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1	Non-patient related SARS-CoV-2 exposure to colleagues and household members
2	impose the highest infection risk for hospital employees with and without patient
3	contact in a German university hospital: follow-up of the prospective Co-HCW
4	Seroprevalence study
5	
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42

44 Abstract

Background: The Co-HCW study is a prospective, longitudinal single center
observational study on the SARS-CoV-2 seroprevalence and infection status in staff
members of Jena University Hospital (JUH) in Jena, Germany.

Material and Methods: This follow-up study covers the observation period from 19th 48 49 May 2020 to 22nd June 2021. At each out of three voluntary study visits, participants 50 filled out a questionnaire on individual SARS-CoV-2 exposure. In addition, serum 51 samples to assess specific SARS-CoV-2 antibodies were collected. Participants with 52 antibodies against nucleocapsid and/or spike protein without previous vaccination 53 and/or a reported positive SARS-CoV-2 PCR test were regarded as participants with 54 detected SARS-CoV-2 infection. Multivariable logistic regression modeling was 55 applied to identify potential risk factors for infected compared to non-infected 56 participants.

57 Results: Out of 660 participants that were included during the first study visit, 406 58 participants (61.5%) were eligible for final analysis as they did not change the COVID-59 19 risk area (high-risk n=76; intermediate-risk n=198; low-risk n=132) during the 60 study. Forty-four participants (10.8%, 95% confidence interval (95%CI) 8.0%-14.3%) 61 had evidence of a current or past SARS-CoV-2 infection detected by serology (n=40) 62 and/or PCR (n=28). No association of any SARS-CoV-2 infection with the COVID-19 63 risk group according to working place could be detected. But exposure to a SARS-64 CoV-2 positive household member (adjusted OR (AOR) 4.46, 95%CI 2.06-9.65) or 65 colleague (AOR 2.30, 95%CI 1.10-4.79) significantly increased the risk of a SARS-66 CoV-2 infection.

67 Conclusion. Our results demonstrate that non-patient-related SARS-CoV-2 exposure
68 imposed the highest infection risk in hospital staff members of JUH.

- 69 Keywords: SARS-CoV-2 infection, seroepidemiologic studies, healthcare workers,
- 70 universal masking, non-patient-related COVID-19 contact

72 Introduction

73 Healthcare workers (HCW) across the world are at high risk to acquire coronavirus disease 2019 (COVID-19) caused by severe acute respiratory syndrome coronavirus 2 74 (SARS-CoV-2)¹⁻³, as they are directly or indirectly exposed to infectious material³ 75 76 while caring for patients suffering from the coronavirus disease 2019 (COVID-19)⁴. 77 Transmission of SARS-CoV-2 occurs primarily via inhalation of, or inoculation with 78 infectious small liquid particles ranging from larger respiratory droplets to smaller aerosols in case of close personal contact ⁵. Aerosol transmission in health-care settings 79 80 may occur in specific situations in which HCW perform medical, aerosol generating 81 procedures but do not use adequate personal protection equipment (PPE) ⁵. With the 82 ongoing COVID-19 pandemic ^{6,7}, ensuring the safety of HCW is of utmost relevance 83 ^{1,3,5}. Infection control measures, including the use of adequate PPE, hand hygiene, and physical separation are considered essential in reducing nosocomial transmissions ^{5,8}. 84 85 Additionally, vaccination of patients and HCW reduces the risk of acquiring COVID-86 19 in health care settings.

