

1 Numerical wave modeling in conditions with strong currents:
2 dissipation, refraction and relative wind.

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ABSTRACT

4
5 Currents effects on waves have lead to many developments in numerical wave modeling over
6 the past two decades, from numerical choices to parameterizations. The performance of
7 numerical models in conditions with strong currents is reviewed here, and observed strong
8 effects of opposed currents and modulations of wave heights by tidal currents in several typ-
9 ical situations are interpreted. For current variations on small scales, the rapid steepening
10 of the waves enhances wave breaking. Using parameterizations with a dissipation rate pro-
11 portional to some measure of the wave steepness to the fourth power, the results are very
12 different, with none being fully satisfactory, pointing for the need for more measurements and
13 further refinements of parameterizations. For larger scale current variations, the observed
14 modifications of the sea state are mostly explained by refraction of waves over currents,
15 and relative wind effects, i.e. the wind speed relevant for wave generation is the speed in
16 the frame of reference moving with the near-surface current. It is shown that introducing
17 currents in wave models can reduce the errors on significant wave heights by more than
18 30% in some macrotidal environments, such as the coast of Brittany, in France. This large
19 impact of currents is not confined to the locations where the currents are strongest, but also
20 down-wave from strong current gradients.

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21 1. Introduction

22 Because he observed a rapid decay of wave energy facing an opposing current gradient,
23 Phillips (1984) concluded that the dissipation of the wave energy could not be a linear
24 function of the wave spectral density, which led him to propose a statistical description of
25 breaking waves that could lead to a physically-motivated expression for wave dissipation
26 (Phillips 1985). Only recent evidence supported that the breaking probability could indeed
27 be related in a non-linear fashion to some measure of the spectral saturation (Banner et al.
28 2000). After several failed attempts (e.g. van Vledder and Hurdle 2002; Alves et al. 2003),
29 parameterizations based on this saturation idea (van der Westhuysen et al. 2005; Ardhuin
30 et al. 2009), have now shown a clear advantage over the linear parameterizations based on the
31 statistical theory by Hasselmann (1974). Some recent work by Filipot and Ardhuin (2012)
32 also demonstrated that a successful dissipation parameterization could be based explicitly
33 on observed breaking wave statistics.

34 However, at regional scales the advantage of these new parameterizations is probably
35 related to their built-in decoupling of wind sea growth from abnormal swell interference
36 (e.g. Ardhuin et al. 2007), a feature that was already introduced by Tolman and Chalikov
37 (1996). At global scales, the good performance of the Ardhuin et al. (2009) parameterization
38 is largely due the introduction of a realistic nonlinear swell dissipation, which is the most
39 important ingredient for obtaining low errors. Although breaking statistics are certainly non-
40 linear in terms of spectral parameters, it is not clear that having a nonlinear whitecapping
41 term is actually significant for dissipation rates.

42 Given the original argument by Phillips (1984), we found it interesting to go back to the

43 effect of current gradients to look at the differences between parameterizations, from the
44 laboratory scale to the scale of the coastal ocean. The present study is also an occasion
45 to evaluate the accuracy of current effects in wave models, which has attracted only little
46 attention.

47 Although many studies discuss the expected effect of currents on waves (e.g. ?), there
48 are unfortunately very few validations of realistic numerical modeling of waves in currents,
49 with the notable exception of Masson (1996) who used a specific model based on ray-tracing,
50 without a full action balance. In fact, there is a very broad literature on theoretical effects of
51 currents, from Barber (1949) to the review by Peregrine (1976). There are at least as many
52 descriptions of numerical model results with more or less academic tests (e.g. Holthuijsen
53 et al. 1991; Tolman 1991b; Benoit et al. 1996). Finally, the experimental evidence for current
54 effects on waves is also abundant, from tidal currents (e.g. Vincent 1979; Ris et al. 1999; Wolf
55 and Prandle 1999) to large oceanic currents like the Gulf Stream (e.g. Kudryavtsev et al.
56 1995). Unfortunately, in many cases there is only limited quantitative information about
57 the current speed and spatial variation (e.g. Forget et al. 1995; Ris et al. 1999) or the waves
58 (e.g. Haus 2007). For that reason we will not report here attempts at global numerical wave
59 modeling with currents (e.g. Rasche et al. 2008), but only focus on experiments with well
60 known current fields.

61 Our investigation started in 2003, with a measurement campaign in the English Channel,
62 and the evaluation of four widely used numerical wave models. At that time, the conclusion
63 was that taking into account currents improved the qualitative agreement between model
64 and observed wave parameters, but the root mean square errors of the model results were
65 actually larger with the currents (Girard-Becq et al. 2005). This was the occasion to fix some

66 obvious problems in some of the numerical models used. In particular the artificial effect of
67 swell on the wind sea growth, which is a common feature of the parameterizations derived
68 from Komen et al. (1984), was found to be a problem. Taking advantage of improved wave
69 model parameterizations and forcing fields, we now revisit the data from that experiment,
70 with the addition of two other data sets that exhibit strong effects of currents on waves,
71 and for which the current field is well known. These include the laboratory experiment
72 by Lai et al. (1989), and macrotidal field data from the Iroise sea (Ardhuin et al. 2009).
73 Taken together, these three cases illustrate different situations in which currents have a
74 strong influence on waves. These are a strong local dissipation, the far field of a refraction
75 area, and the modifications in the local generation of waves. The general question that we
76 are addressing here is : Do wave models today represent well the most important physical
77 processes in the presence of strong currents? This question is largely independent of the
78 choice of numerical model. Because all source terms are not implemented in all models, and
79 for simplicity, the results shown here were obtained with the Wind Wave Model II (Roland
80 2008), and WAVEWATCH III[®] (Tolman 2009; Ardhuin et al. 2010), hereinafter abbreviated
81 as WWMII and WWATCH.

82 **2. Wave blocking and induced breaking**

83 As waves propagate against an increasingly strong current, their group velocity can be-
84 come less than the opposing current, so that the wave energy is unable to propagate up-
85 stream. In these cases the wave steepness generally gets large enough to induce breaking.
86 Here we follow the assumption of (Chawla and Kirby 2002), which is largely supported by

87 their experiments, that wave transformation through the blocking region is simply the result
88 of propagation and dissipation associated with wave breaking. In that context, we inves-
89 tigate the effects of existing dissipation parameterization, and a possible support for the
90 conclusions by Phillips (1984) that dissipation should be a strongly nonlinear function of
91 the wave steepness. The potential numerical singularity is avoided in both WWATCH and
92 WWMII by the use of spectral densities in the wavenumber-direction space, and a variable
93 wavenumber grid corresponding to fixed relative frequencies (Tolman and Booij 1998). For
94 the other models that were compared by Girard-Becq et al. (2005), a particular treatment
95 of the high frequency had to be added (Michel Benoit, presentation at the 2007 Globwave
96 Meeting). This consisted of enforcing an upper limit on the spectral level based on Hedges
97 et al. (1985). The blocking situation was investigated in the laboratory by Lai et al. (1989).
98 Because WWATCH was limited to timesteps larger than 1 second, WWM II (Roland 2008)
99 was used here to solve the wave action equation, and investigate the effects of various dissi-
100 pation parameterizations.

101 *a. Dissipation parameterizations*

102 It is interesting to note that all dissipation parameterizations used here are quasi-linear
103 with a coefficient that multiplies the frequency-directional power spectrum of the surface
104 elevation $F(f, \theta)$. This coefficient is proportional to a wave steepness ε to the fourth power
105 or a higher power in the case of Alves and Banner (2003). However, this steepness is
106 parameterized very differently.

107 In Komen et al. (1984), it is defined from the full wave spectrum

$$\varepsilon^{\text{KHH}} = k_r H_s, \quad (1)$$

108 giving a dissipation source term

$$S_{\text{oc}}^{\text{KHH}}(f, \theta) = C_{\text{ds}} \sqrt{g k_r} (k_r H_s)^4 \left[(1-a) \frac{k}{k_r} + a \frac{k^2}{k_r^2} \right] F(f, \theta), \quad (2)$$

109 where H_s is the significant wave height, and k_r is a representative mean wavenumber defined
110 by

$$k_r = \left[\frac{16}{H_s^2} \int_0^{f_{\text{max}}} \int_0^{2\pi} k^r E(f, \theta) \, df \, d\theta \right]^{1/r}, \quad (3)$$

111 with $r = -0.5$ and $a = 0$ used by the WAMDI Group (1988), while Bidlot et al. (2005) used
112 $r = 0.5$ and $a = 0.6$.

113 Phillips (1984) introduced a steepness that is local in frequency. This local steepness
114 $\varepsilon^P(f)$ is proportional to $\sqrt{B(f)}$, where the non-dimensional energy level $B(f)$ at that fre-
115 quency (also called saturation) is defined by

$$B(f) = \int_0^{2\pi} k^3 F(f, \theta') C_g / (2\pi) \, d\theta'. \quad (4)$$

116 Such a local steepness only makes sense for a smoothly varying spectrum (Phillips 1984,
117 page 1428, column 2). Indeed for monochromatic waves of very small amplitudes $B(f)$ can
118 be very large but is not associated to steep waves. The differences between In this section
119 we test three parameterization based on Phillips (1984), and they mostly differ in the choice
120 of the threshold B_r . In Alves and Banner (2003) S_{oc} is proportional to $(B/B_r)^4$, so that
121 it increases steeply as B becomes larger than the threshold B_r , but it starts dissipating for
122 $B < B_r$.

123 In the dissipation source functions of Ardhuin et al. (2010)¹ and Babanin et al. (2010),
 124 B_r acts more like a switch and $S_{oc}(f, \theta)$ is not such a high power of B ,

$$S_{oc}(f, \theta) = \sigma \frac{C_{ds}^{sat}}{B_r^2} [\max \{B(f) - B_r\}^2] F(f, \theta) \quad (5)$$

125 where C_{ds} is a non-dimensional constant, B_r is a threshold for the saturation and $F(f, \theta)$ is
 126 the spectral density of wave energy. The minor differences between Babanin et al. (2010)
 127 and Ardhuin et al. (2010) include a different effect of wave directional distribution in the
 128 exact definition of B , and a different formulation of the cumulative effect. In Babanin et al.
 129 (2010) this cumulative effect may dominate at lower frequencies than it does in Ardhuin et al.
 130 (2010). We also note that Ardhuin et al. (2010) is mostly derived from Banner and Morison
 131 (2006, 2010), which is not tested here, except for the smoothing of B over frequencies.
 132 Finally, in Ardhuin et al. (2010) B is also a function of the wave direction, leading to a
 133 maximum dissipation in the mean wave direction, whereas Babanin et al. (2010) used a
 134 prescribed directional distribution of the dissipation which has a local minimum in the mean
 135 wave direction.

136 Compared to all these parameterization, based on a global or local steepness, Ardhuin
 137 et al. (2010) includes a swell dissipation term based on the observations of Ardhuin et al.
 138 (2009), but that effect is negligible at the scales, under 100 km, considered in the present
 139 paper.

¹Here we use the TEST441 version of the parameterization described in that paper. The number 441 has
 no particular meaning and only serves to differentiate the different adjustment of parameters.

140 *b. Observations and model results*

141 The laboratory flume of Lai et al. (1989) is 8 m long and 0.75 m deep, with a trapezoidal
142 bar in the middle, with a height of 0.3 m (figure 1). Incident unidirectional waves with 95%
143 of the energy between 1.5 and 2.0 Hz, these are relative frequencies, propagate along the
144 channel. The incident spectrum is shown in the top panel of figure 2. The relative peak
145 frequency is at 1.9 Hz. The bar accelerates the opposing current from 0.12 to 0.18 m/s.
146 The maximum current velocity, constant over the flat part of the bar, is enough to block all
147 waves with an incident absolute frequency shorter than 2.1 Hz, for which the group speed
148 over the bar is equal to the current velocity. This correspond to a relative frequency of
149 2.7 Hz at the P1 wave gauge. According to geometrical optics, i.e. neglecting diffraction and
150 nonlinear effects, about 25% of the incoming energy flux is carried by waves with frequencies
151 below 2.1 Hz, and may propagate across the bar. The incoming significant wave height, here
152 0.3 m, should be strongly reduced, and waves are expected to be dissipated due to breaking,
153 or reflected by the underwater topography (e.g. Ardhuin and Magne 2007), or weakened by
154 the current via the work of the radiation stresses. The first process is believed to be dominant
155 (Chawla and Kirby 2002), and thus should be reproduced by a proper parameterization of
156 the dissipation induced by wave breaking.

157 As shown in figure 1, the discrete positions of the wave gauges do not give a full picture
158 of the wave evolution, so that it is difficult to be certain that one parameterization is more
159 realistic than another. However the most important result is the very clear difference between
160 two groups of parameterizations.

161 For $x < 1.5$ m where the current is uniform the saturation-based parameterization give

162 a decreasing wave height, caused by a significant dissipation, whereas the global-steepness
163 parameterizations by the WAMDI Group (1988) and Bidlot et al. (2005), give a much lower
164 level of dissipation. This initial dissipation is mostly associated with the shorter waves.

