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1 Novel epigenetic-metabolic inhibitor combination treatment blocks platinum-induced ovarian

2 cancer stem cell enrichment

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- 20 **Conflict of interest:** The authors declare no conflict of interest.
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23

24 Abstract

25 High grade serous ovarian cancer (HGSOC) is the most common and aggressive type of ovarian 26 cancer. Platinum resistance is a common occurrence in HGSOC and a main cause of tumor relapse resulting in high patient mortality rates. Recurrent ovarian cancer is enriched in aldehyde 27 28 dehydrogenase (ALDH)+ ovarian cancer stem cells (OCSCs), which are resistant to platinum 29 agents. We demonstrated that acute platinum treatment induced a DNA damage-dependent 30 decrease in BRCA1 levels through *BRCA1* promoter DNA hypermethylation. In a parallel pathway 31 associated with G2/M arrest, platinum treatment also induced an increase in expression of 32 NAMPT, the rate limiting regulator of NAD⁺ production from the salvage pathway, and NAD⁺ levels, the cofactor required for ALDH activity. Both decreased BRCA1 and increased NAD⁺ levels 33 34 were required for the platinum-induced enrichment of OCSCs, and inhibition of both DNA 35 methyltransferases (DNMT) and NAMPT synergistically abrogated the platinum-induced increase 36 in OCSCs. We conclude that these two separate pathways lead to platinum-induced OCSC 37 enrichment, providing preclinical evidence that in the neoadjuvant setting, combining DNMT and 38 NAMPT inhibitors with platinum has the potential to reduce OC reoccurrence.

40 Introduction

Ovarian cancer (OC) is the 5th leading cause of cancer related death among women (1). High grade serous ovarian cancer (HGSOC), the most aggressive type of OC, accounts for 70-80% of all OC cases (1). The majority of OC cases are detected at an advanced stage and survival rate for patients diagnosed with stage IV disease is a dismal 17% (2). The primary therapy for the management of advanced stage OC continues to include a combination of surgery and chemotherapy and while most patients achieve a complete remission, the majority will recur and subsequently develop chemotherapy resistant disease (3).

48 We and others have shown that a subpopulation of cells called ovarian cancer stem cells 49 (OCSCs) preferentially survive after treatment with platinum-based chemotherapeutic drugs (4), are enriched in recurrent tumors (5) and are at least partially responsible for chemotherapy 50 51 resistance (6). Several markers have been used to identify OCSCs, including the activity of 52 aldehyde dehydrogenase (ALDH) enzymes. ALDH1 is overexpressed in OCSCs and ALDH1 53 expression correlates with worse OC survival and platinum resistance (4, 7). ALDH+ cells have tumor initiating capacity, form spheroids in non-adherent conditions, and express stem cell 54 55 markers (4, 8), all criteria of functional CSCs.

Standard of care platinum-based chemotherapeutic drugs (cisplatin, carboplatin) cause DNA damage in the form of platinum-DNA adducts (9), which activate the DNA damage response (DDR). The DDR can result in DNA repair, cell cycle arrest, and/or apoptosis (10, 11). Altered DDR is thought to contribute to the ability of OCSCs to survive chemotherapy. For example, OCSCs have higher levels of DDR pathway activation through phosphorylation of DDR factors like ataxia telangiectasia mutated (ATM), checkpoint kinase 1 (CHK1) and CHK2 (12). The tumor suppressor

breast cancer 1 (BRCA1) plays an important role in regulating the DDR through interaction of its
various functional domains with proteins required for cell cycle regulation, tumor suppression,
and DNA repair (13-16). About 40% of women with a family history of OC have *BRCA1/2* mutation
or promoter hypermethylation (2).

Tumors with BRCA1 mutation frequently undergo locus-specific loss of heterozygosity 66 67 (LOH), resulting in loss of the wildtype copy of BRCA1 and subsequent increased sensitivity to 68 chemotherapy due to defective homologous recombination DNA repair (17). Patients with BRCA1 69 mutant tumors without locus-specific LOH have significantly lower percentage of survival 70 compared to patients with BRCA1 mutation with locus-specific LOH (17), indicating partial loss of 71 BRCA1 functions as a secondary mode of resistance to chemotherapy. Furthermore, as survival 72 rates of patients with BRCA1 promoter DNA hypermethylation were lower compared to patients 73 with BRCA1 mutation (18), and the fact the vast majority of HGSOC have a wildtype BRCA1 (2), a 74 better understanding of the role of wildtype BRCA1 in response to platinum agents is of critical 75 importance.

The metabolite nicotinamide adenine dinucleotide (NAD⁺) plays a key role in major 76 77 metabolic pathways including glycolysis, tricarboxylic acid (TCA) cycle, and oxidative 78 phosphorylation (19). Furthermore, NAD⁺ is a co-factor for ALDH enzymes and essential for the 79 ALDH-mediated conversion of aldehydes to carboxylic acids (20, 21). Ovarian tumors with 80 reduced expression of BRCA1 through BRCA1 promoter DNA hypermethylation or mutation have 81 increased levels of NAD⁺ and expression of nicotinamide phosphoribosyltranferase (NAMPT), the 82 rate limiting regulator of NAD⁺ synthesis from the salvage pathway (22). Furthermore, NAMPT 83 has been shown to promote platinum-induced senescence-associated OCSCs (23). Therefore,

studying the role of metabolites in the response to platinum treatment is important tounderstand how chemoresistance develops.

86 With OCSCs known involvement in chemoresistance and tumor reoccurrence, we sought 87 to mechanistically study how platinum treatment induces OCSC enrichment and develop 88 strategies to combat this enrichment. We demonstrate that two separate pathways drive 89 platinum-induced OCSC enrichment, one involving epigenetic-mediated silencing of BRCA1 and 90 the other altered energy metabolism. Cisplatin treatment resulted in a DDR-dependent decrease 91 in BRCA1 expression through BRCA1 promoter DNA hypermethylation as well as a G2/M cell cycle 92 arrest-related increase in NAMPT expression and subsequent increase in cellular NAD⁺ levels. 93 Importantly, combined treatment with a DNA methyltranferase (DNMT) and a NAMPT inhibitor 94 synergistically abrogated the cisplatin-induced OCSC enrichment. Our findings support using a 95 novel epigenetic-metabolic inhibitor combination in the neoadjuvant setting to reduce the 96 platinum-induced enrichment of OCSCs and avert the development of platinum resistance in OC.

97

98 Results

99 Cisplatin treatment enriches for ALDH+ cells

Advanced stage OC patients frequently have residual tumor cells following chemotherapy. To determine whether OCSCs are enriched by platinum chemotherapy, we treated HGSOC cell lines OVCAR5, OVSAHO and OVCAR3 with corresponding IC₅₀ doses of cisplatin (24) and analyzed the percentage of ALDH+ (%ALDH+) cells using the ALDEFLUOR assay (4). To investigate acute effects, we first conducted a time course study in OVCAR5 cells (3 to 16 h). The %ALDH+ cells significantly increased after treatment with cisplatin for 8 h and 16 h (Fig.

106 1A, Supplementary Fig. S1A). Similarly, cisplatin treatment for 16 h increased the %ALDH+ cells 107 in OVSAHO (5.8% to 10.8%) and OVCAR3 (20% to 28%) cell lines (Fig. 1A). PEO1, a BRCA2 mutant 108 OC cell line (25), had lower initial levels of %ALDH+ cells and a smaller but significant cisplatin-109 induced increase in %ALDH+ cells at 16 h (Supplementary Fig. S1B). However, in the BRCA1 110 mutant COV362 (26) cell line, no increase in %ALDH+ cells after acute cisplatin treatment was 111 observed (Supplementary Fig. S1B). To confirm that the increase in %ALDH+ cells was associated 112 with a stemness phenotype, we tested the ability of platinum-treated OVCAR5 and OVSAHO cells 113 to form spheroids in anchorage independent conditions. When cells were plated in stem cell 114 media, cisplatin pretreated cells were more spheroid-like compared to UT (Fig. 1B). Using a cell 115 viability assay based on intracellular esterase activity, there were significantly more viable cells 116 in the spheroids generated from cells pretreated with cisplatin than from UT cells (Fig. 1B).

