

# Nonlocally Centralized Sparse Representation for Image Restoration

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**Abstract:** The sparse representation models code an image patch as a linear combination of a few atoms chosen out from an over-complete dictionary, and they have shown promising results in various image restoration applications. However, due to the degradation of the observed image (e.g., noisy, blurred and/or downsampled), the sparse representations by conventional models may not be accurate enough for a faithful reconstruction of the original image. To improve the performance of sparse representation based image restoration, in this paper the concept of sparse coding noise is introduced, and the goal of image restoration turns to how to suppress the sparse coding noise. To this end, we exploit the image nonlocal self-similarity to obtain good estimates of the sparse coding coefficients of the original image, and then centralize the sparse coding coefficients of the observed image to those estimates. The so-called nonlocally centralized sparse representation (NCSR) model is as simple as the standard sparse representation model, while our extensive experiments on various types of image restoration problems, including denoising, deblurring and super-resolution, validate the generality and state-of-the-art performance of the proposed NCSR algorithm.

**Keywords:** Sparse representation, image restoration, nonlocal similarity

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## I. Introduction

Reconstructing a high quality image from one or several of its degraded (e.g., noisy, blurred and/or down-sampled) versions has many important applications, such as medical imaging, remote sensing, surveillance and entertainment, etc. For an observed image  $\mathbf{y}$ , the problem of image restoration (IR) can be generally formulated by

$$\mathbf{y} = \mathbf{H}\mathbf{x} + \nu, \quad (1)$$

where  $\mathbf{H}$  is a degradation matrix,  $\mathbf{x}$  is the original image vector and  $\nu$  is the additive noise vector. With different settings of matrix  $\mathbf{H}$ , Eq. (1) can represent different IR problems; for example, image denoising when  $\mathbf{H}$  is an identity matrix, image deblurring when  $\mathbf{H}$  is a blurring operator, image superresolution when  $\mathbf{H}$  is a composite operator of blurring and down-sampling, and compressive sensing when  $\mathbf{H}$  is a random projection matrix [1-3]. In the past decades, extensive studies have been conducted on developing various IR approaches [4-23]. Due to the ill-posed nature of IR, the regularization-based techniques have been widely used by regularizing the solution spaces [5-9, 12-22]. In order for an effective regularizer, it is of great importance to find and model the appropriate prior knowledge of natural images, and various image prior models have been developed [5-8, 14, 17-18, 22].

The classic regularization models, such as the quadratic Tikhonov regularization [8] and the TV regularization [5-7] are effective in removing the noise artifacts but tend to over-smooth the images due to the piecewise constant assumption. As an alternative, in recent years the sparsity-based regularization [9-23] has led to promising results for various image restoration problems [1-3, 16-23]. Mathematically, the sparse representation model assumes that a signal  $\mathbf{x} \in \mathbb{R}^N$  can be represented as  $\mathbf{x} \approx \Phi\boldsymbol{\alpha}$ , where  $\Phi \in \mathbb{R}^{N \times M}$  ( $N < M$ ) is an over-complete dictionary, and most entries of the coding vector  $\boldsymbol{\alpha}$  are zero or close to zero. The sparse decomposition of  $\mathbf{x}$  can be obtained by solving an  $l_0$ -minimization problem, formulated as  $\boldsymbol{\alpha}_x = \arg \min_{\boldsymbol{\alpha}} \|\boldsymbol{\alpha}\|_0, s.t. \|\mathbf{x} - \Phi\boldsymbol{\alpha}\|_2 \leq \varepsilon$ , where  $\|\cdot\|_0$  is a pseudo norm that counts the number of non-zero entries in  $\boldsymbol{\alpha}$ , and  $\varepsilon$  is a small constant controlling the approximation error. Since  $l_0$ -minimization is an NP-hard combinatorial optimization problem, it is often relaxed to the convex  $l_1$ -minimization. The  $l_1$ -norm based sparse coding problem can be generally formulated in the following Lagrangian form:

$$\boldsymbol{\alpha}_x = \arg \min_{\boldsymbol{\alpha}} \{ \|\mathbf{x} - \Phi\boldsymbol{\alpha}\|_2^2 + \lambda \|\boldsymbol{\alpha}\|_1 \}, \quad (2)$$

where constant  $\lambda$  denotes the regularization parameter. With an appropriate selection of the regularization parameter  $\lambda$ , we can get a good balance between the sparse approximation error of  $\mathbf{x}$  and the sparsity of  $\boldsymbol{\alpha}$ , and the term “sparse coding” refer to this sparse approximation process of  $\mathbf{x}$ . Many efficient  $l_1$ -minimization techniques have been proposed to solve Eq. (2), such as iterative thresholding algorithms [9-11] and Bregman split algorithms [25-26]. In addition, compared with the analytically designed dictionaries (e.g., wavelet/curvelet dictionary), the dictionaries learned from example image patches can improve much the sparse representation performance since they can better characterize the image structures [27-28].



**Figure 1:** Examples of the sparse coding coefficients by using the KSVD [27] approach. The first row shows some natural images; the second row shows the corresponding distributions of the sparse coding coefficients (associated with the 3<sup>rd</sup> atom of the dictionary in KSVD) of the patches extracted at each pixel. Note that the coefficients are not randomly distributed but highly correlated.

In the scenario of IR, what we observed is the degraded image signal  $\mathbf{y}$  via  $\mathbf{y} = \mathbf{H}\mathbf{x} + \nu$ . To recover  $\mathbf{x}$  from  $\mathbf{y}$ , first  $\mathbf{y}$  is sparsely coded with respect to  $\boldsymbol{\Phi}$  by solving the following minimization problem:

$$\boldsymbol{\alpha}_y = \arg \min_{\boldsymbol{\alpha}} \{ \|\mathbf{y} - \mathbf{H}\boldsymbol{\Phi}\boldsymbol{\alpha}\|_2^2 + \lambda \|\boldsymbol{\alpha}\|_1 \}, \quad (3)$$

and then  $\mathbf{x}$  is reconstructed by  $\hat{\mathbf{x}} = \boldsymbol{\Phi}\boldsymbol{\alpha}_y$ . Clearly, it is expected that  $\boldsymbol{\alpha}_y$  could be close enough to  $\boldsymbol{\alpha}_x$ . Due to the degradation of the observed image (e.g., the image is blurry and noisy), however, it is very challenging to recover the true sparse code  $\boldsymbol{\alpha}_x$  from  $\mathbf{y}$ . Using only the local sparsity constraint  $\|\boldsymbol{\alpha}\|_1$  in Eq. (3) may not lead to an accurate enough image reconstruction. On the other hand, it is known that image sparse coding coefficients  $\boldsymbol{\alpha}$  are not randomly distributed due to the local and nonlocal correlations existing in natural images. In Fig. 1, we visualize the sparse coding coefficients of several example images. One can see that

the sparse coding coefficients are correlated, while the strong correlations allow us to develop a much more accurate sparse model by exploiting the local and nonlocal redundancies. Indeed, some recent works, such as [17] and [18], are based on such considerations. For example, in [18] a group sparse coding scheme was proposed to code similar patches simultaneously, and it achieves impressive denoising results.

In this paper we improve the sparse representation performance by proposing a *nonlocally centralized sparse representation* (NCSR) model. To faithfully reconstruct the original image, the sparse code  $\alpha_y$  (refer to Eq. (3)) should be as close as possible to the sparse codes  $\alpha_x$  (refer to Eq. (2)) of the original image. In other words, the difference  $\mathbf{v}_\alpha = \alpha_y - \alpha_x$  (called as sparse coding noise, SCN in short, in this work) should be reduced and hence the quality of reconstructed image  $\hat{\mathbf{x}} = \Phi\alpha_y$  can be improved because  $\hat{\mathbf{x}} - \mathbf{x} \approx \Phi\alpha_y - \Phi\alpha_x = \Phi\mathbf{v}_\alpha$ . To reduce the SCN, we centralize the sparse codes to some good estimation of  $\alpha_x$ . In practice, a good estimation of  $\alpha_x$  can be obtained by exploiting the rich amount of nonlocal redundancies in the observed image.

The proposed NCSR model can be solved effectively by conventional iterative shrinkage algorithm [9], which allows us to adaptively adjust the regularization parameters from a Bayesian viewpoint. The extensive experiments conducted on typical IR problems, including image denoising, deblurring and super-resolution, demonstrate that the proposed NCSR based IR method can achieve highly competitive performance to state-of-the-art denoising methods (e.g., BM3D [17, 40-42], LSSC [18]), and outperforms state-of-the-art image deblurring and super-resolution methods.

The rest of the paper is organized as follows. Section II presents the modeling of NCSR. Section III provides the iterative shrinkage algorithm for solving the NCSR model. Section IV presents extensive experimental results and Section V concludes the paper.

## II. Nonlocally centralized sparse representation (NCSR)

Following the notation used in [19], for an image  $\mathbf{x} \in \mathbb{R}^N$ , let  $\mathbf{x}_i = \mathbf{R}_i\mathbf{x}$  denote an image patch of size  $\sqrt{n} \times \sqrt{n}$  extracted at location  $i$ , where  $\mathbf{R}_i$  is the matrix extracting patch  $\mathbf{x}_i$  from  $\mathbf{x}$  at location  $i$ . Given an dictionary  $\Phi \in \mathbb{R}^{n \times M}$ ,  $n \leq M$ , each patch can be sparsely represented as  $\mathbf{x}_i \approx \Phi\alpha_{x,i}$  by solving an

$l_1$ -minimization problem  $\alpha_{x,i} = \arg \min_{\alpha_i} \{ \|\mathbf{x}_i - \Phi \alpha_i\|_2^2 + \lambda \|\alpha_i\|_1 \}$ . Then the entire image  $\mathbf{x}$  can be represented by the set of sparse codes  $\{\alpha_{x,i}\}$ . The patches can be overlapped to suppress the boundary artifacts, and we obtain a redundant patch-based representation. Reconstructing  $\mathbf{x}$  from  $\{\alpha_{x,i}\}$  is an over-determined system, and a straightforward least-square solution is [19]:  $\mathbf{x} \approx (\sum_{i=1}^N \mathbf{R}_i^T \mathbf{R}_i)^{-1} \sum_{i=1}^N (\mathbf{R}_i^T \Phi \alpha_{x,i})$ . For the convenience of expression, we let

$$\mathbf{x} \approx \Phi \circ \alpha_x = (\sum_{i=1}^N \mathbf{R}_i^T \mathbf{R}_i)^{-1} \sum_{i=1}^N (\mathbf{R}_i^T \Phi \alpha_{x,i}), \quad (4)$$

where  $\alpha_x$  denotes the concatenation of all  $\alpha_{x,i}$ . The above equation is nothing but telling that the overall image is reconstructed by averaging each reconstructed patch of  $\mathbf{x}_i$ .

