressure below 1 atm. (*i.e.* below 0.1 MPa). This is a non-equilibrium growth process. Almost inevitably, it favours incorporation of different defects. Attempts at diamond CVD can also be traced back to the over half a century<sup>26,27</sup> but the first deposition protocols that yielded diamond of reasonable quality at technologically relevant deposition rates only appeared in the early 1980's.<sup>28-30</sup> The diamond CVD process has been extensively reviewed elsewhere,<sup>31-38</sup> and is thus only summarised here. The carbon source is usually methane, diluted (to just a few % input mole fraction) in hydrogen. The gas mixture in most high-quality CVD diamond applications is activated using a microwave (MW) plasma in a specially designed reactor such as that shown schematically in Figure 7.



Figure 7. A microwave (MW) plasma CVD reactor. MW radiation enters the chamber via a waveguide and an antenna. The chamber forms a resonant cavity that is tuned by moving the antenna position. The MW power generates a plasma situated just above the substrate surface which activates, ionises and dissociates the input gases, initiating diamond growth on the nearby substrate. Taken with permission from Ref.39.

Other activation methods include direct current arc jets and hot metal filaments.<sup>40</sup> Activation in all cases results in the formation of gas-phase H atoms, which play several important roles. They participate in gas-phase reactions with the source hydrocarbon, creating a complex mixture of hydrocarbon species including carbon-containing radicals. The H atoms also participate in abstraction and addition reactions with carbon atoms on the surface of the growing diamond film. This dynamic equilibrium ensures that most surface atoms at any time are stabilized by terminating C–H bonds, which prevent the surface reconstructing to graphitic carbon. But it also ensures that some fraction (typically a few %) will have an unsatisfied valence (often termed a 'dangling' bond) by which an incident carbon-containing radical can be accommodated and thereby grow the diamond lattice. The gas-phase H atoms also preferentially etch any carbonaceous material that deposits in non-diamond form and the recombination of H atoms on any surface contributes to heating of that surface. Key features of the gas and gas-surface chemistry that enable diamond CVD from MWactivated CH<sub>4</sub>/H<sub>2</sub> input gas mixtures are now summarised in order to paint a background for the later discussion of the effects of adding N<sub>2</sub> to the process gas mixture. Illustrative process conditions are 1-10 kW input MW power (*P*) and total gas pressures (*p*) in the range 100-300 Torr. MW power absorption accelerates electrons (e) in the gas, and the frequency of e-H<sub>2</sub> collisions at the prevailing pressures ensures that this absorbed energy is efficiently transformed into rotational and, particularly, vibrational excitation of H<sub>2</sub>. The core of the plasma is characterized by a low (ppm) degree of ionization but a high gas temperature (*T*<sub>gas</sub> ~3000 K, or higher). This ensures thermal dissociation of several percent of the H<sub>2</sub> in the hot plasma region to H atoms, which diffuse throughout the reactor and drive the chemistry.

As Figure 7 shows, the MW CVD reactor is designed so that the substrate attains temperatures  $T_{sub}$  in the range 1000-1300 K – by a combination of radiative and conductive heating from the hot gas, energy released by H atom recombination reactions on its surface and, if necessary, an auxiliary substrate heating/cooling supply. Various constraints limit the choice of substrate material. Self-evidently, the substrate must be able to withstand the prevailing temperature. Many transition metals satisfy this criterion, but most are ruled out by their excessive reactivity with carbon-containing species. Molybdenum and, particularly, silicon are popular substrate materials.<sup>41</sup> Both develop a thin interfacial carbide layer at the start of the growth process that helps reduce stresses from lattice mismatch and differences in thermal expansion coefficient, but some form of pre-treatment is still normally required to encourage diamond nucleation. This can involve abrading the substrate with fine diamond dust (manually or, better, by ultrasonic agitation), or coating the substrate surface with a suspension of nanosized diamond particles prior to positioning it in the growth chamber. Both strategies can be applied to localised areas only, and thus offer routes to selected area deposition. Bias-enhanced nucleation (BEN) is another strategy – particularly compatible with MW reactors – whereby the substrate is initially negatively biased to encourage bombardment and implantation by carbon-containing ions in the plasma prior to switching to normal plasma-assisted growth.<sup>42,43</sup>

Diamond growth on a non-diamond substrate starts from numerous nucleation sites. The individual nuclei grow (both axially and radially) and progressively fuse with their immediate neighbours to form a two-dimensional film that then continues to grow along the surface normal. The resulting material will usually be polycrystalline, but its morphology and the average crystallite size can be tuned – from microcrystalline diamond (MCD), through nanocrystalline diamond (NCD) <sup>44</sup> to ultrananocrystalline diamond (UNCD) <sup>45,46</sup> – by choosing

the appropriate process conditions.<sup>47</sup> Smaller grain sizes are favoured by increasing the renucleation rate on the growing sample, which can be achieved by raising the C:H ratio in the source gas mixture and, in the case of UNCD, by substituting most of the input hydrogen by argon.

CVD diamond materials present two principal low-index surfaces: the C(111) and C(100) surfaces. The relative (and the absolute) growth rates on these surfaces are also sensitive to factors like the C:H ratio in the source gas and  $T_{sub}$ . As illustrated by the scanning electron microscope (SEM) images shown in Figure 8(a)-(c), it is possible to tune the morphology of as-grown MCD films from 'rough' (*i.e.* dominated by {111} facets) to much smoother in the case of a <100>-textured, {100}-faceted film.<sup>48,49</sup> The inevitable grain boundaries introduce variability and restrict the ultimate potential of all forms of polycrystalline diamond, though not to the extent to preclude its use in an ever-growing range of demanding applications (*e.g.* heat spreaders, IR and MW windows, wear resistant coatings, *etc*).



Figure 8. (a)-(c) Examples of different morphologies of undoped polycrystalline CVD diamond films grown using different C:H ratios in the source gas. (a) faceted microcrystalline

diamond typically grown under standard deposition conditions, with <2% CH<sub>4</sub> in H<sub>2</sub>, (b) smoother nanocrystalline diamond grown as before, but with >4%CH<sub>4</sub>/H<sub>2</sub>, (c) 'cauliflower' nanocrystalline diamond grown with >4%CH<sub>4</sub>/H<sub>2</sub> but at lower temperatures ( $T_{sub}$  <700 °C). At the scale of these images, UNCD films would be flat and featureless, and thus are not shown. (d)-(f) Examples of the different morphologies of polycrystalline CVD diamond grown from MW activated 0.5%CH<sub>4</sub> in H<sub>2</sub> gas mixtures with the following [N]/[C] input mole fractions: (d) 0.1%, when the films are primarily randomly oriented (111) octahedral crystals; (e) 1.0%, where the transition from (111) to square (100) surface morphology starts, and (f) 10%, where the film is predominantly (100) textured. Reprinted with permission from Ref.49. Copyright © 1994 American Institute of Physics.

Turning now to single-crystal diamond (SCD), there are currently two favoured approaches to synthesising larger area SCD by CVD methods.<sup>50</sup> One involves homoepitaxial growth on a preexisting SCD substrate, resulting in axial (but minimal transverse) enlargement of the crystal. A subtle variation of this is CVD overgrowth of natural gemstones to make them larger and therefore, of higher value; these 'hybrids' can be deceiving as they contain spectroscopic signatures from both natural and synthetic diamond.<sup>51</sup>

Such homoepitaxial growth is the driving force behind the burgeoning market in CVD diamond gemstones for the jewellery market, and is enabling fabrication of colourless diamonds approaching cm in size.<sup>52</sup> These recent developments are a direct result of improvements in the technology underlying CVD – notably, higher power MW reactors that enable increased gas dissociation in the plasma (and hence higher growth rates), and improved process management that allows the growth conditions to be precisely controlled and maintained for many days. Cloning (*i.e.* using a laser to slice off sections from the enlarged crystal) and then carefully positioning the resulting 'tiles' prior to further homoepitaxial overgrowth offers a means of increasing the lateral size to fabricate larger area 'wafers' of diamond.<sup>53,54</sup>

The other approach involves heteroepitaxial growth of diamond on another substrate material that is already available in large-area form. The constraints on this substrate material are considerable. In addition to being able to withstand the harsh growth conditions, it must also have appropriate lattice parameters and be available with high quality crystallinity. Iridium is the current substrate of choice, in thin film form (given its scarcity and expense) on, for example, an yttria-stabilized zirconia layer on a Si substrate, and diamond growth is encouraged by an initial BEN stage.<sup>55,56</sup>

#### 2.2.2 The CVD Growth Mechanism

The actual CVD diamond growth relies on a series of fast 'H-shifting' radical-forming and radical-interconversion reactions (1) involving, in the hot region of the plasma (or near the filament in a hot filament reactor), abstraction reactions such as

$$CH_y + H \rightleftharpoons CH_{y-1} + H_2 \qquad \qquad y = 4 - 1 \tag{1}$$

and, in the cooler regions, additions of the type

$$CH_{y-1} + H + M \rightleftharpoons CH_y + M$$
  $y = 4 - 1$  (2)

(where M is a third body). The relative concentrations of the various  $CH_y$  ( $y \le 4$ ) species depend on the local H atom concentration, [H] and  $T_{gas}$ , and thus show a wide spatial variation within the reactor volume.  $T_{gas}$  will often exceed 3000 K in the hot plasma region, yet be not much above room temperature near the reactor walls.  $CH_y$  radical recombination reactions yield  $C_2H_x$ species, which also cycle through a series of gas-phase H-shifting (abstraction and/or addition) reactions analogous to processes (1) and (2). Most of the carbon in the hot plasma region is in the form of  $C_2H_2$  (the most stable hydrocarbon at high [H] and  $T_{gas}$ ), whereas CH<sub>4</sub> (the input hydrocarbon) typically dominates in the cooler periphery of the reactor.<sup>57</sup> Further recombination and H-shifting reactions can lead to formation of  $C_nH_x$  (n > 2) species, which are relatively more abundant at higher input CH4 mole fractions. Since all MW CVD reactors contain steep  $T_{gas}$  gradients, it follows that the total gas-phase number density and the number densities of the H atoms and the various  $CH_y$  and  $C_2H_x$  species (and thus their inter-conversion rates) are all sensitive to location within the reactor. The challenge is further compounded by gas-surface reactions (at the growing diamond surface and at the walls of the reactor) and by gas transport which, in MW CVD reactors, is largely diffusive and thus mass (and species) dependent. Much of this complexity is now understood, however, through a combination of spatially resolved *in-situ* spectroscopic studies of the gas-phase composition as functions of process conditions and complementary plasma modelling.<sup>31-33,58-68</sup> Radicals containing just one carbon atom are recognised as key to CVD diamond growth and, of these, the methyl (CH<sub>3</sub>) radical is generally the most abundant in the immediate vicinity of the growing diamond surface (where  $T_{gas}$  is little more than half that in the plasma core).<sup>69</sup>

As noted above, CVD diamond typically presents two principal low-index surfaces – the C(111) and C(100) surfaces – and, given the high H-atom flux in the CVD environment, we here focus attention on the fully hydrogenated surfaces. The C(111):H surface has a simple

unreconstructed structure with an H atom terminating each surface C atom,<sup>70</sup> whereas the hydrogenated C(100):H surface has a  $2 \times 1$  reconstructed structure with rows of surface C atoms paired as dimers <sup>71,72</sup> – as shown in Figure 9.



Figure 9. The low-index reconstructed H-terminated diamond surfaces, together with a step edge. (a) (100) and (b) (111). C and H atoms are shown as grey and white spheres, respectively.

References 31 and 34 provide overviews of some of the reported CVD diamond growth models, but extensive discussion of these are outside the scope of this Review. By way of illustration, Figure 10 shows a series of elementary steps by which an incident CH<sub>3</sub> radical can insert into a C–C dimer bond on the C(100):H (2×1) surface. The sequence starts with the abstraction of a surface-terminating H atom by an incident gas-phase H atom, thereby creating a surface radical site. Given the relative fluxes of H atoms and CH<sub>3</sub> radicals striking the surface under typical CVD conditions, the most likely next step is chemisorption of another gas-phase H atom, re-terminating the site. Occasionally, however, an incident CH<sub>3</sub> radical will bond at such a site. This carbon atom delivered in this way can then be incorporated into the surface by a sequence involving another H-atom abstraction reaction and a ring-opening/ring-closing rearrangement<sup>73</sup> (from structure  $3 \rightarrow 6$  in Figure 10); a final H-atom addition ( $6 \rightarrow 7$ ) terminates the ultimate radical site and completes the net insertion of a bridging CH<sub>2</sub> group. Several of these steps involve activation barriers, but none are sufficiently large to be prohibitive at typical growth temperatures.<sup>74</sup> Analogous elementary reaction sequences have been found for other necessary conversions on the C(100):H (2×1) surface, *e.g.*, for carbon

addition across the trough in a dimer chain, and for (local) migration of a  $CH_2$  group along a dimer chain and along the dimer rows.<sup>74</sup> Comparable mechanistic studies for growth on the C(111):H surface are rare, though we note one study that identified formation of a four-atom nucleus on this surface as the critical 'seed' for next layer growth, and recognized that such a nucleus would have a 50/50 chance of forming a stacking fault (a contact twin).<sup>75</sup>



Figure 10. The series of elementary steps by which an incident  $CH_3$  radical can insert into a C–C dimer bond on the C(100):H (2×1) surface. The respective minima (normal font) and transition state (in italics) energies are quoted in kJ mol<sup>-1</sup>, relative to that of structure **1**. Only

the atoms treated by quantum mechanics (QM) in these QM/molecular mechanics calculations are shown. Reprinted with permission from Ref.74. Copyright © 2008 American Chemical Society.

The number of C- and H-containing species in a MW-activated CH<sub>4</sub>/H<sub>2</sub> gas mixture and the complexity of their myriad (temperature-dependent) gas- and gas-surface reactions is much too large to be included in any mesoscopic model for forming a block of diamond. Most recent models employ kinetic Monte Carlo (KMC) protocols wherein each reaction increment is selected randomly from a (greatly reduced) library of possible reactions using a time-based

probability algorithm. Again, a full description of KMC modelling of diamond growth is outside the scope of this Review, but interested readers should consult Refs.76 and 77 for details of the types of process that are typically included (or excluded) in such modelling, and the insights such modelling is now beginning to provide.

#### 2.2.3 Effects of adding nitrogen to C/H containing gas mixtures

Often undefined levels of nitrogen contamination (a consequence of working with an imperfect vacuum, or from air impurities in the source gases) influenced all early diamond CVD studies, and the community now pays much attention to controlling N<sub>2</sub> (and other) impurities in the activated gas mixture. MW-activated CVD using high purity CH<sub>4</sub> and H<sub>2</sub> source gases and a high-vacuum reactor now offers a straightforward route to forming type IIa diamond in the laboratory, as exemplified by homoepitaxially grown SCD samples with total N defect concentrations below 1 part per billion (ppb).<sup>37.</sup> In passing, we note that MW-activated CVD also offer routes to forming essentially monoisotopic diamond; a <sup>12</sup>C SCD grown from an isotopically pure <sup>12</sup>CH<sub>4</sub>/H<sub>2</sub> gas mixture, for example, will display significantly higher thermal conductivity than an equivalent diamond containing <sup>12</sup>C and <sup>13</sup>C in natural abundance.<sup>79</sup>

Intriguingly, however, many prior studies have shown that adding trace amounts of N<sub>2</sub> to the process gas mixture actually enhances the rate of diamond growth from MW-activated CH<sub>4</sub>/H<sub>2</sub> gas mixtures.<sup>49,80-88</sup> The presence of nitrogen in the process gas mixture also affects the surface morphology, encouraging the preferential formation of {100}-faceted surfaces in the case of polycrystalline diamond films (illustrated in Figure 8(d)-(f))<sup>49,80,89,90</sup> and macroscopic stepbunching (as illustrated in Figure 11) in the case of SCD samples.<sup>53,88</sup> Too much nitrogen in the process gas mixture, however, leads to smaller and less well oriented surface facets in polycrystalline films, and ultimately to NCD films or even graphitic deposits.<sup>49,91</sup> Excessive nitrogen in the input gas mixture has also been shown to disrupt homoepitaxial growth on all but the {100} face in the case of an SCD substrate.<sup>92</sup>



Figure 11. SEM image illustrating the characteristic macroscopic step-bunching seen on the surface of SCD samples grown using nitrogen-containing gas mixtures. Reprinted with permission from Ref. 88. Copyright © 2016 Japan Society of Applied Physics.

How the addition of small amounts of  $N_2$  – a reagent traditionally viewed as rather unreactive – to the process gas mixture can affect the gas- and gas-surface chemistry to the extent that it induces a doubling (or more) of the diamond growth rate and obvious changes in the surface morphology is still far from fully understood. Recent plasma diagnostic studies and gas-, gas-surface and solid-state modelling studies have provided several clues, however.

From the gas-phase perspective, a combination of experimental (laser absorption and optical emission spectroscopy) and modelling studies of MW-activated N<sub>2</sub>/H<sub>2</sub> (and NH<sub>3</sub>/H<sub>2</sub>) plasmas operating at process relevant powers and pressures identified the importance of reactions involving excited-state N<sub>2</sub> species.<sup>93</sup> N<sub>2</sub> molecules in the hot plasma region are promoted to a range of excited states by electron-impact excitation, the decay of which (by emission of a photon or by collisional relaxation) leads to an overpopulation (relative to that expected on the basis of local thermodynamic equilibrium) of the metastable first excited triplet (A<sup>3</sup>Π<sub>g</sub>) state. N<sub>2</sub>(A) state molecules have energies ~6.2 eV above that of ground (X) state N<sub>2</sub> molecules and, unlike N<sub>2</sub>(X) molecules, react with H atoms. This provides a means of breaking the strong triple bond and allowing N<sub>2</sub> to participate in the plasma chemistry – which, as in the NH<sub>3</sub>/H<sub>2</sub> plasma, involves 'H-shifting' reactions analogous to Eqs. (1) and (2) that drive the interconversion between the various NH<sub>x</sub> ( $x \le 3$ ) species.<sup>93</sup> This source is complemented by reaction (3)

$$CH + N_2 \rightleftharpoons HCN + N \tag{3}$$

in the hot plasma core of MW-activated CH<sub>4</sub>/N<sub>2</sub>/H<sub>2</sub> gas mixtures.<sup>94,95</sup> These studies found that most (>99.5%) of the nitrogen in the hot plasma region was still present as N<sub>2</sub>, but also recognised that the (modest) reactivity of N2 could be increased by operating at higher pressures p and/or microwave powers (P) – both of which would increase the maximum  $T_{gas}$ .<sup>95</sup> Less than 0.25% of the input N<sub>2</sub> was converted to HCN under the prevailing experimental conditions, and the relative abundances of all other N-containing species were deduced to be two (or more) orders of magnitude lower. Of those N-containing radical species that might be expected to be reactive during diamond CVD, *i.e.* N atoms and NH, NH<sub>2</sub> and CN radicals, the near-substrate (ns) concentration of atomic nitrogen, [N]<sub>ns</sub>, is higher than [NH]<sub>ns</sub> and [NH<sub>2</sub>]<sub>ns</sub>, and typically an order of magnitude higher than [CN]<sub>ns</sub>.<sup>95</sup> Changing the N/C ratio in the input gas mixture results in a proportional change in the [N]<sub>ns</sub>/[CH<sub>3</sub>]<sub>ns</sub> ratio just above the growing diamond surface, but has little effect on the [N]<sub>ns</sub>/[NH]<sub>ns</sub>/[NH<sub>2</sub>]<sub>ns</sub>/[CN]<sub>ns</sub> ratios. The finding that the  $[N]_{ns}/[CH_3]_{ns}$  ratio is much (~10<sup>-3</sup>) smaller than the  $[N_2]/[CH_4]$  mole fraction ratio in the input gas mixture is further testimony to the inherent stability of N2 in this plasma environment. Analyses of homoepitaxial diamond layers grown using MW-activated CH<sub>4</sub>/N<sub>2</sub>/H<sub>2</sub> plasmas return N/C ratios consistent with the predicted [N]<sub>ns</sub>/[CH<sub>3</sub>]<sub>ns</sub> ratios – suggesting broadly similar incorporation efficiencies for both species - but also show the N content to be sensitive to process conditions; increasing P and reducing  $T_{sub}$  both lead to a higher N content in the deposited material.53

Theory suggests that each of the 'potentially reactive' species identified above should be able to insert into a C–C dimer bond on the C(100):H (2×1) surface via a ring opening/ring closing reaction mechanism analogous to that shown in Figure 10 for the case of CH<sub>3</sub> addition.<sup>96</sup> Of these, CN radical addition appears least probable for several reasons. First, [CN]<sub>ns</sub> is typically less than [N]<sub>ns</sub>, though this imbalance is reduced when operating at higher pressures and/or MW powers. Second, a chemisorbed CN species must undergo a further gas-surface reaction step (an H atom addition) in order to form a surface-bound CNH species (isoelectronic with CO) that is capable of promoting an energetically feasible ring-opening/ring-closing reaction sequence. Third, the N–H bond in the CNH adduct is weak, and the binding energy of the CNH adduct is itself quite low, so this species will be prone to thermal dissociation and/or desorption.<sup>96</sup> However, the possibility of incorporating a CNH species remains an intriguing prospect since, if the ring-closed structure is reached, this mechanism alone has the effect of adding two heavy atoms, with the N atom sitting one layer above that of the current growth layer and optimally positioned to nucleate next layer growth. Given that such nucleation is generally viewed as the rate-limiting step in diamond growth, such CN incorporation would be predicted to lead to an enhanced material growth rate – as has been proposed also in the context of possible CN adsorption on the diamond  $\{111\}$  surface.<sup>75</sup>

Theory has also been used to investigate the effect of pre-adsorbed NH species on the binding of CH<sub>3</sub>/CH<sub>2</sub> groups at different step edges on the C(100):H (2×1) surface <sup>97</sup> and of substitutional N atoms on the energetics (and thus the rates) of the elementary reactions involved in incorporating a gas-phase CH<sub>3</sub> radical on the growing diamond surface.<sup>98-99,100</sup> It has been suggested that the additional electron density provided by a near-surface N atom weakens any proximal surface C–H bonds, which should enhance the rate of the H-abstraction step that creates the surface radical site necessary for CH<sub>3</sub> radical addition.<sup>100</sup> Much recent interest in CVD growth from C/N/H gas mixtures on (111)-oriented diamond substrates has focused on the finding that the spontaneously generated nitrogen-vacancy (NV) defects (featured in \$3.5.4.1) are highly oriented along the [111] axis.<sup>101-107</sup> These findings have been rationalized by atomistic simulations which assume addition of a gas-phase N atom to C(111) growing laterally in step-flow mode,<sup>108</sup> though it has also been suggested that a high alignment of NV defects along the [111] axis could be obtained by thermal annealing if the sample is under an appropriate biaxial compressive strain.<sup>109</sup>

#### 2.2.4 Doping CVD diamond films with nitrogen

Intrinsic, or undoped, diamond is highly insulating. To produce diamond with useful semiconducting properties it is thus necessary to alter its conductivity by adding controlled amounts of impurities. In traditional semiconductor physics, adding an element with one fewer electron (an acceptor) than the bulk, *e.g.* boron in carbon, should produce *p*-type semiconducting material, whereas adding an element with one more electron (a donor) should produce *n*-type material. Having both *p*- and *n*-type material is necessary for forming *p*-*n* junctions and the operation of many electronic devices, such as CMOS transistors. Doping diamond with boron can be achieved simply by adding small amounts of boron-containing gases (*e.g.* B<sub>2</sub>H<sub>6</sub> or B(CH<sub>3</sub>)<sub>3</sub>) to the gas mixture during the CVD diamond growth process.<sup>110</sup> This readily produces *p*-type boron-doped diamond (BDD) with conductivities ranging from semiconducting to near metallic,<sup>111</sup> and which can even become superconducting at temperatures <10 K.<sup>112</sup> BDD is already finding application in simple electronic devices,<sup>113</sup> microplasma devices,<sup>114</sup> biomedicine <sup>115</sup> and in electrochemistry.<sup>116-117,118,119</sup>

In contrast, doping diamond to achieve *n*-type semiconductivity has proven much more challenging. This is because, apart from nitrogen, all potential *n*-type dopant atoms have a larger atomic radius than carbon. These larger atoms cannot fit easily into the vacancy formed by removing a C atom from a lattice site. Unlike other semiconductor materials (*e.g.* Si and Ge) the rigid diamond lattice is less able to stretch to accommodate a larger atom in place of C. Most of the potential larger *n*-type dopant atoms (P, As, Na, Li) have a very low solid-state solubility in diamond, leading to dopant concentrations that are too small to be useful.<sup>120</sup>

Nitrogen is different, in as much that it is a potential electron donor and has an atomic radius less than that of carbon. It incorporates into diamond relatively easily – as evidenced by the naturally occurring type I diamonds and the discoloration of diamonds formed in the early HPHT growth experiments. As shown in §2.2.3, adding small amounts of  $N_2$  or  $NH_3$  to a CVD gas mixture leads to some N incorporation into the diamond lattice. Unfortunately, the N tends to reside at substitutional sites (C-centres), where – at least in the neutral charge state – it distorts the surrounding diamond lattice due to the preferential formation of a lone-pair on the N atom and a dangling bond on one of its four carbon neighbours. The N still acts as an electron donor, but the distortion generates a donor level that is 1.7 eV below the valence band (see section 3). The energy required to promote an electron from this 'deep donor' band into the valence band is much greater than that available at normal semiconductor operating temperatures, with the result that N-doped diamond is ineffective as an *n*-type semiconductor. Ironically, N-doped diamond can often be even more insulating than undoped diamond due to the N donors compensating for the (mostly) *p*-type acceptors that arise from defects, surface states or, in the case of polycrystalline CVD diamond films, grain boundaries.