117 Isotypes of ALDH1 - ALDH1A1, ALDH1A2 and ALDH1A3 are linked to stemness of OC cells 118 (27). Depending on the tumor type and cell line, different isozymes of ALDH1 are overexpressed 119 - ALDH1A3 in OVCAR5 and ALDH1A1 in OVSAHO (Supplementary Fig. S1C). We hypothesized that 120 cisplatin may induce enrichment of ALDH+ cells by altering ALDH expression. However, no change 121 in expression of ALDH1A1/A2/A3 isoforms after treatment with cisplatin was observed in 122 OVCAR5 cells (Supplementary Fig. S1C). In OVSAHO cells, no change in expression of the major 123 ALDH1 isoform in these cells, ALDH1A1, was observed after cisplatin treatment, although 124 ALDH1A2 and ALDH1A3 isoforms significantly decreased and increased, respectively, after 125 cisplatin treatment (Supplementary Fig. S1C). Because ALDH1A1 is expressed approximately 100-126 fold more than ALDH1A3, ALDH1A1 likely contributes to the majority of ALDH activity in this cell 127 line suggesting the change in ALDH1A3 expression has no detectable effect on ALDH activity.

Additionally, even though cisplatin caused the expected increase in the DNA damage marker
 phosphorylated histone 2AX (γH2AX) over a cisplatin time course, there was no significant change
 in ALDH1 protein levels in OVCAR5 cells (Supplementary Fig. 1D).

131 BRCA1 is known to play a role in OC (2) and the DDR (13-16). As BRCA1 levels have been 132 linked to an interstrand crosslink (ICL)-dependent increase in stemness (28), we assayed BRCA1 133 expression following cisplatin treatment. BRCA1 RNA expression levels in OVCAR5, OVSAHO and 134 BRCA2-mutant PEO1 significantly decreased by 16 h after cisplatin treatment (Fig. 1C). 135 Furthermore, BRCA1 protein levels correspondingly decreased and correlated with increased 136 γ H2AX levels in cisplatin treated cells (Fig. 1D). Taken together, these data suggest that acute 137 cisplatin treatment enriched for ALDH+ cells with stemness properties and decreased BRCA1 138 levels. The minimal change in ALDH1 level after cisplatin treatment further suggested that 139 another mechanism contributes to the cisplatin-induced enrichment of ALDH+ cells.

140

141 The cisplatin-induced decrease in *BRCA1* levels is associated with *BRCA1* promoter DNA 142 hypermethylation

BRCA1 expression is regulated through different mechanisms, including promoter DNA hypermethylation-associated gene silencing (29). Corresponding to the platinum-induced decrease in BRCA1 expression, there was a significant increase in *BRCA1* promoter DNA methylation after 3 h, 8 h and 16 h cisplatin treatment as assayed by quantitative methylationspecific PCR (qMSP) in OVCAR5 and OVSAHO cells (Fig. 2A). Bisulfite sequencing of 12 CpG sites within the *BRCA1* promoter region confirmed the increase in methylated CpGs after 16 h cisplatin treatment compared to untreated (UT) cells (Fig. 2B). Total methylation percentage calculated using the bisulfite sequencing data further demonstrated an increase in *BRCA1* promoter DNA
methylation after cisplatin treatment (Fig. 2C).

DNA methylation is catalyzed by DNMTs. DNMT1 is predominantly a maintenance DNA
 methyltransferase whereas DNMT3B and DNMT3A are predominantly *de novo* DNMTs (30).
 Cisplatin treatment increased the levels of DNMT1 and DNMT3B protein (Fig. 2D), corresponding
 to the timing of the *BRCA1* promoter hypermethylation and increase in the DNA damage marker
 γH2AX (Fig. 2D). Altogether this data demonstrates that the cisplatin-induced decrease in *BRCA1* expression is associated with promoter DNA hypermethylation.

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160 To determine if a decrease in BRCA1 expression is sufficient to increase the %ALDH+ cells, 161 we altered BRCA1 levels by using stable shRNA mediated knockdown (KD) in OVCAR5 cells. BRCA1 162 KD using two different shRNAs reduced BRCA1 expression compared to empty vector (EV) shRNA 163 cells to level similar to EV cells treated with cisplatin (Fig. 3A). Additionally, BRCA1 protein levels 164 decreased after BRCA1 KD compared to EV to a level similar to EV cells treated with cisplatin (Fig. 165 3B). BRCA1 shRNA1 KD cells had similar baseline %ALDH+ cells compared to EV (Fig. 3C). The 166 slight increase in %ALDH+ cells in BRCA1 shRNA2 KD compared to EV cells was significantly less 167 than the cisplatin-induced increase in EV cells.

The cisplatin-induced decrease in BRCA1 is essential for the associated increase in %ALDH+ cells

Because the effect of altering BRCA1 levels on the %ALDH+ cells was limited, we hypothesized that DNA damage caused by cisplatin is important to induce an increase in %ALDH+ cells. ATM, one of the first proteins to be recruited to DNA damage sites (31), is responsible for phosphorylation of downstream targets like H2AX and the activation of downstream DNA repair

172 pathways (32). Inhibiting ATM (ATMi) using KU-55933 (30) reduced cisplatin-induced levels of 173 active, phosphorylated ATM in OVCAR5 and OVSAHO cells (Supplementary Fig. S2A). Consistent 174 with our previous results (Fig. 1C), BRCA1 levels decreased after cisplatin only treatment (Fig. 3D). BRCA1 expression after treatment with ATMi only was similar to DMSO treated in both cell 175 176 lines (Fig. 3D). ATMi and cisplatin dual treatment prevented the cisplatin-induced decrease in 177 BRCA1 expression, with BRCA1 levels being similar to DMSO treated cells in both cell lines. 178 Consistent with the association between decreased BRCA1 levels and increased %ALDH+ cells, 179 combining ATMi with cisplatin treatment prevented the cisplatin-induced increase in %ALDH+ 180 cells compared to cisplatin treatment only in both cell lines (Fig. 3E). ATMi treatment itself did 181 not alter the %ALDH+ cells in OVCAR5 cells but decreased the %ALDH+ cells compared to DMSO 182 treated cells in OVSAHO cells (Fig. 3E).

183 Next, we transiently transfected cells with a plasmid that drives expression of BRCA1 using 184 an exogenous promoter lacking the normal BRCA1 regulatory regions, including the promoter CpG island (CpGi-null BRCA1; Supplementary Fig. 2B). Cells transfected with CpGi-null BRCA1 had 185 186 higher levels of BRCA1 expression when compared to UT EV transfected cells in OVCAR5 and 187 OVSAHO cells (Fig. 3F). Cisplatin treatment decreased BRCA1 expression in CpGi-null BRCA1 188 transfected OVSAHO cells but not in OVCAR5 cells compared to UT CpGi-null BRCA1 transfected 189 cells but BRCA1 levels remained higher than EV cells treated with or without cisplatin in both cell 190 lines (Fig. 3F). Similarly, BRCA1 protein levels were higher in UT CpGi-null BRCA1 transfected 191 OVCAR5 cells compared to UT EV transfected cells (Fig. 3G). BRCA1 protein levels decreased in 192 cisplatin-treated CpGi-null BRCA1 transfected cells compared to UT CpGi-null BRCA1 and UT EV 193 transfected cells but remained higher than cisplatin treated EV transfected cells (Fig. 3G). As expected, γH2AX levels increased in cells treated with cisplatin with EV or CpGi-null BRCA1
transfection (Fig. 3G).

