# Novel Audio Features for Music Emotion Recognition

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Abstract—This work advances the music emotion recognition state-of-the-art by proposing novel emotionally-relevant audio features. We reviewed the existing audio features implemented in well-known frameworks and their relationships with the eight commonly defined musical concepts. This knowledge helped uncover musical concepts lacking computational extractors, to which we propose algorithms - namely related with musical texture and expressive techniques. To evaluate our work, we created a public dataset of 900 audio clips, with subjective annotations following Russell's emotion quadrants. The existent audio features (baseline) and the proposed features (novel) were tested using 20 repetitions of 10-fold cross-validation. Adding the proposed features improved the F1-score to 76.4 percent (by 9 percent), when compared to a similar number of baseline-only features. Moreover, analysing the features relevance and results uncovered interesting relations, namely the weight of specific features and musical concepts to each emotion quadrant, and warrant promising new directions for future research in the field of music emotion recognition, interactive media, and novel music interfaces.

Index Terms—Affective computing, audio databases, emotion recognition, feature extraction, music information retrieval

## 15 **1** INTRODUCTION

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**T**N recent years, Music Emotion Recognition (MER) has 16 Lattracted increasing attention from the Music Information 17 Retrieval (MIR) research community. Presently, there is 18 19 already a significant corpus of research works on different perspectives of MER, e.g., classification of song excerpts [1], 20 [2], emotion variation detection [3], automatic playlist gener-21 ation [4], exploitation of lyrical information [5] and bimodal 22 approaches [6]. However, several limitations still persist, 23 namely, the lack of a consensual and public dataset and the 24 need to further exploit emotionally-relevant acoustic fea-25 tures. Particularly, we believe that features specifically 26 suited to emotion detection are needed to narrow the so-27 called semantic gap [7] and their absence hinders the prog-28 ress of research on MER. Moreover, existing system imple-29 30 mentation shows that the state-of-the-art solutions are still unable to accurately solve simple problems, such as classifi-31 cation with few emotion classes (e.g., four to five). This is 32 33 supported by both existing studies [8], [9] and the small improvements in the results attained in the 2007-2017 MIREX 34 Audio Mood Classification (AMC) task<sup>1</sup>, an annual compari-35 son of MER algorithms. These system implementations and 36

1. http://www.music-ir.org/mirex/

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For information on obtaining reprints of this article, please send e-mail to: reprints@ieee.org, and reference the Digital Object Identifier below. Digital Object Identifier no. 10.1109/TAFFC.2018.2820691 research results show a glass ceiling in MER system performances [7]. 38

Several factors contribute to this glass ceiling of MER systems. To begin with, our perception of emotion is inherently 40 subjective: different people may perceive different, even 41 opposite, emotions when listening to the same song. Even 42 when there is an agreement between listeners, there is often 43 ambiguity in the terms used regarding emotion description 44 and classification [10]. It is not well-understood how and why 45 some musical elements elicit specific emotional responses in 46 listeners [10]. 47

Second, creating robust algorithms to accurately capture 48 these music-emotion relations is a complex problem, involv- 49 ing, among others, tasks such as tempo and melody estima- 50 tion, which still have much room for improvement. 51

Third, as opposed to other information retrieval problems, 52 there are no public, widely accepted and adequately vali-53 dated, benchmarks to compare works. Typically, researchers 54 use private datasets (e.g., [11]) or provide only audio features 55 (e.g., [12]). Even though the MIREX AMC task has contrib-56 uted with one dataset to alleviate this problem, several major 57 issues have been identified in the literature. Namely, the 58 defined taxonomy lacks support from music psychology and 59 some of the clusters show semantic and acoustic overlap [2]. 60

Finally, and most importantly, many of the audio fea- 61 tures applied in MER were created for other audio recogni- 62 tion applications and often lack emotional relevance. 63 Hence, our main working hypothesis is that, to further 64 advance the audio MER field, research needs to focus on 65 what we believe is its main, crucial, and current problem: to 66 capture the emotional content conveyed in music through 67 better designed audio features. 68

This raises the core question we aim to tackle in this 69 paper: which features are important to capture the emo- 70 tional content in a song? Our efforts to answering this 71

| Features     | Examples   |
|--------------|--|
| Timing       | Tempo, tempo, variation, duration, contrast.     |
| Dynamics     | Overall level, crescendo/decrescendo, accents.   |
| Articulation | Overall (staccato, legato), variability.         |
| Timbre       | Spectral richness, harmonic richness.            |
| Pitch        | High or low.                                     |
| Interval     | Small or large.                                  |
| Melody       | Range (small or large), direction (up or down).  |
| Tonality     | Chromatic-atonal, key-oriented.                  |
| Rhythm       | Regular, irregular, smooth, firm, flowing, rough |
| Mode         | Major or minor.                                  |
| Loudness     | High or low.                                     |
| Musical form | Complexity, repetition, disruption.              |
| Vibrato      | Extent, range, speed.                            |

TABLE 1 Musical Features Relevant to MER

question required: i) a review of computational audio features currently implemented and available in the state-ofthe-art audio processing frameworks; ii) the implementation and validation of novel audio features (e.g., related with music performance expressive techniques or musical texture).

Additionally, to validate our work, we have constructed 78 a dataset that we believe is better suited to the current situa-79 tion and problem: it employs four emotional classes, from 80 the Russell's emotion circumplex [13], avoiding both unvali-81 dated and overly complex taxonomies; it is built with a 82 83 semi-automatic method (AllMusic annotations, along with simpler human validation), to reduce the resources required 84 to build a fully manual dataset. 85

Our classification experiments showed an improvement of 9 percent in F1-Score when using the top 100 baseline and novel features, while compared to the top 100 baseline features only. Moreover, even when the top 800 baseline features is employed, the result is 4.7 percent below the one obtained with the top100 baseline and novel features set.

This paper is organized as follows. Section 2 reviews the 92 related work. Section 3 presents a review of the musical con-93 94 cepts and related state-of-the-art audio features, as well as the employed methods, from dataset acquisition to the 95 novel audio features and the classification strategies. In Sec-96 tion 4, experimental results are discussed. Finally, conclu-97 sions and possible directions for future work are included 98 in Section 5. 99

## 100 2 RELATED WORK

Musical Psychology researchers have been actively study-101 ing the relations between music and emotions for decades. 102 103 In this process, different emotion paradigms (e.g., categorical or dimensional) and related taxonomies (e.g., Hevner, 104 Russell) have been developed [13], [14] and exploited in dif-105 ferent computational MER systems, e.g., [1], [2], [3], [4], [5], 106 [6], [10], [11], [15], [16], [17], [18], [19], along with specific 107 MER datasets, e.g., [10], [16], [19]. 108

Emotion in music can be studied as: i) perceived, as in the emotion an individual identifies when listening; ii) felt, regarding the emotional response a user feels when listening, which can be different from the perceived one; iii) or transmitted, representing the emotion that the performer or composer aimed to convey. As mentioned, we focus this 114 work on perceived emotion. 115

Regarding the relations between emotions and specific 116 musical attributes, several studies uncovered interesting 117 associations. As an example: major modes are frequently 118 related to emotional states such as happiness or solemnity, 119 whereas minor modes are often associated with sadness or 120 anger [20]; simple, consonant, harmonies are usually happy, 121 pleasant or relaxed. On the contrary, complex, dissonant, 122 harmonies relate to emotions such as excitement, tension or 123 sadness, as they create instability in a musical motion [21]. 124 Moreover, researchers identified many musical features 125 related to emotion, namely: timing, dynamics, articulation, 126 timbre, pitch, interval, melody, harmony, tonality, rhythm, 127 mode, loudness, vibrato, or musical form [11], [21], [22], 128 [23]. A summary of musical characteristics relevant to emo- 129 tion is presented in Table 1. 130

Despite the identification of these relations, many of 131 them are not fully understood, still requiring further musicological and psychological studies, while others are difficult to extract from audio signals. Nevertheless, several computational audio features have been proposed over the syears. While the number of existent audio features is high, many were developed to solve other problems (e.g., Melfrequency cepstral coefficients (MFCCs) for speech recognition) and may not be directly relevant to MER.

