# Noncoding Sequences from the Slowly Evolving Chloroplast Inverted Repeat in Addition to rbcL Data Do Not Support Gnetalean **Affinities of Angiosperms**

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ons of the rRNA operon located within the inverted repeat region spanning from the 3' terminus of the 23S rRNA ce of this roughly 500-bp region, which includes the 4.5S acer regions (*cpITS2* and *cpITS3*), was determined from b. Sequences for the large subunit of ribulose bisphosphate ial genera were analyzed in both separate and combined repeat region, noncoding sequences in the *cpITS* region mous sites in *rbcL*, which are shown to evolve roughly length polymorphisms with very clear phylogenetic dis-enetic analyses provide very strong bootstrap support for support for a sister group relationship between Gnetales Rather, weak bootstrap support for monophyly of gym-angiosperm *Nymphaea* among angiosperms studied was region of chloroplast DNA appear suitable for study of We developed PCR primers against highly conserved regions of the rRNA operon located within the inverted repeat of the chloroplast genome and used these to amplify the region spanning from the 3' terminus of the 23S rRNA gene to the 5' terminus of the 5S rRNA gene. The sequence of this roughly 500-bp region, which includes the 4.5S rRNA gene and two chloroplast intergenic transcribed spacer regions (cpITS2 and cpITS3), was determined from 20 angiosperms, 7 gymnosperms, and 16 ferns (21,700 bp). Sequences for the large subunit of ribulose bisphosphate carboxylase/oxygenase (rbcL) from the same or confamilial genera were analyzed in both separate and combined data sets. Due to the low substitution rate in the inverted repeat region, noncoding sequences in the cpITS region are not saturated with substitutions, in contrast to synonymous sites in rbcL, which are shown to evolve roughly six times faster than noncoding cpITS sequences. Several length polymorphisms with very clear phylogenetic distributions were detected in the data set. Results of phylogenetic analyses provide very strong bootstrap support for monophyly of both spermatophytes and angiosperms. No support for a sister group relationship between Gnetales and angiosperms in either cpITS or rbcL data was found. Rather, weak bootstrap support for monophyly of gymnosperms studied and for a basal position for the aquatic angiosperm Nymphaea among angiosperms studied was observed. Noncoding sequences from the inverted repeat region of chloroplast DNA appear suitable for study of land plant evolution.

# Introduction

Many questions concerning the general course of seed plant evolution, and in particular angiosperm evolution, are still not resolved (Chase et al. 1993; Martin et al. 1993; for a recent review see Crane, Friis, and Pedersen 1995). Early molecular studies of higher plant evolution involved protein sequence comparisons (Boulter et al. 1972; Martin and Jennings 1983). These were followed by nucleotide sequence analyses of rRNA (Hori, Lim, and Osawa 1985; Bobrova et al. 1987; Zimmer et al. 1989; Troitsky et al. 1991) and nuclear genes (Niesbach-Klösgen et al. 1987; Martin, Gierl, and Saedler 1989). With the advent of PCR techniques, cpDNA became the molecule of choice for plant molecular systematics (Palmer 1985; Palmer et al. 1988; Clegg and Zurawski 1992; Downie and Palmer 1992) inter alia due to its conservative mode of evolution. The recent widespread use of *rbcL* as a marker for evolutionary studies has had impact on plant molecular systematics (Chase et al. 1993; Baum 1994; Manhart 1994), yet further markers should be studied in order to derive a more

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rate of nonsynonymous substitution in *rbcL* on the one hand and saturation of synonymous sites in *rbcL*<sup>©</sup> in comparisons involving taxa that diverged during the early phases of land plant evolution on the other, rbcLsequences alone cannot resolve phylogeny at all taxonomic levels within higher plants (Martin et al. 1993). Additional molecular markers from cpDNA are needed

Noncoding DNA has an advantage over coding DNA in that the number of potentially polymorphic sites per kilobase sequenced is higher (Böhle et al. 1994). In the absence of functional constraints, noncoding cpDNA in the single copy regions should undergo substitution at a rate similar to that observed at synonymous sites (Nei 1987, pp. 64–110). But in the inverted repeat (IR) region of cpDNA, the neutral substitution rate was estimated to be about threefold lower than that in the single copy regions (Wolfe et al. 1989). We reasoned that due to this lower substitution rate, noncoding regions of the IR may bear suitable markers for plant evolution.

Here we report the use of conserved primers directed against slowly evolving regions of the 5S and 23S rRNA genes for amplification of noncoding sequences from the IR region of cpDNA. Because the chloroplast 4.5S rRNA gene is flanked by two ITS regions, cpDNA possesses three internal transcribed rDNA spacers instead of two as in bacteria (Troitsky and Bobrova 1986). The PCR fragment contains two of these (cpITS2 and

Key words: noncoding DNA, angiosperms, gymnosperms, Gnetales, ferns, molecular phylogeny, chloroplast inverted repeat, molecular evolution.

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cpITS3), the 4.5S rRNA gene and the termini of the flanking 23S and 5S rRNA genes, respectively (roughly 500 bp per sequence). On the basis of sequences determined from 43 higher plants, we examined the utility of this region for reconstruction of plant phylogeny and compared it to rbcL from the same or closely related (confamilial) taxa.

# **Materials and Methods**

# Plant Material

Plant material for this study was collected from the Botanical Garden of Moscow University, from the Botanical Gardens of the Russian Academy of Sciences, from the Botanical Garden of the University of Braunschweig, and from the Botanical Garden of the University of Berlin. Ceratopteris richardii was a gift of Prof. L. G. Hickok. Species investigated are listed in table 1.

# Molecular Methods

Plant DNA was isolated from either fresh or lyophilized leaf tissue ground in liquid nitrogen by the CTAB method (Murray and Thompson 1980) and subsequently purified by diafiltration in Microcon 30 columns (Amicon) according to the manufacturer's protocol. The diafiltration step was critical for DNA preparations from ferns and some gymnosperms. DNA was amplified using primers directed against highly conserved regions of the 23S and 5S rRNA genes flanking the 4.5S gene and spacers. The primers used were 5' CCGGATAACTGCTGAAAGCATC 3' and 5' TCCT-GGCGTCGAGCTATTTTTCC 3'. Each PCR reaction contained 0.4 µM of each primer, 3.5 mM MgCl<sub>2</sub>, 50 mM KCl, 10 mM Tris-HCl (pH 8.3), 200 µM of each dNTP, approx 10 ng DNA, and 2 units Taq polymerase (Perkin Elmer) in a final volume of 50 µl.

Amplification was started with 3 min denaturation at 95°C and continued for 28 cycles of 50 s 95°C, 40 s 58°C, 60 s 72°C. PCR products were diluted to 400 µl and extracted once with phenol/chloroform and centrifuged. Primers and salts from aqueous supernatants were removed in Microcon 30 (Amicon) ultrafiltration devices according to the manufacturer's protocol using two additional diafiltration steps with 400 µl of 10 mM Tris (pH 8.0), 1 mM EDTA each.

Reverse-spin recovered amplification products of Poa, Peperomia, Magnolia, Delphinium, Fagopyrum, Ephedra, and Cycas were made blunt with Klenow polymerase as described (Sambrook, Fritsch, and Maniatis 1989), purified by diafiltration as above, and cloned in Escherichia coli nm522 using Sma I cut p-BluescriptKS+ (Stratagene). Plasmids from these species were isolated from individual transformants and sequenced by the dideoxy method either with  $\alpha$ -<sup>32</sup>P dATP and T<sub>7</sub> DNA polymerase (Tabor and Richardson 1987)

or by the automatic laser fluorescence method (Ansorge et al. 1986) with a commercially available apparatus (Pharmacia). All regions were sequenced from two independent subclones, in cases of ambiguity, a third clone was sequenced.

Aliquots of reverse-spin recovered amplification products from the other 35 species were electrophoresed against standards to determine DNA concentration. Aliquots were subjected to cycle sequencing in both direc tions with  $\alpha$ -<sup>35</sup>S dATP using a commercially available kit (Stratagene) according to the manufacturer's protocod except that 15 picomoles of the primers described above and 100 femtomoles of template were used. The se quencing reaction was performed for 30 cycles of  $40 \equiv$ 95°C, 40 s 58°C, 60 s 72°C. Sequences were resolved on 6% acrylamide gels (Sambrook, Fritsch, and Mare iatis 1989).

