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# Non-redundant and complementary functions of adaptor proteins TRAF2 and TRAF3 in a ubiquitination cascade that activates NIKdependent alternative NF-kB signaling

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# Abstract

The adaptor and signaling proteins TRAF2, TRAF3 and cIAP1 and cIAP2 were suggested to inhibit alternative nuclear factor kappa B (NF- $\kappa$ B) signaling in resting cells by targeting NF- $\kappa$ B inducing kinase (NIK) to ubiquitin-dependent degradation, thus preventing processing of the NF- $\kappa$ B2 precursor protein p100 to release p52. However, the respective functions of TRAF2 and TRAF3 in NIK degradation and activation of alternative NF- $\kappa$ B signaling has remained elusive. We now show that CD40 or BAFF receptor activation resulted in TRAF3 degradation in a cIAP1-cIAP2- and TRAF2- dependent way due to enhanced cIAP1, cIAP2 TRAF3-directed ubiquitin ligase activity. Receptor-induced activation of cIAP1 and cIAP2 correlated with their K63-linked ubiquitination by TRAF2. Degradation of TRAF3 prevented association of NIK with the cIAP1-cIAP2-TRAF2 ubiquitin ligase complex, which resulted in NIK stabilization and NF- $\kappa$ B2-p100 processing. Constitutive activation of this pathway causes perinatal lethality and lymphoid defects.

# Introduction

The Mammalian Rel-NF- $\kappa$ B family of transcription factors play important roles in innate and adaptive immune responses, inflammation, cell survival and cancer<sup>1, 2</sup>. In resting cells, inhibitory I $\kappa$ B molecules bind NF- $\kappa$ B dimers via ankyrin repeats and retain them in the cytoplasm<sup>1</sup>. The I $\kappa$ B kinase (IKK) complex, comprised of the catalytic subunits IKK $\alpha$  and IKK $\beta$  and the regulatory subunit IKK $\gamma$  (NEMO), controls NF- $\kappa$ B activation by phosphorylating I $\kappa$ Bs and targeting them to lysine (K) 48-linked polyubiquitination by the ubiquitin ligase (E3) SCF<sup>I $\kappa$ B</sup>, thereby inducing I $\kappa$ B proteasomal degradation<sup>3</sup>. This pathway, known as the classical or canonical NF- $\kappa$ B signaling pathway, mostly affects p50-RelA and p50-c-Rel heterodimers<sup>1</sup>. However, the *Nf\kappab2* gene product p100, which contains I $\kappa$ B-like ankyrin repeats in its C-terminal region, is regulated through the alternative NF- $\kappa$ B signaling pathway<sup>4</sup>. In this pathway, p100, which is mainly associated with RelB in non-stimulated cells<sup>1</sup>, is phosphorylated by IKK $\alpha$  at its C-terminal region<sup>4</sup>, leading to K48-linked polyubiquitination also by the SCF<sup>I $\kappa$ B</sup> complex<sup>5</sup>. In this case, however, K48-linked polyubiquitination results in limited proteolysis of the C-terminal ankyrin repeats and release

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of the N-terminal p52 protein subunit that then forms heterodimers with RelB (p52-RelB)<sup>6</sup>. This alternative pathway is activated by lymphorganogenic cytokines, such as lymphotoxin (LT)  $\alpha$ - $\beta$  heterotrimers and B-cell survival and maturation factors, such as CD40 ligand (CD40L) and BAFF<sup>7-10</sup>. An important component of this pathway is the protein kinase NIK (encoded by *Map3k14*), which activates IKK $\alpha$ <sup>4</sup>, 6, 8

LTα-β, CD40L and BAFF belong to the tumor necrosis factor (TNF) superfamily, whose members signal via trimeric receptors (TNF receptors or TNFRs) and signaling proteins called TNFR associated factors (TRAFs)<sup>11, 12</sup>, that are key intermediates in both the classical and alternative NF- $\kappa$ B signaling pathways<sup>12, 13</sup>. The TRAFs share a C-terminal TRAF domain that mediates oligomerization as well as receptor binding and a variable number of Zinc (Zn) fingers within their N-terminal portion<sup>11, 14</sup>. TRAF2-6 also contain an N-terminal RING finger that is critical for E3 activity, which catalyzes formation of non-degradative K63-linked polyubiquitin chains<sup>13</sup>, a function demonstrated most clearly for TRAF2<sup>15</sup> and TRAF6<sup>16</sup>. In the context of TNF receptor 1 (TNFR1)-activated classical NF-κB signaling, TRAF2 and TRAF5 act redundantly 17, but the exact targets for their E3 activity remain contentious 12. TRAF2 and TRAF6 are both involved in CD40-induced classical NF- $\kappa$ B activation<sup>11, 18</sup>, and TRAF6 is a critical mediator of NF-kB signaling downstream of Toll-like and interleukin 1 (IL-1) receptors (TLR-IL-1R)<sup>19</sup>. Although, some of these receptors, e.g, TLR4, engage TRAF3 to activate interferon (IFN) signaling, TRAF3 does not directly contribute to classical NF- $\kappa$ B signaling<sup>20</sup>. TRAF2, 5 and 6 also activate NF- $\kappa$ B signaling upon overexpression<sup>11</sup>,  $^{21, 22}$ , an activity not exhibited by TRAF3<sup>20</sup>. Recently, TRAF3 was found to act as a negative regulator of TRAF2- and TRAF6-dependent MAP kinase (MAPK) activation<sup>23</sup>. These results strongly suggest that TRAF2 and TRAF3 have distinct signaling functions and activities.