Nowadays, most proposed audio features are imple- 140 mented and available in audio frameworks. In Table 2, we 141 summarize several of the current state-of-the-art (hereafter 142 termed standard) audio features, available in widely 143 adopted frameworks, namely, the MIR Toolbox [24], Mar- 144 syas [25] and PsySound3 [26]. 145

Musical attributes are usually organized into four to 146 eight different categories (depending on the author, e.g., 147 [27], [28]), each representing a core concept. Here, we follow 148 an eight categories organization, employing rhythm, 149 dynamics, expressive techniques, melody, harmony, tone 150 colour (related to timbre), musical texture and musical 151 form. Through this organization, we are able to better 152 understand: i) where features related to emotion belong; ii) 153 and which categories may lack computational models to 154 extract musical features relevant to emotion. 155

One of the conclusions obtained is that the majority of available features are related with tone colour (63.7 percent). Also, 157 many of these features are abstract and very low-level, capturing statistics about the waveform signal or the spectrum. 159 These are not directly related with the higher-level musical 160 concepts described earlier. As an example, MFCCs belong to 161 tone colour but do not give explicit information about the 162 source or material of the sound. Nonetheless, they can implicitly help to distinguish these. This is an example of the mentioned semantic gap, where high level concepts are not being 165 captured explicitly with the existent low level features. 166

This agrees with the conclusions presented in [8], [9], 167 where, among other things, the influence of the existent 168 audio features to MER was assessed. Results of previous 169 experiments showed that "the used spectral features out- 170 performed those based on rhythm, dynamics, and, to a 171 lesser extent, harmony" [9]. This supports the idea that 172 more adequate audio features related to some musical con- 173 cepts are lacking. In addition, the number of implemented 174

| Name                                    | RC     | Description   | Name                               | RC                               | Description   |  |
|---|--------|---|------------------------------------|----------------------------------|---|--|
| Tempo Change                            |        | Tempo changes over time.  | Attack Time (+ log att)            |                                  | Temporal duration of the attack phases.                                     |  |
| Beat Spectrum                           |        | Measure of acoustic self-similarity.                                      | Attack Slope & Release             |                                  | Gradient of attack and release phases.                                      |  |
| Onsets                                  |        | Estimated starting time of the notes.                                     | Attack Leap                        |                                  | Attack phase amplitude.   |  |
| Events Density                          |        | Estimated note onsets per second.   | Avg Notes Duration                 |                                  | Avg duration from attack to release.  |  |
| Tempo Estimation                        |        | Estimated tempo of the piece.   | Zero Crossing Rate                 |                                  | Waveform sign-change rate.  |  |
| Fluctuation                             | hm     | Rhythmic periodicity along auditory                                       | Spectral Flux                      |                                  | Distance between successive spectral  |  |
| Tractaation                             | hvt    | , channels. Estimates rhythm content.                                     | opeen mit mit                      |                                  | frames.   |  |
| Metrical Analysis                       | R      | Hierarchical metrical structure info.                                     | Spectral Centroid                  |                                  | 1 <sup>st</sup> moment (mean): indicates bright-<br>ness of the sound.      |  |
| Metrical Centroid and<br>Strength       |        | Assessment of metrical activity and pulsation strength / clarity.         | Spectral Spread                    |                                  | 2 <sup>nd</sup> moment (variance): measures the dispersion of the spectrum. |  |
| Pulse / Rhythm clarity                  |        | Strength of the estimated beats.  | Spectral Skewness                  |                                  | 3 <sup>rd</sup> moment: symmetry of the spectrum.                           |  |
| Beats Loudness                          |        | Loudness only for estimated beats.  | Spectral Kurtosis                  |                                  | 4th moment: "peakedness" of the data.                                       |  |
| RMS Energy                              |        | The global energy of the signal.  | Spectral Flatness                  |                                  | Smooth/spikyness of data.   |  |
| Low Energy Rate                         |        | Percentage of frames showing less-<br>than-average energy.                | Spectral Contrast                  |                                  | The spectral contrast of a spectrum.  |  |
| Level                                   |        | Unweighted sound pressure level of the signal.                            | Spectral Entropy                   |                                  | Shannon entropy.  |  |
| Hilbert transform                       |        | Instantaneous level, frequency and phase of the audio waveform.           | Spectral Rolloff / Bright-<br>ness |                                  | Metrics for the amount of high-fre-<br>quency energy in the signal.         |  |
| Loudness                                | namics | Subjective impression of the intensity of a sound.                        | Bark Bands                         | Coloui                           | Bark band energies (psychoacoustical scale of 24 bands).                    |  |
| Timbral Width                           | Dvi    | The width of the peak of the specific loudness spectrum.                  | Mel Bands                          | Tonal                            | Mel band energies (a perceptual scale of pitches).                          |  |
| Volume                                  |        | Refers to the "size" or intensity of the sound.                           | ERB Bands                          |                                  | Energies in bands using Equivalent Rectangular Bandwidth scale.             |  |
| MaxToTotal                              |        | How much the maximum amplitude is off-centre (e.g., crescendos).          | e is MFCCs                         |                                  | Mel-frequency Cepstral Coefficients – measure of spectral shape.            |  |
| TCtoTotal                               |        | How the sound is "balanced". (Temporal centroid)/(envelope total length). | GFCCs                              |                                  | Gammatone Frequency Cepstral Coeff.<br>- MFCCs using ERB Bands.             |  |
| Pitch Estimation                        |        | Sequence of continuous pitch values.                                      | LPCC                               |                                  | Linear Predictive Coding Coefficients.                                      |  |
| Pitch (Terhardt et al.)                 | dv     | Modeling of perceived pitch (outputs several distinct metrics).           | HFC                                |                                  | High-Frequency Content measure.   |  |
| Pitch Salience Function                 | Melo   | Computes the salience of pitch through time.                              | LSP                                |                                  | Linear Spectral Pairs (coeffs.)   |  |
| Predominant Melody                      |        | Estimates F0 of the predominant mel-<br>ody.                              | SCF                                |                                  | Spectral Crest Factor, a measure of the "peakiness" of the spectrum.        |  |
| Pitch Strength                          |        | Indicates if pitch is strongly marked.                                    | SFM                                |                                  | Spec. Flatness Measure (inverse of SCF)                                     |  |
| Inharmonicity                           |        | Amount of partials that are not multiples of the F0.                      | Roughness                          |                                  | Estimation of the sensory dissonance (using the peaks of spectrum).         |  |
| Chromagram                              |        | Energy distribution along pitches.  | Irregularity                       |                                  | (Successive) spectral peaks variability.                                    |  |
| Tuning Frequency                        |        | Exact freq. on which a song is tuned.                                     | Avg Power Spectrum                 |                                  | Power avg. (over time) of the spectra.                                      |  |
| Key and Key Clarity                     |        | Estimated tonal centre positions and their respective clarity.            | Cepstrum                           |                                  | Inverse Fourier Transform of the log of the spectrum.                       |  |
| Key Strength                            | A      | Probability of each key candidate.  | Frames Similarity Ma-<br>trix      | MF                               | Similarity between all possible pairs of frames.                            |  |
| Modality Estimation                     | nor    | Major or minor mode estimation.   | Novelty Curve                      |                                  | Transitions between states.   |  |
| Chords Detection and                    | Han    | Outputs the sequence of chords in a                                       | Average Silence Ratio              | ЕT                               | Can be used as an assessment of articu-                                     |  |
| Descriptors                             |        | щ   | <u>н</u>                           | song and associated descriptors. |   |  |
| Keysom                                  |        | Chromagram correlation colour map.  | Emotion Prediction                 |                                  |   |  |
| Tonal Centroid Vector                   |        | 6-D tonal centroid from chromagram.                                       | Genre Prediction                   | ler                              | Some audio frameworks also provide  |  |
| Harmonic Change De-<br>tection Function |        | Flux of the tonal centroid.   | Danceability                       | Oŧ                               | experimental high-level descriptors based on other lower level features.    |  |
| Sharpness                               |        | Rates sound from dull to sharp.   | Dynamic Complexity                 |                                  |   |  |
| Spectral & Tonal Disso-                 |        | Harshness among tonal components.   |                                    |                                  |   |  |
| nance                                   |        | -   |                                    |                                  |   |  |

TABLE 2 Summary of Standard Audio Features

*RC* = *Related* (*Musical*) *Concept; MF* =*Musical Form; ET* = *Expressive Techniques* 

audio features is highly unproportional, with nearly 60 per-cent in the cited article belonging to timbre (spectral) [9].

In fact, very few features are mainly related with expressive techniques, musical texture (which has none) or musical form. Thus, there is a need for audio features esti- 179 mating higher-level concepts, e.g., expressive techniques 180 and ornamentations like vibratos, tremolos or staccatos 181 (articulation), texture information such as the number of 182



Fig. 1. Russell's circumplex model of emotion (adapted from [9]).

musical lines or repetition and complexity in musical form.
Concepts such as rhythm, melody, dynamics and harmony
already have some related audio features available. The
main question is: are they enough to the problem? In the
next sections we address these questions by proposing
novel high-level audio features and running classification
experiments with both existent and novel features.

