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<b>Citation</b>	Placco, Vinicius M. et al. "OBSERVATIONAL CONSTRAINTS ON FIRST-STAR NUCLEOSYNTHESIS. II. SPECTROSCOPY OF AN ULTRA METAL-POOR CEMP-No STAR." The Astrophysical Journal 833.1 (2016): 21. © 2016 The American Astronomical Society.
<b>As Published</b>	<a href="http://dx.doi.org/10.3847/0004-637X/833/1/21">http://dx.doi.org/10.3847/0004-637X/833/1/21</a>
<b>Publisher</b>	IOP Publishing
<b>Version</b>	Final published version
<b>Citable link</b>	<a href="http://hdl.handle.net/1721.1/109583">http://hdl.handle.net/1721.1/109583</a>
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## OBSERVATIONAL CONSTRAINTS ON FIRST-STAR NUCLEOSYNTHESIS. II. SPECTROSCOPY OF AN ULTRA METAL-POOR CEMP-no STAR\*

VINICIUS M. PLACCO<sup>1,2</sup>, ANNA FREBEL<sup>2,3</sup>, TIMOTHY C. BEERS<sup>1,2</sup>, JINMI YOON<sup>1,2</sup>, ANIRUDH CHITI<sup>2,3</sup>, ALEXANDER HEGER<sup>4,5,6</sup>,  
CONRAD CHAN<sup>4</sup>, ANDREW R. CASEY<sup>7</sup>, AND NORBERT CHRISTLIEB<sup>8</sup>

<sup>1</sup>Department of Physics, University of Notre Dame, Notre Dame, IN 46556, USA

<sup>2</sup>JINA Center for the Evolution of the Elements, East Lansing, MI 48824, USA

<sup>3</sup>Department of Physics and Kavli Institute for Astrophysics and Space Research, Massachusetts Institute of Technology, Cambridge, MA 02139, USA

<sup>4</sup>Monash Centre for Astrophysics, School of Physics and Astronomy, 19 Rainforest Walk, Monash University, Vic 3800, Australia

<sup>5</sup>Shanghai Jiao-Tong University, CNA, Department of Physics and Astronomy, Shanghai 200240, P. R. China

<sup>6</sup>University of Minnesota, School of Physics and Astronomy, Minneapolis, MN 55455, USA

<sup>7</sup>Institute of Astronomy, University of Cambridge, Madingley Road, Cambridge CB3 0HA, UK

<sup>8</sup>Zentrum für Astronomie der Universität Heidelberg, Landessternwarte, Königstuhl 12, D-69117, Heidelberg, Germany

*Received 2016 August 3; revised 2016 September 7; accepted 2016 September 8; published 2016 December 5*

### ABSTRACT

We report on the first high-resolution spectroscopic analysis of HE 0020–1741, a bright ( $V = 12.9$ ), ultra metal-poor ( $[\text{Fe}/\text{H}] = -4.1$ ), carbon-enhanced ( $[\text{C}/\text{Fe}] = +1.7$ ) star selected from the Hamburg/ESO Survey. This star exhibits low abundances of neutron-capture elements ( $[\text{Ba}/\text{Fe}] = -1.1$ ) and an absolute carbon abundance  $A(\text{C}) = 6.1$ ; based on either criterion, HE 0020–1741 is subclassified as a carbon-enhanced metal-poor star without enhancements in neutron-capture elements (CEMP-no). We show that the light-element abundance pattern of HE 0020–1741 is consistent with predicted yields from a massive ( $M = 21.5 M_{\odot}$ ), primordial-composition, supernova (SN) progenitor. We also compare the abundance patterns of other ultra metal-poor stars from the literature with available measures of C, N, Na, Mg, and Fe abundances with an extensive grid of SN models (covering the mass range 10–100  $M_{\odot}$ ), in order to probe the nature of their likely stellar progenitors. Our results suggest that at least two classes of progenitors are required at  $[\text{Fe}/\text{H}] < -4.0$ , as the abundance patterns for more than half of the sample studied in this work (7 out of 12 stars) cannot be easily reproduced by the predicted yields.