Curiously, while TRAF2 ablation in B-cells attenuates classical NF- $\kappa$ B signaling, it constitutively activates the alternative pathway<sup>24</sup>. A similar phenotype is seen upon TRAF3 ablation, although TRAF3 does not directly impact classical NF- $\kappa$ B signaling<sup>25</sup>. Based on constitutive NF- $\kappa$ B2-p100 processing and presence of nuclear p52-RelB in TRAF2- or TRAF3- deficient cells, both molecules were described as "inhibitors" of alternative NF- $\kappa$ B signaling<sup>26</sup>. Activation of the alternative pathway was suggested to depend on receptor-induced TRAF3 degradation and stabilization of NIK<sup>27</sup>. However, ablation of TRAF2 also results in NIK accumulation<sup>28</sup>. Since TRAF2 is an E3 ligase and TRAF3 is similar in structure to TRAF2, it was assumed that both TRAFs target NIK to ubiquitin-dependent proteasomal degradation in non-stimulated cells<sup>26–28</sup>. However, the finding of activated alternative NF- $\kappa$ B signaling upon ablation of either TRAF2 or TRAF3 suggests that each of these molecules has a unique function that is not provided by the remaining family member.

The critical role of TRAF3 in NIK protein turnover is underscored by identification of TRAF3 mutations in human multiple myeloma (MM) that elevate NIK expression and activate p100 processing<sup>29, 30</sup>. Deregulated alternative NF- $\kappa$ B signaling also accounts for the postnatal lethality associated with TRAF3 ablation<sup>25</sup>. We noted that *Traf2<sup>-/-</sup>* mice, which also die perinatally<sup>31</sup>, resemble *Traf3<sup>-/-</sup>* mice<sup>32</sup> and therefore we began to investigate the relative functions of these TRAFs in alternative NF- $\kappa$ B signaling. In particular, we became interested in the relationships between TRAF2 and TRAF3 and two other RING-containing components of the alternative pathway, cIAP1 and cIAP2, which belong to the IAP (inhibitors of apoptosis) family, characterized by presence of one or more BIR domains<sup>28–30, 33, 34</sup>. Many IAPs have anti-apoptotic functions, but their mechanisms of action are diverse<sup>33</sup>. The anti-apoptotic function of cIAP1 and cIAP2 is inhibited upon release of mitochondrial protein Diablo (Smac), which may serve both as an activator and a substrate of the E3 activity of these cIAPs<sup>35</sup>. Accordingly, Diablo mimics that bind to the same sites on cIAP1 and cIAP2 as does Diablo induce cIAP1 and cIAP2 self-ubiquitination and proteasomal degradation and stabilization of NIK <sup>28, 34, 36</sup>, leading to the conclusion that cIAP1 and cIAP2 are required for NIK

Page 3

turnover<sup>28, 34</sup>. This possibility is supported by the finding that in MM, in which the linked genes encoding cIAP1 (*BIRC2*) and cIAP2 (*BIRC3*) are deleted, cells exhibit NIK accumulation and constitutive p100 processing<sup>29, 30</sup>. It was also observed that cIAP1 is degraded upon stimulation of melanoma cells with TWEAK, a TNF family member that activates alternative NF-κB signaling, leading to the suggestion that the first step in this pathway is receptor-induced cIAP degradation<sup>34</sup>. However, the exact roles of TRAF2, TRAF3 and cIAP1 and cIAP2 ('cIAP1-cIAP2') in CD40- or BAFF-R-induced alternative NF-κB signaling remained unclear.

We now show that  $Traf2^{-/-}$  mice die postnatally and exhibit lymphoid defects due to constitutive NIK signaling, just like  $Traf3^{-/-}$  mice. Yet, despite their nearly identical phenotypes, TRAF2 and TRAF3 have distinct signaling functions. TRAF2 is required for cytokine-induced TRAF3 degradation that is dependent on cIAP1-cIAP2, which act as receptor-activated TRAF3-directed ubiquitin ligases. Receptor-induced activation of cIAP1-cIAP2 depends on TRAF2, which acts as a K63-specific E3 for the cIAPs. TRAF3 itself is an adaptor that links a ubiquitin ligase complex consisting of TRAF2 and cIAP1- cIAP2 to NIK. We suggest that the first step in alternative NF- $\kappa$ B signaling triggered by CD40 or BAFF-R is TRAF2-dependent cIAP1-cIAP2 activation leading to TRAF3 degradation and disruption of the cIAP1-cIAP2-TRAF3-NIK complex.