To conclude, the majority of current computational MER 190 works (e.g., [3], [10], [16]) share common limitations such as 191 low to average results, especially regarding valence, due to 192 the aforesaid lack of relevant features; lack of uniformity in 193 the selected taxonomies and datasets, which makes it 194 impossible to compare different approaches; and the usage 195 196 of private datasets, unavailable to other researchers for benchmarking. Additional publicly available datasets exist, 197 198 most suffering from the same previously described problems, such as: i) Million Song Dataset, which covers a high 199 200 number of songs but providing only features, metadata and uncontrolled annotations (e.g., based on social media infor-201 mation such as Last. FM) [12]; ii) MoodSwings, which has a 202 limited number of samples [29]; iii) Emotify, which is 203 focused on induced rather than perceived emotions [30]; iv) 204 MIREX, which employs unsupported taxonomies and con-205 tains overlaps between clusters [31]; v) DEAM, which is size-206 able but shows low agreement between annotators, as well 207 as issues such as noisy clips (e.g., claps, speak, silences) or 208 clear variations in emotion in supposedly static excerpts [32]; 209 vi) or existent datasets, which still require manual verifica-210 tion of the gathered annotations or clips quality, such as [6]. 211

#### 212 **3 METHODS**

In this section we introduce the proposed novel audio features and describe the emotion classification experiments carried out. To assess this, and given the mentioned limitations of available datasets, we started by building a newer dataset that suits our purposes.

#### 218 3.1 Dataset Acquisition

The currently available datasets have several issues, as discussed in Section 2. To avoid these pitfalls, the following objectives were pursued to build ours:

Use a simple taxonomy, supported by psychological
 studies. In fact, current MER research is still unable

to properly solve simpler problems with high accu- 224 racy. Thus, in our opinion, there are few advantages 225 to currently tackle problems with higher granularity, 226 where a high number of emotion categories or con- 227 tinuous values are used; 228

- 2) Perform semi-automatic construction, reducing the 229 resources needed to build a sizeable dataset; 230
- Obtain a medium-high size dataset, containing hun- 231 dreds of songs;
   232
- 4) Create a public dataset prepared to further research 233 works, thus providing emotion quadrants as well as 234 genre, artists or emotion tags for multi-label 235 classification; 236

Regarding emotion taxonomies, several distinct models 237 have been proposed over the years, divided into two major 238 groups: categorical and dimensional. It is often argued that 239 dimensional paradigms lead to lower ambiguity, since 240 instead of having a discrete set of emotion adjectives, emo- 241 tions are regarded as a continuum [10]. A widely accepted 242 dimensional model in MER is James Russell's [13] circum- 243 plex model. There, Russell affirms that each emotional state 244 sprouts from two independent neurophysiologic systems. 245 The two proposed dimensions are valence (pleasant- 246 unpleasant) and activity or arousal (aroused-not aroused), 247 or AV. The resulting two-dimensional plane forms four dif- 248 ferent quadrants: 1- exuberance, 2- anxiety, 3- depression 249 and 4- contentment (Fig. 1). Here, we follow this taxonomy. 250

The AllMusic API<sup>2</sup> served as the source of musical information, providing metadata such as artist, title, genre and 252 emotion information, as well as 30-second audio clips for 253 most songs. The steps for the construction of the dataset are 254 described in the following paragraphs. 255

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- Step 1: *AllMusic API querying*. First, we queried the API for 257 the top songs for each of the 289 distinct emotion 258 tags in it. This resulted in 370611 song entries, of 259 which 89 percent had an associated audio sample 260 and 98 percent had genre tags, with 28646 distinct 261 artist tags present. These 289 emotion tags used by 262 AllMusic are not part of any known supported taxonomy, still are said to be "created and assigned to 264 music works by professional editors" [33]. 265
- Step 2: *Mapping of AllMusic tags into quadrants*. Next, we use <sup>267</sup> the Warriner's adjectives list [34] to map the 289 All-<sup>268</sup> Music tags into Russell's AV quadrants. Warriner's <sup>269</sup> list contains 13915 English words with affective rat-<sup>270</sup> ings in terms of arousal, valence and dominance <sup>271</sup> (AVD). It is an improvement over previous studies <sup>272</sup> (e.g., ANEW adjectives list [35]), with a better docu-<sup>273</sup> mented annotation process and a more comprehen-<sup>274</sup> sive list of words. Intersecting Warriner and <sup>275</sup> AllMusic tags results in 200 common words, where a <sup>276</sup> higher number have positive valence (Q1: 49, Q2: 35, <sup>277</sup> Q3: 33, Q4: 75).
- Step 3: *Processing and filtering*. Then, the set of related meta- 280 data, audio clips and emotion tags with AVD values 281 was processed and filtered. As abovementioned, in 282

2. http://developer.rovicorp.com/docs

our dataset each song is annotated according to one 283 of Russell's quadrants. Hence, the first iteration con-284 sisted in removing song entries where a dominant 285 quadrant was not present. We defined a quadrant to 286 be dominant when at least 50 percent of the emotion 287 tags of the song belong to it. This reduced the set to 288 289 120733 song entries. Further cleaning was performed by removing duplicated song entries using approxi-290 mate string matching. A second iteration removed 291 any song entry without genre information and hav-292 ing less than 3 emotion tags associated to meet the 293 predefined objectives, reducing the set to 39983 294 entries. Then, a third iteration was used to deal with 295 the unbalanced nature of the original data in terms 296 of emotion tags and genres. Finally, the dataset was 297 298 sub-sampled, resulting in a candidate set containing 2200 song clips, balanced in terms of quadrants and 299 300 genres in each quadrant, which was then manually validated, as described in the next section. 301

#### 302 3.2 Validation of Emotion Annotations

Not many details are known regarding the AllMusic emotion 303 tagging process, apart from supposedly being made by experts 304 [33]. It is unclear whether they are annotating songs using only 305 audio, lyrics or a combination of both. In addition, it is 306 unknown how the 30-second clips that represent each song 307 are selected by AllMusic. In our analysis, we observed several 308 noisy clips (e.g., containing applauses, only speech, long silen-309 ces, inadequate song segments such as the introduction). 310

Hence, a manual blind inspection of the candidate set was conducted. Subjects were given sets of randomly distributed clips and asked to annotate them accordingly in terms of Russell's quadrants. Beyond selecting a quadrant, the annotation framework allowed subjects to mark clips as unclear, if the emotion was unclear to the subject, or bad, if the clip contained noise (as defined above).

318 To construct the final dataset, song entries with clips considered bad or where subjects' and AllMusic's annotations 319 did not match were excluded. The quadrants were also reba-320 lanced to obtain a final set of 900 song entries, with exactly 321 225 for each quadrant. In our opinion, the dataset dimension 322 is an acceptable compromise between having a bigger data-323 set using tools such as the Amazon Mechanical Turk or auto-324 matic but uncontrolled sources as annotations, and a very 325 small and resource intensive dataset annotated exclusively 326 by a high number of subjects in a controlled environment. 327

Each song entry is tagged in terms of Russell's quadrants, 328 arousal and valence classes (positive or negative), and 329 multi-label emotion tags. In addition, emotion tags have an 330 associated AV value from Warriner's list, which can be 331 used to place songs in the AV plane, allowing the use of this 332 dataset in regression problems (yet to be demonstrated). 333 Moreover, the remaining metadata (e.g., title, artist, album, 334 year, genre and theme) can also be exploited in other MIR 335 tasks. The final dataset is publicly available in our site<sup>3</sup>. 336

#### 337 3.3 Standard Audio Features

As abovementioned, frameworks such as the MIR Toolbox, Marsyas and PsySound offer a large number of computational audio features. In this work, we extract a 340 total of 1702 features from those three frameworks. This 341 high amount of features is also because several statistical 342 measures were computed for time series data. 343

Afterwards, a feature reduction stage was carried to dis-344 card redundant features obtained by similar algorithms 345 across the selected audio frameworks. This process con-346 sisted in the removal of features with correlation higher 347 than 0.9, where features with lower weight were discarded, 348 according to the ReliefF [36] feature selection algorithm. 349 Moreover, features with zero standard deviation were also 350 removed. As a result, the number of baseline features was 351 reduced to 898. A similar feature reduction process was carsize ried out with the novel features presented in the following 353 subsection. 354

These standard audio features serve to build baseline 355 models against which new approaches, employing the 356 novel audio features proposed in the next section, can be 357 benchmarked. The illustrated number of novel features is 358 described as follows. 359

## 3.4 Novel Audio Features

Many of the standard audio features are low-level, extracted <sup>361</sup> directly from the audio waveform or the spectrum. How- <sup>362</sup> ever, we naturally rely on clues like melodic lines, notes, <sup>363</sup> intervals and scores to assess higher-level musical concepts <sup>364</sup> such as harmony, melody, articulation or texture. The <sup>365</sup> explicit determination of musical notes, frequency and <sup>366</sup> intensity contours are important mechanisms to capture <sup>367</sup> such information and, therefore, we describe this prelimi-<sup>368</sup> nary step before presenting actual features, as follows. <sup>369</sup>