In the scenario of image restoration (IR), the observed image is modeled as  $\mathbf{y} = \mathbf{H}\mathbf{x} + \nu$ . The sparsity-based IR method recovers  $\mathbf{x}$  from  $\mathbf{y}$  by solving the following minimization problem:

$$\alpha_y = \arg \min_{\alpha} \{ \|\mathbf{y} - \mathbf{H}\Phi \circ \alpha\|_2^2 + \lambda \|\alpha\|_1 \}. \quad (5)$$

The image  $\mathbf{x}$  is then reconstructed as  $\hat{\mathbf{x}} = \Phi \circ \alpha_y$ .

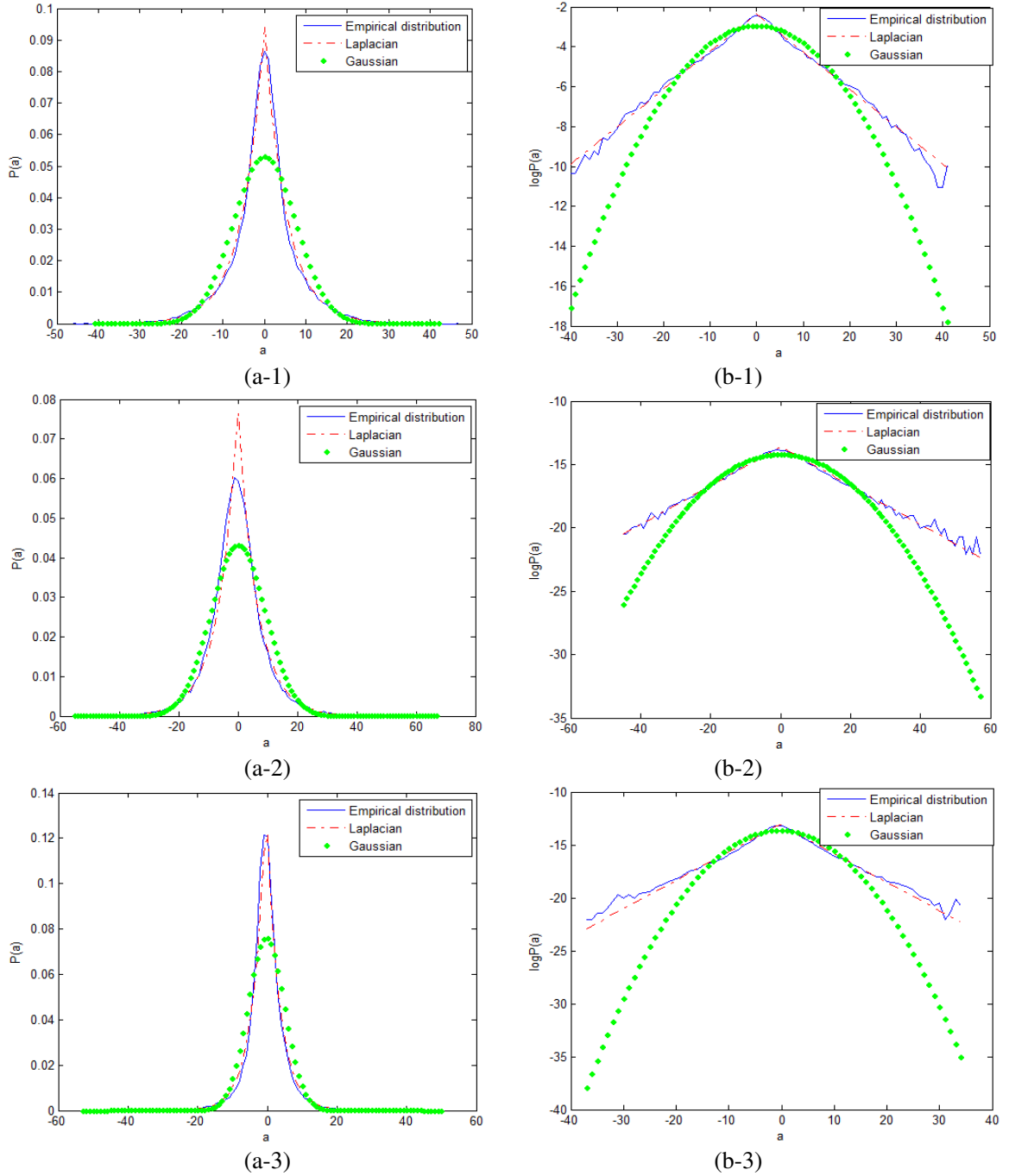
### A. The sparse coding noise

In order for an effective IR, the sparse codes  $\alpha_y$  obtained by solving the objective function in Eq. (5) are expected to be as close as possible to the true sparse codes  $\alpha_x$  of the original image  $\mathbf{x}$ . However, due to the degradation of the observed image  $\mathbf{y}$  (e.g., noisy and blurred), the sparse code  $\alpha_y$  will deviate from  $\alpha_x$ , and the IR quality depends on the level of the sparse coding noise (SCN), which is defined as the difference between  $\alpha_y$  and  $\alpha_x$ :

$$\nu_{\alpha} = \alpha_y - \alpha_x. \quad (6)$$

To investigate the statistical property of SCN  $\nu_{\alpha}$ , we perform some experiments on typical IR problems. We use the image *Lena* as an example. In the first experiment, we add Gaussian white noise to the original image  $\mathbf{x}$  to get the noisy image  $\mathbf{y}$  (the noise level  $\sigma_n=15$ ). Then we compute  $\alpha_x$  and  $\alpha_y$  by solving Eq. (2) and Eq. (5), respectively. The DCT bases are adopted in the experiment. Then the SCN  $\nu_{\alpha}$  is computed. In Fig. 2(a-1), we plot the distribution of  $\nu_{\alpha}$  corresponding to the 4<sup>th</sup> atom in the dictionary. Similarly, in Fig. 2(a-2) and Fig. 2(a-3) we plot the distributions of  $\nu_{\alpha}$  when the observed data  $\mathbf{y}$  is blurred (by a Gaussian blur kernel

with standard deviation 1.6) and down-sampled by factor 3 in both horizontal and vertical directions (after blurred by a Gaussian blur kernel with standard deviation 1.6), respectively. We can see that the empirical distributions of SCN  $\nu_{\alpha}$  can be well characterized by Laplacian distributions, while the Gaussian distributions have much larger fitting errors. To better observe the fitting of the tails, we also plot these distributions in log domain in Figs. 2(b-1)~(b-3). This observation motivates us to model  $\nu_{\alpha}$  with a Laplacian prior, as will be further discussed in Section III-A.



**Figure 2:** The distributions of SCN when the Lena image is (a-1) noisy; (a-2) noisy and blurred; and (a-3) down-sampled. (b-1), (b-2) and (b-3) show the same distributions of (a-1), (a-2) and (a-3) in log domain, respectively.

### ***B. Modeling of nonlocally centralized sparse representation (NCSR)***

The definition of SCN  $\mathbf{v}_\alpha$  indicates that by suppressing the SCN  $\mathbf{v}_\alpha$  we could improve the IR output  $\hat{x}$ . However, the difficulty lies in that the sparse coding vector  $\alpha_x$  is unknown so that  $\mathbf{v}_\alpha$  cannot be directly measured. Nonetheless, if we could have some reasonably good estimation of  $\alpha_x$ , denoted by  $\beta$ , available, then  $\alpha_y - \beta$  can be a good estimation of the SCN  $\mathbf{v}_\alpha$ . To suppress  $\mathbf{v}_\alpha$  and improve the accuracy of  $\alpha_y$ , and thus further improve the objective function of Eq. (5), we can propose the following centralized sparse representation (CSR) model [22]:

$$\alpha_y = \arg \min_{\alpha} \{ \|\mathbf{y} - \mathbf{H}\Phi \circ \alpha\|_2^2 + \lambda \sum_i \|\alpha_i\|_1 + \gamma \sum_i \|\alpha_i - \beta_i\|_p \}, \quad (7)$$

where  $\beta_i$  is some good estimation of  $\alpha_i$ ,  $\gamma$  is the regularization parameter and  $p$  can be 1 or 2. In the above CSR model, while enforcing the sparsity of coding coefficients  $\alpha_i$ , the sparse codes are also centralized to some estimate of  $\alpha_x$  (i.e.,  $\beta$ ) so that SCN  $\mathbf{v}_\alpha$  can be suppressed.

One important issue of sparsity-based IR is the selection of dictionary  $\Phi$ . Conventional analytically designed dictionaries, such as DCT, wavelet and curvelet dictionaries, are insufficient to characterize the so many complex structures of natural images. The universal dictionaries learned from example image patches by using algorithms such as KSVD [27] can better adapt to local image structures. In general the learned dictionaries are required to be very redundant such that they can represent various image local structures. However, it has been shown that sparse coding with an overcomplete dictionary is unstable [43], especially in the scenario of image restoration. In our previous work [21], we cluster the training patches extracted from a set of example images into  $K$  clusters, and learn a PCA sub-dictionary for each cluster. Then for a given patch, one compact PCA sub-dictionary is adaptively selected to code it, leading to a more stable and sparser representation, and consequently better image restoration results. In this paper, we adopt this adaptive sparse domain selection strategy but learn the sub-dictionaries from the given image itself instead of the example images.

We extract image patches from image  $x$  and cluster the patches into  $K$  clusters (typically  $K=70$ ) by using the  $K$ -means clustering method. Since the patches in a cluster are similar to each other, there is no need to learn an over-complete dictionary for each cluster. Therefore, for each cluster we learn a dictionary of PCA bases and use this compact PCA dictionary to code the patches in this cluster. (For the details of PCA

dictionary learning, please refer to [21].) These  $K$  PCA sub-dictionaries construct a large over-complete dictionary to characterize all the possible local structures of natural images.

