

## NON-NOETHERIAN REGULAR RINGS OF DIMENSION 2

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(Communicated by Ken Goodearl)

ABSTRACT. We study connected, not necessarily noetherian, regular rings of global dimension 2.

### 0. INTRODUCTION

Throughout  $k$  is a field and  $A$  is a connected  $k$ -algebra; thus  $A = \bigoplus_{n \geq 0} A_n$  and  $A_0 = k$ . The **augmentation ideal** is the unique maximal graded ideal  $\mathfrak{m} := \bigoplus_{i > 0} A_i$ . We call  $A/\mathfrak{m}$  the **trivial module**, and usually denote it by  $k$ . The (left and right) global dimension of  $A$  is equal to the projective dimension of  $k_A$ . The algebra  $A$  is **regular** if it has finite global dimension,  $d$  say, and

$$\mathrm{Ext}^i(k, A) \cong \begin{cases} k & \text{if } i = d, \\ 0 & \text{if } i \neq d. \end{cases}$$

In some papers this is called Artin-Schelter regular. In the definition we do not assume that  $A$  is finitely generated or even locally finite, but it is proved in [9, 3.1] that a regular ring is finitely generated. Also we do not require  $\mathrm{GKdim} A < \infty$  in the definition.

Noetherian regular rings of global dimension no more than 2 are easy to classify. Noetherian regular rings of global dimension 3 are classified and studied in [1, 2, 3, 7, 8]. Here we classify regular rings of global dimension 2 which are not necessarily noetherian.

**Theorem 0.1.** *A connected ring is regular of global dimension 2 if and only if it is isomorphic to the algebra  $k\langle x_1, \dots, x_n \rangle / (b)$  satisfying the following conditions:*

1.  $n \geq 2$ ;
2. if the  $x_i$ 's are labeled so that  $1 \leq \deg x_1 \leq \dots \leq \deg x_n$ , then  $\deg x_i + \deg x_{n-i}$  is a constant for all  $i$ ;
3. there is a graded algebra automorphism  $\sigma$  of the free algebra  $k\langle x_1, \dots, x_n \rangle$  such that  $b = \sum_{i=1}^n x_i \sigma(x_{n-i})$ .

**Theorem 0.2.** *Let  $A$  be an algebra in Theorem 0.1. Then the following hold.*

1.  $A$  is noetherian if and only if  $A$  has finite GK-dimension, if and only if  $n = 2$ .
2.  $A$  is a domain. If  $n > 2$ , then it is not an Ore domain.

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Received by the editors December 3, 1996.

1991 *Mathematics Subject Classification.* Primary 16W50, 16E10, 16E70.

*Key words and phrases.* Connected algebra, global dimension, regular algebra.

This research was supported by an NSF Postdoctoral Fellowship.

3. For every proper graded subspace  $W \subset \bigoplus_{i=1}^n kx_i$ , the subalgebra generated by  $W$  is free. As a consequence, if  $n > 2$ ,  $A$  does not satisfy a polynomial identity.
4. If  $n > 2$ ,  $A$  does not have non-trivial normal elements.

We also study one-relator quadratic algebras. It is well-known that every one-relator quadratic algebra is Koszul and its global dimension is either infinite if the relation is  $x^2 = 0$  or 2 otherwise. Here are some other properties.

**Theorem 0.3.** *Let  $V$  be a finite dimensional vector space and  $A$  a one-relator quadratic algebra  $k\langle V \rangle / (b)$  for some  $0 \neq b \in V \otimes V$ . Then the following hold.*

1.  $A$  is noetherian if and only if  $\dim V = 1$  or  $\dim V = 2$  and  $b \neq xy$ .
2.  $A$  is a domain if and only if  $b \neq xy$  for some  $x, y \in V$ .
3. If  $A$  has a non-trivial normal element, then  $\dim V = 1$  or  $\dim V = 2$  and  $b \neq xy$ .
4. If  $W \subset V$  is a subspace such that  $b \notin W \otimes V$ , then the subalgebra  $k[W]$  is free.