165 This adjustment stage is followed by an amplification of the wave height over the ramp,
166 where the waves feel the strengthening of the opposing current. At the other end of the
167 flume, for $x > 6$ m, the energy level is nearly constant for each parameterization, but it
168 differs between them. We also note the the energy at the end of the tank is generally
169 overestimated in all model runs.

170 All parameterizations give almost the same results up to a frequency of 1.6 Hz, and
171 strongly differ around the peak of the spectrum (figure 2). The global-steepness parame-
172 terization predict a 40% increase in height before waves reach the P2 gauge, whereas the
173 other group predicts a maximum increase of 12 %. These different magnitudes can be clearly
174 traced to the steepness definition. Indeed, the global steepness increases weakly when short
175 waves get much steeper because it also includes the steepness of the longest waves in the
176 spectrum, which are much less sensitive to the current gradient. Indeed, using $r = 2$ in
177 the definition of k_r (eq. 3) would give the correct root mean square slope $k_r H_s / 4$. For
178 a broad spectrum, different wave scales have different slopes, but using $r = 0.5$ or even
179 $r = -0.5$ as done by the WAMDI Group (1988) gives a mean steepness that emphasizes too
180 much the long waves, which systematically underestimates the true wave slopes, and also
181 underestimates its sensitivity to changes in the short wave spectrum. As a result, in the
182 opposing current, the global-steepness parameterization does enhance dissipation as much
183 as the saturation-based parameterization, giving relatively higher waves.

184 We will now investigate how much this effect is relevant for oceanic conditions compared

185 to other effects of currents. For comparison purposes we will only retain the global-steepness
186 parameterization of Bidlot et al. (2005) because it is used operationnally at ECMWF for
187 wave forecasting, and the saturation-based parameterization of Ardhuin et al. (2010) because
188 it is used operationnally at NCEP since may 2012.

189 **3. Waves against strong tidal jets**

190 In the ocean, currents are never uniform in the cross-stream direction, and thus other
191 effects come into play, in particular the focusing of waves in the middle of opposed jets,
192 caused by refraction. The capability of numerical models to represent the evolution of waves
193 in currents is still poorly tested. Here we investigate the impact of very strong currents, up
194 to 4 m/s, on storm waves measured off the west coast of France (figure 3).

195 Our area of interest is the Iroise sea, with a spring tidal range of 6 m. Currents are
196 strongly dominated by tides, which makes them well predictable, with a near-inertial com-
197 ponent driven by winds and waves that only accounts for a few percent of the current variance
198 (Ardhuin et al. 2009), and a magnitude of the order of 2% of the wind speed. Tidal cur-
199 rents in this area are also nearly depth-uniform, with a typical Ekman spiral due to bottom
200 friction that is confined near the bottom. During summer, a density stratification is present
201 (e.g. Le Boyer et al. 2009), which affects the wind-driven currents (Ardhuin et al. 2009) but
202 has little effect on the tidal currents. Indeed, current profilers have been deployed in several
203 measurement campaigns in the area, from 2004 to 2011 in depths ranging from 20 to 120 m.
204 In all cases, currents are highly coherent over the water column, in particular in the top
205 70%, with tidal currents generally have a fairly uniform profile while the bottom 10 m are

206 well approximated by a logarithmic profile $\log(z/z_0)$ with a roughness $z_0 \simeq 1$ cm. We shall
207 thus assume that currents are uniform over the water depth. In particular they should be
208 comparable with the near-surface measurements of high frequency radars.

209 For this we use the WWATCH model, based on the computer code by Tolman (2008),
210 with the addition of advection schemes on unstructured grids, implemented by Roland (2008)
211 and the use of new wave dissipation and generation parameterizations “ TEST441” (Ard-
212 huin et al. 2010). The triangle mesh used here is identical to the one already used by
213 Ardhuin et al. (2009), and applied to routine forecasting as part of the Previmer project
214 (<http://www.previmer.org>), with a spectral resolution that includes 32 frequencies and 24
215 directions, and a variable spatial resolution from 100 m to 5 km. Both model grid and results
216 are available at <http://tinyurl.com/iowagafpt/HINDCAST/IROISE>.

217 This coastal model is forced by boundary conditions from a global multi-grid system,
218 with a resolution of 3.6 km in the Bay of Biscay. This global model has been carefully
219 validated against altimeter data (Rascle et al. 2008; Ardhuin et al. 2011c), and generally
220 gives accurate wave heights and mean periods, with normalized root mean square errors
221 (NRMSE) less than 10% for H_s . Directional properties have also been validated in detail by
222 Ardhuin et al. (2011b), including effects of coastal reflection. Here the coastal reflection is
223 not activated. Both models are driven by ECMWF wind analyses at 0.5 degree resolution
224 and 6 hourly intervals, and currents and water levels from the Previmer D1 system with
225 a resolution of 300 m in our area of interest. In order to provide simplified measures of
226 the difference between model time series X_{mod} and observations X_{obs} we use the following

227 definitions for the normalized root mean square error (NRMSE),

$$\text{NRMSE}(X) = \sqrt{\frac{\sum (X_{\text{obs}} - X_{\text{mod}})^2}{\sum X_{\text{obs}}^2}} \quad (6)$$

228 and Pearson's linear correlation coefficient,

$$r(X) = \frac{\sum (X_{\text{obs}} - \overline{X_{\text{obs}}}) (X_{\text{mod}} - \overline{X_{\text{mod}}})}{\sqrt{\sum (X_{\text{obs}} - \overline{X_{\text{obs}}})^2 (X_{\text{mod}} - \overline{X_{\text{mod}}})^2}}, \quad (7)$$

229 where the overbar denotes the arithmetic average.

230 Some of the strongest currents are found in the Fromveur passage, between the islands of
231 Ouessant and Bannec (figure 3) and wave blocking is easily observed, although measurements
232 are more difficult. Indeed the current exceeds 3 m/s during neap tides (figure 4). This 3 m/s
233 can block waves that, outside of the current jet, have periods of 7.6 s, while 2 m/s can block
234 waves of 5 s. A typical situation occurred on November 10 2008, a strong South-Westerly
235 wind of 20 m/s generated wind-seas against this current, while the dominant waves, an old
236 windsea, has a period of 12 s and mostly comes from the West. The model predicts a strong
237 focusing of waves in the tidal jet and high wave dissipation rates in the center of this jet.
238 Just like in the previous laboratory test case, using the saturation-based dissipation gives a
239 maximum wave height that occurs upwave (to the south-west) of the maximum wave height
240 given by the Komen-type dissipation term. As a result, H_s between Ouessant and Bannec
241 reaches 6.5 m with the parameterization by Bidlot et al. (2005), whereas it is only than 5.3 m
242 with the parameterization by Ardhuin et al. (2010). Apart from this, the two maps in figures
243 4.b and 4.c are very similar. The offshore wave height is slightly higher in the TEST441
244 run, due to a different balance between wind input, nonlinear fluxes and dissipation. Since
245 the dominant gradients in the wave heights and directions are due to island sheltering and

246 refraction by the bathymetry and currents, the input and dissipation have a limited impact
247 on the large scale wave height patterns.

248 At buoy 62069, located south of the islands, the comparison of model results with data
249 demonstrates that currents are very important for the sea states at that location. Figure
250 5 shows that the wave heights recorded at the buoy exhibit a modulation with a period of
251 12.5 hours, related to the dominant M2 tide. The strength of the modulation varies with
252 the neap / spring tide cycle, but is also influenced by the mean offshore wave direction. For
253 example, we see a weaker modulation on November 17 (with westerly waves) compared to
254 October 30 (with north-westerly waves) in spite of similar tidal amplitudes and dominant
255 wave periods. The modulation can reach half of the observed mean value during spring tides
256 with North-Westerly waves. This figure also shows the difference between the model that
257 includes currents and the model without current. This effect is not very sensitive to the
258 choice of dissipation parameterization, and it is generally well captured by the model, with
259 a considerable reduction in model error once the currents are taken into account. Over the
260 month of data shown in figure 5, the NRMSE for H_s drops from 14.1 % to 9.6% using hourly
261 averaged H_s . Similar error reductions are found throughout the year.

262 Since the tidal modulation of the water depth is relatively small, the modulations are
263 probably not due to the water level. But at the same time, the currents at the buoy 62069
264 are much weaker than in the vicinity of the islands. We shall see below that these stronger
265 currents, up-wave from the buoy, cause a refraction pattern that influences the wave field at
266 the buoy.

268 These currents have been mapped continuously since 2006 with a High Frequency (HF)
269 radar (WELLEN RAdar, Helzel GmbH) operated at 12 MHz and designed by Gurgel et al.
270 (1999). Given the measurement geometry, the resolution achieved by a standard processing
271 of the data using beam-forming from the 16-element receive antenna arrays is limited by
272 the distance from the shore, in particular this processing may be too limited to resolve the
273 very strong gradients around the islands of Ouessant and the Molène archipelago. In order
274 to overcome this limitation a direction finding processing using the Multiple Signal Classi-
275 fication algorithm (Schmidt 1986) has been applied for a few days of data, in combination
276 with a variational regularizing algorithm (Sentchev et al. 2012). This processing achieves
277 an azimuthal resolution of 1 km for the Porspoder radar station in the 2-km wide Fromveur
278 passage, instead of 6 km using beam-forming in which case this passage is not resolved. We
279 use both original and higher resolution processing to validate a numerical two-dimensional
280 model of the area that uses the MARS model, which we use for forcing our numerical wave
281 model. This model is used here in its two-dimensional version. It solved the shallow water
282 equations using a finite difference discretization, an alternate direction implicit (ADI) time
283 stepping and high order quickest scheme for advection. A full description of the model can
284 be found in Lazure and Dumas (2008). The model is forced by sea surface elevation (at the
285 boundaries) and atmospheric conditions (throughout the domain). Boundary conditions for
286 the sea surface elevation are provided by a succession of four nested models with decreasing
287 extensions from 5km down to 300m for the detailed model used here. The free-surface eleva-
288 tion is imposed along the open boundaries of the mother grid using the harmonic components

289 provided by the FES2004 global tidal solution (Lyard et al. 2006).

290 A statistical comparison for the entire year 2008 of hourly modeled and HF radar values
291 for the zonal (U) and meridional (V) component of the current shows a general very good
292 agreement with a 5–10% underestimation of the surface current magnitude by the barotropic
293 model at offshore locations (points A and M, figure 3 and table 1). However, the most
294 relevant features for ocean waves are the horizontal gradients in the current field, and these
295 are most prominent around the islands, where it is unclear that the model accuracy or
296 the radar resolution are sufficient in the original processing. The westward current, which
297 develops south of Ouessant island appears very well in the data reprocessed by Sentchev
298 et al. (2011), for all similar tidal amplitudes, as illustrated by figure 6, which also shows
299 both original and reprocessed HF radar data. In particular, the original processing has
300 many blanks in regions of strong gradients, in particular between the islands. These strong
301 gradients make the Doppler spectrum broader and then the estimation of a current velocity
302 over a large measurement cell is difficult. Between the point O1 and the island of Ouessant,
303 the reprocessed data reveals a strong current towards the North-West at times around the
304 low tide. This particular current branch will be important in our analysis of measured waves.
305 In the following we shall use numerically modeled currents.

306 *b. Observed and modeled tidal modulations of the sea state*

307 Except for the buoy deployed just north of Ouessant, the largest tidal modulations in
308 all the data acquired in the area were found at the location of the Pierres Noires buoy
309 (WMO number 62069), where some measurements were made in 2006, and where a buoy

310 was permanently installed in 2008. A typical time series of wave heights at that location is
311 shown in figure 5.

312 These modulations are strongest for waves from the North-West, and occur for all swell
313 and wind sea frequencies. At the buoy location the water level and tidal currents are almost
314 in phase, as the tidal wave propagates alongshore. We now analyze a full numerical solution
315 of the wave action equation of the wave action equation and wave rays, based on a stationary
316 current assumption. This assumption is relevant here given the 30 km propagation distance
317 of of deep water waves across the largest currents, which takes only 40 minutes for 10 s
318 waves. The full solution corresponds to results “with tide” shown on figure 4 and, focusing
319 on four days only, the “full tide” results in figure 7.