In the conventional sparse representation models as well as the model in Eq. (7), the local sparsity term  $\|\alpha_i\|_1$  is used to ensure that only a small number of atoms are selected from the over-complete dictionary  $\Phi$  to represent the input image patch. In our algorithm (please refer to **Algorithm 1** in Section III-C), for each patch to be coded, we adaptively select one sub-dictionary from the trained  $K$  PCA sub-dictionaries to code it. This actually enforces the coding coefficients of this patch over the other sub-dictionaries to be 0, leading to a very sparse representation of the given patch. In other words, our algorithm will naturally ensure the sparsity of the coding coefficients, and thus the local sparsity regularization term  $\|\alpha_i\|_1$  can be removed. Hence we propose the following sparse coding model:

$$\alpha_y = \arg \min_{\alpha} \{ \|y - H\Phi \circ \alpha\|_2^2 + \lambda \sum_i \|\alpha_i - \beta_i\|_p \}. \quad (8)$$

There is only one regularization term  $\|\alpha_i - \beta_i\|_p$  in the above model. In the case that  $p=1$ , and the estimate  $\beta_i$  is obtained by using the nonlocal redundancy of natural images, this regularization term will become a nonlocally centralized sparsity term, and we call this model *nonlocally centralized sparse representation* (NCSR). Next let's discuss how to obtain a good estimation  $\beta_i$  of the unknown sparse coding vectors  $\alpha_i$ .

### C. The nonlocal estimate of unknown sparse code

Generally, there can be various ways to make an estimate of  $\alpha_x$ , depending on how much the prior knowledge of  $\alpha_x$  we have. If we have many training images that are similar to the original image  $x$ , we could learn the estimate  $\beta$  of  $\alpha_x$  from the training set. However, in many practical situations the training images are simply not available. On the other hand, the strong nonlocal correlation between the sparse coding coefficients, as shown in Fig. 1, allows us to learn the estimate  $\beta$  from the input data. Based on the fact that natural images often contain repetitive structures, i.e., the rich amount of nonlocal redundancies [31], we search the nonlocal similar patches to the given patch  $i$  in a large window centered at pixel  $i$ . For higher performance, the search of similar patches can also be carried out across different scales at the expense of higher computational complexity, as shown in [32]. Then a good estimation of  $\alpha_i$ , i.e.,  $\beta_i$ , can be computed as the weighted average of those sparse codes associated with the nonlocal similar patches (including patch  $i$ )



to patch  $i$ . For each patch  $\mathbf{x}_i$ , we have a set of its similar patches, denoted by  $\Omega_i$ . Finally  $\beta_i$  can be computed from the sparse codes of the patches within  $\Omega_i$ .

Denote by  $\alpha_{i,q}$  the sparse codes of patch  $\mathbf{x}_{i,q}$  within set  $\Omega_i$ . Then  $\beta_i$  can be computed as the weighted average of  $\alpha_{i,q}$ :

$$\beta_i = \sum_{q \in \Omega_i} \omega_{i,q} \alpha_{i,q}, \quad (9)$$

where  $\omega_{i,q}$  is the weight. Similar to the nonlocal means approach [31], we set the weights to be inversely proportional to the distance between patches  $\mathbf{x}_i$  and  $\mathbf{x}_{i,q}$ :

$$\omega_{i,q} = \frac{1}{W} \exp(-\|\hat{\mathbf{x}}_i - \hat{\mathbf{x}}_{i,q}\|_2^2 / h), \quad (10)$$

where  $\hat{\mathbf{x}}_i = \Phi \hat{\alpha}_i$  and  $\hat{\mathbf{x}}_{i,q} = \Phi \hat{\alpha}_{i,q}$  are the estimates of the patches  $\mathbf{x}_i$  and  $\mathbf{x}_{i,q}$ ,  $h$  is a pre-determined scalar and  $W$  is the normalization factor. In the case of orthogonal dictionaries (e.g., the local PCA dictionaries used in this work), the sparse codes  $\hat{\alpha}_i$  and  $\hat{\alpha}_{i,q}$  can be easily computed as  $\hat{\alpha}_i = \Phi^T \hat{\mathbf{x}}_i$  and  $\hat{\alpha}_{i,q} = \Phi^T \hat{\mathbf{x}}_{i,q}$ .

Our experimental results show that by exploiting the nonlocal redundancies of natural images, we are able to achieve good estimation of the unknown sparse vectors  $\alpha_i$ , and the NCSR model of Eq. (8) can significantly improve the performance of the sparsity-based IR results.

Eq. (8) can be solved iteratively. We first initialize  $\beta_i$  as  $\mathbf{0}$ , i.e.,  $\beta_i^{(-1)} = \mathbf{0}$ , and solve for the sparse coding vector, denoted by  $\alpha_y^{(0)}$ , using some standard sparse coding algorithm. Then we can get the initial estimation of  $\mathbf{x}$ , denoted by  $\mathbf{x}^{(0)}$ , via  $\mathbf{x}^{(0)} = \Phi \circ \alpha_y^{(0)}$ . Based on  $\mathbf{x}^{(0)}$ , we search for the similar patches to each patch  $i$ , and hence the nonlocal estimate of  $\beta_i$  can be updated using Eqs. (9) and (10). The updated estimation of  $\alpha_x$ , denoted by  $\beta_i^{(0)}$ , will then be used to improve the accuracy of the sparse codes and thus improve the IR quality. Such a procedure is iterated until convergence. In the  $l^{\text{th}}$  iteration, the sparse vector is obtained by solving the following minimization problem

$$\alpha_y^{(l)} = \arg \min_{\alpha} \{ \|\mathbf{y} - \mathbf{H} \Phi \circ \alpha\|_2^2 + \lambda \sum_i \|\alpha_i - \beta_i^{(l)}\|_p \}. \quad (11)$$

The restored image is then updated as  $\hat{\mathbf{x}}^{(l)} = \Phi \circ \alpha_y^{(l)}$ . In the above iterative process, the accuracy of sparse coding coefficient  $\alpha_y^{(l)}$  is gradually improved, which in turn improves the accuracy of  $\beta_i$ . The improved  $\beta_i$

are then used to improve the accuracy of  $\alpha_y$ , and so on. Finally, the desired sparse code vector  $\alpha_y$  is obtained when the alternative optimization process falls into a local minimum. The detailed algorithm will be presented in Section III.

### III. Algorithm of NCSR

#### A. Parameters determination

In Eq. (8) or Eq. (11) the parameter  $\lambda$  that balances the fidelity term and the centralized sparsity term should be adaptively determined for better IR performance. In this subsection we provide a Bayesian interpretation of the NCSR model, which also provides us an explicit way to set the regularization parameter  $\lambda$ . In the literature of wavelet denoising, the connection between *Maximum a Posterior* (MAP) estimator and sparse representation has been established, and here we extend the connection from the local sparsity to nonlocally centralized sparsity.

For the convenience of expression, let's define  $\theta = \alpha - \beta$ . For a given  $\beta$ , the MAP estimation of  $\theta$  can be formulated as

$$\begin{aligned} \theta_y &= \arg \max_{\theta} \log P(\theta | \mathbf{y}) \\ &= \arg \max_{\theta} \{\log P(\mathbf{y} | \theta) + \log P(\theta)\}. \end{aligned} \quad (12)$$

The likelihood term is characterized by the Gaussian distribution:

$$P(\mathbf{y} | \theta) = P(\mathbf{y} | \alpha, \beta) = \frac{1}{\sqrt{2\pi}\sigma_n} \exp\left(-\frac{1}{2\sigma_n^2} \|\mathbf{y} - \mathbf{H}\Phi \circ \alpha\|_2^2\right), \quad (13)$$

where  $\theta$  and  $\beta$  are assumed to be independent. In the prior probability  $P(\theta)$ ,  $\theta$  reflects the variation of  $\alpha$  from its estimation  $\beta$ . If we take  $\beta$  as a very good estimation of the sparse coding coefficient of unknown true signal, then  $\theta_y = \alpha_y - \beta$  is basically the SCN associated with  $\alpha_y$ , and we have seen in Fig. 2 that the SCN signal can be well characterized by the Laplacian distribution. Thus, we can assume that  $\theta$  follows i.i.d. Laplacian distribution, and the joint prior distribution  $P(\theta)$  can be modeled as

$$P(\theta) = \prod_i \prod_j \left\{ \frac{1}{\sqrt{2}\sigma_{i,j}} \exp\left(-\frac{|\theta_i(j)|}{\sigma_{i,j}}\right) \right\}, \quad (14)$$

where  $\theta_i(j)$  are the  $j^{\text{th}}$  elements of  $\theta_i$ , and  $\sigma_{i,j}$  is the standard deviations of  $\theta_i(j)$ .

Substituting Eq. (13) and (14) into Eq. (12), we obtain

$$\boldsymbol{\theta}_y = \arg \min_{\boldsymbol{\theta}} \{ \|\mathbf{y} - \mathbf{H}\boldsymbol{\Phi} \circ \boldsymbol{\alpha}\|_2^2 + 2\sqrt{2}\sigma_n^2 \sum_i \sum_j \frac{1}{\sigma_{i,j}} |\boldsymbol{\theta}_i(j)| \}. \quad (15)$$

Hence, for a given  $\boldsymbol{\beta}$  the sparse codes  $\boldsymbol{\alpha}$  can then be obtained by minimizing the following objective function

$$\boldsymbol{\alpha}_y = \arg \min_{\boldsymbol{\alpha}} \{ \|\mathbf{y} - \mathbf{H}\boldsymbol{\Phi} \circ \boldsymbol{\alpha}\|_2^2 + 2\sqrt{2}\sigma_n^2 \sum_i \sum_j \frac{1}{\sigma_{i,j}} |\boldsymbol{\alpha}_i(j) - \boldsymbol{\beta}_i(j)| \}. \quad (16)$$

Compared with Eq. (8), we can see that the  $l_1$ -norm (i.e.,  $p=1$ ) should be chosen to characterize the SCN term  $\boldsymbol{\alpha}_i - \boldsymbol{\beta}_i$ . Comparing Eq. (16) with Eq. (8), we have

$$\lambda_{i,j} = \frac{2\sqrt{2}\sigma_n^2}{\sigma_{i,j}}. \quad (17)$$

In order to have robust estimations of  $\sigma_{i,j}$ , the image nonlocal redundancies can be exploited. In practice, we estimate  $\sigma_{i,j}$  using the set of  $\boldsymbol{\theta}_i$  computed from the nonlocal similar patches.  $\lambda_{i,j}$  is then updated with the updated  $\boldsymbol{\theta}$  in each iteration or in several iterations to save computational cost. Next we present the detailed algorithm of the proposed NCSR scheme.

