



Nucleon D-term in holographic quantum chromodynamics

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 The D-term is one of the conserved charges of hadrons defined as the forward limit of the gravitational form factor $D(t)$. We calculate the nucleon's D-term in a holographic quantum chromodynamics model in which the nucleon is described as a soliton in five dimensions. We show that the form factor $D(t)$ is saturated by the exchanges of infinitely many 0^{++} and 2^{++} glueballs dual to transverse-traceless metric fluctuations on the Wick-rotated AdS_7 black hole geometry. We refer to this phenomenon as “glueball dominance,” in perfect analogy to the vector meson dominance of the electromagnetic form factors. However, the value at vanishing momentum transfer $D(t = 0)$ can be interpreted as due to the exchange of pairs of pions and infinitely many vector and axial-vector mesons without any reference to glueballs. We find that the D-term is slightly negative as a result of a cancellation between the isovector and isoscalar meson contributions.

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1. Introduction

Nucleons (protons and neutrons) have a number of conserved charges. They have mass of about 1 GeV associated with translational invariance. They have spin $1/2$ associated with rotational invariance. They have electric charges and magnetic moments from electromagnetic gauge invariance. They have a baryon number of $+1$ associated with the global $U(1)$ symmetry. There are also approximately conserved charges related to isospin (flavor) symmetry. All these charges are well known and very accurately measured, and their values are well documented in textbooks and the literature [1]. There is, however, one exactly conserved charge, the so-called D-term, whose value is presently unknown. The D-term is the forward limit of one of the gravitational form factors $D(t = -k^2)$ defined through the off-forward hadronic matrix element of the quan-

tum chromodynamics (QCD) energy momentum tensor $\langle p' | T_{\mu\nu} | p \rangle$ ($k = p' - p$); see Ref. [2] for a recent review. It was first discovered in the 1960s [3,4] but has kept a remarkably low-profile status known only to a subset of theorists in the nuclear physics community. The situation has changed drastically in the past several years, mainly fueled by the anticipation of the future Electron–Ion Collider (EIC) experiments [5–7] dedicated to the study of nucleon structure. In particular, the first attempt to extract the D-term from experimental data has been made [8].

The value of the nucleon D-term has long remained unknown because there is no known way to directly measure it. This would require a controlled experimental setup to scatter a nucleon from gravitons, which is possible only in thought experiments. Yet, there are indirect ways to measure the D-term in lepton–nucleon scattering. The price to pay, however, is that one can only access the quark and gluon components separately from different experiments,

$$D = D_u + D_d + D_s + D_g + \dots, \quad (1)$$

where D_u is from the up-quark part of the energy momentum tensor, D_g is from the gluon part, etc. Each component depends on the renormalization scale, and only the sum is renormalization-group invariant. The light-quark contribution $D_{u,d}$ can in principle be accessed in deeply virtual Compton scattering (DVCS) by separately measuring the real and imaginary parts of the Compton form factors [9,10]. The gluon contribution D_g , on the other hand, can be accessed in near-threshold photo- and lepto-production of heavy quarkonia such as J/ψ and Υ [11–18] (see however, Refs. [19–23]). Similarly, the strangeness contribution D_s can be probed in near-threshold ϕ -meson lepto-production [14]. Alternatively, these components can also be calculated in lattice QCD simulations; see, for example, Refs. [24] and references therein.

Although the magnitude and even the sign of the D-term are unknown, the general expectation is that it is negative. This is based on an analogy to the mechanical stability analysis of classical systems. Being the spatial component of the energy momentum tensor, after Fourier-transforming to the coordinate space the D-term form factor may be associated with the “shear” and “pressure,”

$$T_{ij} = \left(\frac{r_i r_j}{r^2} - \frac{\delta_{ij}}{3} \right) s(r) + \delta_{ij} p(r), \quad (2)$$

of a classical spherical system [2,25]. A positive D-term would imply overall positive outward force, making the system unstable. We note, however, that there is no field-theoretical proof of the connection between the negativity of the D-term and the stability of hadronic bound states. Besides, the term “pressure” should not be taken literally in its usual sense in thermodynamics.

In this paper we calculate the (total) nucleon D-term in the chiral limit using gauge/string duality based on a holographic QCD model proposed in Refs. [26,27]. The model is “top-down,” meaning that it has been directly derived from string theory, and is realized in a D4/D8 brane configuration in type IIA superstring theory. As such, it does not rely on ad hoc assumptions and allows for a systematic truncation and/or inclusion of various higher-order corrections (stringy effects, $1/N_c$ corrections, etc.). In this model the baryons are realized as solitons in a five-dimensional gauge theory [26,28–31], and various properties, including electromagnetic form factors [32–34], have been investigated using this description. As a matter of fact, holographic approaches are particularly suited for the study of the gravitational form factors because one can literally exchange gravitons, albeit in extra dimensions. Indeed, there have been previous attempts to compute the D-term in “bottom-up” holographic models [19,35,36]. However, the outcomes of these studies are rather mixed: Ref. [35] found that the D-term was simply

zero; Ref. [19] argued that the D -form factor was proportional to the A -form factor (defined in Eq. (4)), but the proportionality constant remained undetermined. The latter work has recently been revisited in Refs. [18,36], where the proportionality constant was found to be subleading in the $1/N_c$ expansion. There is also work based on an AdS/QCD-inspired quark–diquark model [37], but holography was not used in the actual calculations. In view of this, it is worthwhile to see what top-down holographic models have to say about the D-term. Our model is sophisticated enough to accommodate infinite towers of meson, baryon, and glueball resonances. We shall be particularly interested in how these degrees of freedom contribute to the D-term.

Our calculation bears some resemblance to those in the chiral soliton model and the Skyrme model [38–41]. This is because a baryon in our model is described as a soliton (an instanton) in five dimensions, similarly to the Skyrmion in four dimensions. In fact, it is known that one can derive the Skyrme model from our model [26–28].

In the next section we give a brief review of the gravitational form factors in QCD. In Sect. 3 we introduce our model and take a first look at the energy momentum tensor in this model. In Sect. 4, we calculate the D-term in the “classical” approximation by Fourier-transforming the soliton energy momentum tensor. Then, in Sect. 5, we discuss the form factor $D(t)$ using holographic renormalization and establish its connection to scalar and tensor glueballs in QCD. Finally, in Sect. 6 we conclude with physics interpretations and future perspectives.

2. Gravitational form factors

In this section we quickly introduce the nucleon gravitational form factors and set up our notation. More details can be found in a recent review [2]. In accordance with the string theory literature, we use the “mostly plus” metric $\eta^{\mu\nu} = (-1, 1, 1, 1)$. The QCD energy momentum tensor then takes the form

$$T^{\mu\nu} = F_a^{\mu\alpha} F_{a\alpha}^\nu - \frac{\eta^{\mu\nu}}{4} F_a^{\alpha\beta} F_{a\beta}^\alpha + \bar{\psi} \gamma^{(\mu} D^{\nu)} \psi, \tag{3}$$

where $a = 1, 2, \dots, N_c^2 - 1$ and $A^{(\mu} B^{\nu)} \equiv \frac{1}{2}(A^\mu B^\nu + A^\nu B^\mu)$ denotes symmetrization. $\bar{\psi} = \psi^\dagger \beta = i\psi^\dagger \gamma^0$, and the gamma matrices satisfy the Dirac algebra $\gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2\eta^{\mu\nu}$. The nucleon gravitational form factors are defined by the off-forward matrix element of the energy momentum tensor [3,4],

$$\langle p' | T^{\mu\nu} | p \rangle = \bar{u}(p') \left[i\gamma^{(\mu} P^{\nu)} A(t) + \frac{iP^{(\mu} \sigma^{\nu)\rho} k^\rho}{2M} B(t) + \frac{k^\mu k^\nu - \eta^{\mu\nu} k^2}{4M} D(t) \right] u(p), \tag{4}$$

where $k = p' - p$, $t = -k^2 = k_0^2 - \vec{k}^2$, and $P^\mu = \frac{1}{2}(p^\mu + p'^\mu)$. M is the nucleon mass. The nucleon spinors are normalized as $\bar{u}(p)u(p) = 2M$. Equation (4) is the most general parameterization given that $T^{\mu\nu}$ is symmetric and conserved: $\partial_\mu T^{\mu\nu} \sim k_\mu T^{\mu\nu} = 0$. Energy conservation also implies that the three form factors A , B , and D are renormalization-group invariant. Their values at $t = 0$ are of particular interest. It is known that $A(t = 0) = 1$ from momentum conservation and $B(t = 0) = 0$ from angular momentum conservation. However, the value $D(t = 0)$ is not constrained by any symmetry, and is currently unknown. Our main goal in this paper is to study $D(t)$ in the holographic QCD model proposed in Refs. [26,27].

We shall be working in the Breit frame where $\vec{p}' = \vec{k}/2 = -\vec{p}$, so that $\vec{P} = 0$ and $k^0 = p'^0 - p^0 = 0$. In this frame, $\bar{u}(p')u(p) = 2p^0 \delta_{s's} = 2\sqrt{M^2 + \vec{k}^2}/4 \delta_{s's}$, where $s', s = \pm\frac{1}{2}$ denote the spin

states of the nucleon, and

$$\begin{aligned} \frac{\langle p'|T^{00}|p\rangle}{2p^0} &= \left(AM - \frac{B\vec{k}^2}{4M} + \frac{D\vec{k}^2}{4M} \right) \delta_{s's}, \\ \frac{\langle p'|T^{0i}|p\rangle}{2p^0} &= \frac{A+B}{4} \epsilon_{ijk} (-ik^j) \sigma_{s's}^k, \\ \frac{\langle p'|T^{ij}|p\rangle}{2p^0} &= \frac{D}{4M} (k^i k^j - \vec{k}^2 \delta^{ij}) \delta_{s's} \quad (i, j = 1, 2, 3). \end{aligned} \tag{5}$$

Therefore, the D-term can be obtained by reading off the coefficient of $k^i k^j$ in $\langle T^{ij} \rangle$.

For a later discussion, following Ref. [11], let us also introduce the ‘‘transverse-traceless’’ (TT) part $T_{\text{TT}}^{\mu\nu}$ of the energy momentum tensor. It is defined as the part of $T_{\mu\nu}$ that satisfies the conditions $\partial_\mu T_{\text{TT}}^{\mu\nu} = (T_{\text{TT}})^\mu{}_\mu = 0$. Explicitly,

$$T_{\text{TT}}^{\mu\nu} = T^{\mu\nu} + \frac{1}{3} \left(\frac{\partial^\mu \partial^\nu}{\partial^2} - \eta^{\mu\nu} \right) T^\mu{}_\mu. \tag{6}$$

Equation (4) can then be rewritten as

$$\begin{aligned} \langle p'|T^{\mu\nu}|p\rangle &= \bar{u}(p') \left[i\gamma^{(\mu} P^{\nu)} A + \frac{iP^{(\mu} \sigma_{\rho}^{\nu)} k^\rho}{2M} B - \frac{M}{3} (k^\mu k^\nu - \eta^{\mu\nu} k^2) \left(\frac{A}{k^2} - \frac{B}{4M^2} \right) \right] u(p) \\ &+ \frac{M}{3} (k^\mu k^\nu - \eta^{\mu\nu} k^2) \left(\frac{A}{k^2} - \frac{B}{4M^2} + \frac{3D}{4M^2} \right) \bar{u}(p') u(p). \end{aligned} \tag{7}$$

The first line on the right-hand side is the matrix element of $T_{\text{TT}}^{\mu\nu}$ and the second line is from the trace part. Note that the structure $k^\mu k^\nu - k^2 \eta^{\mu\nu}$ characteristic of the D-term is now present in both parts.

Before leaving this section, for the convenience of the reader we note the definition of the gravitational form factors in the ‘‘mostly minus’’ metric $\eta^{\mu\nu} = (1, -1, -1, -1)$ which is used in most QCD literature. The gamma matrices in the two conventions are related as

$$\gamma_{\text{mostly minus}}^\mu = i\gamma_{\text{mostly plus}}^\mu. \tag{8}$$

With the QCD energy momentum tensor in this metric,

$$T^{\mu\nu} = -F^{\mu\alpha} F_\alpha^\nu + \frac{\eta^{\mu\nu}}{4} F^{\alpha\beta} F_{\alpha\beta} + \bar{\psi} i\gamma^{(\mu} D^{\nu)} \psi, \tag{9}$$

($\bar{\psi} = \psi^\dagger \gamma^0$), we now write

$$\langle p'|T^{\mu\nu}|p\rangle = \bar{u}(p') \left[\gamma^{(\mu} P^{\nu)} A(t) + \frac{iP^{(\mu} \sigma_{\rho}^{\nu)} k^\rho}{2M} B(t) + \frac{k^\mu k^\nu - \eta^{\mu\nu} k^2}{4M} D(t) \right] u(p), \tag{10}$$

where $t = k^2 = k_0^2 - \vec{k}^2$.

3. Nucleon in holographic QCD

The two-flavor ($N_f = 2$) meson–baryon sector of our model [26,27] is defined by a U(2) gauge theory in a curved five-dimensional spacetime ($x^\mu = 0,1,2,3, z$) supplemented with the Chern–Simons (CS) term

$$S = -\kappa \int d^4x dz \text{tr} \left[\frac{1}{2} h(z) F_{\mu\nu}^2 + k(z) F_{\mu z}^2 \right] - \frac{\kappa}{2} \int d^4x dz \left(\frac{1}{2} h(z) \widehat{F}_{\mu\nu}^2 + k(z) \widehat{F}_{\mu z}^2 \right) + S_{\text{CS}}, \tag{11}$$

where

$$h(z) = (1 + z^2)^{-1/3}, \quad k(z) = 1 + z^2 \quad (-\infty < z < \infty) \tag{12}$$

are the warp factors along the fifth dimension. $F_{\mu\nu} = F_{\mu\nu}^a \frac{\tau^a}{2}$, $F_{\mu z} = F_{\mu z}^a \frac{\tau^a}{2}$ are the SU(2) field strength tensors ($\tau^a = 1, 2, 3$ being the Pauli matrices normalized as $\text{tr}[\tau^a \tau^b] = 2\delta^{ab}$), and $\widehat{F}_{\mu\nu}$ is the U(1) field strength tensor. The Lorentz indices of these gauge fields are raised and lowered by the flat five-dimensional metric $(-1, 1, 1, 1, 1)$. The Chern–Simons term S_{CS} prescribes the interaction between the SU(2) and U(1) fields, but its explicit form is not needed for the present discussion. The parameter

$$\kappa = \frac{\lambda N_c}{216\pi^3} \tag{13}$$

is proportional to the number of colors N_c and the 't Hooft coupling $\lambda = g^2 N_c$. All dimensionful scales have been made dimensionless by appropriately rescaling by the model's only mass parameter M_{KK} (e.g., $x^\mu \rightarrow x'^\mu = x^\mu M_{KK}$). These parameters were determined in Refs. [26,27] by fitting the ρ -meson mass and the pion decay constant

$$M_{KK} = 949 \text{ MeV}, \quad \lambda = 16.63 \quad (\kappa = 0.00745). \tag{14}$$

Mesons with isospin quantum numbers π , ρ , a_1 , ... are described by the fluctuations of the SU(2) gauge field $F_{\mu\nu}$. We consider the chiral limit, so the pions are massless. Iso-singlet mesons ω , η' , ... are described by the U(1) field. A baryon is realized by a static (independent of $t = x^0$) soliton-like configuration of $F_{\mu\nu}$ which satisfies the equation of motion of the five-dimensional gauge theory in Eq. (11) [26,30]. This is charged under the U(1) gauge field \widehat{A}_μ through the CS term, and the charge is identified with the baryon number. At strong coupling $\lambda \gg 1$ and in the small- z region where the metric is approximately flat, $h(z) \approx k(z) \approx 1$, the solution is simply given by the BPST instanton [42] in four-dimensional Euclidean space $(x^{i=1,2,3}, z)$ [30]:

$$\begin{aligned} A_i &= \frac{(z - Z)\tau_i + (x_m - X_m)\epsilon_{min}\tau_n}{\xi^2 + \rho^2}, & A_z &= \frac{-(\vec{x} - \vec{X}) \cdot \vec{\tau}}{\xi^2 + \rho^2}, \\ F_{ij} &= \partial_i A_j - \partial_j A_i + i[A_i, A_j] = \frac{2\rho^2}{(\xi^2 + \rho^2)^2} \epsilon_{ija} \tau^a, & F_{iz} &= -\frac{2\rho^2}{(\xi^2 + \rho^2)^2} \tau_i, \\ \widehat{A}_0 &= \frac{27\pi}{\lambda} \frac{2\rho^2 + \xi^2}{(\rho^2 + \xi^2)^2}, \end{aligned} \tag{15}$$

where $\xi^2 \equiv (\vec{x} - \vec{X})^2 + (z - Z)^2$. ρ is the instanton “size” and (\vec{X}, Z) denotes the “center” of the instanton. When the soliton is quantized, these parameters, together with the “orientation” of the instanton in the flavor SU(2) space, $F_{\mu\nu} \rightarrow V F_{\mu\nu} V^{-1}$, are promoted to time-dependent operators (for example, $\rho \rightarrow \hat{\rho}(t)$), a procedure known as the collective coordinate quantization. While this has been done in previous applications of the model [30,32], in this work we shall treat them as c-numbers, leaving their quantum treatment for future work. This means that we eventually set $\vec{X} = 0$ (without loss of generality) and employ the value $Z = 0$ which minimizes the soliton potential in the Z -direction [30]. It should be kept in mind that, by neglecting quantization, we are effectively treating the nucleon as a scalar particle because the quantization of the SU(2) orientation is what makes the soliton a spin-1/2 particle. The D -form factor also exists for scalar hadrons, but the B -form factor (see Eq. (4)) does not. In order to compute the latter, soliton quantization is crucial.