## 1. REGULAR RINGS OF GLOBAL DIMENSION 2

Let  $F = k\langle x_1, \dots, x_n \rangle$  be a connected graded free algebra with augmentation ideal  $\mathfrak{m}_F$ . Let  $\{y_1, \dots, y_n\}$  be another minimal graded generating set of  $F$ , namely,  $\{\bar{y}_1, \dots, \bar{y}_n\}$  is a basis of the graded vector space  $\mathfrak{m}_F / \mathfrak{m}_F^2$ . Suppose that  $b = \sum_{i=1}^r x_i y_i$  where  $1 \leq r \leq n$  and where  $\deg x_i + \deg y_i = e$  for all  $1 \leq i \leq r$ . The integer  $r$  is called the **rank** of  $b$ . Define  $A$  to be the connected one-relator algebra  $F / (b)$ . Since  $b \in \mathfrak{m}_F^2$ ,  $A$  and  $F$  have the same minimal generating sets. We call  $F$  the **covering free algebra** of  $A$ . For any element  $f$  in  $F$  we will use the same letter  $f$  for the image in  $A$ . We will use  $=_*$  for equality in the covering free algebra  $F$  and use ordinary  $=$  for equality in  $A$ . These one-relator algebras form a special class of what W. Dicks studied in [5]. The **Hilbert series** of  $A$  is defined by

$$H_A(t) = \sum_i \dim A_i t^i.$$

Suppose that  $H_A(t) = q(t)/p(t)$  for some relatively prime polynomials with integer coefficients and  $p(0) = 1$  (we will see that this property holds for all algebras studied in this paper). If every root of  $p(t)$  has absolute value 1, then  $A$  has finite Gelfand-Kirillov dimension; otherwise  $A$  has exponential growth [9, 2.2]. If  $M = \bigoplus_{i \in \mathbb{Z}} M_i$  is a graded module, then the  **$l$ -th degree shift** of  $M$  is  $M(l) := \bigoplus_{i \in \mathbb{Z}} M_{i+l}$ .

Parts 1 and 2 of the following proposition are well-known. Since we will use these several times later, we include a proof here.

**Proposition 1.1.** *Let  $A$  be the connected algebra  $k\langle x_1, \dots, x_n \rangle / (b)$  defined above and  $r = \text{rank } b$ .*

1. If  $b = ax_1^2$  for some non-zero scalar  $a$ , then
  - (a)  $\text{gldim } A = \infty$ ,
  - (b)  $H_A(t) = (1 + t^{\deg x_1})[(1 + t^{\deg x_1})(1 - \sum_{i=1}^n t^{\deg x_i}) + t^{2 \deg x_1}]^{-1}$ .
2. If  $r > 1$ , or if  $r = 1$  and  $x_1, y_1$  are linearly independent, then
  - (a)  $\text{gldim } A = 2$ ,
  - (b)  $H_A(t) = (1 - \sum_{i=1}^n t^{\deg x_i} + t^{\deg b})^{-1}$ .
3.  $A$  is regular if and only if  $r = n > 1$ .

*Proof.* 1. Note that  $A$  has no non-resolvable ambiguities in the sense of [4] and all monomials not containing  $x_1^2$  form a basis of  $A$ , whence the right annihilator  $r(x_1) := \{a \in A \mid x_1 a = 0\}$  is equal to  $x_1 A$ . By using this property we see that the minimal projective resolution of  $k_A$  is

$$(1-1) \quad \cdots \longrightarrow A(-3l) \longrightarrow A(-2l) \longrightarrow \bigoplus_i A(-l_i) \longrightarrow A \longrightarrow k \longrightarrow 0$$

where  $l = \deg x_1$  and the boundary map from  $A(-(p+1)l)$  to  $A(-pl)$  is multiplication by  $x_1$ . Hence the statements follow from (1-1).

2. Let  $S$  be the idealizer  $\mathbb{I}(bF) := \{f \in F \mid fb \in bF\}$ . We claim that  $S = k + bF$ . Suppose that  $fb =_* ba$  for some  $f, a \in \mathfrak{m}_F - \{0\}$  and write  $f$  as  $\sum_i x_i a_i$  in  $F$ . Then

$$(1-2) \quad a_j b =_* y_j a \quad \forall j = 1, \dots, r;$$

$$(1-3) \quad a_j b =_* 0 \quad \forall j = r + 1, \dots, n.$$

Since  $F$  is a domain,  $a_j \neq_* 0$  for  $j \leq r$  and  $a_j =_* 0$  for  $j \geq r + 1$ . If  $a_{j_0} \in k^\times$  for some  $j_0 \leq r$ , then (1-2) shows that  $y_{j_0}(a_{j_0}^{-1}a) =_* b =_* \sum_{i=1}^r x_i y_i$ . This cannot happen in the free algebra  $F$  when either  $r \geq 2$  or  $r = 1$  and  $\{x_1, y_1\}$  are linearly independent. Thus we obtain a contradiction and hence  $a_i \in \mathfrak{m}$  for all  $j \leq r$ . Since  $\{y_1, \dots, y_n\}$  is a minimal generating set of  $F$ , (1-2) implies that  $a_j =_* y_j g_j$ , so  $g_j b =_* a$  by factoring out  $y_j$  from (1-2) for all  $j \leq r$ . Since  $F$  is a domain,  $g_j =_* g_1$  and hence

$$f =_* \sum_{i=1}^n x_i a_i =_* \sum_{j=1}^r x_j a_j =_* \sum_{j=1}^r x_j y_j g_1 =_* b g_1.$$