### ***B. Iterative shrinkage algorithm***

As discussed in Section II, we use an iterative algorithm to solve the NCSR objective function in Eq. (8) or (16). In each iteration, for fixed  $\boldsymbol{\beta}_i$  we solve the following  $l_1$ -norm minimization problem

$$\boldsymbol{\alpha}_y = \arg \min_{\boldsymbol{\alpha}} \{ \|\mathbf{y} - \mathbf{H}\boldsymbol{\Phi} \circ \boldsymbol{\alpha}\|_2^2 + \sum_i \sum_j \lambda_{i,j} |\boldsymbol{\alpha}_i(j) - \boldsymbol{\beta}_i(j)| \}, \quad (18)$$

which is convex and can be solved efficiently. In this paper we adopt the surrogate algorithm in [9] to solve Eq. (18). In the  $(l+1)$ -th iteration, the proposed shrinkage operator for the  $j^{\text{th}}$  element of  $\boldsymbol{\alpha}_i$  is

$$\boldsymbol{\alpha}_i^{(l+1)}(j) = S_{\tau}(\mathbf{v}_{i,j}^{(l)} - \boldsymbol{\beta}_i(j)) + \boldsymbol{\beta}_i(j), \quad (19)$$

where  $S_{\tau}(\cdot)$  is the classic soft-thresholding operator and  $\mathbf{v}^{(l)} = \mathbf{K}^T(\mathbf{y} - \mathbf{K} \circ \boldsymbol{\alpha}^{(l)})/c + \boldsymbol{\alpha}^{(l)}$ , where  $\mathbf{K} = \mathbf{H}\boldsymbol{\Phi}$ ,  $\mathbf{K}^T = \boldsymbol{\Phi}^T \circ \mathbf{H}^T$ ,  $\tau = \lambda_{i,j}/c$ , and  $c$  is an auxiliary parameter guaranteeing the convexity of the surrogate function. The derivation of the above shrinkage operator follows the standard surrogate algorithm in [9]. The interesting readers may refer to [9] for details.

### C. Summary of the algorithm

As we mentioned in Section II-B, in our NCSR algorithm the adaptive sparse domain selection strategy [21] is used to code each patch. We cluster the patches of image  $\mathbf{x}$  into  $K$  clusters and learn a PCA sub-dictionary  $\Phi_k$  for each cluster. For a given patch, we first check which cluster it falls into by calculating its distances to means of the clusters, and then select the PCA sub-dictionary of this cluster to code it. The proposed NCSR based IR algorithm is summarized in **Algorithm 1**.

In **Algorithm 1**, for fixed parameters  $\lambda_{i,j}$  and  $\{\beta_i\}$  the objective function in Eq. (18) is convex and can be efficiently solved by the iterative shrinkage algorithm in the inner loop, and its convergence has been well established in [9]. Since we update the regularization parameter  $\lambda_{i,j}$  and  $\{\beta_i\}$  in every  $J_0$  iterations after solving a sub-optimization problem, **Algorithm 1** is empirically convergent in general, as those presented in [39].

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#### Algorithm 1

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1. Initialization:

- (a) Set the initial estimate as  $\hat{\mathbf{x}} = \mathbf{y}$  for image denoising and deblurring, or initialize  $\hat{\mathbf{x}}$  by bicubic interpolator for image super-resolution;
- (b) Set initial regularization parameter  $\lambda$  and  $\delta$ ;

2. Outer loop (dictionary learning and clustering): iterate on  $l=1,2,\dots,L$

- (a) Update the dictionaries  $\{\Phi_k\}$  via k-means and PCA;

(b) Inner loop (clustering): iterate on  $j=1,2,\dots,J$

- (I)  $\hat{\mathbf{x}}^{(j+1/2)} = \hat{\mathbf{x}}^{(j)} + \delta \mathbf{H}^T (\mathbf{y} - \mathbf{H} \hat{\mathbf{x}}^{(j)})$ , where  $\delta$  is the pre-determined constant;

- (II) Compute  $\mathbf{v}^{(j)} = [\Phi_{k_1}^T \mathbf{R}_1 \hat{\mathbf{x}}^{(j+1/2)}, \dots, \Phi_{k_N}^T \mathbf{R}_N \hat{\mathbf{x}}^{(j+1/2)}]$ , where  $\Phi_{k_i}$  is the dictionary assigned to patch  $\hat{\mathbf{x}}_i = \mathbf{R}_i \hat{\mathbf{x}}^{(j+1/2)}$ ;

- (III) Compute  $\alpha_i^{(j+1)}$  using the shrinkage operator given in Eq. (19);

- (IV) If  $\text{mod}(j, J_0)=0$  update the parameters  $\lambda_{i,j}$  and  $\{\beta_i\}$  using Eqs. (17) and (9), respectively;

- (V) Image estimate update:  $\hat{\mathbf{x}}^{(j+1)} = \Phi \circ \alpha_y^{(j+1)}$  using Eq. (4).
- 

## IV. Experimental results

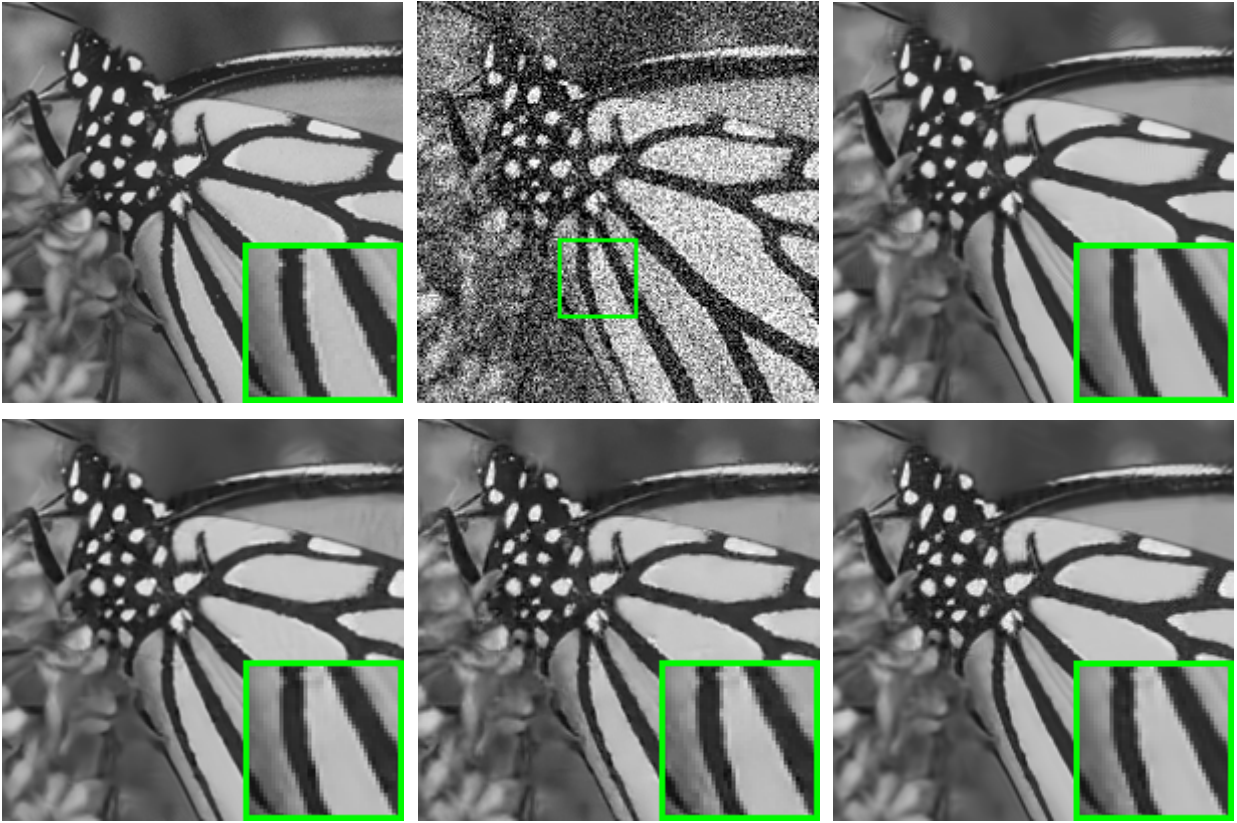
To verify the IR performance of the proposed NCSR algorithm we conduct extensive experiments on image denoising, deblurring and super-resolution. The basic parameter setting of NCSR is as follows: the patch size is  $7 \times 7$  and  $K=70$ . The parameters  $L$ ,  $J$ , and  $\delta$  in **Algorithm 1** are set accordingly for different IR applications. For image denoising,  $\delta=0.02$ ,  $L=3$ , and  $J=3$ ; for image deblurring and super-resolution,  $\delta=2.4$ ,  $L=5$ , and

$J=160$ . To evaluate the quality of the restored images, the PSNR and the recently proposed powerful perceptual quality metric FSIM [33] are calculated. Due to the limited page space, we only show part of the results in this paper, and all the experimental results can be downloaded on the website: <http://www.comp.polyu.edu.hk/~cslzhang/NCSR.htm>.

### A. Image denoising

**Table 1:** The PSNR (dB) results by different denoising methods. In each cell, the results of the four denoising methods are reported in the following order: top left – SAPCA-BM3D [40]; top right – LSSC [18]; bottom left – EPLL [34]; bottom right – NCSR.