320 The model was run with and without currents and water levels. Figure 7 shows that
321 model runs without current completely miss the strong modulation of wave heights at the
322 two buoy locations 62069 and DWFOUR. Changes in the water depth have a very limited
323 influence at the position of buoy 62069, given its mean water depth of 60 m. Adding the
324 currents in the wave model forcing reduces the error by more than 30% at both buoys, from
325 a scatter index of 16.5 to 8.3% at 62069, and 17.6 to 12.4 at DWFOUR, over the four days
326 starting on October 26. Similar error reductions are found year-round at 62069 where we
327 have a continuous record since 2007. This error reduction occurs in spite of relatively weak
328 local currents, always less than 0.7 m/s, with weak local gradients. In fact, the modulation
329 pattern can be easily explained by ray tracing diagrams. These rays were computed from
330 parallel offshore directions, using the code by Dobson (1967), already adapted by O’Reilly
331 and Guza (1993) and Ardhuin et al. (2001). Here we further take into account the turning of
332 wave packets by the current, the advection of these packets by the current, and the change

333 in relative frequency $\sigma = \omega - \mathbf{k} \cdot \mathbf{U}$, keeping the absolute frequency ω constant. As a result,
 334 in the case of stationary conditions, the ray equations are identical to the non-discretized
 335 propagation solved by WWATCH (equations 2.9 to 2.11 in Tolman 2009),

$$\dot{\mathbf{x}} = \mathbf{C}_g + \mathbf{U}, \quad (8)$$

336

$$\dot{k} = -\frac{\partial \sigma}{\partial d} \frac{\partial d}{\partial s} - \mathbf{k} \cdot \frac{\partial \mathbf{U}}{\partial s}, \quad (9)$$

337

$$\dot{\theta} = -\frac{1}{k} \left[\frac{\partial \sigma}{\partial d} \frac{\partial d}{\partial m} - \mathbf{k} \cdot \frac{\partial \mathbf{U}}{\partial m} \right], \quad (10)$$

338 where \mathbf{x} is the horizontal position along the ray, θ is the local intrinsic wave direction, \mathbf{C}_g is
 339 the vector intrinsic group speed, pointing in direction θ , s is a coordinate in the direction²
 340 θ and m is a coordinate perpendicular to s . These ray equations are also similar to the
 341 work by Mathiesen (1987), with the addition of finite depth and bottom refraction effects.
 342 The numerical treatment of the ray equations in WWATCH differs from ray tracing due to
 343 finite difference approximations. Also, in the ray tracing performed here, we do not attempt
 344 to recover wave heights, which would require a large number of ray calculations for each
 345 spectral component, typically using backward ray tracing (e.g. O'Reilly and Guza 1991;
 346 Ardhuin et al. 2001). Instead, our ray computations is only meant to illustrate and explain
 347 the main areas of wave energy focusing and defocusing.

348 At high tide, rays from the north-west that pass south of Ouessant are focused less than
 349 10 km up-wave from the 62069 buoy (figure 9.a), which explains the relatively higher wave
 350 heights in that region (figure 9.b). The rays that pass north of Ouessant tend to focus
 351 along the mainland coast at Corsen point, or further north, with a de-focusing area around

²Due to the presence of the current, s differs from the along-ray direction.

352 buoy DWFOUR. This propagation effect explains the pattern of modeled and observed wave
353 heights at the buoy locations.

354 At times close to the low tide, rays in figure 9.e show that the westward current jet,
355 which develops south of Ouessant is responsible for trapping waves from the north-west,
356 while the main current branch is orienter southward and deflects waves to the south, which
357 is not the case in the absence of currents (figure 9.c). The impact of the current in terms of
358 wave height is clearly seen by comparing the calculations without current (figure 9.d) and
359 the calculations with current (figure 9.f). The currents to the south of Ouessant are not an
360 artifact of the flow model, and are rather well observed by the radar (figure 5.a). Refraction
361 over these currents casts a shadow area (where ray spacing increases) around the location of
362 buoy 62069, resulting in lower wave heights. This pattern is sensitive to the offshore wave
363 direction and is most pronounced for north-westerly waves.

364 A similar pattern occurs north of DWFOUR, but with the opposite phase, resulting in
365 higher waves at low tide at DWFOUR.

366 Current effects are also clear in the wave directions recorded at 62069, with a mean
367 direction almost from the West at the low tides from October 26 to October 29, veering by
368 over 20 degrees to the North-West at high tide, when this direction is not blocked anymore
369 by the currents south of Ouessant (figure 8). Around the time of the low tide, waves from the
370 North-West have been refracted by currents and cannot reach the buoy, and the mean wave
371 direction is from the West. This pattern is relatively well represented by the model. The only
372 persistent bias in the model is found in the directional spreading which is underestimated by
373 6 degrees on average (not shown). This bias may be due to coastal reflection, not included
374 here. Reflection over the current gradients (e.g McKee 1978), may also contribute to the

375 high directional spreads recorded by the buoys.

376 Because it is not the local current that has a strong effect on the waves and the current
377 is weak at the buoy, the wave periods are not much affected, contrary to other classical
378 situations such as investigated by Vincent (1979); Battjes (1982); Tolman (1991a).

379 Here, figure 10.a shows that both observed and modeled mean frequency $f_{m0,-1}$ changes
380 only by 5% to 10% over the tidal cycle on the morning of October 28, which is comparable
381 to the modeled variation without currents nor water level changes (no tide) caused by the
382 gradual evolution of the offshore wave field. A stronger variation is recorded for $f_{m0,2}$, which
383 is weighted more heavily than $f_{m0,-1}$ towards the higher frequencies (figure 10.b). Thus, one
384 hour after low tide, the higher values of $f_{m0,2}$ at the buoy 62069, correspond to relatively
385 higher energy levels for the short waves when the local current is oriented Northward, as
386 shown in figure 6. This current opposed to the incident waves and wind results in some local
387 enhancement of the shorter wave components, possibly due to changes in the effective fetch
388 or in the apparent wind. These effects will be now discussed in more detail using a different
389 dataset.

390 4. Local wind seas and currents

391 a. *The 2003 experiment and our numerical model set-up*

392 When wind seas are generated locally, the patterns of sea state can be significantly
393 different because of the joint effects of wave generation and currents. Here we use data from
394 an experiment carried out in 2003 in the Western part of the Channel, with the purpose

395 of investigating the capability of numerical wave models (Figure 11.a) and testing various
396 techniques for measuring waves (Collard et al. 2005). An array of 4 Waverider buoys, two of
397 them directional, was deployed along the swell propagation path from west to east (Figure
398 11.b). This array is located to the south of a wide area of shoals, Les Minquiers, and the
399 Chausey archipelago, that are dry at low tide, but with only a few rocks sticking out of
400 the water at high tide. The experiment was carried out from early February to mid March.
401 The area is known for its very large tidal range, that exceeds 12 m during spring tides.
402 The nonlinear tidal component M_4 is also particularly important with an amplitude that
403 exceeds 30 cm in elevation (d’Hières and Le Provost 1970) and 14 cm/s for the East-West
404 component of the surface current. This nonlinear tidal component makes the tidal currents
405 strongly asymmetric with a larger flood velocity over a shorter time, as shown in figure
406 12.a,c,d. The modeled current field is relatively homogeneous between buoys DW3 and
407 DW4. Currents were measured with one ADCP, another one was unfortunately lost due
408 to heavy fishing activities, and a pair of Very High Frequency radars operated at 45 MHz
409 (Cochin et al. 2006; Sentchev et al. 2009). The vertical profiles of the current, are typically
410 logarithmic with a roughness length of a few centimeters, making the currents fairly uniform
411 over the top 70% of the water column. Here again, because of the limited radar coverage, this
412 data was used to calibrate the hydrodynamic model and check for biases and phase shifts in
413 the modeled tidal currents and water levels. Root mean square errors on the current velocity
414 was under 10 cm/s around buoy DW4, compared to a spring tide amplitude of 1.2 m/s, and
415 the phase shift was less than 20 minutes for the dominant M2 tidal constituent (Girard-Becq
416 et al. 2005).

417 The wave model contains 120000 nodes that covers the full French Atlantic and Channel

418 coastline with a resolution of 150 m on the shore. A part of the grid in the area of interest is
419 shown in figure 11.c. This model is forced by boundary conditions provided by the global
420 multi-grid system already used above, except that both global and coastal models are here
421 forced by winds from the NCEP-NCAR Climate Forecast System Reanalysis (Saha et al.
422 2010). Currents and water levels are again provided by the Previser D1 barotropic model,
423 but here the resolution is 3 km.

424 *b. Tidal modulation of wave parameters*

425 We focus here on the data recorded at the buoy DW3, located 6 km to the South-West
426 of Chausey island. From February 17 to 20, a 8 to 15 m/s wind was blowing from the
427 East-South-East (direction 120, figure 12), as moderate swells with peak periods larger than
428 10 s propagated from the West, into the Channel. For these days the tidal range is almost
429 constant at 12 m. For the purpose of our analysis, we have separated the wave absolute
430 frequency range into swell (0 to 0.12 Hz) and wind-sea (0.12 to 0.5 Hz), which is appropriate
431 for our case. Here we only show results with the TEST441 source term parameterizations
432 (Ardhuin et al. 2010) because, for this case the Komen-type family of dissipation functions
433 lead to an overestimation of the wind sea (Girard-Becq et al. 2005). This overestimation is
434 largely caused by the presence of swell which reduces the mean steepness parameter defined
435 by eq. (1), leading to a strong reduction of the wind sea dissipation, as analyzed by Ardhuin
436 et al. (2007).

437 Figure 13 shows the recorded strong modulation of the significant wave height, swell
438 height and wind-sea height over these 4 days. For the swell, the model results suggests

439 that the change in water depth is indeed very important for these waves, although the
440 model exaggerates the tidal modulation of wave heights. This model error may come from
441 inaccurate modelling of swell evolution. In particular bottom friction is represented here by
442 a JONSWAP parameterization with a constant $\Gamma = -0.067\text{m}^2\text{s}^{-3}$ (e.g. WISE Group 2007),
443 which gives a relatively strong damping of for low wave energies compared to a constant
444 roughness parameterization (e.g. Ardhuin et al. 2003). Tests using a movable bed bottom
445 friction and using a spatially varying sediment cover give a more reasonable modulation of
446 swell heights, but they also give a large positive bias (not shown).

447 We will now focus on the wind-sea heights, shown in figure 14.c. The wind sea height is
448 maximum two hours after the peak in the flood current, and minimum two hours after the
449 peak in the ebb current. On the second half of February 19, the difference in height exceeds
450 a factor of two over a tidal cycle from 0.5 to 1.15 m, with high values concentrated in a
451 short time, and a longer minimum. Also, the fall in wave height from the maximum occurs
452 faster than the rise from the minimum. Namely the time series exhibits both vertical and
453 horizontal asymmetries.

454 The difference between the runs without current ('no cur') and the one without any tidal
455 effect at all ('no tide') is the use of a variable water level in the former. This difference has
456 very little impact on the short wind wave components. On the contrary, the tidal currents
457 have a large influence on the wind sea evolution, which is clearly seen by the difference
458 between the 'no cur' run and the 'RWIND=0' run.

459 The most spectacular modulation is actually the evolution of the absolute wave frequen-
460 cies, with an observed effect that exceeds the model results (figure 14). The wind-sea waves
461 are shortest at low tide and become much longer and energetic at high tide. We also note

462 that a significant level of energy exists at frequencies above 0.26 Hz that would have been
463 blocked by the maximum current if the waves had been generated in an area with zero or
464 following currents. This shows that these waves must be generated locally in the area of
465 strong current. The overestimation of the peak frequency when the waves follow the current,
466 here from low tide+3h to high tide, is probably caused in part by the slow wave growth bias
467 found at short fetch with the TEST441 parameterization (Ardhuin et al. 2010).

468 A simulation in which refraction due to both currents and bathymetry was deactivated
469 gave a very large difference for the swell, with a wave height doubled, but virtually no
470 difference in the wind sea with a root mean square difference of 4% on the wind sea height,
471 and less than 20% for the spectral densities. The effect of currents on the wind sea is thus
472 caused by processes other than refraction.

473 The current speed U between Chausey and Saint Malo reach 1.5 m/s oriented along the
474 East-West direction with a very flat tidal ellipse (Cochin et al. 2006). With this high speed
475 of the current in comparison to the wind, we investigated the importance of the ‘relative
476 wind effect’ which is used by default in WWATCH. The model uses the difference of the
477 two vector velocities, wind at 10 m height and current, as the effective wind vector that
478 generates the waves. This parameterization assumes that the atmosphere does not adjust
479 to the presence of the current. Using a global coupled wave-atmosphere model, J. Bidlot
480 (personnal communication, 2011) found that using half the current speed would be better on
481 average. Using the full current speed, as we do here can exaggerate the real effect because
482 the relevant level at which the wind should be taken is not the standard 10 m height but
483 rather the top of the atmospheric boundary layer, where the wind is relatively larger. Also,
484 the atmosphere adjusts to the change in surface stress so that the true winds are slightly

485 reduced over opposing currents.

486 Even if it is exaggerated, the relative wind effect is significant as revealed by the difference
487 between diamonds and triangles in figure 13.c, accounting for about 25% of the observed
488 modulation.

489 *c. A simplified model*

490 In order to understand the magnitude of the changes in H_s over a tidal cycle, we have
491 performed simplified numerical simulations with a rectangular flat bottom channel 40 km
492 long and 20 km wide, taking a uniform current across the width of the channel, with a
493 variation given by,

$$U = [U_0 \cos(\omega_T(x/C_T - t)) + U_m] \frac{1 + \tanh[(x - 3L)/L]}{2}, \quad (11)$$

494 where we have chosen a tidal radian frequency corresponding to the lunar semi-diurnal tide,
495 $\omega_T = 1.4 \times 10^{-4} \text{ s}^{-1}$. The tide propagation speed is given by the water depth, $C_T = \sqrt{gD}$
496 and we have taken $D = 30 \text{ m}$. We will consider a wave train propagating towards $x > 0$
497 without any modulation in the region $x < 0$. The modulation is caused by the variable
498 current which ramps up gradually, over a distance $L = 3.3 \text{ km}$, from $U = 0$ to an oscillating
499 value of amplitude U_0 , so that the wave train can adjust smoothly to the current.