*Key words:* Galaxy: halo – stars: abundances – stars: atmospheres – stars: individual (HE 0020–1741) – stars: Population II – techniques: spectroscopic

### 1. INTRODUCTION

Observational evidence has emerged over the past few decades indicating that carbon is ubiquitous in the early universe. The class of carbon-enhanced metal-poor (CEMP;  $[\text{C}/\text{Fe}] \geq +0.7$ ; e.g., Beers & Christlieb 2005; Aoki et al. 2007) stars is found with increasing fractions at lower metallicities and accounts for at least 80% of all ultra metal-poor (UMP;<sup>9</sup>  $[\text{Fe}/\text{H}] < -4.0$ ) stars observed to date (Lee et al. 2013; Placco et al. 2014c). In particular, the so-called CEMP-no stars (which exhibit subsolar abundances of neutron-capture elements; e.g.,  $[\text{Ba}/\text{Fe}] < 0.0$ ) are believed to be direct descendants from the very first stellar generations formed after the big bang (Ito et al. 2013; Spite et al. 2013; Placco et al. 2014a; Hansen et al. 2016). In addition, the discovery of high-redshift carbon-enhanced damped  $\text{Ly}\alpha$  systems (Cooke et al. 2011, 2012), which present qualitatively similar light-element (from C to Si) abundance patterns to those of the CEMP-no stars, provides additional evidence that carbon is an important contributor to the earliest chemical evolution.

One of the main open questions is whether the presence of carbon is required for the formation of low-mass second-generation stars (Frebel et al. 2007). This idea can be tested with low-mass long-lived UMP stars that are thought to have formed from an ISM polluted by the nucleosynthesis products

of massive metal-free Population III (Pop III) stars. These massive stars could have formed as early as several hundred million years after the big bang, at redshift  $z \approx 20$  (Alvarez et al. 2006). The recently discovered quasar ULAS J1120+0641 at redshift  $z = 7.085$  (Simcoe et al. 2012), with an overall metal abundance (defined as elements heavier than helium) of  $[Z/\text{H}] \leq -4.0$ , could be an example of a viable site for the formation of the first stars. The lack of heavy metals may prevent the formation of low-mass stars (due to inefficient cooling; Bromm et al. 2001), supporting the suggestion that the early-universe initial mass function was strongly biased toward high-mass stars. In the picture that has developed, it is these high-mass stars that would quickly evolve and enrich the primordial ISM with elements heavier than helium, including carbon (Meynet et al. 2006).

Even though the relevance of CEMP-no stars as probes of the first stellar generations in the universe is well established, the exact conditions that led to their formation remain an active area of inquiry. There have been a number of advances in the theoretical description of the likely stellar progenitors of CEMP-no stars over the past decade (see Nomoto et al. 2013, for a recent review on the subject). The suggested scenarios include the “spinstar” model (rapidly rotating, near-zero-metallicity, massive stars; Meynet et al. 2010; Chiappini 2013), the “faint supernovae (SNe)” that undergo mixing and fallback (Umeda & Nomoto 2005; Nomoto et al. 2006; Tominaga et al. 2014), and the metal-free massive stars from Heger & Woosley (2010).

In the spinstar model, it is assumed that the chemical composition of the observed UMP stars is a combination of the

\* Based on observations gathered with the 6.5 m Magellan Telescopes located at Las Campanas Observatory, Chile, and the New Technology Telescope (NTT) of the European Southern Observatory (088.D-0344A), La Silla, Chile.

<sup>9</sup>  $[A/B] = \log(N_X/N_Y)_* - \log(N_X/N_Y)_{\odot}$ , where  $N$  is the number density of atoms of elements  $X$  and  $Y$  in the star (\*) and the Sun ( $\odot$ ), respectively.

evolution of the massive star itself mixed with some amount of interstellar material (Meynet et al. 2006, 2010). It follows that the source of heavy metals in the UMP stars could arise from a different set of progenitors. For the faint SNe and metal-free massive stars, the initial chemical abundances of the progenitor mimic the primordial big bang nucleosynthesis composition: 76% hydrogen, about 24% helium, and a trace amount of lithium. In both cases, the observed chemical elements in UMP stars were formed during the progenitor stellar evolution, by internal burning and/or explosive events, and their abundance is the result of mixing between the SN ejecta and surrounding primordial gas. These two models differ in terms of the treatment of the mixing and fallback of processed materials in the progenitor, which varies with mass and explosion energy (see Tominaga et al. 2007, for details). The Heger & Woosley (2010) models compute explosion energy and fallback self-consistently based on a hydrodynamic model, considering that the SN explosion is spherical.