# Data Analysis

General sequence handling was performed with the GCG (version 8.0) package (Devereux, Haeberli, and Smithies 1984). The alignment was produced manually with the Vostorg package (kindly provided by A. Rhzee sky and A. Zharkikh). Programs of the Phylip (version 3.5; Felsenstein 1981, 1989) and Treecon (Van de Pee and De Wachter 1993) packages were used for tree  $con_{N}^{\omega}$ struction. For cpITS, divergence was estimated using the two-parameter method of Kimura (1980) as numbers of substitutions per site (for convenience, referred to here as  $d_k$ ) or with the gamma distance (Jin and Nei 1990) A gamma parameter of 1.3 was estimated from the data using the method of Ota and Nei (1994) on the basis of the Kimura distance tree. For rbcL sequences, sequence divergence was estimated as numbers of synonymous and nonsynonymous substitutions per site (K<sub>s</sub> and K $\exists$ respectively) with the methods of Li, Wu, and Lug (1985) and Nei and Gojobori (1986). Additional statis tical analyses were performed with the Kaleidagrap program for MacIntosh (Abelbeck Software, Inc.). user on

# Results

# The cpITS Data Set

We determined 43 cpITS sequences from various land plants and retrieved seven others from the database for analysis. Each sequence entry spans from the 3' 52 bp of the 23S rRNA gene to the 5' 30 bp of the  $5^{\circ}$ rRNA gene (fig. 1). In some cases the sequence of the initial  $\sim 20$  nucleotides was not readable due to proximity to the primer binding sites, a total of 21,700 bases were determined unambiguously. In order to obtain an overall impression of sequence conservation across the investigated region, we plotted the degree of sequence identity for each position in the 50 OTU alignment (fig. 1). The cpITS region contains highly conserved (rDNA

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# Table 1Species Investigated in This Study

Species (cpITS)	Acc. No. <sup>a</sup>	Family <sup>b</sup>	Species (rbcL)	Acc. No.		
Angiosperms						
Epifagus virginiana	M81884*	Orobanch'	—			
Conopholis americana	X58863*	Orobanch'	_	—		
Fagopyrum sagittatum	L41604	Polygon'	Rheum $\times$ cultorum	M77702		
Nicotiana tabacum	Z00044*	Solan'	Nicotiana tabacum	J01450		
Alnus incana	M75719*	Betul'	Betula niger	L01889	Ţ	
Alchemilla vulgaris	L41580	Ros'	Geum chiloense	L01921		
Eryngium billardieri	L41602	Api'	Apium graveolens	L01885	ç	
Ferulago galbanifera	L41564	Api'	Conium maculatum	L11167	2	
Pisum sativum	M37430*	Fab'	Pisum sativum	X03853	2	
Delphinium elatum	L41598	Ranuncul'	Ranunculus trichophyllus	L08766	9	
Caryota mitis	L41592	Arec'	Caryota mitis	M81811		
Cryptocoryne ciliata	L41594	Ar'	Gymnostachys anceps	M91629		
Bambusa multiplex	L41591	Po'	Bambusa multiplex	M91626	0	
Poa pratensis	L41587	Po'	Pennisetum glaucum	L14623	2	
Oryza sativa	X15901*	Po'	Oryza sativa	D00207	2	
Molineria recurvata	L41547	Po'	Avena sativa	L15300	-	
Semele androgyna	L41571	Rusc'	Danae racemosa	L05034	0	
Tillandsia usneoides	L41573	Bromeli'	Tillandsia elizabethae	L19971	4	
Strelitzia nicolaii	L41572	Magnoli'	Strelitzia nicolaii	L05461		
Magnolia campbellii	L41568	Magnoli'	Magnolia salicifolia	L12656	-	
Annona montana	L41582	Magnoli'	Anonna muricata	L12629		
Eupomatia laurina	L41603	Magnoli'	Eupomatia bennettii	L12644	0	
Drimys winterii	L41600	Magnoli'	Drimys winteri	L01905	2	
Piper longum	L41586	Piper'	Piper betle	L12660	2	
Peperomia glabrata	L41550	Piper'	Peperomia sp.	L12661	-	
Nymphea coerulea	L41548	Nymphae'	Nymphaea odorata	M77034	1	
Gymnosperms		5 1			000	
Zamia floridiana	L41556	Zami'	Zamia inermis	L12683	č	
Cycas revoluta	L41596	Cycad'	Cycas circinalis	L12603	0	
Ginkgo biloba	L41565	Ginkgo'	Ginkgo biloba	D10733	-	
Pinus canariensis	L41585	Pin'	Pinus radiata	X58134	ç	
Welwitschia mirabilis	L41555	Welwitschi'	Welwitschia mirabilis	D10735		
Gnetum gnemon	L41566	Gnet'	Gnetum gemon	L12680		
Ephedra kokanica	L41601	Ephedr'	Ephedra tweediana	L12600		
Ferns	Lanon	Ephoar	Lphana mecanana	EILOVY	2	
Phyllitis scolopendium	L41551	Aspleni'	Asplenium nidus	U05907	powniczacza nani nichowi zaczaci nicowających nichowa wiej najstawa najstawa najstawa na presidenie zaczacza na	
Polypodium aureum	L41588	Polypodi'	Colysis sintenensis	U05612	7	
Davallia bullata	L41588 L41597	Davalli'	Davallia epiphylla	U05917	6	
Athyrium sp.	L41583	Dryopteridi'	Athyrium felix-femina	U05908	2	
Pteris cretica	L41585 L41570	Pterid'	Pteris fauriei	U05647	G	
Adiantum capillus-veneris					2	
•	L41579	Pterid'	Adiantum pedatum	U05602	ğ	
Ceratopteris richardii	L41593	Pterid'	Ceratopteris thalictroides	U05609 U05618		
Dicksonia antarctica	L41599	Dicksoni'	Dicksonia antarctica		-	
Pilularia globulifera	L41584	Marsile'	Marsilea quadrifolia	L13480	-	
Cyathea cooperi	L41595	Cyatha'	Cyathea lepifera	U05616	ŝ	
Azolla anabenae	L41590	Salvini'	Salvinia cucullata	U05649	ç	
Trichomanes radicans	L41554	Hymenophyll'	Cephalomanes thysanostomum	U05608	10 / 109431 2022	
Osmunda regalis	L41549	Osmund'	Osmunda cinnamomea	D14882	l	
Angiopteris palmiformis	L41581	Maratti'	Angiopteris evecta	L11052		
Psilotum triquestrum	L41569	Psilot'	Psilotum nudum	L11059		
Lycopods, Bryophytes						
Lycopodium bifurcatum	L41567	Lycopodi'	Lycopodium digitatum	L11055		
Marchantia polymorpha	X04465*	Marchanti'	Marchantia polymorpha	X04465		

\* cpITS sequences that were not determined in this paper are indicated with an asterisk.

<sup>b</sup> Family names are abbreviated with an apostrophe for "-aceae."

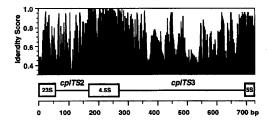


FIG. 1.—Identity profile across the 50 *cpITS* sequences and rRNA genes analyzed in this study. The PROFILE program of the Wisconsin package was applied to the prealigned sequences using a one-base window; identity of gaps was counted as dissimilarity. The drop in similarity in the 23S and 5S regions is due to the presence of undetermined bases in these regions in some sequences as a result of their proximity to the sequencing primer binding sites. An identity score of 1.0 indicates complete site conservation.

coding) and highly variable stretches. A considerable portion of variability observed is due to numerous indels present in the cpITS2 and cpITS3 regions. Despite the high degree of variation in length in both intergenic transcribed spacers, several shorter ( $\sim$ 30 bp) regions exist within each with an identity score >0.6 that aid considerably in alignment (see also below). The total alignment of cpITS sequences covers 731 positions, but the average length of raw cpITS sequences we determined is only about 510 bp (fig. 2A). The shortest sequence analyzed was that of the parasitic angiosperm Conopholis (444 bp), the longest was 585 bp, found in the leptosporangiate fern Ceratopteris. In order to assess variation in G+C content, we plotted the base composition for each sequence (fig. 2B). Nucleotide composition in the cpITS region is extremely homogeneous across land plant taxa. Only the sequence from the Marchantia displays a slightly lower G+C content, but because Marchantia is the outgroup in our phylogenetic analyses, varying G+C content across ingroup OTUs should not pose problems in phylogenetic analyses.

A number of indels show a very clear phylogenetic distribution, such as an 8-bp deletion shared by the three grasses Poa, Oryza, and Bambusa at position 197 of the alignment within the highly conserved region encompassing the 4.5S rRNA gene (fig. 3). Outside of the rRNA coding regions, indels are much more abundant. In figure 4 the most highly variable region of the alignment is shown, corresponding to the region around position 500 in figure 1. Although the placement of indels and identification of homologous regions within ferns and within spermatophytes are generally clear in this highly variable region, across these groups assignment of unambiguous positional homology in this segment of the alignment becomes tenuous. Despite the high degree of variability, several indels within this region also show a marked phylogenetic distribution. Examples are  $\Delta 562$ -576 in the two cycads,  $\Delta$ 519–550 in angiosperms, or

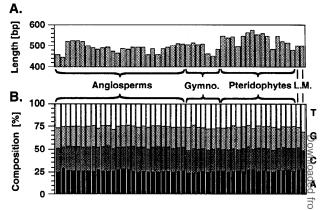


FIG. 2.—Length and base composition variation in land plant *cpITS* sequences. Species order from left to right corresponds to vertical order in table 1. *A*. Histogram of *cpITS* length across OTUs (excluding gaps). Gymno, gymnosperms; L, *Lycopodium*; M, *Marchantua*. *B*. Base composition (in %) of land plant *cpITS* sequences.

 $\Delta 507-513$  in angiosperms surveyed except Nymphaea. Other indels appear autapomorphic in this taxon sample but may show an ordered phylogenetic distribution as more sequences are obtained. The general impression of positional homology in this difficult region of the alignment tended to improve as more taxa were introduced. Positions such as 506-510 in the gnetophytes Welwick schia and Gnetum (and other positions in other OTUs) are still not clear because they entail short duplications; their placement is therefore somewhat ambiguous but as not wholly random in light of the surrounding motifs found in other gymnosperms. In the region shown in figure 4, numbers of substitutions per site between distantly related taxa will be underestimated.

Due to this high degree of sequence dissimilarity in the most variable region of *cpITS3*, we examined parterns of sequence divergence prior to distance estimation or tree construction. First we determined frequencies of transitions and transversions observed in the *cpITS* data set. The numbers of transitions and transversions observed are positively correlated (fig. 5) ( $R^2 = 0.83$ ). The 15 or so values that scatter sparsely above the majority of points plotted involve comparisons within ferns. Comsidering the large number of comparisons under consideration, the shape of the distribution is quite uniform. Transition-to-transversion ratios were calculated for *cpITS* sequences. The average transition/transversion  $rabel{eq:cpITS}$ tio for all pairwise comparisons is 1.99. Largest deviations from the average ratio are observed at low values of total divergence where stochastic variation is greatest.

