

# Numerical semigroups, cyclotomic polynomials and Bernoulli numbers

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## Abstract

We give two proofs of a folklore result relating numerical semigroups of embedding dimension two and binary cyclotomic polynomials and explore some consequences. In particular, we give a more conceptual reproof of a result of Hong et al. (2012) on gaps between the exponents of non-zero monomials in a binary cyclotomic polynomial.

The intent of the author with this paper is to better unify the various results within the cyclotomic polynomial and numerical semigroup communities.

## 1 Introduction

Let  $a_1, \dots, a_m$  be positive integers, and let  $S = S(a_1, \dots, a_m)$  be the set of all non-negative integer linear combinations of  $a_1, \dots, a_m$ , that is,

$$S = \{x_1 a_1 + \dots + x_m a_m \mid x_i \in \mathbb{Z}_{\geq 0}\}.$$

Then  $S$  is a *semigroup* (that is, it is closed under addition). The semigroup  $S$  is said to be *numerical* if its complement  $\mathbb{Z}_{\geq 0} \setminus S$  is finite. It is not difficult to prove that  $S(a_1, \dots, a_m)$  is numerical if and only if  $a_1, \dots, a_m$  are relatively prime (see, e.g., [15, p. 2]). If  $S$  is numerical, then  $\max\{\mathbb{Z}_{\geq 0} \setminus S\} = F(S)$  is the *Frobenius number* of  $S$ . Alternatively, by setting  $d(k, a_1, \dots, a_m)$  equal to the number of non-negative integer representations of  $k$  by  $a_1, \dots, a_m$ , one can characterize  $F(S)$  as the largest  $k$  such that  $d(k, a_1, \dots, a_m) = 0$ . The value  $d(k, a_1, \dots, a_m)$  is called the *denumerant* of  $k$ . That  $F(S(4, 6, 9, 20)) = 11$  is well-known to fans of Chicken McNuggets, as 11 is the largest number of McNuggets that cannot be exactly purchased; hence the notion of the Frobenius number is less abstract than it might appear at first glance. A set of generators of a numerical semigroup is a minimal system of generators if none of its proper subsets generates the numerical semigroup. It is known that every numerical semigroup  $S$  has a unique minimal system of generators and also that this minimal system of generators is finite (see, e.g., [18, Theorem 2.7]). The cardinality of the minimal set of generators is called the *embedding dimension* of the numerical semigroup  $S$  and is denoted by  $e(S)$ . The smallest member in the minimal system of generators is called the

*multiplicity* of the numerical semigroup  $S$  and is denoted by  $m(S)$ . The *Hilbert series* of the numerical semigroup  $S$  is the formal power series

$$H_S(x) = \sum_{s \in S} x^s \in \mathbb{Z}[[x]].$$

It is practical to multiply this by  $1 - x$  as we then obtain a *polynomial*, called the *semigroup polynomial*:

$$P_S(x) = (1 - x)H_S(x) = x^{F(S)+1} + (1 - x) \sum_{\substack{0 \leq s \leq F(S) \\ s \in S}} x^s = 1 + (x - 1) \sum_{s \notin S} x^s. \quad (1)$$

From  $P_S$  one immediately reads off the Frobenius number:

$$\deg(P_S(x)) = F(S) + 1. \quad (2)$$

The  $n$ th cyclotomic polynomial  $\Phi_n(x)$  is defined by

$$\Phi_n(x) = \prod_{\substack{1 \leq j \leq n \\ (j, n) = 1}} (x - \zeta_n^j) = \sum_{k=0}^{\varphi(n)} a_n(k) x^k,$$

with  $\zeta_n$  a  $n$ th primitive root of unity (one can take  $\zeta_n = e^{2\pi i/n}$ ). It has degree  $\varphi(n)$ , with  $\varphi$  Euler's totient function. The polynomial  $\Phi_n(x)$  is irreducible over the rationals, see, e.g., Weintraub [22], and has integer coefficients. The polynomial  $x^n - 1$  factors as

$$x^n - 1 = \prod_{d|n} \Phi_d(x) \quad (3)$$

over the rationals. By Möbius inversion it follows from (3) that

$$\Phi_n(x) = \prod_{d|n} (x^d - 1)^{\mu(n/d)}, \quad (4)$$

where  $\mu(n)$  denotes the Möbius function. From (4) one deduces that if  $p|n$  is a prime, then

$$\Phi_{pn}(x) = \Phi_n(x^p). \quad (5)$$

A good source for further properties of cyclotomic polynomials is Thangadurai [19].