500 We first consider nearly monochromatic waves with a wave action $A = H_s^2/(16\sigma$ where σ
501 is the local intrinsic frequency, without any forcing, dissipation or non-linear effects. Since
502 we consider only short wind-waves they are in deep water and their local wavenumber is
503 $k = \sigma^2/g$ and the local intrinsic phase speed and group speed are $C = \sqrt{g/k}$ and $C/2$. The
504 determination of the wave height thus reduces to the conservation of the number of waves

505 and of the wave action (e.g. Phillips 1977),

$$\frac{\partial k}{\partial t} + \frac{\partial}{\partial x} [(C - U)k] = 0, \quad (12)$$

$$\frac{\partial A}{\partial t} + \frac{\partial}{\partial x} [(C/2 - U)k] = 0, \quad (13)$$

506 These are associated to initial conditions $k = k_0$, $A = A_0$ and a boundary condition at
507 $x = 0$. The equations are linear with respect to H_s^2 so that we can choose a realistic
508 boundary condition $H_{s0} = 0.2$ m and an initial frequency $f = 0.2525$ Hz.

509 This system of equations for the unknowns k and A has, to our knowledge, no analytical
510 solution because of the nonlinearity in the advection of k . Given the current forcing and
511 steady boundary conditions we expect a periodic regime to be established within one tidal
512 period.

513 Vincent (1979) studied a relatively similar case with the advection of wind-waves by the
514 tidal wave, but he chose to linearize eq. (12) and looked for solutions that are spatially
515 periodic, with a wavelength equal to the tide wavelength. Instead, we solve (12)–(13) nu-
516 merically using a second order upwind scheme on with a 300 m horizontal resolution and a
517 time step of 13 s.

518 Exploring the effect of the current magnitude, we start from $U_0 = 0.1$ m/s. In the limit
519 of low currents we find that, for our range of parameters, the modulation in wave height,
520 defined as the maximum minus the minimum value divided by two, is

$$H_s - H_{s0} \simeq 2H_{s0}\alpha, \quad (14)$$

521 where $\alpha = U/C_0$. This is the same amplification that is found for $\alpha \ll 1$ in the steady case

522 for waves propagating over a spatially varying current, given by,

$$\sigma = \sigma_0 \frac{1 - \sqrt{1 - 4\alpha}}{2\alpha} \quad (15)$$

$$H_s = H_{s0} \sqrt{\frac{\sigma C_{g0}}{\sigma_0 (C_g - U)}}. \quad (16)$$

523 This means that in practice the tidal period is long compared to the adjustment of the wave
524 field.

525 After a few hours of transition from the initial conditions, the wave heights oscillate with
526 a period equal to the tidal period. When the channel length is extend to 400 km, the solution
527 is spatially quasi-periodic³, with a wavelength close to 190 km, which is of the order of the
528 140 km expected for a disturbance that propagates at the average group speed of 3.1 m/s,
529 and much less than the tidal wave length of 770 km. As a result, the tidal current field
530 is practically uniform and its spatial propagation only introduces a small phase shift. The
531 other consequence is that the maximum in wave height will lag the maximum of the opposing
532 current, and this lag increases linearly with x . Figure 15 shows that the lag is already larger
533 than 1.5 hours for $x = 20 \text{ km}$, similar to the values found at DW3. Associated with this lag,
534 the decrease in wave height becomes gradually faster than the increase, giving a horizontal
535 asymmetry, that is visible in the black dashed curve of figure 15.

536 Both this horizontal asymmetry is much more pronounced for stronger currents. For finite
537 current values, the changes in wave properties remain very close to the stationary solution
538 at least for the short propagation distances. The same results were also obtained using
539 WWATCH with the only effect that the curves are less smooth due the spectral discretization.

³It is not strictly periodic, as the shape of the H_s maximum becomes more asymmetric towards the end of the channel.

540 We now return to the more realistic situation where waves are generated by the local
541 wind, instead of being propagated from a boundary, and we use a wind speed of 13 m/s that
542 is slightly larger than modeled at DW3, but produces an average peak frequency of 0.25 Hz
543 at a fetch of 20 km, which roughly corresponds to the observed conditions. A gradual
544 phase shift compared to the tide is still modeled and roughly corresponds to the wave height
545 pattern propagating at the mean group speed. However, in such conditions, according to
546 the model, the strength of the modulation is much reduced compared to the monochromatic
547 wave propagation (figure 16.a). More importantly, the mean wavelength maximum is now
548 in phase with the wave height maximum whereas it was out of phase in the case of simple
549 propagation (figure 16.b). Indeed the short waves modeled without dissipation would be too
550 steep and cannot exist. It thus appears that wave breaking is an important term for the
551 shape of the spectra in these conditions. Still, the model results are qualitatively independent
552 of the choice of parameterization for the wave generation and dissipation, as shown in figure
553 16 by the comparison of the solid and dashed black lines. Interestingly, the relative wind
554 effect is stronger in this idealized model configuration than in the realistic modelling of the
555 Saint-Malo area.

556 This asymmetric growth of the wind sea, stronger with opposing currents, is thus probably
557 a combination of at least three effects. There is certainly some adjustment of the wave
558 properties corresponding to the conservation of wave action over a time-varying current.
559 However, the growth of the wavelength with the wave height cannot be explained by that
560 effect, and thus there must be a strong growth of the wave field over the tidal cycle. Finally,
561 the relative wind effect probably explains 20 to 40% of the wave height modulation.

562 5. Conclusions

563 At global scales, the accuracy of numerical wave models is generally defined by, in de-
564 creasing order of importance, the accuracy of the forcing fields, the behavior of the physical
565 parameterizations, and the accuracy of the numerical schemes used to integrate the wave
566 action equation (Bidlot et al. 2007; Ardhuin et al. 2010, 2011a). Here we investigate how
567 models behave in the presence of strong currents, and this statement on model accuracy
568 remains generally true. In particular, the accuracy of the forcing includes the current fields
569 and its gradients.

570 At the shortest scales compared to the wavelength, a very rapid steepening of the waves
571 against an adverse current leads to intense wave breaking and dissipation. All the parameter-
572 izations of wave breaking used here represent the dissipation rate as a steepness to the fourth
573 power times the spectrum, but the different definitions of steepness can produce markedly
574 different results. Parameterizations based on the saturation of the wave spectrum appear to
575 be more realistic for the early stages of the wave evolution, but may not give the best solu-
576 tion everywhere. It is possible that the intermediate dissipation term proposed by Banner
577 and Morison (2006) or Filipot and Ardhuin (2012), not completely local in frequency like
578 the saturation formulations, nor global across the full spectrum like the dissipation terms
579 derived from the Hasselmann (1974), should have an intermediate behavior. Experimental
580 data with a higher spatial resolution, both in the laboratory and in the field will be needed to
581 better resolve the full spatial evolution of the wave field and can be very useful to fine-tune
582 these parameterizations. At present, given the very good performance at global scales of
583 the saturation-based dissipation term of Ardhuin et al. (2010), and the acceptable results

584 obtained here, this parameterization appears to be robust and should be preferred, also in
585 cases with strong currents.

586 At larger scales, other effects are generally dominant, in particular the focusing of wave
587 energy due to refraction over the currents. In these cases, the choice of dissipation parame-
588 terization, either Bidlot et al. (2005) or Ardhuin et al. (2010) has no noticeable impact, as
589 long as a single wave system is present, for example one swell or one wind sea.

590 We have found it particularly difficult to obtain or define current fields with spatial pat-
591 terns that are accurate enough to give good wave model results. Surface currents observed
592 by HF radars and obtained via standard processing routines can be too smooth to resolve
593 the local but very strong current gradients that give large refraction effects. Here we have
594 used a high resolution tidal model, validated with high-resolution HF radar data to obtain
595 a trustworthy current field. With this current field, numerical wave models such as WAVE-
596 WATCH III[®] are capable of representing wave effects that occur in oceanic conditions, with
597 a high degree of accuracy. Including currents in the model resulted in error reductions by
598 up to 30%, including at locations where current are relatively weak, but which are located
599 down-wave of strong current gradients that cause large refraction effects, even for dominant
600 waves. There may be significant differences between model results due to different numerical
601 techniques used for the integration of the wave action equation, a question that we have not
602 investigated here, but for which the reader may consult other publications (Roland 2008;
603 Gonzalez-Lopez et al. 2011).

604 Finally, for short wind waves, we found a significant influence of the correction the wave-
605 generating wind to use the relative wind, here defined as the vector difference of the 10 m
606 height wind and the depth-averaged current. The modelling of this effect enhances the

607 overall effects of currents with stronger tidal modulation that is closer to the observations,
608 although in our case it increased the model error because of a time shift of this modulation
609 between the model and the observations. In our investigation of tidal currents it is very
610 difficult to separate this relative wind effect from wave advection and growth effects. That
611 effect may be better tested at global scales, in particular in the equatorial current regions
612 (e.g. Raschle et al. 2008), provided that accurate wind and current fields can be defined. As
613 shown by Collard et al. (2008), that requirement for current accuracy is difficult to achieve
614 outside of tide-dominated regions.

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TABLE 1. Statistical validation of modeled depth-averaged currents in the Iroise sea using near-surface currents from the HF radar system using the standard beam-forming algorithm, over the full year 2008, at a selected list of locations (see figure 3). No quantitative error measure is given when compared to the re-processed HF radar data, due to the limited time frame that has been re-processed. These alternative HF current fields are not significantly different at the locations chosen here, which are far enough from the islands.

| Location | r for U | r for V | NRMSE for U | NRMSE for V | slope for U | slope for V |
|-------------|-------------|-------------|---------------|---------------|---------------|---------------|
| Point A | 0.92 | 0.96 | 39.3 % | 29.8 % | 0.89 | 0.87 |
| Point M | 0.88 | 0.97 | 48.2 % | 24.3 % | 0.82 | 0.93 |
| Point DW106 | 0.95 | 0.97 | 31.7 % | 25.0 % | 0.92 | 0.88 |

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920 by a 13 m/s wind, including the relative wind effect or not (RWIND=0), or
921 propagated from the boundary ('no wind') using a monochromatic spectrum
922 of frequency 0.25 Hz or a Gaussian spectrum of standard deviation 0.025 Hz
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924 Because of stronger blocking in that case the wave height at the upstream
925 boundary is take to be 1.75 times larger for the broad spectral case. Finally,
926 the simulation with wind was also repeated using the parameterization BAJ
927 (Bidlot et al. 2005) instead of TEST441 (Ardhuin et al. 2010). 63