## 3.4.1 From the Audio Signal to MIDI Notes

Going from audio waveform to music score is still an 371 unsolved problem, and automatic music transcription algo- 372 rithms are still imperfect [37]. Still, we believe that estimat- 373 ing things such as predominant melody lines, even if 374 imperfect, give us relevant information that is currently 375 unused in MER. 376

To this end, we built on previous works by Salomon et al. 377 [38] and Dressler [39] to estimate predominant fundamental 378 frequencies (f0) and saliences. Typically, the process starts 379 by identifying which frequencies are present in the signal at 380 each point in time (sinusoid extraction). Here, 46.44 msec 381 (1024 samples) frames with 5.8 msec (128 samples) hopsize 382 (hereafter denoted *hop*) were selected. 383

Next, harmonic summation is used to estimate the <sup>384</sup> pitches in these instants and how salient they are (obtaining <sup>385</sup> a pitch salience function). Given this, the series of consecutive pitches which are continuous in frequency are used to <sup>387</sup> form pitch contours. These represent notes or phrases. <sup>388</sup> Finally, a set of computations is used to select the f0s that <sup>389</sup> are part of the predominant melody [38]. The resulting pitch <sup>390</sup> trajectories are then segmented into individual MIDI notes <sup>391</sup> following the work by Paiva et al. [40]. <sup>392</sup>

Each of the *N* obtained notes, hereafter denoted as  $note_i$ , 393 is characterized by: the respective sequence of f0s (a total of 394  $L_i$  frames),  $f0_{j,i}$ ,  $j = 1, 2, ..., L_i$ ; the corresponding MIDI 395 note numbers (for each f0),  $midi_{j,i}$ ; the overall MIDI note 396 value (for the entire note),  $MIDI_i$ ; the sequence of pitch sali-397 ences,  $sal_{j,i}$ ; the note duration,  $nd_i$  (sec); starting time,  $st_i$  398

360

(sec); and ending time,  $et_i$  (sec). This information is exploited to model higher level concepts such as vibrato, glissando, articulations and others, as follows.

In addition to the predominant melody, music is composed of several melodic lines produced by distinct sources. Although less reliable, there are works approaching multiple (also known as polyphonic) F0 contours estimation from these constituent sources. We use Dressler's multi-F0 approach [39] to obtain a framewise sequence of fundamental frequencies estimates.

#### 409 3.4.2 Melodic Features

Melody is a key concept in music, defined as the horizontal
succession of pitches. This set of features consists in metrics
obtained from the notes of the melodic trajectory.

413 *MIDI Note Number (MNN) statistics.* Based on the MIDI 1414 note number of each note,  $MIDI_i$  (see Section 3.4.1), we 1415 compute 6 statistics: *MIDImean*, i.e., the average MIDI note 1416 number of all notes, *MIDIstd* (standard), *MIDIskew* (skew-1417 ness), *MIDIkurt* (kurtosis), *MIDImax* (maximum) and *MIDI-*1418 *min* (minimum).

419Note Space Length (NSL) and Chroma NSL (CNSL). We also420extract the total number of unique MIDI note values, NSL,421used in the entire clip, based on  $MIDI_i$ . In addition, a similar422metric, chroma NSL, CNSL, is computed, this time mapping423all MIDI note numbers to a single octave (result 1 to 12).

Register Distribution. This class of features indicates how 424 the notes of the predominant melody are distributed across 425 different pitch ranges. Each instrument and voice type has 426 different ranges, which in many cases overlap. In our imple-427 mentation, 6 classes were selected, based on the vocal cate-428 gories and ranges for non-classical singers [41]. The 429 resulting metrics are the percentage of MIDI note values in 430 the melody, *MIDI*<sub>i</sub>, that are in each of the following regis-431 ters: Soprano (C4-C6), Mezzo-soprano (A3-A5), Contralto 432 (F3-E5), Tenor (B2-A4), Baritone (G2-F4) and Bass (E2-E4). 433 For instance, for soprano, it comes  $(1)^4$ : 434

**43**6 437  $RDsoprano = \frac{\sum_{i=1}^{N} [72 \le MIDI_i \le 96]}{N}.$ 

*Register Distribution per Second.* In addition to the previous class of features, these are computed as the ratio of the
sum of the duration of notes with a specific pitch range
(e.g., soprano) to the total duration of all notes. The same 6
pitch range classes are used.

Ratios of Pitch Transitions. Music is usually composed of 443 sequences of notes of different pitches. Each note is fol-444 lowed by either a higher, lower or equal pitch note. These 445 changes are related with the concept of melody contour and 446 movement. They are also important to understand if a mel-447 448 ody is conjunct (smooth) or disjunct. To explore this, the extracted MIDI note values are used to build a sequence of 449 transitions to higher, lower and equal notes. 450

The obtained sequence marking transitions to higher,
equal or lower notes is summarized in several metrics,
namely: Transitions to Higher Pitch Notes Ratio (*THPNR*),
Transitions to Lower Pitch Notes Ratio (*TLPNR*) and Transitions to Equal Pitch Notes Ratio (*TEPNR*). There, the ratio of

the number of specific transitions to the total number of 456 transitions is computed. Illustrating for *THPNR*, (2): 457

$$THPNR = \frac{\sum_{i=1}^{N-1} [MIDI_i < MIDI_{i+1}]}{N-1}.$$
 (2) 459

*Note Smoothness (NS) statistics.* Also related to the charac- 461 teristics of the melody contour, the note smoothness feature 462 is an indicator of how close consecutive notes are, i.e., how 463 smooth is the melody contour. To this end, the difference 464 between consecutive notes (MIDI values) is computed. The 465 usual 6 statistics are also calculated. 466

$$NSmean = \frac{\sum_{i=1}^{N-1} |MIDI_{i+1} - MIDI_i|}{N-1}.$$
 (3) 468  
469

## 3.4.3 Dynamics Features

......

(1)

Exploring the pitch salience of each note and how it compares with neighbour notes in the score gives us information about their individual intensity, as well as and 473 intensity variation. To capture this, notes are classified as 474 high (strong), medium and low (smooth) intensity based on 475 the mean and standard deviation of all notes, as in (4): 476

$$\begin{aligned} SAL_{i} &= \underset{1 \leq j \leq L_{i}}{\operatorname{median}} (sal_{j,i}) \\ \mu_{s} &= \underset{1 \leq i \leq N}{\operatorname{mean}} (SAL_{i}) \\ \sigma_{s} &= \underset{1 \leq i \leq N}{\operatorname{std}} (SAL_{i}) \\ INT_{i} &= \begin{cases} low, & SAL_{i} \leq \mu_{s} - 0.5\sigma_{s} \\ medium, & \mu_{s} - 0.5\sigma_{s} < SAL_{i} < \mu_{s} + 0.5\sigma_{s} \\ high, & SAL_{i} \geq \mu_{s} + 0.5\sigma_{s} \end{cases} \end{aligned}$$

$$\begin{aligned} & (4) \overset{478}{_{476}} \end{aligned}$$

There,  $SAL_i$  denotes the median intensity of  $note_i$ , for all 480 its frames and  $INT_i$  stands for the qualitative intensity of 481 the same note. Based on the calculations in (4), the following 482 features are extracted. 483

*Note Intensity (NI) statistics.* Based on the median pitch 484 salience of each note, we compute same 6 statistics. 485

*Note Intensity Distribution.* This class of features indicates 486 how the notes of the predominant melody are distributed 487 across the three intensity ranges defined above. Here, we 488 define three ratios: Low Intensity Notes Ratio (*LINR*), 489 Medium Intensity Notes Ratio (*MINR*) and High Intensity 490 Notes Ratio (*HINR*). These features indicate the ratio of 491 number of notes with a specific intensity (e.g., low intensity 492 notes, as defined above) to the total number of notes.

Note Intensity Distribution per Second. Low Intensity Note 494 Duration Ratio (LINDR), Medium Intensity Notes Duration 495 Ratio (MINDR) and High Intensity Notes Duration Ratio 496 (HINDR) statistics. These features are computed as the ratio 497 of the sum of the duration of notes with a specific intensity 498 to the total duration of all notes. Furthermore, the usual 6 499 statistics are calculated. 500

*Ratios of Note Intensity Transitions.* Transitions to Higher 501 Intensity Notes Ratio (THINR), Transitions to Lower Inten-502 sity Notes Ratio (TLINR) and Transitions to Equal Intensity 503 Notes Ratio (TELNR). In addition to the previous metrics, 504 these features capture information about changes in note 505

dynamics by measuring the intensity differences between
consecutive notes (e.g., the ratio of transitions from low to
high intensity notes).