A purpose of this paper is to popularise the following folklore result and point out some of its consequences.

**Theorem 1** *Let  $p, q > 1$  be coprime integers, then*

$$P_{S(p,q)}(x) = (1 - x) \sum_{s \in S(p,q)} x^s = \frac{(x^{pq} - 1)(x - 1)}{(x^p - 1)(x^q - 1)}.$$

In case  $p$  and  $q$  are distinct primes it follows from (4) and Theorem 1 that

$$P_{S(p,q)}(x) = \Phi_{pq}(x). \quad (6)$$

Already Carlitz [5] in 1966 implicitly mentioned this result without proof.

The Bernoulli numbers  $B_n$  can be defined by

$$\frac{z}{e^z - 1} = \sum_{n=0}^{\infty} B_n \frac{z^n}{n!}, \quad |z| < 2\pi. \quad (7)$$

One easily sees that  $B_0 = 1, B_1 = -1/2, B_2 = 1/6, B_3 = 0, B_4 = -1/30$  and  $B_n = 0$  for all odd  $n \geq 3$ . The most basic recurrence relation is, for  $n \geq 1$ ,

$$\sum_{j=0}^n \binom{n+1}{j} B_j = 0. \quad (8)$$

The Bernoulli numbers first arose in the study of power sums  $S_j(n) := \sum_{k=0}^{n-1} k^j$ . Indeed, one has, cf. Rademacher [14],

$$S_j(n) = \frac{1}{j+1} \sum_{i=0}^j \binom{j+1}{i} B_i n^{j+1-i}. \quad (9)$$

In Section 5, we consider an infinite family of recurrences for  $B_m$  of which the following is typical

$$B_m = \frac{m}{4^m - 1} (1 + 2^{m-1} + 3^{m-1} + 5^{m-1} + 6^{m-1} + 9^{m-1} + 10^{m-1} + 13^{m-1} + 17^{m-1}) \\ + \frac{7^m}{4(1 - 4^m)} \sum_{r=0}^{m-1} \binom{m}{r} \left(\frac{4}{7}\right)^r (1 + 2^{m-r} + 3^{m-r}) B_r.$$

The natural numbers 1, 2, 3, 5, 6, 9, 10, 13 and 17 are precisely those that are not in the numerical semigroup  $S(4, 7)$ .

Let  $f = c_1 x^{e_1} + \dots + c_s x^{e_s}$ , where the coefficients  $c_i$  are non-zero and  $e_1 < e_2 < \dots < e_s$ . Then the *maximum gap* of  $f$ , written as  $g(f)$ , is defined by

$$g(f) = \max_{1 \leq i < s} (e_{i+1} - e_i), \quad g(f) = 0 \text{ when } s = 1.$$

Hong et al. [9] studied  $g(\Phi_n)$  (inspired by a cryptographic application [10]). They reduce the study of these gaps to the case where  $n$  is square-free and odd and established the following result for the simplest non-trivial case.

**Theorem 2** [9]. *If  $p$  and  $q$  are arbitrary primes with  $2 < p < q$ , then  $g(\Phi_{pq}) = p - 1$ .*

In Section 6 a conceptual proof of Theorem 2 using numerical semigroups is given.

## 2 Inclusion-exclusion polynomials

It will turn out to be convenient to work with a generalisation of the cyclotomic polynomials, introduced by Bachman [1]. Let  $\rho = \{r_1, r_2, \dots, r_s\}$  be a set of natural numbers satisfying  $r_i > 1$  and  $(r_i, r_j) = 1$  for  $i \neq j$ , and put

$$n_0 = \prod_i r_i, \quad n_i = \frac{n_0}{r_i}, \quad n_{ij} = \frac{n_0}{r_i r_j} [i \neq j], \dots$$

For each such  $\rho$  we define a function  $Q_\rho$  by

$$Q_\rho(x) = \frac{(x^{n_0} - 1) \cdot \prod_{i < j} (x^{n_{ij}} - 1) \cdots}{\prod_i (x^{n_i} - 1) \cdot \prod_{i < j < k} (x^{n_{ijk}} - 1) \cdots}. \quad (10)$$