When $|z| \gtrsim 1$, the flat space approximation $h \approx k \approx 1$ breaks down. Exact analytical solutions in this region are no longer available. However, when $|z| \gg 1$, the following approximate solution

has been constructed [32] ($\partial_i \equiv \partial/\partial x^i$):

$$\begin{aligned} \widehat{A}_0 &\approx \frac{-108\pi^3}{\lambda} G, \\ A_i^g &\approx 2\pi^2 \rho^2 \tau^a (\epsilon_{ial} \partial_l + \delta_{ia} \partial_Z) G, \\ A_z^g &\approx 2\pi^2 \rho^2 \tau^a \partial_a H, \\ F_{iz}^g &\approx 2\pi^2 \rho^2 \tau^a \left[\partial_i \partial_a H - \delta_{ia} \partial_z \partial_Z G - \epsilon_{iak} \partial_k \partial_Z G \right. \\ &\quad \left. - 4\pi^2 \rho^2 (\delta_{ia} \partial_k G \partial_k H - \partial_a G \partial_i H - \epsilon_{iac} \partial_Z G \partial_c H) \right], \\ F_{ij}^g &\approx 2\pi^2 \rho^2 \tau^a \left[(\epsilon_{jal} \partial_i \partial_l - \epsilon_{ial} \partial_l \partial_j - \delta_{ia} \partial_j \partial_Z + \delta_{ja} \partial_i \partial_Z) G \right. \\ &\quad \left. - 4\pi^2 \rho^2 (\epsilon_{aij} (\partial_Z G)^2 + \epsilon_{ijl} \partial_a G \partial_l G + \delta_{ia} \partial_j G \partial_Z G - \delta_{ja} \partial_i G \partial_Z G) \right], \end{aligned} \tag{16}$$

in the so-called singular gauge,

$$A \rightarrow A^g = g^{-1} A g - i g^{-1} d g, \quad g = \frac{z - Z - i(\vec{x} - \vec{X}) \cdot \vec{\tau}}{\xi}. \tag{17}$$

In Ref. [32], the non-Abelian commutator terms in F_{iz}^g and F_{ij}^g have been dropped since they are negligible when $|z| \gg 1$. Here we have restored them for a later purpose. The Green functions $G(|\vec{x} - \vec{X}|, z, Z)$ and $H(|\vec{x} - \vec{X}|, z, Z)$ satisfy ($\nabla_x^2 \equiv \partial_i \partial_i$)

$$\begin{aligned} h(z) \nabla_x^2 G + \partial_z (k(z) \partial_z G) &= \delta(z - Z) \delta(\vec{x} - \vec{X}), \\ \nabla_x^2 H + \partial_z (h^{-1}(z) \partial_z (k(z) H)) &= \frac{1}{k(z)} \delta(z - Z) \delta(\vec{x} - \vec{X}). \end{aligned} \tag{18}$$

In order to compute the D-term, or more generally the gravitational form factors, it is desirable to have an approximate solution which smoothly interpolates the above solutions in the two limits $|z| \ll 1$ and $|z| \gg 1$. Such a solution can be readily found for the U(1) part. We start with the small- z region and consider the following equation of motion for \widehat{A}_0 in the SU(2) instanton background:

$$h(z) \nabla_x^2 \widehat{A}_0 + \partial_z (k(z) \partial_z \widehat{A}_0) = -\frac{648\pi}{\lambda} \frac{\rho^4}{(\xi^2 + \rho^2)^4}, \tag{19}$$

or in the Fourier space,

$$-\vec{k}^2 h(z) \widehat{A}_0 + \partial_z (k(z) \partial_z \widehat{A}_0) = -\frac{27\pi^3 \rho^4 e^{-|\vec{k}| \sqrt{\rho^2 + z^2}}}{\lambda (\rho^2 + z^2)^{5/2}} \left(3 + 3|\vec{k}| \sqrt{\rho^2 + z^2} + \vec{k}^2 (\rho^2 + z^2) \right). \tag{20}$$

In the flat space approximation $h(z) \approx k(z) \approx 1$, the solution regular at $\xi = 0$ is given by Eq. (15). The boundary conditions are such that $\widehat{A}_0(z \rightarrow \infty) = 0$ and the solution is smooth at $z = 0$, namely, $\partial_z \widehat{A}_0(z)|_{z=0} = 0$. When $|z| \gg 1$, the right-hand side of Eq. (19) is negligible, and the equation becomes identical to that for G in Eq. (18). Therefore, the solution of Eq. (19) with the said boundary conditions smoothly interpolates the solutions at $|z| \ll 1$ and $|z| \gg 1$. We will use it as an approximate solution in the whole region $0 < |z| < \infty$.

The situation is more complicated for the SU(2) part. The asymptotic solution in Eq. (16) with Eq. (18) has been obtained by neglecting the nonlinear terms in the Yang–Mills equation. In the small-instanton regime $\rho \ll 1$, or equivalently, the strong coupling regime $\lambda \gg 1$ (note the correspondence $\rho \sim 1/\sqrt{\lambda}$ [30]), the large- z and small- z solutions have an overlapping region of validity $\rho \ll z \ll 1$ where they can be smoothly matched [32]. We extrapolate G and H to small z by solving Eq. (16) with the instanton configuration in Eq. (15) rotated to the singular

gauge $A_\mu \rightarrow A_\mu^g$ and substituted into the left-hand side. This gives

$$G(x, z) = H(x, z) = \frac{1}{4\pi^2\rho^2} \ln \frac{\xi^2}{\xi^2 + \rho^2} \quad (|z| \lesssim 1), \tag{21}$$

with

$$(\nabla_x^2 + \partial_z^2) \begin{pmatrix} G \\ H \end{pmatrix} = \frac{\rho^2}{\pi^2\xi^2(\xi^2 + \rho^2)^2}. \tag{22}$$

In the $\rho \rightarrow 0$ limit, G, H reduce to the flat space Green function $\frac{-1}{4\pi^2\xi^2}$ in four dimensions. Regarding the right-hand side of Eq. (22) as a regularized form of $\delta(\vec{x} - \vec{X})\delta(z - Z)$, we are led to consider the equations

$$\begin{aligned} h(z)\nabla_x^2 G + \partial_z(k(z)\partial_z G) &= \frac{\rho^2}{\pi^2\xi^2(\xi^2 + \rho^2)^2}, \\ \nabla_x^2 H + \partial_z\left(h^{-1}(z)\partial_z(k(z)H)\right) &= \frac{h(z)}{k(z)} \frac{\rho^2}{\pi^2\xi^2(\xi^2 + \rho^2)^2} \end{aligned} \tag{23}$$

instead of Eq. (18).¹ Equation (23) is to be solved with boundary conditions $G, H \rightarrow 0$ as $|z| \rightarrow \infty$ and $\partial_z G|_{z=0} = \partial_z H|_{z=0} = 0$. At large z the right-hand side is negligible, and the equation reduces to Eq. (18). At small z , G and H smoothly connect to the instanton solution by construction. Therefore, we can use Eq. (16) with Eq. (23) as an approximate solution in the whole range $0 < |z| < \infty$ even when ρ is of order unity, provided the non-Abelian commutator terms in F_{ij} and F_{iz} in Eq. (16) are kept. To go beyond this approximation, one has to numerically solve the Yang–Mills equation in the curved background as was done in Refs. [43–45].

Let us now take a first look at the energy momentum tensor in this model. We can write down the following “classical” energy momentum tensor in four dimensions,

$$\begin{aligned} T_{\mu\nu}^{\text{cl}}(x) &= 2\kappa \int_{-\infty}^{\infty} dz \text{tr} \left[h(z)F_{\mu\rho}F_{\nu}{}^\rho + k(z)F_{\mu z}F_{\nu z} - \frac{\eta_{\mu\nu}}{2} \left(\frac{h(z)}{2}F_{\alpha\beta}^2 + k(z)F_{\mu z}^2 \right) \right] \\ &\quad + \kappa \int_{-\infty}^{\infty} dz \left[h(z)\widehat{F}_{\mu\rho}\widehat{F}_{\nu}{}^\rho + k(z)\widehat{F}_{\mu z}\widehat{F}_{\nu z} - \frac{\eta_{\mu\nu}}{2} \left(\frac{h(z)}{2}\widehat{F}_{\alpha\beta}^2 + k(z)\widehat{F}_{\mu z}^2 \right) \right], \end{aligned} \tag{24}$$

by varying the action in Eq. (11) with respect to the flat metric $\eta^{\mu\nu}$ [46]. The Chern–Simons term does not contribute since it is independent of the metric. When evaluated on-shell, Eq. (24) is conserved,

$$\partial^\mu T_{\mu\nu}^{\text{cl}} = 0. \tag{25}$$

We have explicitly verified Eq. (25) using the equation of motion derived in Ref. [32].

We expect that Eq. (24) is a reasonable approximation to the full result when the baryon is treated as heavy (at least parametrically) as in large- N_c QCD. (In Sect. 5 we discuss the corrections to this formula due to glueballs.) In particular, the nucleon mass can be calculated as

$$\begin{aligned} M &= \int d^3x T_{00}^{\text{cl}}(\vec{x}) = \kappa \int d^3x dz \text{tr} \left[\frac{h(z)}{2}F_{ij}^2 + k(z)F_{iz}^2 \right] \\ &\quad + \frac{\kappa}{2} \int d^3x dz \left[h(z)(\partial_i \widehat{A}_0)^2 + k(z)(\partial_z \widehat{A}_0)^2 \right], \end{aligned} \tag{26}$$

¹Differently from Eq. (18), we have introduced $h(z)$ on the right-hand side of the equation for H for a technical reason to be explained in the next section. The choice of the inhomogeneous term is somewhat arbitrary in our construction of an approximate solution.

where we have already substituted the classical configuration $A_0 = \widehat{A}_i = \widehat{A}_z = 0$. Equation (26) is consistent with Eq. (3.18) of [30] after using the equation of motion. The latter expression is simply the minus of the on-shell action $\int dt M = -S$ (this time including the Chern–Simons term), which is appropriate for a classical, heavy particle at rest. For the instanton solution, the integrals in Eq. (26) can be explicitly evaluated and lead to the structure [30]

$$M = 8\pi^2\kappa \left(1 + \mathcal{O}(\rho^2) + \mathcal{O}\left(\frac{1}{\lambda^2\rho^2}\right) \right). \tag{27}$$

(Remember the mass is measured in units of M_{KK} .) The leading term $8\pi^2\kappa \sim \mathcal{O}(\lambda N_c)$ comes from the SU(2) part. The subleading terms ρ^2 and $1/\rho^2$ come from the SU(2) and U(1) fields, respectively. The SU(2) fields tend to shrink the instanton size $\rho \rightarrow 0$, while the U(1) field tends to expand the size $\rho \rightarrow \infty$, making the system unstable. As a compromise, the minimum energy is achieved when $\rho \sim \mathcal{O}(1/\sqrt{\lambda})$. The situation is entirely analogous to the Skyrme model where the baryon (realized as a solitonic configuration of pions) is stabilized by introducing the ω -meson [47]. The numerical value of ρ has been fixed in this way in the instanton approximation [30]:

$$\rho = \sqrt{\frac{27\pi}{\lambda}} \sqrt{\frac{6}{5}} \approx 2.36, \quad \frac{M}{M_{KK}} = \frac{\lambda N_c}{27\pi} + \sqrt{\frac{2}{15}} N_c \approx 1.68. \tag{28}$$

Together with Eq. (14), this gives $M \sim 1.5$ GeV, which is larger than the observed value. However, the value of M is subject to changes after the collective coordinate quantization [30].

Returning to Eq. (24), our main interest in this paper is the spatial $i, j = 1, 2, 3$ components,

$$T_{ij}^{\text{cl}}(\vec{x}) = 2\kappa \int_{-\infty}^{\infty} dz \text{tr} \left[h(z)F_{il}F_{jl} + k(z)F_{iz}F_{jz} - \frac{\delta_{ij}}{2} \left(\frac{h(z)}{2} F_{lm}^2 + k(z)F_{lz}^2 \right) \right] + \kappa \int_{-\infty}^{\infty} dz \left[-h(z)\partial_i\widehat{A}_0\partial_j\widehat{A}_0 + \frac{\delta_{ij}}{2} (h(z)(\partial_i\widehat{A}_0)^2 + k(z)(\partial_z\widehat{A}_0)^2) \right]. \tag{29}$$

Classically, one might expect that the form factor $D(|\vec{k}|)$ could be calculated by simply inserting the above solutions into Eq. (29) and Fourier-transforming to momentum space, $\vec{x} \rightarrow \vec{k}$. (Below we shall often use the notation $D(|\vec{k}|)$ instead of $D(t)$.) Since T_{ij}^{cl} is conserved, it must have the structure

$$T_{ij}^{\text{cl}}(\vec{k}) = (k_i k_j - \delta_{ij} \vec{k}^2) \frac{D(|\vec{k}|)}{4M}. \tag{30}$$

However, it turns out that this naive approach is valid only at $\vec{k} = 0$. In the next section we numerically evaluate the D-term $D(0)$ in this way. A more general analysis valid for arbitrary values of $|\vec{k}|$ will be presented in Sect. 5.