All of the aforementioned models are able to reproduce a subset (but not all) of the observed elemental abundance patterns of UMP stars reasonably well. Nevertheless, the question whether one or more classes of progenitors were present (and their relative frequencies) in the primordial universe is still under discussion. In Paper I of this series, Yoon et al. (2016) present evidence based on the morphology of the relationship between the absolute abundance of carbon,  $A(C) = \log \epsilon(C)$ , and  $[Fe/H]$ , coupled with clear differences in the absolute abundances of the light elements Na and Mg among CEMP-no stars, that at least two classes of progenitors are likely to be required. It appears that one class (spinstars) dominates at the very lowest metallicities,  $[Fe/H] < -4.5$ , whereas the other class (faint SNe) dominates over the range  $-4.5 \leq [Fe/H] \leq -2.5$ . In the metallicity range  $-5.0 \leq [Fe/H] \leq -4.0$ , there are examples of stars that are associated with either.

For the above reasons, we suggest that the very best stars to place constraints on the nature of the CEMP-no progenitors are the UMP stars with metallicities between  $-5.0$  and  $-4.0$ . Unfortunately, such stars are still exceedingly rare (Yong et al. 2013b). Even though their numbers have increased considerably over the past decade (21 stars according to Placco et al. 2015b), many more are needed in order to fully understand the nature of their stellar progenitors and the associated nucleosynthesis processes.

In this paper, we report on a high-resolution spectroscopic abundance analysis of HE 0020–1741, a relatively bright ( $V = 12.89$ ) CEMP-no ( $[Fe/H] = -4.05$ ,  $[C/Fe] = +1.74$ , and  $[Ba/Fe] = -1.11$ ) star, which was first identified as the metal-poor candidate CS 30324–0063 in the HK Survey (Beers et al. 1985, 1992) and later reidentified in the Hamburg/ESO Survey (HES; Christlieb et al. 2008) and the Radial Velocity Experiment (RAVE; Fulbright et al. 2010). HE 0020–1741 was also studied by Hansen et al. (2016), focusing on long-term radial velocity monitoring of CEMP-no stars. We use the newly derived abundance pattern of HE 0020–1741, along with literature data for 11 other UMP and hyper metal-poor (HMP;  $[Fe/H] < -5.0$ ) stars, to assess evidence in support of the conclusion in Paper I that CEMP-no stars require more than one class of stellar progenitors.

This paper is outlined as follows: Section 2 describes the medium-resolution spectroscopic target selection and high-resolution follow-up observations, followed by the

determinations of the stellar parameters and chemical abundances in Section 3. Section 4 details our analysis of UMP and HMP stars from the literature with a grid of SN yields and evaluates the impact of these data on current hypotheses for chemical evolution in the early universe. Our conclusions are provided in Section 5.

## 2. OBSERVATIONS

The star HE 0020–1741 was selected as a metal-poor candidate by Christlieb et al. (2008), based on its weak Ca II K feature (3933 Å, used as the primary metallicity indicator) in the HES objective-prism spectrum. This star was also selected by Placco et al. (2010), based on its strong CH G band (4300 Å, the primary carbon-abundance indicator). Figure 1 is a comparison between the low-resolution HES objective-prism spectrum ( $R \sim 500$ ; upper panels), the medium-resolution ( $R \sim 2000$ ; middle panels) spectrum, and the high-resolution ( $R \sim 35,000$ ; lower panels) spectrum of HE 0020–1741. The left panels show a zoom-in of the region surrounding the Ca II K line, and the right panels show a zoom-in of the region near the CH G band. Note the lack of measurable metallic features (other than Ca) in the HES spectra, which is an indication of the low metallicity of the target. The Balmer lines of hydrogen are also quite weak, suggesting that the target has a cool effective temperature. However, as can be seen from inspection of the medium- and high-resolution spectra, the Ca II lines, as well as hydrogen lines from the Balmer series, are clearly identifiable, as labeled in Figure 1. In addition, the lower panels show a number of CH features in both regions, which are used below to determine the carbon abundance from the high-resolution spectrum.