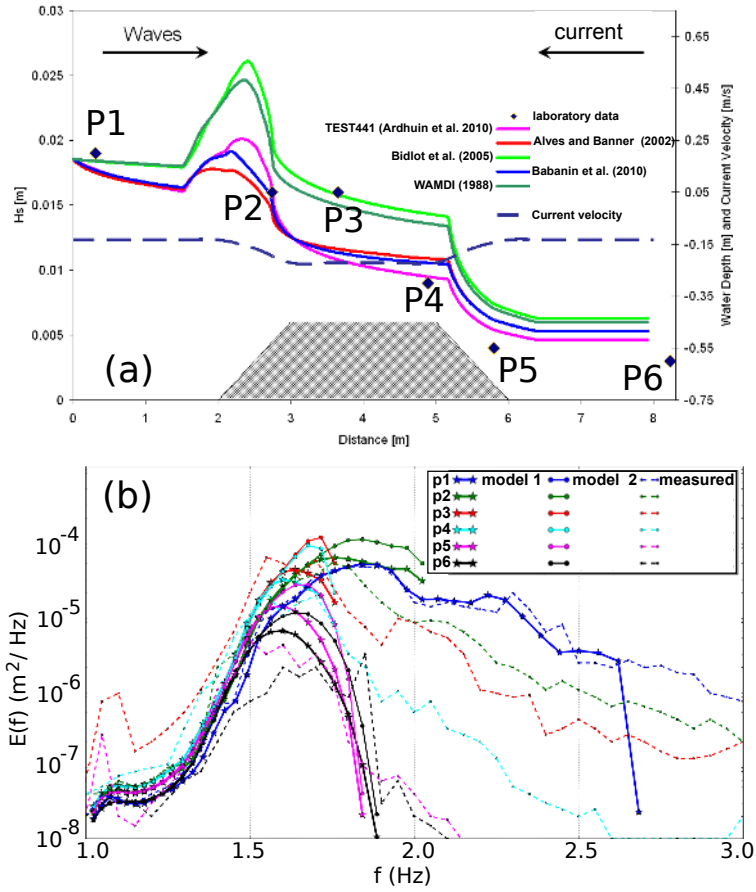


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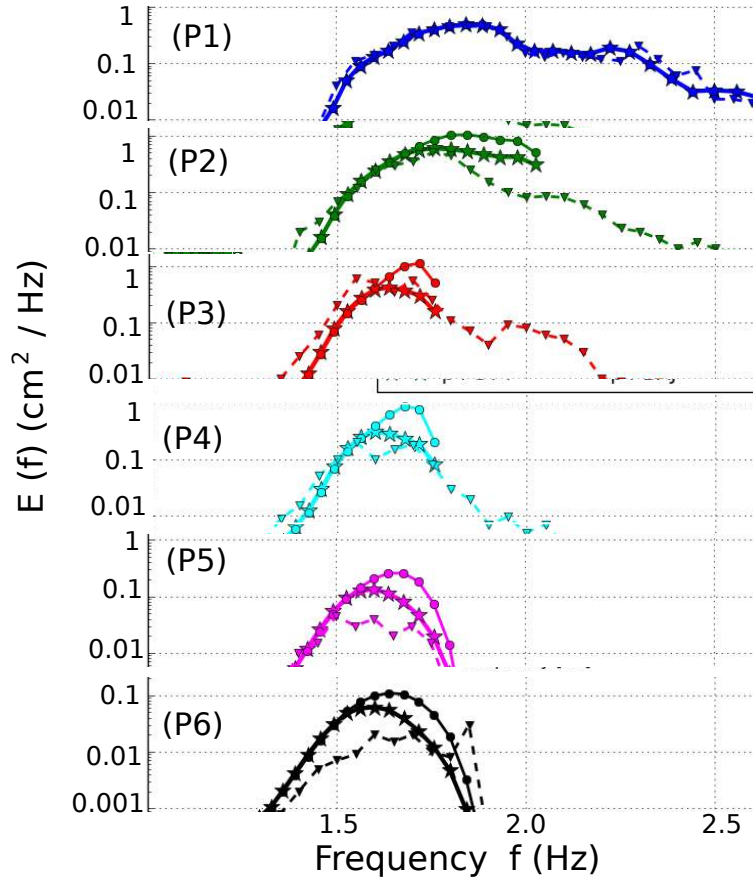


FIG. 2. (b) Observed and modeled wave spectra. The top thin lines are the result using the parameterization by Bidlot et al. (2005), the middle thick line are the results using the TEST441 parameterization, based on Phillips (1984) and described in Arduin et al. (2010), and the bottom dashed lines are the observations. Observed spectra were transformed from the absolute reference frame of the laboratory, into the relative reference frame moving with the local current.

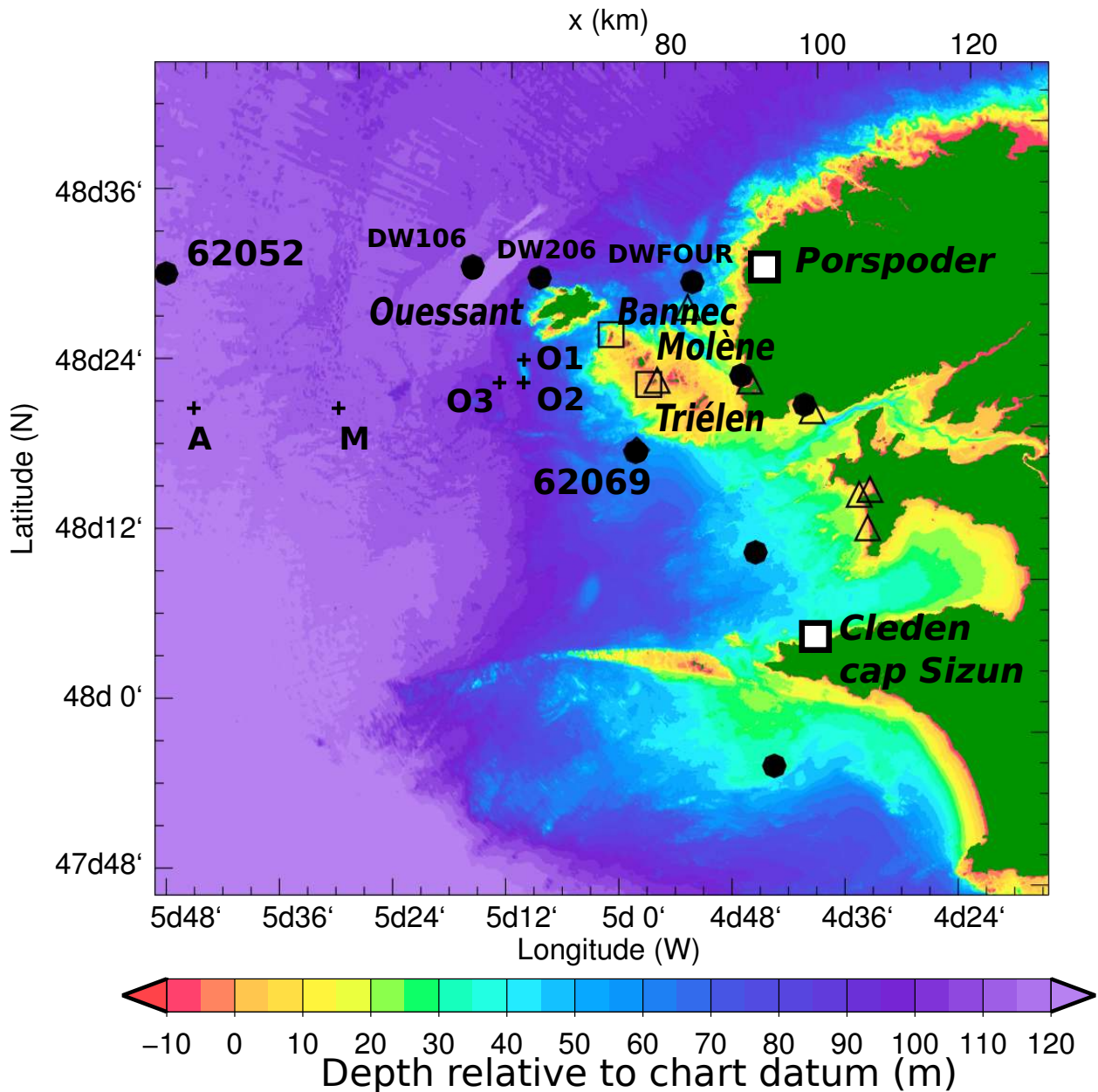
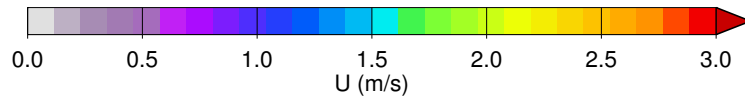
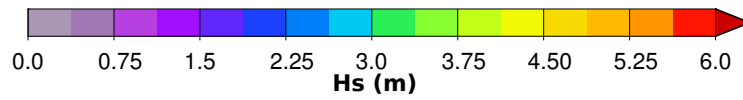


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(a)



(b) TEST441



(c) BAJ

FIG. 4. Example of the modeled situation on November 10, 2008, at 5 AM, for which near-blocking is expected between Ouessant and Bannec islands. (a) Modeled currents and wave rays for 8 s waves from the South-West. (b) Modeled wave heights and directions using the TEST441 parameterization (Ardhuin et al. 2010), and (c) using the BAJ parameterization (Bidlot et al. 2005). The grey areas are nodes that are treated as land, which generally agrees with the shoreline, which is the boundary of the green areas, with the addition of inter-tidal areas.

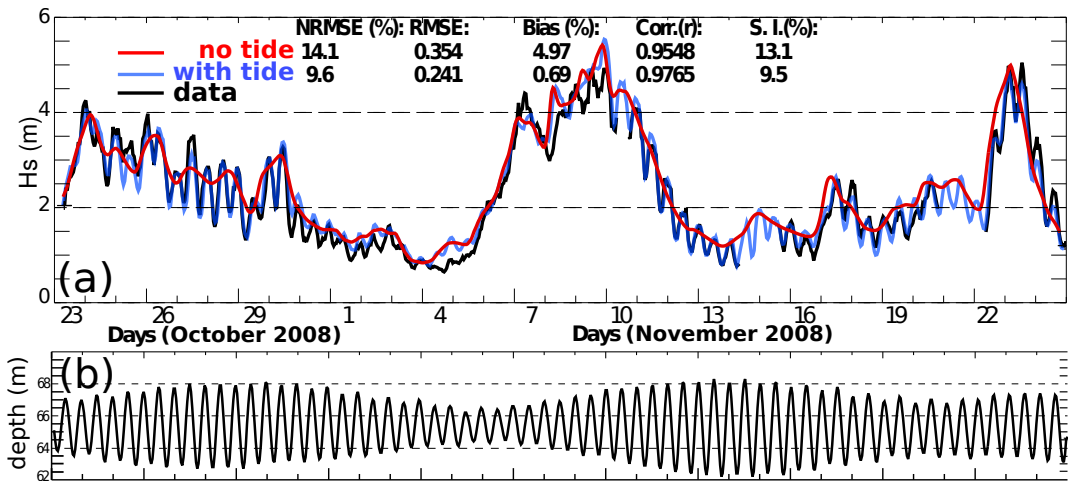


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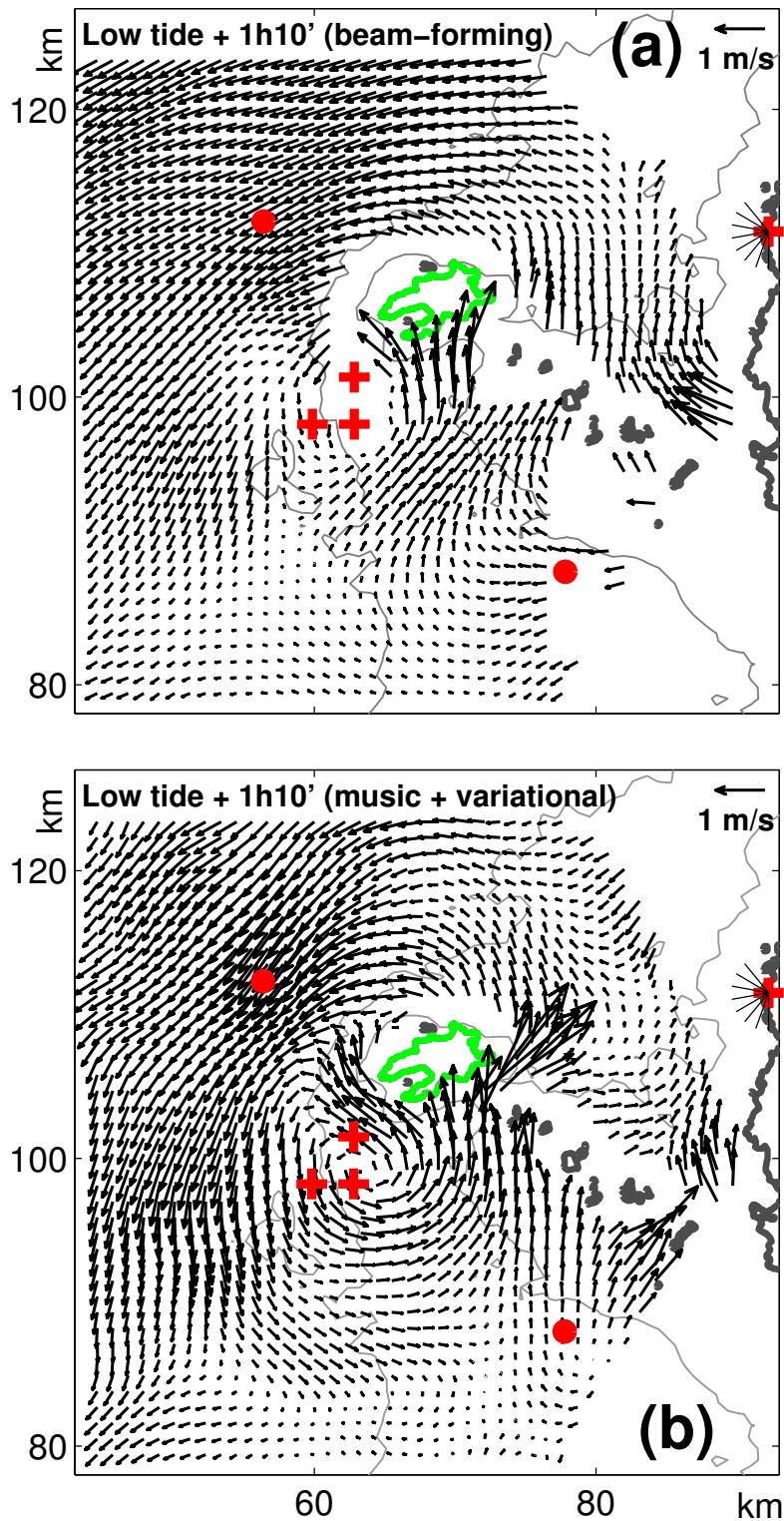