87 The city of Jena, with a population of approximately 111,000 inhabitants, hosts the only 88 university hospital of the entire federal state Thuringia (Jena University Hospital, JUH), 89 which is located in central Germany. Besides there is no other hospital in the city of 90 Jena. In March 2020, mandatory masking was implemented for all staff members of JUH, including HCW and administration staff ⁹ aiming to reduce nosocomial SARS-91 92 CoV-2 transmissions. Additionally, business trips and participation in presence on 93 conferences or trainings activities outside JUH were prohibited for all employees by 94 the local Medical Executive board. In December 2020, SARS-CoV-2 vaccination was 95 first available and was initially offered to HCW with high risk. Since February 2021, 96 SARS-CoV-2 vaccination was offered to all hospital staff members. The vaccination

97 rate documented by the department of occupational health of JUH in December 2021
98 was 85% (94% for physicians, 88% for nurses, and 85% for administration staff). We
99 have previously reported a low SARS-CoV-2 point seroprevalence rate of 2.7% among
100 hospital staff (inclusion of first participant: 19th May 2020, inclusion of last participant:
101 19th June 2020) ⁹, and identified COVID-19 exposure at home as the main risk factor
102 associated with SARS-CoV-2 point seroprevalence. This was prior to availability of
103 SARS-CoV-2 vaccination.

The primary objective of this follow-up study was to assess the SARS-CoV-2 seroprevalence and prevalence of SARS-CoV-2 infection among employees with (HCW) and without patient contact (administration staff) of JUH over a period of 13 months (May 2020 to June 2021). Secondary objectives were to determine individual exposure risk factors, and to compare SARS-CoV-2 infection rates between hospital staff working at different COVID-19 risk areas according to working place.

110 Methods

111 Study design and setting

The Co-HCW study (SARS-CoV-2 seroprevalence and infection status in hospital staff members at JUH) is a prospective, longitudinal single centre observational cohort study conducted at JUH, a 1,400-bed academic hospital in Germany. The first of three visits (05/2020) has already been published ⁹. This current analysis covers the complete observation period of 11-13 months and includes data from 19th May 2020 to 22nd June 2021. At our hospital, intensive SARS-CoV-2 screening was carried out. Details of the routine PCR screening are described below.

119 Research was conducted in accordance with the Declaration of Helsinki and national
120 and institutional standards. The study protocol was approved by the local ethics
121 committee of the Friedrich-Schiller-University Jena (approval no. 2020–1774),

122 and the study was registered at the German Clinical Trials Register (DRKS00022432).

123 Enrolment and data management

124 Participants including hospital staff and administration staff were recruited between 19th May 2020 and 19th June 2020. For inclusion and exclusion criteria as well as data 125 126 management, we refer to the previously published results of the first study visit ⁹. In 127 total, three study visits were offered to all participants. Participation in each study visit 128 was voluntary. The first study visit was performed at inclusion, the second study visit was performed from 6th November 2020 to 26th November 2020, and the third study 129 visit was performed during 26th April 2021 and 22nd June 2021. For the present analysis, 130 131 only participants were considered who completed the last study visit in 2021 and did 132 not change the COVID-19 risk area according to their risk of a contact with COVID-133 19 patients at work (low, intermediate and high risk) during the study.

At each study visit, participants had to fill out a questionnaire, and blood samples were collected at the study center, which were then sent to the Department of Clinical Chemistry and Laboratory Medicine of JUH and the Institute of Medical Microbiology of JUH for testing of specific SARS-CoV-2 antibodies by two different immunoassays (see below).

139 Questionnaire

As previously described ⁹, the questionnaire included questions on demographics, profession, working area, individual exposure to confirmed COVID-19 cases, return from COVID-19 risk areas, results of previous polymerase chain reaction (PCR) or serology test for COVID-19, clinical symptoms, accidents with biological material and compliance concerning use of PPE in HCW with individual contact with a confirmed COVID-19 patient. Due to the recommendation of the referees of the first peer-review of this study, we additionally included the following parameters in the updated

questionnaire for the second and third visit: use of public transport on the way to work,
household size, travel to abroad and participation at events with at least five persons.
As SARS-CoV-2 vaccination has been available since 27th December 2020, the
questionnaire of the last visit was further extended with questions on number and type
of SARS-CoV-2 vaccinations.