Crescendo and Decrescendo (CD) statistics. Some instru-509 ments (e.g., flute) allow intensity variations in a single note. 510We identify notes as having crescendo or decrescendo (also 511 known as diminuendo) based on the intensity difference 512 between the first half and the second half of the note. A 513 threshold of 20 percent variation between the median of the 514 two parts was selected after experimental tests. From these, 515 we compute the number of crescendo and decrescendo 516 notes (per note and per sec). In addition, we compute 517 sequences of notes with increasing or decreasing intensity, 518 computing the number of sequences for both cases (per note 519 and per sec) and length crescendo sequences in notes and in 520 521 seconds, using the 6 previously mentioned statistics.

#### 522 3.4.4 Rhythmic Features

Music is composed of sequences of notes changing over time, 523 each with a specific duration. Hence, statistics on note dura-524 tions are obvious metrics to compute. Moreover, to capture 525 the dynamics of these durations and their changes, three pos-526 sible categories are considered: short, medium and long 527 notes. As before, such ranges are defined according to the 528 mean and standard deviation of the duration of all notes, as 529 in (5). There,  $ND_i$  denotes the qualitative duration of  $note_i$ . 530

$$\mu_{d} = \max_{1 \le i \le N} (nd_{i})$$

$$\sigma_{d} = \operatorname{std}_{1 \le i \le N} (nd_{i})$$

$$ND_{i} = \begin{cases} short, & nd_{i} \le \mu_{d} - 0.5\sigma_{d} \\ medium, & \mu_{d} - 0.5\sigma_{d} < nd_{i} < \mu_{d} + 0.5\sigma_{d} \\ long, & nd_{i} \ge \mu_{d} + 0.5\sigma_{d} \end{cases}$$
(5)

532

534 The following features are then defined.

Note Duration (ND) statistics. Based on the duration of each note,  $nd_i$  (see Section 3.4.1), we compute the usual 6 statistics.

Note Duration Distribution. Short Notes Ratio (SNR),
Medium Length Notes Ratio (MLNR), Long Notes Ratio
(LNR). These features indicate the ratio of the number of
notes in each category (e.g., short duration notes) to the total
number of notes.

Note Duration Distribution per Second. Short Notes Dura-543 tion Ratio (SNDR), Medium Length Notes Duration Ratio 544 (MLNDR) and Long Notes Duration Ratio (LNDR) statis-545 tics. These features are calculated as the ratio of the sum of 546 duration of the notes in each category to the sum of the 547 duration of all notes. Next, the 6 statistics are calculated for 548 549 notes in each of the existing categories, i.e., for short notes duration: SNDRmean (mean value of SNDR), etc. 550

Ratios of Note Duration Transitions. Ratios of Note Dura-551 tion Transitions (RNDT). Transitions to Longer Notes Ratio 552 553 (TLNR), Transitions to Shorter Notes Ratio (TSNR) and Transitions to Equal Length Notes Ratio (TELNR). Besides 554 measuring the duration of notes, a second extractor cap-555 tures how these durations change at each note transition. 556 Here, we check if the current note increased or decreased in 557 length when compared to the previous. For example, 558 regarding the TLNR metric, a note is considered longer than 559

the previous if there is a difference of more than 10 percent 560 in length (with a minimum of 20 msec), as in (6). Similar cal-561 culations apply to the *TSNR* and *TELNR* features. 562

$$TLNR = \frac{\sum_{i=1}^{N-1} [nd_{i+1}/nd_i - 1 > 0.1]}{N-1}.$$
 (6) 564

#### 3.4.5 Musical Texture Features

To the best of our knowledge, musical texture is the musical 567 concept with less directly related audio features available 568 (Section 3). However, some studies have demonstrated that 569 it can influence emotion in music either directly or by interacting with other concepts such as tempo and mode [42]. We 571 propose features related with the music layers of a song. 572 Here, we use the sequence of multiple frequency estimates to 573 measure the number of simultaneous layers in each frame of 574 the entire audio signal, as described in Section 3.4.1. 575

*Musical Layers (ML) statistics.* As abovementioned, a number of multiple F0s are estimated from each frame of the song 577 clip. Here, we define the number of layers in a frame as the 578 number of obtained multiple F0s in that frame. Then, we 579 compute the 6 usual statistics regarding the distribution of 580 musical layers across frames, i.e., *MLmean*, *MLstd*, etc. 581

*Musical Layers Distribution (MLD).* Here, the number of *f*0 582 estimates in a given frame is divided into four classes: i) no 583 layers; ii) a single layer; iii) two simultaneous layers; iv) and 584 three or more layers. The percentage of frames in each of 585 these four classes is computed, measuring, as an example, 586 the percentage of song identified as having a single layer 587 (*MLD1*). Similarly, we compute *MLD0*, *MLD2* and *MLD3*. 588

*Ratio of Musical Layers Transitions (RMLT).* These features 589 capture information about the changes from a specific musisolution for the sequence to another (e.g., ML1 to ML2). To this 591 end, we use the number of different fundamental frequencies (f0s) in each frame, identifying consecutive frames with 593 distinct values as transitions and normalizing the total value 594 by the length of the audio segment (in secs). Moreover, we 595 also compute the length in seconds of the longest segment 596 for each musical layer. 597

#### 3.4.6 Expressivity Features

Few of the standard audio features studied are primarily 599 related with expressive techniques in music. However, com- 600 mon characteristics such as vibrato, tremolo and articulation 601 methods are commonly used in music, with some works 602 linking them to emotions [43]–[45]. 603

Articulation Features. Articulation is a technique affecting 604 the transition or continuity between notes or sounds. To 605 compute articulation features, we start by detecting legato 606 (i.e., connected notes played "smoothly") and staccato (i.e., 607 short and detached notes), as described in Algorithm 1. 608 Using this, we classify all the transitions between notes in 609 the song clip and, from them, extract several metrics such 610 as: ratio of staccato, legato and other transitions, longest 611 sequence of each articulation type, etc. 612

In Algorithm 1, the employed threshold values were set 613 experimentally. Then, we define the following features: 614

Staccato Ratio (SR), Legato Ratio (LR) and Other Transitions 615 Ratio (OTR). These features indicate the ratio of each 616

7

566

articulation type (e.g., staccato) to the total number of transi-617 tions between notes. 618

| 519 | Algorithm 1. Articulation Detection.                                      |
|-----|---|
| 520 | 1. For each pair of consecutive notes, $note_i$ and $note_{i+1}$ :        |
| 521 | 1.1. Compute the inter-onset interval (IOI, in sec), i.e., the            |
| 522 | interval between the onsets of the two notes, as                          |
| 523 | follows: $IOI = st_{i+1} - st_i$ .  |
| 524 | 1.2. Compute the inter-note silence (INS, in sec), i.e., the              |
| 525 | duration of the silence segment between the two notes,                    |
| 526 | as follows: $INS = st_{i+1} - et_i$ .                                     |
| 527 | 1.3. Calculate the ratio of INS to IOI (INStoIOI), which indi-            |
| 528 | cates how long the interval between notes is compared                     |
| 529 | to the duration of $note_i$ .   |
| 530 | 1.4. Define the articulation between $note_i$ and $note_{i+1}$ ,          |
| 531 | $art_i$ , as:   |
| 532 | 1.4.1. Legato, if the distance between notes is less than                 |
| 533 | 10 msec, i.e., $INS \leq 0.01 \Rightarrow art_i = 1$ .                    |
| 534 | 1.4.2. <i>Staccato</i> , if the duration of $note_i$ is short (i.e., less |
| 635 | than 500 msec) and the silence between the two                            |
| 636 | notes is relatively similar to this duration, i.e.,                       |
| 637 | $nd_i < 0.5 \land 0.25 \leq INStoIOI \leq 0.75 \Rightarrow art_i = 2.$    |

1.4.3. Other Transitions, if none of the abovementioned 638 two conditions was met ( $art_i = 0$ ). 639

Staccato Notes Duration Ratio (SNDR), Legato Notes Dura-640 tion Ratio (LNDR) and Other Transition Notes Duration Ratio 641 (OTNDR) statistics. Based on the notes duration for each 642 articulation type, several statistics are extracted. The first is 643 the ratio of the duration of notes with a specific articulation 644 to the sum of the duration of all notes. Eq. 7 illustrates this 645 procedure for staccato (SNDR). Next, the usual 6 statistics 646 are calculated. 647

665

669

670

$$SNDR = \frac{\sum_{i=1}^{N-1} [art_i = 1] \cdot nd_i}{\sum_{i=1}^{N-1} nd_i}.$$
 (7)

Glissando Features. Glissando is another kind of expres-651 sive articulation, which consists in the glide from one note 652 to another. It is used as an ornamentation, to add interest to 653 a piece and thus may be related to specific emotions in 654 music. 655

We extract several glissando features such as glissando 656 presence, extent, length, direction or slope. In cases where 657 two distinct consecutive notes are connected with a glis-658 sando, the segmentation method applied (mentioned in 659 Section 3.4.1) keeps this transition part at the beginning 660 of the second note [40]. The climb or descent, of at least 661 100 cents, might contain spikes and slight oscillations in fre-662 quency estimates, followed by a stable sequence. Given this, 663 we apply the following algorithm: 664

Then, we define the following features.