For example, if  $\rho = \{p, q\}$ , then

$$Q_{\{p,q\}}(x) = \frac{(x^{pq} - 1)(x - 1)}{(x^p - 1)(x^q - 1)}. \quad (11)$$

It can be shown that  $Q_\rho(x)$  defines a polynomial of degree  $d := \prod_i (r_i - 1)$ . We define its coefficients  $a_\rho(k)$  by  $Q_\rho(x) = \sum_{k \geq 0} a_\rho(k) x^k$ . Furthermore,  $Q_\rho(x)$  is *selfreciprocal*; that is  $a_\rho(k) = a_\rho(d - k)$  or, what amounts to the same thing,

$$Q_\rho(x) = x^d Q_\rho\left(\frac{1}{x}\right). \quad (12)$$

If all elements of  $\rho$  are prime, then comparison of (10) with (4) shows that

$$Q_\rho(x) = \Phi_{r_1 r_2 \cdots r_s}(x). \quad (13)$$

If  $n$  is an arbitrary integer and  $\gamma(n) = p_1 \cdots p_s$  its squarefree kernel, then by (5) and (13) we have  $Q_{\{p_1, \dots, p_s\}}(x^{n/\gamma(n)}) = \Phi_n(x)$  and hence inclusion-exclusion polynomials generalize cyclotomic polynomials. They can be expressed as products of cyclotomic polynomials.

**Theorem 3** [1]. *Given  $\rho = \{r_1, \dots, r_s\}$  and*

$$D_\rho = \left\{d : d \mid \prod_i r_i \text{ and } (d, r_i) > 1 \text{ for all } i\right\},$$

*then  $Q_\rho(x) = \prod_{d \in D_\rho} \Phi_d(x)$ .*

**Example.** We have  $Q_{\{4,7\}} = \Phi_{28} \Phi_{14}$ .

## 2.1 Binary inclusion-exclusion polynomials: a close-up

Lam and Leung [11] discuss binary cyclotomic polynomials  $\Phi_{pq}$  in detail, with  $p$  and  $q$  primes (their results were anticipated by Lenstra [12]). Now, let  $p, q > 1$  be positive coprime integers. All arguments in their paper easily generalize to this setting (instead of taking  $\xi$  to be a primitive  $pq$ th-root of unity as they do, one has to take  $\zeta$  a  $pq$ th root of unity satisfying  $\zeta^p \neq 1$  and  $\zeta^q \neq 1$ ). One finds that

$$Q_{\{p,q\}}(x) = \sum_{i=0}^{\rho-1} x^{ip} \sum_{j=0}^{\sigma-1} x^{jq} - x^{-pq} \sum_{i=\rho}^{q-1} x^{ip} \sum_{j=\sigma}^{p-1} x^{jq}, \quad (14)$$

where  $\rho$  and  $\sigma$  are the (unique) non-negative integers for which  $1 + pq = \rho p + \sigma q$ . On noting that upon expanding the products in identity (14), the resulting monomials are all different, we arrive at the following result.

**Lemma 1** *Let  $p, q > 1$  be coprime integers. Let  $\rho$  and  $\sigma$  be the (unique) non-negative integers for which  $1 + pq = \rho p + \sigma q$ . Let  $0 \leq m < pq$ . Then either  $m = \alpha p + \beta q$  or  $m = \alpha p + \beta q - pq$  with  $0 \leq \alpha \leq q - 1$  the unique integer such that  $\alpha p \equiv m \pmod{q}$  and  $0 \leq \beta \leq p - 1$  the unique integer such that  $\beta q \equiv m \pmod{p}$ . The inclusion-exclusion coefficient  $a_{\{p,q\}}(m)$  equals*

$$\begin{cases} 1 & \text{if } m = \alpha p + \beta q \text{ with } 0 \leq \alpha \leq \rho - 1, 0 \leq \beta \leq \sigma - 1; \\ -1 & \text{if } m = \alpha p + \beta q - pq \text{ with } \rho \leq \alpha \leq q - 1, \sigma \leq \beta \leq p - 1; \\ 0 & \text{otherwise.} \end{cases}$$

**Corollary 1** *The number of positive coefficients in  $Q_{\{p,q\}}(x)$  equals  $\rho\sigma$  and the number of negative ones equals  $\rho\sigma - 1$ . The number of non-zero coefficients equals  $2\rho\sigma - 1$ .*

This corollary (in case  $p$  and  $q$  are distinct primes) is due to Carlitz [5].

Lemma 1 can be nicely illustrated with an LLL-diagram (for Lenstra, Lam and Leung). Here is one such diagram for  $p = 5$  and  $q = 7$ .