4. “Classical” calculation of the D-term

In this section we calculate the D-term “classically,” by Fourier-transforming the naive energy momentum tensor in Eq. (29) with the approximate solutions \widehat{A}_0 , F_{ij} , and F_{iz} constructed in the previous section. This is analogous to what has been done in the Skyrme model or chiral soliton models. For a reason to be clarified in the next section, the calculation in the present section is valid only at vanishing momentum transfer $|\vec{k}| = 0$. When $|\vec{k}| \neq 0$, one must carry out a fully holographic calculation which will be discussed in the next section. We shall calculate the contributions from the U(1) and SU(2) fields separately:

$$D(0) = D^{\text{U}(1)}(0) + D^{\text{SU}(2)}(0). \tag{31}$$

In the remainder of this section, only three-momenta \vec{k}, \vec{q} appear. We thus write $|\vec{k}| \equiv k, |\vec{q}| \equiv q$ below to simplify the notation.

4.1. $U(1)$ part

In Sect. 3 we constructed an approximate solution for the $U(1)$ gauge potential $\widehat{A}_0(x, z)$ which can be used in the entire range $-\infty < z < \infty$. This is obtained by numerically solving Eq. (20) with the boundary conditions $\widehat{A}_0(k, z = \infty) = 0$ and $\partial_z \widehat{A}(k, z)|_{z=0} = 0$. The next step is to substitute this solution into Eq. (29):

$$\begin{aligned} T_{ij}^{U(1)} &= \kappa \int_{-\infty}^{\infty} dz \int d^3 x e^{i\vec{k}\cdot\vec{x}} \left[-h(z) \partial_i \widehat{A}_0 \partial_j \widehat{A}_0 + \frac{\delta_{ij}}{2} (h(z) (\partial_l \widehat{A}_0)^2 + k(z) (\partial_z \widehat{A}_0)^2) \right] \\ &= \kappa \int_{-\infty}^{\infty} dz \int \frac{d^3 q}{(2\pi)^3} \left[h(z) q_i (k_j - q_j) \widehat{A}_0(q, z) \widehat{A}_0(|\vec{k} - \vec{q}|, z) \right. \\ &\quad \left. - \frac{\delta_{ij}}{2} (h(z) \vec{q} \cdot (\vec{k} - \vec{q}) \widehat{A}_0(q, z) + \partial_z (k(z) \partial_z \widehat{A}_0(q, z))) \widehat{A}_0(|\vec{k} - \vec{q}|, z) \right] \\ &\equiv \kappa \int_{-\infty}^{\infty} dz (P_1(k, z) k_i k_j + Q_1(k, z) \delta_{ij} k^2). \end{aligned} \tag{32}$$

In the second equality we integrated by parts in z . The coefficients P_1 and Q_1 can be calculated as follows:

$$\begin{aligned} P_1(k, z) &= \frac{h(z)}{2(k^2)^2} \int \frac{d^3 q}{(2\pi)^3} (2\vec{q} \cdot \vec{k} k^2 - 3(\vec{q} \cdot \vec{k})^2 + q^2 k^2) \widehat{A}_0(q, z) \widehat{A}_0(|\vec{k} - \vec{q}|, z) \\ &= \frac{h(z)}{8\pi^2 k^2} \int_{-1}^1 d \cos \theta \\ &\quad \times \int_0^\infty q^3 dq (2k \cos \theta - 3q \cos^2 \theta + q) \widehat{A}_0(q, z) \widehat{A}_0(\sqrt{k^2 + q^2 - 2kq \cos \theta}, z). \end{aligned} \tag{33}$$

$$\begin{aligned} Q_1(k, z) &= \frac{1}{2k^2} \int \frac{d^3 q}{(2\pi)^3} \left[h(z) \left(\frac{(\vec{q} \cdot \vec{k})^2}{k^2} - \vec{q} \cdot \vec{k} \right) \widehat{A}_0(q, z) - \partial_z (k(z) \partial_z \widehat{A}_0(q, z)) \right] \\ &\quad \times \widehat{A}_0(|\vec{k} - \vec{q}|, z). \end{aligned} \tag{34}$$

Despite the singular prefactor $1/k^2$, $P_1(k = 0, z)$ is finite, as one can see by expanding the integrand in k and performing the angular integral. On the other hand, $Q_1(k = 0, z)$ is actually divergent. If the bulk equation of motion is solved exactly, this divergence should be canceled by the contribution from the $SU(2)$ field. Moreover, after the cancellation the coefficients of $k_i k_j$ and $-\delta_{ij} k^2$ must agree exactly due to the conservation law (cf. Eq. (30)). Indeed, after some manipulation (including the addition of total derivative terms), one can show that

$$\begin{aligned} Q_1(k, z) &= -P_1(k, z) - \frac{1}{(k^2)^2} \\ &\quad \times \int \frac{d^3 q}{(2\pi)^3} \vec{k} \cdot (\vec{k} - \vec{q}) (-q^2 h(z) \widehat{A}_0(q, z) + \partial_z (k(z) \partial_z \widehat{A}_0(q, z))) \widehat{A}_0(|\vec{k} - \vec{q}|, z). \end{aligned} \tag{35}$$

The expression inside the brackets is connected to the $SU(2)$ fields via the equation of motion, Eq. (20). In practice, since our solution is approximate and obtained only numerically, it may be difficult to achieve a precise cancellation. We thus focus on the coefficient of $k_i k_j$ which is safely calculable in the present approach, and leave a more complete analysis for future work.

4.2. $SU(2)$ part

We now turn to the $SU(2)$ fields. Let us first point out that the instanton solution, valid in the small- z region, does not give rise to the structure $k_i k_j$. Indeed, inserting Eq. (15) into Eq. (29), we find

$$T_{ij}^{\text{instanton}}(k) \sim 8\kappa\rho^4\delta_{ij} \int dz \int d^3x e^{ik \cdot x} \frac{h(z) - k(z)}{(\xi^2 + \rho^2)^4} \\ = \frac{\pi^2\kappa\rho^4\delta_{ij}}{3} \int dz \frac{h(z) - k(z)}{(z^2 + \rho^2)^{5/2}} \left(3 + 3k\sqrt{z^2 + \rho^2} + k^2(z^2 + \rho^2)\right) e^{-k\sqrt{z^2 + \rho^2}}, \quad (36)$$

where the z -integral should be cut off around $z \lesssim \mathcal{O}(1)$. Note that the coefficient of δ_{ij} is finite at $k = 0$, meaning that Eq. (36) gives a divergent contribution to $D(k = 0)$ since $T_{ij} \sim D(k)\delta_{ij}k^2$. This is expected in view of our discussion in the previous subsection. If the equation of motion is solved exactly, the divergence must be canceled by the contributions from the $U(1)$ field as well as that from the $SU(2)$ field in the large- z region (where the instanton approximation breaks down). However, since we decided to focus on the coefficient of $k_i k_j$, we do not dwell on Eq. (36) further.

Next, we consider the large- z region $|z| \gg 1$ where the solution is given by Eqs. (16) and (18). Equation (18) can be formally solved by introducing the complete set of eigenfunctions [26,32],

$$-\frac{1}{h(z)}\partial_z(k(z)\partial_z\psi_n(z)) = m_n^2\psi_n(z) \quad (n = 1, 2, 3, \dots), \quad (37)$$

normalized as

$$\kappa \int dz h(z)\psi_n\psi_m = \delta_{mn}, \quad (38)$$

and associated eigenfunctions

$$\phi_0(z) = \frac{1}{\sqrt{\kappa\pi}}\frac{1}{k(z)}, \quad \phi_n(z) = \frac{1}{m_n}\partial_z\psi_n(z) \quad (n = 1, 2, 3, \dots). \quad (39)$$

Using these eigenfunctions, we can write the solution of Eq. (18) as, in momentum space,

$$G(k, z, Z) = -\kappa \sum_{n=1}^{\infty} \frac{\psi_n(z)\psi_n(Z)}{k^2 + m_n^2}, \\ H(k, z, Z) = -\kappa \sum_{n=0}^{\infty} \frac{\phi_n(z)\phi_n(Z)}{k^2 + m_n^2}, \quad (40)$$

where $m_0 = 0$. $\psi_n(z)$ is an even (odd) function in z when n is odd (even). Since $\psi_{2n}(0) = 0$ and $\phi_{2n+1}(0) = 0$, only odd n values contribute in G , and only even n values contribute in H at $Z = 0$. Numerically, we find, for $n \geq 1$,

$$\frac{m_n}{M_{KK}} = 0.818, \quad 1.2525, \quad 1.695, \quad 2.132, \quad 2.567, \quad 3.001, \quad 3.435, \quad 3.868, \quad 4.300, \dots \quad (41)$$

Note that $m_1 = 0.818M_{KK} \approx 776$ MeV is the ρ -meson mass. More generally, $G \sim \psi_{2n-1}$ represents vector mesons (ρ -meson excited states) and $H \sim \phi_{2n}$ with $n \geq 1$ represents axial vector mesons (a_1 -meson excited states). The $n = 0$ term ϕ_0 in H represents the massless pion field.

To calculate the D-term, we need to extrapolate the above solution to the small- z region. The main complication is the nonlinearity of the $SU(2)$ Yang–Mills equation. We argued in Sect. 3 that, at least in the strong coupling limit where $1 \gg \rho$, the linear approximation is valid up to $z \gtrsim \rho$, and an approximate solution in this regime can be obtained by replacing Eq. (18)

with Eq. (23). The remaining parametrically small region $\rho > z > 0$ does not contribute to the coefficient of $k_i k_j$ as we have seen in Eq. (36), so we may further extrapolate this solution down to $z = 0$. However, as the coupling is lowered and ρ exceeds unity, the non-Abelian commutator terms in F_{ij} and F_{iz} become important. This is why we have kept them in Eq. (16).

Since the full SU(2) energy momentum tensor is local and involves up to four powers of the gauge fields, it is much more advantageous to work in the original coordinate space. In Eq. (29) there are two terms that can give rise to the structure $k_i k_j$. Noting that G and H depend only on the magnitude $x = |\vec{x}|$ (and z), we write

$$\text{tr}[h(z)F_{il}F_{jl} + k(z)F_{iz}F_{jz}] = \frac{x_i x_j}{x^2} X(x, z) + \delta_{ij} Y(x, z). \tag{42}$$

After a rather tedious calculation we find

$$X = 2(2\pi^2 \rho^2)^2 [h(z)(\mathcal{E}^2 + \mathcal{F}^2 - \mathcal{I}^2 + 2\mathcal{D}\mathcal{F} - 2\mathcal{D}\mathcal{I}) + k(z)(2\mathcal{A}\mathcal{B} + \mathcal{B}^2 - \mathcal{C}^2)], \tag{43}$$

with

$$\begin{aligned} \mathcal{A} &= \frac{\partial_x H}{x} - \partial_z \partial_Z G - 4\pi^2 \rho^2 \partial_x G \partial_x H, \\ \mathcal{B} &= \partial_x^2 H - \frac{\partial_x H}{x} + 4\pi^2 \rho^2 \partial_x G \partial_x H, \\ \mathcal{C} &= \partial_x \partial_z G - 4\pi^2 \rho^2 \partial_Z G \partial_x H, \\ \mathcal{D} &= \frac{2\partial_x G}{x} - 4\pi^2 \rho^2 (\partial_Z G)^2, \\ \mathcal{E} &= \partial_x \partial_z G + 4\pi^2 \rho^2 \partial_x G \partial_Z G, \\ \mathcal{F} &= \partial_x^2 G - \frac{\partial_x G}{x}, \\ \mathcal{I} &= -4\pi^2 \rho^2 (\partial_x G)^2. \end{aligned} \tag{44}$$

$\partial_Z G$ can be eliminated by using the formula (see Eq. (2.79) of Ref. [32] and Eq. (23))

$$\partial_Z G = -\frac{1}{h(z)} \partial_z(k(z)H), \quad \partial_z \partial_Z G = \nabla_x^2 H - \frac{h(z)}{k(z)} \frac{\rho^2}{\pi^2 \xi^2 (\xi^2 + \rho^2)^2}, \tag{45}$$

after which we may set $Z = 0$. The first relation was originally derived in the large- z , linear regime, but it is also valid in the small- z regime where $k(z) \approx h(z) \approx 1$, $G \approx H$, and $\partial_Z G \approx -\partial_z G$. Given X , we can evaluate the SU(2) contribution to the D-term as

$$D^{\text{SU}(2)}(0) = -64\pi \kappa M \lim_{k \rightarrow 0} \frac{1}{k^2} \int_0^\infty dz \int_0^\infty dx x^2 j_2(kx) X(x, z), \tag{46}$$

where j_2 is the spherical Bessel function.

4.3. Numerical result

We have solved Eqs. (20) and (23) numerically with the Neumann boundary conditions at $x = 0$ and $z = 0$ and the Dirichlet boundary conditions $\widehat{A}_0 = G = H = 0$ at infinity. Great care is needed in order to obtain a stable solution for H (and especially its x - and z -derivatives) because of the massless pion pole, the $n = 0$ term in Eq. (40), as well as the pole $1/\xi^2$ on the right-hand side of Eq. (23). To cope with these, we first make the shift (cf. Eq. (21))

$$G(x, z) = \tilde{G}(x, z) + \frac{1}{4\pi^2 \rho^2} \ln \frac{\xi^2}{\xi^2 + \rho^2}, \quad H(x, z) = \tilde{H}(x, z) + \frac{1}{k(z)} \frac{1}{4\pi^2 \rho^2} \ln \frac{\xi^2}{\xi^2 + \rho^2}, \tag{47}$$

to avoid the singular behavior near the origin.² We then solve the resultant differential equations for $\tilde{G}(x, z)$ and $\tilde{H}(x, z)$ by employing the pseudospectral method [48]. In this method, the functions $\tilde{G}(x, z)$, $\tilde{H}(x, z)$ are expanded by tensor products $u_m(x; L_x)u_n(z; L_z)$ ($1 \leq m, n \leq N$) where the basis functions $u_n(y; L) = y^2/(y + L)^2 TL_n(y; L) - 1$, which individually satisfy the boundary conditions, are related to the rational Chebyshev functions $TL_n(y; L)$ on the semi-infinite interval $0 < y < \infty$ via the “basis recombination” [48]. The adjustable parameters L_x and L_z are set to 1 for solving $\tilde{G}(x, z)$, while we use $L_x = 16$ and $L_z = 1$ for $\tilde{H}(x, z)$ to better stabilize the x -dependence of H . The number of basis functions N is varied in the range $60 \leq N \leq 120$, and the variation in the results is used as an estimate of the systematic errors for each value of k . The libraries SciPy [49], Eigen [50], and Boost.Multiprecision [51] have been helpful for these numerical analyses.