Glissando Presence (GP). A song clip contains glissando if 666 any of its notes has glissando, as in (8). 667

$$GP = \begin{cases} 1, & \text{if } \exists i \in \{1, 2, \dots, N\} : gp_i = 1\\ 0, & \text{otherwise} \end{cases}$$
(8)

Glissando Extent (GE) statistics. Based on the glissando 671 extent of each note,  $ge_i$  (see Algorithm 2), we compute the 672 usual 6 statistics for notes containing glissando. 673

Glissando Duration (GD) and Glissando Slope (GS) statistics. 674 As with GE, we also compute the same 6 statistics for glis- 675 sando duration, based on  $gd_i$  and slope, based on  $gs_i$  (see 676 Algorithm 2). 677

| Algorithm 2. Glissando Detection.                                 |
|---|
| 1. For each note <i>i</i> :                                       |
| 1.1. Get the list of unique MIDI note numbers, $u_{z,i}$ , $z =$  |
| 1, 2,, $U_i$ , from the corresponding sequence of MIDI            |
| note numbers (for each f0), $midi_{j,i}$ , where z denotes a      |
| distinct MIDI note number (from a total of $U_i$ unique           |
| MIDI note numbers).   |
| 1.2. If there are at least two unique MIDI note numbers:          |
| 1.2.1. Find the start of the steady-state region, i.e., the       |
| index, k, of the first note in the MIDI note num-                 |
| bers sequence, $mid_{j,i}$ , with the same value as               |
| the overall MIDI note, $MIDI_i$ , i.e.,                           |
| $k => \min_{1 \le j \le L_i, \ midi_{j,i} = MIDI_i} j,$           |
| 1.2.2. Identify the end of the glissando segment as the           |
| first index, <i>e</i> , before the steady-state region, i.e.,     |
| e = k - 1.  |
| 1.3. Define   |
| 1.3.1. $gd_i$ = glissando duration (sec) in note <i>i</i> , i.e., |
| $gd_i = e \cdot hop.$   |
| 1.3.2. $gp_i$ = glissando presence in note <i>i</i> , i.e.,       |
| $gp_i = 1$ if $gd_i > 0; 0$ , otherwise.                          |
| 1.3.3. $ge_i = $ glissando extent in note <i>i</i> , i.e.,        |
| $ge_i =  f0_{1,i} - f0_{e,i} $ in cents.                          |
| 1.3.4. $gc_i = \text{glissando coverage of note } i$ , i.e.,      |
| $gc_i = gd_i/dur_i.$  |
| 1.3.5. $gdir_i$ = glissando direction of note <i>i</i> , i.e.,    |
| $gdir_i = sign(f0_{e,i} - f0_{1,i}).$                             |
| 1.3.6. $gs_i = $ glissando slope of note <i>i</i> , i.e.,         |
| $gs_i = gdir_i \cdot ge_i/gd_i.$                                  |

Glissando Coverage (GC). For glissando coverage, we com- 707 pute the global coverage, based on  $gc_i$ , using (9). 708

$$GC = \frac{\sum_{i=1}^{N} gc_i \cdot nd_i}{\sum_{i=1}^{N} nd_i}.$$
(9)
710
711

Glissando Direction (GDIR). This feature indicates the 712 global direction of the glissandos in a song, (10): 713

$$GDIR = \frac{\sum_{i=1}^{N} gp_i}{N}$$
, when  $gdir_i = 1.$  (10) 715  
716

Glissando to Non-Glissando Ratio (GNGR). This feature is 717 defined as the ratio of the notes containing glissando to the 718 total number of notes, as in (11): 719

$$GNGR = \frac{\sum_{i=1}^{N} gp_i}{N}.$$
 (11) 721

Vibrato and Tremolo Features. Vibrato is an expressive 723 technique used in vocal and instrumental music that con-724 sists in a regular oscillation of pitch. Its main characteristics 725 are the amount of pitch variation (extent) and the velocity 726 (rate) of this pitch variation. It varies according to different 727 music styles and emotional expression [44]. 728

Hence, we extract several vibrato features, such as 729 vibrato presence, rate, coverage and extent. To this end, we 730 apply a vibrato detection algorithm adapted from [46],as follows:

# 733 Algorithm 3. Vibrato Detection.

| 734 | 1. For each note <i>i</i> :   |
|-----|---|
| 735 | 1.1. Compute the STFT, $ F0_{w,i} $ , $w = 1, 2, \ldots, W_i$ , of the  |
| 736 | sequence $f0_i$ , where $w$ denotes an analysis window                  |
| 737 | (from a total of $W_i$ windows). Here, a 371.2 msec                     |
| 738 | (128 samples) Blackman-Harris window was                                |
| 739 | employed, with 185.6 msec (64 samples) hopsize.                         |
| 740 | 1.2. Look for a prominent peak, $pp_{w,i}$ , in each analysis           |
| 741 | window, in the expected range for vibrato. In this                      |
| 742 | work, we employ the typical range for vibrato in the                    |
| 743 | human voice, i.e., [5], [8] Hz [46]. If a peak is detected,             |
| 744 | the corresponding window contains vibrato.                              |
| 745 | 1.3. Define:  |
| 746 | 1.3.1. $vp_i$ = vibrato presence in note <i>i</i> , i.e.,               |
| 747 | $vp_i = 1$ if $\exists pp_{w,i}; vp_i = 0$ , otherwise.                 |
| 748 | 1.3.2. $WV_i$ = number of windows containing vibrato                    |
| 749 | in note <i>i</i> .  |
| 750 | 1.3.3. $vc_i$ = vibrato coverage of note <i>i</i> , i.e.,               |
| 751 | $vc_i = WV_i/W_i$ (ratio of windows with vibrato                        |
| 752 | to the total number of windows).  |
| 753 | 1.3.4. $vd_i$ = vibrato duration of note <i>i</i> (sec), i.e.,          |
| 754 | $vd_i = vc_i \cdot d_i.$  |
| 755 | 1.3.5. freq $(pp_{w,i})$ = frequency of the prominent peak              |
| 756 | $pp_{w,i}$ (i.e., vibrato frequency, in Hz).                            |
| 757 | 1.3.6. $vr_i = vibrato$ rate of note <i>i</i> (in Hz), i.e., $vr_i =$   |
| 758 | $\sum_{w=1}^{WV_i} \operatorname{freq}(pp_{w,i})/WV_i$ (average vibrato |
| 759 | frequency).   |
| 760 | 1.3.7. $ pp_{w,i}  = magnitude of the prominent peak pp_{w,i}$          |
| 761 | (in cents).   |
| 762 | 1.3.8. $ve_i = vibrato$ extent of note <i>i</i> , i.e.,                 |
| 763 | $ve_i = \sum_{w=1}^{wv_i}  pp_{w,i} /WV_i$ (average amplitude of        |
| 764 | vibrato).   |

765 Then, we define the following features.

*Vibrato Presence (VP)*. A song clip contains vibrato if any
of its notes have vibrato, similarly to (8).

Vibrato Rate (VR) statistics. Based on the vibrato rate of each note,  $vr_i$  (see Algorithm 3), we compute 6 statistics: VRmean, i.e., the weighted mean of the vibrato rate of each note, etc.

$$VRmean = \frac{\sum_{i=1}^{N} vr_i \cdot vc_i \cdot nd_i}{\sum_{i=1}^{N} vc_i \cdot nd_i} .$$
(12)

Vibrato Extent (VE) and Vibrato Duration (VD) statistics. As with VR, we also compute the same 6 statistics for vibrato extent, based on  $ve_i$  and vibrato duration, based on  $vd_i$  (see Algorithm 3).

*Vibrato Coverage (VC)*. Here, we compute the global coverage, based on  $vc_i$ , in a similar way to (9).

High-Frequency Vibrato Coverage (HFVC). This feature
measures vibrato coverage restricted to notes over note C4
(261.6 Hz). This is the lower limit of the soprano's vocal
range [41].