Despite the lack of success for semiconducting N-doped diamond, other applications for this material have been reported, including thermionic emission devices for solar power generation,  $^{121,122}$  Fabry-Perot interferometry for optical biosensors,  $^{123}$  thermoluminescence and radiation dosimetry sensors,  $^{124}$  and as coatings for micro-tools.  $^{125}$  The N-doping story may not be completely over, however, given recent claims that co-doping of diamond with N together with another element, such as B<sup>126,127</sup> or Li,  $^{128}$  in the correct mixing ratio, may yet produce the elusive *n*-type material – though this has still to be demonstrated conclusively.

UNCD, introduced in §2.2.1, is a hard, nanosmooth material that is deposited using a CVD process similar to that used for other forms of diamond, but with a much higher C:H ratio in the source gas. UNCD films consist of diamond crystallites <10 nm in size surrounded by a matrix of enlarged grain boundaries composed of non-diamond  $sp^2$  carbon that can constitute

up to 10% of the volume of the film. The physical properties of UNCD are much reduced compared to those of larger grained diamond films but, when nitrogen-containing gases are added to the CVD growth mixture, the resulting films exhibit *n*-type semiconductivity and low resistivity.<sup>129-130,131</sup> Studies have shown that, in this case, the N is not substituting for C in the diamond lattice to act as an electron donor. Instead, the nitrogen atoms are concentrated within the extensive  $sp^2$  grain boundary regions, increasing the thickness of these boundaries and forming conductive regions composed of amorphous carbon nitride or nitrogenated diamond-like carbon. Thus, this is not true *n*-type doping in the conventional sense, but N-UNCD (as it is known) nevertheless behaves as an *n*-type semiconductor and has found use in a number of electronic devices.<sup>132,133</sup>

# 2.3 Diamond particles – diamondoids, nanodiamonds and microdiamonds

Alongside diamond in the form of single-crystal gemstones or thin films, diamond particles of different sizes are another area of considerable current scientific interest. A detailed comparison of the different diamond particle types, their properties and production methods has appeared recently.<sup>134</sup> For convenience, diamond particles are usually categorised by size into three broad groups.

#### 2.3.1 Diamondoids

The smallest of these diamond-particle size groups, *diamondoids*, are hydrocarbon cage molecules of size ~1-2 nm, in which the carbons all have tetrahedral  $sp^3$  hybridization such that the structures are totally or at least largely superimposable on the cubic diamond lattice.<sup>135</sup> Adamantane (C<sub>10</sub>H<sub>16</sub>) is the smallest diamondoid molecule, but by fusing together different numbers of adamantane cage units in different arrangements, a family of structural and isomeric 'polymantanes' can be created – the first few of which are illustrated in Figure 12. The smaller diamondoids, comprising up to four adamantane cages (diamantane, triamantane and tetramantane), can be synthesised using traditional organic synthetic procedures, but the number of synthetic steps required to make larger diamondoids is prohibitively large. Another production involves extraction, filtration and purification of sediments found in petroleum deposits. Millimetre-sized pure crystals of a whole range of polymantane molecules, some comprising ten or more adamantane cages per molecule, have been obtained by this method.<sup>136,137</sup>



Figure 12. Carbon-cage structures of the first three diamondoid polymantanes:
(a) adamantane C<sub>10</sub>H<sub>16</sub>, (b) diamantane, C<sub>14</sub>H<sub>20</sub>, (c) triamantane, C<sub>18</sub>H<sub>24</sub>. For tetramantane and above, the number of structural isomers increases rapidly. Either one or two hydrogen atoms (not shown) are bonded to every carbon to maintain the carbon valency of 4.

Because diamondoid molecules are simply cage hydrocarbons with an external surface terminated with C–H bonds, it is relatively simply to functionalise the surface by replacing the H with other chemical groups, such as carbonyls, hydroxyls, amines or cyanides.<sup>138</sup> It follows that other molecules, such as proteins, catalysts, or even DNA strands, can then be grafted onto the functionalised surface *via*, for example, amide or ester links. This has opened up the use of diamondoid molecules for a range of applications, such as pharmaceuticals, catalysis, molecular electronics and biosensors for DNA sensing.<sup>139</sup>

#### 2.3.2 Nanodiamond

As the number of conjoined cages increases, there comes a point where the diamondoid stops behaving like an individual molecule, but instead adopts the attributes and properties of a more macroscopic material or nanoparticle. As such, *nanodiamond* (ND) forms the second group of diamond particles, which typically have sizes 2-10 nm.<sup>140</sup> Various ND production methods have been demonstrated,<sup>141</sup> the most common of which is via detonation of oxygen-deficient explosives (such as 2,4,6-trinitrotoluene (TNT) and hexahydro-1,3,5-trinitro-1,3,5-triazine (hexogen)) in a non-oxidizing medium (*e.g.* an inert atmosphere or in water or ice) inside a specially constructed steel detonation chamber.<sup>142</sup> No additional carbon material is required, since carbon is already present in the explosive. The incomplete combustion of the explosives in an oxygen-deficient atmosphere leads to the formation of elemental carbon (in various forms) along with CO, CO<sub>2</sub>, water and nitrogen. The detonation produces a shockwave, which propagates through the reaction mixture at supersonic speeds. The elementary carbon products will condense in the

form that is thermodynamically stable at the prevailing temperature and pressure. In this case, the values in the transient shockwave can be  $p \sim 10-20$  GPa and  $T \sim 2000-4000$  K – under which conditions diamond is the thermodynamically favoured phase of carbon. At all other times, the pressure and temperature conditions favour other forms of carbon. Thus, the explosion produces a mixture of diamond particles, soot and other  $sp^2$  carbon material. The speed with which the gas cools after the shockwave, and hence the time for which the carbon is in the diamond and graphitic favoured regions of the p,T phase diagram (recall Figure 6), is controlled by the cooling properties of the surrounding medium – which controls the composition and yield of the diamond particles.<sup>143</sup> Because the high explosives used (TNT and hexogen) contain nitro groups, some of the nitrogen inevitably ends up either on the surface of the ND particles or encapsulated within them in substitutional lattice positions,<sup>144,145</sup> which is important for sensing applications (see Section 4.3).

The powdery mixture of products from the detonation is cleaned with various acids and reagents to remove unwanted metallic impurities and soot, and the  $sp^3$  carbon component isolated. The resulting detonation nanodiamond (DND) is commercially available as a powder or as a suspension in water produced at a rate of several tons per year. Unfortunately, partly as a result of their explosive birth, the DND particles tend to fuse into aggregates ~100 nm in size. Each DND particle is encased by  $sp^2$  carbonaceous material that binds the composite,<sup>146</sup> as illustrated in Figure 13. The as-supplied material thus usually requires de-aggregation into its constituent particles before subsequent processing. De-aggregation can be achieved in many ways, including ball milling, pulverisation, high-power sonication, acid treatments, controlled heating in O<sub>2</sub> or H<sub>2</sub>, or combinations of these methods.<sup>147</sup> Even after such cleaning and deaggregation, however, the isolated DND particles are not simply larger versions of a perfect polymantane. This is because, as the size of the particle increases, the minimum energy structure is achieved by restructuring the surface carbon atoms to a more  $sp^2$ -like arrangement. DND particles are thus viewed as having a diamond core surrounded by a (partially) graphitic or fullerene-like shell – and are sometimes described as 'bucky-diamonds'.<sup>148</sup> Similar energy minimization arguments suggest that any N atoms present in DND particles will tend to concentrate at the surface.<sup>149</sup>



Figure 13. Suggested structure of DND aggregates. The nanodiamond cores are surrounded by fullerene onion-like shells, and then fused together by carbonaceous material into a larger composite. Reprinted with permission from Ref.146. Copyright © 2008 Royal Society of Chemistry.

As a result of the various acid treatments and cleaning processes applied following detonation synthesis, the surfaces of DND particles are usually terminated with oxygen containing groups like carboxyl, hydroxyl or bridging-ether groups. DND particles are thus hydrophilic, which helps their stability when in aqueous suspensions. This surface termination can be modified by standard chemical methods,<sup>150</sup> including direct C-C coupling by photochemical reaction, diazonium salt coupling, click chemistry and other routes illustrated in Figure 14. For instance, heating DND in H<sub>2</sub> gives an H-terminated surface, which is mildly hydrophobic,<sup>151</sup> while treatment with F<sub>2</sub> yields F-termination, which is superhydrophobic.<sup>152</sup> Amination (addition of NH<sub>2</sub>) of the nanodiamond surface is of particular interest because it enables the subsequent binding of a large variety of functional molecules, such as bioactive compounds (proteins, enzymes, DNA), catalysts, drug molecules, or polymer building blocks by amide bond formation or other standard chemical procedures. Adding nitrogen-containing surface groups to DND turns out to be harder than expected, however, with most direct amination reactions proving only partially successful. A better strategy is to establish the amino functions slightly removed from the diamond surface, via a linking molecule -e.g. aminated silanes,<sup>153</sup> or Suzuki coupling of aminated aromatic species<sup>154</sup> or formation of aminomethyl groups.<sup>155</sup> Another approach is to form the amide bond using a highly carboxylated DND surface and a free amino

group from the molecule to be tethered. In this way, even large proteins can be immobilised on the carboxylated DND surface *via* peptide bonds. The peptide link can be made in a single step, enabled by auxiliary reagents such as EEDQ (*N*-ethoxycarbonyl-2-ethoxy-1,2-dihydroquinoline) or a suitable carbodiimide.<sup>142</sup> Alternatively, thionyl chloride can be used in a two-step method to convert the surface carboxylic acid groups into their respective acid chlorides, which then react readily with any amine groups present in the linking molecule to form amide bonds.<sup>156</sup> Such surface functionalisation methods can, of course, be applied to larger (HPHT or CVD grown) diamond samples also, but have been most extensively and profitably applied to NDs with their large surface-to-volume ratios.



Figure 14. Routes to functionalising the surface of nanodiamond (ND) particles. Reprinted with permission from Ref.140. Copyright © 2012 Springer Nature Publishing AG.

#### 2.3.3 Microdiamond

Particles larger than ~10 nm are also often referred to as nanodiamonds but when the particle sizes reach 500 nm (0.5  $\mu$ m) or larger it is more appropriate to call them microdiamonds (MDs) – though the labelling convention is often quite relaxed. Unlike the smaller (D)ND particles, which are irregular and shapeless, the larger nanodiamonds and especially the MDs tend to be more regular in shape and to exhibit facets on one or more faces.

The simplest MD production method is to mill, crush or pulverise larger lab-grown (HPHT or CVD) or natural diamonds, and then size select the fractions by decanting/sieving and centrifuging the suspension in water.<sup>157</sup> The smaller NDs may require repeated cycles of ultracentrifugation and decantation in order to obtain a reasonably monodisperse sample. Apart from the low cost, one of the advantages of this method is that the nitrogen content in the NDs (or MDs) reflects that in the original uncrushed diamond, which can be as high as a few thousand ppm for some natural type I or HPHT stones. Such high N content in the ND/MD particle greatly increases the chance of finding or creating sufficient NV centres suitable for many of the sensing applications described later in this Review.

Another route to ND/MD synthesis has been to use pulsed laser ablation of graphite in liquid (PLAL), also called liquid-phase pulsed laser ablation.<sup>158</sup> As the name suggests, the output of a high-power pulsed laser is focused onto a graphite target that is immersed in a liquid, usually water. Interaction of the intense laser radiation with both the target and the surrounding liquid creates an ablation plume that is composed of microbubbles of evaporated liquid in which some vaporised target material is trapped. The bubbles expand until they reach a certain critical combination of temperature and pressure, then collapse in a process known as cavitation. During the collapse of the bubble, the entrained materials are subjected to a very high temperature and pressure spike, sufficient to momentarily raise the system into the diamond-stable region of the carbon *p*, *T* phase diagram (see Figure 6). Thus, graphitic material converts into diamond. Analogous to detonation synthesis, because the process is short duration, the resulting diamonds are only nm in size. Once viewed only as a low-yield process (10–40% of NDs in the reaction product with an average particle size of 5–15 nm <sup>159</sup>), PLAL is now yielding sufficient amounts of monodispersed ND of sufficient purity and homogeneity to make it a commercially viable product.<sup>160</sup>

One advantage of the PLAL method is that the composition of the ND can be altered simply by changing the target material or the liquid medium. Thus, magnetic NDs can be produced by incorporating magnetic metals (*e.g.* cobalt) into the graphite target, and N-containing NDs can be formed by replacing the aqueous medium with ammonia solution. Indeed, PLAL of a graphite target immersed in concentrated ammonia solution has been shown to yield carbon nitride ( $C_3N_4$ ) nanoparticles.<sup>161</sup>

## 2.4 Implanting Nitrogen into Diamond

Ion implantation is widely used in the semiconductor industry to introduce dopants into a material with accurately controlled concentrations and depths in order to modify the electrical conductivity of the material. Doping diamond by ion implantation is more complicated than for many other materials, however, because of its tendency to graphitise when damaged.<sup>162</sup> The reason for this is self-evident from the carbon phase diagram (Figure 6): graphite is the thermodynamically stable form of carbon under normal conditions of p and T. By its very nature, ion implantation damages the diamond lattice, knocking C atoms from their lattice sites, creating vacancies and interstitials, and forcing impurity atoms (which may be larger than carbon) into the lattice. This damage can cause localised graphitisation, or a host of other defects such as vacancies, point defects and dislocations, and create significant lattice strain. For most other materials, such damage and defects can be annealed out by heating for an appropriate time. For diamond, however, all such mechanical damage (and the traditional 'solution') encourages relaxation to the more stable  $sp^2$  graphitic phase.

A further challenge with diamond is that the energy barriers to diffusion of many implanted impurities through the lattice are sufficiently high to ensure low diffusion probabilities – even at elevated temperatures. Thus, impurities are resistant to moving to the substitutional sites required for electronic doping and annealing simply accelerates the graphitisation process or enables vacancies to cluster, forming extended defect regions. Some of this damage can be reduced if the implantation itself is performed at higher temperatures (>800 K), which encourages instantaneous annealing around the damaged volume. Even so, the number of remaining extended defects and dislocations may still be sufficient to compromise electronic performance.<sup>163</sup> Implantation has been widely studied as a 'brute force' method to obtaining the elusive *n*-type doping of diamond (see §2.2.4), but the damage created, and subsequent graphitisation, has led to generally unfavourable results.<sup>164</sup>

Nitrogen, being smaller than carbon, is actually rather easier to implant into diamond than most other atoms, and N-implantation generally causes less damage.<sup>165-168</sup> N<sup>+</sup> ions implanted with keV to MeV energies come to rest mostly on interstitial lattice sites, but a subsequent anneal at  $T_{anneal} > 600^{\circ}$ C encourages their migration to substitutional lattice sites, forming C-centres.<sup>169</sup> Sustained annealing at this (or higher) temperatures, however, encourages proximal vacancies to diffuse through the lattice and become trapped alongside these substitutional nitrogen atoms – forming NV defects.<sup>170,171</sup> Such diffusion compromises use of N implantation

as a route to making *n*-type semiconducting diamond, but NV centres are key to a burgeoning number of magnetometry, biosensing and quantum information applications, as discussed in Section 4.

The last decade has witnessed huge progress in the quality and availability of both HPHT and CVD single crystal diamond grown under well-defined laboratory conditions, and in the controlled doping of such material by ion implantation. This, in turn, has led to huge advances in our knowledge and understanding of nitrogen-containing defects in diamond – much of it driven by the many exciting possibilities offered by the NV centre. The remainder of this Review is devoted to a comprehensive summary of the current state of knowledge relating to nitrogen-containing defects in diamond, and an overview of some of the more chemically oriented applications of nitrogen-doped diamond.

# **3** Properties of nitrogen-doped diamond

Diamond can be viewed as the ideal host for optically active, functional point defects. It has an unusually wide (5.47 eV) optical band gap, which encompasses a very broad range of possible defect-related emission wavelengths. It has a high Debye temperature; meaning that the phonon density-of-states for low-frequency (low-temperature) phonons is low, thus minimising interactions between point defects and phonons, and preventing those interactions from destroying useful quantum properties (like long spin-lattice relaxation times, *etc.*). It also has a low native spin density (only 1.1% of the carbon nuclei in a natural-abundance diamond are <sup>13</sup>C and thus have nuclear spin  $I \neq 0$ ).

As noted in Section 1, nitrogen is the dominant impurity in most natural and lab-grown diamonds.<sup>5,172</sup> In HPHT-grown diamond, nitrogen is primarily encountered in the isolated substitutional form  $N_s$ , whereas in natural diamond the elevated temperatures and geological timescales have caused the nitrogen to aggregate into multi-nitrogen-containing complexes. In this Section, we review the properties of the individual nitrogen-containing point defects in isolation, and then describe their production and interactions induced by treatments such as irradiation and/or annealing.

Many techniques are available to study point-defect centres in diamond. The following narrative draws on insights from theory and from the application of many different spectroscopies to samples grown under (better controlled and defined) process conditions. As

shown below, further insights can result from studying isotopically enriched samples, and samples under uniaxial stress. Typically, it is the holistic view reached by combining results obtained using multiple techniques that has proven critical for understanding the atomic structure and properties of different defects.

## 3.1 Optical properties

The optical properties of diamond defects are a significant part of its historical attraction and form the cornerstone of any future quantum technology applications. Unfortunately, the link between atomic structure and defect emission properties is not straightforward, so that it is not possible to link directly the atomic structure of a defect to a particular optical transition purely by studying its transition frequency. Instead, a number of techniques are employed, often in parallel, to determine structure.

The optical signatures of different processes in diamond typically occur at different energies and have different linewidths. In vibrational spectroscopy, one-phonon IR absorption in defectfree diamond is forbidden due to the inversion-symmetric, homonuclear structure of the medium. The addition of point defects can destroy the local symmetry, however, enabling local one-phonon absorption.<sup>173</sup> The lattice cut-off for a single phonon in diamond is at a wavenumber of 1332 cm<sup>-1</sup> (165 meV): vibrations at energies below this will propagate through the lattice and are typically broad, with linewidths >40 cm<sup>-1</sup> (5 meV). Vibrations with energies  $\geq$ 1332 cm<sup>-1</sup>, in contrast, are localised in both frequency and space, and will manifest as sharp spectral lines known as local vibrational modes (LVM), with typical linewidths ~3 cm<sup>-1</sup> (~0.3 meV) as illustrated in Figure 15. Most such vibrations appear in the range 1332 and 1500 cm<sup>-1</sup>, with the notable exception of the C–H (and in principle, N–H) stretch modes, which typically lie in the 2800-3350 cm<sup>-1</sup> region. Overtone transitions will also appear in this higher wavenumber range.



Figure 15. Typical IR absorption spectrum of a nitrogen-doped, HPHT-grown (*i.e.* type Ib) diamond. The spectrum is dominated by intrinsic multi-phonon absorptions, as one-phonon absorption in diamond is forbidden by symmetry in the absence of defects. The introduction of defects such as nitrogen can break the local symmetry, introducing extrinsic absorption which will either propagate through the lattice (for vibrations with wavenumbers <1332 cm<sup>-1</sup>) or remain spatially localised to the defect (a local vibrational mode, LVM, at wavenumbers >1332 cm<sup>-1</sup>). In the case shown, all the extrinsic absorption is due to neutral substitutional nitrogen atoms. Adapted with permission from data in ref.[174].