$\sigma$	5		10		15		20		50		100	
Lena	<b>38.86</b>	38.68	<b>36.07</b>	35.83	<b>34.43</b>	34.14	<b>33.20</b>	32.88	<b>29.07</b>	28.95	25.37	<b>25.96</b>
	38.52	38.70	35.56	35.81	33.85	34.09	32.60	32.92	28.42	28.89	25.30	25.66
Monarch	<b>38.69</b>	38.53	<b>34.74</b>	34.48	<b>32.46</b>	32.15	<b>30.92</b>	30.58	<b>26.28</b>	25.59	<b>22.31</b>	21.82
	38.22	38.49	34.27	34.57	32.04	32.34	30.48	30.69	25.67	25.68	22.04	22.05
Barbara	38.38	<b>38.44</b>	<b>35.07</b>	34.95	<b>33.27</b>	32.96	<b>31.97</b>	31.53	<b>27.51</b>	27.13	23.05	<b>23.56</b>
	37.56	38.36	33.59	34.98	31.33	33.02	29.75	31.72	24.83	27.10	22.10	23.30
Boat	<b>37.50</b>	37.34	<b>34.10</b>	33.99	<b>32.29</b>	32.17	<b>31.02</b>	30.87	<b>26.89</b>	26.76	23.71	<b>23.94</b>
	36.78	37.35	33.63	33.90	31.89	32.03	30.63	30.74	26.64	26.60	23.78	23.64
C. Man	<b>38.54</b>	38.24	<b>34.52</b>	34.14	<b>32.31</b>	31.96	<b>30.86</b>	30.54	<b>26.59</b>	26.36	22.91	<b>23.14</b>
	38.04	38.17	33.94	34.12	31.73	31.99	30.28	30.48	26.08	26.16	22.87	22.89
Couple	<b>37.60</b>	37.41	<b>34.13</b>	33.96	<b>32.20</b>	32.06	<b>30.83</b>	30.70	<b>26.48</b>	26.31	23.19	<b>23.34</b>
	37.32	37.44	33.78	33.94	31.83	31.95	30.47	30.56	26.22	26.21	<b>23.34</b>	23.22
F. Print	36.67	36.71	32.65	32.57	<b>30.46</b>	30.31	28.97	28.78	<b>24.53</b>	24.21	21.07	21.18
	36.41	<b>36.81</b>	32.13	<b>32.70</b>	29.83	30.46	28.29	<b>28.99</b>	23.58	24.53	19.80	<b>21.29</b>
Hill	<b>37.31</b>	37.16	<b>33.84</b>	33.68	<b>32.06</b>	31.89	<b>30.85</b>	30.71	<b>27.13</b>	26.99	24.10	24.30
	37.00	37.17	33.49	33.69	31.67	31.86	30.47	30.61	26.91	26.86	<b>24.37</b>	24.13
House	<b>40.13</b>	40.00	<b>37.06</b>	37.05	35.31	<b>35.32</b>	<b>34.03</b>	34.16	29.53	<b>29.90</b>	25.20	25.63
	39.04	39.91	35.81	36.80	34.21	35.11	33.08	33.97	28.91	29.63	25.44	<b>25.65</b>
Man	<b>37.99</b>	37.84	<b>34.18</b>	34.03	<b>32.12</b>	31.98	<b>30.73</b>	30.61	<b>26.84</b>	26.72	23.86	<b>24.00</b>
	37.67	37.78	33.90	33.96	31.89	31.89	30.53	30.52	26.63	26.60	23.96	<b>23.97</b>
Peppers	<b>38.30</b>	38.15	<b>34.94</b>	34.80	<b>33.01</b>	32.87	<b>31.61</b>	31.47	<b>26.94</b>	26.87	<b>23.05</b>	23.14
	37.93	38.06	34.51	34.66	32.56	32.70	31.18	31.26	26.60	26.53	22.93	22.64
Straw	35.81	<b>35.92</b>	31.46	31.39	29.13	28.95	<b>27.52</b>	27.36	<b>22.79</b>	22.67	19.42	<b>19.50</b>
	35.36	35.87	30.84	<b>31.50</b>	28.50	<b>29.13</b>	26.93	27.50	22.00	22.48	18.95	19.23
Average	<b>37.98</b>	37.87	<b>34.40</b>	34.24	<b>32.42</b>	32.23	<b>31.04</b>	30.85	<b>26.71</b>	26.54	23.10	<b>23.29</b>
	37.49	37.84	33.79	34.22	31.78	32.21	30.39	30.83	26.04	26.44	22.91	23.14

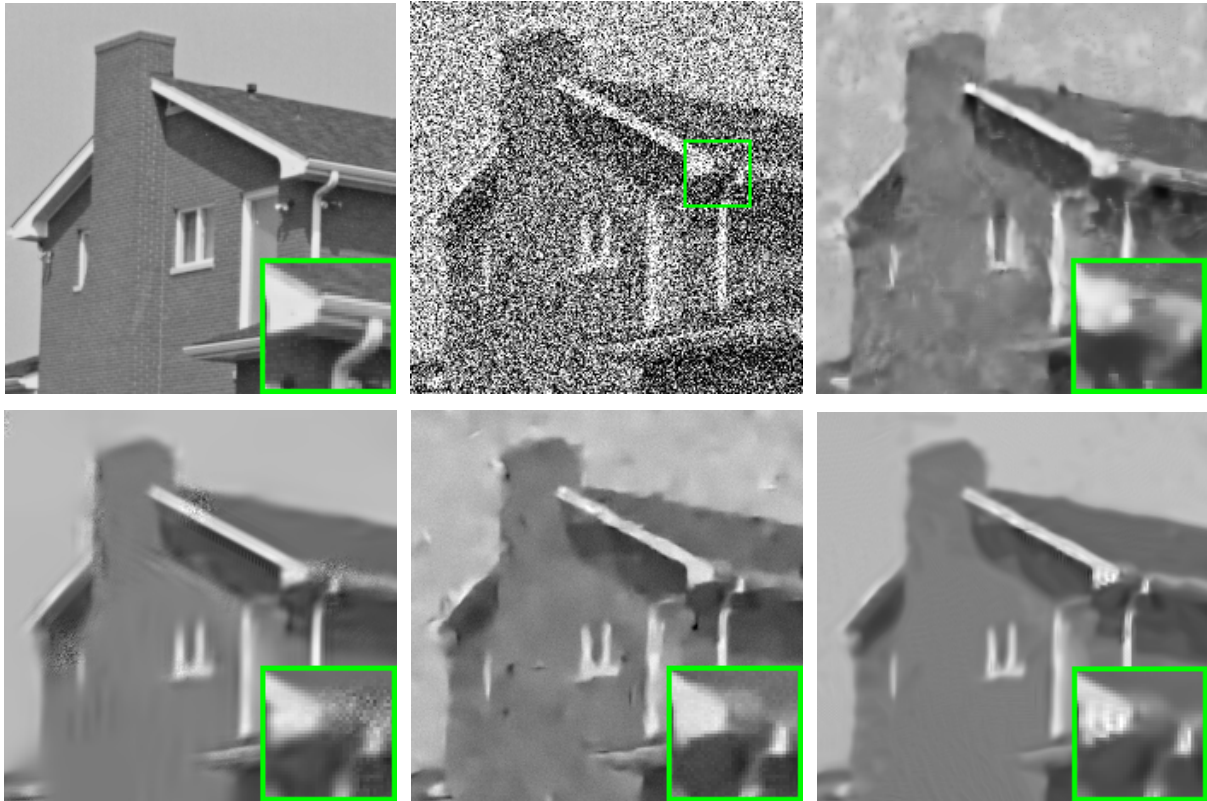


**Figure 3:** Denoising performance comparison on the *Monarch* image with moderate noise corruption. From left to right and top to bottom: original image, noisy image ( $\sigma=20$ ), denoised images by SAPCA-BM3D [40] (PSNR=**30.91** dB; FSIM=**0.9404**), LSSC [18] (PSNR=30.58 dB; FSIM=0.9310), EPLL [34] (PSNR=30.48 dB; FSIM=0.9330), and NCSR (PSNR=30.69 dB, FSIM=0.9316).

We compare the proposed NCSR method with three recently developed state-of-the-art denoising methods, including the shape-adaptive PCA based BM3D (SAPCA-BM3D) [40, 41] (which outperforms the benchmark BM3D algorithm [17]), the learned simultaneously sparse coding (LSSC) method [18] and the expected patch log likelihood (EPLL) based denoising method [34]. A set of 12 natural images commonly used in the literature of image denoising are used for the comparison study. The PSNR results of the test methods are reported in Table 1 (the highest PSNR values among the four are highlighted). Due to the limited space, the FSIM results are not reported here but they are available in the website of this work. From Table 1, we can see that the proposed NCSR achieves highly competitive denoising performance. In term of average PSNR results, NCSR performs almost the same as LSSC, and is slightly lower than SAPCA-BM3D, which is the best among the competitors.

Let's then focus on the visual quality of the denoised images by the four competing methods. In Fig. 3 and Fig. 4 we show the denoising results on two typical images with moderate noise corruption and strong noise corruption, respectively. It can be seen that NCSR is very effective in reconstructing both the smooth

and the texture/edge regions. When the noise level is not very high, as shown in Fig. 3 ( $\sigma=20$ ), all the four competing methods can achieve very good denoising outputs. When the noise level is high, as shown in Fig. 4 ( $\sigma=100$ ), however, the SAPCA-BM3D and EPLL methods tend to generate many visual artifacts. LSSC and NCSR work much better in this case. In particular, the denoised image by the proposed NCSR has much less artifacts than other methods, and is visually more pleasant. More denoised images can be downloaded in the website associated with this paper.



**Figure 4:** Denoising performance comparison on the *House* image with strong noise corruption. From left to right and top to bottom: original image, noisy image ( $\sigma=100$ ), denoised images by SAPCA-BM3D [40] (PSNR=25.20 dB; FSIM=0.8065), LSSC [18] (PSNR=25.63 dB; FSIM=0.8017), EPLL [34] (PSNR=25.44 dB; FSIM= 0.8100), and NCSR (PSNR=**25.65** dB; FSIM=**0.8068**).

## ***B. Image deblurring***

In this sub-section, we conduct experiments to verify the performance of the proposed NCSR method for image deblurring in comparison with some competitive image deblurring methods. The deblurring methods are applied to both the simulated blurred images and real motion blurred images. For the simulated blurred images, the blurred images are generated by first applying a blur kernel and then adding additive Gaussian noise. Two sets of non-blind image deblurring experiments are conducted. First, two commonly used blur

kernels, i.e.,  $9 \times 9$  uniform blur and 2D Gaussian function (non-truncated) with standard deviation 1.6, are used for simulations. Additive Gaussian noise with noise levels  $\sigma_n = \sqrt{2}$  is added to the blurred images. Second, 6 typical non-blind deblurring image experiments presented in [37, 42] are conducted to further test the deblurring performance of the proposed NCSR method under different image blurry conditions. For the real motion blurred images, we borrowed the motion blur kernel estimation method from [35] to estimate the blur kernel and then fed the estimated blur kernel into the NCSR deblurring method. For color images, we only apply the deblurring operation to the luminance component.