Positional homology in the functionally conserved rRNA coding regions of the alignment is unambiguous (fig. 3). We reasoned that if positional uncertainty in highly variable (nonconstrained) regions of the alignment introduces randomness into distance measure-

80	190	200	210	220	230	240	250	260	270	280	290			
									GACTIGAACCITC				Asteridae	Ag •
									GACTIGAAC-TTO				Caryophyllidae	
									GACTIGAACCITC				Lamiidae	
									GACTIGAACCITC				Hamamelidae	
									GACTIGAACCITC				Rosidae	
-AGAGCO	CAGCCOTTAL	CATTA-CG	INGOIGICAN	OTICON NOTICE	AGIONIO T	ATOCACCTOR	CONTROL TRACT	CACCOGTA	GACTTGAACCTTC		CTACATCA	Frimatum		
									GACTIGAACCTI				•	
									GACTIGAACCIIC					
GAGA-CO	GAGCCGTTTTT	CATTAACG	ATAGGIGICAA	GIGGAAGIA	AGIAAIG-I	ATOCAGCIGAG	CONTROL TANCE	GACCGATA	GACTIGAACCIIC		CTACATCA	Delphinium	Ranunculidae	
GAGA-CO	GAGCCGTTTAT	CATTA-CG	ATAGGIGICAA	CICCAACIO	NOTONIO-I	ATOCAGCIGAG	OCATCOTA ACI	CACCOACA	GATTIGAACCTIC	2	CTACACGA	Carvota	Arecidae	
GAGA-CO	GAGCCGTTTAT	CATTA-CG	TAGGIGICAA	GIGGAAGIG	AGIGAIG-I	ATGCAGCIGAC	SCATCCIAAC/	CACCOAGA	CAMPRONACCINC		CTACATCA	Cryptocoryne	Arecidae	
GAGA-CG	GAGCCGTTTAT	CATTA-CG	TAGGIGICAA	GIGGAAGIG	AGIGAIG-1	AIGCAGCIGAC	GCAICCIANCA	GACCGAGA	GATTIGAACCTIC		CIACAIGA	Dephuse	Liliidae	
GAGA-CO	GAGCCGTTTAA	/	TAGGIGICAA	GIGGAAGIG	AGIGAIG-1	ATGCAGCIGAC	GCATCCTAAC	CARCORAC	GATTIGAACCIIC		CTACACGA	Banbusa		
GAGA-CG	GAGCCGTTTAA		ATAGGIGICAA	GIGGAAGIG	AGIGAIG-1	ATGCAGCIGAG	GCATCCIAAC.	GAACGAAC	GATTIGAACCTIC		CIACACGG	Omere		
JAGA-CO	GAGCCGTTTAA		TAGGIGICAA	GIGGAAGIG	AGIGAIG	AIGCAGCIGAC	GCATCC TAAC	GAACGAAC	GATTIGAACCIIC		CIACACOA	Comolo		
AGA-CO	GAGCCGTTTAT	CATTA-CG	ATAGGIGICAA	GIGGAAGIG	AGIGAIG-1	AIGCAGCIGAC	SOCATCC TARCA	GACCGAGA	GATTIGAACCTIC		CERCACOA	Melineria	•	
AGA-CO	GAGCCGTTTAT	CATTA-CG	TAGGIGICAA	GIGGAAGIG	AGIGAIG~1	AIGCAGCIGAG	GCATCCTAAC	AGACCGAGA	GATTIGAACCTIC	110	CTACACGA	millendeita		
AGA-CO	GAGCCGTTTAT	CATTA-CG	ATAGGCGTCAA	GTGGAAGTG	CAGIGAIG-1	ATGCAGCTGAC	GCATCCTAAC	AGACCGAGA	GATTIGAACCTI	TIC	CTACATGA	Tillandsia	-	-
AGA-CO	GACCCGTTTAT	AATTA-CG	ATAGGTATCAA	GTGGAAGTG	CAGTGATG-T	ATGCAGCTGAC	GCATCCTAAC	AGACCGAGA	GATTTGAACCTT	TIC	CTACATGA	Strelitzia		
AGA-CO	GAGCCGTTTAT	CATTA-CG	ATAGGTGTCAA	GTGGAAGTG	CAGTGATG-I	ATGCAGCTGA	GCATCCTAAC	AGACCGAGA	GATITGAACCIT	3TTC	CTACATGA	Magnolia	Magnoliidae	
AGAC	GAGCCGTTTAT	CATTA-CG	TAGGTGTCAA	GTGGAAGTG	CAGTGATG-I	ATGTAGCTGA	GCATCCTAAC	AGACCGAGA	GATTTGAACCTIX	3TTC	CTACATGA	Annona	•	•
AGA-CO	GAGCCGTTTAT	CATTA-CG	ATAGGTGTCAA	GTCGAAGTG	CAGTGATG-I	ATGCAGCTGA	GCATCCTAAC	AGACCGAGA	GATTIGAACCTR	3TTC	CTACATGA	Eupomatia	-	
AGA-CO	GACCCGTTTAT	CATTA-CG	ATAGGTGTCAA	GTGGAAGTG	CAGTGATG-1	ATGCAGCTGA	GCATCCTAAC	AGACCGAGA	GATTIGAACCTI	3TTC	CTACATGA	Drimys	•	
AGA-CO	GAGCCGTTTAT	CATTA-CG	TAGGTGTCAA	GTGGAAGTG	CAGTGATG-1	ATGCAGCTGAG	GCATCCTAAC	AGACCGAGA	GATTIGAACCTI	3TTC	CTACATGA	Piper	•	•
AGA-CO	GAGCCOTTTAT	CATTA-CG	TAGGTGTCAA	GTGGAAGTG	CAGTGATG-T	ATGCAGCTGAG	JACATCCTAAC	AGACCGAGA	GATTIGAACCTI	3TTC	CTACATGA	Peperomia	•	•
AGA-CO	GAGCCOTTAT	CATCA-CG	TAGGTGTCAA	GTGGAAGTG	CAGTGATG-T	ATGCAGCTGA	GCATCCTAAC	AGACCGAGA	GATTIGAACCTIN	TTC	CTACATGA	Nymphaea	•	•
AGA -CC	GAGCC-TTTAT	CATCA-CG	TAGGTGTCAA	GTGGAAGTG	AGTGATG-T	ATGCAGCTGA	GCATCCTAAC	AGACCGAGA	GATTIGAACCTI	3TTC	CTACATGA	Gingko	Gingkoate	Gy
ACA CO	CACCCOTTAN	CATCA-CG	TACOTOTCAA	GTGGAAGTG	AGTGATG-C	ATGCAGCTGA	GCATCCTAAC	AGACCGAGA	GATTIGAACCTI	TTC	CTACATGA	Cycas	Cycadatae	•
NON -CO	CACCCOTTAN	CATCA-CO	TACCTCTCAA	CTCCAACTC	ACTICATIC-C	ATTOCACCTCA	COCATCOTAAC	GACCGAGA	GATTTGAACCTT	TTC	CTGCATGA	Zamia	•	
MGA-CO	CAGCCGITIAT	CATCA-CG		CHOCADORO	CAGIGATO C	ATCCACTCA	CCATCCTAAC	GACCGAGA	GATTTGAACCTT	2	CTACATCA	Pinus	Pinate	
SAGA-CO	GAGCCGTTTAT	CATTA-IG		CHOCANCIO	CAGIGAIG~1	ATCCACCTCA	ACATCOTA ACI	GACCGAGA	GATTTGAACCTT	2	CTACATCA	Ephedra	Gnetatae	
AGA-CO	GAGCCGTTTAT	CATCA-CG	AIAGGIGICAA	GIGGAAGIG	CAGIGAIG-C	AIGCAGCIGA	ACATCO TARCA	CACCOROA	GATTIGAACCTI	2	CTACATCA	Welwitchia	•	
JAGA-CO	GAGCCGTTTAT	CATCA-CG	ATAGGIGICAA	GIGGAGAIG	CAGIGAIG-I	AIGCAGCIGAG	SOCATCC TARA	AGACCOAGA	GATTTGAACCTR		CTACATOA	Gratum		
ACA-CO	GAGCCGTTTAT	CATCA-CG	ATACCICICAA	GIGGAGAIG	CAGTAATGGI	AIGCAGCIGA	SCATCOTAAA		GGTTTGAACTTC	TTC	CIACAIGC	Dhulitio	Aspleniaceae	Le
AGA-CO	GAGCC-TTTAT	TATCC-CG	ATAG-TGCTAA	GIGGAGGIG	CAGTAAIG-1	AIGCAGCIGA	GCATCCTAAC	AGCCAGATA	COMPACIAL CONTROL	TTC	CIGCAAA-	Phylicis	Polypodiaceae	
GAGA-CO	GAGCCGTTTAT	CACCA-CG	ATAGGTGCTAA	GTGGAGGTG	CAGTAATG-C	-TGCAGCIGAG	GCATCCIGAC	AGACCGAGA	GGTTTGAACTTT	TTA	CCGCAAAA	Polypodium	Davalliaceae	
AGA-CO	GAGCCGTTTAT	CAACA-CG	ATAGGTGCTAA	GTCGACCTC	CACCAATO-C	ATGCAGCTGA	GCATCCTAAC	AGACCGAGA	COTTICAACTIT	TTA	CCGCAAAA	Davallia		
AGA-CO	GAGCCGTTTAT	CACCA-CG	ATAGGTGCTAA	GTGGAGGTG	CAGTAATG-I	ATGCAGCTGA	GCATCCTAAC	AGACCGAGA	GGTTTGAACTTT	TIC	CCGCAAAA	Acnyrium	Dryopteridiaceae	• :
AGA-CO	GAGCCGTTTAT	CAACA-IG	ATAGGTGCTAA	GTGGAGGTG	CAGTAATG-T	ATGCAGCTGA	GCATCCTAAC	AGACCGAGA	GGTTTGAACTTI	31111111	CIGCAAAA	Ceratopteris	Pteridaceae	
AGA-CO	GAGCCGTTTCT	CACTA-CG	ATAGGTGCTAA	GTGGAAGTG	CAGTAATG-1	ATOCAGCTGA	<b>GCATCCTAAC</b>	AGACCGAGA	COTTOAACTTR	STTI	CTGTACAA	Adiantum		
AGA-CO	GAGCCGTTTAT	CAACA-CG	ATAGGTGCTAA	GTGGAGGTA	CAGTAATG-7	ATGCAGCTGA	<b>GCATCCTAAC</b>	AGACCGAGA	GGTTTGAACTTT	3TTI	CTACAGAA	Pteris		•
AGA-CO	GAGCCGTTTAT	CACCA-CG	ATAGGTGCCAA	GTGGAGGTG	CAGTAATG-1	ATGCAGCTGA	<b>GCATCCTAAC</b>	AGACCGAGA	GGTTTGAACTTT	3 <b>T</b> TC	CTGCAGAA	Dicksonia	Dicksoniaceae	•
AGA-CO	GAGCCGTTTAT	CACCA-CG	ATAGGTGCCAA	GTGGAGGTG	CAGTAATG-1	ATGCAGCTGA	<b>GCATCCTAAC</b>	AGACCGAGA	GGTTTGAACTTT	JTTC	CTOCAGAA	Pilularia	Marsileaceae	•
AGA-CO	GAGCCGTTTAT	CACCA-CG	ATAGGTGCCAA	GTGGAGGTG	CAGTAATG-T	ATGCAGCTGA	GCATCCTAAC	AGACCGAGA	GGTTTGAACTTT	3TTA	CTGCAGAA	Cyathea	Cyatheaceae	•
AGA-CT	TAGCCGTTTAT	AACCA-CG	ATAGGTGCCAG	GTGGAGGTA	CAGTAATG-1	ATGCAGCTGA	GCATCCTAAC	AGACCGAGA	GGTTTGAACTTT	3 <b>T</b> TC	CTGCAGGA	Azolla	Salviniacaea	•
AGA-CO	GAGCCGTTCAT	TATCA-CG	ATAGGTGCTAA	GTGGAAGTG	CAGTAATG-T	ATCCACCTGA	<b>GCATCCTAAC</b>	AGACCGAGA	GATTTGAACCTT	3TTC	CTACATGA	Osmunda	Osmundaceae	•
AGA-CO	GAGCCOTTTAT	CATTA-CG	ATAGGTGCCAA	GTGGAAGTG	CAGTAATG-7	ATGCAGCTGA	GCATCCTAAT	AGACCGAGA	GATTTGAACCTT	3TTC	TCGCATAA	Trichomanes	Hymenophyllaceae	• •
AGA-CO	GAGCCOTTTAT	CACTA-CG	ATAGGTGCCAA	GTGGAAGTG	CAGTAATG-1	ATGCAGCTGA	<b>GCATCCTAAC</b>	AGACCGAGA	GGTTTGAACCTT	3TTC	CTACATGA	Angiopteris	Marratiaceae	Eu
unon-co	CACCCOTTAN	TATCA-CG	ATAGGTGCCAA	GTGGAAGTG	CAGTAATG-T	ACGCAGCTGA	GCATCCTAAC	AGACCGATG	GCTTTGAACCTI	STICCTIC	CTACAGGA	Psilotum	Psilotaceae	Ps
	ONOCCOLLINI	allon of	The second	OTOCAAATA	CAGTAATG	ATCCACCTGA	GCATCCTAAC	AGACCAAGA	GATTIGAACCTI	2	CTACACGA	Lycopodium		Ly
AAGA-CO														
AAGA-CO	GAGCCGTTTAT	CATCA-CG	ATAGGIGCCAA	OTOCA ACTO	CAGTAATG-1	AUCTOR ACCURAT	CCATCCTA AC	AGACCGAGA	GATTIGAACCTI	3	CGCCATGA	Marchantia		Br