28	33	3	8	13	18	23
21	26	31	1	6	11	16
14	19	24	29	34	4	9
7	12	17	22	27	32	2
0	5	10	15	20	25	30

We start with 0 in the lower left and add  $p$  for every move to the right and  $q$  for every move upwards. Reduce modulo  $pq$ . Every integer  $0, \dots, pq - 1$  is obtained precisely once in this way (by the Chinese remainder theorem).

Lemma 1 can be reformulated in the following way.

**Lemma 2** *Let  $p, q > 1$  be coprime integers. The numbers in the lower left corner of the LLL-diagram are the exponents of the terms in  $Q_{\{p,q\}}$  with coefficient 1. The numbers in the upper right corner are the exponents of the terms in  $Q_{\{p,q\}}$  with coefficient  $-1$ . All other coefficients equal 0.*

### 3 Two proofs of the main (folklore) result

In terms of inclusion-exclusion polynomials we can reformulate Theorem 1 as follows.

**Theorem 4** *If  $p, q > 1$  are coprime integers, then  $P_{S(p,q)}(x) = Q_{\{p,q\}}(x)$ .*

Our first proof will make use of ‘what is probably the most versatile tool in numerical semigroup theory’ [18, p. 8], namely Apéry sets.

*First proof of Theorem 4.* The Apéry set of  $S$  with respect to a nonzero  $m \in S$  is defined as

$$\text{Ap}(S; m) = \{s \in S : s - m \notin S\}.$$

Note that

$$S = \text{Ap}(S; m) + m\mathbb{Z}_{\geq 0}$$

and that  $\text{Ap}(S; m)$  consists of a complete set of residues modulo  $m$ . Thus we have

$$H_S(x) = \sum_{w \in \text{Ap}(S; m)} x^w \sum_{i=0}^{\infty} x^{mi} = \frac{1}{1-x^m} \sum_{w \in \text{Ap}(S; m)} x^w. \quad (15)$$

Note that if  $S = \langle a_1, \dots, a_n \rangle$ , then  $\text{Ap}(S; a_1) \subseteq \langle a_2, \dots, a_n \rangle$ . It follows that  $\text{Ap}(S(p, q); p)$  consists of multiples of  $q$ . The latter set equals the minimal set of multiples of  $q$  representing every congruence class modulo  $p$  and hence  $\text{Ap}(S(p, q); p) = \{0, q, \dots, (p-1)q\}$  (see [16, Proposition 1] or [18, Example 8.22]). Hence

$$H_{S(p, q)}(x) = \frac{1 + x^q + \dots + x^{(p-1)q}}{1 - x^p} = \frac{1 - x^{pq}}{(1 - x^q)(1 - x^p)}.$$

Using this identity and (11) easily completes the proof.  $\square$

**Remark.** This proof is an adaptation of the arguments given in [16]. Indeed, once we know the Apéry set of a numerical semigroup  $S$ , by using [16, (4)], we obtain an expression for  $H_S(x)$  and consequently for  $P_S(x)$ . Theorem 4 is a particular case of [16, Proposition 2], with  $\{p, q\} = \{a, a + d\}$  and  $k = 1$ .

Our second proof uses the denumerant (see [15, Chapter 4] for a survey) and the starting point is the observation that

$$\frac{1}{(1-x^p)(1-x^q)} = \sum_{j \geq 0} r(j)x^j, \quad (16)$$

where  $r(j)$  denotes the cardinality of the set  $\{(a, b) : a \geq 0, b \geq 0, ap + bq = j\}$ . In the terminology of the introduction, we have  $r(j) = d(j; p, q)$ . Concerning  $r(j)$  we make the following observation.

**Lemma 3** *Suppose that  $k \geq 0$ , then  $r(k + pq) = r(k) + 1$ .*

*Proof.* Put  $\alpha \equiv kp^{-1} \pmod{q}$ ,  $0 \leq \alpha < q$  and  $\beta \equiv kq^{-1} \pmod{p}$ ,  $0 \leq \beta < p$  and  $k_0 = \alpha p + \beta q$ . Note that  $k_0 < 2pq$ . We have  $k \equiv k_0 \pmod{pq}$ . Now if  $k \notin S$ , then  $k < k_0$  and  $k + pq = k_0 \in S$  (since  $k_0 < 2pq$ ). It follows that if  $r(k) = 0$ , then  $r(k + pq) = 1$ . If  $k \in S$ , then  $k = k_0 + tpq$  for some  $t \geq 0$  and we have  $r(k) = 1 + t$ , where we use that

$$k = (\alpha + tq)p + \beta q = (\alpha + (t-1)q)p + (\beta + 1)p = \dots = \alpha p + (\beta + tq)p.$$