The solutions just described depend on the input value of ρ . For the instanton solution, ρ is given by Eq. (28). Since we go beyond the instanton approximation, ρ needs to be recalculated accordingly. For our new solution, the nucleon mass in Eq. (26) takes the form

$$\begin{aligned}
 M(\rho) = & 2\kappa \int_0^\infty \frac{q^2 dq}{2\pi^2} \int_0^\infty dz \left[\frac{h(z)}{2} (q^2 \widehat{A}_0^2(q, z) + (\partial_z \widehat{A}_0(q, z))^2) \right] \\
 & + 8\pi\kappa (4\pi^2 \rho^2)^2 \int_0^\infty x^2 dx \int_0^\infty dz \\
 & \times \left[h(z) \left(\mathcal{E}^2 + (\mathcal{D} + \mathcal{F})^2 + \frac{1}{2}(\mathcal{D} + \mathcal{I})^2 \right) + k(z)(\mathcal{A}^2 + \mathcal{C}^2 + (\mathcal{A} + \mathcal{B})^2) \right]. \quad (48)
 \end{aligned}$$

We find $M(\rho)$ has a minimum at (cf. Eq. (28))

$$\rho^* \approx 2.26, \quad M(\rho^*) \approx 1.31 M_{KK}. \quad (49)$$

We have thus evaluated the integrals from Eqs. (33) and (46) using the solutions \widehat{A}_0 , G , and H with $\rho = \rho^*$, and extrapolated them to $k \rightarrow 0$ to obtain

$$D^{U(1)}(0) \approx 0.543, \quad D^{SU(2)}(0) \approx -0.685 \pm 0.022, \quad (50)$$

where the errors for the U(1) part are negligibly small. After a cancellation between the positive U(1) and negative SU(2) contributions, the total D-term,

$$D(0) = D^{SU(2)}(0) + D^{U(1)}(0) \approx -0.140 \pm 0.022, \quad (51)$$

turns out to be slightly negative. That the U(1) contribution is positive is intuitively easy to understand. The U(1) field is analogous to the static electric field $\vec{E} \sim \vec{r}/r^3$ of a point charge. The energy momentum tensor (Maxwell’s stress tensor) of a point charge takes the form

$$T_{ij} \sim -E_i E_j + \frac{\delta_{ij}}{2} \vec{E}^2 = -\frac{1}{r^4} \left(\frac{r^i r^j}{r^2} - \frac{\delta_{ij}}{3} \right) + \frac{\delta_{ij}}{6r^4}. \quad (52)$$

The “pressure” $p(r) \sim 1/(6r^4)$ (see Eq. (2)) is everywhere positive and hence the D-term $D \sim \int d^3r r^2 p(r)$ is also positive (actually divergent). On the other hand, the SU(2) fields may be thought of as the “pion cloud” in traditional hadron physics. In the chiral quark soliton model, it has been argued that the pion cloud is responsible for making the D-term negative [38]. Our result is consistent with this argument, although in the present approach the “cloud” is made up of not only pions but also infinitely many vector and axial-vector mesons. Incidentally, if we

²This is why we have introduced the factor $h(z)$ on the right-hand side of the equation for H in Eq. (23). Without this factor, the subtraction in Eq. (47) induces a discontinuity in the resultant equation at the origin: the limits $x \rightarrow 0$ with $z = 0$ fixed and $z \rightarrow 0$ with $x = 0$ fixed do not agree.

neglect the non-Abelian commutator terms (terms proportional to ρ^2 in Eq. (44)), the SU(2) contribution also becomes positive.

Our result provides a new perspective on the stability of nucleons in holographic QCD. If we interpret the D-term as a measure of outward radial force, the U(1) and SU(2) fields generate positive and negative forces, respectively, and they tend to expand and shrink the system. This is entirely analogous to the calculation of the nucleon’s mass as briefly mentioned below Eq. (27) and discussed in detail in Ref. [30]. Namely, the U(1) field (iso-singlet mesons, in particular the ω meson) tends to expand the nucleon by preferring large instanton sizes $\rho \rightarrow \infty$, and this is counterbalanced by the SU(2) fields (iso-vector mesons π, ρ, a_1, \dots) which prefer small sizes $\rho \rightarrow 0$. Neither one of them alone can stabilize the nucleon. Thus, there seems to be a direct link between the stability arguments in terms of the nucleon’s mass and the D-term when they are decomposed into contributions from different subsystems. On the other hand, the present discussion does not indicate whether the sign of the total $D = D^{U(1)} + D^{SU(2)}$, which happens to be slightly negative, is of particular significance regarding stability (cf. Refs. [52,53]).

5. Coupling to gravity

In gauge/string duality, the proper method to calculate the field theory expectation value of the energy momentum tensor has been established [54,55]. In this section we apply the framework of holographic renormalization developed in Refs. [55,56] to the calculation of the form factor $D(|\vec{k}|)$ and elucidate its connection to the glueball spectrum. We shall also explain why the classical calculation in the previous section is valid only at $|\vec{k}| = 0$. For previous attempts in bottom-up holographic models, see Refs. [19,36].

5.1. Setup

The basic idea of our holographic calculation is that matter fields in the “bulk” perturb the metric, and this “wake” is propagated to the boundary and recorded as the field theory expectation value $\langle T_{\mu\nu} \rangle$. In bottom-up holographic QCD models, gravity is confined in the same five-dimensional (deformed) anti-de Sitter spaces where the matter fields live. However, the situation is different in our top-down model. The action in Eq. (11) is a low-energy effective theory on the “flavor” D8 branes embedded in a ten-dimensional curved space-time in type IIA supergravity. The latter is further derived from 11-dimensional supergravity (M-theory) on doubly Wick rotated AdS₇ black hole $\times S^4$ [57],

$$ds^2 = \frac{r^2}{L^2} [f(r)d\tau^2 - dx_0^2 + dx_1^2 + dx_2^2 + dx_3^2 + dx_{11}^2] + \frac{L^2}{r^2} \frac{dr^2}{f(r)} + \frac{L^2}{4} d\Omega_4^2, \tag{53}$$

after compactifying the eleventh dimension x_{11} on a circle of radius

$$R_{11} = g_s l_s = \frac{\lambda}{2\pi N_c M_{KK}}. \tag{54}$$

In Eq. (53) we defined

$$f(r) = 1 - \frac{R^6}{r^6}, \quad R = \frac{L^2 M_{KK}}{3}, \quad L^3 = 8\pi g_s N_c l_s^3, \tag{55}$$

where g_s is the string coupling and $l_s = \sqrt{\alpha'}$ is the string length. The following relation is useful:

$$\lambda N_c = \frac{L^6 M_{KK}}{32\pi g_s l_s^5}. \tag{56}$$

The radial coordinate r ($\infty > r > R$) is related to z in Eq. (11) as

$$z = \pm \sqrt{\frac{r^6}{R^6} - 1}. \tag{57}$$

Below we shall use r and z interchangeably, keeping in mind that they are related as in Eq. (57).

The AdS₇ black hole geometry is sourced by the N_c D4 branes spanning the coordinates $(x^{\mu=0,1,2,3}, \tau)$. In this background, N_f D8 branes are placed at $\tau = 0$ and $\tau = \pi/M_{KK}$ corresponding to the $z > 0$ and $z < 0$ branches, respectively, which are smoothly connected at $r = R$. We adopt the probe approximation $N_c \gg N_f$, and the backreaction of the geometry due to the D8 branes is neglected. The S^4 part of the D8 branes has already been integrated out in Eq. (11). The dual field theory on the boundary of AdS₇ at $r \rightarrow \infty$ is a six-dimensional conformal field theory coupled with four-dimensional fermions at the location of the D8/ $\overline{\text{D8}}$ branes.³ After compactifying the x_{11} direction in Eq. (54), and furthermore the τ -direction on a circle $\tau \sim \tau + 2\pi/M_{KK}$ with supersymmetry-breaking boundary conditions, the theory becomes a four-dimensional, confining SU(N_c) Yang–Mills theory coupled with N_f Dirac fermions, that is SU(N_c) QCD with N_f flavors, at low energies. Our task is to calculate the induced energy momentum tensor $\langle T_{ij} \rangle$ in this boundary theory sourced by the soliton, which corresponds to the nucleon, living on the D8 branes.

While the method of holographic renormalization has been extended to non-conformal theories [56], for our purposes it is more convenient to work in the eleven-dimensional (or seven-dimensional, after reducing on S^4) setting in Eq. (53) instead of ten-dimensional type-IIA supergravity with the dilaton. In this setting, we calculate the metric fluctuation caused by the bulk soliton ($x^M = (x^{0,1,2,3}, \tau, r, x_{11})$),

$$\begin{aligned} \delta g_{MN}(\tau, x_{11}, x, r) &= \kappa_7^2 \int d\tau' dx'_{11} d^4x' dr' \sqrt{-G'_{(7)}} \\ &\times G_{MNAB}(\tau - \tau', x_{11} - x'_{11}, x - x', r, r') \mathcal{T}^{AB}(\tau', x'_{11}, x', r'), \end{aligned} \tag{58}$$

in the axial gauge

$$\delta g_{Mr} = 0, \tag{59}$$

and study the behavior of the four-dimensional components $\delta g_{\mu\nu}$ ($\mu, \nu = 0, 1, 2, 3$) near the boundary $r \rightarrow \infty$. The expectation value of the energy momentum tensor is then proportional to the coefficient of the $1/r^4$ term integrated over the extra dimensions

$$\int_{x_{11}, \tau} \delta g_{\mu\nu} \sim \frac{C_{\mu\nu}}{r^4} + \dots, \quad \langle T_{\mu\nu} \rangle \propto C_{\mu\nu}. \tag{60}$$

(See Ref. [55] for the precise prescription.) In Eq. (58), G_{MNAB} is the graviton propagator and $G'_{(7)} = -(r'/L)^{10}$ is the determinant of the AdS part of the metric. \mathcal{T}^{AB} ($A, B = 0, 1, 2, 3, \tau, r, 11$) is the seven-dimensional energy momentum tensor (already integrated over S^4) of the soliton. κ_7^2 is related to the eleven-dimensional gravitational constant as

$$\kappa_{11}^2 = \text{Vol}(S^4) \kappa_7^2 = \frac{8\pi^2}{3} \left(\frac{L}{2}\right)^4 \kappa_7^2. \tag{61}$$

³This six-dimensional conformal field theory is the $\mathcal{N} = (2, 0)$ superconformal field theory realized on N_c M5 branes extended along the $x^{0,1,2,3,11}$ and τ directions, which are the M-theory lift of the D4 branes in type IIA string theory. On the other hand, the M-theory lift of a D8 brane is not well understood. We just use the M-theory description as a convenient notation to organize the fields in type IIA supergravity.

For a static soliton, \mathcal{T}^{AB} does not depend on x'_0 or x'_{11} . Going to the Fourier space, we get

$$\begin{aligned} \delta g_{MN}(\vec{k}, r) &\equiv \int d\tau dx_{11} d^3 \vec{x} e^{i\vec{k}\cdot\vec{x}} \delta g_{MN}(\tau, x_{11}, \vec{x}, r) \\ &= \kappa_7^2 \int dr' \sqrt{-G'_{(7)}} G_{MNAB}(\vec{k}, r, r') \mathcal{T}^{AB}(\vec{k}, r'), \end{aligned} \tag{62}$$

where $G(\vec{k}, r, r')$ is the momentum space graviton propagator with $k_\tau = k_{11} = k_0 = 0$, and we defined

$$\mathcal{T}^{AB}(\vec{k}, r') \equiv 2\pi R_{11} \int d\tau' \mathcal{T}^{AB}(\tau', \vec{k}, r'). \tag{63}$$

The τ' -integral is trivial because the configuration of D8 branes described above instructs us to write, in the z -coordinates,

$$\mathcal{T}^{AB}(\tau', \vec{k}, z) = \frac{\mathcal{T}^{AB}(\vec{k}, |z|)}{4\pi R_{11}} (\delta(\tau') + \delta(\tau' - \pi/M_{KK})). \tag{64}$$

The bulk energy momentum tensor \mathcal{T}_{AB} can be obtained by varying the D8 brane action with respect to the eleven-dimensional metric in Eq. (53),

$$\mathcal{T}_{AB} = -\frac{2}{\sqrt{-G_{(11)}}} \frac{\delta S_{D8}}{\delta G_{(11)}^{AB}} \text{Vol}(S^4), \tag{65}$$

where we only keep the quadratic terms in the field strength tensor in the D8 brane action

$$S_{D8} \approx -C \int d^4 x dr d^4 \Omega_4 e^{-\Phi} \sqrt{-\tilde{g}} \frac{1}{4} \tilde{g}^{mn} \tilde{g}^{ab} \text{tr}[F_{ma} F_{nb}], \tag{66}$$

with $C = (64\pi^6 l_s^5)^{-1}$. \tilde{g} is the induced metric on the D8-brane,

$$\tilde{g}_{ab} dx^a dx^b = \frac{r^3}{L^3} [-dx_0^2 + (d\vec{x})^2] + \frac{r}{L} \left(\frac{L^2}{r^2 f(r)} + f(r) \left(\frac{\partial \tau}{\partial r} \right)^2 \right) dr^2 + \frac{r}{L} \frac{L^2}{4} d\Omega_4^2, \tag{67}$$

with a nontrivial dilaton field $e^{-\Phi} = \frac{1}{g_s} (L/r)^{3/2}$. (In the present case, $\partial\tau/\partial r = 0$.) In the eleven-dimensional notation, Eq. (66) takes the form

$$\begin{aligned} S_{D8} &= -\frac{C}{2\pi R_{11} g_s} \int d\tau dx_{11} d^4 x dr d^4 \Omega_4 (\delta(\tau) + \delta(\tau - \pi/M_{KK})) \\ &\times \frac{\sqrt{-G_{(11)}}}{\sqrt{G_{\tau\tau}}} \frac{1}{4} G^{AB} G^{MN} \text{tr}[F_{AM} F_{BN}] + \dots \end{aligned} \tag{68}$$

This leads to

$$\begin{aligned} 2\pi R_{11} \int d\tau' \sqrt{-G'_{(7)}} \mathcal{T}_{\mu\nu} &= \frac{\pi^2 C r'^2 L^2}{3g_s \sqrt{f(r')}} \text{tr} \left[F_{\mu\rho} F_{\nu}{}^\rho + (1+z^2)^{\frac{4}{3}} F_{\mu z} F_{\nu z} \right. \\ &\quad \left. - \frac{\eta_{\mu\nu}}{4} (F_{\rho\sigma} F^{\rho\sigma} + 2(1+z^2)^{\frac{4}{3}} F_{\rho z} F_z^\rho) \right], \end{aligned} \tag{69}$$

$$2\pi R_{11} \int d\tau' \sqrt{-G'_{(7)}} \mathcal{T}_{11,11} = \frac{\pi^2 C r'^2 L^2}{3g_s \sqrt{f(r')}} \text{tr} \left[-\frac{1}{4} F_{\rho\sigma} F^{\rho\sigma} - \frac{(1+z^2)^{\frac{4}{3}}}{2} F_{\rho z} F_z^\rho \right], \tag{70}$$

$$2\pi R_{11} \int d\tau' \sqrt{-G'_{(7)}} \mathcal{T}_{\mu z} = \frac{\pi^2 C r'^2 L^2}{3g_s \sqrt{f(r')}} \text{tr} [F_{\mu\rho} F_z^\rho], \tag{71}$$

$$2\pi R_{11} \int d\tau' \sqrt{-G'_{(7)}} \mathcal{T}_{zz} = \frac{\pi^2 C r'^2 L^2}{3g_s \sqrt{f(r')}} \text{tr} \left[\frac{1}{2} F_{z\rho} F_z^\rho - \frac{1}{4(1+z^2)^{\frac{4}{3}}} F_{\rho\sigma} F^{\rho\sigma} \right], \tag{72}$$

with

$$\mathcal{T}_{\mu r} = \frac{\partial z}{\partial r} \mathcal{T}_{\mu z} = \frac{3r^5}{zR^6} \mathcal{T}_{\mu z}, \quad \mathcal{T}_{rr} = \frac{9r^{10}}{z^2 R^{12}} \mathcal{T}_{zz}. \tag{73}$$

[For simplicity only the SU(2) part is shown.] It is important to notice that Eq. (68) is independent of $G_{\tau\tau}$, hence $\mathcal{T}_{\tau\tau} = 0$ for the soliton configuration. This is simply because the D8/ $\overline{\text{D8}}$ branes do not extend in the τ -direction. On the other hand, $\mathcal{T}_{11,11}$ is nonvanishing even though the D8/ $\overline{\text{D8}}$ branes do not extend in the x_{11} direction. This can be easily understood as the coupling between the gauge fields and the dilaton field in the type IIA description in ten dimensions.

5.2. Glueballs in the AdS₇ black hole

In this paper we do not attempt to compute Eq. (62) in its full glory because we do not have the exact (numerical) solution of the soliton configuration. Instead, assuming that the latter is known, we will analyze the bulk Einstein equation in detail and establish the connection between the gravitational form factors and the known glueball spectrum of this theory [58,59] (see also Refs. [60–62]).