196 To determine how maintaining BRCA1 levels effects platinum-induced OCSC enrichment, 197 the ALDFLUOR assay was performed in UT and cisplatin-treated EV and CpGi-null BRCA1 198 transfected cells. UT CpGi-null BRCA1 transfected cells had similar baseline %ALDH+ cells as UT 199 EV transfected cells in both OVCAR5 and OVSAHO cells (Fig. 3H). Importantly, even though 200 cisplatin increased the %ALDH+ cells in EV cells as expected, there was no increase in %ALDH+ 201 cells after cisplatin treatment in CpGi-null BRCA1 transfected cells in both cell lines. Collectively, 202 these data demonstrate that the effect of altering BRCA1 levels without DNA damage on %ALDH+ 203 cells is limited, maintaining BRCA1 expression prevents the platinum-induced increase in %ALDH+ 204 cells and the cisplatin-induced decrease in BRCA1 levels below the level in EV cells contributes to 205 the cisplatin-induced increase in %ALDH+ cells.

206

207 Decitabine treatment abrogates the cisplatin-induced increase in %ALDH+ cells

208 DNA hypomethylating agents like decitabine (DAC) have been shown to re-sensitize 209 platinum-resistant OC cells to platinum (33). Here, we used low dose DAC to determine the role 210 of DNA methylation in the cisplatin-induced changes observed above. Bisulfite sequencing of 12 211 CpG sites within the BRCA1 promoter after DAC only and DAC +cisplatin dual treatment 212 confirmed that DAC treatment prevented the BRCA1 promoter DNA hypermethylation caused by 213 cisplatin treatment alone (Supplementary Fig. S3, Fig. 4A). Corresponding to DAC blocking 214 platinum-induced BRCA1 DNA hypermethylation (Fig. 2), dual treatment with DAC and cisplatin 215 resulted in significantly higher BRCA1 expression levels than cisplatin treatment alone in both 216 OVCAR5 and OVSAHO cells, maintaining *BRCA1* levels at or above those in UT cells (Fig. 4B). With 217 cisplatin treatment only, BRCA1 protein levels decreased (Fig. 4C; consistent with Fig. 1D). 218 Importantly, BRCA1 protein levels were maintained after dual treatment of DAC and cisplatin to 219 similar levels as UT cells (Fig. 4C). Unexpectedly, DAC treatment alone resulted in increased 220 BRCA1 expression and protein levels when compared to UT (Fig. 4B, C), suggesting that additional 221 CpGs may be methylated at baseline in the BRCA1 promoter than those interrogated or an 222 indirect mechanism of DAC regulation of BRCA1 levels. Consistent with DNMT protein 223 degradation in response to DAC treatment (34), DNMT1 and DNMT3B protein levels decreased 224 in DAC treated cells with or without cisplatin treatment (Fig. 4C) and regardless of DAC treatment, 225 γ H2AX levels in cells treated with cisplatin increased as expected (Fig. 4C).

Next, the effect of low dose DAC on cisplatin-induced enrichment of OCSCs was determined. OVCAR5 cells treated with DAC had similar baseline %ALDH+ cells as UT while OVSAHO cells had significantly lower %ALDH+ cells than UT (Fig. 4D). As expected, cisplatin treatment increased in %ALDH+ cells in both cell lines and dual treatment with DAC and cisplatin blocked the cisplatin-induced increase in %ALDH+ cells with the %ALDH+ cells after dual treatment being similar to UT and/or DAC only treated cells (Fig. 4D).

To determine the role of low dose DAC and cisplatin dual treatment on OCSC survival, we examined the ability of pretreated cells to grow as spheroids in stem cell media. OVCAR5 and OVSAHO cells pretreated with cisplatin alone generated spheroids with increased viability compared to non-pretreated cells (4E; consistent with Fig. 1B). Spheroids derived from DAC pretreated only cells had similar viability as spheroids generated from non-pretreated cells (Fig. 4E). Dual pretreatment of DAC and cisplatin abrogated the cisplatin-induced spheroid formation

and increase in viable cells. Altogether, these data demonstrate that low dose DAC treatment
 can prevent the platinum-induced enrichment of OCSCs, likely by maintaining BRCA1 expression.

240

241 G2/M cell cycle arrest results in an increase %ALDH+ cells

242 Because platinum induces cell cycle arrest (35), we studied if cell cycle arrest is related to 243 the cisplatin-induced enrichment of OCSCs. In UT OVCAR5 and OVSAHO cells, a higher percentage 244 of ALDH+ cells were in the G2/M phase of the cell cycle than ALDH- cells (OVCAR5: ALDH- = 4.4%, 245 ALDH+ = 15.3%, OVSAHO: ALDH - = 11.6%, ALDH+ = 34%) (Fig. 5A, Supplementary Fig. 4A). This 246 data is consistent with a prior study demonstrating a higher proportion of ALDH+ cells in G2/M 247 than ALDH- cells in other OC cell lines (35). Additionally, after treatment with cisplatin, there was an expected increase in total cells in G2/M for both OVCAR5 ALDH- and ALDH+ cells (Fig. 5B; 248 249 ALDH+, 25.6% to 30.3%; ALDH-, 10.3% to 27.3%).

250 To determine if G2/M arrest is important for the cisplatin-induced increase in %ALDH+ 251 cells (Fig. 1A), we induced G2/M arrest independent of platinum treatment through cyclin-252 dependent kinase 1 inhibition (CDK1i) with the CDK inhibitor RO-3306 (36). CDK1 is a master 253 regulator of the cellular transition from G2 to M phase (37). CDK1i treatment increased the 254 percentage of cells in the G2/M phase of the cell cycle as compared UT and DMSO treatment to 255 a level that was similar to or higher than levels after cisplatin treatment in OVSAHO and OVCAR5 256 cells, respectively (Fig. 5C). Comparably to cisplatin, CDK1i treatment resulted in a significant 257 increase in %ALDH+ cells compared to UT in both cell lines (Fig. 5D). As a control for CDK1i 258 treatment, we determined total cells positive for phosphorylated Ser10 H3, a marker of active 259 mitosis. Compared to UT cells, there was a decrease in cells positive for phosphorylated Ser10 H3 after cisplatin and CDK1i treatments (UT: 2%, DMSO: 2.3%, cisplatin: 0.3% and CDK1i: 0.1%;
Supplementary Fig. S4B); indicating G2/M arrest after cisplatin or CDK1i treatment, as expected.
Additionally, unlike cisplatin treatment, CDK1i treatment did not induce DNA damage compared
to UT as measured by γH2AX levels in OVCAR5 and OVSAHO cells (Supplementary Fig S4C), further
supporting that G2/M arrest contributes to the cisplatin-induced increase in %ALDH+ cells.

265

266 NAMPT inhibition abrogates cisplatin-induced enrichment of ALDH+ cells

267 A key co-factor of ALDH activity is NAD⁺ (38), and increased levels of NAD⁺ and its rate-268 limiting regulator, NAMPT, have been shown to promote cancer cell survival and were associated 269 with chemo-resistance and low patient survival (19, 39). Increased NAD⁺ levels were also 270 reported to drive a platinum-induced increase in senescence-associated OCSCs (23). We 271 observed that NAD⁺ levels were significantly higher in cells after 16 h of cisplatin treatment than 272 in UT cells (Fig. 6A). In addition, NAMPT expression levels increased after 16 h acute cisplatin 273 treatment suggesting that the cisplatin-induced increase in NAD⁺ levels was due to a cisplatin-274 induced increase in NAMPT expression (Fig. 6B). Because the increase in NAMPT expression was 275 highest after 16h cisplatin treatment, we determined NAMPT expression in OVSAHO, PEO1 and 276 COV362 cell lines at this time point and observed similar cisplatin-induced increases in NAMPT 277 expression (Fig. 6B and Supplementary Fig. S5). To determine if blocking the cisplatin-induced 278 increase in NAD⁺ prevented the increase in %ALDH+ cells, we treated cells with the NAMPT 279 inhibitor- STF-118804 (NAMPTi), which has been shown to reduce cell viability in solid tumors 280 (40). After cisplatin treatment with or without DMSO, NAD⁺ levels increased compared to UT (Fig. 281 6C; consistent with Fig. 6A); furthermore, dual treatment with NAMPTi and cisplatin prevented

the cisplatin-induced increase in NAD⁺ levels when compared to cisplatin treatment with or
without DMSO and were similar to control levels or NAMPTi only (Fig. 6C).