# Results

# Deletion of NIK prevents postnatal lethality of Traf2<sup>-/-</sup> mice

The postnatal phenotypes of  $Traf2^{-/-}$  and  $Traf3^{-/-}$  mice are similar<sup>31, 32</sup>. Since TRAF3 deficiency was proposed to cause postnatal lethality dependent on constitutive alternative NF- $\kappa$ B signaling that is rescuable by deletion of the *Nfkb2*<sup>25</sup>, we examined whether TRAF2 ablation acts similarly and generated mice deficient in both TRAF2 and NIK. For comparison, we generated  $Traf3^{-/-}$  mice also deficient in NIK ( $Traf3^{-/-}Map3k14^{-/-}$ ). Deletion of only one *Map3k14* allele prevented postnatal lethality and the runted stature of  $Traf2^{-/-}$  mice, similar to the obligatory (we did not recover viable  $Traf3^{-/-}Map3k14^{-/-}$  mice in more than 50 crosses) deletion of both Map3k14 alleles in  $Traf3^{-/-}$  mice (Fig. 1a and Supplementary Fig. 1a online). Splenic atrophy and reduced splenocyte numbers also were rescued by reducing the NIK dosage (Fig. 1b and Supplementary Fig. 1b online). Serum cortisol concentrations indicative of a stress response were elevated in  $Traf2^{-/-}$  and  $Traf3^{-/-}$  mice and deletion of NIK returned them to normal (Supplementary Fig. 1c online). Several inflammatory chemokine mRNAs were also elevated in  $Traf2^{-/-}$  mouse embryonic fibroblasts (MEFs) but not to the same extent as in  $Traf3^{-/-}$  MEFs (Supplementary Fig. 1d online). This suggests that the inflammatory response associated with TRAF2 deficiency is not as severe as the one associated with the TRAF3 deficiency, providing a plausible explanation for the dose-dependent effects of NIK in the two mutants.

#### Lymphoid abnormalities in TRAF2- and TRAF3-deficient mice

TRAF2<sup>-/-</sup> and TRAF3<sup>-/-</sup> mice have highly reduced numbers of CD4<sup>+</sup>CD8<sup>+</sup> double-positive (DP) thymocytes <sup>31</sup>, <sup>32</sup>. Although the mechanism underlying this defect is not clear, it could be related to elevated circulating cortisol in these mice and the known ability of glucocorticoids to induce thymocyte apoptosis<sup>37</sup>, <sup>38</sup>. Deletion of NIK restored normal numbers of DP thymocytes in both *Traf2<sup>-/-</sup>* and *Traf3<sup>-/-</sup>* mice (Fig. 2a).

 $Traf2^{-/-}$  and  $Traf3^{-/-}$  mice harbor elevated numbers of marginal zone (MZ) B-cells and splenic B-cells lacking TRAF2 or TRAF3 survive longer than WT cells<sup>24–26, 39</sup>, which should result in B-cell accumulation and splenomegaly. However,  $Traf2^{-/-}$  and  $Traf3^{-/-}$  mice suffer from splenic atrophy and exhibit highly reduced splenocyte numbers<sup>31, 32</sup>. In both  $Traf2^{-/-}$  and

Deletion of NIK prevented constitutive p100 processing in  $Traf2^{-/-}$  B-cells (Fig. 2d), as previously shown for  $Traf3^{-/-}$  B-cells<sup>25</sup>. Consistent with the effect on p100 processing, deletion of NIK in either  $Traf2^{-/-}$  or  $Traf3^{-/-}$  mice reduced MZ B-cell numbers and decreased splenic B-cell survival to WT levels (Supplementary Fig. 2a, b online). Collectively, these results indicate that the absence of TRAF2 causes very similar lymphoid defects as those associated with TRAF3 ablation. Some of these defects are likely to be the direct consequence of constitutive NIK-dependent signaling whereas others are probably caused by elevated serum cortisol.

#### TRAF2-deficiency stabilizes NIK, promotes p100 processing

TRAF2 negatively regulates p100 processing in B-cells<sup>24</sup>. However, it was not clear whether TRAF2, like TRAF3<sup>27</sup>, also induced NIK proteasomal degradation. To investigate this point we analyzed wild-type,  $Traf2^{-/-}$  and  $Traf3^{-/-}$  mouse embryonic fibroblasts (MEFs). Both Traf2<sup>-/-</sup> and Traf3<sup>-/-</sup> MEFs exhibited elevated NIK expression, constitutive p100 processing and nuclear p52 and RelB (Fig. 3a, b). NIK mRNA amounts were not considerably affected by ablation of either TRAF2 or TRAF3 (Supplementary Fig. 3a online), suggesting that the observed changes in NIK expression are post-transcriptional. Notably, Traf3<sup>-/-</sup> MEFs contained higher amounts of nuclear RelA than  $Traf2^{-/-}$  cells (Fig. 3a), a finding that is consistent with the higher expression of NF-kB-dependent inflammatory chemokines in these cells (Supplementary Fig. 1d online). As shown for TRAF3<sup>27</sup>, TRAF2 overexpression in HEK293T-cells induced proteasome-dependent NIK degradation (Fig. 3c and Supplementary Fig 3b-d online). This activity was dependent on the N-terminal portion of TRAF2 which contains its RING finger; by contrast, deletion of the N-terminal RING finger of TRAF3 did not compromise its ability to induce NIK degradation, which is in contrast to a previous report that the RING finger of TRAF3 is essential for inhibition of alternative NF- $\kappa$ B signaling<sup>42</sup> (Fig. 3c). Overexpression of either cIAP1 or cIAP2 also led to NIK degradation (Supplementary Fig. 3c online).