The relevance of glueballs to the present problem can be understood as follows. Since the spatial part of the field theory energy momentum tensor is transverse $k^i T_{ij} = 0$, near the boundary the metric fluctuation must also be transverse, $k^i \delta g_{ij} = 0$, and can be parameterized as⁴

$$\delta g_{ij} \approx \delta g_{ij}^{\text{TT}} + \frac{1}{5} \left(\delta_{ij} - \frac{k_i k_j}{k^2} \right) \delta g_a^a \quad (r \rightarrow \infty). \tag{74}$$

δg^{TT} is the so-called transverse-traceless (TT) part where it is understood that the “trace” is taken in six dimensions $x^a = (x^\mu = 0,1,2,3, x^{11}, \tau)$. As for the trace part, since we neglect the backreaction of the D8/ $\overline{\text{D8}}$ branes in the probe approximation,⁵ the geometry is asymptotically AdS with no dilaton. In the axial gauge, the Einstein equation then automatically requires that $\delta g_a^a \approx 0$ in the asymptotically AdS regime.⁶ Therefore, the trace term can be neglected and the D-term entirely originates from the TT modes of the AdS₇ black hole. Glueballs are nothing but the normalizable TT modes of the linearized Einstein equation. They can be classified according to spin under the rotation group SO(3) in physical space and interpreted as the actual spectrum of glueballs on the boundary field theory. Among the 14 independent TT modes, those relevant to the present discussion are referred to as T₄ and S₄ in the classification of Ref. [59].⁷ The T₄ mode consists of 2⁺⁺, 1⁺⁺, and 0⁺⁺ glueballs. They are transverse and traceless in five dimensions $x^{0,1,2,3,11}$ and have vanishing components in the other dimensions. Despite the difference in spin, all these glueballs obey the same bulk equation of motion, namely the massless Klein–Gordon equation on the AdS₇ black hole. Therefore, their masses are degenerate. The

⁴Near the boundary $r \rightarrow \infty$, the metric fluctuation in the $d = 6$ dimensional subspace $x^a = (x^{0,1,2,3,11}, \tau)$ can be generically written as

$$\delta g_{ab} \approx \delta g_{ab}^{\text{TT}} + \frac{k_a k_c \delta g_b^c + k_b k_c \delta g_a^c}{k^2} - \frac{k_a k_b k_c k_d \delta g^{cd}}{(k^2)^2} + \frac{1}{d-1} \left(\eta_{ab} - \frac{k_a k_b}{k^2} \right) \left(\delta g_c^c - \frac{k_c k_d \delta g^{cd}}{k^2} \right),$$

in momentum space. Taking $k^a = \delta_i^a k^i$ and imposing the condition $k_i \delta g^i_j = 0$ we arrive at Eq. (74).

⁵The backreacted geometry for the present problem has been calculated in Ref. [63].

⁶If one works in the type IIA setup or in AdS₅/QCD₄ models, one must include this term and solve the Einstein equation coupled to the dilaton field [19,56].

⁷In this paper we do not consider metric fluctuations on S⁴. Actually, if one does that, there exists another 0⁺⁺ glueball mode called L₄ [59,60] which can be sourced by the S⁴ part of the energy momentum tensor (obtained similarly to $\mathcal{T}_{11,11}$ in Eq. (70)). However, this is not a TT mode, and one can show that it does not contribute to the boundary energy momentum tensor.

$T_4(2^{++})$ glueballs are transverse-traceless already in four dimensions $x^{0,1,2,3}$. Among the five independent 2^{++} polarizations, the following mode stands out as it features the same spatial tensor structure as in Eq. (5):

$$\delta g_{\mu\nu}^{T_4(2^{++})} \sim \begin{pmatrix} 2 & \ell_j \\ \ell_i & \delta_{ij} - \frac{k_i k_j}{\vec{k}^2} \end{pmatrix}, \tag{75}$$

where ℓ_i satisfies $k^i \ell_i = 0$. The metric fluctuation corresponding to the $T_4(0^{++})$ glueballs are traceless in five dimensions [58,59],

$$\delta g_{ab}^{T_4(0^{++})} \sim \begin{pmatrix} \delta g_{\mu\nu} & \delta g_{\mu,11} \\ \delta g_{11,\mu} & \delta g_{11,11} \end{pmatrix} \sim \begin{pmatrix} \eta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2} & 0 \\ 0 & -3 \end{pmatrix}, \tag{76}$$

with $k^\mu = (0, \vec{k})$. The spatial part again contains the structure $k_i k_j - \delta_{ij} \vec{k}^2$ we look for. Note that the largest component is in the eleventh dimension. Therefore, the $T_4(0^{++})$ mode may be thought of as the counterpart of the dilaton in type IIA supergravity.

On the other hand, the S_4 mode consists only of 0^{++} glueballs. Their masses are not degenerate with the T_4 glueballs, and actually the lightest scalar glueball belongs to this class. In Ref. [58] this solution was dubbed “exotic” because it has a nonzero component in the τ -direction ,

$$\delta g_{ab}^{S_4} \sim \begin{pmatrix} \delta g_{\mu\nu} & & \\ & \delta g_{11,11} & \\ & & \delta g_{\tau\tau} \end{pmatrix} \sim \begin{pmatrix} \eta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2} & & \\ & 1 & \\ & & -4 \end{pmatrix}. \tag{77}$$

Far away from the boundary, the metric fluctuation cannot be written in this simple form, and it is no longer possible to literally maintain the “transverse-traceless” condition. Besides, the explicit solution constructed in Ref. [58] features nonvanishing components in the r -direction (see Eq. (93) below).

We thus see that there are three different types of glueballs that can potentially contribute to the D-term. Accordingly, the bulk energy momentum tensor from Eqs. (69)-(70) can be decomposed as⁸

$$\mathcal{T}_{AB} = \mathcal{T}_{AB}^{T(2)} + \mathcal{T}_{AB}^{T(0)} + \mathcal{T}_{AB}^S + \mathcal{T}_{AB}^{\text{other}}, \tag{78}$$

where $\mathcal{T}_{AB}^{T(2)}$ is the part that sources the $T_4(2^{++})$ excitation in Eq. (75), etc. $\mathcal{T}_{AB}^{\text{other}}$ is the remainder which does not contribute to $\langle T_{\mu\nu} \rangle$ on the boundary. (See Eqs. (100)–(104).) Since each TT mode satisfies a decoupled equation, near the boundary we can write, schematically,

$$\delta g_{\mu\nu} \sim G_{\mu\nu AB}^{T(2)} \mathcal{T}_{T(2)}^{AB} + G_{\mu\nu AB}^{T(0)} \mathcal{T}_{T(0)}^{AB} + G_{\mu\nu AB}^S \mathcal{T}_S^{AB}. \tag{79}$$

The boundary energy momentum tensor $\langle T_{ab} \rangle$ of the six-dimensional field theory is therefore given by the linear combination

$$\begin{aligned} \langle T_{ab} \rangle = & t_2(\vec{k}^2) \begin{pmatrix} \begin{pmatrix} 2\vec{k}^2 & \vec{k}^2 \ell_j \\ \vec{k}^2 \ell_i & \vec{k}^2 \delta_{ij} - k_i k_j \end{pmatrix} & \\ & 0 \\ & 0 \end{pmatrix} + t_0(\vec{k}^2) \begin{pmatrix} k^2 \eta_{\mu\nu} - k_\mu k_\nu & & \\ & -3k^2 & \\ & & 0 \end{pmatrix} \\ & + s_0(\vec{k}^2) \begin{pmatrix} k^2 \eta_{\mu\nu} - k_\mu k_\nu & & \\ & k^2 & \\ & & -4k^2 \end{pmatrix} \end{aligned}$$

⁸In the following, the trivial τ -integral (cf. Eqs. (62) and (63)) is understood both for the bulk energy momentum tensor \mathcal{T}^{AB} and the metric fluctuation δg_{MN} .

$$= \begin{pmatrix} (2t_2 - t_0 - s_0)\vec{k}^2 & \vec{k}^2 \ell_j & & & & \\ \vec{k}^2 \ell_i & (t_2 + t_0 + s_0)(\vec{k}^2 \delta_{ij} - k_i k_j) & & & & \\ & & (-3t_0 + s_0)\vec{k}^2 & & & \\ & & & & & -4s_0\vec{k}^2 \end{pmatrix}. \quad (80)$$

Comparing the four-dimensional part of this to Eqs. (5) or (7), we can identify⁹

$$\begin{aligned} t_2 &= \frac{M}{3} \left(\frac{A}{\vec{k}^2} - \frac{B}{4M^2} \right) \delta_{s's}, \\ t_2 \ell_i \vec{k}^2 &= \frac{A+B}{4} \epsilon_{ijk} (ik^j) \sigma_{s's}^k, \\ t_0 + s_0 &= -\frac{M}{3} \left(\frac{A}{\vec{k}^2} - \frac{B}{4M^2} + \frac{3D}{4M^2} \right) \delta_{s's}. \end{aligned} \quad (81)$$

Note that t_2 and $t_0 + s_0$ both have a pole $1/\vec{k}^2$, but they cancel in the sum. The components of Eq. (80) are then given by

$$\langle T_{00} \rangle = (2t_2 - t_0 - s_0)\vec{k}^2 = M \left(A - \frac{B\vec{k}^2}{4M^2} + \frac{D\vec{k}^2}{4M^2} \right) \delta_{s's}, \quad (82)$$

$$\langle T_{0i} \rangle = t_2 \ell_i \vec{k}^2 = \frac{A+B}{4} \epsilon_{ijk} (ik^j) \sigma_{s's}^k, \quad (83)$$

$$\langle T_{ij} \rangle = (t_2 + t_0 + s_0)(\vec{k}^2 \delta_{ij} - k_i k_j) = \frac{D}{4M} (k_i k_j - \vec{k}^2 \delta_{ij}) \delta_{s's}, \quad (84)$$

in agreement with Eq. (5). Moreover, the traceless condition in six dimensions leads to the QCD trace anomaly relation in four dimensions,

$$-\langle T_\mu^\mu \rangle = \langle T_{11}^{11} \rangle + \langle T_\tau^\tau \rangle = M \left(A - \frac{B\vec{k}^2}{4M^2} + 3\frac{D\vec{k}^2}{4M^2} \right) \delta_{s's}. \quad (85)$$

In order to determine the parameters t_0 , t_2 , s_0 , one needs to fully solve the linearized Einstein equation with the (numerically obtained) bulk energy momentum tensor. This will be discussed in the next subsection. A naive expectation, however, is that the S_4 mode decouples or is suppressed compared to the T_4 modes, namely, $|s_0| \ll |t_{0,2}|$. Indeed, there have been arguments in the literature [58,62] that the S_4 glueball states may not survive in the ‘‘continuum limit’’ $M_{KK} \rightarrow \infty$ keeping meson masses fixed and including the stringy $1/\lambda$ corrections to all orders. The corresponding metric fluctuation in Eq. (77) has the largest component in the τ -direction whose compactification radius $1/M_{KK}$ shrinks to zero in this limit. Such arguments are also practically motivated since there is an excess of 0^{++} glueballs from holography compared with lattice QCD results [59,62]. We note, however, that there is no symmetry argument which prevents the S_4 mode from surviving the continuum limit. In this paper we will not try to include the stringy corrections, but work in the supergravity approximation without taking the continuum limit. Within this approximation, we will see that the S_4 mode actually contributes to the gravitational form factors.

⁹For the sake of generality, here we temporarily restore the spin-1/2 nature of the nucleon. In the present treatment using the classical bulk energy momentum tensor in Eq. (69) with spherically symmetric gauge configurations, the dependence of the energy momentum tensor on the spin states of the nucleon is not captured and ℓ_i vanishes.

5.3. Solving the Einstein equation

Let us investigate in detail whether and how the various glueball states described in the previous subsection couple to the soliton. For this purpose, we turn to the linearized Einstein equation,

$$\begin{aligned} \mathcal{H}_{AB} &\equiv \nabla^2 \delta g_{AB} + \nabla_A \nabla_B \delta g_C^C - \nabla^C (\nabla_A \delta g_{BC} + \nabla_B \delta g_{AC}) - \frac{12}{L^2} \delta g_{AB} \\ &= -2\kappa_7^2 \left(\mathcal{T}_{AB} - \frac{g_{AB}}{5} \mathcal{T}_C^C \right), \end{aligned} \tag{86}$$

where the covariant derivative and raising/lowering of indices are calculated with respect to the background metric g_{AB} in Eq. (53). We do not impose $\delta g_C^C \approx 0$ at this point, since this condition holds only near the boundary $r \rightarrow \infty$. After eliminating \mathcal{T}_C^C by taking a trace, Eq. (86) can be cast into the equivalent form

$$\bar{\mathcal{H}}_{AB} = \mathcal{H}_{AB} - \frac{g_{AB}}{2} \mathcal{H}_C^C = -2\kappa_7^2 \mathcal{T}_{AB}. \tag{87}$$

Let us first substitute the following parametrization relevant to the $T_4(2^{++})$ mode (see Eq. (75)),

$$\begin{aligned} \delta g_{00}^{T(2)} &= 2 \frac{r^2}{L^2} h^{T(2)}(r, k), \\ \delta g_{ij}^{T(2)} &= \frac{r^2}{L^2} \left(\delta_{ij} - \frac{k_i k_j}{\vec{k}^2} \right) h^{T(2)}; \end{aligned} \tag{88}$$

we find, for $k^\mu = (0, \vec{k})$,

$$\begin{aligned} \bar{\mathcal{H}}_{00} &= 2 \frac{r^2}{L^2} \nabla^2 h^{T(2)}, \\ \bar{\mathcal{H}}_{ij} &= \frac{r^2}{L^2} \left(\delta_{ij} - \frac{k_i k_j}{\vec{k}^2} \right) \nabla^2 h^{T(2)}, \end{aligned} \tag{89}$$

with all the other components vanishing. Here, ∇^2 is the massless Klein–Gordon operator

$$\nabla^2 = \frac{1}{L^2 r^5} \partial_r ((r^7 - r R^6) \partial_r) - \frac{L^2 k^2}{r^2}, \tag{90}$$

and the propagation is diagonal in Lorentz indices. Naturally, this mode can be sourced by a part of the bulk $\mathcal{T}_{\mu\nu}$ that has the same tensorial structure as in Eq. (89). As for the $T_4(0^{++})$ mode, we use (see Eq. (76))

$$\begin{aligned} \delta g_{\mu\nu}^{T(0)} &= \frac{r^2}{L^2} \left(\eta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2} \right) h^{T(0)}(r, k), \\ \delta g_{11,11}^{T(0)} &= -3 \frac{r^2}{L^2} h^{T(0)}, \end{aligned} \tag{91}$$

and find that

$$\begin{aligned} \bar{\mathcal{H}}_{\mu\nu} &= \frac{r^2}{L^2} \left(\eta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2} \right) \nabla^2 h^{T(0)}, \\ \bar{\mathcal{H}}_{11,11} &= -3 \frac{r^2}{L^2} \nabla^2 h^{T(0)}. \end{aligned} \tag{92}$$

Again, there is no mixing of Lorentz indices. This explains the above-mentioned degeneracy between the $T_4(2^{++})$ and $T_4(0^{++})$ glueballs. Turning to \mathcal{T}_{AB} on the right-hand side, we see from Eq. (70) that the component $\mathcal{T}_{11,11}$ is nonvanishing. Therefore, the soliton can act as a source for the $T_4(0^{++})$ mode (or the dilaton in the type IIA language).