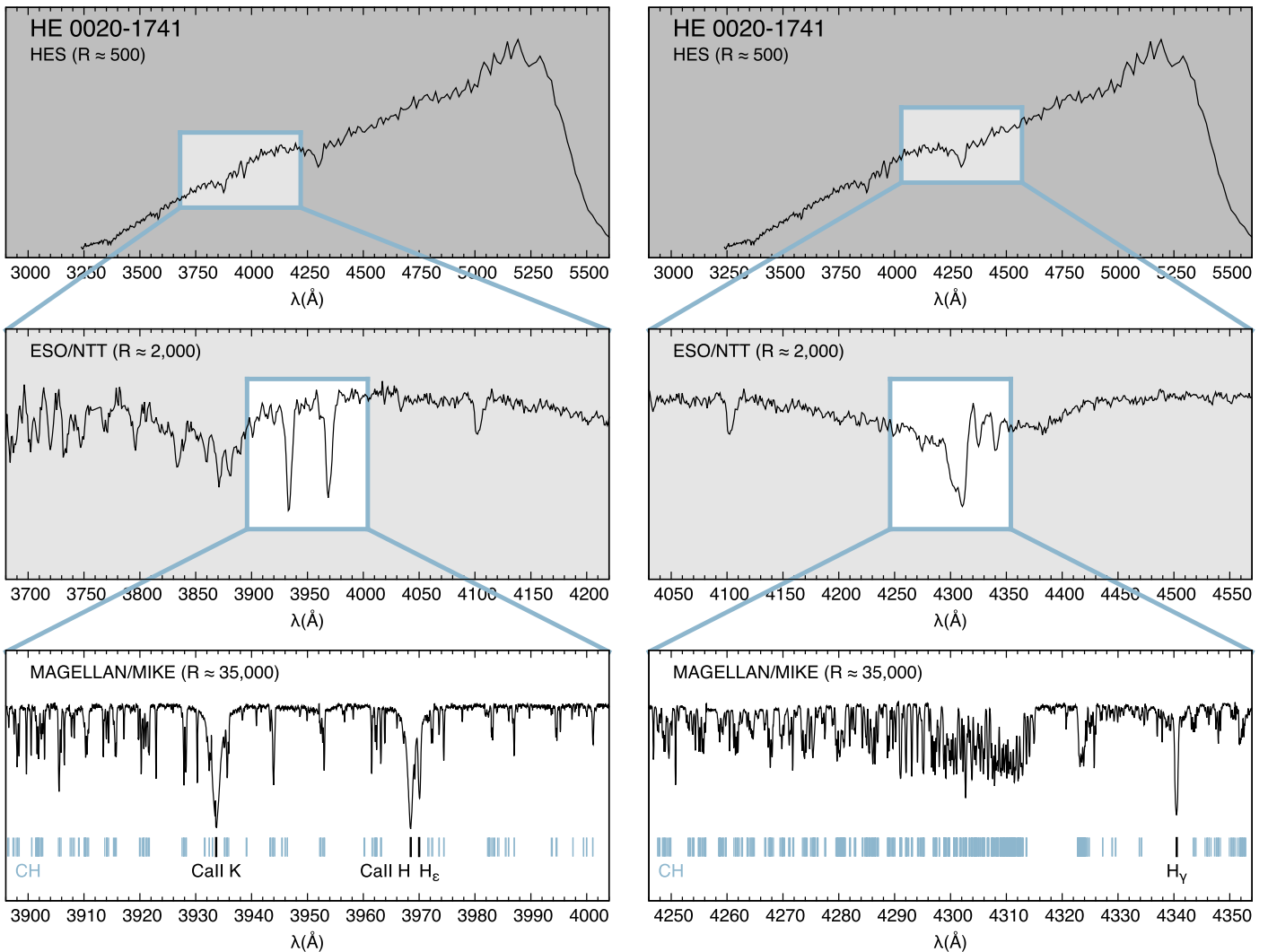
### 2.1. Medium-resolution Spectroscopy

The medium-resolution spectrum of HE 0020–1741 was obtained as part of an effort to follow up CEMP candidates from the HES, as described in Placco et al. (2010, 2011). The observations were carried out in semester 2011B using the EFOSC-2 spectrograph (Buzzoni et al. 1984) mounted on the 3.5 m ESO New Technology Telescope. The setup made use of Grism7 ( $600 \text{ gr mm}^{-1}$ ) and a  $1''0$  slit with  $1 \times 1$  on-chip binning, resulting in a wavelength coverage of 3550–5500 Å, resolving power of  $R \sim 2000$ , dispersion of  $0.96 \text{ Å pixel}^{-1}$  (with  $\sim 2$  pixels per resolution element), and signal-to-noise ratios  $S/N \sim 60 \text{ pixel}^{-1}$  at 4300 Å. The calibration frames included FeAr exposures (taken following the science observation), quartz-lamp flat fields, and bias frames. All reduction tasks were performed using standard IRAF<sup>10</sup> packages. Table 1 lists basic information on HE 0020–1741 and details of the spectroscopic observations at medium and high resolution.

### 2.2. High-resolution Spectroscopy

A high-resolution spectrum was obtained during the 2015A semester using the Magellan Inamori Kyocera Echelle (MIKE; Bernstein et al. 2003) spectrograph mounted on the 6.5 m Magellan-Clay Telescope at Las Campanas Observatory. The observing setup included a  $0''7$  slit with  $2 \times 2$  on-chip binning, yielding a resolving power of  $R \sim 35,000$  (blue spectral range) and  $R \sim 28,000$  (red spectral range), with  $\sim 3$  pixels per resolution element. The  $S/N$  at 4300 Å is  $\sim 100 \text{ pixel}^{-1}$ . MIKE

<sup>10</sup> <http://iraf.noao.edu>



**Figure 1.** Spectra of HE 0020–1741 with three different resolving powers. Upper panels: low-resolution ( $R \sim 500$ ) HES objective-prism spectrum. Middle panels: medium-resolution ( $R \sim 2000$ ) ESO/NTT spectrum. Lower panels: high-resolution ( $R \sim 35,000$ ) Magellan/MIKE spectrum. The left panels show a zoom-in of the region including the Ca II H and K lines, and the right panels show a zoom-in of the region surrounding the CH G band. Interesting strong features are identified in the lower panels; the blue lines correspond to individual lines associated with the CH molecule.