#### TRAF3 recruits TRAF2 and cIAP2 to NIK

TRAF2, TRAF3 and cIAP1-cIAP2 are all involved in rapid NIK turnover in non-stimulated B-cells and their inactivation or deletion results in NIK accumulation and p100 processing<sup>24</sup>, 25, 28, 29, 34. Analyses of TRAF3 mutants in MM<sup>29</sup>, 30, suggested that TRAF3 binds to NIK and TRAF2, which is associated with cIAP1-cIAP2<sup>43</sup>. We examined the interaction of cIAP2, TRAF2 and TRAF3 with NIK in primary B-cells. Since endogenous NIK is of very low abundance, due to its rapid turnover in non-stimulated cells, we incubated B-cells with the proteasome inhibitor MG132 to prevent NIK degradation. Immunoprecipitation confirmed that NIK associates with cIAP2, TRAF2 and TRAF3 (Fig. 4a). Depletion of TRAF3 by shRNA-mediated knockdown in BJAB lymphoma cells prevented association of both TRAF2 and cIAP2 with NIK (Fig. 4b).

#### NIK stabilization is preceded by cIAP1-cIAP2- and TRAF2- dependent TRAF3 degradation

Rapid NIK turnover in resting cells seems to be the major mechanism that prevents premature activation of alternative NF- $\kappa$ B signaling. However, how engagement of receptors that control B-cell survival and development, e.g. CD40 and BAFF-R, activates this pathway is not clear. It was suggested that receptor-induced TRAF3 degradation is a critical regulatory step leading

to NIK stabilization and p100 processing<sup>26, 27</sup>. However, a more recent report suggested that the first step is receptor-induced cIAP1-cIAP2 degradation<sup>34</sup>. We confirmed that CD40 or BAFF-R engagement result in rapid, proteasome dependent (Supplementary Fig. 4a online), TRAF3 degradation that preceded p100 processing (Fig. 5a, b). TRAF2 also decayed upon receptor activation but not as extensively as TRAF3. Moreover, TRAF3 decay preceded TRAF2 decay (Supplementary Fig. 4b online). As expected, CD40 engagement also led to NIK stabilization that paralleled receptor-induced p100 processing (Supplementary Fig. 4c online). CD40- or BAFF-R engagement also resulted in extensive TRAF3 and modest TRAF2 decay, only in cIAP1 and cIAP2- positive MM cells (Fig. 5c). No significant cIAP2 decay was seen and neither TRAF2 nor TRAF3 were degraded in MM cells lacking both cIAPs (Fig. 5c). Similar results were seen upon treatment of primary B-cells with a Smac mimic, SM, which induces rapid cIAP1 and cIAP2 degradation<sup>36</sup> (Fig. 5d). CD40-induced TRAF3 degradation was also dependent on TRAF2, whose absence in B-cells and especially MEFs increased TRAF3 abundance (Fig. 5e and Supplementary Fig. 5a online). TRAF3 deficiency, however, had no impact on TRAF2 amounts (Supplementary Fig. 5b online).

#### Activation of cIAP2 E3 ligase activity towards TRAF3

To better understand the mechanism of receptor induced TRAF3 degradation we used HEK293T cells stably expressing CD40 (HEK293T-CD40). Co-expression of TRAF3 with either cIAP1 or cIAP2 in these cells resulted in TRAF3 degradation in a proteasome dependent manner (Fig. 6a and Supplementary Fig. 6 online). We next examined whether CD40 engagement enhances the E3 activity of cIAP2 and whether cIAP2 can directly ubiquitinate TRAF3. Flag-tagged wild-type or RING finger mutated (RM) cIAP2 were transiently expressed in HEK293T-CD40 cells. HA-tagged TRAF2 was also transiently expressed in a separate culture of HEK293T-CD40 cells. After 48 hrs the cells were stimulated or not with anti-CD40 antibody and the cIAP2 and TRAF2 proteins were immunoprecipitated 2 hrs later. When incubated with recombinant GST-TRAF3 in an *in vitro* ubiquitination system<sup>44</sup> that contained ubiquitin, ATP, E1 and a mixture of E2s, wild-type cIAP2 but not cIAP2(RM) led to TRAF3 polyubiquitination that was much more extensive if cIAP2 was isolated from CD40stimulated cells (Fig. 6b). The TRAF3 polyubiquitinating activity of cIAP2 was further enhanced by the presence of TRAF2 in the reaction mix. However, when TRAF2 was incubated in this reaction together with cIAP2 (RM), no TRAF3 ubiquitination was observed (Fig. 6b) indicating that TRAF3 is not a direct substrate for the E3 ligase activity of TRAF2. Endogenous TRAF3 from CD40-stimulated B-cells was polyubiquitinated and this modification was inhibited by pretreatment of the CD40-stimulated cells with Smac mimic (Supplementary Fig. 7 online). The abundance of polyubiquitinated TRAF3 was augmented by treating the cells with a proteasome inhibitor, suggesting that the polyubiquitin chains were K48-linked. Accordingly, the polyubiquitinated form of TRAF3 found in CD40-stimulated cells did not react with an antibody that specifically recognizes K63-linked ubiquitin chains (Supplementary Fig. 7 and Ref. 23).