152 PCR Screening

All staff in high-risk areas (intensive care unit, intermediate care unit, emergency department and COVID-19 regular ward) were tested twice a week by PCR. In addition, all staff members were called upon to have a PCR test carried out in case of symptoms of infection and/or after 1 and 5 days of contact with a SARS-CoV-2 infected person at work or at home. Furthermore, in case of nosocomial transmission detected by patient screening, the staff of the respective ward were screened on day 1 and 5.

159 SARS-CoV-2 antibody testing

160 Specific SARS-CoV-2 antibodies in serum samples were detected at each time point 161 deploying the commercially available chemiluminescence-based immunoassay (CLIA) 162 Elecsys Anti-SARS-CoV-2 (Roche, Basel, Switzerland) that uses a recombinant 163 nucleocapsid protein as capture antigen. At the first and second visits the enzyme-164 linked immunosorbent assay EDI Novel Coronavirus SARS-CoV-2 IgG ELISA 165 (Epitope Diagnostics Inc., San Diego, USA, antigen: recombinant nucleocapsid 166 protein) was performed as a second method. At visit three spike-protein specific IgG 167 antibodies were identified using the CLIA system LIAISON® SARS CoV-2 S1/S2 IgG 168 (DiaSorin, Saluggia, Italy). All serological tests were carried out according to the 169 manufacturers' instructions. Sensitivities and specificities as provided by the 170 manufacturers are high for all tests ($\geq 97\%$).

171 Participants with at least one positive test result for antibodies against nucleocapsid 172 and/or spike protein without previous vaccination and/or a reported positive SARS-173 CoV-2 PCR test were regarded as participants with detected SARS-CoV-2 infection. 174 **Outcomes and further definitions** 175 The primary outcome of this follow-up study was to assess the SARS-CoV-2 infection 176 rates using SARS-CoV-2 antibody detecting immunoassays and reported positive 177 SARS-CoV-2 PCR test results. Secondary outcomes were (i) prevalence of SARS-178 CoV-2 infection in participants stratified by their risk of COVID-19 exposure during work (low, medium and high risk), and (ii) potential risk factors for detected SARS-179 180 CoV-2 infection including compliance of HCW in case of an individual reported 181 contact with a confirmed COVID-19 positive patient.

182 Statistical analysis

Characteristics of participants are summarized (overall, stratified by test result) as 183 184 absolute and relative frequencies or as median together with first and third quartile (Q1, 185 Q3). Evidence of any SARS-CoV-2 infection in hospital staff within the observation 186 period is described with absolute and relative frequencies together with 95% Clopper-187 Pearson confidence intervals (CIs). To compare SARS-CoV-2 infection rates between 188 participants working at different COVID-19 risk areas, and to identify potential risk 189 factors for infected compared to non-infected participants, we apply uni- and 190 multivariable logistic regression modelling with the SARS-CoV-2 infection as 191 dependent variable and the investigated factor as independent variable. In the 192 multivariable models, we adjusted for age and gender. For place of exposure, we 193 considered two additional multivariable models. In the first additional model, we 194 included all places, that were assessed, as independent variables to adjust each 195 investigated place for the respective other places. In the second additional model, we

- 196 adjusted this model for age and gender. We provide (adjusted) odds ratios (OR) together
- 197 with 95% CI and p-value.
- 198 We applied a two-sided significance level of 0.05 and did not correct for multiple
- 199 testing as all analyses were considered exploratory. The main analyses were done with
- 200 R (version 4.0.3), and parts were complemented by SPSS Statistics version 28.0 for
- 201 Windows (IBM Corp., Armonk, NY, USA).
- 202