spectra have nearly full optical wavelength coverage ( $\sim 3500\text{--}8500$  Å). The data were reduced using the data reduction pipeline developed for MIKE spectra, first described by Kelson (2003).<sup>11</sup>

### 3. ANALYSIS

#### 3.1. Stellar Parameters

The stellar atmospheric parameters were first obtained from the medium-resolution ESO/NTT spectrum using the n-SSPP (Beers et al. 2014), a modified version of the SEGUE Stellar Parameter Pipeline (SSPP; Allende Prieto et al. 2008; Lee et al. 2008a, 2008b, 2011, 2013; Smolinski et al. 2011). The values for  $T_{\text{eff}}$ ,  $\log g$ , and  $[\text{Fe}/\text{H}]$  determined from this analysis were used as first estimates for the high-resolution analysis. Using the high-resolution MIKE spectrum, we determined the stellar parameters spectroscopically, using software developed by Casey (2014). Equivalent width measurements were obtained by fitting Gaussian profiles to the observed absorption

lines. Table 2 lists the lines used in this work, their measured equivalent widths, and the derived abundance from each line. We employed one-dimensional plane-parallel model atmospheres with no overshooting (Castelli & Kurucz 2004), computed under the assumption of local thermodynamic equilibrium (LTE).

The effective temperature of HE 0020–1741 was determined by minimizing trends between the abundances of 77 Fe I lines and their excitation potentials and applying the temperature corrections suggested by Frebel et al. (2013). The micro-turbulent velocity was determined by minimizing the trend between the abundances of Fe I lines and their reduced equivalent widths. The surface gravity was determined from the balance of the two ionization stages of iron, Fe I and Fe II. HE 0020–1741 also had its stellar atmospheric parameters determined from the moderate-resolution ( $R \sim 8000$ ) RAVE spectrum by Kordopatis et al. (2013). These values, together with our determinations from the medium- and high-resolution spectra, are listed in Table 3.