Endogenous cIAP1 and cIAP2 immunoprecipitated from CD40-stimulated wild-type or TRAF3-deficient, but not from TRAF2-deficient, A20 B cells also exhibited enhanced E3 activity towards TRAF3 relative to cIAP1 and cIAP2 from unstimulated cells (Fig. 6c). These results indicate that the E3 activity of cIAP1-cIAP2 towards TRAF3 is strongly stimulated upon CD40 engagement in a TRAF2-dependent manner. Since TRAF2 is also a ubiquitin ligase<sup>13</sup> and its RING finger is required for induction of NIK degradation, we examined whether TRAF2 is an E3 for cIAP2. Indeed, endogenous cIAP2 was subjected to K63-linked polyubiquitination in CD40-stimulated wild-type and TRAF3-deficient, but not in TRAF2-deficient, A20 cells (Fig. 6d). In transfected HEK293T cells that express CD40, engagement of CD40 induced the K63-linked polyubiquitination of TRAF2, which also induced the K63-linked

polyubiquitination of cIAP2 in non-stimulated cells, an effect not seen upon expression of a RING finger deleted TRAF2 (Fig. 6e). These results strongly suggest that TRAF2 is a K63-specific E3 ligase for cIAP2, whereas cIAP2 is K48-specific E3 ligase for TRAF3 (see model in Supplementary Fig. 8 online and discussion).

# Discussion

The results described above indicate that although TRAF2 and TRAF3 are structurally similar RING finger proteins, whose ablation results in constitutive activation of alternative NF- $\kappa$ B signaling, the biochemical roles they play in this pathway are distinct. While TRAF3 acts as an adaptor that links an E3 ligase complex containing TRAF2 and cIAP1-cIAP2 to NIK in non-stimulated cells, TRAF2 is required for receptor-induced activation of cIAP1-cIAP2 that act as K48-specific ubiquitin ligases that induce TRAF3 degradation, which we propose is the first irreversible step committing the cell to activation of alternative NF-KB signaling. Receptor-induced TRAF3 degradation was observed previously and suggested to be responsible to NIK stabilization 27, 45 and for MAPK activation 23. However, the mechanism of receptor (CD40 or BAFF-R)-induced TRAF3 degradation remained elusive and it was even suggested that alternative NF-kB signaling is triggered by degradation of cIAP1-cIAP2, rather than TRAF3 degradation<sup>34</sup>. However, in numerous experiments conducted with both normal B-cells and B-cell derived tumors, we reproducibly detected rapid and nearly complete receptor-induced decay of TRAF3, partial TRAF2 degradation and hardly any cIAP1-cIAP2 degradation. We previously found that CD40 engagement results in rapid recruitment of a TRAF2-cIAP1-cIAP2 complex and TRAF3 to the receptor and that cIAP1-cIAP2 are required for receptor-induced TRAF3 degradation<sup>23</sup>. However, it was not examined in these studies whether receptor engagement activates cIAP1-cIAP2 ubiquitin ligase activity. The new results shown above demonstrate that CD40 occupancy stimulates the E3 activity of cIAP2 towards TRAF3, resulting in degradative K48-linked polyubiquitinaton of TRAF3. CD40-induced cIAP1-cIAP2 activation correlates with their K63-linked polyubiquitination and both effects are dependent on TRAF2. Thus, in addition to being an adaptor that recruits cIAP1-IAP2 and TRAF3 to the receptor, TRAF2 is also an E3 that activates cIAP1-cIAP2 most likely via their K63-linked ubiquitination. TRAF2, however, does not ubiquitinate TRAF3. These results provide the first demonstration of a ubiquitination cascade in which TRAF2 ubiquitinates and activates cIAP1-cIAP2, which then proceed to ubiquitinate TRAF3 (and to some extent TRAF2) and induce its degradation (Supplementary Fig. 8 online).

Unlike TRAF2, whose RING finger is required for cIAP1-cIAP2 activation and for NIK degradation, the RING finger of TRAF3 is dispensable for NIK degradation, supporting the conclusion that TRAF3 functions as an adaptor in this process and not as an E3. Although TRAF3, TRAF2 and cIAP1-cIAP2 are all engaged in degradative NIK polyubiquitination in non-stimulated cells, we suggest that receptor occupancy results in recruitment of a pool of the complexes formed by these molecules to the receptor, where TRAF2 enhances cIAP1-cIAP2 E3 activity by ubiquitination and targets it towards TRAF3 (Supplementary Fig. 8 online). Once receptor associated TRAF3 is degraded, NIK can no longer associate with the remaining cIAP1-cIAP2-TRAF2 complex.