203 **Results**

204 Characteristics of the study population

205 Out of 660 participants that were analysed during the first study visit, 406 hospital staff 206 members (61.5%) also participated in the third and last study visit and did not change 207 the COVID-19 risk area during the reported 13 months. Of these 406 participants, 91 208 (22.4%) were males and 315 (77.6%) were females. The median age of the participants 209 was 41.0 (Q1-Q3: 34.0-49.8) years. The most common professions included 210 administration staff (n=132, 32.5%), followed by nurses (n=125, 30.8%), physicians 211 (n=66, 16.3%), reception staff (n=12, 3.0%), nursing assistants (n=10, 2.5%), 212 psychologists (n=10, 2.5%), ergo therapists (n=10, 2.5%), and medical assistants (n=9, 213 2.2%). Two-hundred twenty-four participants (55.2%) reported direct contact to a 214 confirmed COVID-19 case, whereas 182 participants (44.8%) were not aware of any 215 COVID-19 exposure. Among the 224 staff members with reported COVID-19 216 exposure, 151 participants (67.4%) had direct contact with a SARS-CoV-2 positive 217 patient, and 60 participants (26.8%) had exposure to a SARS-CoV-2 positive colleague. 218 Direct COVID-19 contact outside the JUH included close contact to a positive 219 household member (n=43, 19.2%), exposure to friends (n=20, 8.9%), exposure during 220 shopping (n=2, 0.9%) and exposure on holiday (n=1, 0.4%). Further details on the 221 participants are provided in Table 1. Any SARS-CoV-2 vaccination prior to the last 222 study visit was reported from 307 participants (75.6%); 177 participants (43.6%) had 223 received two vaccinations (homologous vaccination with a COVID-19 messenger RNA 224 (mRNA) vaccine: n=160; homologous vaccination with the vector-based vaccine 225 ChAdOx1-S: n=7; heterologous vaccination with the vector-based vaccine followed by 226 a mRNA vaccine: n=10) and 130 participants (32.0%) had received one vaccination

227 (COVID-19 mRNA vaccine: n=16; COVID-19 vector-based vaccine ChAdOx1-S:

228 n=114).

229 Seroprevalence and prevalence of SARS-CoV-2 infection

230 At the last study visit, 318 of 406 participants (78.3%) were tested seropositive by 231 Liaison test (295 vaccinated participants and 23 unvaccinated participants), and 88 232 patients (21.7%) remained seronegative (12 vaccinated participants and 76 233 unvaccinated participants). Within the 13 months observational period, 44 of 406 234 participants (10.8%, 95% CI 8.0%-14.3%) had any evidence for a SARS-CoV-2 235 infection detected by serology and/or PCR. As shown in Table 2, among those 44 236 participants, 40 participants (90.9%) had at least one positive SARS-CoV-2 IgG 237 antibody test compatible with current or past infection (positive Roche test n=30; 238 positive EDI ELISA n=13; positive Liaison test despite missing vaccination n=26), and 239 28 participants (63.3%) reported at least one positive PCR test result. According to the 240 self-reported symptoms, nine of the 44 infected participants (20.5%) had an 241 asymptomatic SARS-CoV-2 infection, whereas very mild disease of SARS-CoV-2 242 related clinical symptoms were reported from two (4.5%), mild disease from eight 243 (18.2%), moderate disease from 14 (31.8%) and severe disease from eleven staff 244 members (25%).

245 As shown in Figure 1, most positive PCR test results (25/28, 89.2%) were reported 246 during the last six month of the study. SARS-CoV-2 variants of concern (VOCs) alpha, 247 beta, gamma and delta did not emerge among Thuringian surveillance samples earlier 248 than 2021 (alpha variant since January 2021, beta variant since February 2021, gamma and delta variants since April 2021). The molecular surveillance of VOCs and the 249 250 respected timeline for the State of Thuringia can be assessed at

251 https://charts.mongodb.com/charts-routine-sequencing-sars-c-

252 amykg/public/dashboards/e9453286-1dce-4202-9423-a8459e3962f8.

Two PCR-positive unvaccinated participants did not show any seroconversion. Breakthrough infections after vaccination confirmed by a positive PCR test result were reported in one participant three months after two vaccinations with the COVID-19 mRNA vaccine BNT162b2, in one participant six weeks after one vaccination with the vector based COVID-19 vaccine ChAdOx1-S, and in one participant four months after only one vaccination with BNT162b2.