We see that  $r(k + pq) = 1 + t + 1 = r(k) + 1$ .  $\square$

**Remark.** It is not difficult to derive an explicit formula for  $r(n)$  (see, e.g., [2, Section 1.3] or [13, pp. 213-214]). Let  $p^{-1}, q^{-1}$  denote inverses of  $p$  modulo  $q$ , respectively  $q$  modulo  $p$ . Then we have

$$r(n) = \frac{n}{pq} - \left\{ \frac{p^{-1}n}{q} \right\} - \left\{ \frac{q^{-1}n}{p} \right\} + 1,$$

where  $\{x\}$  denote the fractional-part function. Note that Lemma 3 is a corollary of this formula.

*Second proof of Theorem 4.* From Lemma 3 we infer that

$$\begin{aligned} (1 - x^{pq}) \sum_{j \geq 0} r(j)x^j &= \sum_{j=0}^{pq-1} r(j)x^j + \sum_{j=pq}^{\infty} (r(j) - r(j - pq))x^j \\ &= \sum_{j=0}^{pq-1} r(j)x^j + \sum_{j \geq pq} x^j = \sum_{j \in S(p,q)} x^j, \end{aligned}$$

where we used that  $r(j) \leq 1$  for  $j < pq$  and  $r(j) \geq 1$  for  $j \geq pq$ . Using this identity and (16) easily completes the proof.  $\square$

## 4 Symmetric numerical semigroups

A numerical semigroup  $S$  is said to be *symmetric* if

$$S \cup (F(S) - S) = \mathbb{Z},$$

where  $F(S) - S = \{F(S) - s \mid s \in S\}$ . Symmetric semigroups occur in the study of monomial curves that are complete intersections, Gorenstein rings, and the classification of plane algebraic curves, see, e.g. [15, p. 142]. For example, Herzog and Kunz showed that a Noetherian local ring of dimension one and analytically irreducible is a Gorenstein ring if and only if its associate value semigroup is symmetric.

We will now show that the selfreciprocity of  $Q_{\{p,q\}}(x)$  implies that  $S(p, q)$  is symmetric (a well-known result, see, e.g., [18, Corollary 4.7]).

**Theorem 5** *Let  $S$  be a numerical semigroup. Then  $S$  is symmetric if and only if  $P_S(x)$  is selfreciprocal.*

*Proof.* If  $s \in S \cap (F(S) - S)$ , then  $s = F(S) - s_1$  for some  $s_1 \in S$ . This implies that  $F(S) \in S$ , a contradiction. Thus  $S$  and  $F(S) - S$  are disjoint sets. Since every integer  $n \geq F(S) + 1$  is in  $S$  and every integer  $n \leq -1$  is in  $F(S) - S$ , the assertion is equivalent to showing that

$$\sum_{\substack{0 \leq j \leq F(S) \\ j \in S}} x^j + \sum_{\substack{0 \leq j \leq F(S) \\ j \in S}} x^{F(S)-j} = 1 + x + \cdots + x^{F(S)}, \quad (17)$$

if and only if  $P_S(x)$  is selfreciprocal. On noting by (1) that

$$x^{F(S)+1} P_S\left(\frac{1}{x}\right) - P_S(x) = 1 - x^{F(S)+1} + (x - 1) \left( \sum_{\substack{0 \leq j \leq F(S) \\ j \in S}} x^j + \sum_{\substack{0 \leq j \leq F(S) \\ j \in S}} x^{F(S)-j} \right),$$

we see that  $x^{F(S)+1} P_S(1/x) = P_S(x)$  if and only if (17) holds. Clearly (17) holds if and only if  $S$  is symmetric.  $\square$

Using the latter result and Theorem 4 we infer the following classical fact.

**Theorem 6** *A numerical semigroup of embedding dimension 2 is symmetric.*

Theorem 4 together with Theorem 3 shows that if  $e(S) = 2$ , then  $P_S(x)$  can be written as a product of cyclotomic polynomials. This leads to the following problem.