Thus  $S = k + bF$  and the **eigenring** (defined in [5, 2.1]) is  $E := S/bF \cong k$ . By [5, 5.3],  $\text{gldim } A = \text{gldim } E + 2 = 2$  and by [5, 3.5]  $H_A(t) = (1 - \sum_{i=1}^n t^{\deg x_i} + t^{\deg b})^{-1}$ .

3. We only need to consider the algebras in part 2. The minimal projective resolution of  $k_A$  is of the form

$$(1-4) \quad 0 \longrightarrow A(-e) \longrightarrow \bigoplus A(-l_i) \longrightarrow A \longrightarrow k \longrightarrow 0$$

where  $e = \deg b$  and  $l_i = \deg x_i$  for all  $i$ . The boundary map  $\partial_1 : \bigoplus A(-l_i) \longrightarrow A$  sends  $(a_1, \dots, a_n)$  to  $\sum_{i=1}^n x_i a_i$  and the boundary map  $\partial_2 : A(-e) \longrightarrow \bigoplus A(-l_i)$  sends  $a$  to  $(y_1 a, \dots, y_r a, 0, \dots, 0)$ . By definition,  $A$  is regular if and only if the dual of (1-4),  $\text{Hom}((1-4), A)$ :

$$(1-5) \quad 0 \longleftarrow A(e) \longleftarrow \bigoplus A(l_i) \longleftarrow A \longleftarrow 0$$

is the minimal projective resolution of  ${}_A k(e)$ . The boundary map from  $\bigoplus A(l_i)$  to  $A(e)$  sends  $(a_1, \dots, a_n)$  to  $\sum_{i=1}^r a_i y_i$ . Hence  $A$  is regular if and only if  $\{y_i\}_{i=1}^r$  is a minimal generating set of  $A$ , if and only if  $r = n$ .  $\square$

*Proof of Theorem 0.1.* Suppose that 1, 2, 3 hold. Let  $y_i = \sigma(x_{n-i})$ . Then  $A$  is regular of global dimension 2 by Proposition 1.1.3.

Conversely suppose that  $A$  is connected and regular of global dimension two. By [9, 3.1.1], we have a minimal free resolution of  $k_A$

$$(1-6) \quad 0 \longrightarrow A(-e) \longrightarrow \bigoplus_{i=1}^n A(-l_i) \longrightarrow A \longrightarrow k \longrightarrow 0$$

where  $n$  is finite. The Hilbert series is  $H_A(t) = (1 - \sum_i t^{l_i} + t^e)^{-1}$ . We may assume that  $l_1 \leq l_2 \leq \dots \leq l_n$ . If  $n = 1$ , we obtain an algebra with infinite global dimension (Proposition 1.1.1). Hence  $n \geq 2$ . By [9, 3.1.4],

$$t^e \left( 1 - \sum_i t^{-l_i} + t^{-e} \right) = \left( 1 - \sum_i t^{l_i} + t^e \right),$$

whence  $l_i + l_{n-i} = e$  for all  $i = 1, \dots, n$ . The boundary map from  $\bigoplus_{i=1}^n A(-l_i)$  to  $A$  sends  $(a_1, \dots, a_n)$  to  $\sum_i x_i a_i$  where  $\{x_i\}$  is the minimal set of generators and  $\deg x_i = l_i$ , and the boundary map from  $A(-e)$  to  $\bigoplus_{i=1}^n A(-l_i)$  sends  $a$  to  $(y_1 a, \dots, y_n a)$  for some  $y_i \in \mathfrak{m}$ . Consequently,  $A$  has one relation of degree  $e$ , of form  $x_1 y_1 + x_2 y_2 + \dots + x_n y_n = 0$ . Since  $A$  is regular, the dual of (1-6) (see (1-5)) is the projective resolution of  ${}_A k(e)$ , so  $\{y_i\}_{i=1}^n$  is a minimal generating set of  $A$ . Now any homogeneous minimal generating set for  $A$  is also a minimal generated set for  $F$ . Thus  $F = k\langle y_1, \dots, y_n \rangle$  and  $\sigma : x_i \rightarrow y_{n-i}$  defines a graded algebra automorphism of  $F$ . Since the relation is homogeneous, we have  $\deg y_i = e - l_i = l_{n-i}$  for all  $i$ . Therefore  $A$  is isomorphic to  $F/(b)$  and 1, 2, 3 of Theorem 0.1 hold.  $\square$