259 Potential risk factors for evidence of any SARS-CoV-2 infection of staff members

260 As shown in Table 1, we did not find evidence for an association of any current or past 261 SARS-CoV-2 infection (detected by serology and/or PCR) with the demographics, 262 household size, use of public transport to get to work, returning from an inner-German 263 "COVID-19 risk area" as defined by national public health authorities according to the 264 respective incidence, travel to abroad or participation at events with equal to or more 265 than five persons, COVID-19 risk group according to working place, reported accident 266 with biological material or compliance to wear PPE. However, professions associated 267 with an increased risk of experiencing a SARS-CoV-2 infection compared to physicians 268 included nurses (adjusted OR 5.57, 95% CI 1.24-25.12; p=0.025) and administration 269 staff (adjusted OR 4.92, 95% CI 1.07-22.64; p=0.041). Additionally, any reported 270 (occupational and private) COVID-19 exposure (adjusted OR 7.19, 95% CI 2.86-18.11; 271 p<0.001) and particularly close contact to a SARS-CoV-2 positive household member 272 (adjusted OR 4.46, 95% CI 2.06-9.65; p<0.001) and exposure to a SARS-CoV-2 273 positive colleague (adjusted OR 2.30, 95% CI 1.10-4.79; p=0.026) significantly 274 increased the risk of a SARS-CoV-2 infection among hospital staff. These observations 275 are in line with the results from the additional models for place of exposure, where

contact with a household member and with a colleague were both independently
associated with a current or past SARS-CoV-2 infection (household member: adjusted
OR 5.97, 95% CI 2.07-17.19; p=0.001. Colleague: adjusted OR 3.33, 95% CI 1.368.18; p=0.009. Table 3).

280 Discussion

281 The main results of our prospective cohort study among employees at the JUH were 282 the following: (1) The evidence of a past or current SARS-CoV-2 infection detected by 283 serology and/or PCR test results among hospital staff members of JUH tripled from 284 3.2% during the first corona wave (initial visit ⁹) of the pandemic to 10.8% during the 285 total study period covering the first three corona waves in Germany. This finding is 286 comparable to pooled incidence estimate of SARS-CoV-2 cases of about 12% (95% CI 287 4%-29%) among HCW reported in a recently published systematic review and metaanalysis with no geographical limitation ¹⁰. The detected SARS-CoV-2 infection rate 288 289 in our study was numerically higher compared to the prevalence in the community of 290 the city of Jena. According to the official site of the Robert Koch Institute 291 (https://experience.arcgis.com/experience/478220a4c454480e823b17327b2bf1d4/pag e/Landkreise/ last accessed at 19th June 2022), the cumulative number of confirmed 292 293 COVID-19 cases in the city of Jena was 3,902 at 26th April 2021 and 4,382 at 22nd June 294 2021, corresponding to an infection rate of below 5% of the overall population. 295 However, due to the assessment of seroprevalence and the intense PCR-based HCW 296 screening described, the detection rate at JUH may have been substantially higher 297 compared to the community. (2) We did not identify occupational contact with COVID-298 19 patients as risk factor for infection. Although the majority of hospital staff members 299 reported direct COVID-19 exposure to a SARS-CoV-2 positive patient (67.4%), there 300 was no evidence for this variable to increase the risk of acquiring an infection, most