FIG. 3.—Segment of the nucleotide alignment in the region of the 4.5S rRNA gene (marked by asterisks). Indels are indicated as dashes. Nucleotide positions indicated refer to the alignment used for phylogenetic analysis, in which the terminal base of the 23S gene is arbitrarily located at position 25. Subclass (spermatophytes) and family (ferns) assignments are indicated. Ag, angiosperms; Gy, gymnosperms; Le, lege tosporangiate ferns; Eu, eusporangiate fern; Ps, psilophyte; Ly, lycopsid; Br, bryophyte.

ments, then little correlation should be observed between distances estimated from the rDNA coding regions and those estimated from the noncoding regions of the alignment. We estimated the number of substitutions per site using the Kimura two parameter method  $(d_k)$  separately for rDNA and noncoding spacer regions of cpITS for pairwise comparisons of all OTUs. We excluded Epifagus and Conopholis in these comparisons, because we wished to compare divergence in cpITS regions to that in rbcL (see below) and these species do not possess functional rbcL genes (Wolfe, Morden, and Palmer 1992). Values of divergence in coding and noncoding regions of the cpITS region were plotted against one another (fig. 6A). The highest values of divergence for the noncoding region do not exceed 0.9 substitution per site even in comparisons between ferns and spermatophytes. Notably, there is a very positive correlation between sequence divergence in the coding and noncoding portions of the *cpITS* data ( $R^2 = 0.922$ ). The average ratio of substitution rates in noncoding vs. coding *cpITS* regions for all comparisons is  $2.05 \pm 0.018$ . For more closely related sequences, i.e., for values of divergence in noncoding regions <0.3 (475 comparisons), the correlation becomes slightly weaker ( $R^2$  =

0.81, data not shown) and the average ratio of substitution rates in noncoding vs. coding regions of the cpTrS becomes  $1.66 \pm 0.03$ . This drop in correlation is propably due to the very small number of rDNA coding sites (173) in the alignment. If divergence in the cpTrS3 region alone is plotted against divergence in rDNA coding regions for all comparisons, the correlation remains strongly positive ( $R^2 = 0.767$ , data not shown), indicating that also the most variable noncoding regions are not saturated with substitutions over most of the result of the substitutions between spermatophytes and ferns, and that pairwise distances between noncoding regions—although high—are not randomized through saturation.

For comparison to other data widely used for the study of plant evolution, we plotted estimates of numbers of substitutions per site determined from constrained (nonsynonymous) and nonconstrained (synonymous) sites between rbcL sequences from the same or congeneric species; in those cases where such sequences were not available in the database, we used rbcL sequences from confamilial genera (see table 1). For rbcL, we measured divergence at synonymous and nonsynonymous sites using the method of Li, Wu, and Luo (1985)