Electronic transitions in diamond occur at energies in the range from ~0.5 eV (4000 cm<sup>-1</sup>) up to the bandgap at 5.47 eV.<sup>173</sup> Typically, the spectrum of an electronic transition in diamond will display a sharp, purely electronic feature and a broad phonon-assisted sideband to higher energy in absorption, or to lower energy in luminescence. The purely electronic transition is termed a zero-phonon line (ZPL): typical linewidths are in the range 0.5-5 meV in ensemble measurements at low temperature (<80 K) but can be lifetime-limited (~20 MHz, 60 µeV) in measurements of isolated single defect centres. The phonon-assisted sideband may also include coupling to a localised vibrational mode, in which case the LVM will be observed offset from the ZPL by an energy corresponding to the LVM frequency. It will prove convenient to discuss such electronic spectral features more fully at the appropriate point in §3.2.1.

The optical spectrum of a point defect serves as a "fingerprint" of that defect, and the ZPL energy (or wavelength) is often used to label not just the optical spectrum with which it is associated, but also the originating point defect itself (in cases where the atomic constituents are not known). This has led to the compilation of several catalogues of optical transitions in diamond.<sup>175,176</sup>
Both optical absorption and luminescence measurements are widely employed in the study of diamond. Absorption measurements are typically limited to defects present at concentrations >100 ppb in samples of thickness 1 mm but are indispensable given their ability to quantify the number of defects present. Luminescence measurements, in contrast, are routinely capable of measuring emission from a single point defect at room temperature, as illustrated below (§4.4.1).

#### 3.1.1 Uniaxial stress

Point defects in diamond are necessarily orientationally degenerate (*i.e.* all equivalent orientations of a defect have identical properties) given the cubic symmetry of the host. However, the application of uniaxial stress to a crystal introduces an anisotropy, the effect of which on any given point defect depends on the angle between the applied stress axis and the symmetry axis of the defect – thereby lifting the orientational degeneracy. Application of a uniaxial stress will generally also lift any degeneracies in the orbitals associated with the defect. Thus, uniaxial stress can eliminate both electronic and orientational degeneracy, with the result that the energy of an optical (or vibrational) transition will shift and, potentially, split into multiple components under the stress-modified local potential. The number of components and their relative intensities provide a unique fingerprint of both the geometric symmetry (*i.e.* the atomic configuration) of the defect and the orbital symmetries of the states between which the transition occurs; analogous information can be obtained for the symmetries of vibrational transitions.<sup>177-181</sup> Uniaxial stress measurements have been critical in the study and identification of many defects in diamond.<sup>182-189</sup>

#### 3.1.2 Isotopic enrichment

The development of laboratory growth methods has enabled the routine synthesis of isotopically pure diamond and of samples containing isotopically enriched dopants. The availability of isotopically enriched samples is particularly valuable when exploring defect vibrational properties. The vibration of interest is often modelled as a 'diatomic mass on a spring', with the expected vibration frequency shift under isotopic substitution given by the square-root of the ratio of the reduced masses.<sup>190</sup> The synthesis of mixed-isotope samples allows determination of the number of equivalent nuclei involved in the defect, *via* the relative intensities of the resulting peaks.<sup>191</sup> Although isotopic frequency shift can confirm the presence of an element in a particular defect, lack of shift does not necessarily imply its absence, because if the element in question does not participate in a particular vibration, the frequency of the

vibration will be unchanged upon isotopic substitution. As described later, this is the case for the nitrogen atom in the 3107 cm<sup>-1</sup> C–H stretch mode of the N<sub>3</sub>VH<sup>0</sup> defect (see §3.5.5.3).<sup>192-194</sup> In the case of electronic transitions, isotopic substitution may induce a small ZPL energy shift for defects containing the substituted element,<sup>195,196</sup> which can be critical in assigning the presence of a chemical element to a given optically-active defect.<sup>197-201</sup> As with vibrational spectroscopy, however, the absence of measurable shift under isotopic substitution is not sufficient to conclude that the element itself is absent from the defect.

### 3.2 Spin properties

Electron paramagnetic resonance (EPR) spectroscopy has also been pivotal in the identification and probing of point defects in diamond. EPR measures the absorption/emission of microwave radiation between different spin levels of unpaired electrons in a sample held in a magnetic field. Diamond is a covalent solid, so any unpaired electrons in the material are associated with defects. In contrast to EPR measurements of liquid samples, where the measured spectrum depends intimately on the concentration of the radical (amongst other things), the EPR spectra of point defects in diamond are essentially identical sample-to-sample, as in each case the defect is "frozen" in place and exists in the same environment. This allows the publication of defect-specific spin properties which serve as an unambiguous identifier of a given diamond point defect.

Diamond in natural isotopic abundance is a dilute nuclear spin bath (only 1.1% of the atoms are <sup>13</sup>C, with a nuclear spin  $I = \frac{1}{2}$ ), which yields narrow resonance lines and enables high-resolution paramagnetic spectroscopy. As noted in §2.2.3, CVD offers a route to essentially pure <sup>12</sup>C diamond. Such extreme nuclear-spin-dilute environments are a prerequisite for the superlative spin properties of several diamond defects.<sup>202-204</sup> There are many in-depth texts covering both the EPR technique,<sup>205-209</sup> and its specific application to diamond.<sup>210-214</sup>

The power of EPR relies on the intimate relationship between the spin Hamiltonian parameters measured in an EPR spectrum, and the electronic, chemical and geometric structure of the defect. The spectrum of an EPR-active defect reflects its symmetry and orbital structure (*via* spin-orbit interactions), its chemical constituents (*via* electron-nuclear hyperfine, and nuclear quadrupole interactions), and spin-phonon coupling at the defect (*via* its spin relaxation properties). Identification of different chemical elements with similar nuclear spin generally relies on comparison of the spectrum with the natural abundance of different isotopes of each element. For example, nitrogen is a dominant impurity in diamond and is easily identified by

its 99.6% abundant I = 1 <sup>14</sup>N isotope. Where ambiguity exists, the synthesis of samples with isotopically enriched in the dopant of interest can be crucial to determining the elements involved, particularly in cases where the dominant isotope has I = 0 (as is the case for silicon and oxygen). Even once a dopant has been definitively identified, access to material containing only  $I = \frac{1}{2}$  isotopes (which removes additional spectral complexities associated with the nuclear quadrupole interaction) can be critical in understanding and fully interpreting the EPR spectrum. Studies involving samples enriched with <sup>15</sup>N are a good case in point, as illustrated in §3.5.4.3.

In most EPR measurements, the sample is mounted in a microwave resonator. This affords a very high sensitivity measurement, with a typical noise floor of  $\sim 10^{11}$  spins for samples with spin lifetimes as long as those in a material like diamond.<sup>205,215</sup> In a 40 mg diamond sample,  $10^{11}$  spins corresponds to a defect density of just 0.05 ppb. This sensitivity can be pushed to the ultimate limit – *i.e.* the detection of the spin of a single point defect – in cases where the electronic structure enables spin-state detection through charge collection or luminescence (see §3.5.4.1).

#### 3.2.1 Linking optical properties and spin properties

While EPR is capable of determining the structure and elemental composition of a defect, it does not intrinsically provide any link to the optical properties of that defect. Multi-excitation experiments, such as optical excitation with EPR detection (photo-excited EPR), or optical detection with EPR excitation (optically detected magnetic resonance, ODMR) provide a route to establishing this link. In the former, the sample is illuminated while inside a microwave cavity, and the EPR spectrum of the photo-excited state is monitored. In favourable cases (i.e. in systems where the photoexcited state displays a high intersystem-crossing probability), this approach allows access to excited states with non-zero (electron) spin in defects whose ground states are spin-free. In other cases, the double-resonance excitation may modify the intensity of an existing spectrum as a result of charge transfer with other defects in the diamond (photochromism, see §3.4). In either case, the double-resonance experiment provides a definitive link between the optical and EPR spectra of a common defect.<sup>183,216</sup> In some defects, a property of the optical spectrum (e.g. its intensity, polarisation, frequency, etc.) is dependent on the defect spin state, and this can be used to measure the spin properties directly, even in cases where the defect does not exhibit ground-state EPR or its spectrum is unknown.<sup>217-223</sup> This up-conversion from microwave (for typical spin resonance measurements) to visible

frequencies, where the photon energies are much higher than any thermal fluctuations and also where single-photon detectors are widely available, has been key to ensuring that studies of the magnetic-resonance properties of single point defects in diamond are almost becoming routine.<sup>224</sup>

### 3.2.2 Atomistic modelling

Point defects in diamond have been examined by a wide variety of experimental techniques; many directly yield information about the microstructure and chemical constitution of the defects, but others provide information that requires additional assumptions or analysis to be applied. As an example of the latter, IR absorption spectroscopy and Raman scattering both yield the wavenumbers of vibrational modes which may be in a spectral range typical of a carbon-carbon single or double bond, but the true composition of the oscillator and its arrangement within the diamond crystal will usually not be directly revealed by such measurements. Additionally, direct observation techniques are not able to measure many properties of point defects that one might wish to understand, *e.g.* transition-state structures and electron wave functions.

The use of computational tools to simulate point defects is thus a valuable adjunct to experiment, providing confirmation of inferences from observation. However, theory is undoubtedly also an essential quantitatively predictive approach for a wide range of physical properties, serving both to eliminate erroneous microstructure assignments and to reveal information about processes and properties not visible to experiment.

Computational approaches vary in both scale and accuracy. For systems with many thousands of atoms, or for simulations that follow processes over timescales in excess of nanoseconds, empirical methods are often used. For implantation cascade damage, relevant to the formation of nitrogen-containing colour centres, Monte Carlo simulations can be used, such as embodied in the SRIM (Stopping and Range of Ions in Matter) software. Such simulations<sup>225</sup> (*e.g.* as shown in §3.5.4.1.1) can provide insight into the depth profiles of implanted ions, but these approaches do not capture all of the physical processes and conditions, such as heating, channelling and damage accumulation, nor do they provide direct insight into the microscopic arrangement of the implanted ions.

Atomistic molecular-dynamics simulations are computationally costly, but can provide an unbiased assessment of kinetics, because reaction mechanisms necessarily must be assumed in

order to determine activation energies. The use of empirical potentials can significantly expedite computational simulations, especially where relatively infrequent processes require long simulation periods to obtain a statistically significant result. The reliability of empirical potentials for point defects is questionable, however, since many of the details of structure and observable properties depend explicitly on the electrons. Hence the preference for approximate quantum-mechanical (QM) approaches, such as density-functional tight-binding methods, as used to explore the dynamics of impurities in grain boundaries in UNCD.<sup>226</sup>

For processes and data that relate directly to the electronic part of the point defects, fully QMbased approaches are needed. This is true not only where the electrons in the system are directly responsible for the observable phenomena but also, more generally, because such approaches are not susceptible to the bias inherent to empirical approaches (*e.g.* pair potentials). Density functional theory (DFT) is the most common core approach to modelling arrangements of nuclei and electrons. This underpinning theory allows the calculation of total energies and forces based upon the spatial variation of the electron density. To achieve this, additional approximations are typically employed to render the approach tractable. Of these, the separation of the motion of the electrons from the nuclei (the Born-Oppenheimer approximation), the treatment of the contributions to the total energy from the exchange and correlation terms (the exchange-correlation functional) and the numerical convergence with respect to expansion of the electron states (the wave function basis) are probably the most important. The latter two contribute to the quantitative accuracy of basic properties (*e.g.* the lattice constant of the underlying diamond structure), while the former removes the electronphonon coupling from calculations.

The simplest DFT approaches underestimate band gaps and are relatively poor at assessing many-body energies that are so important for many colour-centres in diamond. Post-DFT approaches, such as the so-called screened-exchange method <sup>227</sup> are commonly employed to determine excited-state energies, such as for the NV and SiV centres in bulk diamond <sup>228,229</sup> and in nanodiamond.<sup>230</sup>

Where the calculations are suitably converged, even relatively basic consideration of the derivatives of the total energies obtained using DFT with respect to the nuclear coordinates provides routes to structural optimisation (energy minimisation yielding binding energies and chemical-reaction energy profiles), as well as spectroscopically observable vibrational modes, with Raman- and IR-active normal mode frequencies typically within a few percent of the

corresponding experimental value.<sup>194,231,232</sup> Once total energies have been obtained, the dependence upon the chemical potentials of the atoms and electrons provides formation energies and electrical level<sup>170,233</sup> (*i.e.* the equilibrium charge states expected for different locations of the Fermi energy with respect to the valence and conduction bands). Perhaps more importantly, the explicit inclusion of electrons in quantum-chemical modelling, often in tandem with energy correction strategies and hybrid numerical-DFT approaches, has allowed the accurate calculation and prediction of optical and spin properties of many defects in diamond.<sup>220,231,234-236</sup>

The combination of these factors, in combination with experimental observations, is often the key to unambiguous identification of the microstructure of point defects. The basic energetics provide insight into the likelihood of a given arrangement being stable under growth or subsequent processing conditions,<sup>100,237,238</sup> and detailed confirmation can then be sought *via* spectroscopic properties, such as the ionisation thresholds predicted at which bleaching of a photoluminescence transition would take place, stress response for vibrational modes,<sup>194,232</sup> and the magnetic signatures of the hyperfine interaction tensors in paramagnetic centres.<sup>239-241</sup> Computational data combined with modelling approaches for determination of observables therefore represents a powerful toolkit to gain understanding of interactions between defects, their behaviour under annealing and subsequent aggregation or destruction.

# 3.3 Irradiation and Annealing

The study of defects in isolation is frequently insufficient to determine their properties and structure, and is only one step along the road to achieving high quality, engineered diamond material containing a target defect in the desired concentration. Knowledge of the interactions between defects, their dynamics, and their creation or destruction routes, are all prerequisites to being able to target material for a particular application.

Common treatments performed on diamond with a view to processing its composition include the introduction of elements through implantation, the introduction of lattice damage through particle irradiation (*e.g.* with electrons, neutrons, or gamma rays) and moderate-to-hightemperature annealing of the material. Annealing provides thermal energy required to break bonds within the material, thereby allowing elements, vacancies, or defect complexes to diffuse through the lattice. Moderate annealing at temperatures  $T_{\text{anneal}}$  up to ~1600°C is achieved using a conventional tube furnace under an inert atmosphere or in ultra-high vacuum to prevent surface graphitisation. Above 1600°C, stabilising pressure (>6 GPa) is also required to prevent graphitisation; annealing at these temperatures is typically completed in presses used for HPHT diamond synthesis. Anneals can be completed in low-pressure, high-temperature conditions – quickly (< 1 min) if the temperature ramp rate is sufficiently high,<sup>242</sup> or on a more leisurely timescale if significant graphitisation of the diamond can be tolerated.<sup>243,244</sup>

The production route of a defect – which can be crucial in determining its structure – can often be deduced by simultaneously monitoring other known complexes that are created and destroyed in the same treatment. By way of illustration, the defect responsible for emission at 637 nm was originally hypothesised to have the structure of a nitrogen nearest-neighbour to a vacancy following observations that it was produced in material containing substitutional nitrogen and following irradiation and annealing.<sup>187</sup> Such analysis strategies are especially powerful when applied to contemporary lab-grown material, wherein impurity levels can be exquisitely controlled and the range of possible elements involved in any subsequently-produced defects thereby restricted. The interactions of different defects are further explored in §3.5.4.5 and §3.5.5.4.

## 3.4 Charge Transfer and Photochromism

Given its wide band gap, diamond at low (~ppm) doping levels can be considered an insulator. This allows the same point defect to exist in multiple charge states in the same sample simultaneously (depending on the local environment of each individual defect), and enables dynamic treatments which can redistribute charge between defects and whose effects are pseudo-stable at room temperature.

For defect charge states dominated by nitrogen donors, a protocol has been developed which allows the same crystal to be measured in two extremal charge states.<sup>189</sup> Optical excitation above the band-gap (*i.e.* at  $\lambda < 224$  nm) generates free carriers in the conduction band, which are continuously trapped and re-emitted until the excitation is removed, at which point a charge distribution is essentially "frozen in" (barring highly local effects such as charge tunnelling). Typically, this maximises the concentration of neutral substitutional nitrogen and, in turn, tends to maximise the concentration of the neutral charge state of other defects in the crystal. Conversely, heating at ~550°C in the dark gives enough thermal energy to allow electrons to redistribute from metastable states (but not enough to modify the atomic configuration of the vast majority of point defects), maximising the concentrations of positively charged nitrogen

donors and negatively charged co-defects. Schematically, for a donor D and defect X, this process can be written

$$D^0 + X^0 \qquad \xrightarrow{UV \text{ excitation}}_{\text{Heat treatment}} \qquad D^+ + X^-$$

This protocol has successfully demonstrated charge transfer between  $SiV^{0/-,201} NVH^{0/-245}$  and  $NV^{0/-,246}$  and these effects can be an extremely powerful diagnostic when used in conjunction with other methods. For example, if the two stable charge states of a given defect differ by a single electron, then at least one of them can be expected to be EPR-active, and hence enable a route to identify the atomic constituents. If the defect has associated optical transitions, then changes in the relative concentrations of the defect charge state can have a dramatic effect on the overall colour of the sample – an effect known as photochromism (for an example see §3.5.4.2). By correlating the gain of one optical transition with the loss of the other, it is possible to identify two different charge states of the same defect.

## 3.5 Nitrogen-containing defects in diamond

The notation used to describe defects in diamond is not universal, and the historic descriptors can be confusing. The traditional, alphabetical labels were introduced along with the A-, Band C-centres in Section 1, but such descriptors are not systematic. The notation used in the present Review is as follows: A substitutional defect is one where a host atom (here carbon) is replaced by another element and will be denoted by the element followed by a subscript 's'. Thus, the systematic label for the single substitutional nitrogen defect historically termed the C-centre (Figure 3) would be  $N_s$ , with the associated charge (e.g. +, -, 0) indicated by the appropriate superscript. The A-centre (Figure 3), involving two substitutional N atoms in nearest-neighbour positions, would in the systematic notation be labelled N<sub>2</sub>. The 's' in this case and in many larger substitutional defects is implicit and not normally specified. Defects involving two substitutional nitrogen atoms separated by, respectively, one and two carbon atoms – e.g. the  $N_s^0$ –C– $N_s^+$  and  $N_s^0$ –C–C– $N_s^+$  centres – are also known<sup>239,247-249</sup> but are only likely to be found in HPHT diamond samples containing high levels of substitutional diamond. Interstitial defects, where the defect element is additional to the host lattice, also exist and are here denoted using a subscript 'i'. The dinitrogen interstitial discussed in §3.5.3 is thus denoted as  $N_{2i}$  to avoid confusion with the  $N_2$  defect.

Another family of defects considered in this Section revolve around the vacancy, where a carbon atom is missing from the host lattice and four unstable radicals remain. The vacancy is labelled 'V' (and is sometimes found italicised in the literature to avoid any possible confusion with the element vanadium). Any, or all, of the four carbon radicals surrounding the vacancy may be substituted by nitrogen to yield the defects labelled N<sub>n</sub>V, where the number of nitrogen atoms *n* ranges from 1-4. The B-centre introduced previously in Figure 3 is a member of this family; with four nitrogens surrounding a vacancy, it is labelled the N<sub>4</sub>V defect. The vacancy and its associated radicals can also be decorated with hydrogen atoms; for example, the N<sub>n</sub>VH family, with n = 1-3. There is also potential for nitrogen to form multi-hydrogen-containing defects labelled N<sub>n</sub>VH<sub>m</sub>, where  $n \ge 1$ ,  $m \ge 2$  and n + m = 4 (§3.5.6). The hierarchy of defects involving nitrogen and hydrogen atoms around a vacancy is summarised in Table 1.

Table 1. The family of  $N_nVH_m$  defects with  $n + m \le 4$ . Those highlighted in orange are viewed as being fully saturated. Adapted with permission from Ref.21.

V	NV	$N_2V$	N <sub>3</sub> V	$N_4V$
VH	NVH	N <sub>2</sub> VH	N <sub>3</sub> VH	
VH <sub>2</sub>	NVH <sub>2</sub>	$N_2VH_2$		
VH <sub>3</sub>	NVH <sub>3</sub>			
VH <sub>4</sub>				

Other known nitrogen-based defects include, for example,  $N_3V_2N$ . This defect is based on a divacancy structure with six surrounding carbon radicals, three at each end. To achieve the  $N_3V_2N$  defect, the three radicals at one end are substituted by nitrogen, along with one of the radicals at the other end.

Many other nitrogen-containing defects involving species other than vacancies and hydrogen atoms are known in diamond, but space limitations dictate that these receive only passing mention. The  $N_s^0 C N_s^+$  and  $N_s^0 C C N_s^+$  centres were noted above and are complemented by hydrogen-containing analogues like  $N_sHC$ . This is a neutral defect (and thus has S = 0), wherein the hydrogen is bonded to the carbon and proximal to the nitrogen lone pair,<sup>250</sup> that has been linked with a vibrational mode at 3324 cm<sup>-1</sup> by comparing theoretical stress parameters with experiment.<sup>194</sup> Another major class of defects arises when a larger element (*e.g.* Ni) moves from a substitutional site around a vacancy into the space created. The remaining carbon sites around the incorporated defect can be further saturated with nitrogen to form defects up to and including, for example, the NiVN<sub>4</sub> split-vacancy defect discussed in §3.5.6.

Our understanding of nitrogen-containing defects in diamond, and their interconversion upon exposure to temperature and pressure, has made huge advances in the recent past. Thus, following this short preamble, we now summarise the current state of knowledge regarding many of the better characterised defects.

### 3.5.1 Single substitutional nitrogen, Ns

The single substitutional nitrogen defect, N<sub>s</sub> (Figure 3(b)), readily incorporates into lab-grown diamond produced by CVD or HPHT synthesis, and in natural diamond. The probability of N<sub>s</sub> incorporation is growth-sector dependent. In HPHT material, the nitrogen incorporation probabilities typically follow the trend:{111} > {100} > {113} > {110},<sup>251</sup> whereas in CVD-grown diamond, N<sub>s</sub> usually preferentially incorporates into {111} rather than {100} growth sectors,<sup>252</sup> with incorporation into {113} and {110} intermediate. In CVD diamond, N<sub>s</sub> usually greatly outweighs the incorporation of nitrogen in the form of other defects (*e.g.* NV, NVH).<sup>253</sup> Three charge states (–/0/+) of this defect are known.