284 Next, we examined the effect of dual treatment with NAMPTi and cisplatin on the cisplatin-induced increase in %ALDH+ cells. OVCAR5 cells treated with NAMPTi had decreased 285 286 %ALDH+ cells relative to UT and DMSO treated cells, while OVSAHO NAMPTi treated cells had 287 similar %ALDH+ cells as UT and DMSO treated cells (Fig. 6D). As expected, there was a significant 288 increase in %ALDH+ cells after cisplatin treatment when compared to UT or DMSO treated cells 289 in both cell lines. In contrast, dual treatment of NAMPTi and cisplatin prevented the cisplatin-290 induced increase in %ALDH+ cells, with the %ALDH+ cells in the dual treated samples being 291 decreased or similar to levels in UT and DMSO treated cells in OVCAR5 and OVSAHO cells, 292 respectively. Further, the effect of NAMPT inhibition on cisplatin-induced enrichment of OCSCs 293 was investigated based on the ability of pretreated cells to grow as spheroids in stem cell media. 294 As expected, cisplatin +DMSO pretreated cells were more spheroid like than UT and DMSO 295 pretreated OVCAR5 cells (Fig. 6E, consistent with Fig. 1B). Spheroids viability after NAMPTi 296 pretreatment was higher than DMSO pretreated cells but lower compared to cisplatin pretreated 297 cells, and dual pretreatment with NAMPTi and cisplatin abrogated the cisplatin-induced spheroid 298 formation and increase in viable cells (Fig. 6E). Additionally, dual pretreated cells had similar or 299 lower viability as spheroids generated from DMSO or NAMPTi pretreated cells, respectively. 300 These data demonstrate that cisplatin induced an increase in NAD⁺ levels through increased 301 expression of the rate-limiting enzyme, NAMPT, and NAMPT inhibition abrogated the cisplatin-302 induced enrichment of ALDH+ cells.

303

304 Cisplatin treatment induces two separate pathways to increase %ALDH+ cells

305 To further explore how decreased BRCA1 expression, increased NAD⁺ levels and G2/M 306 arrest are interconnected during cisplatin-induced OCSC enrichment, we assayed BRCA1 and 307 NAMPT expression after CDK1 inhibition, BRCA1 overexpression and DAC treatment. In contrast 308 to the cisplatin-induced decrease in BRCA1 expression (Fig. 1C), BRCA1 expression levels 309 increased after CDK1i treatment in OVCAR5 and OVSAHO cells relative to UT and/or DMSO 310 treated cells (Fig. 7A). However, consistent with the cisplatin treatment data, NAMPT RNA 311 expression levels increased after CDK1i treatment in both cell lines compared to UT and/or DMSO 312 treated cells (Fig. 7B). The level of NAMPT expression was higher in CDK1i compared to cisplatin 313 treated OVSAHO cells (Fig. 7B).

314 These data suggest that G2/M cell cycle arrest and NAMPT expression but not BRCA1 315 expression are connected, and to further confirm this relationship, we assayed NAMPT 316 expression and NAD⁺ levels in CpGi-null BRCA1 transfected cells, which we had previously 317 demonstrated did not undergo cisplatin-induced enrichment of OCSC due to sustained BRCA1 318 expression (Fig. 3H). In EV and CpGi-null BRCA1 transfected OVCAR5 cells, NAMPT expression was 319 elevated to a similar level relative to non-transfected UT cells and no further increase in NAMPT 320 expression in transfected cells was observed after cisplatin treatment (Fig. 7C) even though, 321 consistent with our previous results (Fig. 5B), cisplatin treatment increased NAMPT expression in 322 non-transfected OVCAR5 cells. However, in OVSAHO cells, cisplatin treatment increased NAMPT 323 expression in both EV and CpGi-null BRCA1 transfected cells compared to the UT transfected 324 controls (Fig. 7C). In the absence of cisplatin treatment, NAMPT expression was similar in EV or 325 CpGi-null BRCA1 transfected OVSAHO cells. Furthermore, CpGi-null BRCA1 transfected cells had

similar baseline NAD⁺ levels as EV and non-transfected OVCAR5 cells (Fig. 7D) and NAD⁺ levels increased after cisplatin treatment in non-transfected cells (Fig. 7D), as expected. Cisplatin treatment still increased NAD⁺ levels in EV and CpGi-null BRCA1 transfected cells compared to UT controls although to a lesser extent than in non-transfected cells (Fig. 7D). This data suggests that even though maintaining BRCA1 expression blocks the cisplatin-induced increase in %ALDH+ cells, cisplatin treatment still increases NAD⁺ levels.

332 BRCA1 overexpression has been previously connected to an increase of cells in the G2/M 333 phase of the cell cycle (41). So, it was of interest to determine if changes in the cell cycle were 334 driving the effect on platinum-induced OCSC enrichment when BRCA1 expression was 335 maintained by either CpGi-null transfection or DAC treatment. UT CpGi-null BRCA1 transfected 336 cells had a higher percentage of cells in G2/M phase of the cell cycle when compared to UT non-337 transfected or EV transfected OVCAR5 cells (Supplementary Fig. 6). Cisplatin treatment of CpGi-338 null BRCA1 transfected cells increased total cells in G2/M phase of the cell cycle compared to 339 cisplatin treated non-transfected cells and EV transfected cells as well as all types of UT cells. EV 340 transfected cells treated with or without cisplatin had higher percentage of cells in G2/M phase 341 of the cell cycle compared to UT non-transfected cells controls, which may explain the elevated 342 NAMPT expression in these cells (Fig. 7C). Even though DAC treatment blocked the platinum-343 induced decrease in BRCA1 expression (Fig. 4A) and increase in %ALDH+ cells (Fig. 4C), DAC 344 treatment alone or in combination with cisplatin still elevated NAMPT expression compared to 345 UT, and the level was similar to (or higher) than cells treated with cisplatin (Fig. 7E). Altogether, 346 these data indicate that G2/M cell cycle arrest and the associated change in NAMPT expression 347 were required for platinum-induced OCSC enrichment independently of decreased BRCA1 expression. Furthermore, our previous ATMi data indicated that DNA damage and/or the DDR
 were required for the platinum-induced decrease in *BRCA1* expression and are separate from cell
 cycle related changes in *NAMPT* expression.

351

352 Dual DNMTi and NAMPTi treatment abrogate the cisplatin-induced increase in %ALDH+ cells

353 The above observations indicated that both the cisplatin-induced decrease in BRCA1 354 levels and increase in NAMPT expression and NAD⁺ levels were required for the platinum-induced 355 increase in %ALDH+ cells. Thus, two pathways contributed to the cisplatin-induced enrichment 356 of OCSCs, namely the DDR-linked decrease in BRCA1 expression and the cell cycle-linked increase 357 in NAMPT expression and NAD⁺ levels. Although inhibiting either pathway alone with low concentrations of DAC (Fig. 4) or NAMPTi (Fig. 6) abrogated the cisplatin-induced enrichment of 358 359 OCSC, we hypothesized that combining very low dose treatment of the two inhibitors would 360 impact both pathways and further abrogate the cisplatin-induced increase in %ALDH+ cells.

361 First, several concentrations of DAC and NAMPTi were used alone to determine doses of 362 each that had minimal to no effect on the cisplatin-induced increase in %ALDH+ cells (NAMPTi -363 12.5 nM; DAC - 10 nM and 20 nM; Supplementary Fig. S7A, B). Then, we sought to determine if 364 combining selected lower doses of each drug would prevent the cisplatin-induced increase in 365 %ADLH+ cells. As expected, cells treated with individual very low dose DAC and low dose NAMPTi 366 in combination with cisplatin had similar increases in %ALDH+ cells as cells treated with cisplatin 367 alone in OVCAR5 and OVSAHO cells (Fig. 8A). Importantly, combination treatment of very low dose DAC with low dose NAMPTi and cisplatin prevented the cisplatin-induced increase in 368 369 %ALDH+ cells and resulted in similar %ALDH+ cells as DMSO treated cells in both cell lines.