Next, we substitute the following $S_4(0^{++})$ metric fluctuation [58]¹⁰ into the left-hand side of Eq. (87):

$$\begin{aligned} \delta g_{\tau\tau}^S &= -f(r) \frac{r^2}{L^2} h^S(r, k), \\ \delta g_{\mu\nu}^S &= \frac{r^2}{4L^2} \left[\eta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2} - \frac{12R^6}{5r^6 - 2R^6} \frac{k_\mu k_\nu}{k^2} \right] h^S, \\ \delta g_{11,11}^S &= \frac{r^2}{4L^2} h^S, \\ \delta g_{rr}^S &= -\frac{L^2}{r^2 f(r)} \frac{3R^6}{5r^6 - 2R^6} h^S, \\ \delta g_{r\mu}^S &= \frac{90r^7 R^6}{L^2(5r^6 - 2R^6)^2} \frac{ik_\mu}{k^2} h^S. \end{aligned} \tag{93}$$

The result is

$$\begin{aligned} \bar{\mathcal{H}}_{\tau\tau} &= -\frac{r^2 f(r)}{L^2} \frac{5(r^6 - R^6)}{5r^6 - 2R^6} \mathcal{H}^S, \\ \bar{\mathcal{H}}_{\mu\nu} &= \frac{r^2}{L^2} \frac{5(r^6 + 2R^6)}{4(5r^6 - 2R^6)} \left(\eta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2} \right) \mathcal{H}^S, \\ \bar{\mathcal{H}}_{11,11} &= \frac{r^2}{L^2} \frac{5(r^6 + 2R^6)}{4(5r^6 - 2R^6)} \mathcal{H}^S, \\ \bar{\mathcal{H}}_{rr} &= 0, \\ \bar{\mathcal{H}}_{r\mu} &= 0, \end{aligned} \tag{94}$$

where

$$\begin{aligned} \mathcal{H}^S &\equiv \left(\frac{1}{L^2 r^5} \partial_r ((r^7 - rR^6) \partial_r) - \frac{L^2 k^2}{r^2} + \frac{432R^{12}}{L^2(5r^6 - 2R^6)^2} \right) h^S \\ &= \left(\nabla^2 + \frac{432R^{12}}{L^2(5r^6 - 2R^6)^2} \right) h^S. \end{aligned} \tag{95}$$

The differential operator in Eq. (95) agrees with the one derived in Refs. [58,59] for the S_4 mode. The following identity will be very useful later:

$$\begin{aligned} &\frac{5(r^6 + 2R^6)}{5r^6 - 2R^6} \left(\frac{1}{r^3} \partial_r (r(r^6 - R^6) \partial_r) + \frac{432r^2 R^{12}}{(5r^6 - 2R^6)^2} \right) \\ &= \frac{1}{r^3} \partial_r \left(r(r^6 - R^6) \frac{5(r^6 + 2R^6)}{5r^6 - 2R^6} \left(\partial_r + \frac{72r^5 R^6}{(5r^6 - 2R^6)(r^6 + 2R^6)} \right) \right) \\ &= \frac{1}{r^3} \partial_r \left(r(r^6 - R^6) \left(\partial_r + \frac{144r^5 R^6}{(5r^6 - 2R^6)(r^6 + 2R^6)} \right) \frac{5(r^6 + 2R^6)}{5r^6 - 2R^6} \right). \end{aligned} \tag{96}$$

We see that the spatial components $\bar{\mathcal{H}}_{ij}$ have the expected tensor structure. On the other hand, unlike for the T_4 modes above, now the $\tau\tau$ component $\bar{\mathcal{H}}_{\tau\tau} \propto \mathcal{T}_{\tau\tau}$ is nonvanishing. Since $\mathcal{T}_{\tau\tau} = 0$ for the soliton configuration, as we pointed out below Eq. (73), naively this implies that the soliton does not excite the S_4 mode. However, the situation is more complex because of the presence of $\mathcal{T}^{\text{other}}$ in Eq. (78) and its induced metric fluctuation δg^{other} . The primary role of

¹⁰Note that Eq. (93) is not expressed in the axial gauge of Eq. (59). However, this does not affect the discussion below because $\bar{\mathcal{H}}_{AB}$ is gauge invariant and δg_{M_r} rapidly vanishes in the large r limit.

this term is to account for the $\mathcal{T}_{\mu r}$ and \mathcal{T}_{rr} components of the bulk energy momentum tensor. These are nonvanishing for the soliton solution (see Eqs. (71)–(73)), but they do not directly couple to any of the above TT modes. In the axial gauge $\delta g_{Mr} = 0$, the components of the Einstein equation having an r -index serve as first-order constraints [64] that can be used to eliminate non-TT modes via the conservation law $\nabla^M \mathcal{T}_{Mn} = 0$ ($n = 0, 1, 2, 3, 11$), or explicitly,

$$\partial^\mu \mathcal{T}_{\mu n} = -\frac{1}{L^4 r^3} \partial_r (r(r^6 - R^6) \mathcal{T}_{rn}), \quad (97)$$

$$\eta^{mn} \mathcal{T}_{mn} = \frac{1}{L^4 r^2} (L^4 r^3 \partial^\mu \mathcal{T}_{\mu r} + r(r^6 - R^6) \partial_r \mathcal{T}_{rr} + (8r^6 + R^6) \mathcal{T}_{rr}) - \frac{r^6(r^6 + 2R^6)}{(r^6 - R^6)^2} \mathcal{T}_{\tau\tau}. \quad (98)$$

Let us employ the following ansatz:

$$\begin{aligned} \delta g_{\mu\nu}^{\text{other}}(\vec{k}, r) &= \frac{r^2}{L^2} \frac{k_\mu k_\nu}{k^2} a(r, k), \\ \delta g_{11,11}^{\text{other}}(\vec{k}, r) &= \frac{r^2}{L^2} b(r, k), \\ \delta g_{\tau\tau}^{\text{other}}(\vec{k}, r) &= \frac{r^2 f(r)}{L^2} (-b(r, k)). \end{aligned} \quad (99)$$

This solves the linearized Einstein equation with the bulk energy momentum tensor of the form

$$2\kappa_7^2 \mathcal{T}_{\mu\nu}^{\text{other}} \equiv \frac{1}{L^4 r^3} \partial_r \left[\left(\eta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2} \right) r(r^6 - R^6) \partial_r a - 3R^6 \eta_{\mu\nu} b \right], \quad (100)$$

$$2\kappa_7^2 \mathcal{T}_{11,11}^{\text{other}} \equiv \frac{1}{L^4 r^3} \partial_r (r(r^6 - R^6) \partial_r (a - b) - 3R^6 b) + k^2 b, \quad (101)$$

$$2\kappa_7^2 \mathcal{T}_{\mu r}^{\text{other}} \equiv ik_\mu \frac{3R^6}{r(r^6 - R^6)} b, \quad (102)$$

$$2\kappa_7^2 \mathcal{T}_{rr}^{\text{other}} \equiv \frac{(5r^6 - 2R^6) \partial_r a + 3R^6 \partial_r b}{r(r^6 - R^6)}, \quad (103)$$

$$2\kappa_7^2 \mathcal{T}_{\tau\tau}^{\text{other}} \equiv \frac{f(r)}{L^4 r^3} \partial_r (r(r^6 - R^6) \partial_r (a + b) - 3R^6 (a + b)) - f(r) k^2 b. \quad (104)$$

Here, $a(r, k)$ and $b(r, k)$ are determined by imposing

$$\mathcal{T}_{\mu r}^{\text{other}} = \mathcal{T}_{\mu r}, \quad \mathcal{T}_{rr}^{\text{other}} = \mathcal{T}_{rr}, \quad (105)$$

where the right-hand sides of these equations are the bulk energy momentum tensor from Eq. (73). Because the gauge configuration under consideration is spherically symmetric, one can show that $\mathcal{T}_{\mu r}$ is proportional to k_μ and hence the first equation of Eq. (105) can be solved immediately. The second equation of Eq. (105) contains at most first-order derivatives in r and it can be uniquely solved by imposing the boundary condition $a \rightarrow 0$ at $r \rightarrow \infty$. With the conditions in Eq. (105), we find that the metric fluctuation in Eq. (99) satisfies the μr and rr components of the linearized Einstein equation in Eq. (87). Note that the parameterization in Eq. (99) is not unique. Different parameterizations lead to different decompositions of the Einstein equation in Eqs. (78) and (79) without changing the total induced metric δg_{ab} and hence the boundary energy momentum tensor $\langle T_{ab} \rangle$. We are led to the choice in Eq. (99) because then the reduced five-dimensional energy momentum tensor

$$\frac{L}{r} \sqrt{g_{\tau\tau} g_{11,11}} \mathcal{T}_{\mu\nu}^{\text{other}} \sim \sqrt{f(r)} \frac{r}{L r^3} \partial_r [\dots] = \frac{3}{LR^3} \text{sgn}(z) \partial_z [\dots] \quad (106)$$

is a total derivative in z ,¹¹ a feature that will turn out to be convenient shortly. As r goes to infinity, $\mathcal{T}_{rr}^{\text{other}} = \mathcal{T}_{rr} \sim 1/r^{11}$ and $\mathcal{T}_{\mu r}^{\text{other}} = \mathcal{T}_{\mu r} \sim 1/r^{10}$, as can be seen by substituting Eq. (16) into Eqs. (71) and (72) and noticing that $G \sim 1/z \sim 1/r^3$ and $H \sim 1/z^2 \sim 1/r^6$ in this limit. This implies $a \sim 1/r^9$ and $b \sim 1/r^3$, and hence $\delta g_{\mu\nu}^{\text{other}} \sim 1/r^7$ and $\delta g_{11,11}^{\text{other}} \sim \delta g_{\tau\tau}^{\text{other}} \sim 1/r$. We see that $\delta g_{\mu\nu}^{\text{other}}$ decays too fast to contribute to $\langle T_{\mu\nu} \rangle$, but $g_{11,11}^{\text{other}}$ and $\delta g_{\tau\tau}^{\text{other}}$ decay slowly and potentially contribute to $\langle T_{\tau\tau} \rangle$ and $\langle T_{11,11} \rangle$ ¹² (but not to $\langle T_{\mu\nu} \rangle$). On the other hand, near $z = 0$ or $r = R$ where the instanton approximation is good, we can use Eq. (15) to deduce the singular behavior as $z \rightarrow 0$,

$$\mathcal{T}_{\mu r} \sim \frac{\mathcal{T}_{\mu z}}{z} \sim \frac{\partial_z \widehat{A}_0}{z^2} \sim \frac{1}{z}, \quad \mathcal{T}_{rr} \sim \frac{1}{z^3}. \tag{107}$$

(The leading singularity comes from the U(1) part.) Comparing with Eqs. (102) and (103), we find that

$$a \sim b \sim \sqrt{r^6 - R^6} \sim z \quad (z \rightarrow 0). \tag{108}$$

Since the $\tau\tau$ component of the total bulk energy momentum tensor $\mathcal{T}_{\tau\tau}$, as well as the T_4 part $\mathcal{T}_{\tau\tau}^{\text{T}(2)}$ and $\mathcal{T}_{\tau\tau}^{\text{T}(0)}$, is zero, Eq. (104) means that an effective source term for the S_4 mode is induced. To compensate Eq. (104) by the S_4 mode, we impose

$$2\kappa_7^2 \mathcal{T}_{\tau\tau}^S \equiv \frac{r^2 f(r)}{L^2} \frac{5(r^6 - R^6)}{5r^6 - 2R^6} \mathcal{H}^S = -2\kappa_7^2 \mathcal{T}_{\tau\tau}^{\text{other}}, \tag{109}$$

(see Eq. (94)), which implies

$$\begin{aligned} 2\kappa_7^2 \mathcal{T}_{\mu\nu}^S &\equiv -\frac{r^2}{L^2} \frac{5(r^6 + 2R^6)}{4(5r^6 - 2R^6)} \left(\eta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2} \right) \mathcal{H}^S \\ &= \frac{r^6 + 2R^6}{4f(r)(r^6 - R^6)} \left(\eta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2} \right) 2\kappa_7^2 \mathcal{T}_{\tau\tau}^{\text{other}} \end{aligned} \tag{110}$$

$$= \frac{r^6 + 2R^6}{4(r^6 - R^6)} \left(\eta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2} \right) \left[\frac{1}{L^4 r^3} \partial_r (r(r^6 - R^6) \partial_r (a + b) - 3R^6 (a + b)) - k^2 b \right],$$

$$\delta g_{\mu\nu}^S \approx \frac{r^2}{4L^2} \left(\eta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2} \right) h^S + \mathcal{O}(1/r^7), \tag{111}$$

with $\mathcal{H}^S \sim 1/r^3$ and $h^S \sim 1/r^3$ as $r \rightarrow \infty$. Comparing the large- r behavior of Eq. (109),

$$\frac{r^2}{L^2} \mathcal{H}^S \sim -\frac{1}{L^4 r^3} \partial_r (r^7 \partial_r b) + k^2 b, \tag{112}$$

with that of Eq. (95), we can identify

$$h^S = -b + \mathcal{O}(1/r^6). \tag{113}$$

(Note that $\partial_r (r^7 \partial_r r^{-6}) = 0$.)

We thus conclude that, contrary to the naive expectation, the S_4 glueballs in general contribute to the boundary energy momentum tensor, albeit in a somewhat indirect way. Conse-

¹¹More precisely, because of the sign function $\text{sgn}(z) = z/|z|$, there arises a delta function contribution $\partial_z \text{sgn}(z) = 2\delta(z)$ upon partial integration. However, this term vanishes due to the property in Eq. (108) of the baryon configuration.

¹²Note that this part is transverse-traceless by itself: $\langle T_{\tau\tau} \rangle + \langle T_{11,11} \rangle = 0$. It is another TT mode different from the ones described above.

quently, the source term for the T_4 glueballs is not the total $\mathcal{T}_{\mu\nu}$ in Eq. (69), but instead¹³

$$\mathcal{T}_{\mu\nu}^T \equiv \mathcal{T}_{\mu\nu}^{T(0)} + \mathcal{T}_{\mu\nu}^{T(2)} = \mathcal{T}_{\mu\nu} - \mathcal{T}_{\mu\nu}^S - \mathcal{T}_{\mu\nu}^{\text{other}}. \quad (114)$$

Note that the leading term $\delta g_{\mu\nu}^S \sim r^2 h^S \sim 1/r$ as $r \rightarrow \infty$ is canceled against a like term in $\delta g_{\mu\nu}^T \propto \mathcal{T}_{\mu\nu}^T \sim -\mathcal{T}_{\mu\nu}^S$. Indeed, from Eq/ (94) we find

$$2\kappa_7^2 \mathcal{T}_{\mu\nu}^S \approx \left(-\frac{1}{L^4 r^3} \partial_r (r^7 - rR^6) \partial_r + k^2 \right) \frac{L^2}{r^2} \delta g_{\mu\nu}^S. \quad (115)$$

Similarly, from Eqs. (88) and (91),

$$2\kappa_7^2 \mathcal{T}_{\mu\nu}^T = \left(-\frac{1}{L^4 r^3} \partial_r (r^7 - rR^6) \partial_r + k^2 \right) \frac{L^2}{r^2} \delta g_{\mu\nu}^T. \quad (116)$$

Therefore, near the boundary $r \rightarrow \infty$,

$$2\kappa_7^2 (\mathcal{T}_{\mu\nu}^T + \mathcal{T}_{\mu\nu}^S) \approx \left(-\frac{1}{L^4 r^3} \partial_r (r^7 - rR^6) \partial_r + k^2 \right) \frac{L^2}{r^2} (\delta g_{\mu\nu}^T + \delta g_{\mu\nu}^S). \quad (117)$$

Since $\mathcal{T}_{\mu\nu}^T + \mathcal{T}_{\mu\nu}^S = \mathcal{T}_{\mu\nu} - \mathcal{T}_{\mu\nu}^{\text{other}} \sim 1/r^7$, most of the terms in $\delta g_{\mu\nu}^{T/S}$ which decay slower than $1/r^7$ cancel in the sum $\delta g_{\mu\nu}^T + \delta g_{\mu\nu}^S$. The leading surviving contribution is the $1/r^4$ term,

$$\delta g_{\mu\nu}^T + \delta g_{\mu\nu}^S \sim \frac{C_{\mu\nu}}{r^4} \quad (r \rightarrow \infty). \quad (118)$$

This is precisely what contributes to the boundary energy momentum tensor via holographic renormalization; see Eq. (60). Remarkably, when $k = 0$, $C_{\mu\nu}$ is directly proportional to the classical energy momentum tensor in Eq. (24), as can be seen by writing

$$2\kappa_7^2 \int_R^\infty dr r^3 (\mathcal{T}_{\mu\nu} - \mathcal{T}_{\mu\nu}^{\text{other}}) = 2\kappa_7^2 \int_R^\infty dr r^3 (\mathcal{T}_{\mu\nu}^T + \mathcal{T}_{\mu\nu}^S) = \frac{6C_{\mu\nu}}{L^2} \quad (119)$$

at $k = 0$. In the last equality, we have used the identity in Eq. (96) in order to recast the integrand (cf. Eq. (110)) as a total derivative. The first term on the left-hand side is proportional to Eq. (24) and the second term vanishes (cf. Eq. (108)):

$$2\kappa_7^2 \int_R^\infty dr r^3 \mathcal{T}_{\mu\nu}^{\text{other}} = \frac{3R^6}{L^4} \eta_{\mu\nu} b|_{r=R} = 0. \quad (120)$$

This means that the naive expression in Eq. (24) can be used to calculate gravitational form factors at $k = 0$. Below we shall reconfirm this important observation in a different way.