By Theorem 0.1.2, if  $A$  is generated by three elements  $x_1, x_2, x_3$  with  $\deg x_1 \leq \deg x_2 \leq \deg x_3$  and  $\deg x_1 + \deg x_3 \neq 2 \deg x_2$ , then  $A$  is not regular of global dimension two. Noetherian regular rings of global dimension 2 are easily determined and that is the case when  $n = 2$  in Theorem 0.1. The following Corollary is part 1 of Theorem 0.2.

**Corollary 1.2.** *Let  $A$  be a connected regular ring of global dimension 2, generated by  $n$  elements (see Theorem 0.1). Then the following statements are equivalent.*

1.  $n = 2$ .
2.  $A$  is noetherian.
3.  $\text{GKdim}(A) = 2$ .
4.  $\text{GKdim}(A) < \infty$ .

*Proof.* If  $A$  is in Theorem 0.1 and  $n = 2$ , then it is easy to see that  $A$  is noetherian of GK-dimension 2 [9, 3.5].

If  $A$  is in Theorem 0.1 (or even in Proposition 1.1) and  $n > 2$ , then it has exponential growth, and by [9, 1.2], it is neither left nor right noetherian.  $\square$

Next we prove other parts of Theorem 0.2. For simplicity, we use  $\bar{f}$  for the image of  $f$  in  $A/\mathfrak{m}^2$  for all  $f \in A$ .

**Proposition 1.3.** *Let  $A$  be regular of global dimension 2, generated by  $n$  elements. Then the following statements hold.*

1.  $A$  is a domain.
2. Suppose that  $n > 2$ . Let  $x, f$  and  $g$  be homogeneous elements in  $\mathfrak{m} - \{0\}$  and suppose that  $x \notin \mathfrak{m}^2$ . If  $fg \in xA$  ( $gf \in Ax$  respectively), then  $f \in xA$  ( $f \in Ax$  respectively).

*Remark 1.4.* We do not have any example of a noetherian or non-noetherian regular ring which is not a domain.

*Proof.* If  $A$  is a regular ring of global dimension 2 and  $n = 2$ , then it is described in [9, 3.3], and in particular, it is a domain. In the rest of the proof we assume that  $n > 2$ .

Since  $A$  is  $\mathbb{N}$ -graded,  $A$  being a graded domain implies that  $A$  is a domain. Pick a minimal generating set  $\{x_i \mid i = 1, \dots, n\}$  such that  $x = x_n$ . Hence it suffices to show the following statement:

- Let  $f$  and  $g$  be homogeneous elements in  $\mathfrak{m} - \{0\}$ . Then
  - (a)  $fg \neq 0$ ,
  - (b)  $fg \in x_n A$  implies  $f \in x_n A$ .

We will prove (•) by induction on  $m := \deg f + \deg g$ . Nothing needs to be proved when  $m = 1$ . Now suppose that  $m > 1$  and assume that the statement (•) holds for all cases when  $\deg f + \deg g < m$ .

Case 1:  $m < e$ .

Since  $\bigoplus_{i < e} A_i = \bigoplus_{i < e} F_i$ , it suffices to show that (a) and (b) hold in  $F$ . Hence (a) follows because  $F$  is a domain and (b) follows because  $x_n$  is in a minimal generating set of  $F$ .

Case 2:  $m = e$ .

(a) If  $fg = 0$  in  $A$ , then  $fg =_* lb$ . Since  $fg \neq_* 0$ ,  $l \neq 0$ . Write  $f =_* \sum_i x_i a_i$ ; we have  $a_i g =_* ly_i$  for all  $i$ . Passing to  $\mathfrak{m}/\mathfrak{m}^2$ , we have  $\bar{y}_i \in k\bar{g}$  for all  $i$ . This contradicts the fact that  $\{\bar{y}_i\}_{i=1}^n$  are linearly independent. Therefore  $fg \neq 0$ .