Furthermore, using Compusyn software (42) that uses combination index theorem, treatment of very low dose DAC (10 nM and 20 nM) with low dose NAMPTi and cisplatin synergistically inhibited (Cl< 1) the cisplatin-induced increase in %ALDH+ cells in OVCAR5 cells (Fig. 8B). Whereas, in OVSAHO cells, very low dose DAC (10 nM) with low dose NAMPTi and cisplatin synergistically inhibited (Cl < 1) the cisplatin-induced increase in %ALDH+ cells.

375 To confirm the effect of very low dose DAC and low dose NAMPT inhibition on cisplatin-376 induced enrichment of OCSCs, the ability of pretreated cells to grow as spheroids in stem cell 377 media was examined. As expected, cisplatin pretreated cells were more spheroid-like compared 378 to UT in both cell lines (Fig. 8C). Furthermore, cells pretreated with individual very low doses of 379 DAC or low dose NAMPTi in combination with cisplatin pretreatment were similar to cisplatin pretreated cells (Fig. 8C; more spheroid-like and increased viability than UT). Importantly, 380 381 combination pretreatment of very low dose DAC, low dose NAMPTi and cisplatin prevented 382 cisplatin-induced spheroid formation and increase in viability. These data support the hypothesis 383 that both pathways contribute to the cisplatin-induced enrichment of OCSCs.

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While HGSOC is initially highly responsive to chemotherapy, recurrence is common, and the majority of recurrent OC is chemotherapy resistant and fatal. OCSCs have increased resistance to chemotherapy and are enriched in OC relapses suggesting that OCSCs contribute to disease recurrence and resistance (4). Here we demonstrate that acute platinum treatment enriches the OCSC population, consistent with previous findings showing similar enrichment after

³⁸⁵ Discussion

392 longer exposures to platinum (23, 43, 44). We identify two distinct pathways that drive 393 chemotherapy-induced OCSC enrichment, one marked by reduced wildtype BRCA1 expression 394 and the other by increased NAD⁺ levels. Blocking either pathway alone or inhibiting both pathways together by combining very low doses of specific inhibitors prevents acute platinum-395 396 induced OCSC enrichment in wildtype BRCA1, platinum-sensitive HGSOC. Our findings support 397 using the novel combination treatment scheme as a neoadjuvant treatment to reduce the 398 platinum-induced enrichment of ALDH+ OCSCs and to avert the development of platinum 399 resistance.

400 BRCA1 is an OC susceptibility gene and BRCA1 mutation increases the risk of developing 401 OC (1). BRCA1 plays a role in ICL repair as well as other parts of the DDR (16). Additionally, ICL 402 accumulation following BRCA1 depletion results in dedifferentiation of mammary epithelial cells 403 to a more primitive, mesenchymal state (28). HGSOC accounts for approximately 70% of all EOC 404 and the vast majority of patients diagnosed with HGSOC will have wildtype BRCA1. We 405 demonstrate that platinum-induced a decrease in wildtype BRCA1 expression that contributes to the observed enrichment of ALDH+ cells and concurrent increase in the CSC phenotype. Inhibiting 406 407 ATM in combination with platinum blocked the platinum-induced decrease in BRCA1 expression 408 and OCSC enrichment demonstrating that the DDR after platinum treatment is important for the 409 proposed mechanism. Consistent with the requirement for the DDR, stable BRCA1 knockdown 410 without platinum treatment was not sufficient to increase the %ALDH+ cells. We speculate that 411 the platinum-induced decrease in BRCA1 levels lead to persistent ICLs or alternative DNA repair 412 pathway activation, which is required for but not sufficient for ALDH+ OCSC cell enrichment. 413 Reduced BRCA1 levels may also contribute to senescence that occurs at later time-points post-

414 platinum, based on prior work demonstrating that alterations in BRCA1 after oncogene
415 expression precede and contribute to oncogene-induced senescence (45).

416 BRCA1 expression can be regulated by many mechanisms, including promoter DNA hypermethylation. Here we show that the cisplatin-induced decrease in BRCA1 levels correlated 417 418 with an increase in BRCA1 promoter DNA hypermethylation. Uniquely, we demonstrated that 419 combining treatment with the DNMT inhibitor DAC with cisplatin blocks the cisplatin-induced 420 increase in %ALDH+ cells and spheroid formation. Both DAC treatment and expression of CpGi-421 null BRCA1, which also blocked the platinum-induced OCSC enrichment, maintained BRCA1 422 expression levels at or above the levels of untreated cells even after cisplatin treatment. These 423 findings lead us to suggest that there is a "threshold effect" for BRCA1 levels: BRCA1 levels at or above baseline prevent enrichment of ALDH+ cells, and OCSC enrichment occurs when BRCA1 424 425 levels fall below baseline in the presence of an activated DDR.

426 In addition to the DDR-dependent changes in BRCA1 expression, we have reported that a 427 parallel pathway exists where platinum induces an increase in NAD⁺ levels, and altering this 428 metabolic pathway is also required for platinum-induced OCSC enrichment. Metabolic pathways 429 have been shown to contribute to platinum resistance (44). NAD $^+$ is a cofactor of ALDH enzymes 430 that catalyze the oxidation of aldehydes (21) and are overexpressed in OCSCs. We demonstrated 431 that elevated levels of NAD⁺ correspond to the timing of ALDH+ cell enrichment. Because NAD⁺ 432 is a cofactor for ALDH, the platinum-induced increase in cellular NAD⁺ levels likely drives the 433 increased ALDH activity of OCSCs. Furthermore, inhibition of NAMPT, the rate limiting enzyme 434 for NAD⁺ synthesis from the salvage pathway, blocked the cisplatin-induced enrichment of ALDH+ 435 cells. These findings are consistent with a recent study linking platinum exposure to increased 436 NAMPT expression and NAD⁺ levels that are required for platinum-induced senescence-437 associated enrichment of OCSCs (23). However, we report that these changes occur a very early 438 point post platinum (16 h versus 4 days) and at 16 h we do not observe indicators of senescence 439 such as changes in HMGA1 expression (data not shown), suggesting that our observed increases 440 in NAMPT expression, NAD⁺ levels and OCSC enrichment occur before and perhaps contribute to 441 platinum-induced senescent phenotypes. Our studies combined with Nacarelli et al. support 442 further preclinical and clinical studies aimed at investigating NAMPT inhibition as a potential 443 therapeutic strategy to prevent the development of platinum resistant OC.

444 Loss of BRCA1 induces metabolic reprogramming through the Nicotinamide N-445 methyltransferase (NNMT) pathway in OC cells (46). We show that the platinum-induced 446 decrease in BRCA1 levels occurs concomitantly with increased NAD⁺ levels. NNMT is also involved 447 in NAD⁺ synthesis. NNMT transfers methyl group from S-adenosyl methionine (SAM) to 448 nicotinamide (NAM) resulting in 1-methylnicotinamide (MNA) during the conversion of SAM to 449 S-adenosyl-L-homocysteine (SAH). In the NAD⁺ salvage pathway, NAMPT uses NAM as a 450 substrate, ultimately resulting in the production of NAD $^+$ (19). Therefore, we hypothesized that 451 the decrease in BRCA1 expression and increase in NAD⁺ levels may be mechanistically connected. 452 However, even though expression of BRCA1 in cells transfected with CpGi-null BRCA1 blocks the platinum-induced increase in %ALDH+ cells, NAD⁺ levels still increase in these cells (Fig. 7D). 453 454 Importantly, in BRCA1 mutant HGSOC COV362 cells, cisplatin-induced an increase in NAMPT 455 expression but no enrichment of ALDH+ cells. However, as mentioned, inhibition of NAMPT was 456 sufficient to block the platinum-induced increase in %ALDH+ cells. We suggest that the platinum-457 induced decrease in BRCA1 and increase in NAMPT and NAD⁺ levels occur as part of distinct parallel pathways which independently contribute to platinum-induced OCSC enrichment. Future
studies will further investigate how these platinum-induced DDR and metabolic alterations result
in OCSC enrichment.