There is very good agreement between the temperatures derived from the medium- and high-resolution spectra used in

<sup>11</sup> <http://code.obs.carnegiescience.edu/python>

**Table 1**  
Observational Data

Identifiers	
HK Survey	BPS CS 30324-0063
Hamburg/ESO Survey	HE 0020-1741
2MASS	2MASS J00224486-1724290
RAVE	RAVE J002244.9-172429
Coordinates and Photometry	
$\alpha$ (J2000)	00:22:44.86
$\delta$ (J2000)	-17:24:29.07
$V$ (mag)	12.89
$B - V$	0.94
RAVE	
$R$	~8000
$v_r$ (km s <sup>-1</sup> )	90.49
ESO/NTT	
Date	2011 Oct 16
UT	03:40:27
Exptime (s)	120
$R$	~2000
Magellan/MIKE	
Date	2015 Jun 17
UT	08:26:20
Exptime (s)	1800
$R$	~35,000
$v_r$ (km s <sup>-1</sup> )	94.91

this work; the RAVE value is about  $\sim 200$  K warmer. The surface gravities are all within  $2\sigma$ , and the ESO/NTT and RAVE values agree with each other exactly. This is expected, since both of these estimates come from isochrone matching, while the high-resolution  $\log g$  was determined from the balance of Fe I and Fe II lines. For [Fe/H], the RAVE value is 0.8 dex higher than the other two results. According to the RAVE Data Release 4 (Kordopatis et al. 2013), the spectrum for HE 0020-1741 has an S/N = 40, which is below the S/N = 50 limit set by Kordopatis et al. (2011) for reliable parameter estimates for halo giants, and their pipeline analysis converged without warnings for this star. Since the difference in temperature cannot alone account for such a large discrepancy in [Fe/H], this most likely arises from a combination of the lower S/N and the methods used to calibrate the RAVE metallicity scale for giants, which have a 0.40 dex dispersion in their residuals.

### 3.2. Chemical Abundances and Upper Limits

Elemental abundance ratios, [X/Fe], are calculated adopting solar photospheric abundances from Asplund et al. (2009). The average measurements (or upper limits) for 18 elements, derived from the Magellan/MIKE spectrum, are listed in Table 4. The  $\sigma$  values are the standard error of the mean. Abundances were calculated by both equivalent width analysis and spectral synthesis. The 2014 version of the MOOG code (Sneden 1973), which includes a more realistic treatment of scattering (see Sobeck et al. 2011, for further details), is used for the spectral synthesis.