(b) If  $fg \in x_n A$ , then  $fg =_* lb + x_n c$  for  $l \in k$  and for some  $c \in F$ . By expanding  $f$  we have  $a_i g =_* ly_i$  for  $i < n$  and  $a_n g =_* ly_n + c$ . If  $l \neq 0$ , then this contradicts the fact  $\{\bar{y}_i\}_{i < n}$  are linearly independent. Hence  $l = 0$ , whence  $a_i =_* 0$  for all  $i < n$ . Therefore  $f = x_n a_n \in x_n A$ .

Case 3:  $m > e$ .

(a) If  $fg = 0$ , then, in  $F$ , we have

$$(1-7) \quad fg =_* \sum_{j=1}^q f_j b g_j + f_0 b + b g_{-1}$$

where  $f_i, g_i \in \mathfrak{m}_F$ . We always assume each term in the equation has degree  $m$ . Write  $f_j =_* \sum_i x_i f_{ij}$  and  $f =_* \sum_i x_i a_i$ ; we obtain

$$(1-8) \quad a_i g =_* \sum_j f_{ij} b g_j + f_{i0} b + y_i g_{-1} \quad \forall i.$$

For every  $i$ ,  $a_i g = y_i g_{-1} \in y_i A$ . If  $g_{-1} = 0$ , then by induction hypothesis (a),  $a_i = 0$  for all  $i$ , so  $f = 0$ , a contradiction. Hence  $g_{-1} \neq 0$ , so  $y_i g_{-1} \neq 0$  by induction hypothesis (a). This implies that  $a_i \neq 0$  for all  $i$ . We claim that  $a_i \in \mathfrak{m}$  (\*). If not, let  $a_{i_0} \in k^\times$ . Thus  $g = z_{i_0} g_{-1}$  where  $z_{i_0} = y_{i_0} a_{i_0}^{-1} \in \mathfrak{m} - \mathfrak{m}^2$ . For each  $i$ ,  $a_i z_{i_0} g_{-1} = y_i g_{-1}$ . By induction hypothesis (a),  $a_i z_{i_0} = y_i$  and hence  $\bar{y}_i \in k\bar{z}_{i_0}$  for all  $i$ . This contradicts the fact that  $\{\bar{y}_i\}_{i=1}^n$  are linearly independent. Thus we proved our claim (\*). By induction hypothesis (b),  $a_i = y_i w_i$ . Factoring out  $a_i$  from  $a_i g = y_i g_{-1}$  (by using induction hypothesis (a)), we obtain  $w_i g = g_{-1}$  for all  $i$ . By induction hypothesis (a),  $w_i = w_1$  and hence  $f = \sum_i x_i y_i w_1 = b w_1 = 0$ . This contradicts  $f \neq 0$  in  $A$ , and hence (a) follows.

(b) If  $0 \neq fg \in x_n A$ , then  $fg = x_n c$  for some  $c \neq 0$  with  $\deg c = m - \deg x_n > 0$ . Similar to (1-7) we have

$$fg =_* \sum_{j=1}^n f_j b g_j + f_0 b + b g_{-1} + x_n c,$$

and similar to (1-8), we have

$$(1-9) \quad a_i g = * \sum_j f_{ij} b g_j + f_{i0} b + y_i g_{-1} \quad \forall i < n.$$

Hence  $a_i g = y_i g_{-1} \in y_i A$  for all  $i < n$ . If  $g_{-1} = 0$ , then by induction hypothesis (a)  $a_i = 0$  for all  $i$  and then  $f = x_n a_n \in x_n A$ . Now we suppose that  $g_{-1} \neq 0$ . Similar to (\*), we may assume  $a_i \in \mathfrak{m}$  for all  $i < n$  (in this case we use the fact that  $\{y_i\}_{i < n}$  are linearly independent). Since  $y_i \in \mathfrak{m} - \mathfrak{m}^2$ , by induction hypothesis (b),  $a_i = y_i w_i$  for all  $i < n$ . Factoring out  $y_i$  from  $a_i g = y_i g_{-1}$  we obtain that  $w_i g = g_{-1}$  for all  $i < n$ . By induction hypothesis (a),  $w_i = w_1$  for all  $i < n$ , and hence

$$\begin{aligned} f &= \sum_i x_i a_i = x_n a_n + \sum_{i < n} x_i a_i = x_n a_n + \sum_{i < n} x_i y_i w_1 \\ &= x_n a_n + (b - x_n y_n) w_1 = x_n (a_n - y_n w_1) \in x_n A. \end{aligned}$$