AAGGACAG AAGGGCAG CAGGGCAG AGGGCAG AAGGGCAG AAGGGCAG AAGGGCAG AAGGGCAG		TTT	· · · · · · · · · · · · · · · · · · ·	GGTGTCCCCTCC GGTGTCCCCCTCC 	-CGTCAAA	TGGGGC	- Conopholis	Asteridae	Ag
AAGGGCAG AAGGGCAG AAGGCAG -AAGGCAG -AAGGCAG -AAGGGCAG -AAGGGCAG -AAGGGCAG	AGGCC AGGGCC AGGCC AGGCC AGGCC AGGCC	TTT TTT TTT		GGTGTCCCCTC	2200022002	mooocom olol		•	•
AAGGGCAG CAGGGCAG AAGGGCAG AAGGGCAG - AAGGGCAG AAGGGCGG		TTT TTT TTT		GGTGTCCCCTC	CAGTCAAGAA	TGGGGCCT-CACA	T Fagonyrum		
Cagggcag Agggcag -Aagggcag -Aagggcag -Aagggcag -Aagggcag		TTT TTT TTT					r radoblram	Caryophyllidae	•
AGGGCAG AAGGGCAG AAGGGCAG AAGGGCGG AAGGGCAG	AGGCC AGGCC AGGCC	TTT TTT TTT		GGTATCCCCTC	AGICAAGAA	TTGGGGCCT-CACA	T Nicotiana	Lamiidae	•
AAGGGCAG AAGGGCAG AAGGGCGG AAGGGCAG	AGGCC AGGCC	TTT			AGTCAAGAA	TGGG-CCT-CACA	T Alnus	Hamamelidae	•
AAGGGCAG AAGGGCGG AAGGGCAG	AGGCC	TTT		GGIGICCCCIC	CAGGCAAGAA	TTTGGCCT-AACA	T Alchemilla	Rosidae	•
AAGGGCGG	AGGCC	TTT		GGTGTCCCCTC	CAGTCAAGAA	TGGGGCCT-CACA	T Eryngium	•	•
AAGGGCAG	AGGCC			GGTGTCCCCTC	CAATCAAGAA	TGGGGCCT-CACAI	T Ferulago	•	•
AAGGGCAG		TIT		GGTGTCCTCTC	CAGTCAAGAA	TAGGACCT-CACAG	T Pisum	•	•
	AGGCC	TTT		GGTGCTCCCTC	CAGTCAAGAA	GGGGGGCCT-CACA	T Delphinium	Ranunculidae	•
AAGGGCAG	AGGCC	TTT		GGTGTCCCTTC	CAGTCAAGAA	TGGGGCCT-CACA	T Caryota	Arecidae	•
GGCAG	AGTT	TTT		GGTGTCCCTTC	PAGTCAAGAA	TGGGGCCT-CACA	T Cryptocoryne	•	•
AGGGCAG	AGGCC	TTT			AGTCAAGAA	TCCCCCTTL-CACA	T Ramburga	Liliidae	
AGGGCAG	AGGCC.	ATT			TAGTCAACAA!	TOOOCOPP-CACA	T Dos		•
AGGGCAG	AGGCC	TTT		GGTGTCCCTTC	CAGTCAAGAA	TGGGGCTT-CACA	T Orvza		-
AAGGGCAG	AGGCC	ΤΤΤΤ			2011222022	TOCCCCT-CACA	T Somele	-	
AAGGGCAG	AGGCC	TTC		GGTGTCCCTTC	AGTCTTGAAT	TGGGGCCT-CACT2	T Molineria	-	-
AAGGGCAG	AGG CC	TTT		GGTGTCCCTTC	AGTCAAGAA	TOCOCCT-CACA	T Tillandeia		
AAGGGCGG	AGGCC'	TTT		CGTGTCCCTTCC	ACTCAACAA!	TOOOCCT-CACA	T Stralitzia		-
AAGGGCAG	AGGCC	TTT			ACTCAACAA	TOCCCCT	- Magnolia	Magnoliideo	
-AAGGGCAG	AGGCC	TTT		COTOTO -CTTC	COTCARCAN	10000000	- Magnoria	magnoilidae	
-AAGGGCAG	AGGCC	•••• ጥጦ••			TAGTOALGAN	TOGOCOCCT	- Annona	-	-
	NCC CC			Gigicceric	AGICANGAA		- Eupomacia		-
ANOGOCAG	AGGCC	111		GGIGICCCTIC	AGICAAGAA	TGGGGTCT-CACAA	G Drimys	-	•
AAGGGCAG	AGGCC'	1111		GTITGGTGTCCCTTCC	CAGTCAAGAA	TGGGGCCT-CACA	T Piper		•
AAGGGCAG	AGGCCC	TTT	••••••	GTTTGGTGTCCCTTC	CAGTCAAGAA	TGGGGCCT-CACA	T Peperomia	•	•
-AGGGGCAG	AGGGAGGTTCCC	TTT		GGTGTCCCTTC	CAGTCAAGAA	TGGGGCCT-CACA	T Nymphaea	•	•
AAGGGCAGGGC	AGAGGAGGGTTCTC	TACCGAAG-	-ACACCAAA	GGGGTGTCCCTTC	CAGAAT	TAGAGCCT-CACA	T Gingko	Gingkoate	Gy
GAAGGGCAGGGC	GGAGGAGGGTTCTC?	TACCTGGAAGO	GGCACCCTTTT	GGTGTCC		TAGAGCCC - CACGA	T Cycas	Cycadatae	•
GAAGGGCAGGGC	GGAGGAGGGTTCTC'	TACCTGGAAGG	G-ACACCCTTTT	GGTGTCC		TAGAGCCC-CACGA	T Zamia	•	•
-AAGGGCAG	TGGAGGGATCTC!	TACCTGG	CCCTA	ATCCTTTTY	AGAA1	TGGGGCCT-CACAZ	T Pinus	Pinate	
GAAGGG	'IGAAGGGTTCTCC	CCTCTACCTGGAAGG	GACACC	GTCCCTTC	AGAAT	TGGGGCCTTAACAZ	T Ephedra		
	AAGGGTTTTA	TACCTGGAAGG	ACACC	GTCCCTTC(		TOGAGCCT-AACAC	- Welwitchia		
	AAGGGTTTTTA	TACCC-GAAG-		GCCCCTTC		TOGACCCT-AACAC	- Cnatum		
AGGAAGAAGCGG	GTCAGGAGATTGTT	TGGAAAG		COTOTOTOTOTO		TOCACCCITACAC	C Dhulitia	Ann land a near	
CCCCACAACACCCC	CULTOROCACATICCUL	T0000000	TOCATCCCTATCTCT	CCTACCTCCTCC	GOA	TOGAGICGCAGACI	C Phylicis		ге
100010100000	OTTAGCAGATIGCI.		TOCATCCCTATCTCT	GGIAGGICIIC	GGAC	TGGAGICGTAGACI	c Polypodium		-
AGGGAGAGGGGGG	GIINGCAGAIIGCI.	CONNECTION CONNECTICON CONNECTICON CONNECTICO CONNECTICO CONNECTICO CONNECTICO CONNECTICO CONNECTICO CONNECTICO CONNECTICO CON CONNECTICO CON CONNECTICO CONNECTICO CON CONNECTICO CON CONNECTICO CONN	TGCATCCCTACCTAT	GGTATGTCTTCC	GGA(	TGGAGTCGTAGACT	C Davallia		
AGGAAGAAGCGC	CICAGGAGAIIGII.	IGGAAAG	GCATCCCTATTICT	GGIGIGICTCTICC	GGAC	TGGAGICGIAGACI	C Athyrium	Dryopteridiaceae	
ACGGAGAAGCGG	TCCAGGAGATTATT.	rGGGAGG	TGCACCCTCTCCTCTCCT	FICTGGTATGTCTTCC	CGGACGGAC	TGGATTCCTAGACI	T Ceratopteris	Pteridaceae	-
								•	•
								•	•
								Dicksoniaceae	•
ACAGAGAAGCGG	GTCAGGGGATTGTT	C-TTTACCCGGAAGG	CGCACCTCTCCCTCTGGTC	3GGTGTGGGTGTGCCTCT"	GGAC	T-GAGTCGTAGCCT	C Pilularia	Marsileaceae	•
ACGGAGAAGCGG	GTCAGGGGATTGTT	C-TCTACCTGGAAGG	TGCACCTTTCCCTTY	GGTGTGCCTTCC	GGAC	TGGAGTCGTAGCCT	A Cyathea		•
ACGGAGAAGCGA	GTCACGGGATTGTT	T-CTTACCTGGAAGG	CGCGCCCCTTT	GGTGCGCTTTCC	GGAC	CGGGGTCGTAGCCT	C Azolla		•
									•
AAACCTGG	GTCATAATAATGTT	C-TCTGCCTGGAAGG	TACACTATTCCCTCT	GGTGTACCTT		TTAGACCCT	T Trichomanee		
AAGGGCAA	GTCAGAGCGATGTT				ACAA		C Angiontania		
									Eu
								Psilotaceae	Ps
			INCALCAACCAA	GGGIGICCCCCI(	ACAA	TTACTAGCC-	- Lycopodium		Ly Br
AAGGGCAG	GGCATAGGAGGGTTC		GACACTTCT						
	- AGGGCAG- - AGGGCAG- - AGGGCAG- - AAGGCAG- - CGGAAAAAGAGCG ACGGAAAAAGCG ACGGAAAAACCG ACGAAAAACCG ACGGAAAACCG ACGGAAAACCG - AAGCGCCG	MGGCAG MAG MGGCAG AGG	- MGGCAG NGG CCATT MGGCAG AGG	- AGGCAG AGG CCTTT AGGCCAG AGG CCTTG ACCTGGAAGG ACCCTAA AGGCCAG TGGAGGAGCGTTCTCT ACCTGGAAGG ACCCTTTT AGGCCAGGCGAGGAGGAGCAGTTCTT CCTGGAAGG CATC 	- MGGCAG AGG	- M3GCAG M3G	- M3GCAG AGG	- MGGCAG MGG	M3GCAGAGGCCATT

FIG. 4.—Segment of the nucleotide alignment in the region of highest variability. Designations as in the legend to figure 3.

and plotted these values against one another; similar results were obtained using the method of Nei and Gojobori (1986) (see below). Figure 6A shows that the divergence at nonsynonymous sites  $(K_a)$  for land plant rbcL sequences surveyed is very low, less than 0.08 substitutions per site in all cases. At synonymous sites, by comparison, estimates of divergence between rbcL sequences  $(K_s)$  are very high, greater than one substitution per site in most cases, and therefore very unreliable. The correlation between  $K_a$  and  $K_s$  is poor for the *rbcL* land plant data set ( $R^2 = 0.18$ ). Even in compar-

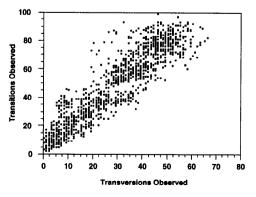


FIG. 5.-Transitions and transversions in cpITS sequences. Plot of numbers of observed transitions vs. observed transversions in pairwise comparisons of cpITS sequences; each point represents the plot for one pairwise comparison.

the correlation between  $K_a$  and  $K_s$  is poor ( $R^2 = 0.15$ , 266 comparisons). It is quite obvious that the rate  $\Im$ f substitution at synonymous sites is much higher in rbeL than at nonsynonymous sites. For all comparisons, the average ratio of  $K_s/K_a$  is 29, for values of  $K_s < 1$  (571) comparisons), the average ratio of  $K_a/K_a$  is 21; for values of  $K_s < 0.6$  (266), average ratio of  $K_s/K_a$  is 16. The s is not surprising but stands in sharp contrast to assertions that the rates of substitution at synonymous and nonsynonymous sites in rbcL may be quite similar (Chase et al. 1993). This result also indicates that a s $\sqrt{s}$ tematic error exists in the calculations of Albert et al. (1994), because they estimated substitution rates between *rbcL* sequences by dividing the total proportion of nucleotide differences between sequences by estimated divergence time. In light of the great difference between synonymous and nonsynonymous rates in rbcL. Albert et al.'s estimates of sequence divergence and substitution rate in *rbc*L are erroneous.