**Problem 1** *Characterize the numerical semigroups  $S$  for which  $P_S(x)$  can be written as a product of cyclotomic polynomials.*

Since  $P_S(0) \neq 0$ , the product cannot involve  $\Phi_1(x) = x - 1$  and so it is selfreciprocal. Therefore, by Theorem 5 such an  $S$  must be symmetric. Ciolan et al. [6] make some progress towards solving this problem and show, e.g., that  $P_S(x)$  can be written as a product of cyclotomic polynomials also if  $e(S) = 3$  and  $S$  is symmetric.

## 5 Gap distribution

The non-negative integers not in  $S$  are called the *gaps* of  $S$ . E.g., the gaps in  $S(4, 7)$  are 1, 2, 3, 5, 6, 9, 10, 13 and 17. The number of gaps of  $S$  is called the *genus* of  $S$ , and denoted by  $N(S)$ . The set of gaps is denoted by  $G(S)$ . The following well-known result holds, cf. [15, Lemma 7.2.3] or [18, Corollary 4.7].

**Theorem 7** *We have  $2N(S) \geq F(S) + 1$  with equality if and only if  $S$  is symmetric.*

*Proof.* The proof of Theorem 5 shows that  $2\#\{0 \leq j \leq F(S) : j \in S\} \leq F(S) + 1$  with equality if and only if  $S$  is symmetric. Now use that  $\#\{0 \leq j \leq F(S) : j \in S\} = F(S) + 1 - N(S)$ .  $\square$

From (2) and Theorem 1 we infer the following well-known result due to Sylvester:

$$F(S(p, q)) = pq - p - q. \quad (18)$$

From Theorem 6, Theorem 7 and (18), we obtain another well-known result of Sylvester:

$$N(S(p, q)) = (p - 1)(q - 1)/2. \quad (19)$$

For four different proofs of (18) and more background see [15, pp. 31-34]; the shortest proof of (18) and (19) the author knows of is in the book by Wilf [23, p. 88].

Additional information on the gaps is given by the so-called *Sylvester sum*

$$\sigma_k(p, q) := \sum_{s \notin S(p, q)} s^k.$$

By (19) we have  $\sigma_0(p, q) = (p - 1)(q - 1)/2$ . By (1) and Theorem 4 we infer that

$$\sum_{j \notin S(p, q)} x^j = \frac{1 - Q_{\{p, q\}}(x)}{1 - x}. \quad (20)$$



It is not difficult to derive a formula for  $\sigma_k(p, q)$  for arbitrary  $k$ . On substituting  $x = e^z$  and recalling the Taylor series expansion  $e^z = \sum_{k \geq 0} z^k/k!$ , we obtain from (20) and (11) the identity

$$\sum_{k=0}^{\infty} \sigma_k(p, q) \frac{z^k}{k!} = \frac{e^{pqz} - 1}{(e^{pz} - 1)(e^{qz} - 1)} - \frac{1}{e^z - 1}. \quad (21)$$

We obtain from (21), on multiplying by  $z$  and using the Taylor series expansion (7), that

$$\sum_{m=1}^{\infty} m \sigma_{m-1}(p, q) \frac{z^m}{m!} = \sum_{i=0}^{\infty} B_i p^i \frac{z^i}{i!} \sum_{j=0}^{\infty} B_j q^j \frac{z^j}{j!} \sum_{k=0}^{\infty} \frac{(pqz)^k}{(k+1)!} - \sum_{m=0}^{\infty} B_m \frac{z^m}{m!}.$$

Equating coefficients of  $z^m$  then leads to the following result.

**Theorem 8** [17]. *For  $m \geq 1$  we have*

$$m \sigma_{m-1}(p, q) = \frac{1}{m+1} \sum_{i=0}^m \sum_{j=0}^{m-i} \binom{m+1}{i, j, m+1-i-j} B_i B_j p^{m-j} q^{m-i} - B_m.$$

Using this formula we find e.g. that  $\sigma_1(p, q) = \frac{1}{12}(p-1)(q-1)(2pq-p-q-1)$  (this result is due to Brown and Shiue [3]) and  $\sigma_2(p, q) = \frac{1}{12}(p-1)(q-1)pq(pq-p-q)$ . The proof we have given here of Theorem 8 is due to Rødseth [17], with the difference that we gave a different proof of the identity (21).

By using the formula (9) for power sums we obtain from Theorem 8 the identity

$$m \sigma_{m-1}(p, q) = \sum_{r=0}^m \binom{m}{r} p^{m-r-1} B_{m-r} q^r S_r(p) - B_m,$$

giving rise to the following recursion formula for  $B_m$ :

$$B_m = \frac{m}{p^m - 1} \sigma_{m-1}(p, q) + \frac{q^m}{p(1-p^m)} \sum_{r=0}^{m-1} \binom{m}{r} \left(\frac{p}{q}\right)^r B_r S_{m-r}(p).$$

On taking  $p = 4$  and  $q = 7$ , we obtain the recursion for  $B_m$  stated in the introduction.