301 likely due to a high overall compliance of 92.4% among HCW to wear PPE. HCW 302 caring for COVID-19 patients had a numerically lower infection rate compared to 303 administration staff without any patient care (detected SARS-CoV-2 infection rate: 304 9.2% among high-risk HCW versus 12.9% among administration staff) and - in line 305 with this observation - patient-related contact to COVID-19 patients was not identified 306 as risk factor in the multivariable analyses. This finding is contradictory to other studies 307 that found a higher absolute risk of seropositivity for HCW with exposure to COVID-19 patients 3,11,12 . (3) Similar to the first assessment of this study 9 and other studies 3,13 , 308 309 close contact to a SARS-CoV-2 positive household member was identified as the main 310 private risk factor for a SARS-CoV-2 infection. Additionally, participants with a 311 detected SARS-CoV-2 infection reported more frequently direct exposure to a SARS-CoV-2 positive colleague and were more frequently nurses or administration staff than 312 313 physicians. The increased infection rate in nurses and administration staff relative to 314 physicians may reflect the impact of medical education on infectious risk assessment 315 and respective risk behaviour including non-patient-related contacts. Even if not 316 addressed in our study, this observation warrants further investigation and may 317 underline the importance of educative measures. Similarly, a recent scoping review that 318 investigated seroprevalence and risk factors of COVID-19 in 9,223 HCW from eleven 319 countries across Africa found that SARS-CoV-2 seropositivity was associated with 320 lower education and working as a nurse/non-clinical HCW¹⁴.

This study has the following limitations: Due to the limited number of study visits (two to three per participant within one year) and no mandatory PCR testing among hospital staff, the exact time of SARS-CoV-2 infection detected by serology only could not be determined in 16 hospital staff members and is particularly uncertain in 9 asymptomatic

325 cases. Additionally, underestimation of infection rates could be possible due to waning
326 antibody titres in particular after oligo- or asymptomatic infections ^{15,16}.

Hospital staff members may serve as reservoirs, vectors or victims of SARS-CoV-2
cross transmission ⁴. They may not only infect patients they care for but also other
HCW, which would cause further reduction of already limited capacity of health
services ³.

331 To reduce nosocomial transmissions, the medical executive board of our hospital 332 implemented several specific measures affecting not only the patients but also the 333 hospital staff. For hospital staff, business trips, particularly to travel to abroad, and 334 personal participation on congresses were banned and repeated PCR testing was 335 mandatory when returning from risk areas after holidays. However, these parameters 336 were not associated with an increased risk of SARS-CoV-2 infection in our study. As 337 the own colleagues were identified as the most important source for nosocomial 338 transmissions within the hospital, it was recommended to perform coffee breaks or 339 lunch only with a small number of colleagues with adequate distance and always 340 together with the same colleagues. When mandatory masking was not feasible due to 341 eating, drinking or smoking, speaking should be kept to a minimum.

342 In conclusion, our results demonstrate that non-patient-related (most-likely non-343 protected) contacts to SARS-CoV-2 infected household members and colleagues are 344 the main risk factors whereas patient-related contacts (direct contact to COVID-19 345 patients or body fluids) were not associated with an increased infection risk. Therefore, 346 infection prevention and control strategies should focus more on personal contact 347 between hospital staff members (e.g. using break rooms in small and non-mixed groups 348 only, strict universal masking in team meetings) and should improve risk awareness 349 outside the hospital. The lowest infection rate among physicians compared to nurses

350	and administration employees suggests that medical education may have an impact on
351	risk behaviour also in the non-occupational setting. This underlines the importance of
352	universal masking and educative strategies to decrease the infection risk for hospital
353	employees.
354	
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366

367 Data availability statement

368 The datasets used and/or analyzed during the current study are available from the

369 corresponding author on reasonable request.

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Table 1. Potential risk factors for a current or past SARS-CoV-2 infection (detected by serology and/or PCR) among hospital staff