Thus (b) follows. □

**Proposition 1.5.** *Let  $A$  be a one-relator algebra  $k\langle x_1, \dots, x_n \rangle / (b)$  where  $b = \sum_i x_i z_i$ . Suppose that, for some  $s$ ,  $z_s$  is a right regular element. Then  $\sum_{i \neq s} x_i A = \bigoplus_{i \neq s} A_i$  and hence the subalgebra generated by  $\{x_i \mid i \neq s\}$  is free.*

*As a consequence, if  $A$  is a regular algebra of global dimension 2 and  $\{x_i \mid 1 \leq i \leq n\}$  is a minimal generating set of  $A$ , then the subalgebra  $k[x_i \mid i \neq s]$  is free for any  $s$ .*

*Proof.* It suffices to show that  $\sum_{i \neq s} x_i a_i = 0$  implies  $a_i = 0$  in  $A$  for all  $i \neq s$ . Suppose that  $\sum_{i \neq s} x_i a_i = 0$  for some  $a_i \in A$ . As the proof of Proposition 1.3, there are  $f_j$  and  $g_j$  with  $f_j \in \mathfrak{m}_F$  such that

$$\sum_{i \neq s} x_i a_i = * \sum_{j=0}^q f_j b g_j + b g_{-1} = * \sum_{j=0}^q \sum_{i=1}^n x_i f_{ij} b g_j + \sum_{i=1}^n x_i z_i g_{-1},$$

and hence

$$(1 - \delta_{is}) a_i = z_i g_{-1} \quad \text{in } A.$$

If  $i = s$ , then  $z_s g_{-1} = 0$ , so  $g_{-1} = 0$  because  $z_s$  is right regular. If  $i \neq s$ , then  $a_i = z_i g_{-1} = 0$ .

If  $A$  is regular algebra, then  $A$  is a domain [Proposition 1.3] and hence  $z_s$  is a regular element. Therefore the statement follows. □

The proofs of parts 3 and 4 of the following are the same as ones of [11, 2.3] which are stated only for quadratic algebras.

**Proposition 1.6.** *Let  $A$  be a connected algebra and  $x$  a homogeneous element in  $\mathfrak{m} - \{0\}$  satisfying the following two conditions:*

- (a)  $x$  is right regular and  $x A \neq \mathfrak{m}$ ;
- (b) if  $f$  and  $g$  are in  $\mathfrak{m} - \{0\}$  and  $f g \in x A$ , then  $f \in x A$ .

*Then the following statements hold.*

1.  $A$  is a domain.
2.  $A$  is not an Ore domain and it does not satisfy a polynomial identity.
3. If  $a, y$  are in  $A - \{0\}$ , then  $x a = a y$  implies that  $x = y$  and  $a = l x^n$  for some  $n \geq 0$  and  $l \in k^\times$ .
4.  $A$  has no non-trivial normal elements.

*Proof.* 1. Let  $f, g$  be non-zero elements in  $A$ . If  $fg = 0$ , then  $f, g \notin k$  and  $fg \in xA$ . By (b)  $f = xf'$  and  $xf'g = 0$ . By (a),  $f'g = 0$ . By induction on  $\deg f'$ , either  $f' = 0$  or  $g = 0$ , a contradiction.

2. Pick  $z \in \mathfrak{m} - xA$ , by (b),  $xA \cap zA = \{0\}$ . Hence  $A$  is not Ore. Also the subalgebra  $k[x, z]$  is free, hence  $A$  is not PI.

3. If  $xa = ay$  and  $a \notin k$ , then  $ay \in xA$ , and by (b),  $a = xa'$ . Hence  $xxa' = xa'y$ , and by (a),  $xa' = a'y$ . The statement follows from induction on  $\deg a$ .

4. If  $A$  has a non-trivial normal element, then  $A$  has a homogeneous normal element of positive degree, say  $a$ . Hence  $xa = ay$  for some  $y \in \mathfrak{m}$ . By part 3,  $x = y$  and  $a = lx^n$ . Thus  $x^n$  is normal. By (a), there is  $z \in \mathfrak{m} - xA$  and by (b)  $xA \cap zA = \{0\}$ . Therefore  $zx^n \neq x^nc$  for all  $c \in A$  and thus  $x^n$  is not normal, a contradiction.  $\square$

Parts 2, 3, 4 of Theorem 0.2 follow easily from Propositions 1.3, 1.5 and 1.6.