Cellular NAD⁺ is produced primarily in G1 and G2 cell cycle phases (47) and CDK1 inhibition 461 462 has been shown to promote the nuclear localization of NAMPT to replenish decreased NAD⁺ 463 levels after CDK1 inhibitor treatment (48). We show that inhibiting CDK1 induces OCSC 464 enrichment that is associated with an increase in NAMPT expression (Fig. 7B) but not a decrease 465 in BRCA1 expression (Fig. 7A), providing support for the hypothesis that the platinum-induced 466 increase in NAMPT expression and NAD⁺ levels are driven by cell cycle changes independent of 467 changes in BRCA1 expression. In response to DNA damage, BRCA1 is a part of a complex that initiates G2/M arrest to allow time for DNA damage repair (49). Consistent with this role, CpGi-468 469 null BRCA1 transfection increases the percentage of cells in the G2/M phase of cell cycle both 470 with and without cisplatin treatment (Supplementary Fig. 6A). Interestingly, further connecting 471 G2/M and NAD⁺ levels, platinum-treated, CpGi-null BRCA1 transfected cells have elevated NAD⁺ 472 levels but are not enriched for OCSCs (Fig. 7D, (41)). Together these findings suggest that G2/M 473 arrest leads to increased NAMPT expression and NAD⁺ levels that contribute to OCSC enrichment; 474 however, after platinum treatment, these changes must occur in conjunction with decreased 475 levels of BRCA1, otherwise OCSC enrichment will be blocked. These data also demonstrate that 476 the effect of BRCA1 overexpression on platinum-induced OCSC enrichment is independent of its 477 effect on cell cycle.

478 Clinically, DNMT inhibitors have been used to re-sensitize chemoresistant OC cells to 479 chemotherapy (33, 50-53). Our study extends these findings by demonstrating that DNMT

480 inhibitors may also be beneficial when used in combination with neoadjuvant/adjuvant 481 chemotherapy in OC patients where they have the potential to block platinum-induced OCSC 482 enrichment and establishment of platinum resistance. NAMPT inhibitors like FK866 and 483 GMX1778 have been shown to have preclinical antitumor efficacy in vivo by suppressing 484 chemoresistant senescence-associated enrichment of OCSCs (23). NAMPT inhibitors have also 485 been clinically tested in advanced hematological and solid malignancies (54, 55). However, dose 486 limiting toxicities were a significant problem and objective tumor remission was not observed. 487 Even the currently used "low doses" of DNMT inhibitors still result in dose-limiting toxicities and 488 adverse advents (52, 53). Importantly, we demonstrate that the combination of very low dose 489 DNMT inhibitors with low dose metabolic inhibitors provides an effective treatment scheme to 490 prevent onset of platinum resistance in OC. Using lower concentrations of these inhibitors may 491 reduce off-target cytotoxic effects and make the treatment more tolerable to patients.

492

493 Methods and Materials

494 Cell lines, culture conditions, and reagents

Epithelial OC cell lines OVCAR5, OVCAR3, COV362, OVSAHO and PEO1 were maintained at 37°C and 5% CO₂ humidified atmosphere using standard conditions (24, 25, 56). All cell lines were tested for mycoplasma in 2017 (ATCC, 30-1012K). For all treatments, 1 million cells were plated in a 100 mm² dish and treated the next day for the specified number of hours. Cisplatin (EMD Millipore, 232120) was dissolved in 154 mM NaCl at 1.67 nM, filter sterilized and stored at 4 °C. Cells were treated with cell line specific IC₅₀ dose of cisplatin (OVCAR5: 12.00 μ M, OVSAHO: 4.00 μ M, OVCAR3, 15.00 μ M, PEO1: 12.84 μ M, COV362: 13.57 μ M) (24). CDK1 inhibitor (Sigma502 Aldrich, SML0569) was dissolved in DMSO and stored (-20 °C, 10 mM stock solution). Decitabine 503 (Sigma, A3656) was solubilized in dH₂O and stored (-80 °C, 2 μ g/ μ L stock solution). Cells were treated with CDK1i (9 µM for 16 h) or DAC (100 nM for 48 h). Media containing fresh DAC was 504 505 changed every 24 h. Cisplatin was added during the last 16 h of DAC treatment. NAMPT inhibitor 506 (Sigma-Aldrich, SML1348) was dissolved in DMSO and stored (4 °C, 10 mM stock solution). Cells 507 were treated with NAMPTi (50 nM for 6h). For cisplatin and NAMPTi dual treatment, cells were 508 treated with cisplatin as mentioned above, then NAMPTi was added 10 h later. For low dose 509 NAMPTi and DAC combination treatment with cisplatin, cells were treated with DAC (10 nM or 510 20 nM for 48 h), cisplatin was added in the last 16 h and NAMPTi (12.5 nM) was added during the 511 last 6 h of the DAC treatment. ATM inhibitor KU-55933 (Sigma, MO #SML1109) was dissolved in 512 DMSO and stored (-20 °C, 10 mM stock solution). Cells were treated with 15 μ M ATMi for 16 h in 513 combination with cisplatin.

514

515 ALDEFLUOR assay and flow cytometry

ALDH activity was measured through ALDEFLUOR assay (Stem Cell technologies, 01700). Cultured cells were trypsinized, washed with 1X PBS and 1 million cells were resuspended in 1 mL ALDEFLUOR assay buffer containing substrate, bodipyaminoacetaldehyde (BAAA). Next, 0.5 mL of resuspended mixture was moved to another tube containing ALDH inhibitor, 5 μ L 1.5 mM diethylamino benzaldehyde (DEAB). These solutions were incubated for 30-40 min at 37 °C in the dark. After incubation, cells were centrifuged and resuspended in fresh ALDEFLUOR assay buffer and filtered through a 30 μ m filter (Sysmex). Flow cytometry analysis was performed on a LSRII flow cytometer (BD Biosciences) at IU Flow Cytometry Core Facility. ALDH activity was measured using 488 nm excitation and the signal was detected using the 530/30 filter and analyzed at least three times in independent experiments. For each experiment, 10,000 events were analyzed. ALDH+ percentage gate was determined by sample specific negative control (DEAB) ALDH+ gate. Further data analysis was done in FlowJo software (Becton, Dickinson & Company).

529

530 Cell cycle analysis

531 Nuclear-ID red DNA stain (Enzo Life Sciences, ENZ-52406) was used to analyze cell cycle to allow 532 for combination with the ALDFLUOR assay, which requires live cells. After treatment with 533 cisplatin, cells were trypsinized and resuspended in 1X PBS. Then, 1:250 dilution of Nuclear-ID red DNA stain was added to the total volume and solution was incubated for 30 min at 37 °C in 534 535 the dark. To determine cell cycle profile for ALDH+ population, cells were first suspended with 536 ALDEFLUOR reagent and incubated for 30 min at 37 °C, followed by Nuclear-ID stain as above 537 and then, analyzed by flow cytometry using the LSRII. Nuclear ID was excited at 561 nm and 538 detected using the 670/30 filter and analyzed at least three times in independent experiments. 539 For each experiment, 10,000 events were analyzed. FlowJo software was used for data analysis.

540

541 Quantitative RT-PCR (qRT-PCR)

542 Total RNA was isolated from cell pellets using RNeasy Mini Kit (Qiagen, 74104) and cDNA was 543 generated using Maxima First Strand cDNA Synthesis Kit for RT-qPCR (Thermo Fisher Scientific, 544 K1642). qPCR was done on a LightCycler 96 using TaqMan MasterMix (Thermo Fisher,

545 04535286001) or FastStart Essential DNA Green Master (Roche, 06402712001). C_q values for 546 genes of interest were normalized to housekeeping genes (*PPIA*, β -Actin or RhoA) using the 547 deltaCq method. Detailed information for primers can be found in Supplementary Table S1.