**Table 2:** The PSNR (dB) and FSIM results by different deblurring methods.

9×9 uniform blur, $\sigma_n = \sqrt{2}$											
Images	<i>Butterfly</i>	<i>Boats</i>	<i>C. Man</i>	<i>House</i>	<i>Parrot</i>	<i>Lena</i>	<i>Barbara</i>	<i>Starfish</i>	<i>Peppers</i>	<i>Leaves</i>	<i>Average</i>
FISTA [36]	28.37 0.9119	29.04 0.8858	26.82 0.8627	31.99 0.9017	29.11 0.9002	28.33 0.8798	25.75 0.8375	27.75 0.8775	28.43 0.8813	26.49 0.8958	28.21 0.8834
$l_0$ -SPAR [37]	27.10 0.8879	29.86 0.9094	26.97 0.8689	32.98 0.9225	29.34 0.9262	28.72 0.9063	26.42 0.8691	28.11 0.8951	28.66 0.9066	26.30 0.8776	28.44 0.8970
IDD-BM3D [42]	29.21 <b>0.9287</b>	<b>31.20</b> <b>0.9304</b>	28.56 0.9007	<b>34.44</b> 0.9369	31.06 0.9364	29.70 0.9197	27.98 0.9014	29.48 0.9167	29.62 0.9200	29.38 0.9295	30.06 0.9220
ASDS-Reg [21]	28.70 0.9053	30.80 0.9236	28.08 0.8950	34.03 0.9337	31.22 0.9306	29.92 <b>0.9256</b>	27.86 0.9088	29.72 0.9208	29.48 0.9203	28.59 0.9075	29.84 0.9171
NCSR	<b>29.68</b> 0.9271	31.08 0.9294	<b>28.62</b> <b>0.9026</b>	34.31 <b>0.9415</b>	<b>31.95</b> <b>0.9411</b>	<b>29.96</b> 0.9254	<b>28.10</b> <b>0.9117</b>	<b>30.28</b> <b>0.9293</b>	<b>29.66</b> <b>0.9220</b>	<b>29.98</b> <b>0.9341</b>	<b>30.36</b> <b>0.9263</b>
Gaussian blur, $\sigma_n = \sqrt{2}$											
FISTA [36]	30.36 <b>0.9452</b>	29.36 0.9024	26.81 0.8845	31.50 0.8968	31.23 0.9290	29.47 0.9011	25.03 0.8415	29.65 0.9256	29.42 0.9057	29.36 0.9393	29.22 0.9071
IDD-BM3D [42]	30.73 0.9442	<b>31.68</b> <b>0.9426</b>	28.17 <b>0.9136</b>	<b>34.08</b> <b>0.9359</b>	32.89 0.9561	<b>31.45</b> <b>0.9430</b>	27.19 0.8986	31.66 0.9496	29.99 <b>0.9373</b>	31.40 <b>0.9512</b>	30.92 <b>0.9372</b>
ASDS-Reg [21]	29.83 0.9126	30.27 0.9064	27.29 0.8637	31.87 0.8978	32.93 0.9576	30.36 0.9058	27.05 0.8881	31.91 0.9491	28.95 0.9039	30.62 0.9304	30.11 0.9115
NCSR	<b>30.84</b> 0.9381	31.49 0.9371	<b>28.34</b> 0.9078	33.63 0.9333	<b>33.39</b> <b>0.9587</b>	31.26 0.9389	<b>27.91</b> <b>0.9088</b>	<b>32.27</b> <b>0.9551</b>	<b>30.16</b> 0.9331	<b>31.57</b> <b>0.9508</b>	<b>31.09</b> 0.9362

We compared the NCSR deblurring method with four state-of-the-art deblurring methods, including the constrained TV deblurring (denoted by FISTA) method [36], the  $l_0$ -sparsity based deblurring (denoted by  $l_0$ -SPAR) method [37], the IDD-BM3D deblurring method [42], and the adaptive sparse domain selection method (denoted by ASDS-Reg) [21]. Note that FISTA is a TV-based deblurring approach that can well reconstruct the piecewise smooth regions but often fail to recover fine image details. The  $l_0$ -SPAR is a sparsity-based deblurring method where a fixed sparse domain is used. (Since  $l_0$ -SPAR does not work well for Gaussian blur kernel, we do not present its deblurring results for Gaussian blur kernel in Table 2). The recently proposed IDD-BM3D method is an improved version of BM3D deblurring method [20], and



ASDS-Reg is a very competitive sparsity-based deblurring method with adaptive sparse domain selection.

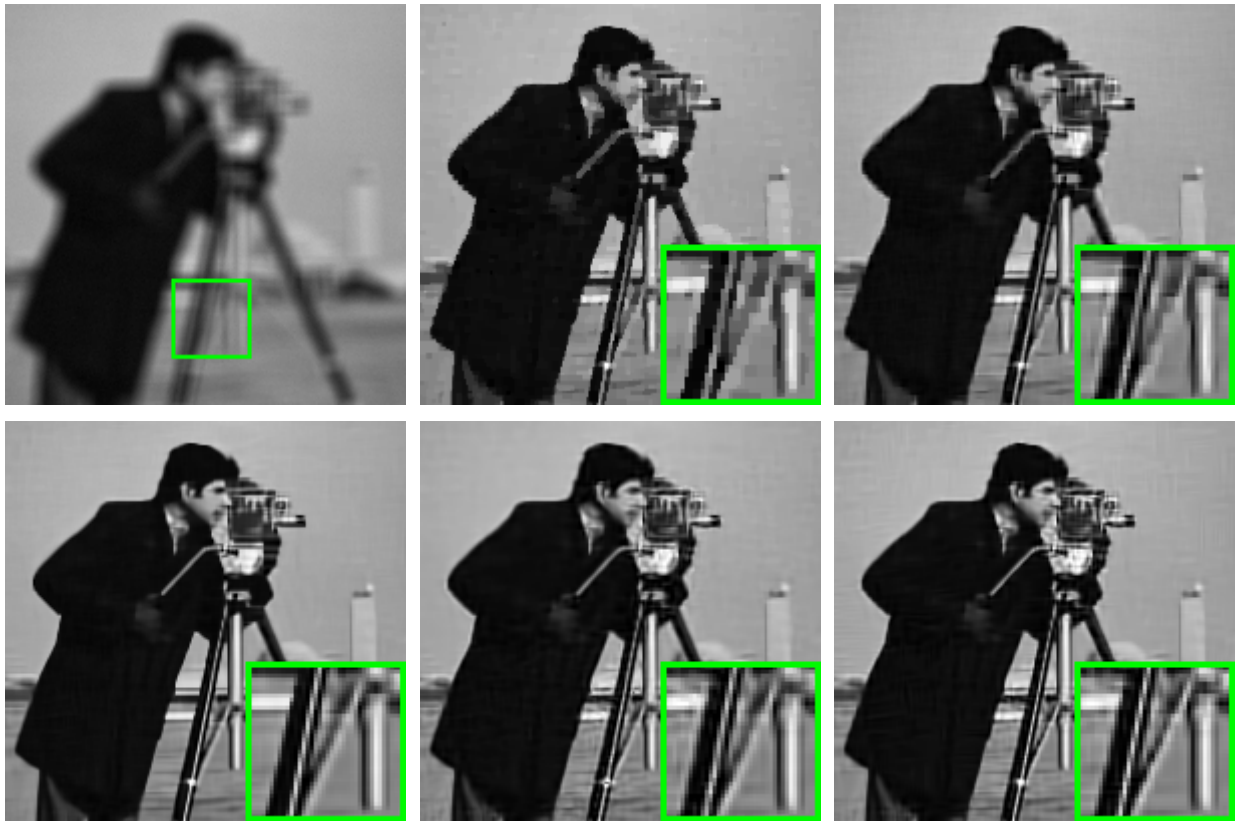
The PSNR and FSIM results on a set of 10 photographic images are reported in Table 2. From Table 2, we can conclude that the proposed NCSR deblurring method outperforms much the other competing methods. In average NCSR outperforms IDD-BM3D by 0.3 dB and 0.17 dB for the uniform blur and Gaussian blur, respectively. The NCSR also outperforms ASDS-Reg in average by 0.52 dB and 0.98 dB for the two different kernels, respectively. The visual comparisons of the deblurring methods are shown in Figs. 5-6, from which we can see that the NCSR method produces much cleaner and sharper image edges and textures than other methods.

Table 3 Comparison of the PSNR (dB) results of the deblurring methods.