5.4. Glueball dominance of the gravitational form factors

Armed with the general discussion in the previous subsection, we are now ready to compute the form factor $D(\vec{k})$ for generic values of \vec{k} . From Eq. (84), we can write

$$D = D^{T(2)} + D^{T(0)} + D^{S(0)} = 4M(t_2 + t_0 + s_0), \quad (121)$$

where each component can be determined by solving the corresponding wave equations. It should be clear by now that the split $D^T \sim t_2 + t_0$ is actually not necessary. As we have seen above, the propagators of the $T_4(2^{++})$ and $T_4(0^{++})$ modes are identical and diagonal in Lorentz indices,

$$G_{\mu\nu AB}^{T(2)} = G_{\mu\nu AB}^{T(0)} \equiv \frac{r^2 r'^2}{L^4} \tilde{G}^T (\eta_{\mu\rho} \eta_{\nu\lambda} + \eta_{\mu\lambda} \eta_{\nu\rho}) \delta_A^\rho \delta_B^\lambda, \quad (122)$$

¹³Since the rr , $r\mu$ and $\tau\tau$ components of $\mathcal{T}_{AB}^T \equiv \mathcal{T}_{AB} - \mathcal{T}_{AB}^S - \mathcal{T}_{AB}^{\text{other}}$ are all zero, the conservation law of Eqs. (97) and (98) imply that \mathcal{T}_{AB}^T satisfy the transverse traceless conditions $\partial^\mu \mathcal{T}_{\mu n}^T = 0 = \eta^{nm} \mathcal{T}_{mn}^T$ ($n, m = 0, 1, 2, 3, 11$) in five dimensions.

where \tilde{G}^T is the Green function for the massless Klein–Gordon equation

$$-\nabla^2 \tilde{G}^T(r, r', \vec{k}) = -\left(\frac{1}{L^2 r^5} \partial_r \left((r^7 - rR^6) \partial_r\right) - \frac{L^2 \vec{k}^2}{r^2}\right) \tilde{G}^T(r, r', \vec{k}) = \frac{L^5}{r^5} \delta(r - r'). \quad (123)$$

We can thus write, in Eq. (62),

$$G_{\mu\nu AB}^{T(2)} \mathcal{T}_{T(2)}^{AB} + G_{\mu\nu AB}^{T(0)} \mathcal{T}_{T(0)}^{AB} = \frac{r^2 r'^2}{L^4} \tilde{G}^T(\eta_{\mu\rho} \eta_{\nu\lambda} + \eta_{\mu\lambda} \eta_{\nu\rho}) \mathcal{T}_T^{\rho\lambda} = \frac{2r^2}{r'^2} \tilde{G}^T \mathcal{T}_{\mu\nu}^T, \quad (124)$$

where $\mathcal{T}_{\mu\nu}^T$ is given by Eq. (114). This leads to the formula

$$\begin{aligned} \delta g_{\mu\nu}^T(r, \vec{k}) &= 2\kappa_7^2 \frac{r^2}{L^2} \int_R^\infty dr' \sqrt{-G^{(7)}} \tilde{G}^T(r, r', \vec{k}) \frac{L^2}{r'^2} \mathcal{T}_{\mu\nu}^T(r', \vec{k}) \\ &= 2\kappa_7^2 \frac{\pi^2 C r^2 L^2}{3g_s} \int_R^\infty \frac{dr'}{\sqrt{f(r')}} \tilde{G}^T(r, r', \vec{k}) \text{tr}[F_{\mu\rho} F_{\nu}{}^\rho(r', \vec{k})] + \dots \\ &= \kappa_7^2 \frac{\pi^2 C r^2 L^2 R}{9g_s} \int_{-\infty}^\infty dz \tilde{G}^T(r, r'(z), \vec{k}) h(z) \text{tr}[F_{\mu\rho} F_{\nu}{}^\rho(r'(z), \vec{k})] + \dots, \end{aligned} \quad (125)$$

where we used Eq. (69) and in the second line we switched to the variable z using Eq. (57). The omitted terms include the subtraction $-\mathcal{T}_{ij}^S - \mathcal{T}_{ij}^{\text{other}}$ in Eq. (114). Similarly, with the help of the identity in Eq. (96), we introduce the Green function for the S_4 mode,

$$-\left[\frac{1}{L^2 r^5} \partial_r \left((r^7 - rR^6) \left(\partial_r + \frac{144r^5 R^6}{(5r^6 - 2R^6)(r^6 + 2R^6)}\right)\right) - \frac{L^2 \vec{k}^2}{r^2}\right] \tilde{G}^S(r, r', \vec{k}) = \frac{L^5}{r^5} \delta(r - r'). \quad (126)$$

Using Eqs. (93) and (94), we find

$$\delta g_{\mu\nu}^S(r, \vec{k}) \approx 2\kappa_7^2 \frac{r^2}{L^2} \int_R^\infty dr' \sqrt{-G^{(7)}} \tilde{G}^S(r, r', \vec{k}) \frac{L^2}{r'^2} \mathcal{T}_{\mu\nu}^S(r', \vec{k}), \quad (127)$$

up to terms irrelevant (suppressed by powers of $1/r$) to holographic renormalization.

There is, however, a subtlety in the above discussion. As we have pointed out in the previous subsection, both $\delta g_{\mu\nu}^T$ and $\delta g_{\mu\nu}^S$ have a component which decays slowly, $\sim 1/r$. While they cancel eventually in the sum $\delta g_{\mu\nu}^T + \delta g_{\mu\nu}^S$, it is convenient to extract the $\mathcal{O}(1/r^4)$ components in $\delta g_{\mu\nu}^{T/S}$ by subtracting the slowly decaying terms, because each term in our formal expression of the Green functions $\tilde{G}^{T/S}(r, r')$ in Eq. (134) behaves as $1/r^6$ as $r \rightarrow \infty$ and the $1/r$ terms in $\delta g_{\mu\nu}^{T/S}$ cannot be captured without taking the infinite sum. For this purpose, we define

$$\begin{aligned} \overline{\delta g}_{\mu\nu}^T &\equiv \delta g_{\mu\nu}^T - \frac{r^2}{4L^2} \left(\eta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2}\right) b, \\ \overline{\delta g}_{\mu\nu}^S &\equiv \delta g_{\mu\nu}^S + \frac{r^2}{4L^2} \left(\eta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2}\right) b, \end{aligned} \quad (128)$$

and the corresponding subtracted bulk energy momentum tensor

$$\begin{aligned} 2\kappa_7^2 \overline{\mathcal{T}}_{\mu\nu}^T &\equiv 2\kappa_7^2 \mathcal{T}_{\mu\nu}^T + \frac{1}{4} \left(\eta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2}\right) \left(-k^2 + \frac{1}{L^4 r^3} \partial_r \left((r^7 - rR^6) \partial_r\right)\right) b, \\ 2\kappa_7^2 \overline{\mathcal{T}}_{\mu\nu}^S &\equiv 2\kappa_7^2 \mathcal{T}_{\mu\nu}^S - \frac{1}{4} \left(\eta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2}\right) \\ &\quad \times \left[-k^2 + \frac{1}{L^4 r^3} \partial_r \left((r^7 - rR^6) \left(\partial_r + \frac{144r^5 R^6}{(5r^6 - 2R^6)(r^6 + 2R^6)}\right)\right)\right] b. \end{aligned} \quad (129)$$

Equations (111), (113), and (118) imply $\overline{\delta g}_{\mu\nu}^{S/T} \sim 1/r^4$, as desired.

With this in mind, we now solve Eqs. (123) and (126) by introducing the complete set of eigenfunctions,

$$-\frac{1}{r^3 L^4} \partial_r \left((r^7 - rR^6) \partial_r \Psi_n^T(r) \right) = (m_n^T)^2 \Psi_n^T(r) \quad (n = 1, 2, 3, \dots), \quad (130)$$

$$-\frac{1}{r^3 L^4} \partial_r \left((r^7 - rR^6) \left(\partial_r + \frac{144r^5 R^6}{(5r^6 - 2R^6)(r^6 + 2R^6)} \right) \Psi_n^S(r) \right) = (m_n^S)^2 \Psi_n^S(r), \quad (131)$$

which are regular at $r = R$ and vanish in the limit $r \rightarrow \infty$. They satisfy the completeness relations

$$\frac{r^3}{L^3} \sum_{n=1}^{\infty} \Psi_n^{T/S}(r) \Psi_n^{T/S}(r') = \delta(r - r'), \quad (132)$$

$$\int_R^{\infty} dr r^3 \Psi_n^{T/S}(r) \Psi_m^{T/S}(r) = L^3 \delta_{mn}. \quad (133)$$

The solution of Eqs. (123) and (126) can then be formally written as

$$\tilde{G}^{T/S}(r, r', \vec{k}) = \sum_{n=1}^{\infty} \frac{\Psi_n^{T/S}(r) \Psi_n^{T/S}(r')}{(m_n^{T/S})^2 + \vec{k}^2}. \quad (134)$$

$m_n^{T/S}$ are nothing but the masses of the T_4 and S_4 0^{++} glueballs [59]. Numerically (see Table 1 of Ref. [59]),

$$\frac{L^4}{R^2} (m_n^T)^2 = 22.097, \quad 55.584, \quad 102.456, \quad 162.722, \quad 236.400, \quad 323.541, \quad 424.195, \dots \quad (135)$$

or, equivalently, using the relation $L^2/R = 3/M_{KK}$,

$$\frac{m_n^T}{M_{KK}} = 1.567, \quad 2.485, \quad 3.374, \quad 4.242, \quad 5.125, \quad 5.996, \quad 6.865, \dots \quad (136)$$

Surprisingly, to a very good approximation,

$$m_n^T \approx 2m_n, \quad (137)$$

where m_n are the vector meson masses of Eq. (41). This approximate relation becomes more and more accurate as n increases. Already when $n = 2$, the discrepancy is at the sub-percent level. This hints at some kind of degeneracy between a glueball and a pair of vector mesons. We shall come back to this point in the concluding section. Similarly, for the S_4 mode,

$$\frac{m_n^S}{M_{KK}} = 0.901, \quad 2.285, \quad 3.240, \quad 4.150, \quad 5.042, \quad 5.925, \quad 6.804, \dots \quad (138)$$

It is easy to see that the normalizable modes $\Psi_n^{T/S}(r)$ decay near the boundary $r \rightarrow \infty$ as

$$\Psi_n^{T/S}(r) \approx \frac{\alpha_n^{T/S}}{r^6}. \quad (139)$$

The coefficients $\alpha_n^{T/S}$ can be obtained by integrating Eqs. (130) and (131) over r :

$$\alpha_n^{T/S} = \frac{(m_n^{T/S})^2 L^4}{6} \int_R^{\infty} dr r^3 \Psi_n^{T/S}(r). \quad (140)$$

We can thus write the leading term as

$$\overline{\delta g}_{\mu\nu}^{T/S}(\vec{k}, r) \approx \frac{C_{\mu\nu}^{T/S}(\vec{k})}{r^4} + \dots \quad (141)$$

with \vec{k} -dependent coefficients $C_{\mu\nu}^{T/S}(\vec{k})$. The relation between $\langle T_{\mu\nu} \rangle$ and $C_{\mu\nu}^{T/S}$ is fixed by holographic renormalization [55,56]. This is conveniently done in a different coordinate system,

$$\varrho = \frac{L^4}{r^2 \left(\frac{1}{2}(1 + \sqrt{f(r)}) \right)^{2/3}}, \tag{142}$$

in which the metric (5.1) takes the Fefferman–Graham form

$$ds^2 = L^2 \left[\frac{1}{\varrho} \left(1 - \frac{5\varrho^3}{6\varrho_{KK}^3} \right) d\tau^2 + \frac{1}{\varrho} \left(\left(1 + \frac{\varrho^3}{6\varrho_{KK}^3} \right) dx^\mu dx_\mu + \frac{\varrho^3}{L^{10}} C_{ij} dx^i dx^j \right) + \frac{d\varrho^2}{4\varrho^2} + \frac{1}{4} d\Omega_4^2 \right] + \mathcal{O}(\varrho^3), \tag{143}$$

where $\varrho_{KK} = L^4/R^2$. From this expression we can read off the boundary energy momentum tensor,

$$\langle T_{\mu\nu}(\vec{k}) \rangle = \langle T_{\mu\nu}^T(\vec{k}) \rangle + \langle T_{\mu\nu}^S(\vec{k}) \rangle, \tag{144}$$

$$\langle T_{\mu\nu}^{T/S}(\vec{k}) \rangle = \frac{6}{2\kappa_7^2 L^5} C_{\mu\nu}^{T/S}(\vec{k}) = \frac{6}{L^{10}} \sum_{n=1}^{\infty} \alpha_n^{T/S} \int_R^{\infty} dr' \frac{r'^3 \Psi_n^{T/S}(r')}{\vec{k}^2 + (m_n^{T/S})^2} \overline{\mathcal{T}}_{\mu\nu}^{T/S}(r', \vec{k}), \tag{145}$$

where $\alpha_n^{T/S}$ are given by Eq. (140). In particular, using Eqs. (13) and (56), we find

$$\langle T_{ij}^T(\vec{k}) \rangle = \frac{12\kappa}{L^7} \sum_n \frac{\alpha_n^T}{\vec{k}^2 + (m_n^T)^2} \int_{-\infty}^{\infty} dz \Psi_n^T(r'(z)) h(z) \text{tr} \left[F_{ik} F_{jk}(z, \vec{k}) \right] + \dots \tag{146}$$

To get the four-dimensional energy momentum tensor, we have to integrate over the extra dimensions x_{11} and τ , but this has been already done in Eq. (62).