548

549 DNA extraction, bisulfite conversion, qMSP and bisulfite sequencing

550 DNA was extracted from cell pellets using the DNeasy Blood and Tissue Kit (Qiagen, 69504) and 551 bisulfite treated using the EZ DNA Methylation-Gold kit (Zymo Research, D5006). For qMSP, 552 methods were followed as described in a previous publication (57). qMSP primers used are listed 553 in supplementary methods table S1. For bisulfite sequencing, bisulfite converted DNA was 554 amplified using AmpliTag Gold 360 Mastermix (ThermoFisher Scientific, 4398881) using primers 555 listed in the supplementary methods table S1. Then, the amplified product was run on an agarose 556 gel, the correct band was excised from the gel, and DNA was purified using the QIAquick Gel 557 Extraction kit (Qiagen, 28704). The DNA was then cloned into One Shot[™] TOP10 Chemically 558 Competent *E. coli* using the TOPO[™] TA Cloning[™] Kit for Sequencing (ThermoFisher Scientific, 559 451641). The next day, white colonies were inoculated in LB+ carbenicillin media overnight and 560 plasmid was extracted using Zyppy Plasmid Miniprep Kit (Zymo research, D4020) and sequenced 561 by Sanger sequencing. Sequence peaks were analyzed for good quality in 4peaks software and 562 DNA methylation maps were generated through BioAnalyzer (Max-Planck-Institute for 563 Informatics and Saarland University, Saarbrücken, Germany) (58).

564

565 Western blot analysis

566	Cell pellets were lysed in 4% SDS buffer using a QIAshredder (Qiagen, 79654). After protein was
567	extracted, western blotting was performed. Antibodies used are listed in supplementary
568	methods. Band density was measured by ImageJ software (NIH) and normalized to laminB, eta -
569	actin or vimentin.

570

571 Spheroid formation assay

572 1.5 x 10^4 cells pre-treated with cisplatin (6 μ M for 3 h), NAMPTi (50 nM for 6 h), and/or DAC (100 573 nM for 48 h) were plated in a 24-well low attachment plate (Corning, 3473) containing stem cell 574 media (43) for 14 days. Media was added every 3 days to each well. On day 14, images were 575 taken using an EVOS FL Auto microscope (Life Technologies). Then, cell viability reagent (Abcam, 576 ab176748), which measures cell viability be intracellular esterase activity, was added directly to 577 each spheroid well at a volume equal to the volume of media in the well. After 1 h incubation, 578 the reagent+ media solution was distributed in an opaque 96-well plate. Viability (Ex/Em: 579 405/460 nm) was measured using a SynergyH1 plate reader (BioTek). The experiment was done 580 in 4 technical replicates for each condition.

581

582 NAD⁺/NADH ratio

583 NAD⁺/NADH ratio was calculated using NAD⁺/NADH quantification colorimetric kit (BioVision,

584 K337-100) according to the manufacturer's instructions.

585

586 Transfection

587 1 million cultured cells were transfected with plasmid using Turbofect (ThermoFisher Scientific, 588 R0532) 48 h before treatment and then cells were collected. pBABEpuro HA-BRCA1 was a gift 589 (Addgene http://n2t.net/addgene:14999; from Stephen Elledge plasmid # 14999; 590 RRID:Addgene 14999) (59). pBABE-puro was a gift from Hartmut Land, Jay Morgenstern and Bob 591 Weinberg (Addgene plasmid # 1764; http://n2t.net/addgene:1764; RRID:Addgene 1764) (60).

592

593 Viral shRNA knockdown preparation and stable cell line knockdown generation

594 For BRCA1 knockdown, shRNA1 (Sigma, TRCN0000244986) and shRNA2 (Sigma, 595 TRCN0000244984) and empty vector (EV) TRC2 (Sigma, SHC201) were used and lentiviral shRNA 596 was created following the protocol as previously described (56). For cell line infection, 2×10^5 597 cells/mL were plated and after 24 h, virus was added in media with polybrene. Puromycin was 598 added 24 h post-viral infection and cells were plated for downstream experiments once 599 confluent.

600

601 Statistical methods

All experiments were performed in at least three biological replicates. When two groups were compared, statistical comparison was performed by Student's t-test. One-way ANOVA followed by Tukey post hoc test was used to compare multiple groups using Graphpad Prism.

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759 **Conflict of Interest Statement**: The authors declare no competing interests.

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761 Author Contribution Statement:

- 762 R.S., K.P.N. and H.O.H performed study concept and design; R.S. and H.O.H. performed
- 763 development of methodology and writing; R.S, C.H., K.P.N., H.O.H., S.S. performed review and
- revision of paper; R.S., S.K. and S.S. provided acquisition, analysis and interpretation of data and
- 765 statistical analysis; C.H. provided technical and material support

766

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768

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774 Figure Legends:

Fig 1. Cisplatin treatment enriches for ALDH+ cells. A) %ALDH+ cells determined using the ALDEFLUOR assay after mock (UT) or cisplatin (IC50 dose) treatment for the indicated time points. N=3. B) Images of spheroids after mock (UT) or cisplatin (3 h, ½ IC50) pretreatment in indicated cell lines. Scale bar = 500 µm. Graph depicts fluorescence intensity (RFU) of CytoCalcein Violet 450 stain. N=4. C) Relative *BRCA1* RNA expression in indicated cell lines after mock (UT) or cisplatin treatment (16 h, IC50) for the indicated time points and cell lines. N=3. B) Western blot and relative densitometry of whole cell lysates after mock (UT) or cisplatin (16 h, IC50) treatment

for the indicated time points and cell lines. N=3. For all panels, graphs indicate mean +/- SEM,
 *P<0.05, **P<0.001, ***P<0.0001, ****P<0.0001.

784

785 Fig 2. Cisplatin-induced decrease in BRCA1 levels is associated with promoter DNA 786 hypermethylation. A) Quantitative MSP for BRCA1 promoter DNA methylation. Graphs depict 787 mean + SEM. N=3. B) The location and methylation status of CpG sites in promoter region of 788 BRCA1 gene. Location of qMSP primers are indicated. The arrow at the transcription start site 789 (TSS) indicates transcription direction. Individual CpG dinucleotides are shown as circles with 790 closed circles: methylation and open circles: unmethylated. Sequencing of ten individual clones 791 for each sample was performed from bisulfite-converted mock (UT) or cisplatin (16H, IC50) 792 treated DNA. C) Percentage of methylated CpGs per clone using data presented in B. Graphs 793 depict mean + SEM. N=10. D) Western blot of OVCAR5 whole cell lysates after mock (UT) or 794 cisplatin (IC50 dose) treatment for the indicated time points. For all panels *P < 0.05, **P < 0.001, 795 ****P*<0.0001.

796

Fig 3. The cisplatin-induced decrease in BRCA1 is required for the associated increase in %ALDH+ cells. A) Relative *BRCA1* RNA expression in OVCAR5 cells after stable lentiviral infection with mock (EV) or BRCA1 shRNA1/2 with or without cisplatin treatment (16 h, IC50). Graphs depict mean + SEM. N=3. B) Western blot and relative densitometry of OVCAR5 whole cell lysates after mock (EV) with or without cisplatin treatment (16 h, IC50) or BRCA1 shRNA1/2. N=3. C) Percentage of ALDH+ cells using the ALDEFLUOR assay after stable lentiviral infection with mock (EV) with or without cisplatin treatment (16 h, IC50) or BRCA1 shRNA1/2. N=3. D) Relative *BRCA1*

804 RNA expression in indicated cell lines after mock (DMSO), cisplatin treatment (16 h, IC50), ATMi 805 (16 h, 152 μM) or ATMi (16 h, 152 μM) + cisplatin (16 h, IC50). N=3. E) Percentage of ALDH+ cells 806 using the ALDEFLUOR assay in indicated cell lines treated as in D. N=3. F) Relative BRCA1 RNA 807 expression in cells transfected with mock empty vector (EV) or CpGi-null BRCA1 plasmid and 808 treated with or without cisplatin (16 h, IC50) in the indicated cell lines. N=3. G) Western blot and 809 relative densitometry of OVCAR5 whole cell lysates transfected and treated as in F. N=3. H) 810 Percentage of ALDH+ cells using the ALDEFLUOR assay after transfection with mock empty vector 811 (EV) or CpGi-null BRCA1 (BRCA1) plasmid and treatment as in F in indicated cell lines. N=3. For all 812 panels, graphs indicate mean +/- SEM, *P<0.05, **P<0.001, ***P<0.0001.