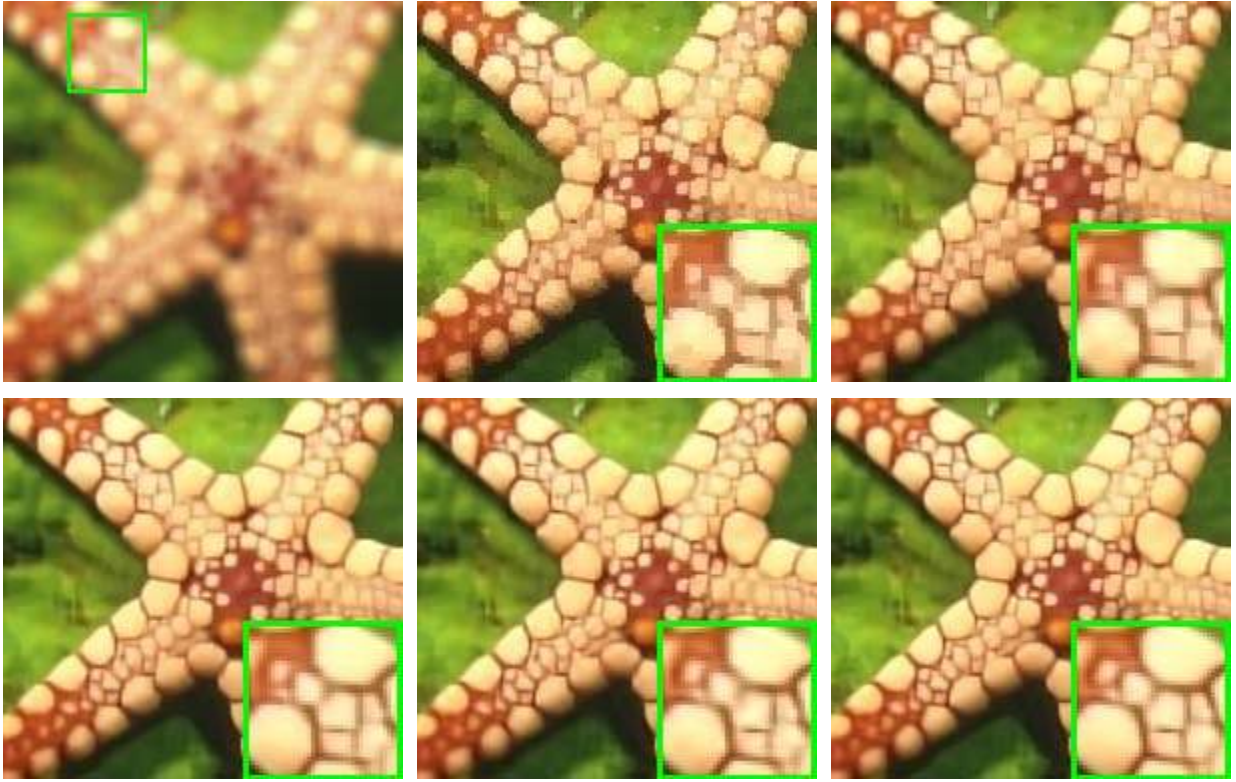
	Scenario						Scenario					
	1	2	3	4	5	6	1	2	3	4	5	6
Method	Cameraman (256×256)						House (256×256)					
BSNR	31.87	25.85	40.00	18.53	29.19	17.76	29.16	23.14	40.00	15.99	26.61	15.15
Input PSNR	22.23	22.16	20.76	24.62	23.36	29.82	25.61	25.46	24.11	28.06	27.81	29.98
TVMM [7]	7.41	5.17	8.54	2.57	3.36	1.30	7.98	6.57	10.39	4.12	4.54	2.44
L0-Spar [37]	7.70	5.55	9.10	2.93	3.49	1.77	8.40	7.12	11.06	4.55	4.80	2.15
IDD-BM3D[42]	<b>8.85</b>	<b>7.12</b>	<b>10.45</b>	<b>3.98</b>	4.31	<b>4.89</b>	9.95	<b>8.55</b>	12.89	5.79	<b>5.74</b>	<b>7.13</b>
NCSR	8.78	6.69	10.33	3.78	<b>4.60</b>	4.50	<b>9.96</b>	8.48	<b>13.12</b>	<b>5.81</b>	5.67	6.94
	Lena (512×512)						Barbara (512×512)					
BSNR	29.89	23.87	40.00	16.47	27.18	15.52	30.81	24.79	40.00	17.35	28.07	16.59
Input PSNR	27.25	27.04	25.84	28.81	29.16	30.03	23.34	23.25	22.49	24.22	23.77	29.78
TVMM [7]	6.36	4.98	7.47	3.52	3.61	2.79	3.10	1.33	3.49	0.41	0.75	0.59
L0-Spar [37]	6.66	5.71	7.79	4.09	4.22	1.93	3.51	1.53	3.98	0.73	0.81	1.17
IDD-BM3D[42]	7.97	<b>6.61</b>	8.91	<b>4.97</b>	4.85	<b>6.34</b>	7.64	<b>3.96</b>	<b>6.05</b>	1.88	1.16	5.45
NCSR	<b>8.03</b>	6.54	<b>9.25</b>	4.93	<b>4.86</b>	6.19	<b>7.76</b>	3.64	5.92	<b>2.06</b>	<b>1.43</b>	<b>5.50</b>

The PSNR results for the 6 typical deblurring experiments presented in [37, 42] are reported in Table 3. For fair comparison, the PSNR results of other competing methods are direct obtained from [42]. We optimize the parameters of the proposed deblurring method for each experiment. From Table 3, we can see that both the IDD-BM3D method of [42] and the proposed NCSR method can achieve significant PSNR improvement over other competing methods. Parts of the deblurred *Barbara* image (scenario 4) by the competing methods are shown in Fig. 7. We can see that IDD-BM3D and the proposed NCSR method can better recover the fine textures than other competing methods. Moreover, the textures recovered by the proposed NCSR method are better than those by the IDD-BM3D method.

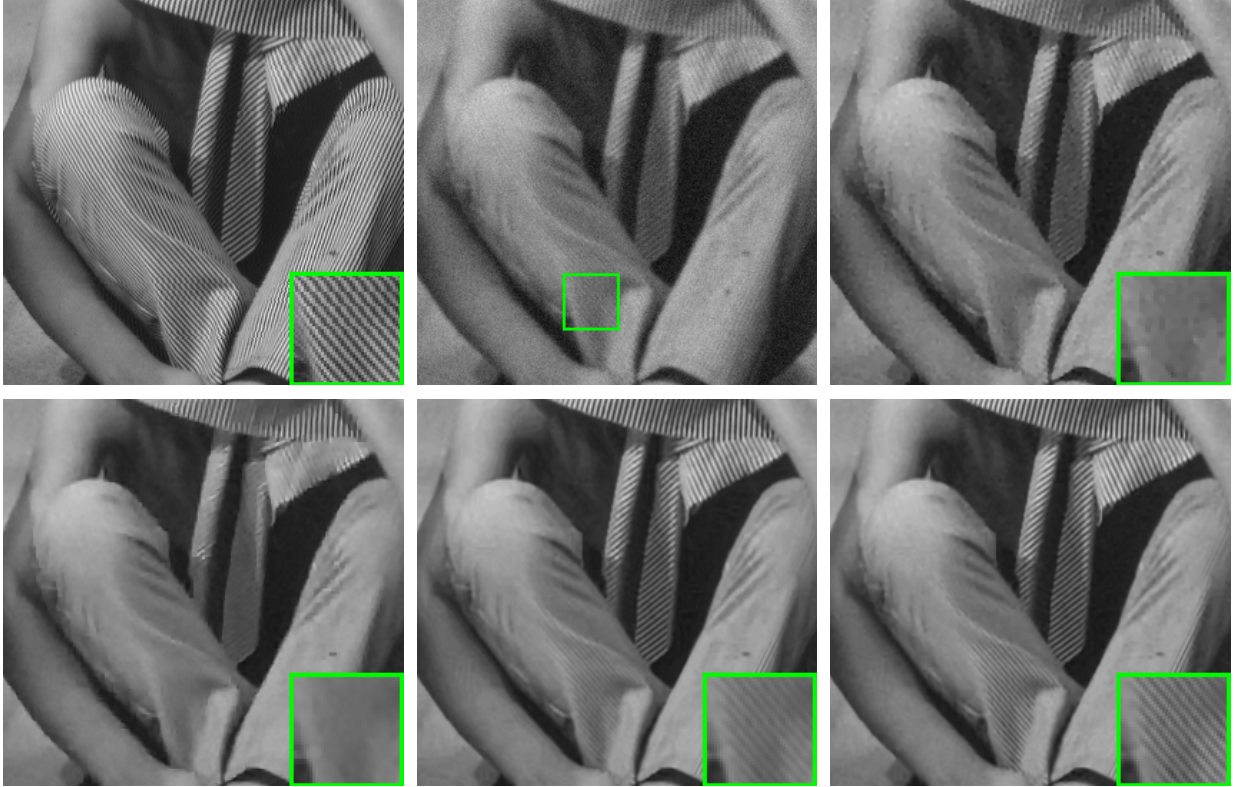
We also test the proposed NCSR deblurring method on real motion blurred images. Since the blur kernel estimation is a non-trivial task, we borrowed the kernel estimation method from [35] to estimate the blur kernel and apply the estimated blur kernel in NCSR to restore the original images. In Fig. 8 we present the deblurring results of two real blurred images by the blind deblurring method of [35] and the proposed NCSR method. We can see that the images restored by our approach are much clearer and much more details are recovered. Considering that the estimated kernel will have bias from the true unknown blurring kernel, these experiments validate that NCSR is robust to the kernel estimation errors. More motion deblurring results can be found in the website of this paper.



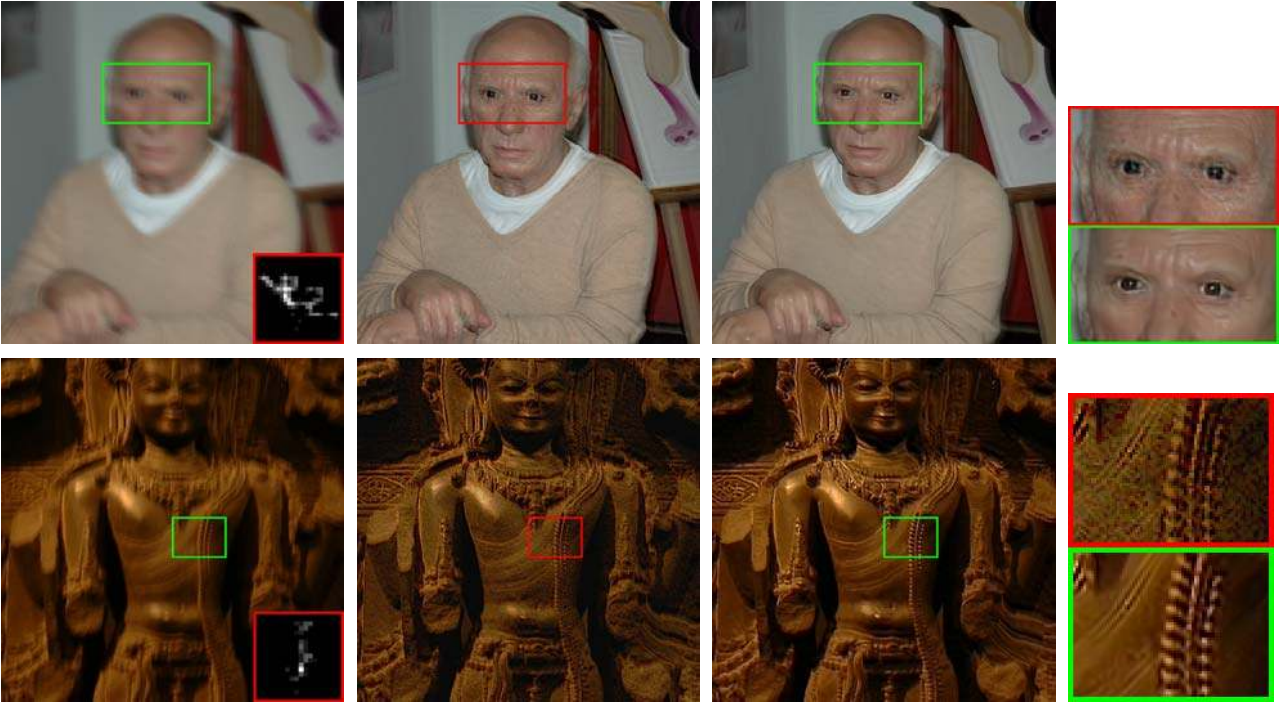
**Figure 5:** Deblurring performance comparison on the *Cameraman* image. From left to right and top to bottom: noisy and blurred image ( $9 \times 9$  uniform blur,  $\sigma_n = \sqrt{2}$ ), the deblurred images by FISTA [36] (PSNR=26.82dB; FSIM=0.8627),  $l_0$ -SPAR [37] (PSNR=28.56dB; FSIM= 0.9007), IDD-BM3D [42] (PSNR=28.56dB; FSIM=0.9007), ASDS-Reg [21] (PSNR=28.08dB; FSIM=0.8950), and the proposed NCSR (PSNR=28.62dB; FSIM= **0.9026**).