**Table 2**  
Equivalent Width Measurements

Ion	$\lambda$ (Å)	$\chi$ (eV)	$\log gf$	$W$ (mÅ)	$\log \epsilon$ (X)
C CH	4246.000	...	...	syn	5.83
C CH	4313.000	...	...	syn	5.73
N CN <sup>a</sup>	3883.000	...	...	syn	6.13
Na I	5889.950	0.00	0.108	97.06	2.77
Na I	5895.924	0.00	-0.194	83.35	2.79
Mg I	3829.355	2.71	-0.208	syn	4.60
Mg I	3832.304	2.71	0.270	syn	4.60
Mg I	3838.292	2.72	0.490	syn	4.60
Mg I	4571.096	0.00	-5.688	syn	4.90
Mg I	4702.990	4.33	-0.380	syn	4.90
Mg I	5172.684	2.71	-0.450	syn	4.25
Mg I	5183.604	2.72	-0.239	syn	4.25
Mg I	5528.405	4.34	-0.498	syn	4.85
Al I	3961.520	0.01	-0.340	syn	2.05
Si I	4102.936	1.91	-3.140	syn	4.36
Ca I	4454.780	1.90	0.260	syn	2.64
Ca I	5588.760	2.52	0.210	4.87	2.71
Ca I	6122.220	1.89	-0.315	8.78	2.75
Ca I	6162.170	1.90	-0.089	11.92	2.68
Sc II	4246.820	0.32	0.240	57.45	-0.75
Sc II	4400.389	0.61	-0.540	13.70	-0.69
Sc II	4415.544	0.59	-0.670	10.63	-0.72
Ti I	3989.760	0.02	-0.062	10.92	1.14
Ti I	4533.249	0.85	0.532	6.36	1.19
Ti I	4981.730	0.84	0.560	7.55	1.17
Ti I	4991.070	0.84	0.436	6.31	1.20
Ti II	3759.291	0.61	0.280	85.34	1.10
Ti II	3761.320	0.57	0.180	84.26	1.12
Ti II	4012.396	0.57	-1.750	26.82	1.30
Ti II	4417.714	1.17	-1.190	23.80	1.31
Ti II	4443.801	1.08	-0.720	39.21	1.07
Ti II	4450.482	1.08	-1.520	17.08	1.34
Ti II	4468.517	1.13	-0.600	42.03	1.07
Ti II	4501.270	1.12	-0.770	37.73	1.13
Ti II	4533.960	1.24	-0.530	42.09	1.12
Ti II	4563.770	1.22	-0.960	29.43	1.25
Ti II	4571.971	1.57	-0.320	38.54	1.23
Cr I	4254.332	0.00	-0.114	57.03	1.50
Cr I	4274.800	0.00	-0.220	52.38	1.48
Cr I	4289.720	0.00	-0.370	49.12	1.56
Cr I	5206.040	0.94	0.020	19.37	1.48
Cr I	5208.419	0.94	0.160	26.73	1.52
Mn I	4041.380	2.11	-0.350	syn	1.43
Mn I	4754.021	2.28	-0.647	syn	1.43
Mn I	4783.424	2.30	-0.736	syn	1.43
Mn I	4823.514	2.32	-0.466	syn	1.43
Fe I	3727.619	0.96	-0.609	70.55	3.28
Fe I	3743.362	0.99	-0.790	65.97	3.32
Fe I	3753.611	2.18	-0.890	16.00	3.41
Fe I	3758.233	0.96	-0.005	97.02	3.50
Fe I	3763.789	0.99	-0.221	80.31	3.23
Fe I	3765.539	3.24	0.482	13.97	3.20
Fe I	3767.192	1.01	-0.390	78.19	3.35
Fe I	3786.677	1.01	-2.185	15.44	3.30
Fe I	3820.425	0.86	0.157	105.31	3.33
Fe I	3824.444	0.00	-1.360	82.76	3.28
Fe I	3886.282	0.05	-1.080	96.13	3.48
Fe I	3887.048	0.91	-1.140	57.68	3.22
Fe I	3899.707	0.09	-1.515	80.32	3.39
Fe I	3902.946	1.56	-0.442	57.99	3.30
Fe I	3917.181	0.99	-2.155	25.67	3.51
Fe I	3920.258	0.12	-1.734	72.13	3.33
Fe I	3922.912	0.05	-1.626	83.66	3.57