# $3.5.1.1 \ N_s{}^0$

The Ns<sup>0</sup> centre (termed the P1 centre in the EPR literature) was first reported in 1959, and identified as involving nitrogen since this is the only naturally occurring atom with an almost 100% abundant I = 1 isotope.<sup>254</sup> This, and the observation of <sup>13</sup>C satellites from a unique carbon neighbour, established the model of a single substitutional N atom, with a unique N–C 'broken' (or stretched) bond to accommodate the unpaired electron density substantially localised in an N–C antibonding orbital. EPR measurements established that the electronic *g*-tensor is axially symmetric about the unique N–C bond, but that the departure from an isotropic *g*-value is very small.<sup>255</sup>

<sup>14</sup>N and <sup>15</sup>N hyperfine coupling parameters for the  $N_s^{0}$  centre have been determined accurately by electron nuclear double resonance (ENDOR) spectroscopy, and <sup>13</sup>C hyperfine coupling of the unpaired electron observed with neighbouring carbon atoms in several different positions.<sup>256-258</sup> The interactions between the electron and nuclear spins provide important insights into the defect structure. In the case of the  $N_s^{0}$  centre, the assignment of a <sup>13</sup>C hyperfine coupling to a specific site is determined by the <sup>13</sup>C relative intensities of the EPR satellites (which reflects the number of equivalent neighbours) and the magnitude, sign and symmetry of the interaction. Theory is now able to predict both the principal values and directions of these interactions quantitatively,<sup>241</sup> enabling a detailed picture of the unpaired-electron probability distribution. Analysis reveals that ~25% of the unpaired-electron density is localised on the nitrogen, with the remaining ~75% on the unique carbon; the localisation on other carbon neighbours is very small. The <sup>14</sup>N quadrupole interaction is axially symmetric about the <111> axis of the defect and analysis of the quadrupole and hyperfine interaction data shows the unique N–C separation to be ~30% greater than the normal C–C bond length,<sup>241,259</sup> see Figure 3(b).

Motional averaging of the  $N_s^0$  EPR spectrum is observed at temperatures >600 K, as the 'hopping' rate of the unpaired-electron probability density between the four possible N–C antibonding orbitals becomes comparable with the microwave frequency. By 1200 K, the EPR spectrum is truly isotropic, since the unpaired electron is 'hopping' so quickly that it samples an average of all possible sites. Measurements at intermediate temperatures have allowed determination of the reorientation activation energy, 0.7(1) eV.<sup>260</sup> The rate at which stress-induced ordering of the N<sub>s</sub><sup>0</sup> centre is annealed out has been investigated by EPR methods at lower temperatures (78-200 K), as a function of the relative alignment of the defect and the applied stress. These data highlight the importance of tunnelling between thermally populated excited vibrational states.<sup>261-263</sup>

Three EPR spectra originating from distinct coupled pairs of  $N_s^0$  defects have been reported for diamonds containing high concentrations of  $N_s^0$  centres.<sup>264</sup> With the external Zeeman field aligned parallel to a [100] crystallographic axis, the hyperfine interaction with the two  $N_s^0$ centres gives rise to a characteristic 1:2:3:2:1 peak intensity pattern for each centre. Another group of lines observed at about half the magnetic field of the  $N_s^0$  centre was attributed to a superposition of several spectra originating from weakly coupled pairs of  $N_s^0$  centres separated by more than ~0.7 nm. The coupling is too weak for satellites from the component spectra to be observable, but the forbidden transitions from all these pairs contribute to the half-field spectrum. Further, at high  $N_s^0$  concentrations, a replica of the  $N_s^0$  EPR spectrum observed at roughly twice the magnetic field of the usual  $N_s^0$  EPR spectrum is attributable to a two-photon transition at isolated  $N_s^0$  centres.

The IR absorption spectrum associated with the  $N_s^0$  defect in diamond grown from elements in natural isotopic abundance shows a maximum at 1130 cm<sup>-1</sup> and a sharp LVM at 1344 cm<sup>-1</sup>

(Figure 16).  $25 \pm 2$  ppm of N<sub>s</sub><sup>0</sup> centres equates to 1 cm<sup>-1</sup> of absorption at 1130 cm<sup>-1</sup>.<sup>265</sup> The 1130 cm<sup>-1</sup> peak shifts ~9 cm<sup>-1</sup> to lower wavenumber upon replacing <sup>14</sup>N with <sup>15</sup>N,<sup>266,267</sup> and the 1130:1121 ratio matches that expected for a C–N vibration. Changing the nitrogen isotope has no detectable effect on the sharp mode at 1344 cm<sup>-1</sup>, but this mode shifts to 1292 cm<sup>-1</sup> in diamonds made of <sup>13</sup>C atoms – implying that this mode is not associated with N atom motion but is a pure carbon vibration associated with the strengthened C–C bonds adjacent to the nitrogen.<sup>266</sup> Theory<sup>268</sup> predicts atomic displacements consistent with the observed isotopic dependences and confirms the experimental finding (from measured uniaxial stress-induced splittings<sup>269</sup>) that the 1344 cm<sup>-1</sup> LVM is an A  $\leftrightarrow$  E transition in a defect of trigonal symmetry, as depicted in Figure 3.





The electronic absorption attributable to the  $N_s^0$  defect shows an onset at energies ~1.7 eV and rises continuously to the band edge. The concentration of  $N_s^0$  centres correlates with the integrated intensity of a broad feature at 4.6 eV (270 nm)<sup>172</sup> though uncertainties associated with background absorption may handicap concentration estimates derived in this way.

Measurements of the onset of optical absorption and thermoconductivity measurements place the deep nitrogen-donor level at 1.7 eV below the conduction band  $(E_C)^{270}$  and suggest a photoionization energy of N<sub>s</sub><sup>0</sup> of 2.2 eV.<sup>271</sup>

### $3.5.1.2~N_s^{-}$

This centre was identified as the carrier of a transient IR absorption at 1349 cm<sup>-1</sup> induced by ultrafast ~400 nm photoexcitation of N-doped diamond samples.<sup>272</sup> N<sub>s</sub><sup>-</sup> defect formation was attributed to initial excitation of electrons from N<sub>s</sub><sup>0</sup> defects into the conduction band and the subsequent preferential recombination of these electrons with other N<sub>s</sub><sup>0</sup> centres (rather than with the original ionized nitrogen defects). Assignment of the 1349 cm<sup>-1</sup> to a LVM of N<sub>s</sub><sup>-</sup> was supported by DFT calculations,<sup>272</sup> which also suggest that N<sub>s</sub> possesses an acceptor level ~1.1 eV below the bottom of the conduction band.<sup>273</sup> Further, in diamond samples in which the dominant N-containing defect is N<sub>s</sub><sup>0</sup>, it was proposed that the 270 nm absorption is accompanied by N<sub>s</sub><sup>-</sup> creation via the reaction: N<sub>s</sub><sup>0</sup> + *hv*  $\rightarrow$  N<sub>s</sub><sup>-</sup> +h<sup>+</sup>, where h<sup>+</sup> is a hole in the valence band.<sup>273</sup>

## $3.5.1.3 \ N_s^+$

 $N_s^0$  can also donate an electron if the diamond contains a suitable acceptor (*e.g.* a vacancy, a nitrogen-vacancy defect or a substitutional boron atom). An  $N_s^+$  centre results, with the N atom sitting on the lattice site and four identical bonds to the neighbouring carbon atoms.<sup>92</sup>  $N_s^+$  is diamagnetic, so an EPR signal is neither expected nor observed. The  $N_s^+$  defect does give rise to IR absorption, however, with a sharp peak at 1332 cm<sup>-1</sup> accompanied by further features at 1115, 1046 and 950 cm<sup>-1</sup>.<sup>274</sup> The lower-wavenumber peaks shift (to 1040 and 940 cm<sup>-1</sup>, respectively) upon substituting <sup>14</sup>N by <sup>15</sup>N, consistent with their assignment to  $N_s^+$ , but the 1332 cm<sup>-1</sup> does not shift upon changing the nitrogen isotope.<sup>269</sup> 1 cm<sup>-1</sup> of absorption at 1332 cm<sup>-1</sup> is induced by 5.5 ± 1 ppm of  $N_s^+$  defects, <sup>274</sup> but quantifying  $N_s^+$  concentrations from IR absorption spectra requires care – as many defects contribute to absorption at 1332 cm<sup>-1</sup>

Jones *et al.*<sup>273</sup> also proposed that  $N_s^+$  can contribute to the absorption at 270 nm  $(N_s^+ + h\nu \rightarrow N_s^0 + h^+)$  but, given the large lattice relaxation (T<sub>d</sub> to C<sub>3v</sub>), recognised that such a transition would likely yield a structureless absorption feature. When multiple defects are present, substantial changes in the concentrations of  $N_s^+$  (and  $N_s^0$ ) can be produced by optical excitation (in the visible) and by heating in the dark to relatively modest temperatures (*e.g.* 

550°C). The  $N_s^+$  concentration in as grown N-doped CVD diamond can far exceed that of  $N_s^{0}$ , indicating that there are a great many electron traps.

3.5.2  $N_2^0$  (the A-centre) and  $N_2^+$ 

The aggregation of  $N_s^0$  centres to form A-centres has been much studied.<sup>8,275</sup> The formation rate of A-centres in thermal annealing studies of type Ib synthetic diamonds was shown to scale with the square of the initial concentration of single substitutional N atoms, implying that the A-centre involves two nitrogen atoms.<sup>275</sup> The structure of the A-centre is now accepted as comprising a pair of adjacent substitutional atoms with trigonal symmetry,<sup>276</sup> as shown in Figure 3.  $N_2^0$  centres give rise to IR absorption at 1282, 1203, 1093 and 480 cm<sup>-1</sup>,<sup>277</sup> as illustrated in Figure 17. 1 cm<sup>-1</sup> of absorption at 1282 cm<sup>-1</sup> is produced by 16.5 ± 2 ppm of  $N_2^0$ centres.<sup>7</sup> These IR absorptions are satisfactorily reproduced by modelling<sup>253</sup> based on the foregoing  $N_2^0$  structure, which also returns a doubly-occupied level around mid-gap that accounts for the 3.8 eV absorption edge seen in type IaA diamonds.



Figure 17. IR absorption spectra of the  $N_2^0$  (A-centre) defect in diamond. The primary peak at 1280 cm<sup>-1</sup> in a natural abundance sample (black) shifts to lower wavenumber in <sup>15</sup>N-enriched diamond (red). Figure adapted with permission from ref.[269].

 $N_2^0$  is diamagnetic and thus not amenable to study by EPR, while the  $N_2^-$  defect would be energetically unfavourable and therefore unlikely to form. However, the  $N_2^+$  centre is paramagnetic, and has been created in some natural single crystal diamonds by illumination with photons of energy >3.0 eV.<sup>259</sup>  $N_2^+$  defects could be created via electron capture by  $N_2^{2+}$  (diamagnetic) or by ionization of  $N_2^0$  centres, or possibly both, depending on the traps and donors available. The <sup>14</sup>N and <sup>13</sup>C hyperfine coupling matrices for the  $N_2^+$  defect show that essentially all the unpaired-electron population is in the lowest-energy antibonding orbital formed between the two N atoms, which are equivalent.

Using orthogonality and simple geometric considerations, the <sup>14</sup>N hyperfine interaction parameters allow estimation of the N–N separation in the  $N_2^+$  centre. The returned distance is similar to the unique N–C separation in  $N_s^0$ . This seems reasonable, given that the  $N_s^0$  and  $N_2^+$  centres are isoelectronic, and that the main cause of the bond extension is the placement of an electron in the antibonding orbital.<sup>259</sup> Studies on the number of  $N_2^+$  centres remaining after cessation of the optical excitation return a wide distribution of lifetimes – reflecting the large variation in the separation between any particular  $N_2^+$  centre and its electron trap and/or donor. In micron-sized powered diamond and nanocrystalline diamond,  $N_2^+$  can be observed without illumination, suggesting that a defect created near the surface can act as a trap and/or donor.<sup>259,278</sup>

### 3.5.3 Interstitial nitrogen defects

There are no definitive assignments to any spectroscopic signature of single interstitial nitrogen-related defect structures in diamond.<sup>279</sup> The EPR spectra of two defects observed in <sup>15</sup>N-doped material have been assigned to interstitial nitrogen defects (Figure 18(a)).<sup>280</sup> These assignments are not supported by DFT, however, which also failed to find atomic structures which satisfactorily explained the experimental data:<sup>281</sup> further work is required in this area.

The <001>-split di-nitrogen interstitial, N<sub>2i</sub>, (Figure 18(b))<sup>232</sup> is now assigned to the so-called H1a LVM transition at 1450 cm<sup>-1</sup> through a combination of uniaxial stress measurements and theory,<sup>232</sup> notwithstanding earlier nitrogen isotopic substitution experiments that identified the 1450 cm<sup>-1</sup> mode as containing two equivalent carbons and only a single nitrogen atom.<sup>191,197,282</sup> The confusion arises because the 1450 cm<sup>-1</sup> transition originates at a pair of degenerate modes, each of which does indeed involve one nitrogen and two carbon atoms.

The 1450 cm<sup>-1</sup> mode is visible immediately following irradiation of natural type Ia diamond, and is strengthened in type IaA diamond by annealing at  $T_{\text{anneal}} > 250^{\circ}\text{C}$ .<sup>191</sup> In this material, it seems probable that the low annealing temperature is a result of carbon interstitials migrating (at ~300°C)<sup>283</sup> and subsequently being captured at N<sub>2</sub>, *i.e.* C<sub>i</sub> + N<sub>2</sub>  $\rightarrow$  N<sub>2i</sub>. In type Ib diamond, the defect is produced following irradiation and subsequent annealing at 600°C. Here, it is hypothesised that its production is *via* single nitrogen interstitials, N<sub>i</sub>, being captured at remaining substitutional nitrogen centres.<sup>284</sup> H1a is stable to ~1400°C.<sup>232,285</sup>



Figure 18. Structure of (a)  $N_i$  and (b)  $N_{2i}$  in diamond. The interstitial atoms in both cases share a common <100> axis, and the respective defects possess  $C_{2v}$  and  $D_{2d}$  symmetry.<sup>232</sup>  $N_i$ has been tentatively identified by EPR,<sup>280</sup> but its assignment is not supported by DFT.<sup>281</sup> In contrast, a combination of uniaxial stress IR measurements and DFT have definitively identified  $N_{2i}$ .<sup>232</sup>

3.5.4 The N<sub>n</sub>V family, with n = 1-4

As noted at the start of this Review, nitrogen is the dominant impurity in most natural diamond, and forms the basis of the natural diamond classification system. Many natural diamonds also possess significant concentrations of defects in the  $N_nV$  form, with n = 1-4. These defects are generally considered to form *via* processes involving the capture of vacancy and nitrogenvacancy complexes, where the vacancies are generated at growth defects, and during natural (or artificial) irradiation.

To a good approximation, the electronic structure of the  $N_nV$  family of defects can be described using the 'vacancy-cage' model.<sup>283,284</sup> This model assumes that the gross properties of a vacancy-type defect in diamond can be derived by considering only those electronic orbitals that 'point into' the vacancy. Since the radicals are unstable, the electronic states that result are localised on the defect and lie in the band gap. For the  $N_nV$  family, therefore, the vacancy-cage model encourages us to focus on the symmetry (and valence) changes when up to four of the C atoms around a vacancy are substituted by N atoms. The structures of the  $N_nV$  (n = 1-4) defects are collected in Figure 19.



Figure 19. Structures of the N<sub>n</sub>V defects in diamond. (a) The N atom in the NV centre remains approximately on the lattice site of carbon (in undoped diamond) and does not relax significantly toward the vacant lattice site, giving an axial C<sub>3v</sub> symmetry. (b) The two N atoms and the vacancy in the N<sub>2</sub>V defect are retained in a {110} plane, giving the defect rhombic (C<sub>2v</sub>) symmetry. (c) The N<sub>3</sub>V<sup>0</sup> defect has the same (C<sub>3v</sub>) symmetry as NV, so the same vacancy-cage-based one-electron levels apply in both defects. (d) The N<sub>4</sub>V defect maintains the T<sub>d</sub> symmetry of the diamond lattice.

### 3.5.4.1 NV

The nitrogen vacancy centre, NV, comprises a single substitutional N atom adjacent to a vacant lattice site as shown in Figure 19(a), giving the defect a trigonal  $C_{3v}$  symmetry. Three charge states of NV are known: +, 0 and –, with total ground-state spin S = 0, ½ and 1, respectively.<sup>235,285-287</sup> NV defects have been subjected to huge recent attention owing to their potential applications in quantum computing, quantum communication and magnetometry (see Section 4). These applications stem from: the spin-dependent fluorescence of the NV<sup>-</sup> centre <sup>236</sup> – which, remarkably, reaches 30% contrast between different spin states, not just at cryogenic temperatures but also at room temperature;<sup>288</sup> its spin-selective optical transitions

that are accessible below ~10 K;<sup>289</sup> and long spin-coherence times, even at room temperature.<sup>202,290</sup> Such properties are rare in solid-state systems, though we note other rival candidates that have been identified in diamond,<sup>291,292</sup> silicon carbide <sup>293,294</sup> and hexagonal boron nitride (h-BN).<sup>295</sup> The properties of the NV<sup>-</sup> centre have been the subject of several indepth reviews.<sup>287,296,297</sup> Here, we look to summarise the properties of all charge states of the NV centre.



Figure 20. (a) Room-temperature emission spectra of NV<sup>0</sup> and NV<sup>-</sup>. These defects have weak ZPLs at 575 nm and 637 nm, respectively, with broad phonon-assisted sidebands contributing the majority of emission (and absorption). Reprinted with permission from Ref.298. Copyright © 2010 American Physical Society. (b) Simplified energy-level structure of the NV<sup>-</sup> centre in diamond. Optical transitions between the ground and excited state are bright (cycling) if the centre is in the  $m_s = 0$  spin sublevel, and dark (non-cycling) if the centre is in the  $m_s = \pm 1$  state. The spin-dependent difference in excited-state radiative *versus* nonradiative transition probabilities results in both spin-dependent fluorescence intensity (optically detected magnetic resonance), and optical spin polarization approaching 100%, even at room temperature. The NV<sup>-</sup> and NV<sup>0</sup> states exhibit optical ZPLs at 637 nm and 575 nm, respectively (Figure 20).<sup>187,299</sup> In both cases, the ZPL accounts for <5% of the total emission or absorption, with the remainder associated with a broad phonon-assisted sideband. NV<sup>-</sup> also shows an IR transition at 1042 nm, between a pair of spin singlet states.<sup>184,300</sup> No optical transition has been definitively assigned to NV<sup>+</sup>, and its presence is inferred only through the lack of emission from NV<sup>0/-</sup> in active or passive charge control experiments.<sup>235,286,301</sup> DFT calculations predict that the NV<sup>+</sup> defect has an *S* = 0 (rather than *S* = 1) ground state.<sup>235</sup> This state is thus unlikely to be observed by EPR. However, an optically accessible triplet state of NV<sup>+</sup> has also been predicted (~1 eV above the ground state),<sup>302</sup> but not yet observed.

 $NV^0$  possesses an  $S = \frac{1}{2}$  ground state, but no EPR has ever been detected from this state. The orbital structure of  $NV^0$  has been revealed by recent magnetic circular dichroism measurements, and shown to involve a relatively small spin-orbit interaction in the ground state.<sup>303</sup> Historically, the lack of EPR from the orbitally degenerate  $NV^0$  ground state has been attributed to dynamic Jahn-Teller distortion,<sup>285,298</sup> but recent measurements suggest it may be more a result of strain-broadening.<sup>303</sup> A high-spin  $S = \frac{3}{2}$  excited  $NV^0$  state is observed when suitable samples are illuminated with light of energy  $\geq 2.2 \text{ eV}.^{285,304}$ 

The most stable charge state of any particular NV defect depends on its local doping environment. We note that the concept of a Fermi level is not strictly applicable to a moderately doped wide-band-gap semiconductor such as diamond.<sup>305</sup> Nonetheless, the concept is useful to illustrate differences in the local charge environments. In the case of diamond doped with >100 ppb concentrations of substitutional nitrogen, the Fermi level is ~1.7 eV below the conduction band <sup>270</sup> and NV<sup>-</sup> is the dominant charge state.<sup>233</sup> In "electronic-grade" material, however, where the primary dopant is substitutional nitrogen at concentrations  $\sim 1$  ppb, it is possible to probe single isolated NV centres optically, typically using a confocal microscope.<sup>306</sup> Both neutral and negative charge states are observed in the latter material, with the "stable" charge state of a particular centre depending both on the local donor/acceptor density and the excitation wavelength used to probe the centre.<sup>307,308</sup> Active charge state control has been demonstrated for near-surface NV centres using electrodes formed by patterning oxygen and hydrogen termination of a diamond surface.<sup>235,286,301</sup> As Figure 21(a) shows, under typical NV excitation conditions using a laser operating in the green spectral region (520-532 nm range, which excites both the NV<sup>0</sup> and NV<sup>-</sup> charge states), any given centre will be in a pseudo-stable equilibrium – with NV<sup>-</sup> being photoionised to NV<sup>0</sup>, and photoexcitation of an electron from the valence band to the ground state of NV<sup>0</sup>, recovering NV<sup>-</sup>. Under higher laser intensity, this generates a detectable photocurrent that has allowed photoelectric readout of both NV ensembles<sup>309-311</sup> and single NV centres.<sup>312</sup>



Figure 21. (a) Photoelectric readout scheme from NV<sup>-/0</sup>. Intense green light causes a spin-dependent two-photon ionization of NV<sup>-</sup>, emitting an electron. Subsequently, a two-photon, spin-independent repumping process recovers NV<sup>-</sup> and emits a hole. Reprinted with permission from Ref.312. Copyright © 2019 American Association for the Advancement of Science. (b) Schematic diagram of a device used to perform photoelectric readout of NV. Reprinted with permission from Ref.311. Copyright © 2017 American Physical Society.

#### 3.5.4.1.1 Fabrication of NV defects

NV is very rarely observed in natural diamonds in any charge state, though it can be produced by electron irradiation and subsequent annealing in rare type Ib and some type Ia natural diamonds.<sup>187</sup> However, synthetic nanodiamond particles naturally contain small concentrations of NV centres which can be sufficient for some applications. Most applications require much higher concentrations of NVs, however, and so their concentration in the diamond must be increased in some way. Further, to make use of the optical and magnetic properties of these NV centres, they often must be located very near (within a few 10's of nm) of the surface and, for some applications, also in well-defined lateral positions.