members

Variable	Overall	Detected infection		Univariable analysis		Multivariable analysis	
	(N=406)	Any (N=44)	None (N=362)	OR (95% CI)	p-value	Adjusted OR (95% CI)	p-value
Age, in years	41.0 (34.0, 49.8)	43.0 (32.0, 51.0)	41.0 (34.0, 49.0)	1.00 (0.97, 1.03)	0.969	1.00 (0.97, 1.03)	0.962
Male gender	91 (22.4%)	11 (25.0%)	80 (22.1%)	1.17 (0.57, 2.43)	0.663	1.18 (0.57, 2.43)	0.663
Profession							
Physician	66 (16.3%)	2 (4.5%)	64 (17.7%)	ref.	0.107	ref.	0.108
Nurse	125 (30%)	18 (40.9%)	107 (29.6%)	5.38 (1.21, 23.97)	0.027	5.57 (1.24, 25.12)	0.025
Reception staff	12 (3.0%)	1 (2.3%)	11 (3.0%)	2.91 (0.24, 34.89)	0.400	3.05 (0.25, 37.65)	0.348
Administration staff	132 (32.5%)	17 (38.6%)	115 (31.8%)	4.73 (1.06, 21.13)	0.042	4.92 (1.07, 22.64)	0.041
Other profession	71 (17.5%)	6 (13.6%)	65 (18.0%)	-	-	-	-
COVID-19 risk group							
according to working							
place							
High-risk	76 (18.7%)	7 (15.9%)	69 (19.1%)	ref.	0.643	ref.	0.644
Intermediate-risk	198 (48.8%)	20 (45.5%)	178 (49.2%)	1.11 (0.45, 2.74)	0.825	1.15 (0.46, 2.89)	0.763
Low-risk	132 (32.5%)	17 (38.6%)	115 (31.8%)	1.46 (0.58, 3.69)	0.427	1.52 (0.58, 3.98)	0.397
Reported COVID-19	224 (55.2%)	38 (86.4%)	186 (51.4%)	5.99 (2.47, 14.53)	<0.001	7.19 (2.86, 18.11)	<0.001
exposure							
Among them: Place							
of reported							
exposure							
Household	43 (19.2%)	16 (42.1%)	27 (14.5%)	4.28 (2.00, 9.18)	<0.001	4.46 (2.06, 9.65)	<0.001
member							
Friend	20 (8.9%)	2 (5.3%)	18 (9.7%)	0.52 (0.12, 2.33)	0.392	0.52 (0.11, 2.35)	0.394
Colleague	60 (26.8%)	16 (42.1%)	44 (23.7%)	2.35 (1.13, 4.86)	0.022	2.30 (1.10, 4.79)	0.026
Patient	151 (67.4%)	18 (47.4%)	133 (71.5%)	0.36 (0.18, 0.73)	0.005	0.36 (0.18, 0.75)	0.007
Other	3 (1.3%)	1 (2.6%)	2 (1.1%)	2.49 (0.22, 28.14)	0.462	2.60 (0.22, 30.41)	0.446
Accident with	8 (2.0%)	2 (4.5%)	6 (1.7%)	2.83 (0.55, 14.45)	0.212	2.77 (0.54, 14.23)	0.222
biological material				· · · ·			
Compliance to wear	133 (92.4%)	15 (88.2%)	118 (92.9%)	0.57 (0.11, 2.90)	0.500	0.58 (0.11, 2.94)	0.507

PPE*1							
Use of public	36 (8.9%)	6 (13.6%)	30 (8.3%)	1.75 (0.68, 4.47)	0.244	1.77 (0.69, 4.54)	0.235
transport							
Household size							
Number of members	2.0 (2.0, 4.0)	2.5 (2.0, 4.0)	2.0 (2.0, 4.0)	0.99 (0.77, 1.27)	0.924	0.99 (0.77, 1.27)	0.918
>1 member	319 (78.6%)	34 (77.3%)	285 (78.7%)	0.92 (0.43, 1.94)	0.824	0.92 (0.44, 1.95)	0.835
Returning from risk	79 (19.5%)	10 (22.7%)	69 (19.1%)	1.25 (0.59, 2.65)	0.562	1.25 (0.59, 2.65)	0.562
area							
Travel to abroad	99 (24.4%)	12 (27.3%)	87 (24.0%)	1.19 (0.59, 2.40)	0.637	1.20 (0.59, 2.44)	0.614
Participation at event	197 (48.5%)	24 (54.5%)	173 (47.8%)	1.31 (0.70, 2.46)	0.398	1.32 (0.70, 2.51)	0.389
with ≥5 persons							

Overall and by infection detection stratified distribution of potential risk factors as well as results from uni- and multivariable logistic regression modelling are provided. Distributions are summarized as absolute and relative frequencies or as median together with the first and third quartile. All multivariable models were adjusted for age and sex. The complete models are provided in Supplemental Table 1.