# A Low Substitution Rate in Noncoding cpITS Regions

Sequences within the inverted repeat region of chloroplast DNA have a lower neutral substitution rate than those in the single copy regions (Wolfe, Li, and Sharp 1987). Using the data set at hand, we wished to

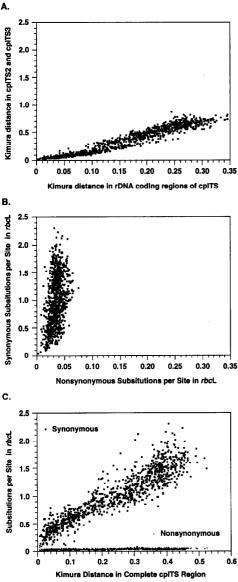


FIG. 6.—Comparison of sequence divergence cpITS and rbcL sequences. A. Plot of sequence divergence (estimated by the two-parameter method (Kimura 1980)) at functionally constrained (rRNA coding) and unconstrained (noncoding) positions of cpITS sequences. Noncoding regions correspond to combined cpITS2 and cpITS3 regions as shown in figure 1 (avg. 370 positions). Scale units are substitutions per site. Each point represents the plot of respective values for an individual pairwise comparison. B. Plot of sequence divergence (estimated by the method of Li, Wu, and Luo [1985]) at functionally constrained (nonsynonymous) and unconstrained (synonymous) positions of rbcL sequences (K<sub>a</sub> and K<sub>s</sub>, respectively). An average rbcL pair compared here has 996 nonsynonymous and and 298 synonymous sites, respectively, less than complete sequences due to missing data in PCR entries. Note that axis scales are identical to those in (A) for direct comparison of divergence at constrained vs. unconstrained positions in rbcL and cpITS and for direct comparison of overall sequence divergence in the two markers. C. Numbers of synonymous and nonsynonymous substitutions per site in pairwise comparisons of land plant *rbcL* sequences plotted against Kimura distance  $(d_k)$  between aligned cpITS sequences for the same (or confamilial) taxa (see table 1). Use of a single ordinate scale is intentional to underscore the low divergence at nonsynonymous sites in rbcL sequences.

Table 2
Ratio of Substitution Rates at Synonymous Sites in rbcL
to Kimura Distance in Noncoding <i>cpITS</i> Regions

Range of K <sub>s,rbc1</sub>	Average ratio K <sub>s,rbc1</sub> / d <sub>k,cp1TS2/3</sub>	Nª	Min	Max
<0.2	4.77	16	1.10	11.7
0.2–0.3	<	33	2.45	17.6 🖯
0.3–0.4	7.24	62	2.18	17.6 Downloaded
0.4–0.5	6.97	82	2.51	22.4
0.5–0.6	5.76	71	2.57	18.1
0.6–0.7	5.18	84	2.07	
0.7–0.8	4.44	62	1.77	13.9
0.8–0.9	2.97	75	1.24	6.92
0.9–1.0	2.74	84	1.63	4.22
>1.0	2.58	510	1.67	5.04
Average <sup>b</sup> $K_{s,rbc1}$ (<0.8)	5.9	410		acau

<sup>a</sup> N indicates number of pairwise comparisons in the given range of  $K_{s,rbcl}$ . Minimum and maximum values of  $K_{s,rbcl}/d_{k,cplTS2/3}$  observed for the range are indicated.

<sup>b</sup> For calculation of the average, values from the range of  $K_{x,rbcl} > 0.8$  were excluded because saturation at synonymous sites is observed, particularly evident in the column for maximum values.

estimate the relative rates of nucleotide substitution at synonymous sites in rbcL and noncoding regions of *cpITS*. Values of K<sub>s</sub> for *rbc*L were divided by values of  $d_k$  in the noncoding *cpITS* regions (*cpITS*2 and *cpITS*3) combined, designated here as *cpITS2/3*) for correspond ing comparisons. This was performed for several ranges of  $K_s$  in *rbcL* (table 2). We did not perform a relative rate test prior to calculation of average rates, but the effects of the most rapidly and slowly evolving sequence es in the relatively large data set probably counteract one another. Both the average ratio of substitution rates and the maximum values of same decline sharply above values of  $K_s > 0.8$  substitutions per site, probably due to saturation and underestimation of divergence. In 419 comparisons for values of  $K_s < 0.8$ , the average ration of numbers of substitution per site at synonymous sites in *rbcL* and *cpITS2/3* was 5.9. Thus, although the  $cp^{\mathbb{A}}$ ITS2/3 region is noncoding chloroplast DNA, its rate of substitution is about six times lower than that at sym onymous sites in *rbcL*. For the same 410 comparisons, the average ratio of substitution rate in cpITS2/3 to non synonymous substitution rate in *rbc*L was sightly greater than four, but with an extremely wide range, as evident from the wide variation in  $K_a$  at low values of  $K_s$  seen in figure 6B. The reduction in substitution rate for cp-ITS2/3 relative to  $K_s$  in *rbcL* could either be due to structural constraints imposed by rRNA transcript processing, by copy correction in the inverted repeat, or both. These results indicate that the *cpITS* region, and perhaps other noncoding regions of the inverted repeat in cpDNA, are sufficiently conserved as to be phylo-

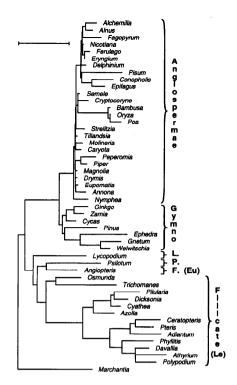


FIG. 7.—Neighbor-joining (NJ) tree (Saitou and Nei 1987) for cpITS sequences using the Kimura distance. The scale bar indicates 0.1 substitutions per site. L, Lycopodiaceae; P, Psilotaceae; F, Filicatae; Eu, eusporangiate; Le, leptosporangiate.

genetically useful in comparisons of land plant taxa, the *rbcL* sequences of which are saturated at synonymous sites.

### Phylogenetic Analyses

Results in the previous section indicated that the *cpITS* region should be suitable for phylogenetic analyses: the base composition is quite constant, the degree of divergence is not too extreme (<0.3 substitutions per site in most cases for the entire region, <0.6 all cases), and transitions are twice as frequent as transversions. The Kimura two-parameter distance ( $d_k$ ) performs well under these parameters (Jin and Nei 1990) and was used here to estimate sequence divergence. But because substitution rate varies considerably across sites (fig. 1), we also used the method of Jin and Nei (1990) for comparison.

Figure 7 shows the neighbor-joining (Saitou and Nei 1987) tree for *cpITS* sequences constructed from Kimura distance values and provides a general impression of the data. The most notable feature of the tree is the very low degree of divergence observed between most angiosperm taxa. Several angiosperm sequences are borne on long branches, suggesting an elevated substitution rate relative to other angiosperms (*Pisum, Bambusa, Oryza, Poa, Epifagus,* and *Conopholis*). In the case of *Pisum*, this may be due to the loss of one copy

of the inverted repeat in the cpDNA (Palmer and Thompson 1981), because the presence of two copies of the inverted repeat appears to reduce the rate of nucleotide substitution in the IR region (Wolfe, Li, and Sharp 1987). For the grasses, the elevated substitution rate in cpDNA reported for Poaceae (Gaut et al. 1992) may also apply to the IR region. For Epifagus and Conopholis, the apparent elevation of substitution rate is likely due to loss of functional constraints in the cpDNA of these parasitic plants (Wolfe, Morden, and Palmer 1992). Considerably greater sequence divergence is observed in cpITS sequences in comparisons between ferns than between seed plants. Spermatophytes are separated from remaining taxa by a very robust branch, the length  $\overline{\mathfrak{D}}f$ which may be exaggerated due to the difficulties an aligning variable regions across this boundary.

The reliability of the topology was estimated by bootstrapping. The 80% bootstrap proportion consensits NJ tree for cpITS sequences is shown in figure 8A; the threshold of 80% was chosen arbitrarily. Results of bootstrapping using the Kimura distance or Jin and Nei (1990) distance are summarized in the figure. The ga $\vec{\mathbf{a}}$ ma parameter of 1.3 estimated from the cpITS data as probably too low, but gamma parameters of 1.0 or 250gave identical topologies at the 50/100 bootstrap proportion consensus level (data not shown). Using either gamma distance, only one branch was found in 80 ger more replicates (a common branch for Alchemilla and Alnus in 82/100 with a gamma parameter of 2, found in 72/100 with  $d_k$ ) that was not found in 80 or more replicates using  $d_k$ . Conversely, only one branch was detected in 80 or more replicates using  $d_{\mu}$  that was found in less than 80 replicates using the gamma distances (the common branch for Ginkgo, Zamia, and Cycas, 76/10. Thus, the topologies obtained were very similar with different distance estimation methods, although absolute branch lengths were slightly ( $\sim 10\%$ ) greater with the gamma distances as compared to those obtained for &.