NV defects are typically produced by the capture of vacancies at substitutional nitrogen atoms. The activation energy for movement of vacancies in diamond is  $\sim 2.3$  eV  $^{313,314}$  (corresponding to experimental temperatures of ~600-700°C). The nitrogen may be native (*i.e.* grown-in), or implanted to produce near-surface NV centres.<sup>165,168,315-318</sup> In the latter case, the required vacancies are introduced during the implantation process itself (Figure 22(a)). In low Ncontaining material, the spatial periodicity of the resulting array of NV centres illustrated in Figure 22(b) is limited not just by the precision of the implantation event but also by the requirement of a vacancy that is able to diffuse to the implanted species. When exploiting native nitrogen, the vacancies may be introduced by irradiation with electrons, protons, or neutrons,<sup>187,319-321</sup> by ion implantation with helium/carbon,<sup>322,323</sup> or by plasma processing.<sup>324</sup> An alternative method to produce the NV centres with high spatial precision is to use a highpower femtosecond laser pulse to displace carbon atoms in a vacancy 'laser writing' process, and then anneal the diamond to allow these vacancies to diffuse to any nearby N atoms. This can even be achieved using the same laser but operating at lower pulse powers.<sup>325,327</sup> This method has great potential for the placement of defects at desired locations with an accuracy of 10 nm-1 µm with minimal residual lattice damage.

The conversion yield of  $N_s$  to NV has been shown to be much higher when electron irradiating at elevated temperature (740°C) than when using the equivalent irradiation dose and then annealing post-irradiation.<sup>328</sup> The precise mechanism is not yet clear, but seems likely to be the result of a reduction in vacancy-recombination and vacancy aggregation.<sup>314</sup> Most of these techniques provide better depth than in-plane resolution, but the latter can be improved by using delta-doping during growth to confine a narrow nitrogen-doped layer within intrinsic buffer layers, achieving layer depth resolutions as thin as 4 nm.<sup>321,329</sup> Implanted nitrogen has been the historic focus in this area, but recent results suggest that those  $NV^-$  defects which achieve lifetime-limited coherent optical transitions are actually formed by vacancy capture at native nitrogen, rather than at the implanted nitrogen atoms.<sup>330</sup>



Figure 22. (a) Monte-Carlo simulations of N<sup>+</sup> ion implantation into diamond. Blue and red spheres indicate vacancies created by the implantation and the final stopping location of the ion, respectively. Adapted from Ref.331 under CC BY 4.0 license. Copyright © 2017 de

Oliveira *et al.*, (b) Array of single NV<sup>-</sup> centres created by ion implantation and imaged with a confocal microscope. © Deutsche Physikalische Gesellschaft. Reproduced by permission of IOP Publishing. CC BY-NC-SA licence, adapted from Ref.332.

Nanodiamonds made by pulverising larger CVD, HPHT or natural diamonds, often contain a high concentration of nitrogen as a result of the N present in the originating stones, while DND often contains N atoms from the N-containing high explosives used in their fabrication (see §2.3.2). These NDs also usually contain a significant number of vacancies; annealing at high temperatures (>800°C) allows these vacancies to migrate and become trapped at substitutional N sites (C-centres), forming the desired NV centres. The number of initial vacancies can be increased if required by high-energy electron irradiation. For NDs with little or no N content, ion implantation of nitrogen both adds additional N atoms and creates vacancies, such that subsequent annealing produces the NVs at the desired high concentrations. Conversion efficiencies from nitrogen to NV have been reported to be ~10%, producing NV densities of tens of ppm.<sup>157,333</sup> This corresponds to a few NV centres within a 10-nm ND particle.

For other types of lab-grown diamond, NV centres can be grown-in as a unit during CVD or HPHT growth. In the former, NV defects typically account for <1% of the total nitrogen incorporated during growth,<sup>253</sup> though the nitrogen incorporation rate and the N:NV ratio is highly growth temperature-dependent.<sup>334</sup> As noted in §2.2.3, NV centres formed during CVD growth are sensitive to the plasma conditions and the crystallographic surface presented to the plasma. Growth on substrate planes other than {100} (for which all <111> directions are equivalent) can result in non-equilibrium populations of NV with the four possible <111> orientations (so-called "preferential orientation", see Figure 23). For growth on <111> surfaces, it has been shown that in the majority of cases, nitrogen is incorporated first, and the vacancy is subsequently grown over the nitrogen on the next epitaxial layer.<sup>102</sup>

In {110} growth, only NV centres whose primary <111> axis is not in the substrate plane are occupied,<sup>253</sup> while growth on {111} substrates produces NV centres whose symmetry axes are all perpendicular to the surface (the other three orientations are effectively unoccupied).<sup>102,103,105</sup> Such preferential orientation of NV centres has also been reported on the <111> surfaces of HPHT-grown crystals.<sup>335</sup> At moderate temperatures (1500-1600°C), NV defects are thought to move through the lattice as a unit (§3.5.4.5.2).<sup>336</sup> Recent experiments have demonstrated loss of preferential orientation upon annealing at 1500°C, but it is not yet

clear whether this is due to the creation of additional NV centres or to the loss of preferential orientation in existing defect centres.<sup>337</sup>



Figure 23. Illustration of different NV orientations within the diamond lattice. There are four equivalent <111> directions in diamond: in typical production methods (*e.g.* ion implantation and annealing; or CVD growth on <100> substrates, where the angle between each <111> and <100> is identical), all four orientations will be populated equally, *i.e.* 25% each. However, CVD growth on substrates which present different relative angles between each <111> and the growth surface (*e.g.* growth on <111> or <110> substrates) can lead to non-equilibrium population of the four equivalent directions (so-called "preferential orientation" of defects). Preferential orientation is preferred for scalable fabrication of NV-based photonic devices and can increase the sensitivity of NV-based magnetometers (see Section 4).

Another strategy to get around the problem of positioning the NV centres with nm precision and within a few nm of a surface is to pattern the surface around the NVs. In this approach, shallow NV centres are created by implantation and annealing, as before, but then the surface is patterned into nanophotonic structures, such as columns or pillars by standard lithography and anisotropic etching processes (see Figure 24). By optimising the density of NVs and the column widths, it is possible to fabricate a device where approximately one out of three pillars contain a single NV centre.<sup>338</sup> This approach has the advantage of not only locating the NV centres precisely, but also enhancing the photon rates from these centres by directing the light out of the substrate rather than into the bulk, which can be a problem for NV centres buried under flat surfaces.<sup>339,340</sup>



Figure 24. Example of a fabrication process for positioning NV centres in nanophotonic diamond cylindrical nanopillars. Similar processes can be used to fabricate columns, cones or pyramid structures. Shallow NV centres are created in single-crystal diamond by implantation and annealing. Electron-beam lithography methods are then used to define ~100 nm diameter features on the surface. The diamond is etched into pillars ~1-2 µm deep using an O<sub>2</sub>-based plasma in an anisotropic dry etch process, thereby removing all NVs that are not protected under the resist. The resist is removed, revealing pillars with between 0-3 embedded NVs close to the top surface of the pillar. Figure adapted from Ref.341 under CC BY 4.0 license. Copyright © 2017 E. Bernardi, R. Nelz, S. Sonusen, E. Neu.

### $3.5.4.2 N_2V$

The N<sub>2</sub>V centre comprises two nitrogen atoms surrounding a vacancy in a {110} plane (Figure 19), giving the defect overall rhombic (C<sub>2v</sub>) symmetry.<sup>342</sup> As with NV, only the neutral and negative charge states of N<sub>2</sub>V have been directly observed, with their respective ZPLs at 503 nm (2.465 eV, also commonly labelled H3, where the H indicates a defect that is observed after irradiation and heating) and at 986 nm (1.257 eV, traditionally labelled H2) as shown in Figure 25. Given the low symmetry, no electronic degeneracy is possible in any charge state.



Figure 25. UV-vis absorption spectra of a treated HPHT-grown diamond. The sample contains several common defects of the  $N_n V^{0/-}$  family, many of which are photochromic. The sample is shown in two extremal charge states (laser illuminated and heated), induced using the charge-transfer protocols described in §3.4. The process is reversible and does not create or destroy defects. The 594 nm defect originates at a trigonal centre observed in irradiated, nitrogen-doped samples.<sup>180</sup> Its atomic structure has yet to be determined, with the current suggestion that it may be a negatively-charged di-vacancy.<sup>343</sup> Adapted with permission from ref.343.

The ground state of the  $N_2V^0$  defect has  ${}^1A_1$  symmetry  ${}^{342,344}$  and is thus EPR-inactive. This symmetry was confirmed via uniaxial stress measurements, and the structural form inferred from the production routes in natural diamond samples.  ${}^{276,344}$  The atomic structure responsible for the H3 feature was confirmed when photoexcited EPR measurements of H3-containing diamonds identified a spin-triplet excited state with the rhombic symmetry and equivalent nitrogen hyperfine interactions consistent with the N<sub>2</sub>V model.  ${}^{345}$ 

H3 is one of the most common luminescence centres observed in natural diamond (usually accompanied by N3 luminescence – see §3.5.4.3), and is readily introduced by irradiation and subsequent annealing (800-1600°C) of type IaA diamond.<sup>346</sup> In such material, H3 formation is attributed to the trapping of a vacancy at an A-centre (nearest-neighbour nitrogen pair) through the route N-N-V  $\rightarrow$  N-V-N.<sup>347</sup> As a result, the spatial locations of N<sub>2</sub>V centres are correlated with the location of nitrogen pairs, leading to ZPL broadening<sup>348</sup> and H3 luminescence quenching via resonant transfer to proximal A-centres.<sup>349,350</sup> N<sub>2</sub>V is also easily produced by irradiating and annealing HPHT-grown type Ib material (see §3.5.4.5). The defect formation in this case is understood to be dominated by mobile NV centres moving as a unit via emission and recapture of the same vacancy.<sup>336</sup> In turn, the NV centre is captured at an N<sub>s</sub> centre, forming N<sub>2</sub>V.<sup>351-353</sup> The window of stability for N<sub>2</sub>V production in type Ib material is therefore relatively narrow, beginning when the NV centre becomes mobile at ~1500 °C and ending when N<sub>2</sub>V breaks up via the emission of a vacancy to form A-centres.<sup>352</sup> N<sub>2</sub>V can also be created during HPHT synthesis. In this case, the defect is observed to be preferentially oriented on the {001} growth surfaces, with only the two possible orientations (of six) whose principal axis are in the growth plane identified post-synthesis.<sup>354-356</sup>

In natural diamond, H3 has been observed decorating platelets (interstitial aggregates frequently found in natural diamond) and dislocations,<sup>357</sup> resulting in striations of H3 luminescence that follow the local dislocation direction (Figure 26).<sup>358</sup> High-temperature annealing of brown natural diamonds (whose colour originates at dislocations introduced by plastic deformation<sup>359</sup> and which constitute the largest population of natural diamonds by colour<sup>360</sup>) can result in the generation of N<sub>2</sub>V centres by the release of vacancies from slip planes.<sup>361</sup> Dislocations are frequently introduced to natural diamond during their violent transport to the surface, and their form and defect decoration play a crucial role in distinguishing natural and lab-grown diamond.<sup>362</sup>



Figure 26. Above-band-gap fluorescence imaging shows dislocation networks decorated with H3 fluorescence (green) in a natural type Ib diamond. Reprinted with permission from Ref.10, Copyright © 2009 Mike Breeding and the Gemological Institute of America.

H3 has attracted attention on account of its relatively short radiative lifetime ( $\tau = 17.5$  ns) combined with high fluorescence quantum yield under pulsed excitaion.<sup>350</sup> Thus, there are several reports of the observation of single, isolated N<sub>2</sub>V<sup>0</sup> centres – making it a potential single-photon source.<sup>363-365</sup> The optical properties of H3 are exploited in a proposal for an N<sub>2</sub>V<sup>0</sup> quantum memory<sup>366</sup> based around the previously-mentioned triplet excited state,<sup>345</sup> though leakage into these same states also limits the quantum yield of a continuous wave (CW) photon source.<sup>367,368</sup> Nevertheless, H3 is unique among diamond colour centres as being the only one to have been reported as a viable laser-gain colour centre.<sup>369</sup>

In contrast to H3, only the ground and one excited state have been identified for the N<sub>2</sub>V<sup>-</sup> (H2) defect. H2 possesses a distinctive LVM 167 meV from the ZPL, which is associated with the vibration of carbon atoms local to the defect.<sup>342</sup> The H2 and H3 bands were first linked *via* photochromic effects observed in optical absorption measurements,<sup>370-372</sup> while subsequent uniaxial stress measurements showed that H2 and H3 possess the same symmetry.<sup>342</sup> The assignment of H2 to N<sub>2</sub>V<sup>-</sup> was not definitive, however, until the recent observation of EPR from the <sup>2</sup>B<sub>1</sub> ground state that correlated with the strength of H2 absorption.<sup>353</sup> These measurements demonstrated that the unpaired-electron spin density is distributed roughly between the two carbon atoms (and away from the lone pair on each nitrogen atom) and experiences only a weak dipolar interaction with the equivalent nitrogen atoms. Such behaviour is now recognised as common to the ground states of all the N<sub>n</sub>V (and N<sub>n</sub>VH) defects and reflects the fact that the difference in valence between nitrogen and carbon means that it is energetically unfavourable for the unpaired electron spin to be localised on the nitrogen itself.

 $N_2V^0$  is the stable charge state over a large range of Fermi levels (*E*<sub>F</sub>), with  $N_2V^-$  stable only for moderately *n*-type (*i.e. E*<sub>F</sub> ~ (*E*<sub>C</sub> – 2 eV)) material.<sup>170</sup> The existence of  $N_2V^+$  has been

predicted,<sup>170</sup> but its observation would require – simultaneously – enough nitrogen to form nitrogen aggregates and enough acceptors to overwhelm any remaining substitutional nitrogen (donors).<sup>21</sup>

### $3.5.4.3 N_3 V$

Unlike NV and N<sub>2</sub>V, only the neutral charge state of N<sub>3</sub>V<sup>0</sup> has been identified experimentally, *via* both optical and EPR spectra that have been labelled N3 and P2, respectively. N3 shows a distinctive optical spectrum (Figure 27), with a ZPL at 415 nm (2.985 eV) observed in both absorption and emission. The N3 absorption is accompanied by weak bands to higher (~3.7 eV) and lower (~2.6 eV) energy labelled N4 and N2, respectively, as shown in Figure 27.<sup>373</sup> The reported N3 fluorescence lifetime  $\tau = 41$  ns at 80 K, but this value is specimen dependent and decreases with increasing concentrations of N<sub>2</sub><sup>0</sup> centres.<sup>374</sup> The N3 centre is the most common colour centre encountered in natural diamond,<sup>172,375</sup> to the extent that it is one of the primary markers employed in the identification of natural and synthetic diamond.<sup>362</sup> N3 contributes to the characteristic blue emission yielded by most natural diamonds under above-band-gap excitation, in combination with so-called "Band A" emission from dislocation networks.<sup>357,362</sup>



Figure 27. Typical absorption spectrum of a natural diamond containing strong N2-N4 features. Adapted with permission from ref.376. Copyright © 2005 Gemological Institute of America.

 $N_3V^0$  retains the C<sub>3v</sub> symmetry of NV, with the carbon and nitrogen elements nearest-neighbour to the vacancy interchanged (Figure 19). Thus, it shares the same one-electron group theoretical levels as NV, implying that, within the vacancy-cage model, there is only a single hole in the  $N_3V^0$  charge state (*i.e.*  $S = \frac{1}{2}$ ) and that  $N_3V^-$  should be closed-shell and inactive to all but vibrational spectroscopy. Nevertheless, the seemingly-simple  $N_3V$  defect has generated much discussion regarding its electronic structure, and in particular the origin of the N2 absorption transition.<sup>374,377</sup> This may have been resolved by recent thermochromism experiments, which indicate that the N2 and N3 transitions may not share a common origin despite their correlation across several orders of magnitude in strength and large numbers of samples.<sup>378</sup>

The atomic structure of  $N_3V^0$  was determined *via* its ground-state EPR spectrum,<sup>379-381</sup> which was subsequently correlated with the N3 absorption spectrum.<sup>378</sup> The EPR spectrum of  $N_3V^0$  (Figure 28) is complex, due to the similar magnitude of the hyperfine and quadrupole interactions with each of the three equivalent nitrogen atoms. Though the predicted structure based on the atomic model was not in doubt, it was not until <sup>15</sup>N<sub>3</sub>V<sup>0</sup> in <sup>15</sup>N-enriched synthetic diamond became available that the ground-state spin Hamiltonian parameters could be determined satisfactorily.<sup>382</sup>



Figure 28. EPR spectra of the N<sub>3</sub>V<sup>0</sup> centre measured with the magnetic field parallel to the <001> direction in (a) natural abundance (essentially 100% <sup>14</sup>N) and (b) <sup>15</sup>N-enriched samples. The signal in the area shaded in blue arises from unrelated defects. The change from <sup>14</sup>N (I = 1) to <sup>15</sup>N ( $I = \frac{1}{2}$ ) removes the nuclear quadrupole interaction (arising from the inhomogeneity of charge within the nucleus itself) and greatly reduces the complexity of the N<sub>3</sub>V<sup>0</sup> spectrum. Adapted with permission from Ref.384. Copyright © 2017 American Physical Society.

There is limited (indirect) evidence suggesting the existence of  $N_3V^-$ . In particular, photochromism measurements of samples containing both  $N_s$  and  $N_3V$  defects show a simultaneous increase in the intensities of both N3 absorption and of IR absorption due to  $N_s^0$  when the samples are illuminated with red/green light.<sup>382,383</sup> This can be understood if the  $N_3V^-$  is acting as a donor, *via* the process

$$N_3 V^- + N_s^+ \rightarrow N_3 V^0 + N_s^0 \tag{4}$$

and indicates a photoionization threshold for  $N_3V^-$  in the range 1.65-2.2 eV.<sup>382</sup> This is consistent with the results of DFT calculations, which suggest a value ~1.9 eV.<sup>384</sup> It is also similar to the photoionization threshold of  $N_s^0$ , implying that samples containing both  $N_s^0$  and  $N_3V^-$ will be in metastable equilibrium when exposed to green light.<sup>384</sup> The recent observation of simultaneous electronic and nuclear spin polarisation of  $N_3V^0$  and  $N_s^0$  has been attributed to spin-selective relaxation in an excited triplet state of  $N_3V^-$ , with local  $N_s^0$  spin polarised *via* the emission of a spin-polarised current from  $N_3V^{-}$ .<sup>384</sup> The additional one-electron states required to generate a triplet state in  $N_3V^-$  are derived from the conduction band, rather than the oneelectron states of the defect itself,<sup>384</sup> though this is not corroborated by DFT calculations employing different functionals.<sup>21</sup> In the latter work, the presence of  $N_3V^+$  is also predicted, with an optical transition at ~0.6 eV.<sup>21</sup> These results should serve to motivate further investigation of the structure and properties of  $N_3V^-$ .

### $3.5.4.4 N_4V$

With lone pairs on each of the four nitrogen atoms,  $N_4V^0$  is a closed-shell centre. Thus, no optical or EPR transitions are anticipated. The addition or removal of charge from the defect increases its overall energy, so only the neutral charge state would be expected to be stable. Indeed,  $N_4V^0$  (the B-centre) is one of the dominant forms of nitrogen defect in natural (type Ia) diamond, revealed by its distinctive IR absorption in the one-phonon region (Figure 29). The B-centre has been weakly correlated with the N9 optical absorption band at 5.26 eV.<sup>385</sup> If this association proves correct, it would provide a measure of the photoionization energy of  $N_4V^0$ .



Figure 29. Infrared absorption spectrum of a natural diamond for which the one-phonon region is dominated by the contribution from B-centres. The spectrum shows a characteristic peak at 1170 cm<sup>-1</sup> and a sharp pseudo-LVM at the lattice cut-off wavenumber (1332 cm<sup>-1</sup>). Adapted with permission from ref.[10].

Given the difficulties in identifying S = 0, luminescence-free centres, assignment of the Bcentre spectrum to N<sub>4</sub>V<sup>0</sup> relies on a combination of DFT calculations<sup>268</sup> and circumstantial evidence from annealing studies.<sup>275,344</sup> Uniaxial stress studies struggle to produce resolvable splittings of the lattice-broadened, one-phonon continuum absorption.

Heat treatment of natural, brown IaB diamond can lead to near-colourless samples, whereas heat treating brown IaA or IaAB samples does not.<sup>386,387</sup> The B-centre is produced in nitrogendoped diamond *via* heat treatment at high temperatures ( $T_{anneal} > 2200 \text{ °C}$ ) under stabilising pressure.<sup>275,388</sup> This is understood to occur *via* migration of NV to N<sub>3</sub>V, and subsequent emission of a vacancy centre, NV + N<sub>3</sub>V  $\rightarrow$  N<sub>4</sub>V + V (*via* an N<sub>3</sub>V<sub>2</sub>N intermediate, as discussed below).



Figure 30. The H4 defect structure.

Irradiation of type IaB diamond to introduce vacancies, followed by low-temperature ( $T_{anneal} \sim 800 \,^{\circ}\text{C}$ ) annealing to make them mobile, results in production of the related luminescence centre, H4, (Figure 30) which emits at 496 nm.<sup>185,346,389</sup> H4 is produced by capture of a vacancy at N<sub>4</sub>V,<sup>352,389</sup> and subsequent N-V exchange to place the two vacancies nearest-neighbour to each other, resulting in the atomic configuration N<sub>4</sub>V–V  $\rightarrow$  N<sub>3</sub>V<sub>2</sub>N.<sup>345</sup> The structure of H4 was determined through its optically accessible excited state:<sup>345</sup> uniaxial stress measurements identified H4 as having the same C<sub>1h</sub> symmetry as revealed by the EPR spectrum.<sup>185</sup> H4 itself is destroyed by annealing at 1600°C.<sup>347,375</sup> In passing, we note how for both the A-centre (N<sub>2</sub>)<sup>185,276</sup> and B-centre (N<sub>4</sub>V),<sup>345</sup> the observation and identification of EPR spectra related to vacancy capture at the defect (*i.e.* H3/N<sub>2</sub>V<sup>0</sup> for the A-centre, and H4/N<sub>3</sub>V<sub>2</sub>N<sup>0</sup> for the B-centre) has been instrumental in advancing understanding of the precursor defect.