813

814 Fig 4. Decitabine treatment abrogates the cisplatin induced increase in %ALDH+ cells. A) The 815 location and methylation status of CpG sites in the BRCA1 promoter from bisulfite-converted 816 mock (UT), cisplatin treatment (16 h, IC50), DAC (48 h, 100 nM) or DAC (48 h, 100 nM) + cisplatin 817 (16 h, IC50) treated DNA. Closed circles: methylation and open circles: unmethylated. Sequencing 818 of ten individual clones for each sample was performed. B) Relative BRCA1 RNA expression after 819 treatment as in A in indicated cell lines. N=3. C) Western blot and relative densitometry of 820 OVCAR5 whole cell lysates after treatment as in A in OVCAR5 cells. N=3. D) Percentage of ALDH+ 821 cells using the ALDEFLUOR assay after treatment as in A in indicated cell lines. N=3. E) Images of 822 spheroids after pretreatment with mock (UT), cisplatin (3 h, ½ IC50), DAC (48 h, 100 nM) or DAC 823 (48 h, 100 nM) + cisplatin (3 h, ½ IC50). Scale bar = 500 μ m. Graph depicts relative fluorescence 824 units (RFU) of CytoCalcein Violet 450 stain. N=4. For all panels, graphs indicate mean +/- SEM, **P*<0.05, ***P*<0.001, ****P*<0.0001. 825

826

827 Fig 5. G2/M cell cycle arrest results in an increase %ALDH+ cells. A) Percentage of ALDH+ and 828 ALDH- cells in G1, S and G2/M phases of the cell cycle for indicated cell lines. N=3. B) Percentage 829 of ALDH+ and ALDH- cells in G1, S and G2/M phases of the cell cycle after mock (UT) or cisplatin 830 treatment (16 h, IC50) in OVCAR5 cells. C) Percentage of cells in G2/M after mock (UT), DMSO, 831 cisplatin (16 h, IC50) or CDK1 inhibitor (16 h, 9 μ M) treatment in indicated cell lines. N=3. D) Total 832 percentage of ALDH+ cells treated as in C in indicated cell lines. N=3. For all panels, graphs 833 indicate mean +/- SEM, **P*<0.05, ***P*<0.001, ****P*<0.0001. 834 835 Fig 6. NAMPT inhibition abrogates cisplatin-induced enrichment of ALDH+ cells. A) Total NAD+ 836 (pmol/cells) in OVCAR5 cells after mock (UT) or cisplatin (16 h, IC50) treatment. N=3. B) Relative 837 NAMPT RNA expression after mock or cisplatin (IC50 dose) treatment for the indicated time 838 points and cell lines. N=3. C) Total NAD⁺ (pmol/cells) in OVCAR5 cells after mock (UT), cisplatin 839 (16 h, IC50), NAMPTi (6 h, 50 nM), cisplatin (16 h, IC50) + DMSO or NAMPTi (6 h, 50 nM) + cisplatin 840 (16 h, IC50) treatment. N=3. D) Percentage of ALDH+ cells using the ALDEFLUOR assay after 841 treatment as in C in indicated cell lines. N=3. E) Images of spheroids after pretreatment with mock 842 (DMSO), cisplatin (3 h, ½ IC50), NAMPTi (6 h, 50 nM), or NAMPTi (6 h, 50 nM) + cisplatin treatment 843 (3 h, $\frac{1}{2}$ IC50). Scale bar = 500 µm. Graph depicts relative fluorescence units (RFU) of CytoCalcein 844 Violet 450 stain. N=4. For all panels, graphs indicate mean +/- SEM, *P<0.05, **P<0.001, 845 ****P*<0.0001.

846

847 Fig 7. Cisplatin treatment induces two separate pathways to increase %ALDH+ cells. A) Relative 848 BRCA1 RNA expression after treatment with mock (UT), DMSO, cisplatin (16 h, IC50) or CDK1 849 inhibitor (16 h, 9 μM) treatment in indicated cell lines. N=3. B) Relative NAMPT RNA expression after treatment as in A in indicated cell lines. N=3. C) Relative NAMPT RNA expression in 850 851 untransfected (UT) or transfected with mock empty vector (EV) or CpGi-null BRCA1 plasmid and 852 treated with or without cisplatin (16 h, IC50) in the indicated cell lines. For OVCAR5, * indicates 853 comparison between the indicated sample type and untransfected control with no treatment. 854 N=3. D) Total NAD⁺ (pmol/cells) in untransfected (UT) or transfected with mock empty vector 855 (EV) or CpGi-null BRCA1 (BRCA1) plasmid and treated with or without cisplatin (16 h, IC50) in 856 OVCAR5 cells. N=3. E) Relative NAMPT RNA expression after mock (UT), cisplatin treatment (16 857 h, IC50), DAC (48 h, 100 nM) or DAC (48 h, 100 nM) + cisplatin (16 h, IC50) treatment in indicated cell lines. N=3. For all panels, graphs indicate mean +/- SEM, *P<0.05, **P<0.001, ***P<0.0001, 858 859 *****P*<0.00001.

860

861 Fig 8. Dual DNMTi and NAMPTi treatment abrogate cisplatin-induced OCSC enrichment. A) 862 Percentage of ALDH+ cells using the ALDEFLUOR assay after treatment with mock (DMSO), 863 cisplatin (16 h, IC50), DAC (48 h, 10 nM or 20 nM) + cisplatin, NAMPTi (6 h, 12.5 nM) + cisplatin 864 treatment or NAMPTi +DAC + cisplatin treated in indicated cell lines. N=3. B) Combination index 865 plot for cells treated as described in A; x-axis represents fraction affected (Fa) and y-axis 866 represents combination index. Combinates beneath the dashed line are synergistic. C) Images of 867 spheroids after mock (DMSO), cisplatin (3 h, ½ IC50), DAC (48 h, 10 nM or 20 nM) + cisplatin, 868 NAMPTi (6 h, 12.5 nM) + cisplatin treatment or NAMPTi +DAC + cisplatin treated in indicated cell

869	lines. Scale bar = 500 μ m. Graph depicts fluorescence intensity (RFU) of CytoCalcein Violet 450
870	stain. N=4. For all panels, Graphs depict mean +/- SEM. a indicates comparison between the
871	indicated sample type and DMSO treated sample. b indicates comparison between the indicated
872	sample type and cisplatin treated sample. c indicates comparison between the indicated sample
873	type and NAMPTi+ cisplatin treated sample. d indicates comparison between the indicated
874	sample type and DAC (10 nM) + cisplatin treated sample. e indicates comparison between the
875	indicated sample type and DAC (20 nM) + cisplatin treated sample. f indicates comparison
876	between the indicated sample type and NAMPTi + DAC (10 nM) + cisplatin treated sample.







D.

UT 3 8 16 Cisplatin time course (h)





BRCA1

0-

ΕV

Cisplatin

0

ΕV

BRCA1











D.



E.

OVCAR5



OVCAR5



OVSAHO



Cisplatin









C.



















OVSAHO

*

UT 16h

2.0

1.5-

1.0-

0.5

0.0

NAMPT relative expression









OVSAHO











E. OVCAR5

+ -

0.

Cisplatin



- + BRCA1

÷

ΕV

OVSAHO