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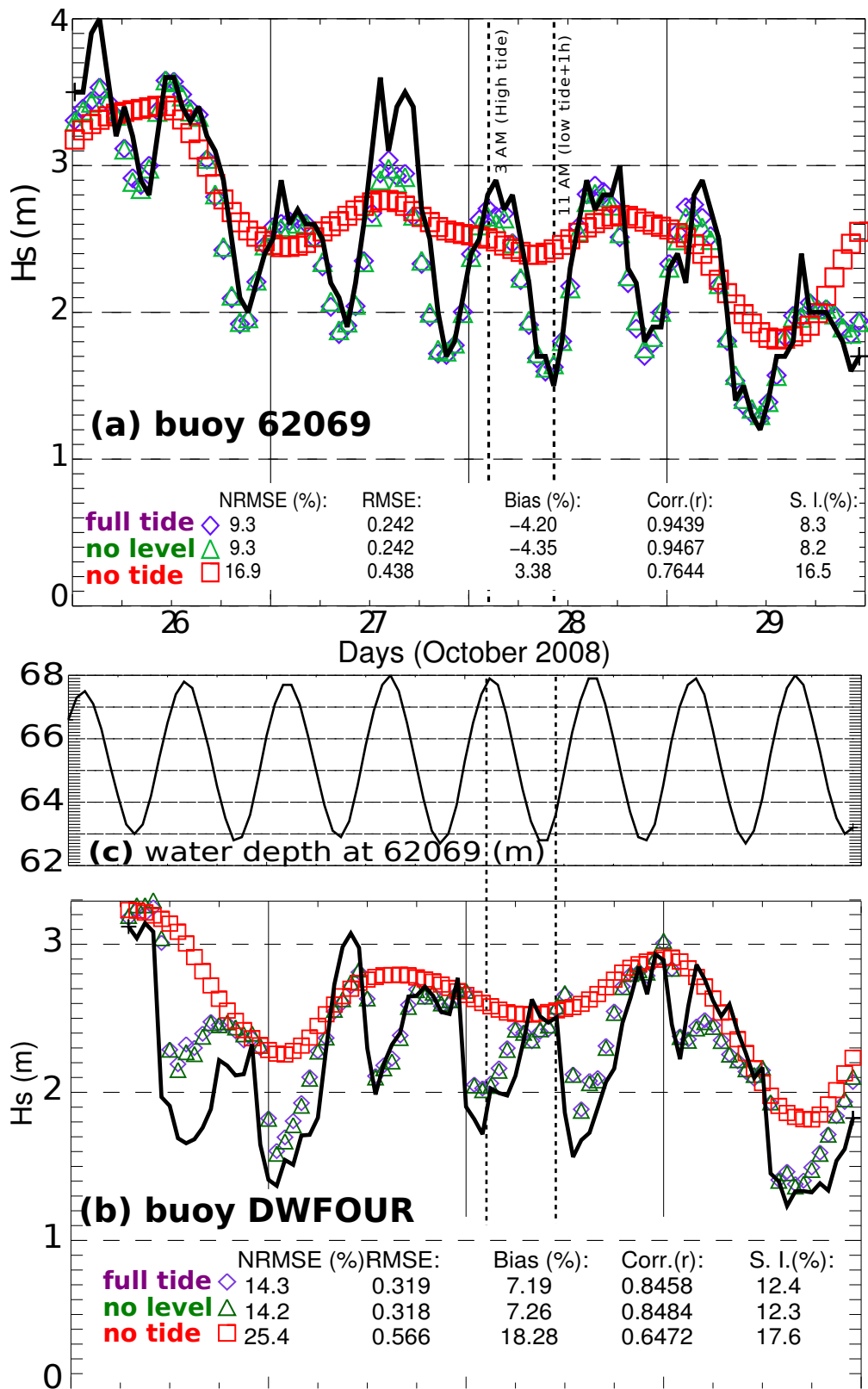


FIG. 7. Observed (solid line) and modeled wave heights at the buoy (a) 62069 and (b) DWFOUR (see figure 3) from October 26 to 29, taking into account both water levels and currents (full tide, blue diamonds), only the currents (no level, green triangles), or no tidal effects at all (no tide, red squares, meaning that the water level is fixed and the currents are set to zero). (c) Modeled water level at 62069. Error statistics correspond to the data shown on the figure.

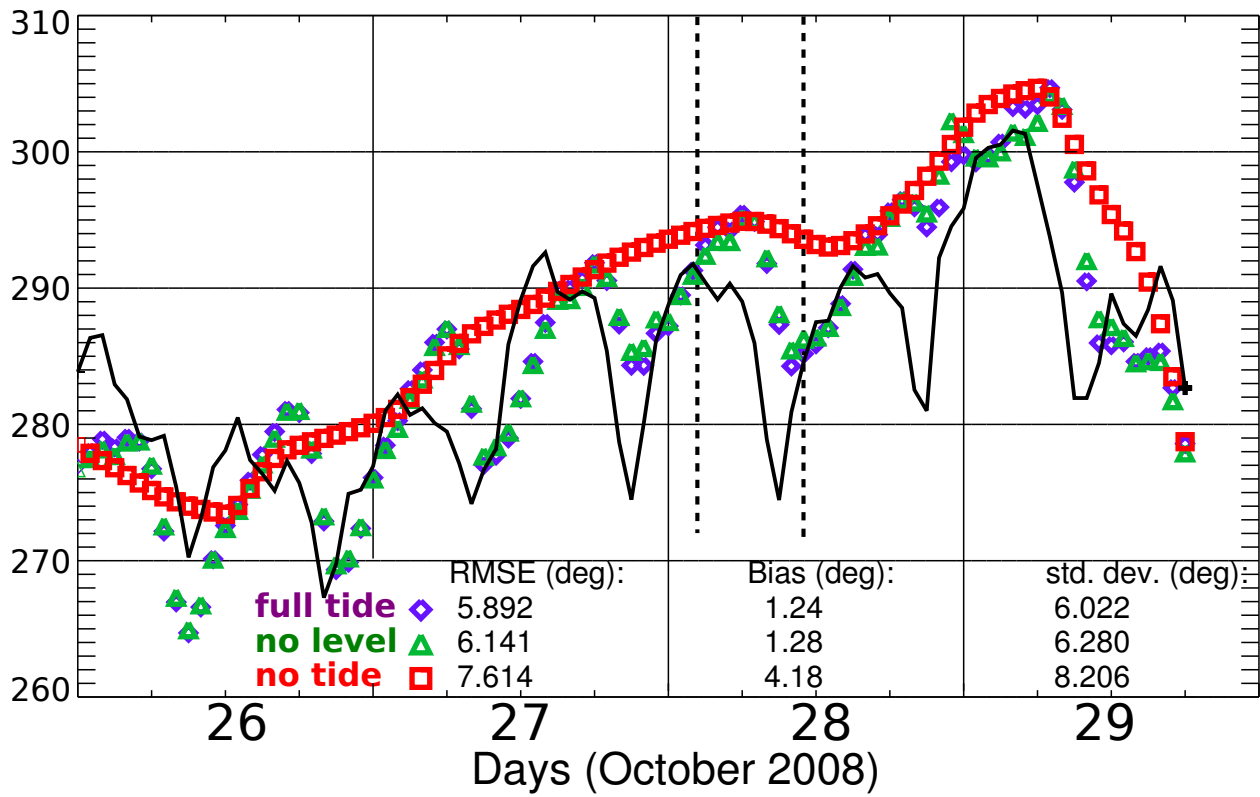


FIG. 8. Observed (solid line) and modeled mean wave direction at the buoy 62069.

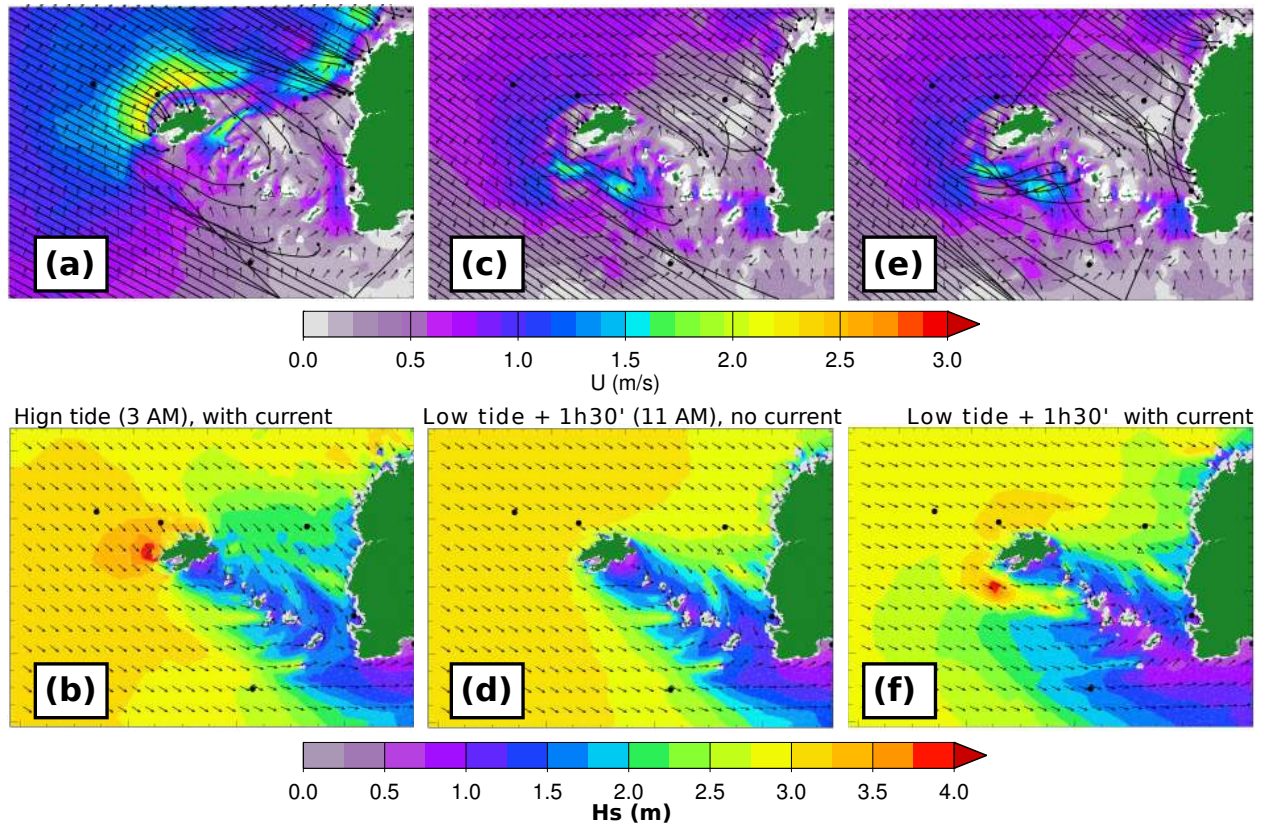


FIG. 9. Current patterns around Ouessant and wave rays for a wave period of 10 s (top panels) and wave model results in terms of wave height and mean directions (bottom panels). These are shown for (a,b) the 3 AM high tide on October 28, where both rays and wave model take into account the currents and water levels (c,d) 1.5 hours after the 9:30 AM low tide of the same day, which corresponds to figure 5.b, without taking into account the currents, and (e,) at the same time and now taking into account the currents. In the top panels, colors indicate the magnitude of the current and the arrows show the current direction. Superimposed on these are rays for waves of 10 s period, starting from parallel directions in deep water. The black dots give the locations of buoys 62052, to the west, DW106 close to Ouessant, 62029 to the south and DWFOUR to the East, as also shown on figure 2.