### 3.5.4.5 Production and aggregation of the $N_nV$ family

In general, the aggregation of the  $N_nV$  family towards  $N_4V$  is driven by the reduction in Gibbs energy associated with reducing the number of dangling bonds.<sup>351</sup> The energy required for direct substitutional nitrogen-carbon exchange is high<sup>282,390</sup> and the migration and aggregation of nitrogen is thus mediated by the diffusion of intrinsic and nitrogen-containing defect complexes. Irradiation of diamond with electrons, neutrons, or gamma radiation results in lattice damage in the form of the self-interstitial  $C_i$  (visible in both optical absorption<sup>188</sup> and EPR<sup>391</sup>), and vacancies (detected optically in the neutral state;<sup>392</sup> and both optically<sup>393</sup> and by EPR<sup>394</sup> in the negative state); the [V<sup>0</sup>]:[V<sup>-</sup>] ratio is defined by the relative concentrations of [V] and the donor [N<sub>s</sub>]. Interstitial production rates during irradiation are unaffected by the presence of N<sub>s</sub> (within 10% experimental error).<sup>395</sup> Historically, aggregation has been considered to be dominated by vacancy-driven processes, but more recent works have included contributions involving self-interstitials and nitrogen-carbon interstitials.<sup>351</sup> The narrative that follows is limited to cases where nitrogen is the dominant impurity.

### 3.5.4.5.1 Interstitial-driven processes

 $C_i$  atoms become mobile at temperatures ~300-400 °C.<sup>141,396</sup> Strain fields introduced around the vacancy introduce an additional energy barrier (over and above the diffusion energy), which  $C_i$  must overcome to enable vacancy-interstitial recombination.<sup>397</sup> Closely-located, highlystrained Frenkel pairs (close interstitial-vacancy pairs) will self-annihilate,<sup>398</sup> while the remainder of the  $C_i$  centres diffuse to other traps. Interstitials trapped at N<sub>s</sub> form N<sub>i</sub>:<sup>279,284</sup>

$$C_i + N_s \rightleftharpoons N_i (+ C) \tag{5}$$

where the (+ C) represents a carbon on its natural lattice site. The reverse process is unlikely due to the high binding energy of  $N_i$ .<sup>282</sup> The diamagnetic nature of  $N_i^-$ , and the spectral overlap of  $N_s^0$  and  $N_i^0$  in EPR,<sup>285</sup> both make it hard to quantify the concentration of these interstitials, [N<sub>i</sub>]. The diffusion barrier of  $N_i^{0/-}$  is calculated to be similar to, or lower than, that for C<sub>i</sub>, allowing  $N_i^{0/-}$  to migrate at similar temperatures – yielding  $N_{2i}$  *via* 

$$N_s + N_i \rightleftharpoons N_{2i} \tag{6}$$

Experimentally, N<sub>2i</sub> is observed (*via* the H1a line at 1450 cm<sup>-1</sup>) to anneal in at ~650°C in irradiated type Ib material<sup>399</sup> – consistent with process (6). In irradiated type IaA diamond, N<sub>2i</sub> centres are produced at  $T_{\text{anneal}} \ge 300^{\circ}\text{C}$ ,<sup>400</sup> presumably *via* interstitial capture at A-centres<sup>59,109</sup>

$$C_i + N_2 \rightleftharpoons N_{2i} (+ C) \tag{7}$$

Therefore, the limiting step in the production of  $N_{2i}$  in type Ib and type IaA diamond is the diffusion barrier to  $N_i$  and  $C_i$ , respectively.  $N_{2i}$  is destroyed at ~1400-1500°C,<sup>232,401</sup> by conversion to  $N_2$  and emission of an interstitial<sup>351</sup>

$$N_{2i} (+C) \rightleftharpoons N_2 + C_i \tag{8}$$

*i.e.* the reverse of reaction (7). In this manner, the original interstitial can be recycled, with the carbon interstitial effectively behaving as a catalyst in the aggregation from  $N_s$  to  $N_2$ .

In the absence of irradiation damage, the interstitial population is negligible and the interconversion between defects is dominated by vacancy-related aggregation. Note, however, that the presence of transition metals from the growth solvent in HPHT-synthesised diamond is understood to provide another source of self-interstitials, even in the absence of irradiation,<sup>351,400</sup> see section 3.6.

## 3.5.4.5.2 Vacancy-driven processes

Following irradiation, the first vacancy-related processes are observed at  $T_{\text{anneal}} \sim 700-800^{\circ}$ C, when the vacancy becomes mobile.<sup>313</sup> These vacancies are trapped by whatever is the dominant form of nitrogen present, *i.e.* 

$$N_s + V \rightleftharpoons NV \tag{9}$$

$$N_2 + V \rightleftharpoons N_2 V \tag{10}$$

$$N_4 V + V \rightleftharpoons N_3 V_2 N \tag{11}$$

in type Ib, type IaA and type IaB diamond, respectively. All these complexes are stable until ~1400°C, where NV begins to migrate. The energy required to completely break an NV defect (*i.e.* for the vacancy to escape Coulombic attraction and the nitrogen-induced strain field) is greater than that required for a sequence of local vacancy emission and re-trapping steps, as illustrated in Figure 31. In this scenario, V first interchanges with a neighbouring C, while the N atom becomes a substitutional defect. The next two steps move V progressively further from the N atom, from whence it could then return to a different site neighbouring the N atom. To effect a diffusion jump, the N atom must swap places with one of the C atoms bordering the vacancy. This last step results in the net movement of the N atom from one atomic site to a neighbouring site. Further migration events can occur repeatedly.<sup>336</sup> Any remaining N<sub>s</sub> defects will trap migrating NV to produce N<sub>2</sub>V.

$$N_s + NV \rightleftharpoons N_2 V \tag{12}$$



Figure 31. Motion of the vacancy associated with an NV defect through the lattice, resulting in net motion of the NV defect itself. At temperatures of 1500-1600°C, the defect breaks up (2) but the vacancy is trapped by the strain field from the nitrogen. The vacancy locally

migrates through the lattice, eventually approaching the nitrogen from the opposite side (5).

By interchange of the nitrogen and the vacancy (6), the defect has translated through the

lattice.

A-centre formation by the breakup of N<sub>2</sub>V defects (N<sub>2</sub>V  $\rightleftharpoons$  N<sub>2</sub> + V) is observed experimentally at ~1700°C.<sup>8,275,375</sup> The production of N<sub>2</sub> in irradiated type Ib material when annealed at 1500°C has traditionally been attributed to vacancy-enhanced aggregation through re-use of a vacancy (*i.e.* NV + N<sub>s</sub>  $\rightarrow$  N<sub>2</sub>V  $\rightarrow$  N<sub>2</sub> + V; V + N<sub>s</sub>  $\rightarrow$  NV).<sup>352</sup> This process requires the dissociation of N<sub>2</sub>V, transiently increasing the number of dangling bonds, and so should only become significant at  $T_{\text{anneal}} \ge 1600^{\circ}$ C. Thus, current opinion favours low-temperature aggregation of N<sub>2</sub> being driven by interstitial migration, as discussed in §3.5.4.5.1.

The precise production mechanisms for N<sub>3</sub>V and N<sub>4</sub>V are unclear. N<sub>3</sub>V can be formed in type Ib and IaA material at  $T_{\text{anneal}} \sim 1800\text{-}1900^{\circ}\text{C}$ ,<sup>382,402</sup> at which temperatures several formation routes can be envisaged, *e.g.* 

$$N_2 V + N V \rightleftharpoons N_3 V + V \tag{13}$$

$$N_2 + NV \rightleftharpoons N_3 V \tag{14}$$

Dissociation of  $N_2$  at high temperature  $(\sim 2000^{\circ}C)^{275,361,403}$  supplies a population of substitutional nitrogen during annealing. Vacancies may be emitted from interstitial aggregates (platelets),<sup>404</sup> by the breakup of multi-vacancy clusters,<sup>405</sup> or be continually emitted and re-trapped by the creation and destruction of NV and N<sub>2</sub>V defects.<sup>177</sup> Typically, the concentrations of N<sub>2</sub>V and N<sub>3</sub>V at all points in the aggregation process are significantly lower than the final concentrations of N<sub>2</sub> and N<sub>4</sub>V centres. This might imply that they are not significant
contributors to the final N<sub>2</sub> and N<sub>4</sub>V aggregation mechanisms, or simply that they are relatively less stable under high-temperature ( $T_{anneal} > 1800^{\circ}$ C) annealing.

## 3.5.4.6 Summary

The specific route to producing any particular member of the N<sub>n</sub>V family most efficiently can define the choice of starting material. Both the interstitial- and vacancy-mediated aggregation mechanisms are considered viable and could thus operate simultaneously, with the dominant mechanism for any given sample depending strongly on the relative concentrations of different impurities and intrinsic defects. Further care is also needed when targeting a particular final charge state. In the context of this section, which focuses on diamond samples wherein nitrogen is the dominant electrically-active dopant, we require the presence of substitutional nitrogen to stabilise negative charge states of the N<sub>n</sub>V defects (*via* N<sub>s</sub><sup>0</sup> + X<sup>0</sup>  $\rightarrow$  N<sub>s</sub><sup>+</sup> + X<sup>-</sup>) but its absence to stabilise the corresponding neutral charge state. Table 2 presents a summary of the principal aggregation processes, in conjunction with their activation energies and experimental annealing behaviour (if known).

**Table 2**. A list of aggregation processes, their activation energies,  $E_a$ , and experimental annealing behaviour (where known). Aggregation from N to N<sub>2</sub>V is relatively well-understood in both the vacancy- and interstitial-dominated regimes, but the dominant formation routes to N<sub>3</sub>V and N<sub>4</sub>V remain under debate.  $E_a$  values (where given) refer to the process stated on the left. Note there may be an additional energy barrier to recombination of different reactants – *e.g.* the strain barrier around the vacancy-interstitial recombination.<sup>397</sup> Adapted from Ref.343.

Process	Possible Mechanism	$E_{\rm a}$ / eV <sup>ref</sup>	$T_{\text{anneal}}$ / °C <sup>ref</sup>	
Vacanov				
vacancy		212		
$N_s + V \rightarrow NV$	V migration	2.3 313	700	
$N_2 + V \rightarrow N_2 V$	V migration	2.3	700	
$N_s + NV \rightarrow N_2V$	NV migration	4.9 <sup>336</sup>	1400-1500	
$NV \rightarrow N_s + V$	NV dissociation	5.8 <sup>336</sup>		
$N_2V \rightarrow N_2 + V$	N <sub>2</sub> V dissociation		1600-1700	
$N_2V + NV \rightarrow N_3V + V$	NV migration	4.9	1400-1500	
$N_2 + NV \rightarrow N_3V$	NV migration			
Interstitial				
$N_s + C_i \rightarrow N_i + (C)$	C <sub>i</sub> migration	1.6 404	300-400 314	
$N_s + N_i \rightarrow N_{2i}$	N <sub>i</sub> migration	0.8-2.4 282	650 <sup>399</sup>	
$N_{2i} + (C) \rightarrow N_2 + C_i$	N <sub>2i</sub> dissociation	5.9 <sup>351</sup>	1400-1500 269,280	
Vacancy-interstitial				
$V + C_i \rightarrow (C)$	C <sub>i</sub> migration	1.6	300-400	
$NV + C_i \rightarrow N_s + (C)$	C <sub>i</sub> migration	1.6	300-400	
$N_2V + C_i \rightarrow N_2 + (C)$	C <sub>i</sub> migration	1.6	300-400	
$N_2 + C_i \rightarrow N_{2i} + (C)$	C <sub>i</sub> migration	1.6	300-400	
$N_i + V \rightarrow N_s$	V or N <sub>i</sub> migration	1.6	650-700	
$NV + N_i \rightarrow N_2$	N <sub>i</sub> migration	1.6	650	

## 3.5.5 The $N_n$ VH family

Hydrogen is a common impurity in many types of diamond, with concentrations in excess of 1000 ppm reported in natural diamonds,<sup>406</sup> in the range 200-900 ppm for HPHT grown samples, but typically <50 ppm in CVD-grown single-crystal diamond.<sup>407</sup> These relative values might appear surprising, given that H<sub>2</sub> is the dominant source gas used in the CVD process, but

can be understood if the hydrogen is trapped at defects or inclusions in natural and HPHT samples (which do not exist in good quality SCD films). In this and the following section we summarise current knowledge relating to the structure, properties and inter-conversion of defects in diamond that contain both N and H.

## 3.5.5.1 NVH

The NVH defect (Figure 32(a)) was first identified in its negative charge state in untreated CVD-grown single crystal diamond, via its ground state  $S = \frac{1}{2}$  EPR spectrum, which also identified interactions with a nitrogen and a hydrogen atom.<sup>408</sup> This spectrum, and the hyperfine interactions, all imply C<sub>3v</sub> symmetry *i.e.* the defect retains a <111> symmetry axis. Initially, it was hypothesised that the hydrogen was directly bonded to the N atom,<sup>408</sup> but the lone pair on the nitrogen make this configuration chemically unfavourable.<sup>409</sup> Statically bonding the hydrogen to one of the three nearest-neighbour carbon atoms results in a defect with C<sub>1h</sub> symmetry, in contradiction to the experimental data.<sup>408</sup> This problem was solved by identifying the hydrogen as being *dynamically* bonded, with the hydrogen atom tunnelling between the three equivalent carbon bonding sites, and resulting in a time-averaged C<sub>3v</sub> axial symmetry.<sup>410,411</sup> Further experiments using cryogenic multi-frequency EPR failed to measure a static C<sub>1h</sub> spectrum, though transition-dependent linewidth broadening was observed, strengthening the case for the dynamic model and yielding an estimated tunnelling rate of order  $10^8 \text{ s}^{-1}$ .<sup>412</sup>



Figure 32. The structures of the (a) NVH, (b) N<sub>2</sub>VH, (c) N<sub>3</sub>VH and (d) N<sub>2</sub>VH<sub>2</sub> centres. The H atom(s) tunnel between the three equivalent carbon bonding sites in (a) and the two equivalent sites in (b), giving the defects time-averaged (on EPR timescales) trigonal (C<sub>3v</sub>) and rhombic (C<sub>2v</sub>) symmetry, respectively.

The concentration of NVH<sup>-</sup> ([NVH<sup>-</sup>], as measured by EPR) has been shown to correlate with a broad visible absorption band at 520 nm<sup>231,413,414</sup> and with a sharp IR absorption feature at 3123 cm<sup>-1 415</sup> that is commonly observed in CVD diamond grown with moderate nitrogen in the source-gas mixture.<sup>416,417</sup> Subsequent photochromism measurements demonstrated anticorrelation between [NVH<sup>-</sup>] and the intensity of the 3123 cm<sup>-1</sup> feature,<sup>413,415</sup> allowing the latter to be assigned to NVH<sup>0</sup>.<sup>245,414</sup> The IR transition wavenumber is invariant under nitrogen isotope substitution,<sup>417</sup> and is thus assigned to a C–H stretch mode within the defect – an assignment supported by DFT calculations.<sup>194</sup> Uniaxial stress measurements of the 3123 cm<sup>-1</sup> transition imply a defect with C<sub>1h</sub> symmetry, however, rather than the C<sub>3v</sub> symmetry observed for the NVH<sup>-</sup> centre in EPR.<sup>415</sup> This apparent paradox is resolved by recognising that the tunnelling rate of the hydrogen atom must be faster than the standard EPR timescale (~50 GHz), in which the time-averaged C<sub>3v</sub> symmetry is observed, but slower than the optical timescale (~100 THz), which yields the static C<sub>1h</sub> symmetry. The NVH<sup>0</sup> concentration [NVH<sup>0</sup>] can be derived from the integrated intensity and/or height of the 3123 cm<sup>-1</sup> feature. NVH is a common defect in CVD-grown diamond, where it can comprise up to 10% of the total identified nitrogen content.<sup>101</sup> As with NV, preferential orientation of native NVH defects has been measured for CVD growth on (110) surfaces,<sup>101</sup> but it is not yet clear if the NVH grows as a unit, or as NV which subsequently traps hydrogen. Either way, the presence of the hydrogen results in much shorter spin lifetimes; NVH defects are thus unlikely to prove useful in spin-based quantum communications or quantum computing applications.

NVH is formed in hydrogen-containing, nitrogen-doped material when subjected to  $T_{\text{anneal}} \ge 800^{\circ}\text{C}$ . Under these conditions, the vacancies are thought to trap hydrogen and move as a VH unit,<sup>21</sup> before being trapped at a substitutional nitrogen to form NVH. NVH appears to be more stable than NV itself, as it anneals at a higher temperature (~1800°C,<sup>413</sup> *cf*. 1500-1600°C for NV).

#### 3.5.5.2 N<sub>2</sub>VH

 $N_2VH^0$  was recently identified by EPR in moderately nitrogen-doped ([N<sub>s</sub>] = 3-15 ppm) CVDgrown samples.<sup>418</sup> The defect has an  $S = \frac{1}{2}$  ground state and, as with NVH (§3.5.5.1), the hydrogen atom is found to re-orientate between the equivalent carbon bonding locations at a rate that is fast compared to the EPR timescale, leading to a time-averaged rhombic  $C_{2v}$ symmetry (Figure 32(b)), as opposed to the static  $C_{1h}$  symmetry.<sup>419,420</sup> Nitrogen isotopic substitution confirms the presence of nitrogen in the defect.

Table 3 collects together the hyperfine parameters, percent unpaired-spin density localised on each N atom, and the principal quadrupole parameter for the various EPR-measured N<sub>n</sub>V and N<sub>n</sub>VH defects. Like other N<sub>n</sub>V and N<sub>n</sub>VH defects, N<sub>2</sub>VH is photochromic.<sup>418</sup> Calculations suggest the other stable charge state in *n*-type material is N<sub>2</sub>VH<sup>-</sup>,<sup>420</sup> which is predicted to be both S = 0 and optically inert.<sup>21</sup> Two IR bend-mode absorption peaks at 1375 and 1378 cm<sup>-1</sup>, which have previously been observed in irradiated and annealed pink CVD diamond,<sup>421</sup> correlate in intensity with N<sub>2</sub>VH<sup>0</sup>, and their assignment to N<sub>2</sub>VH<sup>0</sup> is supported by DFT calculations.<sup>21,419</sup> If correct, the integrated intensity of the 1378 cm<sup>-1</sup> transition can be used to provide a measure of the N<sub>2</sub>VH<sup>0</sup> concentration, [N<sub>2</sub>VH<sup>0</sup>].<sup>418</sup> N<sub>2</sub>VH<sup>0</sup> is also produced in nitrogen- and hydrogen-containing samples by HPHT annealing at *T*<sub>anneal</sub>  $\geq$  1800°C. By analogy with the N<sub>n</sub>V family, its destruction at achievable temperatures is expected to occur by capture of additional defects, rather than by thermal breakup of the defect itself.<sup>21</sup> **Table 3**. Comparison of the hyperfine parameters (A / MHz), percent unpaired-spin density localised on each nitrogen atom, and principal quadrupole parameter ( $P_{\parallel} / MHz$ ) for the EPR-measured N<sub>n</sub>V and N<sub>n</sub>VH defects. For defects which possess axial symmetry,  $A_1 = A_2$  by

	$A_1$	$A_2$	$A_3$	% localised on	$P_{\parallel}$	Ref.
				each N		
NV <sup>-</sup>	-2.70		-2.14	< 1	-5.0	422
NVH <sup>-</sup>	-2.36		-2.09	0	-4.8	408
$N_2V^-$	-2.92	-3.22	-2.47	1	-5.0	353
$N_2 V H^0$	+3.91	+4.24	+6.51	2	-4.6	418
$N_3V^0$	+7.45		+11.30	а	-4.7	382

symmetry.

<sup>*a*</sup> For N<sub>3</sub>V, the similar magnitude of the anisotropic hyperfine and dipolar interactions make localisation estimates inaccurate.<sup>423</sup>

#### 3.5.5.3 N<sub>3</sub>VH

N<sub>3</sub>VH is considered the terminus of the N<sub>n</sub>VH family, as all bonds into the vacancy are saturated. The formation energy of N<sub>4</sub>VH is extremely high, making its formation unlikely.<sup>21</sup> As with N<sub>4</sub>V, only the neutral form is considered stable, irrespective of the pseudo-Fermi level. IR absorption features at 1405 cm<sup>-1</sup> and 3107 cm<sup>-1</sup> (corresponding to bend and stretch modes, respectively) have recently been assigned to N<sub>3</sub>VH<sup>0</sup> through a mixture of DFT<sup>194,424</sup> and uniaxial stress studies.<sup>269,415</sup> The defect possesses  $C_{3v}$  symmetry, with the hydrogen bonded to the sole carbon (Figure 32(c)) and has S = 0 in the stable, neutral form.

The 3107 cm<sup>-1</sup> peak is often prominent in the IR spectra of type Ia natural diamond,<sup>192,421,425-427</sup> and its intensity may exceed the intrinsic diamond multi-phonon absorption in so-called "hydrogen-rich" diamond.<sup>428</sup> The 3107 cm<sup>-1</sup> peak shifts to 3098 cm<sup>-1</sup> in <sup>13</sup>C-enriched samples, and shows no shift under nitrogen isotopic enrichment.<sup>192,193</sup> N<sub>3</sub>VH<sup>0</sup> concentrations in natural diamond have been estimated by assuming that the 3107 cm<sup>-1</sup> peak has an oscillator strength similar to that reported for the 3123 cm<sup>-1</sup> C–H stretch mode in NVH<sup>0</sup> (see §3.5.5.1). On that basis, the observation of 3107 cm<sup>-1</sup> peak absorption coefficients as large as 30 cm<sup>-1</sup> in many natural samples <sup>429</sup> implies N<sub>3</sub>VH<sup>0</sup> concentrations >20 ppm, *i.e.* that the N<sub>3</sub>VH<sup>0</sup> defect accounts for a significant proportion of the total measured nitrogen in these samples.