Tuenter [20] established the following characterization of the gaps in  $S(p, q)$ . For every finite function  $f$ ,

$$\sum_{n \notin S} (f(n+p) - f(n)) = \sum_{n=1}^{p-1} (f(nq) - f(n)),$$

where  $p$  and  $q$  are interchangeable. He shows that by choosing  $f$  appropriately one can recover all earlier results mentioned in this section and in addition the identity

$$\prod_{n \notin S(p, q)} (n+p) = q^{p-1} \prod_{n \notin S(p, q)} n.$$

Wang and Wang [21] obtained results similar to those of Tuenter for the *alternate Sylvester sums*  $\sum_{s \notin S(p, q)} (-1)^s s^k$ .

## 6 A reproof of Theorem 2

As mentioned previously, the gaps for  $S(4, 7)$  are given by 1, 2, 3, 5, 6, 9, 10, 13 and 17. One could try to break this down in terms of *gap blocks*, that is blocks of consecutive gaps, (also known in the literature as *deserts* [7, Definition 16]):  $\{1, 2, 3\}$ ,  $\{5, 6\}$ ,  $\{9, 10\}$ ,  $\{13\}$ , and  $\{17\}$ . It is interesting to compare this with the distribution of the *element blocks*, that is finite blocks of consecutive elements in  $S$ . For  $S(4, 7)$  we get  $\{0\}$ ,  $\{4\}$ ,  $\{7, 8\}$ ,  $\{11, 12\}$  and  $\{14, 15, 16\}$ . The longest gap block we denote by  $g(G(S))$  and the longest element block by  $g(S)$ .

The following result gives some information on gap blocks and element blocks in a numerical semigroup of embedding dimension 2. Recall that the smallest positive integer of  $S$  is called the *multiplicity* and denoted by  $m(S)$ .

### Lemma 4

- 1) *The longest gap block,  $g(G(S))$ , has length  $m(S) - 1$ .*
- 2) *The longest element block,  $g(S)$ , has length not exceeding  $m(S) - 1$ .*
- 3) *If  $S$  is symmetric, then  $g(S) = m(S) - 1$ .*

*Proof.* 1) Let  $S = \{s_0, s_1, s_2, s_3, \dots\}$  be the elements of  $S$  written in ascending order, i.e.,  $0 = s_0 < s_1 < s_2 < \dots$ . Since  $s_0 = 0$  and  $s_1 = m(S)$  we have  $g(G(S)) \geq m(S) - 1$ . Since all multiples of  $m(S)$  are in  $S$ , it follows that actually  $g(G(S)) = m(S) - 1$ .

2) If  $g(S) \geq m(S)$ , it would imply that we can find  $k, k + 1, \dots, k + m(S) - 1$  all in  $S$  such that  $k + m(S) \notin S$ . This is clearly a contradiction.

3) If  $S$  is symmetric, then we clearly have  $g(S) = g(G(S)) = m(S) - 1$ .  $\square$

**Remark.** The second observation was made by my intern Alexandru Ciolan. It allows one to prove Theorem 10.

Finally, we will generalize a result of Hong et al. [9].

**Theorem 9** *If  $p, q > 1$  are coprime integers, then  $g(Q_{\{p,q\}}(x)) = \min\{p, q\} - 1$ .*

*Proof.* Note that  $g(Q_{\{p,q\}}(x))$  equals the maximum of the longest gap block length and the longest element block length and hence by Lemma 4 equals  $m(S(p, q)) - 1 = \min\{p, q\} - 1$ .  $\square$

This result can be easily generalized further.

**Theorem 10** *We have  $g(P_S(x)) = m(S) - 1$ .*

*Proof.* Using that  $P_S(x) = (1 - x)H_S(x)$  and Lemma 4 we infer that  $g(P_S(x)) = \max\{g(S), g(G(S))\} = m(S) - 1$ .  $\square$

## 7 The LLL-diagram revisited

It is instructive to indicate (we do this in boldface) the gaps of  $S(p, q)$  in the LLL-diagram. They are those elements  $\alpha p + \beta q$  with  $0 \leq \alpha \leq q - 1$ ,  $0 \leq \beta \leq p - 1$  for which  $\alpha p + \beta q > pq$ . Note that the Frobenius number equals  $(q - 1)p + (p - 1)q - pq$  and so appears in the top right hand corner of the LLL-diagram. We will demonstrate this (again) for  $p = 5$  and  $q = 7$ .