Vibrato to Non-Vibrato Ratio (VNVR). This feature is
defined as the ratio of the notes containing vibrato to the
total number of notes, similarly to (11).

*Vibrato Notes Base Frequency (VNBF) statistics.* As with the *VR* features, we compute the same 6 statistics for the base
frequency (in cents) of all notes containing vibrato.

As for tremolo, this is a trembling effect, somewhat simi- 790 lar to vibrato but regarding change of amplitude. A similar 791 approach is used to calculate tremolo features. Here, the 792 sequence of pitch saliences of each note is used instead of 793 the f0 sequence, since tremolo represents a variation in 794 intensity or amplitude of the note. Given the lack of scientific supported data regarding tremolo, we used the same 796 range employed in vibrato (i.e., 5-8Hz). 797

# 3.4.7 Voice Analysis Toolbox (VAT) Features

Another approach, previously used in other contexts was 799 also tested: a voice analysis toolkit. 800

Some researchers have studied emotion in speaking and 801 singing voice [47] and even studied the related acoustic features [48]. In fact, "using singing voices alone may be effective for separating the "calm" from the "sad" emotion, but 804 this effectiveness is lost when the voices are mixed with 805 accompanying music" and "source separation can effectively improve the performance" [9].

Hence, besides extracting features from the original 808 audio signal, we also extracted the same features from the 809 signal containing only the separated voice. To this end, we 810 applied the singing voice separation approach proposed by 811 Fan et al. [49] (although separating the singing voice from 812 accompaniment in an audio signal is still an open problem). 813

Moreover, we used the Voice Analysis Toolkit<sup>5</sup>, a "set of 814 Matlab code for carrying out glottal source and voice qual-815 ity analysis" to extract features directly from the audio signal. The selected features are related with voiced and 817 unvoiced sections and the detection of creaky voice – "a 818 phonation type involving a low frequency and often highly 819 irregular vocal fold vibration, [which] has the potential [...] 820 to indicate emotion" [50].

## 3.5 Emotion Recognition

Given the high number of features, ReliefF feature selection 823 algorithms [36] were used to select the better suited ones for 824 each classification problem. The output of the ReliefF algo- 825 rithm is a weight between -1 and 1 for each attribute, with 826 more positive weights indicating more predictive attributes. 827 For robustness, two algorithms were used, averaging the 828 weights: ReliefFequalK, where K nearest instances have 829 equal weight, and ReliefFexpRank, where K nearest instan- 830 ces have weight exponentially decreasing with increasing 831 rank. From this ranking, we use the top N features for classi- 832 fication testing. The best performing N indicates how many 833 features are needed to obtain the best results. To combine 834 baseline and novel features, a preliminary step is run to 835 eliminate novel features that have high correlation with 836 existing baseline features. After this, the resulting feature 837 set (baseline+novel) is used with the same ranking proce-838 dure, obtaining a top N set (baseline+novel) that achieves 839 the best classification result. 840

As for classification, in our experiments we used Support 841 Vector Machines (SVM) [51] to classify music based on the 4 842 emotion quadrants. Based on our work and in previous 843 MER studies, this technique proved robust and performed 844 generally better than other methods. Regarding kernel 845 selection, a common choice is a Gaussian kernel (RBF), 846

5. https://github.com/jckane/Voice\_Analysis\_Toolkit

798

TABLE 3 Results of the Classification by Quadrants

| Classifier | Feat. set      | # Features | F1-Score          |
|------------|----------------|------------|-------------------|
| SVM        | baseline       | 70         | $67.5\%\pm0.05$   |
| SVM        | baseline       | 100        | $67.4\% \pm 0.05$ |
| SVM        | baseline       | 800        | $71.7\% \pm 0.05$ |
| SVM        | baseline+novel | 70         | $74.7\% \pm 0.05$ |
| SVM        | baseline+novel | 100        | $76.4\%\pm0.04$   |
| SVM        | baseline+novel | 800        | $74.8\%\pm0.04$   |

while a polynomial kernel performs better in a small subset
of specific cases. In our preliminary tests RBF performed
better and hence was the selected kernel.

All experiments were validated with repeated stratified 10-fold cross validation [52] (using 20 repetitions) and the average obtained performance is reported.

#### **4 RESULTS AND DISCUSSION**

Several classification experiments were carried out to measure the importance of standard and novel features in MER problems. First, the standard features, ranked with ReliefF, were used to obtain a baseline result. Followingly, the novel features were combined with the baseline and also tested, to assess whether the results are different and statistically significant.

#### 860 4.1 Classification Results

A summary of the attained classification results is presented 861 in Table 3. The baseline features attained 67.5 percent F1-862 Score (macro weighted) with SVM and 70 standard features. 863 The same solution achieved a maximum of 71.7 percent 864 with a very high number of features (800). Adding the novel 865 features (i.e., standard + novel features) increased the maxi-866 mum result of the classifier to 76.4 percent (0.04 standard 867 deviation), while using a considerably lower number of fea-868 tures (100 instead of 800). This difference is statistically sig-869 nificant (at p < 0.01, paired T-test). 870

The best result (76.4 percent) was obtained with 29 novel and 71 baseline features, which demonstrates the relevance of adding novel features to MER, as will be discussed in the next section. In the paragraphs below, we conduct a more comprehensive feature analysis.

Besides showing the overall classification results, we also 876 analyse the results obtained in each individual quadrant 877 (Table 4), which allows us to understand which emotions 878 are more difficult to classify and what is the influence of the 879 standard and novel features in this process. In all our tests, 880 a significantly higher number of songs from Q1 and Q2 881 were correctly classified when compared to Q3 and Q4. 882 This seems to indicate that emotions with higher arousal are 883

TABLE 4 Results Per Quadrant Using 100 Features

|       | baseline |        |          | novel |        |          |
|-------|----------|--------|----------|-------|--------|----------|
| Quads | Prec.    | Recall | F1-Score | Prec. | Recall | F1-Score |
| Q1    | 62.6%    | 73.4%  | 67.6%    | 74.6% | 81.7%  | 78.0%    |
| Q2    | 82.3%    | 79.6%  | 80.9%    | 88.6% | 84.7%  | 86.6%    |
| Q3    | 61.3%    | 57.5%  | 59.3%    | 71.9% | 69.9%  | 70.9%    |
| Q4    | 62.8%    | 57.9%  | 60.2%    | 69.6% | 68.1%  | 68.8%    |

TABLE 5 Confusion Matrix Using the Best Performing Model.

|        |       |        | predicte | d      |        |
|--------|-------|--------|----------|--------|--------|
|        |       | Q1     | Q2       | Q3     | Q4     |
| actual | Q1    | 185.85 | 14.40    | 8.60   | 18.15  |
|        | Q2    | 23.95  | 190.55   | 7.00   | 3.50   |
|        | Q3    | 14.20  | 8.40     | 157.25 | 45.15  |
|        | Q4    | 24.35  | 1.65     | 45.85  | 153.15 |
|        | Total | 246.35 | 215.00   | 218.70 | 219.95 |

easier to differentiate with the selected features. Out of the 884 two, Q2 obtained the highest F1-Score. This goes in the 885 same direction as the results obtained in [53], and might be 886 explained by the fact that several excerpts from Q2 belong 887 to the heavy-metal genre, which has very distinctive, noiselike, acoustic features. 889

The lower results in Q3 and Q4 (on average 12 percent 890 below the results from Q1 and Q3) can be a consequence of 891 several factors. First, more songs in these quadrants seem 892 more ambiguous, containing unclear or contrasting emo-893 tions. During the manual validation process, we observed 894 low agreement (45.3 percent) between the subject's opinions 895 and the original AllMusic annotations. Moreover, subjects 896 reported having more difficulty distinguishing valence for 897 songs with low arousal. In addition, some songs from these 898 quadrants appear to share musical characteristics, which 899 are related to contrasting emotional elements (e.g., a happy 900 accompaniment or melody and a sad voice or lyric). This 901 concurs with the conclusions presented in [54].