The 3107 cm<sup>-1</sup> absorption feature is observed in hydrogen- and nitrogen-containing CVD diamond samples post-annealing at  $T_{\text{anneal}} \ge 1800^{\circ}\text{C}$ ,<sup>401</sup> with a relative intensity that increases up to 2300°C.<sup>269,430</sup> A weak 3107 cm<sup>-1</sup> absorption (corresponding to approximately 2 ppm N<sub>3</sub>VH<sup>0</sup>) has also been observed after annealing HPHT-grown samples (containing >200 ppm nitrogen) at  $T_{\text{anneal}} \ge 2100^{\circ}\text{C}$ .<sup>193</sup> No hydrogen-related absorption was identified in these HPHT samples before the high-temperature anneal, so the hydrogen must be incorporated in an (as yet) unidentified form. It is likely that N<sub>3</sub>VH production in CVD-grown samples is typically nitrogen-limited whereas, in HPHT samples, the production of this defect is more likely to be hydrogen-limited. The same annealing conditions can destroy the 3107 cm<sup>-1</sup> absorption feature in some natural diamonds, suggesting that it can interact with other defects in the crystal at these temperatures.<sup>431</sup>

## 3.5.5.4 Production and aggregation of the N<sub>n</sub>VH family

The annealing behaviour of CVD diamond samples containing high levels of both nitrogen and hydrogen does not follow the accepted nitrogen-aggregation route described in §3.5.4.5, as the presence of hydrogen within the N<sub>n</sub>VH defects significantly modifies their binding energies, and thus the temperatures at which different defects become mobile. In general, aggregation within the N<sub>n</sub>V family is driven by decreasing the number of dangling bonds into the vacancy.<sup>351</sup> This holds true for N<sub>n</sub>VH also: the addition of, first, hydrogen and then nitrogen increases the thermodynamic stability of the defect,<sup>21</sup> but the current understanding of N<sub>n</sub>VH aggregation is significantly less advanced than for the N<sub>n</sub>V family. Below, we summarise the current state of knowledge.

NVH is introduced during CVD growth of nitrogen-doped diamond,<sup>101</sup> with reported [NVH]:  $[N_s]$  concentration ratios in the range ~1:15 to 1:5.<sup>413</sup> As noted previously (§3.5.5.1), NVH can also be grown-in preferentially oriented but, unlike NV, the presence of the hydrogen results in much shorter spin lifetimes. NVH defects are thus unlikely to prove useful in spin-based quantum communications or quantum computing applications. Typically, the higher the nitrogen concentration, the higher the charge trap density and the lower the observed  $[N_s^0]:[N_s^+]$ . This material is typically brown in colour, which seems to originate at dislocations,<sup>413,432</sup> which in conjunction with typically high concentrations of vacancy-clusters in this material,<sup>246,359</sup> provide a source of vacancies for aggregation, even in the absence of irradiation.

NVH is thermodynamically more stable than NV, and anneals out at  $T_{\text{anneal}} \sim 1600-1800^{\circ}\text{C}$  (*cf.* ~1500°C for the NV defect).<sup>415</sup> N<sub>2</sub>VH<sup>0</sup> was observed at low concentrations in an annealing study of a CVD-grown diamond (with initial [N<sub>s</sub>] = 3.6 ppm) following a 4-hour anneal at  $T_{\text{anneal}} = 1800^{\circ}\text{C}$ .<sup>418</sup> The N<sub>2</sub>VH<sup>0</sup> concentration was seen to increase further after  $T_{\text{anneal}} = 2000^{\circ}\text{C}$ , but showed no further detectable change after treatment at  $T_{\text{anneal}} = 2200^{\circ}\text{C}$ . Annealing at 1800°C completely removed all signal associated with NVH<sup>0/-</sup>. Thus, the observation that [N<sub>2</sub>VH<sup>0</sup>] increased further after annealing at 2000°C suggests the presence of an intermediate step in the annealing process between NVH and N<sub>2</sub>VH. This might be mediated by VH, which is predicted to diffuse as a unit before dissociating,<sup>419</sup> or by interstitial hydrogen. <sup>433,434</sup> Critically, no infrared absorption from N<sub>2</sub> or N<sub>4</sub>V defects was observed at any stage, despite the production of N<sub>3</sub>VH<sup>0</sup> after annealing at  $\geq 1800^{\circ}$ C. This is at odds with the annealing behaviour of N<sub>n</sub>V, where a significant concentration of N<sub>2</sub> would be expected in any type Ib diamond that had been annealed to produce N<sub>3</sub>V.

 $N_3VH$  is common in natural diamond, whereas NVH and  $N_2VH$  have not been reported.<sup>435</sup> It seems likely that this reflects aggregation towards  $N_3VH$  over geological timescales. But, in summary, the aggregation mechanisms and kinetics of high-nitrogen, high-hydrogen containing diamond clearly require further study. Such studies are particularly important given the production of nitrogen-doped CVD diamond for magnetometry applications – discussed in Section 4.



Figure 33. Theoretical charge transition levels for various N<sub>n</sub>V and N<sub>n</sub>VH complexes: in each region, the dominant charge state at a given chemical potential (pseudo-Fermi) level (referenced to the valence band maximum (VBM)) is given – regions where all available sources agree are shaded in blue. Energy levels shown in green, red and orange are taken from Refs. 21, 170, 436, respectively and have been scaled to correct for the band-gap error in these works. N<sub>4</sub>V and N<sub>3</sub>VH have been omitted as they are both predicted to be stable only in the neutral charge state. The dominant substitutional dopants in diamond are nitrogen (*n*-

type,  $E_{\rm F} \sim (E_{\rm C} - 1.7 \text{ eV}))$  and boron (*p*-type,  $E_{\rm F} \sim (E_{\rm V} + 0.37 \text{ eV}))$ .

#### 3.5.6 The $N_n VH_m$ family

Figure 32(d) shows an example of the multi-hydrogen  $N_nVH_m$  group where n + m = 3 or 4 and  $n \ge 1$ . Multi-hydrogen-containing point defects in diamond have thus far evaded detection, but where there is a radical remaining in the  $N_nV$  family there is potential for it to be saturated with a hydrogen, as illustrated above with the  $N_nVH$  group of defects. Similar arguments might be expected to apply in the case of radical forms of  $N_nVH$  defects.

Defects in the first and second rows of Table 1 have been discussed above. The following three rows contain more than one hydrogen. The defects highlighted in orange are viewed as being fully saturated. These would be neutral defects, so have S = 0 and are thus undetectable in EPR. They support no allowed optical transitions but might be detectable by IR absorption – the necessary stretch and bend wavenumbers have been predicted and are tabulated in Ref.21.

The only remaining defect in this family is NVH<sub>2</sub>. The ground state of the neutral defect would have  $S = \frac{1}{2}$ , but the negatively and positively charged versions of the defect will both have S = 0 ground states. Thus, the neutral NVH<sub>2</sub> defect should be EPR active, and all charge states of the NVH<sub>2</sub> defect should also be IR active. The simplest explanation for the non-detection of such multiple-hydrogen-containing defects is that they are not present. Given the range of other nitrogen-containing defects discussed above, however, this might be surprising. Alternatively, the concentrations of these defects might be below current detection limits if, for example, they are transient species. Or, the hydrogen concentration might be insufficient to create the defects, or the hydrogen may not be accessible to aggregate further if it is already held in a deeper trap, like N<sub>3</sub>VH, for example.

Future searches for  $N_nVH_m$  defects with m > 1 might usefully employ samples prepared with lower N:H contents – thereby, potentially, favouring aggregation to multiple-hydrogencontaining (rather than multiple-nitrogen-containing) defects. There is also a possibility that annealing at lower temperature for longer times might encourage formation of multiplehydrogen-containing defects. Hydrogen implantation would result in hydrogen incorporation after growth, which might be another way of altering the aggregation process. Boron acts as an acceptor in B-doped diamond, and therefore creates positively charged defects – which might be a route to creating a more mobile H<sup>+</sup> species that could aggregate more easily. But boron could also trap hydrogen, or unintended complexes containing boron, nitrogen and hydrogen may be formed. Furthermore, were NVH<sub>2</sub> defects to be formed in this way, they might well be in their positive-charge state and thus, again, only amenable to IR detection.

## 3.6 Selected aggregates involving nitrogen and another element

There is a ceaseless drive in commercial HPHT synthesis towards greater process efficiency, seeking to reduce the temperature and pressure required for growth (and thus the price) of commercially viable diamonds.<sup>19</sup> The addition of the metal catalyst (§2.1) enables growth at lower temperatures and the formation of larger single crystal diamonds. The catalyst/solvent of choice is usually a transition metal, either as the element or as an alloy like Fe-Ni-C or Fe-Co-C. Thus, metallic flux (a tell-tale sign of HPHT growth) and point defects associated with material in the melt can be incorporated into HPHT diamond. Both Ni and Co are incorporated into {111} but not {100} or minor growth sectors.<sup>400</sup> This introduces a new family of aggregates that contain both transition metals and nitrogen, many of which have now been identified by applying EPR spectroscopy to HPHT material grown with known transition-metal

catalysts and annealed to facilitate nitrogen aggregation. Defects identified by EPR have in some cases been correlated with optical signatures. <sup>214,437-439</sup> Transition-metal-nitrogen aggregates are also occasionally found in natural diamond, but at much lower concentrations than found in lab-grown diamond.<sup>440</sup>

Ni-related defects have attracted particular interest as a potential rival to NV centres as a singlephoton source,<sup>441</sup> and *in vivo* biomarker/thermometry<sup>442,443</sup> applications, though more recent measurements indicate their optical properties are less favourable than the group-IV-vacancy defects (see below).<sup>444</sup> Ni is readily incorporated substitutionally during HPHT growth.<sup>445</sup> Co is much less readily incorporated and there is no clear evidence for incorporation of Fe into the diamond lattice. This might seem surprising, given the relative atomic sizes: silicon, for example, with a smaller atomic radius, is incorporated in diamond in a split-vacancy structure.<sup>446</sup> It can be understood, however, by recognising the tetrahedral crystal-field stabilization of the 3*d*-orbitals into *e* and *t*<sub>2</sub> states. In the case of Ni<sub>s</sub>, for example, four electrons participate in bonding with the surrounding carbons leaving a 3*d*<sup>6</sup> (*i.e. e*<sup>4</sup>*t*<sub>2</sub><sup>2</sup>) configuration on the Ni<sub>s</sub> centre. The Ni–C bond lengths are 2.04 Å, which is not atypical of a metal-ligand bond but highly strained relative to the surrounding diamond construct.<sup>438</sup> This substitutional defect is incorporated in the negative charge state due to the presence of nitrogen acting as a donor making it *S* = <sup>3</sup>/<sub>2</sub>.<sup>447</sup>

This structure is highly strained, however, and can be removed by annealing at  $T_{anneal} \sim 1700-1800 \text{ K}$ ).<sup>438,448</sup> In the case of Ni<sub>s</sub>, it is thought that a carbon interstitial is created thermally, local to the nickel, and the substitutional defect relaxes into the vacancy, yielding a NiV defect (Figure 34(a)) with lower elastic strain. Note that the V in this descriptor can also be found described in the literature as a split-vacancy, double semi-vacancy, semi-vacancy pair or confusingly termed a di-vacancy. The NiV defect is labelled NE4/AB1 in EPR when in the negative charge state<sup>449.453</sup> and NE4\* when neutral,<sup>454</sup> the Ni has the electronic configuration  $3d^{5}$  and is  $S = \frac{1}{2}$ .<sup>440</sup> The symmetry was determined to be D<sub>3d</sub>, with the Ni atom surrounded by six carbons arranged in a distorted octahedral arrangement with Ni–C bond lengths  $\sim 1.8 \text{ Å}$ .<sup>440,455</sup> At temperatures where Ni<sub>s</sub> converts to NiV with emission of a carbon interstitial, the interstitial is highly mobile. It is trapped by N<sub>s</sub><sup>0</sup>, converting this to the mobile N<sub>i</sub>, which itself is trapped releasing the carbon interstitial so that the process can be repeated (see §3.5.4.5.1). The diffusion of nitrogen is thus greatly enhanced. The NiV defect is a relatively stable trap of nitrogen and defects have been identified where 2, 3, and 4 of the 6 nearest-

neighbour carbon atoms have been replaced with N atoms. This leads to a suite of defects identified using EPR (Table 4, where the 'NE' prefix stands for 'nickel exhibition'), and in some cases linked with specific optical signals. Figure 34(b) illustrates the structure of the NiVN<sub>4</sub> (NE8) defect.



Figure 34. (a) The NiV defect – the Ni adopts an interstitial position in the centre of the splitvacancy. (b) The NE8 defect – NiVN4, where the nickel adopts a similar location.

EPR Label <sup>ref</sup>	Defect	Spin, S	Symmetry	Optical ref	T <sub>anneal</sub> / K
NE1 456	NiVN <sub>2</sub> <sup>-</sup>	1⁄2	C <sub>s</sub> C <sub>2h</sub>	S3 optical <sup>457</sup>	
NE2 456	NiVN <sub>3</sub> <sup>0</sup>	1⁄2	C <sub>1</sub>	S2 optical <sup>457,458</sup>	2200
NE3 <sup>456</sup>	NiVN <sub>3</sub> <sup>0</sup>	1⁄2	Cs	S2 optical <sup>457</sup>	2200
NE4 449-453	NiV <sup>-</sup>	1/2	D <sub>3d</sub>	1.72 eV (721 nm)	1800
NE4* <sup>454</sup>	NiV <sup>0</sup>	1	C <sub>2h</sub>	1.83 eV (678 nm)	
NE5 <sup>456</sup>	NiVN <sub>2</sub> <sup>-</sup>	1⁄2	C <sub>s</sub> C <sub>2h</sub>		2100
NE6 448		1⁄2	C <sub>2</sub>		
NE7 <sup>438,448</sup>	NiVN <sup>2–</sup>	1⁄2	Cs		
NE8 <sup>456</sup>	NiV <sub>2</sub> N <sub>4</sub> <sup>+</sup>	1⁄2	C <sub>2h</sub>	1.56 eV (793.6 nm) 459	2300
NE9 <sup>456</sup>	NiVN <sub>3</sub> <sup>0</sup>	1⁄2	C <sub>3v</sub>		2300
AB5 452	Nis <sup>2</sup> -Ns <sup>+</sup>	1	C <sub>3v</sub>		
ME1 <sup>460</sup>	$Ni_s + N_s + a$	3/2	Cubic		

**Table 4**. Summary of nickel-vacancy-nitrogen aggregates.

<sup>*a*</sup> Defect speculated to involve  $Ni_s^-$  with  $N_s^+$  in a fourth-nearest-neighbour position, found in rare blue Argyle diamonds that are often highly strained.

Of those NiVN<sub>n</sub> aggregates listed in Table 4, NE8 has attracted most interest as it has the potential to be used in quantum information processing<sup>444,461</sup> and biological applications.<sup>442</sup> The NE8 defect is correlated with a strong luminescence peak in the near IR at 793.6 nm, where commercial low-loss fibre optics are available to enable long-range quantum communication.<sup>462</sup> This defect also has a higher intensity and narrower bandwidth than rival NV defects, and a shorter lifetime of 3 ns.<sup>444,462</sup> Although there have been reports of the NE8 defects being grown into CVD diamond, these results should be treated with some caution as it is difficult to envisage how such a complex defect could be formed during growth.<sup>462-465</sup> Attempts at co-implantation have failed.<sup>466</sup> Despite its photophysical advantages, however, the recent literature suggests a shift back to NV as the favoured quantum defect due to its ease of production and controlled formation, or to other Group-IV-vacancy defects, such as SiV,<sup>292</sup> GeV,<sup>467</sup> and SnV.<sup>468</sup>

More generally, the presence of nickel changes the nitrogen aggregation process (Table 2) and also catalyses nitrogen diffusion by enhancing the Coulombic attraction between the defects<sup>469</sup> and the release of interstitials and vacancies.<sup>400,469,470</sup> In line with earlier studies,<sup>400</sup> the aggregation of C- to A-centres is greatly enhanced by the presence of Ni defects. As seen in Table 4, at subsequent higher annealing temperatures more nitrogen saturates the split-vacancy surrounding the Ni.

Other transition metals can also be incorporated in diamond, but generally to a lesser degree and less aggregated with nitrogen. CoVN-related centres are found in HPHT-grown samples, but at much lower concentrations than their Ni counterparts; <sup>471</sup> it is generally accepted that Ni is incorporated more easily due to its size.<sup>472</sup> A defect comprising a substitutional pair of Co and N, analogous to N<sub>2</sub>, has been associated with a 2.367 eV ZPL, and a CoVN defect, analogous to NiVN, is thought to be the O4 defect found in EPR<sup>473,474</sup> (although O4 has also been assigned to the Co-N substitutional pair<sup>475</sup>). Two other EPR centres labelled NLO2 and NWO1 have no direct evidence of nitrogen, but are thought to be CoV defects with varying numbers of proximal nitrogens in different positions.<sup>456</sup> Optical analogues of these defects and Co-N-related peaks have been investigated in Ref.471. On annealing at *T*<sub>anneal</sub> > 2300 K, all three of these Co-related EPR centres are removed; it is speculated that this is due to capture of an additional N to form a diamagnetic defect that is EPR inactive.<sup>456</sup> Co is also thought to have a lesser impact on the aggregation processes when compared to Ni.<sup>476</sup>

Titanium is added as a nitrogen getter in the HPHT production of colourless stones (low nitrogen), but may also be incorporated into diamond because Ti is carbide-forming.<sup>477</sup> It has recently been suggested that two paramagnetic defects occasionally observed in natural type Ib diamond could incorporate both N and Ti.<sup>478</sup> Further, it is postulated that a TiVN defect (analogous to the NiVN defect, see Figure 34(a)) could be produced by HPHT annealing of Ti-containing diamonds.<sup>479</sup> It has also been speculated that the NU1/485 nm defect is a <100> Ti– N split interstitial.<sup>478</sup>

Along with Co and Ni, iron is commonly used as a solvent/catalyst during HPHT growth. The natural abundance of Fe is also considerably higher than that of Ni and Co, but Fe has been calculated to be unstable in diamond<sup>472</sup> and no FeN point defects have been successfully identified. It is perhaps also surprising that FeVN centres analogous to those identified for Co and Ni have not been detected in diamond, given the common usage of Fe in HTHP synthesis.

Iron nitride inclusions have, however, been found in HPHT diamonds grown with Fe<sub>90</sub>Ni<sub>10</sub> and NaN<sub>3</sub>.<sup>480</sup>

Of the remaining first-row transition metals there have been attempts to incorporate chromium,<sup>481,482</sup> copper<sup>483</sup> and zinc<sup>482</sup> *via* ion implantation but no corresponding N aggregates have been identified. There is, however, a possibility for them to form if further HPHT annealing were to be used to drive aggregation.

During CVD growth, there is potential for Si to be incorporated into the diamond, either unintentionally *via* contamination from the quartz optical windows on the reactor (Figure 7) or by intentionally doping by adding a trace of SiH<sub>4</sub> to the process gas mixture.<sup>484</sup> Si can also be incorporated during HPHT growth and with ion implantation. The SiV<sup>0</sup> defect identified in EPR and confirmed with theory can also act as a trap for nitrogen, and the SiVN defect is believed to have been identified.<sup>485</sup>

Speculations have also appeared concerning nitrogen-phosphorus aggregates, which are not based around a vacancy but rather resemble substitutional pairs of nitrogen and phosphorus atoms. After 10 min annealing at 2600 K, the initial group of defects (labelled NP1-NP3) disappear and are succeeded by NP4-NP6. The latter group are proposed to involve a P atom in a vacancy structure analogous to NiV, with N in varying coordination spheres.<sup>214</sup>

# 4 Applications of nitrogen-containing diamond

# 4.1 Incorporation of nitrogen in natural diamond: Applications in geophysics and geochemistry

As noted in the Introduction, geochemical studies on mineral inclusions in diamonds have fundamentally shaped our understanding of where natural diamonds come from and the geological history of our planet.<sup>486</sup> The chemical composition of inclusions provides information about diamond formation, mantle storage and distribution. The early studies of inclusions in diamonds led to two important discoveries – first, that diamonds form long before the kimberlite (or lamproite) in which they are transported to the near surface and, second, that minerals included in diamonds can be related to rock types that are characteristic of depths 150 - 200 km underlying ancient cratons (old and stable parts of the continental lithosphere,

which comprises the Earth's two topmost layers, the crust and the uppermost mantle (recall Figure 1)).<sup>487-489</sup>

The state of nitrogen aggregation in natural diamond depends on nitrogen concentration, mantle residence time and mantle temperature. Under the temperature conditions prevailing in the Earth's mantle, aggregation of nitrogen from single substitutional atoms to pairs (A-centres, see Figure 3) typically happens very quickly, but aggregation from the A-centre to the B-centre occurs at a much slower rate.<sup>490</sup> Rare natural type Ib diamonds have not experienced temperatures above ~850 °C for any extended geological period, suggesting that after formation they were rapidly transported to shallower and cooler depths.<sup>491</sup> The very high sensitivity to temperature and relatively weak dependence on residence time makes nitrogen A- to B-centre aggregation a useful geo-thermometer but a very poor geo-chronometer.

Radiogenic dating of inclusions trapped during growth gives a much more reliable measure of the age of natural diamonds (most have ages between 90 million and 3.5 billion years),<sup>492-494</sup> so the aggregation state can be used to determine the mantle residence temperature experienced by the diamond.<sup>490</sup> It is important to note that the temperature determined by nitrogen aggregation is not simply an average over the period of residence; a short period of elevated temperature will have had a disproportionately large effect on nitrogen aggregation. Spatially resolved mapping of nitrogen incorporation and aggregation provides valuable information on the history of diamond growth events and the residence time in the mantle.<sup>427,495</sup>

In geochemistry, the ratio  $\delta^{13}C = \{({}^{13}C/{}^{12}C)_{\text{Sample}}/({}^{13}C/{}^{12}C)_{\text{Ref}} - 1\} \times 1000 \%$  is used to infer the origin of the carbon. Worldwide natural diamonds have a carbon isotopic composition  $\delta^{13}C$ ranging from -41 to +5.0 ‰ ${}^{496,497}$  with ~72% contained in the narrow interval between -8 and -2 ‰ which is within the range of mantle values.  $\delta^{15}N$  values for nitrogen in diamond spread over a large range, from -40 to +18 ‰, and with other data have been used to develop an understanding of the mechanisms of natural diamond formation.<sup>497</sup>

## 4.2 Nitrogen defects and the identification of diamond gemstones.

Given the value of natural diamond gem stones an arsenal of diamond verification instruments have been developed to separate and/or to identify natural diamonds from lab-grown diamonds and simulants. The *Diamond Producers Association* has developed a protocol to test the performance of diamond verification instruments in a consistent manner.<sup>498</sup> Although such

instruments operate in a variety of different ways, most use one or more spectroscopic techniques to identify the nature of the gem under test. For example, the *DiamondSure*<sup>362,416</sup> instrument (produced by *De Beers Technologies*) interrogates the optical absorption of the sample, looking for the presence or absence of different defects, including the N<sub>3</sub>V<sup>0</sup> (N3) defect observed in 98% of natural diamonds. Other instruments make use of photoluminescence and/or photoluminescence imaging. Again, it is often the presence, absence or relative concentrations of different nitrogen related defects in the diamond, that are used to determine whether the stone is natural, treated (*e.g.* HPHT annealed to remove unwanted defects that affect the colour, or irradiated and annealed to introduce defects that give the diamond a desirable colour, *etc.*) or lab-grown. Usually it is the combinations of nitrogen defects present that are used to determine the origin (natural/lab-grown) and treatment history of the diamond, but the presence/absence of other defects (notably those incorporating transition metals, silicon and hydrogen) also provides very useful information.