Abbreviations: -, excluded from model; CI, confidence interval; N, number of; OR, odds ratio; PCR, polymerase chain reaction; PPE, personal protective equipment; ref., reference.

*1 Information is missing for 262 participants who did not care for COVID-19 patients

Table 2. Evidence for a detected COVID-19 infection (PCR and/or antibody test result) among all hospital staff members and stratified

for the COVID-19 risk group according to working place

Evidence	Overall	Risk group			
Evidence	Overall	High	Intermediate	Low	
Any evidence for COVID-19	44 out of 406 participants	7 out of 76 participants	20 out of 198 participants	17 out of 132 participants	
among them:	(10.8%, 8.0% to 14.3%)	(9.2%, 3.8% to 18.1%)	(10.1%, 6.3% to 15.2%)	(12.9%, 7.7% to 19.8%)	
Evidence through PCR test					
Among all participants	28 (63.6%,	4 (57.1%,	16 (80.0%,	8 (47.1%,	
	47.8% to 77.6%)	18.4% to 90.1%)	56.3% to 94.3%)	23.0% to 72.2%)	
Among participants	28 (71.8%,	4 (66.7%,	16 (80.0%,	8 (61.5%,	
with PCR test*	55.1% to 85.0%)	22.3% to 95.7%)	56.3% to 94.3%)	31.6% to 86.1%)	
Evidence through	40 (90.9%,	5 (71.4%,	19 (95.0%,	16 (94.1%,	
antibody test	78.3% to 97.5%)	29.0% to 96.3%)	75.1% to 99.9%)	71.3% to 99.9%)	

Values show number of participants (percentage, 95% confidence interval). Abbreviation: PCR, polymerase chain reaction.

Variable	Additional model I		Additional model II	
	Adjusted OR (95% CI)	p-value	Adjusted OR (95% CI)	p-value
Place of reported				
exposure				
Household	5.36 (1.95, 14.77)	0.001	5.97 (2.07, 17.19)	0.001
member				
Friends	0.59 (0.09, 3.79)	0.576	0.61 (0.09, 4.15)	0.615
Colleague	3.24 (1.33, 7.90)	0.010	3.33 (1.36, 8.18)	0.009
Patient	0.91 (0.36, 2.28)	0.840	1.02 (0.38, 2.73)	0.971
Other	2.38 (0.10, 55.47)	0.590	2.44 (0.09, 65.87)	0.596
Age, in years	-	-	1.02 (0.98, 1.06)	0.281
Male gender	-	-	1.09 (0.43, 2.75)	0.855

Table 3. Two additional multivariable logistic regression models for place of exposure

Abbreviations: -, excluded from model; CI, confidence interval; OR, odds ratio.

Figure 1. Distribution of SARS-CoV-2 variants from the Thuringian surveillance samples (upper panel) and number and time of reported positive PCR test results among hospital staff members (lower panel) during the period 1st March 2020 to 30rd April 2021. Variants sequenced by the Institute for Infectious Diseases and Infection Control (JUH) are shown. Concerning the data of the SARS-CoV-2 variants, we refer to https://charts.mongodb.com/charts-routine-sequencing-sars-c-

amykg/public/dashboards/e9453286-1dce-4202-9423-a8459e3962f8. Underlying data was assessed at 7th March 2022. Abbreviations: JUH, Jena University Hospital; PCR, polymerase chain reaction.



Month of sequencing (number of sequenced variants n)



Mar.	Apr.
2021	2021
= 2762)	(n = 3305)