It was shown that the absence of either TRAF2 or TRAF3 enhances the survival of B-cells and their accumulation in the MZ and we have confirmed these results. However, we also found that ablation of either TRAF2 or TRAF3 resulted in a markedly defective development of BM B-cells with impaired transition from CD19<sup>-</sup> to CD19<sup>+</sup> stage. In addition, *Traf2<sup>-/-</sup>* and *Traf3<sup>-/-</sup>* mice exhibit thymic and splenic atrophy. Given the elevated concentration of circulating cortisol in these mice and the known lymphocydal activity of glucocorticoids<sup>37, 38</sup>, we presume that some of these defects are due to cortisol-induced killing of BM B-cell progenitors<sup>37, 41</sup> and thymocytes as well as atrophy of lymphoid organs. However, the

increased survival and accumulation of MZ B-cells are most likely direct consequences of constitutive alternative NF- $\kappa$ B signaling in these mice, as this pathway drives B-cell survival and accumulation in the MZ<sup>46</sup>. In support of this interpretation, a conditional deletion of TRAF3 using a CD19-driven Cre transgene, which does not result in perinatal mortality and stress, only led to increased B-cell survival and accumulation of autoreactive MZ B-cells<sup>39</sup>, a phenotype also produced by transgenic overproduction of BAFF, which results in prolonged activation of the alternative pathway<sup>46</sup>.

The perinatal lethality and stress-induced elevation in blood cortisol associated with TRAF3 ablation are due to uncontrolled activation of the alternative NF- $\kappa$ B pathway as they are reversed by *Nfkb2* ablation<sup>25</sup>. Our results indicate that the perinatal lethality caused by ablation of TRAF2 is also due to uncontrolled activation of this pathway. However, it should be noted that loss of TRAF3 results in a more profound elevation of NF- $\kappa$ B target genes, some of which are likely to account for perinatal lethality and stress, than the absence of TRAF2. Correspondingly, *Traf3<sup>-/-</sup>* cells contain more RelA in their nuclei than *Traf2<sup>-/-</sup>* cells. It is plausible that activation of RelA, a hallmark of classical NF- $\kappa$ B signaling, is partially due to autocrine production of TNF or a similar cytokine that signals to the classical IKK complex via TRAF2.

Collectively, our results indicate that TRAF2 and TRAF3 play essential and non-redundant roles in the alternative NF-kB pathway. As an adaptor, TRAF3 recruits a TRAF2-cIAP1-cIAP2 E3 complex to NIK leading to its rapid turnover in resting cells. Upon CD40 or BAFF-R activation, TRAF2 ubiquitinates and activates cIAP1-cIAP2 to induce degradative K48-linked polyubiquitination of TRAF3. Degradation of TRAF3 prevents targeting of newly synthesized NIK by the TRAF2-cIAP1-cIAP2 ubiquitin ligase complex, allowing NIK accumulation and activation through autophosphorylation (Supplementary Fig. 8). This requirement for ongoing NIK synthesis explains the long delay in activation of alternative NF-kB signaling and its dependence on new protein synthesis.

# **Methods**

#### Mice

 $Traf2^{+/-}$  mice were obtained from Tak W. Mak (University of Toronto);  $Traf3^{+/-}$  mice were from Hitoshi Kikutani (Osaka University, Japan) and  $Map3k14^{+/-}$  (NIK-deficient) mice generated by Robert Schrieber (Washington University, St Louis) were obtained from Amgen Inc, and were intercrossed to generate the different strains used in the experiments described above. Mice were housed under conventional barrier protection according to UCSD and NIH guidelines, and mouse protocols were approved by the UCSD Institutional Animal Care Committee.

#### Splenic B-cell isolation and culture

Splenic single cell suspensions were prepared as described<sup>47</sup>. CD43<sup>-</sup> B-cells were isolated after removal of CD43<sup>+</sup> cells with magnetic beads (MACS; Miltenyi) according to manufacturer's protocol. B-cells or B-cell lines were stimulated with anti-CD40 (5  $\mu$ g/ml; clone 3/23; Pharmingen), CD40L (500 ng/ml; PeproTech) or BAFF (200 ng/ml; PeproTech).

#### Flow Cytometry

Single cell thymus and spleen suspensions<sup>47</sup>, were incubated with the indicated antibodies and analyzed on a FACScalibur flow cytometer using CellQuest software (Beckton Dickinson, San Jose, CA). FITC-, PE- or biotin-labeled antibodies to: CD4, CD8, CD19, CD21, CD23, and CD45/B220 were from Pharmingen. Biotinylated antibodies were detected by Streptavidin-PE (PharMingen).