## 4.3 Applications of nitrogen-doped nanodiamonds

As shown in §2.3.2, there is a confusing array of nanodiamonds available, produced by a variety of methods.<sup>140</sup> Strategies for removing unwanted  $sp^2$  carbon (as well as other materials used in the synthesis), for de-agglomeration and for modification/functionalisation of the diamond surface all remain very active research areas. There has been much discussion regarding the biocompatibility and fate of nanodiamonds in the body,<sup>499</sup> and their use in applications such as tribology and lubrication, nanocomposites, tissue scaffolds and surgical implants and drug delivery.<sup>140,500,501</sup> For many applications, the presence or absence of nitrogen in the nanodiamond is relatively unimportant. Some nanodiamond synthesis methods (*e.g.* the detonation technique) will inevitably yield diamond particles containing high nitrogen concentrations, whereas nanodiamonds produced by milling high purity diamond will contain very little nitrogen. The presence of different nitrogen defects (*e.g.* NV<sup>0/-</sup>, N<sub>2</sub>V<sup>0/-</sup>, N<sub>3</sub>V) significantly alters the fluorescent properties of nanodiamonds,<sup>502</sup> while the fact that the fluorescence intensity can be modulated by the presence of nearby magnetic fields opens up a range of new technologies based on quantum sensing, as discussed below (§4.4).

Aside from the quantum applications, their bright luminescence, combined with their readily modifiable surface and biocompatibility, make diamond nanoparticles containing fluorescent NV centres extremely promising for biomedical applications,<sup>503</sup> and, in particular, for use as a bio-marker to 'tag' biomolecules of interest as they travel around within living organisms.<sup>504-</sup>

<sup>508</sup> Nanodiamond bio-markers have a number of advantages over competing luminescent probes, such as quantum dots, fluorescent proteins (e.g. Green Fluorescent Protein, GFP) or organic dyes (e.g. Alexa Fluor, fluorescein). First, for imaging of medium- to long-term biological processes, the NV centres in NDs are stable and do not photobleach, unlike the luminescent centres in most of their competitors which often emit intermittently ('blink') and eventually reduce in intensity ('bleach') until they become too faint to see. <sup>509</sup> Second, for longterm exposure, quantum dots can leach their (potentially toxic) chemical components into the cell cytoplasm,<sup>510</sup> whereas ND has been shown to have very low toxicity to most cell types.<sup>499,500</sup> Further, the emission from NV-containing NDs is typically in the wavelength range 625–800 nm, which is ideal for penetrating through tissue – thus allowing observation via an external microscope - and well clear of the wavelengths at which cells autofluoresce (300-500 nm).<sup>511</sup> The fact that the surface of NDs can be chemically functionalised is another big advantage, allowing the attachment of a wide variety of biologically interactive species, such as simple hydrophilic/hydrophobic groups, proteins, antibodies, anti-cancer drugs and even DNA strands (Figure 14). Thus, quite apart from applications to monitor and investigate physiological processes within cells, there is growing interest in the use of NDs for targeted delivery of biological payloads to modulate the function of certain cell types. For example, NDs have been chemically modified to seek out and bind to cancer cells, and then deliver a cargo of a specific anti-cancer drug directly to those cells without harming neighbouring healthy cells.<sup>512</sup>

The use of NV-containing NDs for biomarking is possible because of their nanosize; they are sufficiently small that they can pass through the various membranes surrounding living cell structures without causing the cells any apparent damage. Once inside the cell, they diffuse to all regions of the cytoplasm – except the nucleus, into which NDs seem unable to penetrate.<sup>513-517</sup> As Figure 35(a) shows, illumination with a green excitation laser causes the NDs to fluoresce in the red (recall Figure 20); observation of this fluorescence using confocal microscopy has allowed three-dimensional imaging and reconstruction of trajectories taken by individual NDs within a cell (Figure 35(b)) and, recently, real time tracking of single-particles inside a cell.<sup>518</sup> Such studies are starting to provide valuable insights into the fluid currents within cells, as well as the mechanisms by which nutrients, waste products and other metabolic products are transported around living cells.<sup>519</sup>



Figure 35. (a) Combined bright-field and epifluorescence images of nanodiamonds in a live HeLa cell. The yellow box identifies a single 35 nm ND that is tracked. (b) A reconstruction of the cell (left) and 3-dimensional tracking of the selected ND over a period of 200 s (right).

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4.4 Quantum technologies based on the NV<sup>-</sup> defect in diamond.

4.4.1 Properties of the NV<sup>-</sup> defect in diamond that underpin its exploitation in quantum technologies

The development of many modern technologies has been driven by the need to improve efficiency and speed. This has resulted in miniaturisation, to such a point where many devices are reaching the limits imposed by quantum mechanics. This impasse has motivated the development of radical new technologies based on quantum coherence which promise breakthroughs in computational power, secure communications and sensitivity in metrology and analytical science. Amongst these, spins trapped in defects in solid state systems are attracting considerable attention because of their resilience to decoherence and their compatibility with scalable device engineering. The negatively charged nitrogen-vacancy defect in diamond is perceived to have great technological promise. Due to favourable excited state photophysics (specifically the state-selective intersystem crossing probabilities), the NV<sup>-</sup> electron spin can be optically initialised into the  $m_{\rm S} = 0$  ground state.<sup>520</sup> As discussed in §3.5.4.1, microwave excitation of the ground state  $m_s = 0$  to  $m_s = \pm 1$  transition results in a 30% reduction in the NV<sup>-</sup> photoluminescence emission, allowing for optical determination of the spin state – even at room temperature.<sup>521</sup> The weakness of the spin-orbit coupling and the high Debye temperature of diamond ensures that the NV<sup>-</sup> electron spin has an exceptionally long spin lattice relaxation time ( $T_2$  can reach 5 ms at room temperature and exceed hours at cryogenic temperatures).<sup>522,523</sup> Dephasing of the spin state can be mitigated by reducing the concentration of unwanted paramagnetic impurities and of <sup>13</sup>C spins. In isotopically purified samples,  $T_2^*$  (the timescale of magnetic fluctuations) can exceed 100 µs at room temperature, and dynamical decoupling can extend spin coherence to the homogeneous dephasing time  $T_2$ .<sup>202,524</sup> The NV<sup>-</sup> electron polarisation can be transferred to and from nearby nuclear spins using radio-frequency and microwave excitation. The nuclear spins have very long coherence times<sup>525</sup> and, as such, provide robust quantum memories.

As illustrated in Figure 20, NV centres located within a diamond lattice behave as isolated 'chromophores', with a set of energy levels distinct from those of the diamond. When excited with a burst of photons from a laser, a single NV will absorb only one photon. When it subsequently relaxes, the NV will re-emit only a single photon (with reduced energy), and this photon emerges with a specific optically readable spin.<sup>306</sup> The photon can be captured in a suitable optical waveguide and transported where required. After a suitable delay, the NV resets, and can absorb and re-emit another photon. Thus, NV centres act as excellent sources of single photons,<sup>526</sup> underpinning a host of applications involving quantum computing and quantum information processing.<sup>527</sup>

Many of these applications involve the curious phenomenon of quantum entanglement.<sup>528</sup> When two particles (or photons) are brought together, their wavefunctions can mix (entangle), such that when the particles separate, each retains some information about their partner. In the case of two photons emitted from separate NV centres, their spins can become entangled if the photons are brought together in the same waveguide. When separated again, the spin of one photon can be instantaneously determined by observing the spin of the second photon, regardless of separation distance. In this regard, the entangled photons form a quantum bit (qubit). In contrast to a traditional binary computing bit, which can be either zero or one, a qubit is both 1 and 0 at the same time. Coupling two or more qubits allows multiple complex calculations to be done simultaneously, rather than sequentially, potentially offering greatly increased computational speeds. The more qubits that can be entangled, the greater the computational power: recent results in diamond have demonstrated a robust 10-qubit register comprised of nuclear spins coupled to an NV<sup>-</sup> centre [529]. This is one of the driving forces behind the fabrication of grids of equally spaced NV centres in diamond, such as those shown in Figure 22(b).

There are a growing number of reviews on applications of the  $NV^-$  defect to quantum technologies<sup>288,297,315,341,530-532</sup> and we do not attempt to reprise this information here but simply highlight some of the more widely investigated applications.

## 4.4.2 The NV<sup>-</sup> defect in diamond and Quantum Networks

The NV<sup>-</sup> combines a spin-light interface with control of local nuclear memories. Such an interface is essential for shared entanglement between spatially separated nodes in a quantum network and represents the essential resource for quantum key distribution and quantum cloud computing. In 2013, a breakthrough experiment established entanglement between two NV<sup>-</sup> spins separated by 3 m,<sup>533</sup> and the same team then went on to demonstrate the first loophole-free Bell test (*i.e.* a Bell experiment that leaves no room for explanations based on experimental imperfections) using two NV<sup>-</sup> defects separated by 1.3 km.<sup>534</sup>

#### 4.4.3 The NV<sup>-</sup> defect in diamond and Quantum Computing

Scaling a quantum computer to the large number of qubits required to outperform classical algorithms is a significant challenge, and one which requires the ability to correct the inevitable errors that arise due to the delicate, analogue nature of quantum states. There have been impressive demonstrations of error correction in NV<sup>-</sup>-based systems,<sup>535,536</sup> while proof-of-principle demonstrations with NV<sup>-</sup> quantum registers have deduced the energy structure of a HeH<sup>+</sup> cation.<sup>537</sup> This work provides an important step toward a fully scalable solid-state implementation of a quantum chemistry simulator that might eventually find application in fields ranging from drug discovery to materials science.

## 4.4.4 The NV<sup>-</sup> magnetometer

It is the room temperature optical readout of the magnetic resonance of the ground-state spin of the NV<sup>-</sup> centre in diamond that provides the exceptional capabilities for detection and imaging of magnetic fields. For scanning probe NV<sup>-</sup> magnetometry, a single NV<sup>-</sup> defect is integrated into the tip (either in a nano-diamond grafter to the tip or an all-diamond scanning probe) of an atomic force microscope with appropriate optical excitation and detection (see Figure 36).<sup>297,538-541</sup> An alternate approach is to have a diamond chip with a two-dimensional array of NV<sup>-</sup> defects localised close to the surface, such that each is used as a pixel for measuring external magnetic fields in close proximity and an image reconstructed.<sup>542</sup> Both

approaches combines high spatial resolution (relying on the close proximity of the NV<sup>-</sup> defect to the sample surface) with high magnetic field sensitivity (*e.g.* A.C. ~10 nT Hz<sup>-1/2</sup>, D.C. ~50 nT Hz<sup>-1/2</sup>).<sup>297</sup> Applications include mapping of heterogeneous magnetic materials,<sup>543</sup> living cells (magnetotactic bacteria)<sup>544</sup> and a wide range of advanced materials such as magnetic insulators undergoing spin injection,<sup>545</sup> skyrmions,<sup>546</sup> and graphene.<sup>547</sup>



Figure 36. Schematic diagram of a nanoscopic scanning magnetometer. A single NV<sup>-</sup> centre is mounted to the end of an AFM tip and scanned over the surface of a sample, while optically detected magnetic resonance from the NV<sup>-</sup> centre is simultaneously measured. Lateral precision provided by the AFM is significantly higher than the optical diffraction limit, allowing nanoscale magnetic fields of ~nm scale to be mapped. Adapted from Ref.541. Copyright © 2012 Springer Nature Publishing AG.

Single NV-based magnetometers presently provide the highest-spatial-resolution magnetometry available. The exceptional sensitivity of NV-based magnetometers can be increased further by simultaneously sampling an ensemble of NV centres, rather than a single centre, at the expense of spatial resolution. Excellent far-field (*i.e.* magnetic field not varying over length scales comparable to the size of the diamond sensor) detection sensitivities have been demonstrated in the sub-pT Hz<sup>-1/2</sup> range for AC measurements<sup>300,319,548</sup> and in the sub-nT Hz<sup>-1/2</sup> range at frequencies below 100 Hz.<sup>549</sup> The NV<sup>-</sup> magnetometer is calibration free, offers full vector readout (not just magnitude) and offers an exceptionally high dynamic range. This combination of properties is proving transformational for a wide variety of magnetometry

applications, including all-magnetic navigation, geological surveys, current sensing and locating underground/underwater magnetic anomalies.<sup>550</sup>

#### 4.4.5 The NV<sup>-</sup> defect and the future of NMR and MRI

Nuclear Magnetic Resonance (NMR) and magnetic resonance imaging (MRI) are essential techniques that continue to enable breakthroughs in chemistry, biology, medicine and materials science. NMR is commonly used to extract molecular-level information in a wide variety of physical, chemical, and biological scenarios. MRI is widely used to form pictures of the anatomy and the physiological processes of the body. The Achilles heel of the traditional magnetic resonance approaches remains the limited sensitivity, despite advances to higher magnetic fields and the use of dynamic nuclear polarisation (DNP).<sup>551,552</sup>

Several strategies of DNP have been proposed to generate large, non-thermal spin-polarized nuclear spin state distributions in order to enhance sensitivity. In single-crystal diamond containing a significant population of <sup>13</sup>C nuclei (nuclear spin  $I = \frac{1}{2}$ ), polarization can be established within seconds, at room temperature, by optical pumping of NV<sup>-</sup> centres.<sup>553,554</sup> Nuclear spins associated with the <sup>13</sup>C isotope in diamond possess long spin lattice relaxation times, which facilitate a number of applications. For example, improved DNP strategies show that robust optical (*via* NV<sup>-</sup> centres) nuclear polarisation of diamond nanocrystals is feasible; appropriately functionalised, they form promising contrast agents for MRI <sup>555</sup> and facilitate nanoscale hyperpolarised NMR spectroscopy of liquids.<sup>556</sup> Although optical nuclear polarisation using NV<sup>-</sup> centres in diamond has attracted considerable attention, other nitrogen-related defects, when used in combination, offer alternate routes for nuclear hyperpolarisation that may even prove move versatile than the NV<sup>-</sup> centre.<sup>384</sup>

Diamond based DNP is undoubtedly useful, but in some ways may be seen as simply enhancing the old approach to NMR and MRI. Today, researchers are realising the possibility of utilizing NV<sup>-</sup> centres in diamond as ultrasensitive magnetometers to detect NMR signals from nano- to micron-scale samples using low-cost equipment which can be assembled and operated by non-specialists.<sup>557-559</sup> Furthermore, with new protocols, spectral resolution comparable to conventional NMR can be achieved.<sup>560,561</sup> Given the pace of recent progress, it is not fanciful to think that portable, relatively low cost, high sensitivity/resolution spectrometers, delivering on-chip NMR analysis, could be realised in the not too distant future.

#### 4.4.6 The NV<sup>-</sup> defect and thermometry

The measurement of temperature variations on nanometre length scales is challenging, but a probe with sub-degree temperature resolution would be very useful in many areas of biological, chemical, materials and device research. To this end, recent studies have demonstrated nanoscale thermometry with optical read-out using the temperature variation of the zero-field splitting associated with the electron spin of the NV<sup>-</sup> defect in diamond.<sup>562,563</sup> Sensitivities of a few mK were achieved in an ultrapure bulk diamond. Experiments employing NV<sup>-</sup> defects in nanodiamonds were able to measure the temperature inside a living cell and encouraged the hope that it should soon be possible to measure the heat produced by chemical interactions involving just a few molecules.<sup>564</sup> Other novel NV<sup>-</sup>-based methodologies for thermometry have also been proposed and applications demonstrated but these again are outside the scope of this Review.<sup>549,565-567</sup>

## 4.4.7 The NV<sup>-</sup> defect and Electric Field Sensing

Single NV<sup>-</sup> defect centres in diamond can be used to sense electric-fields *via* the electric-field dependent shifts of the energy levels.<sup>568</sup> Using one NV<sup>-</sup> centre as an electrometer, Dolde *et al.*<sup>569</sup> demonstrated detection of the single excess electron that determines the charge state of another NV<sup>-</sup> centre located ~25 nm away under ambient conditions. This work showed that an NV<sup>-</sup> centre could be used to detect the electric field of a single electron at a distance of ~150 nm within 1 s of averaging, suggesting the possibility of detecting the charge states of molecules/radicals near the surface of the diamond.

4.4.8 Hybrid quantum devices involving a solid-state spin and a macroscopic mechanical oscillator.

There is also great interest in hybrid quantum devices involving a solid-state spin and a macroscopic mechanical oscillator, since such devices provide opportunities to mediate interactions between disparate quantum bits and to explore the quantum regime of macroscopic mechanical objects.<sup>532</sup> Several examples of coupling an NV<sup>-</sup> centre to a nano-mechanical oscillator have already appeared <sup>570-573</sup> and, with ever-improving diamond synthesis, processing, and nanofabrication, such hybrid devices can be expected to find a wealth of applications in quantum information processing.

#### 4.4.9 Diamond Masers

The final novel NV<sup>-</sup>-centre based application reported in this Section is a magnetic-field tuneable maser based on optical pumping of ensembles of NV<sup>-</sup> centres in diamond that works continuously under ambient conditions.<sup>574</sup> Most previous maser technologies have required cryogenic refrigeration and high-vacuum systems, and been restricted to niche applications. This diamond maser paves the way for much wider exploitation of this technology which could find application in metrology, communications, quantum physics and medicine.

## **5** Summary and Prospective

Research activity that falls under the umbrella of 'diamond science' has increased nearexponentially over the past few decades. Once largely the preserve of geoscientists and gemmologists, the advent of lab-based growth methods (HPHT synthesis and, particularly, CVD) has led to huge advances in the availability of diamond samples grown under welldefined and well-controlled conditions. This, in turn, allows creation of diamond with userselected doping levels, enriched or depleted in <sup>13</sup>C (relative to natural abundance). Notable examples include boron-doped diamond, which is enabling new applications in electrochemistry and analytical chemistry, and – central to this Review – single-crystal diamond with nitrogen-doping levels ranging from far below 1 ppm (so-called 'electronic grade' material) to well in excess of 1000 ppm.

Access to such material has heralded quantitative advances in our knowledge and understanding of nitrogen-containing defects in diamond and their interconversion and aggregation which, in turn, has encouraged new thinking about natural diamond formation and underpins much of the instrumentation used to separate and/or identify natural and lab-grown diamonds. Section 3 summarizes contemporary knowledge relating to nitrogen-containing defects in diamond, and highlights some of the challenges that remain. But the availability of well-characterised nitrogen-doped diamond has also served to spawn completely new areas of research and new applications – many of which are summarised in Section 4. For example, nitrogen-doped nanodiamonds have properties (*e.g.* (photo)chemical stability and biocompatibility) that encourage their use as fluorescent probes for particle tracking in heterogeneous environments. But it is the remarkable spin/photophysics of one specific nitrogen-containing defect in diamond, the NV<sup>-</sup> defect, that is really starting to change science – impacting on a range of quantum technologies and offering new opportunities for

magnetometry and thermometry on the nanoscale. There can be little doubt that the recent explosion of interest in diamond science will continue, and that nitrogen-doped diamond will be pivotal to that growth.

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#### Notes

The authors declare no competing financial interest.

## **Biographies**

**Mike Ashfold** obtained his PhD from the University of Birmingham in 1978. After postdoctoral research at the University of Oxford, he was appointed as a Lecturer at the University of Bristol, where he was promoted to a Chair in Physical Chemistry in 1992 and currently holds the Leverhulme Chair of Physical Chemistry. He was elected to the Fellowship of the Royal Society in 2009. His research interests include plasma diagnosis (particularly in the context of diamond CVD), molecular photophysics and spectroscopy.

**Jonathan Goss** used computational techniques to research into defects in diamond in his PhD (Exeter University, 1997), followed by postdoctoral projects modelling low-pressure plasma postdoctoral projects in Dublin City University and further atomistic modelling in Exeter before taking up an EPSRC Advanced Research Fellowship in Newcastle University in 2002. He is currently a Senior Lecturer in the School of Engineering, using a range of computational

methods to study diamond (point defects, surfaces and devices) as well as a range of technologically relevant materials including Si, Ge, III-Vs, metal oxides, graphene and CZTS.

**Ben Green** is a Royal Academy of Engineering Research Fellow based at Warwick University. Ben gained his PhD at Warwick University in 2013 and joined the R&D team at Element Six Ltd., before returning to academia in 2015 as part of the Network Quantum Information Technology Quantum Hub. His primary research interests involve the identification, characterisation and subsequent exploitation of technologically useful point defects in diamond, driven by fundamental research into their optical, spin, and electronic properties.

**Paul May** held a Ramsay Memorial Fellowship (1992-4) and a Royal Society University Research Fellowship (1994-9) at the in the School of Chemistry at the University of Bristol prior to appointment to a Lectureship. He was promoted to Professor there in 2010, where he co-founded the Bristol CVD diamond group (with Mike Ashfold) in 1991. He has published >200 peer reviewed articles, 180 of which are on the subject of diamond/DLC films, and given invited and contributed talks at >50 international conferences. He has also written a collection of short science-fiction stories and two popular science books, the latest of which is entitled *Molecules that Amaze Us*.

**Mark Newton** has been working in the field of diamond science and technology for 30 years. He has held academic posts at King's College London, Oxford University and, for the last 14 years, at Warwick University where he holds a Chair in Physics. His research interests include the physics and exploitation of defects in diamond, and the development of diamond-based technologies. He is director of the UK Engineering and Physical Sciences Research Council (EPSRC) Centre for Doctoral Training (CDT) in Diamond Science and Technology (DST).

**Chloe Peaker** obtained her PhD degree in 2018 under the primary supervision of Jonathan Goss at Newcastle University, UK. The thesis was partially sponsored by De Beers and focused on a systematic density functional theory study of the  $N_nVH_m$  (where  $n + m \le 4$ ) group of defects in diamond and their interplay in aggregation processes. Following a postdoctoral project at Warwick University pursuing experimental counterparts to theoretical results, she is currently a postdoctoral research fellow at the Gemological Institute of America (GIA) branching out in both experimental and theoretical research on point defects in diamond and other gemstones.

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Graphical Abstract