The position of the Gnetales relative to angiosperms and other gymnosperms is of interest, because several lines of data point to Gnetales as the sister group to angiosperms. This relationship is not resolved in figure 8A, which provides a conservative view of the *cpI* s gene phylogeny. As shown in figure 8B, the data do not support a sister group relationship between angiosperies and Gnetales, but rather provide weak support (about 50/100 replicates) for monophyly of gymnosperms surveyed. Although divergence between *cpITS* sequences is rather high for maximum parsimony analyses, we constructed bootstrap parsimony trees for the alignment to see if it provided support for sister group affinities between Gnetales and angiosperms. Using parsimony, the branch shared by *Pinus* and Gnetales in figure 8 occurred in more than 90/100 replicates.

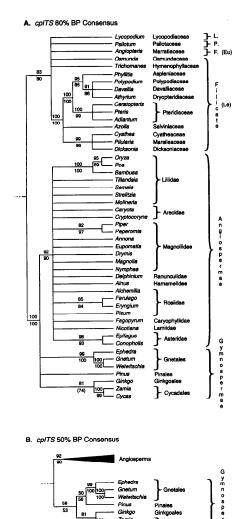


FIG. 8.—Trees derived from *cpITS* sequences. *Marchantia* was used as the outgroup. A. 80% bootstrap proportion consensus NJ tree for Kimura distances between *cpITS* sequences. Numbers above branches indicate the number of times the branch occurred out of 100 replicates using the Kimura distance; less frequently occurring branches are not shown. Numbers below branches indicate the number of times the branch occurred out of 100 replicates using the Jin and Nei (1990) distance with a gamma parameter of 2.0. Bootstrap values less than the consensus indicated are shown in parentheses. Abbreviations are as in the legend to figure 7. Higher taxon designations indicated are those of Ehrendorfer (1991, pp. 471–282) (spermatophytes) and Kramer (1990, pp. 49–52) (ferns). *B.* Portion of the 50% bootstrap proportion consensus NJ tree for Kimura distances between *cpITS* sequences showing the common branch for gymnosperms detected in 58/ 100 replicates.

Thus, *cpITS* provide no support for the view that Gnetales are the sister group of angiosperms, in contrast to reports based on *rbcL* sequences (see Discussion). We reanalyzed published *rbcL* data for the same or confamilial genera as for *cpITS*. Synonymous sites are saturated in most *rbcL* comparisons on this data set (see above).

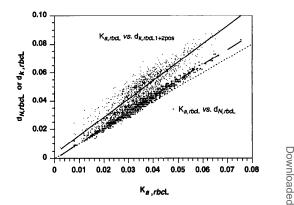


FIG. 9.—Divergence in *rbcL* sequences investigated estimated as  $d_k$  (Kimura two-parameter distance; Kimura 1980) at first and second codon positions of *rbcL* ( $d_{k,rbcL1+2pos}$ ) or numbers of nonsynonymous substitutions per site estimated as  $d_N$  with the method of Nei and Go jobori (1986) (both ordinate) plotted against numbers of nonsynonymous substitutions per site estimated as  $K_a$  (abscissa) with the method of Li, Wu, and Luo (1985). Plots of  $K_a$  vs.  $d_N$  are indicated as heavy points, the corresponding linear regression ( $R^2 = 0.97$ ) is indicated by the dashed line. Plots of  $K_a$  vs.  $d_k$  are indicated as light points, the corresponding linear regression ( $R^2 = 0.86$ ) is indicated by the solution. The dotted line indicates the expectation for identical estimates obtained with  $K_a$  and the other two methods.

Divergence between *rbc*L sequences should be estimate ed at synonymous and nonsynonymous sites independent dently (Martin, Somerville, and Loiseaux-deGoër 1992; Martin et al. 1993). But many groups currently using *rbc*L to study plant evolution use mainly the program of PHYLIP or PAUP packages; to make our results more directly comparable to theirs, we removed third positions from the *rbc*L alignment and then estimated  $d_{1-}^{0}$ vergence at first and second positions with the Kimuga method. Because about 75% of rbcL's third positions are synonymous in an average comparison, deleting thisd positions removes about 8%-10% of the nonsynonymous sites but also eliminates stochastic similarity from the data set in comparisons of divergent taxa. The fear (about 5%) synonymous sites remaining at first positions should not distort distance estimations heavily. This digtance estimation (d<sub>k</sub> at first and second positions)  $n\vec{e}$ glects the effects of alternative pathways of amino acid substitution or likelihood of amino acid replacements but permits us to use a single substitution model for both individual and concatenated *cpITS* and *rbcL* sequences. Because only a small fraction of first positions in *rbcL* are synonymous, the correlation between the Kimura distance at first and second positions and K<sub>a</sub> is quite positive ( $R^2 = 0.86$ ) yet lower than the correlation between  $K_a$  estimated with Li et al.'s method and the same value estimated with Nei and Gojobori's method ( $R^2 =$ 0.97) (fig. 9). Bootstrap resampling should counteract this effect sufficiently so that first position synonymous

#### rbcL 80% BP Consensus

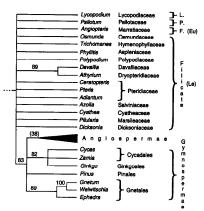


FIG. 10.—80% bootstrap proportion consensus NJ tree for Kimura distances at first and second codon positions for rbcL sequences (see text). Marchantia was used as the outgroup. Parentheses indicate that the consensus value of 38/100 for monophyly of angiosperms is below the threshold for other branches in the figure. Abbreviations are as in the legend to figure 7. Three differences in 80% consensus branches relative to figure 8 are mentioned in the text.

sites should not have serious impact on trees constructed with  $d_k$  at first and second *rbc*L positions.

The 80% bootstrap proportion NJ consensus tree for divergence at first and second rbcL codon positions is shown in figure 10. Within angiosperms, all groups found at the 80% bootstrap proportion consensus level for cpITS were also found for rbcL. Three additional branches within angiosperms were detected for *rbcL* in 80 or more replicates; these were Bambusa-Oryza (93/ 100), Bambusa-Oryza-Pennisetum-Avena (100/100), and Piper-Annona (91/100). With rbcL, angiosperms were detected as a monophyletic group in only 38/100 replicates. We found no support for the view that rbcL sequences suggest a sister group relationship between angiosperms and Gnetales. Because many recent reports using rbcL have included all codon positions, we constructed bootstrap consensus NJ trees for complete rbcL sequences from the same taxa. In those analyses, the group (Zamia, Cycas, Ginkgo, Pinus) was found in 99/ 100 replicates and was the sister group to angiosperms, the branch indicating a sister group relationship between these four gymnosperms and angiosperms was found in 86/100 replicates. Thus, also analysis of complete rbcL sequences did not support claims of sister group affinities between angiosperms and Gnetales.

Finally, we concatenated cpITS (complete) and rbcL sequences (first and second positions only) for the taxa indicated in table 1 and constructed the 80% consensus NJ tree (fig. 11). The result is based on an average of 1,486 nucleotides per comparison. Few changes in topology for the combined data set are evident relative to the *cpITS* topology in figure 8. The only differ-

#### A. cpITS/rbcL 80% BP Consensus

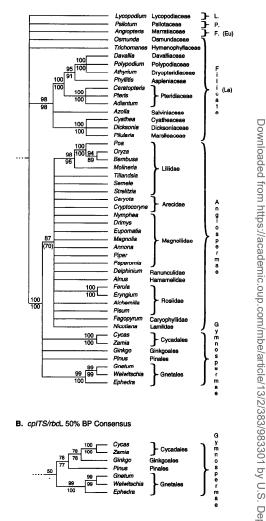


FIG. 11.-Trees derived from cpITS sequences concatenated with first and second positions of rbcL. Genus names refer to cpITS sequences, for genus names of concatenated rbcL sequences please meter to table 1. A. 80% consensus NJ tree for Kimura distances. Numbers above branches indicate bootstrap proportion using the Kimura distance. Numbers below branches indicate the bootstrap proportion using the Jin and Nei (1990) distance with a gamma parameter of 2.0. Bootstrap values less than the consensus indicated are shown in parentheses. Abbreviations are as in the legend to figure 7. B. Portion of the  $\overline{5}0\%$ bootstrap proportion consensus NJ tree showing the common branch for gymnosperms detected in 50/100 replicates.

D

ences in consensus topology are the separation of the two Piperales, Piper and Peperomia, and the lack of a common branch for ferns, Psilotum and Lycopodium. Relative to figure 10, however, quite a few differences are evident, most notably increased resolution within ferns and more robust branching within spermatophytes. Thus, the gene tree of the combined data set generally reflects the cpITS topology, which is not surprising because many more substitutions are observed between *cpITS* sequences than between first and second positions

of rbcL sequences. With the single exception of Piper, there are no conflicting branches for analyses of either marker alone or for the combined data set at the 80% consensus level.

# Discussion

We previously addressed questions concerning the general course of angiosperm (Martin, Gierl, and Saedler 1989; Martin et al. 1993) and land plant evolution (Troitsky et al. 1991) with the help of relatively limited nucleotide sequence data sets. By employing PCR primers against conserved regions, data collection for study of plant evolution has become very simple. Recently, strong emphasis has been placed on rbcL sequencing (Les, Garvin, and Wimpee 1991; Chase et al. 1993; Clegg 1993), but other markers are needed that increase the amount of data available per taxon for evolutionary investigation. The conserved primers from the rRNA operon in the inverted repeat region of cpDNA used here efficiently amplify a roughly 500-bp fragment from land plants; we encountered no land plants from which we could not amplify this region. Sequence characteristics and divergence of cpITS are suitable for the study of land plant evolution.