#### Immunochemical procedures

MEFs, B-cells and B-cell lines were lysed in RIPA buffer (10mM Tris-HCl pH7.4, 150mM NaCl, 1:NP40, 1% deoxycholate (w/v), 1mM PMSF) to prepare total lysates. Nuclear and cytoplasmic extracts were prepared as described<sup>47</sup>. Immunoprecipitations were performed by incubating lysates with the indicated antibodies overnight at 4°C. Samples were precleared by incubation with Sepharose-6B beads (Sigma-Aldrich) for 1 hr at 4°C, and immunoprecipitated with protein-G or protein-A-Sepharose (Amersham) for 1 hr at 4°C, after which the beads were washed extensively and the proteins were eluted. The samples were separated by SDS-PAGE and analyzed by immunoblotting with antibodies to: NIK (Cell Signaling and Sc-7211), IKKα (Imagenex), IKKβ (Upstate), IKKγ (Pharmingen), TRAF2 (Sc-876), TRAF3 (Sc-949), RelA (Sc-372), RelB (Sc-226), c-Rel (Sc-70), NF-κB1 (Sc-7178), LDH (Sc-27238), NF-κB2 (Upstate), cIAP1 (Sc-7943), Ub (Sc-8017) and cIAP2 (R&D). Preparation of the antibody (HWA4C4) specific for K63-linked polyubiquitin will be described elsewhere (H.W., A.M., S.A. Brown, J.R. Zhou, K. Forbes, P.H.T., H. Haecker, M.K. and D.A.A.V., in preparation). Blots were developed with ECL reagent (Amersham Pharmacia Biotech).

#### Short hairpin RNA (shRNA), lentiviruses and transduction

A 5'AGAGTCAGGTTCCGATGAT3' oligonucleotide directed against h-TRAF3 was cloned into pLSLPw lentiviral vector, kindly provided by Peter M. Chumakov, Cleveland Clinic<sup>48</sup> and lentivirus was prepared and packaged as described<sup>49</sup>. Cells were transduced with lentivirus in the presence of 5 mg/ml polybrene (Sigma). After 24 hrs, the virus-containing medium was replaced with selection medium containing 5 mg/ml puromycin (EMD). After cell growth was stable, the cells were used in the described experiments.

#### In vitro ubiquitination assay

*In vitro* ubiquitination was performed as described<sup>44</sup>. HEK-293T cells stably expressing CD40 were transfected with pcDNA-HA-TRAF2, pcDNA-Flag-cIAP2 WT and RM (kindly provided by Zeev Ronai and John Reed, respectively, Burnham Institute). After simulation with anti-CD40 the cells were lysed and immunoprecipitated with anti-Flag or anti-HA antibodies. Flag-cIAP2 was eluted from the protein-G beads using 3x-Flag peptide (Sigma) and incubated with GST-TRAF3 produced in *E. coli* in the absence or presence of HA-TRAF2 in a reaction mixture including ubiquitin, E1 and a mixture of E2 ubiquitin conjugating enzymes: UbcH2, UbcH3, Ubc5a, Ubc5b, Ubc5c, UbcH6, UbcH7, and UbcH10. Reactions were carried out at 30 °C for 30 min in 50 mM HEPES pH7.8 containing 4 mM ATP and 10 mM MgCl<sub>2</sub>. GST-TRAF3 was immunoprecipitated, gel-separated, and analyzed by immunoblotting with anti-ubiquitin and anti-TRAF3 antibodies. In other experiments we used cIAP1 and cIAP2 isolated from non-stimulated A20 B cells. All subsequent incubations and procedures were as described above.

# Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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(a) Survival curves of mice of the indicated genotypes. (b) Spleen cellularity in mice of the indicated genotypes at P15. Results are averages  $\pm$  s.d (n = 4). WT, wild-type; Traf2-KO, *Traf2<sup>-/-</sup>*; Traf3-KO, *Traf3<sup>-/-</sup>*; NIK-KO, *Map3k14<sup>-/-</sup>*; NIK-HET, Map3k14<sup>+/-</sup>.



**Fig. 2. Reversal of lymphoid abnormalities in**  $Traf2^{-/-}$  and  $Traf3^{-/-}$  mice by deletion of NIK (a) Flow cytometry of thymocytes from the indicated strains stained with anti-CD8 and anti-CD4. Numbers indicate percentages of double positive thymocytes. (b) Flow cytometry of BM cells isolated from the indicated strains stained with anti-CD19 and anti-B220. Numbers indicate percentages of CD19<sup>+</sup>B220<sup>+</sup> Pre-B cells. (c) B220<sup>+</sup> cells from the indicated mice were purified using magnetic beads. Total RNA was extracted and Pax5 mRNA was analyzed by quantitative RT-PCR. (d) Immunoblot for NF- $\kappa$ B2 p100 and p52 in splenic B-cells purified from the indicated mice. Representative figures from atleast 3 independent experiments were shown. WT, wild-type; Traf2-KO,  $Traf2^{-/-}$ ; Traf3-KO,  $Traf3^{-/-}$ ; NIK-KO,  $Map3k14^{-/-}$ ; NIK-HET, Map3k14<sup>+/-</sup>.

Vallabhapurapu et al.