28	33	<b>3</b>	8	<b>13</b>	<b>18</b>	<b>23</b>
21	26	31	1	<b>6</b>	<b>11</b>	<b>16</b>
14	19	24	29	34	<b>4</b>	<b>9</b>
7	12	17	22	27	32	<b>2</b>
0	5	10	15	20	25	30

As a check we can verify that  $N(S(p, q)) = (p - 1)(q - 1)/2$  integers appear in boldface.

On comparing coefficients in the identity  $(1-x) \sum_{j \in S(p, q)} x^j = \sum_{j \geq 0} a_{\{p, q\}}(j) x^j$  we get the following reformulation of Theorem 4 at the coefficient level.

**Theorem 11** *If  $p, q > 1$  are coprime integers, then*

$$a_{\{p, q\}}(k) = \begin{cases} 1 & \text{if } k \in S(p, q), k - 1 \notin S(p, q); \\ -1 & \text{if } k \notin S(p, q), k - 1 \in S(p, q); \\ 0 & \text{otherwise.} \end{cases}$$

**Corollary 2** *The non-zero coefficients of  $Q_{\{p, q\}}$  alternate between 1 and  $-1$ .*

The next result gives an example where an existing result on cyclotomic coefficients yields information about numerical semigroups.

**Theorem 12** *Let  $p, q, \rho$  and  $\sigma$  be as in Lemma 1. If  $S = S(p, q)$ , then there are  $\rho\sigma - 1$  gap blocks and  $\rho\sigma - 1$  element blocks.*

*Proof.* In view of Theorem 11 we have  $a_{\{p, q\}}(k) = 1$  if and only if  $k$  is at the start of an element block (including the infinite block  $[F(S) + 1, \infty) \cap \mathbb{Z}$ ). Moreover,  $a_{\{p, q\}}(k) = -1$  if and only if  $k$  is at the end of a gap block. The proof is now completed by invoking Corollary 1.  $\square$

Using Lemma 2 and Theorem 11 our folklore result can now be reformulated in terms of the LLL-diagram.

**Theorem 13** *Let  $p, q > 1$  be coprime integers and denote  $S(p, q) \cap \{0, \dots, pq - 1\}$  by  $T$ . The integers  $k \in T$  such that  $k - 1 \notin T$  are precisely the integers in the lower left corner of the LLL-diagram. The integers  $k \notin T$  such that  $k - 1 \in T$  are precisely the integers in the upper right corner. If  $k$  is not in the lower left or upper right corner, then either  $k \in T$  and  $k - 1 \in T$  or  $k \notin T$  and  $k - 1 \notin T$ .*

Denote  $S(p, q)$  by  $S$ . Note that the upper right integer in the lower left corner of the LLL-diagram equals  $F(S) + 1$  and that the remaining integers in the lower left corner are all  $< F(S)$ . This observation together with (19) then leads to the following corollary of Theorem 13.

**Corollary 3** *If  $p, q > 1$  are coprime integers, then*

$$\begin{cases} \{0 \leq k \leq F(S) : k \in S, k - 1 \in S\} = (p - 1)(q - 1)/2 - \rho\sigma + 1; \\ \{0 \leq k \leq F(S) : k \in S, k - 1 \notin S\} = \rho\sigma - 1; \\ \{0 \leq k \leq F(S) : k \notin S, k - 1 \in S\} = \rho\sigma - 1; \\ \{0 \leq k \leq F(S) : k \notin S, k - 1 \notin S\} = (p - 1)(q - 1)/2 - \rho\sigma - 1. \end{cases}$$

The distribution of the quantity  $\rho\sigma$  that appears at various places in this article has been recently studied using deep results from analytic number theory by Bzdega [4] and Fouvry [8]. In particular they are interested in counting the number of integers  $m = pq \leq x$  with  $p, q$  distinct primes such that  $\theta(m)$ , the number of non-zero coefficients of  $\Phi_m$ , satisfies  $\theta(m) \leq m^{1/2+\gamma}$ , with  $\gamma > 0$  fixed. (Note that by Corollary 1 we have  $\theta(m) = 2\rho\sigma - 1$ .)

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