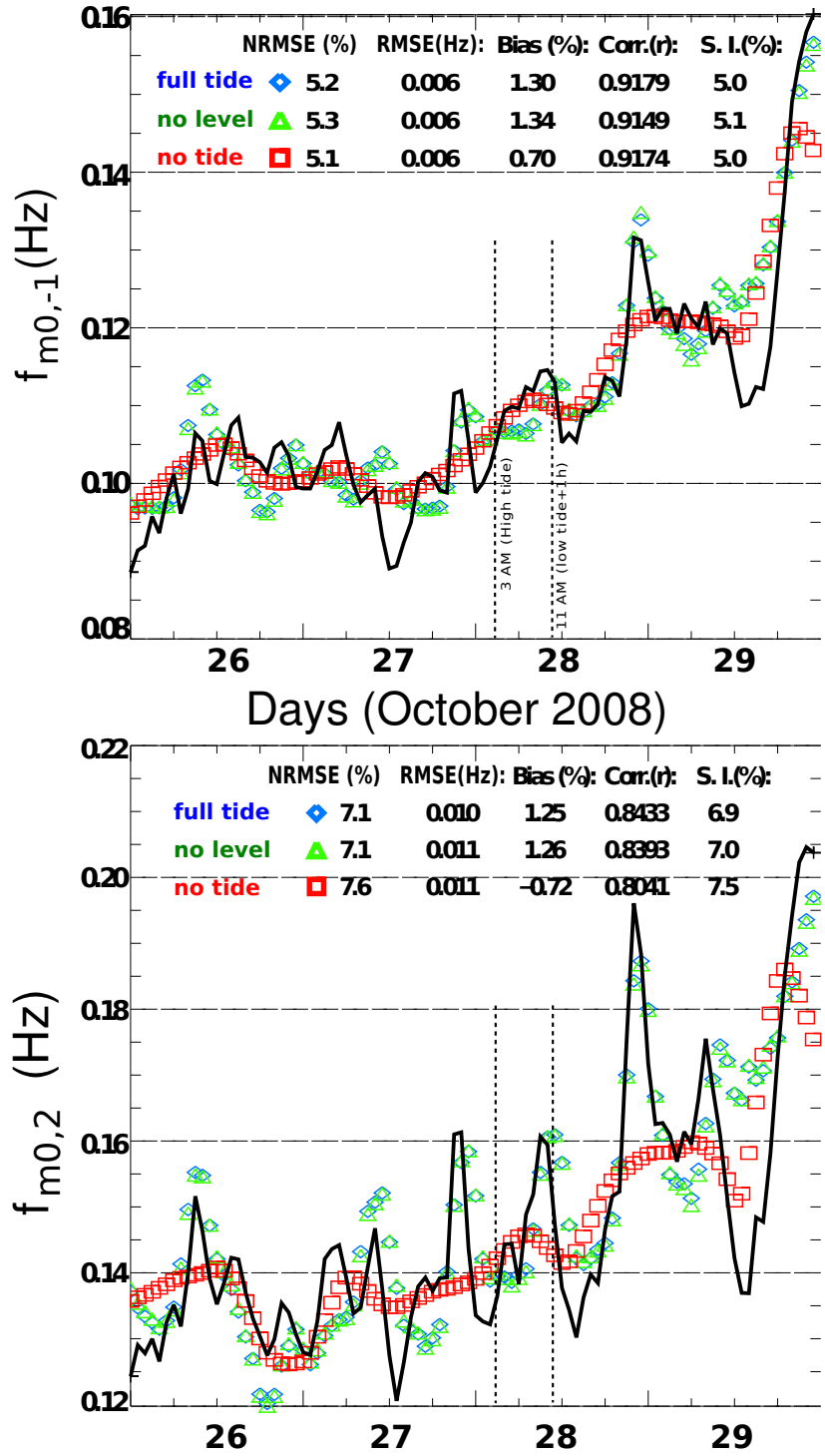


FIG. 10. Mean frequencies (a) $f_{m0,-1}$ and $f_{m0,2}$ modeled (symbols) and measured (solid line) at at the buoy 62069 in October 2008. Model results are shown, taking into account both water levels and currents (full tide), only the currents (no level), or no tidal effects at all (no tide). The vertical dashed lines mark the 3 AM and 11 AM (low tide and high tide + 1 hour) times that corresponds to the maps shown in figure 9.

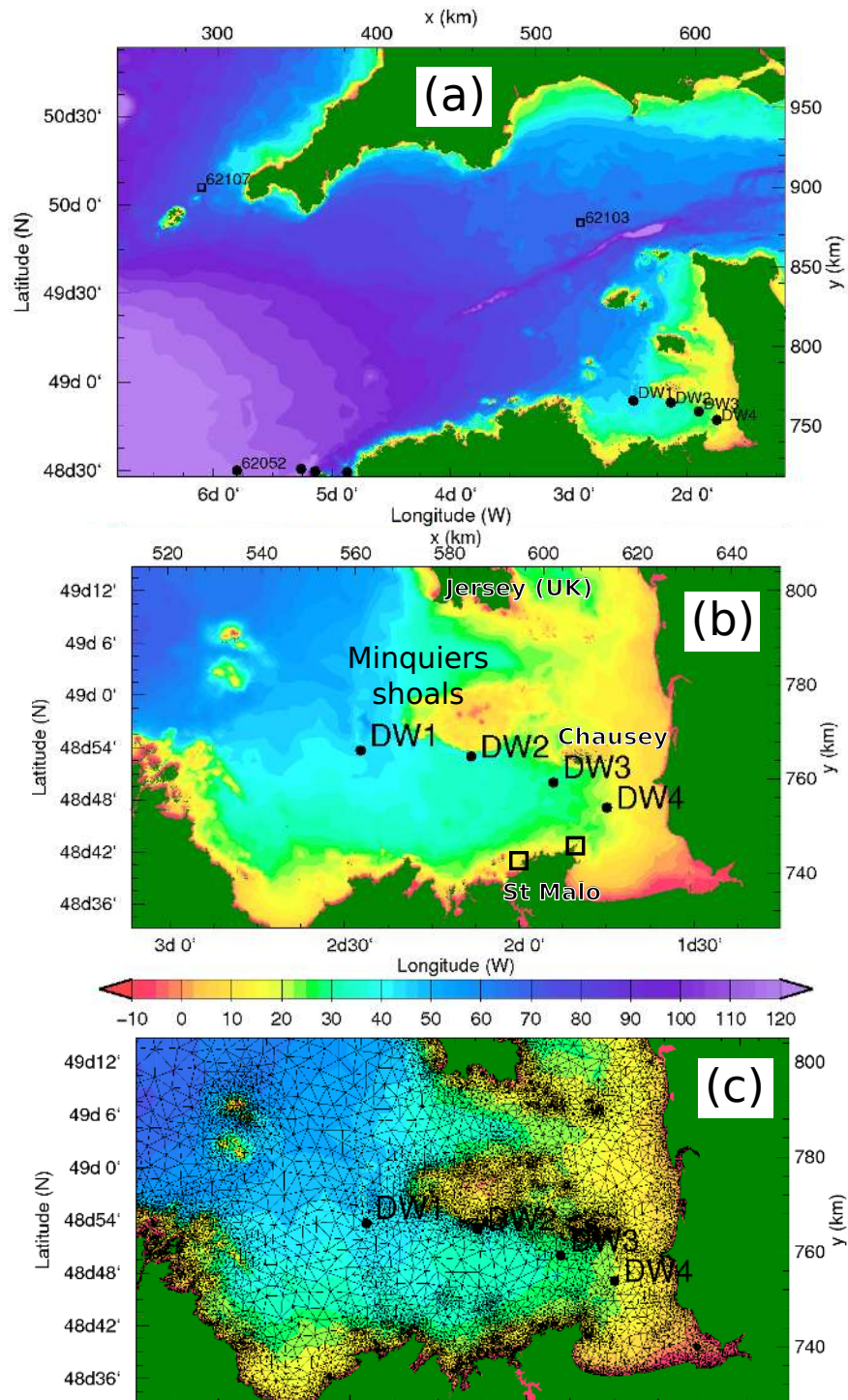


FIG. 11. (a) and (b) Bathymetry of the Western Channel and location of buoy measurements during the 2003 EPEL experiment. The two squares indicate the VHF radar stations. (c) mesh of the wave model in the area of interest. Water depths are relative to the mean sea level.

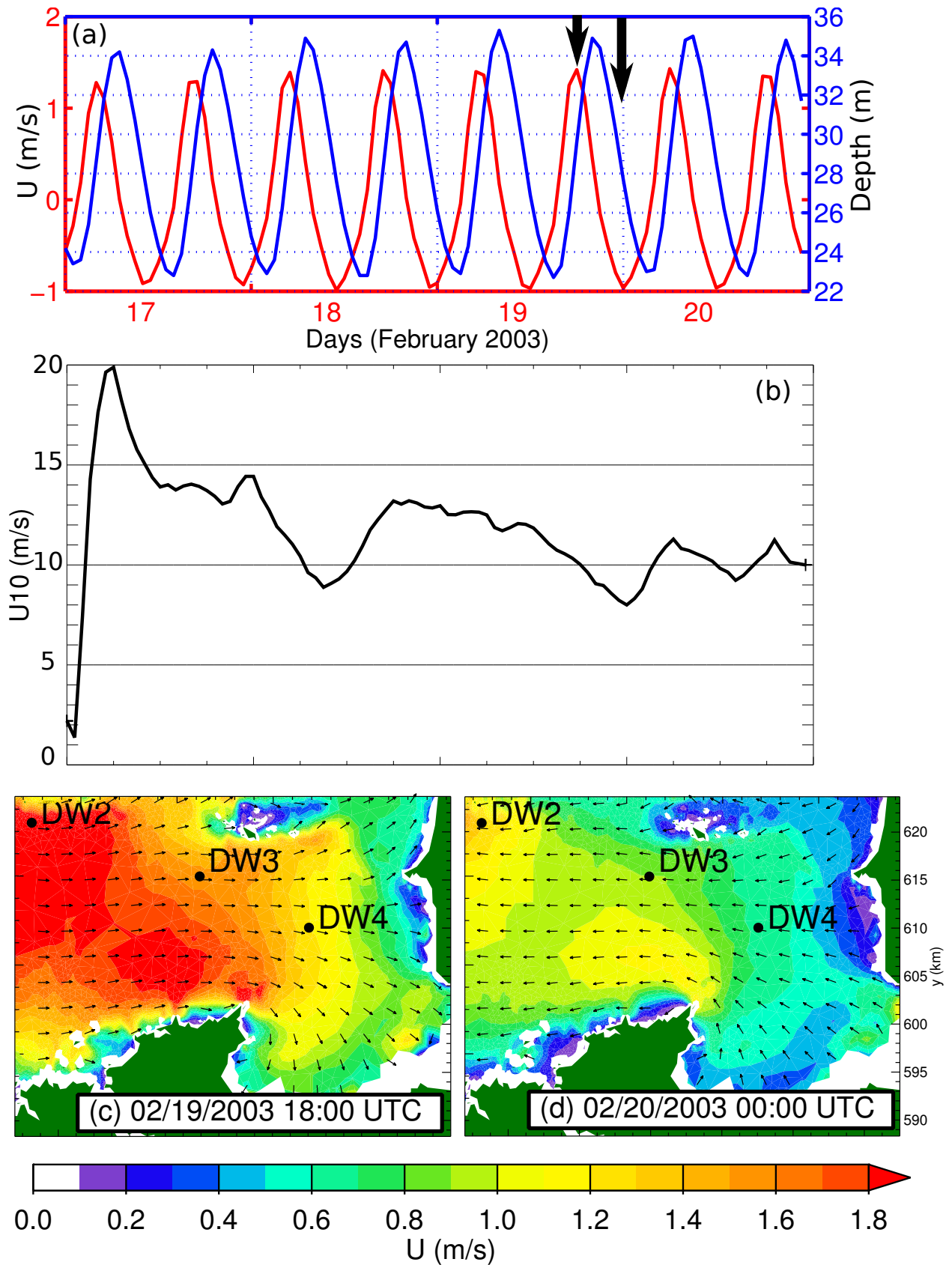


FIG. 12. Time series of (a) Eastward current and tidal elevation, and (b) wind speed at 10 m height at the location of buoy DW3, according to NCEP-CFSR (Saha et al. 2010). The two thick arrows in (a) indicate the flood and ebb peak at DW3, times for which the modeled current fields are shown in (c) and (d).

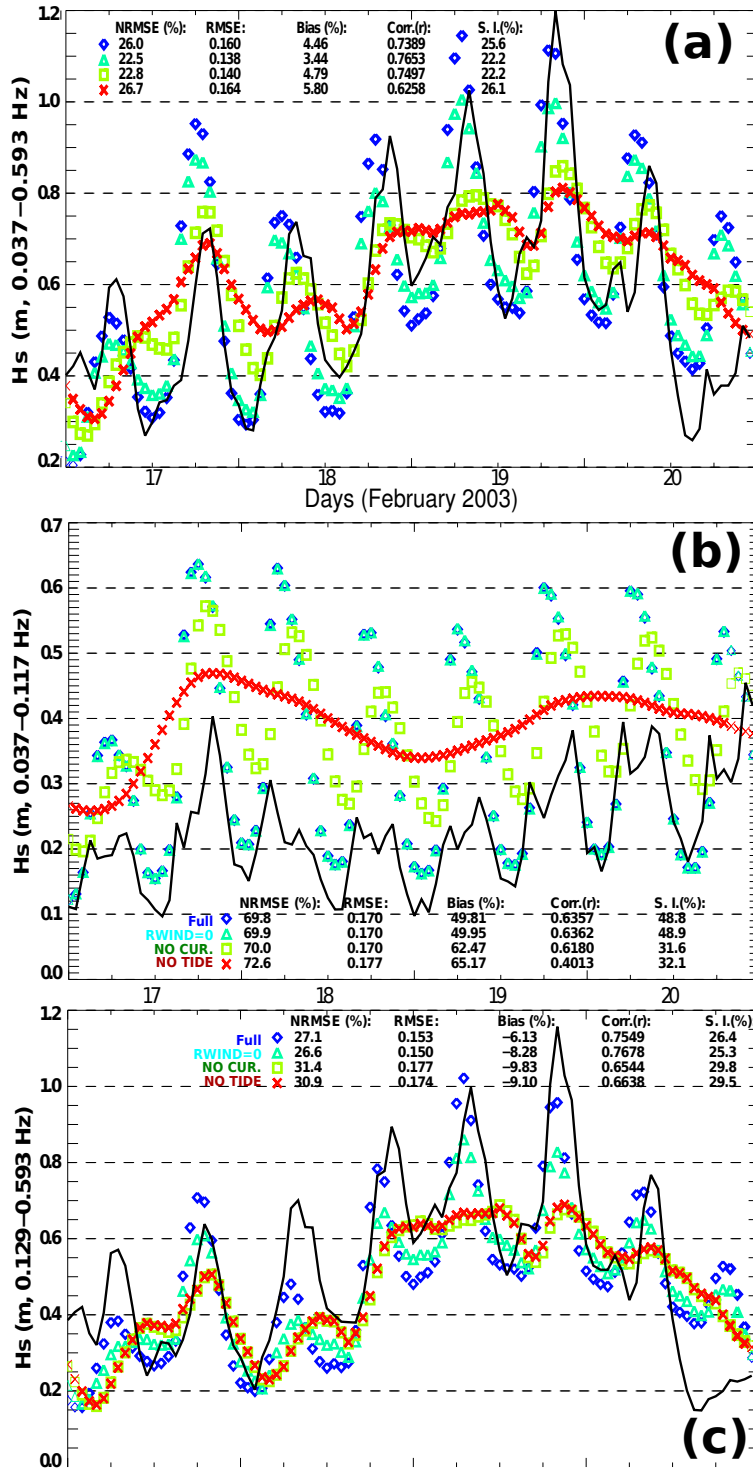


FIG. 13. (a) Significant wave height, (b) swell height and (c) wind-sea height over four days in March 2003 at the buoy DW3. Observations are represented with the solid black line, and the various symbols represent model results. the full solution include relative wind effects, currents, and water levels. The other runs de-activate these different options: “RWIND=0” has no relative wind, “NO CUR.” has no current and “NO TIDE” has no variable water level nor current.