**Figure 6:** Deblurring performance comparison on the *Starfish* image. From left to right and top to bottom: noisy and blurred image ( $9 \times 9$  uniform blur,  $\sigma_n = \sqrt{2}$ ), the deblurred images by FISTA [36] (PSNR=27.75dB; FSIM=0.8775),  $l_0$ -SPAR [37] (PSNR=28.11dB; FSIM=0.8951), IDD-BM3D [42] (PSNR=29.48dB; FSIM=0.9167), ASDS-Reg [21] (PSNR=29.72 dB; FSIM=0.9208), and the proposed NCSR (PSNR=**30.28**dB; FSIM=**0.9293**).



**Figure 7:** Deblurring performance comparison on the *Barbara* (512x512) image. From left to right and top to bottom: original image, noisy and blurred image (scenario 4:  $\text{PSF} = [1 \ 4 \ 6 \ 4 \ 1]^T [1 \ 4 \ 6 \ 4 \ 1] / 256$ ,  $\sigma_n = 7$ ), the deblurred images by TVMM [7] (PSNR=24.63dB),  $l_0$ -SPAR [37] (PSNR=24.95dB), IDD-BM3D [42] (PSNR=26.10dB), and the proposed NCSR (PSNR=**26.28**dB).



**Figure 8:** Deblurring performance comparison on real motion blurred images with the blur kernel estimated using the kernel estimation approach from [35]. From left to right: motion blurred image, deblurred image by [35], deblurred image by proposed NCSR, and close-up views.

### C. Image super-resolution

In image super-resolution, the low-resolution (LR) image is obtained by first blurring the high-resolution (HR) image with a blur kernel and then downsampling by a scaling factor. Hence, recovering the HR image from a single LR image is more severely underdetermined than image deblurring. In this subsection, we test the proposed NCSR based IR for image super-resolution. The simulated LR image is generated by first blurring an HR image with a  $7 \times 7$  Gaussian kernel with standard deviation 1.6, and then downsampling the blurred image by a scaling factor 3 in both horizontal and vertical directions. The additive Gaussian noises of standard deviation 5 are also added to the LR images, making the IR problem more challenging. Since human visual system is more sensitive to luminance changes, we only apply the IR methods to the luminance component and use the simple bicubic interpolator for the chromatic components.

We compare the proposed NCSR approach with three recently developed image super-resolution methods, including the TV-based method [26], the sparse representation based method [23], and the ASDS-Reg method [21]<sup>2</sup>. Since the sparsity-based method in [23] cannot perform the resolution upscaling

<sup>2</sup> We thank the authors of [18], [21], [23], [34-37], [40-42] for providing their source codes or experimental results. The code associated with this work will be available online.

and deblurring simultaneously, as suggested by the authors [23] we apply the iterative back-projection [38] to the output of method [23] to remove the blur.

The PSNR results of the test methods on a set of 9 natural images are reported in Table 4, from which we can conclude that the proposed NCSR approach significantly outperforms the TV [26] and sparsity-based methods [23]. In average the NCSR approach also outperforms the state-of-the-art ASDS-Reg method [21] by up to 0.25 dB and 0.32 dB for the noiseless and noisy cases, respectively. This demonstrates the superiority of the NCSR method for image inverse problems. The subjective comparison between the NCSR and other methods are shown in Figs. 9~11. We can see that the TV-based method [26] tends to generate piecewise constant structures; the image edges reconstructed by the sparsity-based method [23] contain some visible artifacts. Obviously, the NCSR approach reconstruct the best visually pleasant HR images. The reconstructed edges are much sharper than all the other three competing methods, and more image fine structures are recovered.



**Figure 9:** Image super-resolution performance comparison on *Plant* image (scaling factor 3,  $\sigma_n=0$ ). From left to right and top to bottom: original image, LR image, the reconstructed images by TV [26] (PSNR=31.34dB; FSIM=0.8909), sparsity-based [23] (PSNR=31.55dB; FSIM=0.8964), ASDS-Reg [21] (PSNR=33.44dB; FSIM=0.9242), and the proposed NCSR (PSNR= 34.00dB; FSIM= 0.9369).



**Figure 10:** Image super-resolution performance comparison on *Monarch* image (scaling factor 3,  $\sigma_i=0$ ). From left to right and top to bottom: original image, LR image, the reconstructed images by TV [26] (PSNR=26.57dB; FSIM=0.8970), sparsity-based [23] (PSNR=24.70dB; FSIM=0.7995), ASDS-Reg [21] (PSNR=27.28dB; FSIM=0.8789) and the proposed NCSR (PSNR= **28.10** dB; FSIM=**0.9031**).



**Figure 11:** Image super-resolution performance comparison on *Parrot* image (scaling factor 3,  $\sigma_i=5$ ). From left to right and top to bottom: original image, LR image, the reconstructed images by TV [26] (PSNR=27.01dB; FSIM=0.8562), sparsity-based [23] (PSNR=27.15dB; FSIM=0.8632), ASDS-Reg [21] (PSNR=29.01dB; FSIM=0.9182), and the proposed NCSR (PSNR= **29.51**dB; FSIM= **0.9210**).

**Table 4:** PSNR (dB) and FSIM results (luminance components) of the reconstructed HR images.

	<i>Noiseless</i>									
Images	<i>Butterfly</i>	<i>flower</i>	<i>Girl</i>	<i>Parthenon</i>	<i>Parrot</i>	<i>Raccoon</i>	<i>Bike</i>	<i>Hat</i>	<i>Plants</i>	<i>Average</i>
TV [26]	26.56 0.8970	27.51 0.8295	31.24 0.8598	26.00 0.7701	27.85 0.9081	27.54 0.7950	23.66 0.8032	29.20 0.8623	31.34 0.8909	27.88 0.8462
Sparsity [23]	24.70 0.7995	27.87 0.8611	32.87 0.8989	26.27 0.8018	28.70 0.9233	28.51 0.8490	23.23 0.8138	29.63 0.8705	31.55 0.8964	28.15 0.8571
ASDS-Reg [21]	27.28 0.8789	29.33 0.8960	33.50 0.9117	27.00 0.8235	30.45 0.9421	29.25 0.8750	24.67 0.8566	30.99 0.9018	33.44 0.9242	29.55 0.8900
NCSR	<b>28.10</b> <b>0.9031</b>	<b>29.50</b> <b>0.9004</b>	<b>33.65</b> <b>0.9210</b>	<b>27.19</b> <b>0.8367</b>	<b>30.50</b> <b>0.9452</b>	<b>29.28</b> <b>0.8796</b>	<b>24.74</b> <b>0.8606</b>	<b>31.27</b> <b>0.9093</b>	<b>34.00</b> <b>0.9369</b>	<b>29.80</b> <b>0.8992</b>
	<i>Noisy</i>									
TV [26]	25.49 0.8707	26.57 0.8147	29.86 0.8342	25.35 0.7706	27.01 0.8562	26.74 0.7888	23.11 0.7890	28.13 0.8340	29.70 0.8561	26.88 0.8238
Sparsity [23]	23.61 0.7764	26.60 0.8208	30.71 0.8685	25.40 0.7814	27.15 0.8632	27.22 <b>0.8243</b>	22.45 0.7807	28.31 0.8237	29.57 0.8524	26.78 0.8213
ASDS-Reg [21]	26.06 0.8530	27.83 <b>0.8601</b>	31.87 <b>0.8731</b>	26.22 <b>0.7904</b>	29.01 0.9182	<b>28.01</b> 0.8202	23.62 <b>0.8200</b>	29.61 0.8630	31.18 0.8837	28.16 0.8535
NCSR	<b>26.86</b> <b>0.8860</b>	<b>28.08</b> 0.8633	<b>32.03</b> 0.8741	<b>26.38</b> 0.7969	<b>29.51</b> <b>0.9210</b>	28.03 0.8113	<b>23.80</b> 0.8241	<b>29.94</b> <b>0.8700</b>	<b>31.73</b> <b>0.8955</b>	<b>28.48</b> <b>0.8602</b>

## V. Conclusion

In this paper we presented a novel nonlocally centralized sparse representation (NCSR) model for image restoration. The sparse coding noise (SCN), which is defined as the difference between the sparse code of the degraded image and the sparse code of the unknown original image, should be minimized to improve the performance of sparsity-based image restoration. To this end, we proposed a centralized sparse constraint, which exploits the image nonlocal redundancy, to reduce the SCN. The Bayesian interpretation of the NCSR model was provided and this endows the NCSR model an iteratively reweighted implementation. An efficient iterative shrinkage function was presented for solving the  $l_1$ -regularized NCSR minimization problem. Experimental results on image denoising, deblurring and super-resolution demonstrated that the NCSR approach can achieve highly competitive performance to other leading denoising methods, and outperform much other leading image deblurring and super-resolution methods.

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