We have thus seen that the D-term gravitational form factor is saturated by the exchange of an infinite tower of 2^{++} and 0^{++} glueballs. Schematically,

$$D(|\vec{k}|) \sim \sum_{n=1}^{\infty} \frac{c_n^T(|\vec{k}|)}{\vec{k}^2 + (m_n^T)^2} + \sum_{n=1}^{\infty} \frac{c_n^S(|\vec{k}|)}{\vec{k}^2 + (m_n^S)^2}. \tag{147}$$

It is tempting to refer to this as the “glueball dominance” of the D-term, in perfect analogy to the vector meson dominance of the electromagnetic form factors. In both cases, in general one has to sum over infinitely many resonances. Equation (147) should be contrasted with the tripole form

$$D(|\vec{k}|) \sim \frac{1}{(\vec{k}^2 + \Lambda^2)^3} \tag{148}$$

suggested by perturbative QCD analyses at large k [65–67]. While Eqs. (147) and (148) are seemingly very different, an infinite sum can change the analytic properties in $|\vec{k}|$. Indeed, it has been observed in Ref. [32] that the electromagnetic form factors calculated in the present model can be well fitted by the dipole form $1/(\vec{k}^2 + \Lambda^2)^2$ despite being formally expressed by an infinite sum of single-pole (vector meson) propagators like Eq. (147). Note that in certain bottom-up models [18,35], the summation over n can be done explicitly to illustrate this point.

In the present model, the summation over n cannot be performed in a closed analytic form. However, the value at the special point $k = 0$ can be exactly determined with the help of

Eq. (140):

$$\lim_{r \rightarrow \infty} \tilde{G}^{T/S}(r, r', \vec{k} = 0) = \frac{1}{r^6} \sum_n \frac{\alpha_n^{T/S} \Psi_n^{T/S}(r')}{(m_n^{T/S})^2} = \frac{L^4}{6r^6} \sum_n \int_R^\infty dr'' r''^3 \Psi_n^{T/S}(r'') \Psi_n^{T/S}(r') = \frac{L^7}{6r^6}. \tag{149}$$

Remarkably, this is independent of r' , so the convolution with the graviton propagator disappears. Thus, the distinction between the S_4 and T_4 propagators becomes irrelevant at this point. It then follows that

$$\langle T_{ij}(\vec{k} = 0) \rangle \approx 2\kappa \int dz h(z) \text{tr}[F_{ij} F_{jl}](\vec{k} = 0, z) + \dots \tag{150}$$

This is nothing but the naive classical formula in Eq. (29) evaluated in the previous section except for the subtraction term $-\mathcal{T}^{\text{other}}$ in Eq. (114) which, however, can be ignored because it is a total derivative; see Eq. (120). We have thus arrived at the same conclusion as in the previous subsection: that the value $D(0)$ can be calculated by simply Fourier-transforming the classical energy momentum tensor in Eq. (29) without any reference to glueballs. At $\vec{k} = 0$, exchanging infinitely many glueballs is tantamount to exchanging no glueball at all.

Another regime where an analytical expression of the propagator is available is the large-momentum region $|\vec{k}| \gg M_{KK}$.¹⁴ In this regime, we expect that $\tilde{G}^{T/S}(r \rightarrow \infty, r', \vec{k})$ is exponentially small in $|\vec{k}|$ for $|\vec{k}|L^2 > r'$.¹⁵ In the opposite region,

$$r' > |\vec{k}|L^2 = 3R \frac{|\vec{k}|}{M_{KK}} \gg R, \tag{151}$$

the geometry is approximately AdS_7 (instead of AdS_7 black hole), and the propagator is explicitly known in terms of the modified Bessel functions [68],

$$\tilde{G}^{T/S}(r, r', \vec{k}) \approx \frac{L^7}{r^3 r'^3} K_3(|\vec{k}|L^2/r') I_3(|\vec{k}|L^2/r) \approx \frac{|\vec{k}|^3 L^{13}}{48 r^6 r'^3} K_3(|\vec{k}|L^2/r'), \tag{152}$$

for $r \rightarrow \infty > r'$. Equation (152) reduces to Eq. (149) by formally setting $\vec{k} = 0$. Noting that $r' \approx |z|^{1/3} R$ in this regime, we see that the D-term can be calculated from the convolution

$$\langle T_{ij}(\vec{k}) \rangle \approx 2\kappa \int dz \left\{ \frac{27|\vec{k}|^3}{8|z|M_{KK}^3} K_3 \left(\frac{3|\vec{k}|}{|z|^{1/3} M_{KK}} \right) \right\} h(z) \text{tr}[F_{ij} F_{jl}](\vec{k}, z) + \dots \tag{153}$$

Since the z -integral is effectively limited to $|z| \gtrsim 27|\vec{k}|^3/M_{KK}^3$, we see that the gravity effect strongly suppresses the D-term at large $|\vec{k}|$.

To summarize, we arrive at the following strategy to calculate the energy momentum tensor and the gravitational form factors. The value at the special point $\vec{k} = 0$ can be obtained “classically” as demonstrated in the previous section. When $0 < |\vec{k}| \lesssim M_{KK} \sim 1 \text{ GeV}$, they can be calculated, in principle, by Eqs. (144) and (145), where one has to explicitly evaluate the

¹⁴The following discussion should be taken with caution because the present model starts to deviate from QCD as the momentum transfer gets much larger than M_{KK} .

¹⁵When $|\vec{k}|L^2 \gg r' \gg R$, one can see from Eq. (152) that the propagator is exponentially suppressed, and the suppression gets stronger as r' approaches R from above. When $r' \sim R$, the AdS approximation breaks down. Nevertheless, by formally Taylor-expanding Eq. (134),

$$\tilde{G}^{T/S}(r, r', \vec{k}) = \sum_{n=1}^\infty \sum_{i=1}^\infty \frac{(-m_n^{T/S})^{i-1}}{(\vec{k}^2)^i} \Psi_n^{T/S}(r) \Psi_n^{T/S}(r'),$$

and repeatedly using Eqs. (130), (131), and (132), one can show that all the coefficients of $1/(\vec{k}^2)^i$ vanish. This suggests that the propagator decays faster than any inverse power of $1/\vec{k}^2$.

Fourier modes of the bulk energy momentum tensor $\mathcal{T}_{\mu\nu}(r, \vec{k})$ and perform the infinite sum. Equation (145) exhibits the phenomenon of “glueball dominance,” namely the form factor is saturated by the exchange of the T_4 and S_4 glueballs. When $|\vec{k}| \gg M_{KK}$, we may continue to use holographic renormalization. The bulk spacetime effectively reduces to AdS_7 (as opposed to the AdS_7 black hole) and the connection to glueballs becomes less obvious. Note that the first region $|\vec{k}| \ll 1 \text{ GeV}$ practically covers the phenomenologically important region [11,12,15]. The region $|\vec{k}| \gg 1 \text{ GeV}$ is also interesting for certain applications [13,14,21], but in this regime one should use perturbative QCD techniques [66,67] rather than holography.

Finally, let us comment on the relation to previous approaches in the literature [11,19,36]. In bottom-up holographic models, one works in asymptotically AdS_5 spaces. Needless to say, the S_4 mode is nonexistent in these spaces. There are five transverse-traceless graviton polarizations in AdS_5 , in one-to-one correspondence with the $T_4(2^{++})$ modes in AdS_7 . They are interpreted as the 2^{++} glueballs in the boundary field theory. Via holographic renormalization, the AdS_5 counterpart of Eq. (75) induces the transverse-traceless part of the QCD energy momentum tensor, namely, the first line of Eq. (7) [11]. Separately to this, one has to exchange the dilaton dual with the 0^{++} glueballs, which leads to the trace part of the energy momentum tensor, the second line of Eq. (7). The A -form factor in the coefficient of $k^\mu k^\nu - \eta^{\mu\nu} k^2$ cancels between the two, and only the D -form factor remains. However, the split in Eq. (7) is somewhat artificial, and the cancellation may become a delicate issue [36]. In our $\text{AdS}_7/\text{CFT}_6/\text{QCD}_4$ setup, the 2^{++} and 0^{++} glueballs (the latter would correspond to the dilaton in a five-dimensional setup) are treated on an equal footing. They are both transverse-traceless from the seven-dimensional point of view, and their distinction is immaterial for the purpose of calculating the gravitational form factors.

6. Discussions and conclusions

Let us try to interpret our results diagrammatically. In our model, the form factor $D(t = -\vec{k}^2)$ is given by the convolution of the soliton energy momentum tensor T^{AB} and the graviton propagator in the AdS_7 black hole geometry which contains information about the 2^{++} and 0^{++} glueballs. When $t = 0$, the graviton propagator trivializes. The D -term $D(0)$ is then related to the Fourier transform of the “classical” energy momentum tensor T^{cl} in Eq. (24) evaluated on-shell. Since $T^{\text{cl}} \sim G^2, H^2$ (see Eq. (43)) can be expressed by the square of the vector meson propagators near the boundary, and one may say that a graviton couples to the nucleon by exchanging pairs of pions and infinitely many vector and axial-vector mesons.¹⁶ This is depicted in the left diagram of Fig. 1. Roughly the D -term may be understood as the coupling between a graviton and a “meson cloud,” similarly to the pion cloud in the chiral quark soliton model (see, e.g., Ref. [38]). We emphasize, however, that in our calculation the pion contribution is just one term $n = 0$ in the infinite sum of Eq. (40). When $0 < |\vec{k}| \lesssim M_{KK} \sim 1 \text{ GeV}$, one sees the individual contributions of glueballs in Eq. (134). The emerging picture in this regime is that the meson pairs from \mathcal{T}^{AB} in the bulk couple with glueball intermediate states which eventually interact with an external graviton (Fig. 1, middle). One may interpret this situation as the “glueball dominance” of the gravitational form factors, in perfect analogy to the vector me-

¹⁶The energy momentum tensor also contains cubic and quartic terms in G and H (cf. Eq. (43)) which can be interpreted as three-meson and four-meson exchanges. However, such contributions are suppressed near the boundary.

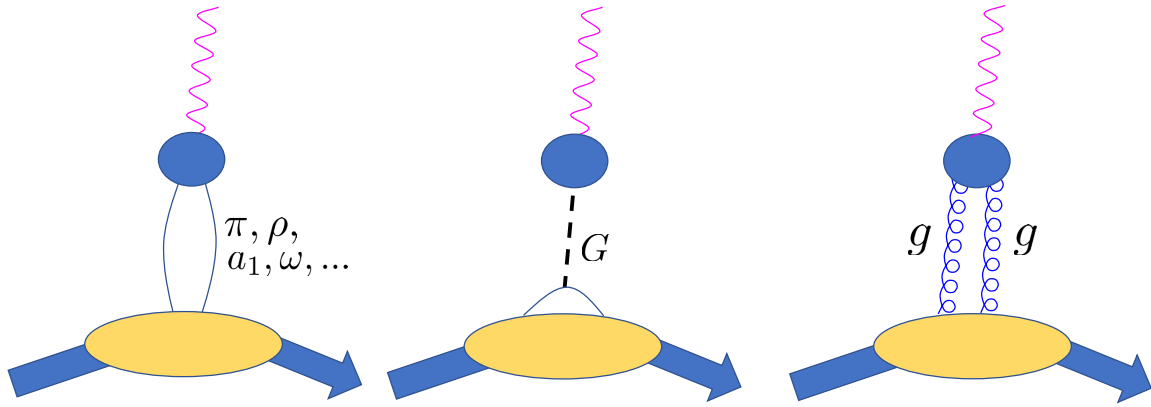


Fig. 1. Diagrammatic interpretation of the nucleon’s gravitational form factor $D(|\vec{k}|)$. The wavy line denotes an external graviton. Left: Two vector meson (pion) exchanges at $\vec{k} = 0$. The intermediate lines represent the meson propagators G, H of Eq. (40). Middle: 2^{++} and 0^{++} glueball exchanges when $|\vec{k}| \lesssim 1$ GeV. The dashed line represents the glueball propagators of Eq. (134). Right: Two-gluon exchanges in the perturbative high- $|\vec{k}|$ ($\gg 1$ GeV) region.

son dominance of the electromagnetic form factors previously observed in our model [32]. In both cases, one has to exchange infinitely many resonances. Curiously, as we pointed out below Eq. (41), the masses of the T_4 glueballs are strikingly close to those of two vector mesons. To our knowledge, this coincidence does not seem to have been pointed out in the literature. It would be very interesting to explore the reason for and implications of this observation. Finally, in the large- k region, the geometry becomes effectively AdS_7 (as opposed to the AdS_7 black hole) and the information about the glueball spectrum is lost. While the meson exchange picture is still possible, in this region one should rather use perturbative QCD techniques to calculate form factors. In particular, the D-term is given by the two-gluon exchange to leading order (Fig. 1, right) [66].

The connection between the D-term and glueballs has been recently emphasized by Mamo and Zahed using a bottom-up AdS_5/QCD_4 model [18,36]. As we commented at the end of Sect. 5, in their calculation the D-term results from a cancellation between the transverse-traceless (TT) graviton and dilaton exchanges in AdS_5 (in other words, between the first and second lines in Eq. (7)). They argue that, due to the degeneracy of the 2^{++} and 0^{++} glueball masses, the cancellation is complete (meaning $D = 0$) in the large- N_c limit. They further argue that the D-term becomes nonzero only after including $1/N_c$ corrections, suggesting that the large- N_c counting argument [2],

$$D \sim O(N_c^2), \tag{154}$$

does not hold. In contrast, in our $AdS_7/CFT_6/QCD_4$ calculation, the contributions from the 2^{++} and 0^{++} T_4 glueballs add up (see Eqs. (122) and (124)), after which their distinction becomes immaterial. Moreover, the value $D(k = 0)$ can be calculated “classically” as in Sect. 4 without any reference to glueballs. Our result is consistent with the large- N_c counting, since

$$D \sim \kappa M \sim O(N_c^2). \tag{155}$$

($M_{KK} \sim O(N_c^0)$ since it is related to the ρ -meson mass.) Interestingly, however, the actual numerical value calculated in Sect. 4 is small due to a cancellation between the iso-singlet and iso-vector contributions.

In conclusion, we have demonstrated that our model of QCD offers a novel holographic framework to calculate the nucleon D-term and possibly other gravitational form factors, with a vivid physical interpretation in terms of meson and glueball exchanges. There are a number of avenues for improvement and generalization. First, the quantization of the collective coordinates should be implemented. It is known that the quantization brings in uncertainties in baryon masses associated with the zero-point (vacuum) energy of harmonic oscillators [30]. We expect that the D-term, being a nonforward matrix element, is less affected by this problem. However, without quantization, the spin-1/2 nature of the nucleon is lost, and one cannot talk about the B -form factor in Eq. (4). Second, in order to calculate $D(k)$ for nonvanishing values of k , it is desired to convolute a more accurate soliton configuration in the entire space $0 < |z| < \infty$ obtained numerically (see e.g., Refs. [43–45]) with the graviton propagator to incorporate the contributions from the glueballs. This is crucial, in particular, for the calculation of the “mechanical radius” [2]¹⁷

$$\langle r^2 \rangle \equiv \frac{6D(0)}{\int_0^\infty dk^2 D(k^2)}. \quad (156)$$

Since the entire region in k is involved, a proper evaluation of the mechanical radius must include the glueball degrees of freedom. The calculation is significantly more complex than that of the electromagnetic form factors and the associated “charge radius” in this model [32], which only requires knowledge of the asymptotic behavior as $z \rightarrow \infty$ of the bulk gauge fields. There are other interesting directions such as the effect of finite quark masses (finite pion mass) and generalizations to mesons and excited baryons [70], and perhaps even to atomic nuclei [71]. We hope to address these issues in future.

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