## 2. ONE-RELATOR QUADRATIC ALGEBRAS

In this section we will apply results from section 1 and results in [11] to one-relator quadratic algebras. From now on  $V$  is a vector space with a basis  $\{x_i\}_{i=1}^n$  and  $A$  is a one-relator quadratic algebra  $k\langle V \rangle / (b)$  where  $b = \sum_{i,j} l_{ij} x_i x_j$  for some  $l_{ij} \in k$ . It is well-known that  $A$  is Koszul and has global dimension 2 or infinity. The **rank** of the relation  $b$  is defined to be the rank of the matrix  $(l_{ij}) \in M_n(k)$ . This definition of rank coincides with the definition given in section 1 when we consider quadratic algebras. It is easy to see that the rank of  $b$  is independent of the choices of the basis of  $V$ . If  $r = \text{rank } b$ , then there are two bases  $\{z_i\}$  and  $\{y_i\}$  of  $V$  such that  $b = \sum_{i \leq r} z_i y_i$ . Let  ${}_b V$  (respectively  $V_b$ ) denote the subspace generated by  $\{z_i \mid i \leq r\}$  (respectively  $\{y_i \mid i \leq r\}$ ). Then  ${}_b V$  and  $V_b$  are only dependent on  $b$ . If  $r = 1$ , then  $b = x_1^2$  or  $b = x_1 x_2$  after changing a basis.

**Proposition 2.1.** *Let  $A$  be the algebra  $k\langle V \rangle / (b)$  and suppose that  $b = x_1 x_s$  where  $s$  is either 1 or 2. Then the following statements hold.*

1. *If  $f, g \neq 0$  are in  $\mathfrak{m}$ , and  $fg = 0$ , then  $f \in Ax_1$  and  $g \in x_s A$ .*
2.  $\sum_{i>1} x_i A = \bigoplus_{i>1} x_i A$ .
3. *The subalgebra generated by  $\{x_i \mid i > 1\}$  is free.*
4. *If  $j > 1$  and  $a, y$  are in  $A - \{0\}$ , then  $x_j a = ay$  implies that  $x_j = y$  and  $a = lx_j^t$  for some  $l \in k^\times$  and  $t \geq 0$ .*
5. *If  $n > 1$ , then  $A$  has no non-trivial normal elements.*

*Proof.* Since  $A$  has no non-resolvable ambiguities, all monomials not containing  $x_1 x_s$  form a basis of  $A$ . In particular,  $x_i$  are right regular for all  $i > 1$ . Let  $I$  denote  $(i_1, \dots, i_t)$ . For every element  $a \in F$ , write  $a = \sum_I l_I x_{i_1} \cdots x_{i_t}$  where  $(i_p, i_{p+1}) \neq (1, s)$  for all  $p$ . Define the support of  $a$  to be  $\text{Supp}(a) := \{I \mid l_I \neq 0\}$ . Part 1 and part 2 can be checked easily by expressing elements as sum of monomials, part 3 is a consequence of 2.

4. As in the proof of Proposition 1.6.3, it suffices to show  $a = x_j a'$ . Suppose that this is not true. Then  $a = x_j a' + a_0$  where  $a_0 = \sum_{i_1 \neq j} l_I x_{i_1} \cdots x_{i_t} \neq 0$ . Since  $x_j$  is right regular,  $x_j a = \sum_{I \in \text{Supp}(a)} l_I x_j x_{i_1} \cdots x_{i_t}$ . Write  $y = \sum_w h_w x_w$ ; then we have  $ay = \sum_{(i_t, w) \neq (1, s)} l_I h_t x_{i_1} \cdots x_{i_t} x_w$ . Comparing the monomials in  $x_j a$  and  $ay$ , we obtain that, if  $I = (i_1, \dots, i_t) \in \text{Supp}(a_0)$ , then  $h_w x_{i_1} \cdots x_{i_t} x_w = 0$  for all  $w$ . Thus (i)  $h_w = 0$  for all  $w \neq s$  and  $h_s \neq 0$  and (ii)  $i_t = 1$ . As a consequence

$ay = (x_j a' + a_0)h_s x_s = h_s x_j a' x_s$ . Therefore

$$|Supp(ay)| = |Supp(a')| < |Supp(a)| = |Supp(x_j a)| = |Supp(ay)|,$$

a contradiction. Therefore part 4 follows.

5. If  $A$  has a non-trivial normal element, then  $A$  has a homogeneous normal element of positive degree, say  $a$ . Let  $j > 1$ . Then  $x_j a = ay$  for some  $y$  of degree 1. By part 4,  $x_j = y$  and  $a = lx_j^t$  for some  $l \neq 0$  and  $t > 0$ . Hence  $x_j^t$  is normal. But  $x_j^t x_1 \neq zx_j^t$  for any  $z$ , so a contradiction.  $\square$

Now we are ready to prove Theorem 0.3.