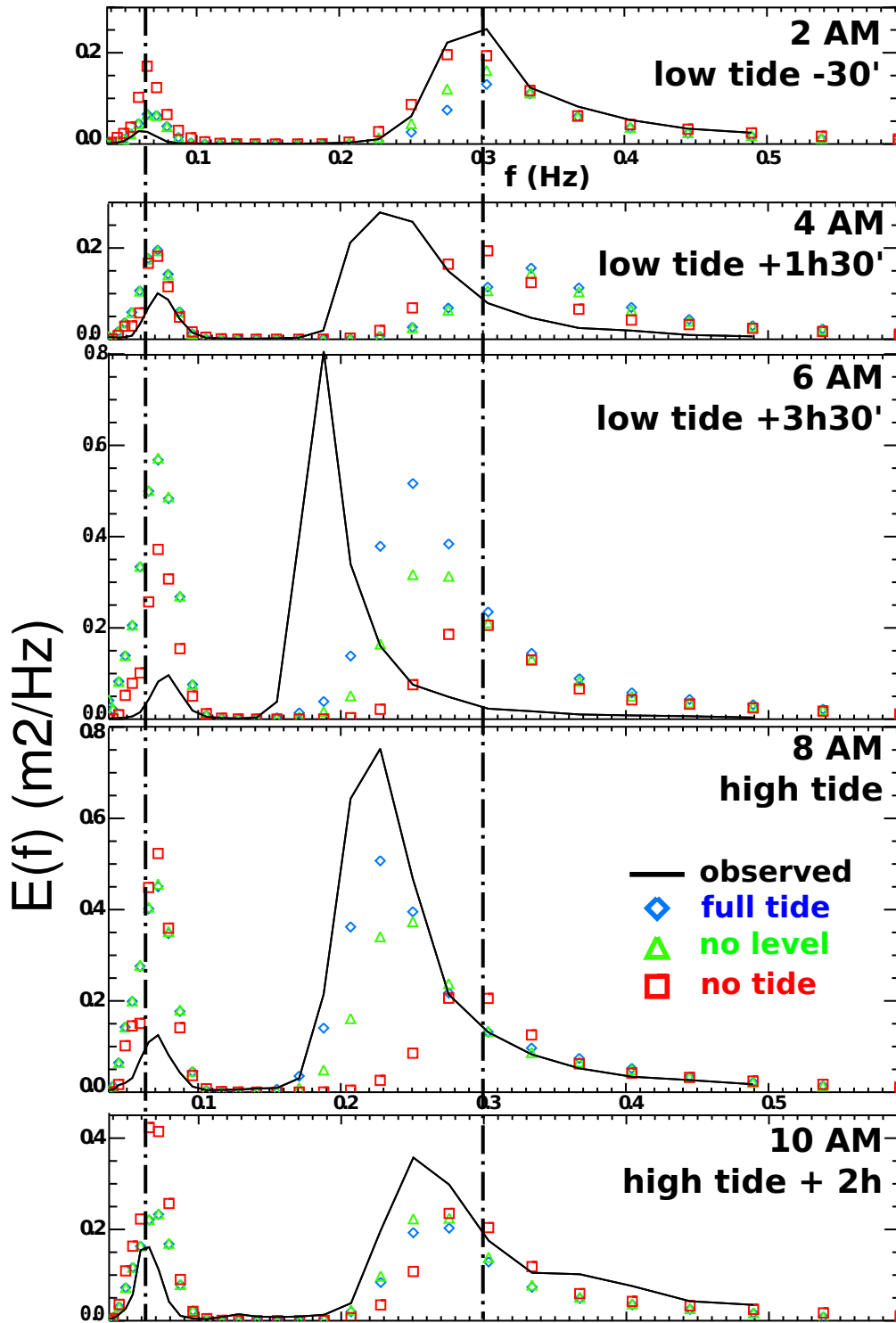


FIG. 14. Frequency spectra over one tidal cycle on the morning of 19 February 2003, at the location of buoy DW3.

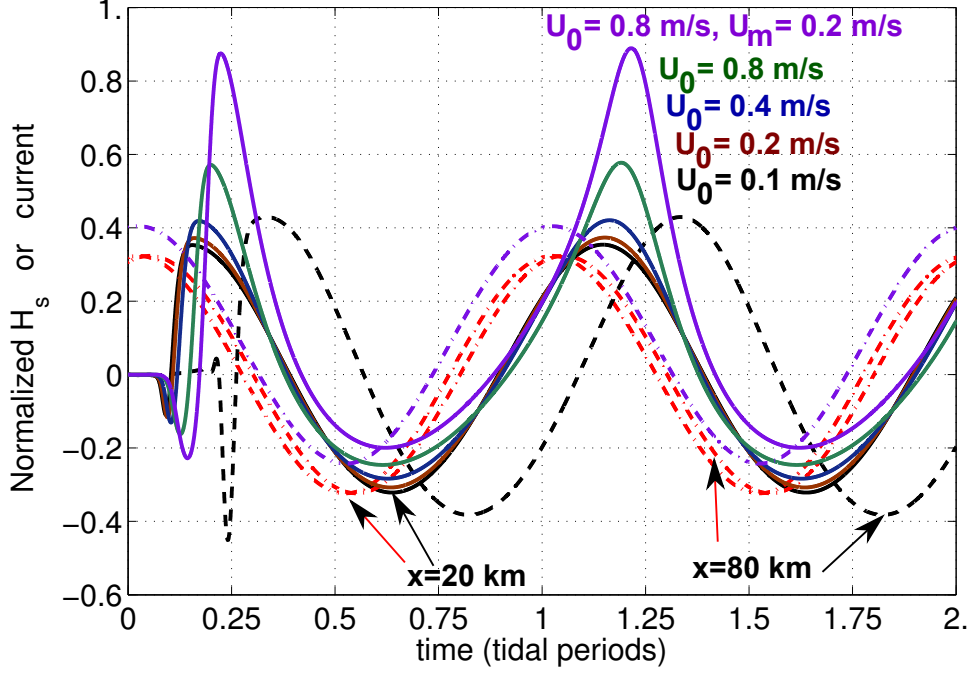


FIG. 15. Wave height modulations by an oscillating current obtained from a numerical solutions of eq. 13. The solid lines show different results for H_s at $x = 20$ km obtained with different current amplitudes U_0 and offset U_m , as defined in eq. (11). The plotted values of H_s are normalized as $(H_s - H_{s0})/(H_{s0}U_0)$, with U_0 in m/s. Namely, with our choice of $H_{s0} = 20$ cm, a current amplitude of $U_0 = 0.1$ m/s gives a modulation amplitude of 0.67 cm for H_s while $U_0 = 0.8$ m/s gives 6.5 cm. The dash-dotted lines show the current normalized as $U/(C_{g0}U_0)$, with U_0 in m/s. All curves are for $x = 20$ km except for the dashed curves which correspond to $x = 80$ km.

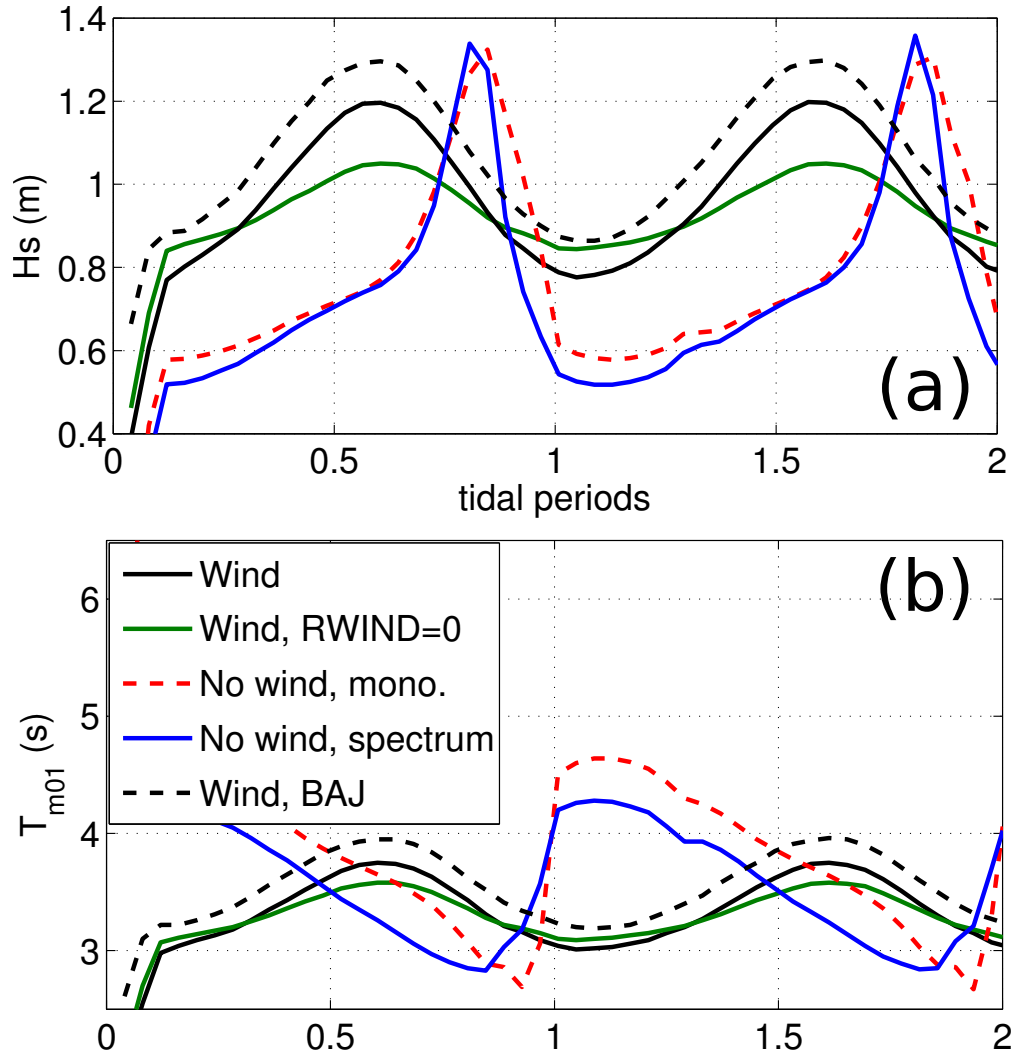


FIG. 16. (a) Wave height and (b) mean wave period modulations by an oscillating current, as computed by WWATCH at the centerline of a rectangular channel, 15 km in width, $x = 17$ km from the upwave boundary. All results are obtained with the same current oscillating sinusoidally from 1.5 (opposing) to -0.9 m/s along the mean wave direction. The wave field was either generated from rest by a 13 m/s wind, including the relative wind effect or not ($RWIND=0$), or propagated from the boundary ('no wind') using a monochromatic spectrum of frequency 0.25 Hz or a Gaussian spectrum of standard deviation 0.025 Hz with, in that case, a directional distribution proportional to $(\max\{\cos\theta, 0\})^2$. Because of stronger blocking in that case the wave height at the upstream boundary is take to be 1.75 times larger for the broad spectral case. Finally, the simulation with wind was also repeated using the parameterization BAJ (Bidlot et al. 2005) instead of TEST441 (Ardhuin et al. 2010).