#### Fig. 3. TRAF2 and TRAF3 are involved in NIK turnover and NF-kB2 p100 processing

(a) Immunoblot of nuclear and cytoplasmic extracts from MEFs of the indicated genotypes analyzed for expression of the NF- $\kappa$ B proteins indicated. Lactate dehydrogenase (LDH) was evaluated to rule out cytoplasmic contamination of nuclear extracts. (b) Immunoblot of total lysates from MEFs of the indicated genotypes examined for NIK and IKK subunit expression. (c) Immunoblot of total lysates from HEK293T cells transfected with Flag-NIK, HA-TRAF2 (TF2), Flag-TRAF2 $\Delta$ N, HA-TRAF3 and Flag-TRAF3 $\Delta$ N expression vectors probed with anti-HA or anti-FLAG. WT, wild-type; Traf2-KO, *Traf2<sup>-/-</sup>*; Traf3-KO, *Traf3<sup>-/-</sup>*. Representative figures from 3–5 independent experiments were shown.



#### Fig. 4. TRAF3 links TRAF2 and cIAP2 to NIK

(a) Immunoprecipitation and immunoblot of splenic B-cell lysates after the cells were incubated with vehicle control (DMSO) or MG132 for 6 hrs to stabilize endogenous NIK. Association of cIAP2, TRAF2 and TRAF3 with NIK was analyzed. (b) Immunoprecipitation and immunoblot of BJAB human B cell lymphoma lysates after the cells were infected with control or TRAF3-specific shRNA lentiviruses. The cells were incubated with DMSO or MG132 for 6 hrs and NIK was immunoprecipitated from total cell lysates and co-precipitation of the indicated proteins was examined. Representative figures from 3–5 independent experiments were shown.

Vallabhapurapu et al.

Page 16



# Fig. 5. cIAP1-cIAP2 and TRAF2 are required for receptor-induced TRAF3 degradation which precedes NIK stabilization

(**a**,**b**) Immunoblot of lysates from splenic B-cells purified and incubated with anti-CD40 antibody (**a**) or recombinant BAFF (**b**) for the indicated times. Total lysates as well as nuclear extracts were prepared and the indicated protein amounts were analyzed. (**c**) Immunoblot of lysates from wild-type and cIAP1 and cIAP2-double deficient (cIAP-KO) MM cells incubated with anti-CD40, CD40L or BAFF for the indicated time. Total lysates were prepared and expression of the indicated proteins was analyzed. ns, nonspecific band. (**d**,**e**) Immunoblot of lysates from splenic B-cells pre-incubated with or without Smac mimic (SM) and stimulated with anti-CD40 for the indicated time (**d**) or from wild-type and TRAF2-deficient B-cells stimulated or not with anti-CD40 for 4 hrs (**e**) analyzed for TRAF3 amounts. Representative figures from 3–5 independent experiments were shown.



#### Fig. 6. CD40 ligation activates cIAP1-cIAP2 via TRAF2 to induce TRAF3 ubiquitination

(a) Immunoblot of lysates from CD40-expressing HEK293T cells transfected with Flag-cIAP1, Flag-cIAP2, and HA-TRAF3 expression vectors as indicated. After 30 hrs lysates were prepared and analyzed for the proteins indicated. (b-e) Immunoblot of the products of in vitro or in vivo (d) ubiquitination reactions. (b) CD40-expressing 293T cells were transfected with Flag-cIAP2 (wild-type) or RING finger-mutated cIAP2 (RM). Non-transfected and cIAP2-transfected cells were incubated with or without anti-CD40 for 2 hrs, lysates were prepared, cIAP2 was immunoprecipitated and eluted from the immunocomplexes with a 3x-Flag peptide. Independently, HEK293T-CD40 cells were transfected with HA-tagged TRAF2 followed by incubation with or without anti-CD40 and TRAF2 was immunoprecipitated with anti-HA. GST-TRAF3 and cIAP2 were incubated in the presence or absence of immunoprecipitated TRAF2 in an *in vitro* ubiquitination reaction containing ubiquitin, ATP, E1 and a mixture of E2 ubiquitin conjugating enzymes. GST-TRAF3 was immunoprecipitated, and analyzed by anti-ubiquitin and anti-TRAF3 antibodies. cIAP2 and TRAF2 amounts were examined by immunoblotting with anti-Flag and anti-HA, respectively. (c) Wild-type, TRAF2and TRAF3-depleted A20 cells were stimulated or not with anti-CD40 for 1 hr and the endogenous cIAP1 and cIAP2 were immunoprecipitated and incubated with GST-TRAF3 in the in vitro ubiquitination system described above. GST-TRAF3 was immunoprecipitated and its ubiquitination was examined as above. (d) wild-type, TRAF2- and TRAF3-depleted A20 cells were activated as above and cIAP1 and cIAP2 were immunoprecipitated and their ubiquitination was analyzed by immunoblotting with a K63-specific anti-ubiquitibin antibody (HWA4C4). (e) HEK293T-CD40 cells were transfected with Flag-cIAP2, TRAF2 and TRAF2 $\Delta$ N expression vectors and after 24 hrs were stimulated or not with anti-CD40 for 1 hr. cIAP2 was immunoprecipitated using anti-Flag antibody and its ubiquintination was analyzed with a K63-specific anti-ubiquitin antibody (HWA4C4).