*Proof.* 1. If  $n = 1$ , then  $A$  is  $k[x]/(x^2)$ , which is noetherian. If  $n = r = 2$ , then  $A$  is a noetherian regular algebra of global dimension two (Corollary 1.2). If  $n = 2$  and  $r = 1$ , then  $A$  is either  $k\langle x, y \rangle / (x^2)$  or  $k\langle x, y \rangle / (xy)$ ; neither is noetherian. If  $n > 2$ , then by Proposition 1.1.1(b) and 2(b),  $A$  has exponential growth and hence it is not noetherian by [9, 1.2].

2 and 3. We discuss three cases.

Case 1:  $r = rank\ b > 2$ . Let  $\Phi$  be the set of all subspaces of dimension no more than  $rank\ b - 2$ . Then by the proof of [11, 0.1(2)] we can apply [11, 2.2] to  $A$  and this  $\Phi$ . Hence  $A$  is a domain and the following statement holds:

(\*\*) If  $f$  and  $g$  are homogeneous elements in  $\mathfrak{m} - \{0\}$  and if  $fg \in xA$  for some  $x \in V - \{0\}$ , then  $f \in xA$ .

By Proposition 1.6.4,  $A$  has no non-trivial normal elements.

Case 2:  $r = 2$  and  ${}_bV \neq V_b$ . By [11, 2.2 and 2.3],  $A$  is a domain and the statement (\*\*) holds for  $x \notin V_b$  (in this case  $\Phi$  is the set of 1-dimensional subspaces not contained in  $V_b$ ). By Proposition 1.6.4,  $A$  has no non-trivial normal elements.

Case 3:  $r = 2$  and  ${}_bV = V_b$ . In this case  $b = (l_{11}x_1 + l_{21}x_2)x_1 + (l_{12}x_1 + l_{22}x_2)x_2$  for some invertible matrix  $(l_{ij})_{2 \times 2}$ . Hence  $A = k\langle V \rangle / (b)$  is isomorphic to a twisted algebra  $B^\sigma$  where  $B = k\langle V \rangle / (x_1x_2 - x_2x_1)$  and  $\sigma : x_1 \rightarrow l_{12}x_1 + l_{22}x_2, x_2 \rightarrow -(l_{11}x_1 + l_{21}x_2), x_i \rightarrow x_i, \forall i > 2$ . It is easy to see that the one-relator semi-group  $G := \langle x_1, \dots, x_n \mid x_1x_2 = x_2x_1 \rangle$  is ordered and  $B$  is the semi-group algebra  $kG$ . Therefore  $B$  is a domain [6, Prop. A.II.1.4] and hence  $A \cong B^\sigma$  is a domain [10, 5.2]. Now let  $x \in V - {}_bV$  and  $f, g \in \mathfrak{m} - \{0\}$ . We may assume  $x = x_n$  and  $n > 2$ . If  $fg \in x_n A$ , then  $fg = x_n c$  and we have

$$\sum_i x_i a_i g = {}_* \sum_i x_i f_{ij} b g_i + \sum_{i=1}^2 x_i y_i g_{-1} + x_n c$$

in  $k\langle V \rangle$ , where  $f = \sum_i x_i a_i$ . Comparing the coefficients in  $x_n$ , we have  $a_n g = {}_* \sum_j f_{nj} b g_j + c$  and so  $c = a_n g$  in  $A$ . Hence  $fg = x_n c = x_n a_n g$ . Since  $A$  is a domain,  $f = x_n a_n \in x_n A$ . Again we proved (\*\*) for  $x \notin V_b$ . By Proposition 1.6.4,  $A$  has no non-trivial normal elements if  $V_b \neq V$ .

Combining these cases and Proposition 2.1 we prove 2 and 3.

4. If  $b \notin W \otimes V$ , then there is a subspace  $W' \supset W$  of dimension  $n - 1$  such that  $b \notin W' \otimes V$ . So we may assume  $\dim W = n - 1$ . Hence this is a consequence of Proposition 1.5 and part 2 when  $r \geq 2$ . If  $b = x_1^2$ , this is Proposition 2.1.2. It remains to consider the case when  $b = x_1 x_2$ . By changing a basis we may assume  $b = x_1 y, W = \sum_{i>1} kx_i$  and  $y \notin kx_1$ . By Proposition 1.5, it suffices to show that  $y$  is a right regular element, which follows from Proposition 2.1.1.  $\square$



## ACKNOWLEDGMENT

The author would like to thank S. P. Smith for several discussions on the subject.

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