For the same number of features (100), the experiment 903 using novel features shows an improvement of 9 percent in 904 F1-Score when compared to the one using only the baseline 905 features. This increment is noticeable in all four quadrants, 906 ranging from 5.7 percent in quadrant 2, where the baseline 907 classifier performance was already high, to a maximum 908 increment of 11.6 percent in quadrant 3, which was the least 909 performing using only baseline features. Overall, the novel 910 features improved the classification generally, with a 911 greater influence in songs from Q3. 912

Regarding the misclassified songs, analyzing the confusion matrix (see Table 5, averaged for the 20 repetitions of 10fold cross validation) shows that the classifier is slightly 915 biased towards positive valence, predicting more frequently 916 songs from quadrants 1 and 4 (466.3, especially Q1 with 917 246.35) than from 2 and 3 (433.7). Moreover, a significant 918 number of songs were wrongly classified between quadrants 919 3 and 4, which may be related with the ambiguity described 920 previously [54]. Based on this, further MER research needs 921 to tackle valence in low arousal songs, either by using new 922 features to capture musical concepts currently ignored or by 923 combining other sources of information such as lyrics. 924

#### 4.2 Feature Analysis

Fig. 2 presents the total number of standard and novel audio 926 features extracted, organized by musical concept. As dis-927 cussed, most are tonal features, for the reasons pointed out 928 previously. 929

As abovementioned, the best result (76.4 percent, Table 3) 930 was obtained with 29 novel and 71 baseline features, which 931 demonstrates the relevance of the novel features to MER. 932



Fig. 2. Feature distribution across musical concepts.

Moreover, the importance of each audio feature was measured using ReliefF. Some of the novel features proposed in this work appear consistently in the top 10 features for each problem and many others are in the first 100, demonstrating their relevance to MER. There are also features that, while alone may have a lower weight, are important to specific problems when combined with others.

In this section we discuss the best features to discriminate each specific quadrant from the others, according to
specific feature rankings (e.g., ranking of features to separate Q1 songs from non-Q1 songs). The top 5 features to discriminate each quadrant are presented in Table 6.

Except for quadrant 1, the top5 features for each quad-945 946 rant contain a majority of tone color features, which are 947 overrepresented in comparison to the remaining. It is also 948 relevant to highlight the higher weight given by ReliefF to 949 the top5 features of both Q2 and Q4. This difference in weights explains why less features are needed to obtain 950 95 percent of the maximum score for both quadrants, when 951 compared to Q1 and Q3. 952

Musical texture information, namely the number of 953 musical layers and the transitions between different texture 954 types (two of which were extracted from voice only signals) 955 were also very relevant for quadrant 1, together with several 956 rhythmic features. However, the ReliefF weight of these fea-957 tures to Q1 is lower when compared with the top features of 958 959 other quadrants. Happy songs are usually energetic, associated with a "catchy" rhythm and high energy. The higher 960 number of rhythmic features used, together with texture 961 and tone color (mostly energy metrics) support this idea. 962 Interestingly, creaky voice detection extracted directly from 963 voice is also highlighted (it ranked 15<sup>th</sup>), which has previ-964 ously been associated with emotion [50]. 965

The best features to discriminate Q2 are related with tone color, such as: roughness - capturing the dissonance in the song; rolloff and MFCC – measuring the amount of high frequency and total energy in the signal; and spectral flatness measure – indicating how noise-like the sound is.

Other important features are tonal dissonance (dynamics) and expressive techniques such as vibrato. Empirically, it makes sense that characteristics like sensory dissonance, high energy, and complexity are correlated to tense, aggressive

TABLE 6 Top 5 Features for Each Quadrant Discrimination

| Q  | Feature  | Туре          | Concept    | Weight |
|----|--|---------------|------------|--------|
| 01 | FFT Spectrum - Spectral<br>2nd Moment (median) | base          | Tone Color | 0.1467 |
|    | Transitions ML1 -><br>ML0 (Per Sec)            | novel         | Texture    | 0.1423 |
| ×1 | MFCC1 (mean)                                   | base          | Tone Color | 0.1368 |
|    | Transitions ML0 ->                             | novel (voice) | Texture    | 0.1344 |
|    | ML1 (Per Sec)                                  |               |            |        |
|    | Fluctuation (std)                              | base          | Rhythm     | 0.1320 |
|    | FFT Spectrum - Spectral<br>2nd Moment (median) | base          | Tone Color | 0.2528 |
|    | Roughness (std)                                | base          | Tone Color | 0.2219 |
| Q2 | Rolloff (mean)                                 | base          | Tone Color | 0.2119 |
|    | MFCC1 (mean)                                   | base          | Tone Color | 0.2115 |
|    | FFT Spectrum - Average                         | base          | Tone Color | 0.2059 |
|    | Power Spectrum (median)                        |               |            |        |
|    | Spectral Skewness (std)                        | base          | Tone Color | 0.1775 |
|    | FFT Spectrum - Skewness<br>(median)            | base          | Tone Color | 0.1573 |
| Q3 | Tremolo Notes in<br>Cents (Mean)               | novel         | Tremolo    | 0.1526 |
|    | Linear Spectral<br>Pairs 5 (std)               | base          | Tone Color | 0.1517 |
|    | MFCC1 (std)                                    | base          | Tone Color | 0.1513 |
|    | FFT Spectrum - Skewness                        | base          | Tone Color | 0.1918 |
| Q4 | Spectral Skewness (std)                        | base          | Tone Color | 0.1893 |
|    | Musical Layers (Mean)                          | novel         | Texture    | 0.1697 |
|    | Spectral Entropy (std)                         | base          | Tone Color | 0.1645 |
|    | Spectral Skewness (max)                        | base          | Tone Color | 0.1637 |
|    |  |               |            |        |

music. Moreover, research supports the association of vibrato 975 and negative energetic emotions such as anger [47]. 976

In addition to the tone color features related with the 977 spectrum, the best 20 features for quadrant 3 also include 978 the number of musical layers (texture), spectral dissonance, 979 inharmonicity (harmony), and expressive techniques such 980 as tremolo. Moreover, nine features used to obtain the maximum score are extracted directly from the voice-only signal. 982 Of these, four are related with intensity and loudness variations (crescendos, decrescendos); two with melody (vocal 984 ranges used); and three with expressive techniques such as 985 vibratos and tremolo. Empirically, the characteristics of the 986 singing voice seem to be a key aspect influencing emotion 987 in songs from quadrants 3 and 4, where negative emotions 988 (e.g., sad, depressed) usually have not so smooth voices, 989 with variations in loudness (dynamics), tremolos, vibratos 990 and other techniques that confer a degree of sadness [47] 991 and unpleasantness. 992

The majority of the employed features were related with 993 tone color, where features capturing vibrato, texture and 994 dynamics and harmony were also relevant, namely spectral 995 metrics, the number of musical layers and its variations, 996 measures of the spectral flatness (noise-like). More features 997 are needed to better discriminate Q3 from Q4, which musi-998 cally share some common characteristics such as lower 999 tempo, less musical layers and energy, use of glissandos 1000 and other expressive techniques. 1001

A visual representation of the best 30 features to distin- 1002 guish each quadrant, grouped by categories, is represented 1003 in Fig. 3. As previously discussed, a higher number of tone 1004



Fig. 3. Best 30 features to discriminate each quadrant, organized by musical concept. Novel (O) are extracted from the original audio signal, while Novel (V) are extracted from the voice-separated signal.

color features is used to distinguish each quadrant (against
the remaining). On the other hand, some categories of features are more relevant to specific quadrants, such as
rhythm and glissando (part of the expressive techniques)
for Q1, or voice characteristics to Q3.

# 1010 5 CONCLUSIONS AND FUTURE WORK

This paper studied the influence of musical audio features 1011 in MER applications. The standard audio features available 1012 in known frameworks were studied and organized into 1013 eight musical categories. Based on this, we proposed novel 1014 more towards higher level musical concepts audio features 1015 to help bridge the identified gaps in the state-of-the-art and 1016 1017 break the current glass ceiling. Namely, features related with musical expressive performance techniques (e.g., 1018 1019 vibrato, tremolo, and glissando) and musical texture, which were the two less represented musical concepts in existing 1020 MER implementations. Some additional audio features that 1021 may further improve the results, e.g., features related with 1022 musical form, are still to be developed. 1023

To evaluate our work, a new dataset was built semi-automatically, containing 900 song entries and respective metadata (e.g., title, artist, genre and mood tags), annotated according to the Russell's emotion model quadrants.

1028 Classification results show that the addition of the novel 1029 features improves the results from 67.4 percent to 76.4 per-1030 cent when using a similar number of features (100), or from 1031 71.7 percent if 800 baseline features are used.

Additional experiments were carried out to uncover the 1032 importance of specific features and musical concepts to dis-1033 criminate specific emotional quadrants. We observed that, 1034 1035 in addition to the baseline features, novel features, such as the number of musical layers (musical texture) and expres-1036 sive techniques metrics, such as tremolo notes or vibrato 1037 rates, were relevant. As mentioned, the best result was 1038 obtained with 29 novel features and 71 baseline features, 1039 which demonstrates the relevance of this work. 1040

In the future, we will further explore the relation between
the voice signal and lyrics by experimenting with multimodal MER approaches. Moreover, we plan to study emotion
variation detection and to build sets of interpretable rules
providing a more readable characterization of how musical

features influence emotion, something that lacks when black- 1046 box classification methods such as SVMs are employed. 1047

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