## Molecular Resolution within Angiosperms

The molecular phylogeny obtained with the combined cpITS-rbcL data set is probably more reliable than those obtained with either marker alone. The consensus tree in figure 11 contains several notable findings. Foremost, there is very strong evidence for the monophyly of angiosperms surveyed. The evidence for angiosperm monophyly, however, is not contained within the *rbc*L data set (cf. fig. 10) but rather in the cpITS data (fig. 8). The monophyly of angiosperms is also very strongly supported by analyses of their morphological characters (for a lucid review, see Crane, Friis, and Pedersen 1995). Also, the indel  $\Delta$ 519–550 (fig. 4) shared by angiosperms surveyed supports monophyly of flowering plants, as does the region around  $\Delta 567-571$ .

Within angiosperms, no resolution at the subclass level was obtained at the 80% consensus level with either *cpITS*, *rbc*L, or the combined data set; this finding is also reflected in the very short internal branch lengths within angiosperms in figure 7. With regard to the most primitive angiosperms sampled, we note that in nonbootstrapped NJ trees using either the Kimura or gamma distance for *cpITS* sequences, the aquatic angiosperm Nymphaea was basal on the flowering plant branch (fig. 7 and data not shown). Bootstrap support for this position was, however, very weak (47/100 with either Kimura or gamma [a = 2] distance), and the branch separating Nymphaea from other angiosperms was not found at all in either the *rbcL* or combined data sets.

But consistent with the basal position of Nymphaea, and perhaps more noteworthy, is a small stretch of 7 bp (positions 507-513 in fig. 4) that appears to be shared between Nymphaea and gymnosperms (allowing for some substitutions) but is clearly absent from all other angiosperms surveyed. The alignment in this region can be modified, but even if portions of the alignment from positions 400-600 (or even positions 300-600) are excluded, Nymphaea retains its basal position among an giosperms and receives increased bootstrap support (data not shown). The specific indel under consideration  $\mathbf{\hat{n}}$ is therefore consistent with—but independent of—sub stitutions in the remainder of the alignment.

The finding that an aquatic angiosperm is weakly supported by cpITS data to be the earliest branching flowering plant is compatible with current views on the nature of primitive angiosperms (Endress 1994) and with the findings of Les, Garvin, and Wimpee (1991) i their study of *rbcL* genes, although their taxon sampling was quite different from ours. They found that Cerator phyllum was the most primitive of several aquatic and giosperms surveyed, although the use of outgroups other than the one gymnosperm Pseudotsuga in that analysis may have produced different results. The phylogenetize distribution of  $\Delta 507-513$  in other (aquatic) angiosperm (such as Ceratophyllum) deserves further attention Also, more markers need to be employed in order to increase the total number of bases for analysis. If and giosperm evolution occurred as a true radiation, similar to the Cambrian explosion of invertebrate phyla (Herve, Chenuil, and Adoutte 1994), resolution in the basa regions of the angiosperm tree may be a very difficult molecular phylogenetic problem, and—as for inverte brates—a very large number of sites may be required (Lecointre et al. 1994). ment of Just

# Relationship of Gnetales to Angiosperms and Other Gymnosperms

Answers to the question of angiosperm origins are inextricably coupled to the identification of their sister group among extinct and extant taxa. A number of lines of morphological evidence point to members of the Gne tales as the possible sister group to angiosperms among extant gymnoperms (Friedmann 1990, 1994; Nixon et al. 1994; Crane, Friis, and Pedersen 1995), but molect ular support for this view is extremely weak at best? Albert et al. (1994) and Doyle, Donoghue, and Zimmer (1994) conducted parsimony analyses of molecular sequences combined with morphological characters and concluded that Gnetales are the sister group of angiosperms, but if molecular data are combined with character state data, the result cannot be regarded as an independent molecular test of hypotheses concerning morphological evolution. The power of molecular data to

reconstruct evolution independently of parallelisms at the morphological level is lost if the two types of data are combined. Therefore, the conclusions of such analyses cannot be taken as molecular support sensu strictu for sister group status between angiosperms and Gnetales. In Doyle, Donoghue, and Zimmer (1994), trees based purely on molecular (rRNA) data are also shown, but these do not include nonspermatophyte outgroups, in the absence of which sister group relationships between Gnetales and angiosperms cannot be addressed because outgroups may have dissected the angiospermgymnosperm branch. Hamby and Zimmer (1992) did include Equisetum and Psilotum as outgroups in some trees and found that the data did not permit resolution of the angiosperm-Gnetales relationship. Other studies of rRNA (Rakhimova et al. 1989; Troitsky et al. 1991; Chaw et al. 1994) and rbcL sequences (Hasebe et al. 1992, 1993) that included outgroups suggested that no extant gymnosperm taxon is a sister taxon to angiosperms and that gymnosperms may be a monophyletic group. The latter findings are consistent with the results of our analyses on *cpITS* and *rbcL* sequences, although we only have very weak bootstrap support for the monophyly of gymnosperms sampled. We find, however, very strong support for the monophyly of Gnetales with both markers (figs. 8, 10, and 11), which is incongruent with results of parsimony analyses on morphological characters recently presented by Nixon et al. (1994), in which Ephedra branched below angiosperms and other Gnetales.

# Phylogenetic Analysis within Ferns and Fern Allies

In the analyses of *cpITS* sequences from 16 pteridophytes (including representatives from the fern allies Lycopodium and Psilotum, as well as one eusporangiate and 13 leptosporangiate ferns), the phylogeny appears to yield better resolution than within spermatophytes, probably due to the less star-like topology of the pteridophyte tree. Resolution was considerably better with cpITS (figs. 7 and 8) or concatenated (fig. 11) sequences than with rbcL sequences alone (fig. 10). Only one internal branch was found in the 80% consensus rbcL tree within ferns (suggesting a close affinity between Davalliaceae and Dryopteridaceae to the exclusion of Polypodium). Notably, the degree of internal branch support that we found for rbcL was much lower than that reported by Hasebe et al. (1994), in which all positions of rbcL were considered. Within the fern rbcL sequences sampled, average divergence at synonymous sites across 101 comparisons was >1.0, suggesting that these are saturated, or nearly so, in most comparisons (by contrast, average divergence between cpITS sequences of ferns is 0.35). We did not sample as many taxa as Has-

ebe et al. (1994) did, but we could not corroborate the high bootstrap values they reported in the fern *rbc*L tree Also, we found a major discrepancy between our topol ogies and those of Hasebe et al. in that the common branch shared by representatives of two families of tax onomically highly uncertain heterosporous ferns (Mar sileaceae and Salviniaceae, 100/100 replicates in Haseb et al. 1994) was found in neither rbcL nor cpITS anal yses. Rather, we found a very close affinity between Marsileaceae and representatives of tree ferns (Dickson iaceae and Cyathaceae) to the exclusion of Salviniacea (although Azolla possess a very large deletion encom passing the entire cpITS2 region). Otherwise, the topol ogy within leptosporangiate ferns with cpITS sequence was largely congruent with that of Hasebe et al.  $(199\overline{4})$ including the basal position of Hymenophyllaceae, Mar ratiaceae, and Osmundaceae. Deeper branches within ferns in figure 7 find low bootstrap support (figs. 8, 10 and 11). The position of Lycopodium in figure 7 is com patible with data from cpDNA gene rearrangement (Raubeson and Janson 1992). The inclusion of addition al OTUs and outgroups might be expected to have  $\hat{m}$ fluence on the common branches shared by Psilotum and Angiopteris, and the two primitive leptosporangiate ferns Osmunda and Trichomanes, respectively, 13/2/383/98

# Conclusions

Substitutions occur in the noncoding sequences cpITS regions in the inverted repeat at about one-sixtl the rate of that found for synonymous sites in  $rb \in L$ Despite this lower substitution rate, average divergence between 16 pteridophytes and 31 spermatophytes about 0.8 substitutions per site in the noncoding  $cp \underline{\mu}$ regions. This value is quite high but still can be esti mated with some degree of reliability (the average stan dard error across these comparisons is about 0.2). Be cause synonymous sites in rbcL evolve about six time: faster, they are saturated in comparisons across the sper matophye-pteridophyte boundary and in most compar isons within pteridophytes, where average divergence between noncoding regions of cpITS is 0.35 substitu tions per site. Within spermatophytes, cpITS seems and be a very useful marker even though it is quite short  $\overline{\omega}$ can be used to increase the number of sites available for comparison in studies of higher plant evolution, and alignments reveal a number of indels with conspicuous phylogenetic distribution. Our phylogenetic analyse: marshalled no support for the "anthophyte concept," i.e., for the view that Gnetales and angiosperms are sister groups and may be collectively designated anthophytes by virtue of the flower-like gnetalean reproductive structures (reviewed in Crane, Friis, and Pederser 1995). On the contrary, both cpITS and rbcL data suggest with low bootstrap support that gymnosperms surveyed (conifers, cycads, gnetales, *Ginkgo*) may constitute a monophyletic group. Previous reports on the basis of *rbcL* sequence data that gnetales may be the sister group of angiosperms entailed analyses of all *rbcL* sites and may have contained a high number of stochastically similar nucleotides. Careful analyses of further molecular data are needed before conclusions about the general course of higher plant evolution can be drawn.

# Acknowledgments

This work was supported by grant Ma 1426/1-3 from the Deutsche Forschungsgemeinschaft to W.M. and by grant N 93-04-6962 from the Russian Fund of Fundamental Science to A.A. V.G. gratefully acknowledges stipends from the DAAD and DFG. We thank H. Saedler for general support and the Gesellschaft für Biotechnologische Forschung, Braunschweig, for the generous use of their computer facilities. We thank S. Bünte and C. Köhler for excellent technical assistance and Dr. B. Zimmer and K. Baeske for fern material.

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Accepted October 23, 1995