**Table 2**  
(Continued)

Ion	$\lambda$ (Å)	$\chi$ (eV)	$\log gf$	$W$ (mÅ)	$\log \epsilon$ (X)
Fe I	3940.878	0.96	-2.600	9.80	3.39
Fe I	3949.953	2.18	-1.251	9.97	3.49
Fe I	3977.741	2.20	-1.120	14.87	3.58
Fe I	4005.242	1.56	-0.583	57.44	3.38
Fe I	4045.812	1.49	0.284	91.47	3.49
Fe I	4063.594	1.56	0.062	80.91	3.45
Fe I	4071.738	1.61	-0.008	71.90	3.28
Fe I	4132.058	1.61	-0.675	56.36	3.47
Fe I	4143.414	3.05	-0.200	8.23	3.32
Fe I	4143.868	1.56	-0.511	64.30	3.46
Fe I	4147.669	1.48	-2.071	12.23	3.55
Fe I	4181.755	2.83	-0.371	14.24	3.51
Fe I	4187.039	2.45	-0.514	20.15	3.40
Fe I	4187.795	2.42	-0.510	25.89	3.51
Fe I	4191.430	2.47	-0.666	13.35	3.35
Fe I	4202.029	1.49	-0.689	60.77	3.43
Fe I	4216.184	0.00	-3.357	31.11	3.60
Fe I	4227.427	3.33	0.266	11.13	3.31
Fe I	4233.603	2.48	-0.579	18.59	3.44
Fe I	4250.787	1.56	-0.713	65.76	3.67
Fe I	4260.474	2.40	0.077	42.71	3.27
Fe I	4337.046	1.56	-1.695	20.27	3.51
Fe I	4375.930	0.00	-3.005	36.62	3.33
Fe I	4383.545	1.48	0.200	93.96	3.46
Fe I	4404.750	1.56	-0.147	84.25	3.60
Fe I	4415.122	1.61	-0.621	62.06	3.48
Fe I	4427.310	0.05	-2.924	50.84	3.61
Fe I	4447.717	2.22	-1.339	10.82	3.58
Fe I	4459.118	2.18	-1.279	14.36	3.62
Fe I	4461.653	0.09	-3.194	31.59	3.50
Fe I	4494.563	2.20	-1.143	14.62	3.51
Fe I	4528.614	2.18	-0.822	23.98	3.44
Fe I	4531.148	1.48	-2.101	14.00	3.59
Fe I	4602.941	1.49	-2.208	13.52	3.68
Fe I	4871.318	2.87	-0.362	13.81	3.44
Fe I	4872.137	2.88	-0.567	8.68	3.43
Fe I	4890.755	2.88	-0.394	11.25	3.37
Fe I	4891.492	2.85	-0.111	19.51	3.35
Fe I	4918.994	2.85	-0.342	13.04	3.36
Fe I	4920.503	2.83	0.068	24.34	3.28
Fe I	5012.068	0.86	-2.642	22.92	3.60
Fe I	5041.756	1.49	-2.200	9.41	3.44
Fe I	5051.634	0.92	-2.764	16.69	3.61
Fe I	5083.339	0.96	-2.842	12.69	3.59
Fe I	5142.929	0.96	-3.080	9.26	3.67
Fe I	5171.596	1.49	-1.721	24.59	3.46
Fe I	5194.942	1.56	-2.021	14.64	3.55
Fe I	5216.274	1.61	-2.082	10.02	3.48
Fe I	5232.940	2.94	-0.057	17.98	3.33
Fe I	5269.537	0.86	-1.333	80.63	3.60
Fe I	5328.039	0.92	-1.466	74.91	3.64
Fe I	5328.531	1.56	-1.850	21.81	3.59
Fe I	5371.489	0.96	-1.644	65.45	3.61
Fe I	5397.128	0.92	-1.982	44.81	3.44
Fe I	5405.775	0.99	-1.852	45.96	3.42
Fe I	5429.696	0.96	-1.881	45.71	3.40
Fe I	5434.524	1.01	-2.126	37.72	3.56
Fe I	5446.917	0.99	-1.910	45.31	3.46
Fe I	5455.609	1.01	-2.090	38.32	3.53
Fe I	5615.644	3.33	0.050	10.91	3.39
Fe II	4583.840	2.81	-1.930	15.01	3.63
Fe II	4923.930	2.89	-1.320	25.42	3.38
Fe II	5018.450	2.89	-1.220	28.24	3.34

**Table 2**  
(Continued)

Ion	$\lambda$ (Å)	$\chi$ (eV)	$\log gf$	$W$ (mÅ)	$\log \epsilon$ (X)
Co I	3845.468	0.92	0.010	33.28	1.33
Co I	3995.306	0.92	-0.220	21.90	1.24
Co I	4118.767	1.05	-0.490	12.50	1.33
Co I	4121.318	0.92	-0.320	21.33	1.29
Ni I	3500.850	0.17	-1.294	38.99	1.92
Ni I	3519.770	0.28	-1.422	32.90	2.00
Ni I	3566.370	0.42	-0.251	61.60	1.90
Ni I	3597.710	0.21	-1.115	44.05	1.88
Ni I	3783.520	0.42	-1.420	32.18	2.01
Ni I	3807.140	0.42	-1.220	36.58	1.91
Ni I	3858.301	0.42	-0.951	51.19	1.99
Sr II	4077.714	0.00	0.150	syn	-1.93
Sr II	4215.524	0.00	-0.180	syn	-1.88
Ba II	4554.033	0.00	0.163	syn	-2.97
Ba II	4934.086	0.00	-0.160	syn	-2.97
Eu II	4129.720	0.00	0.220	syn	<-3.28
Pb I	4057.810	1.32	-0.170	syn	<-0.25

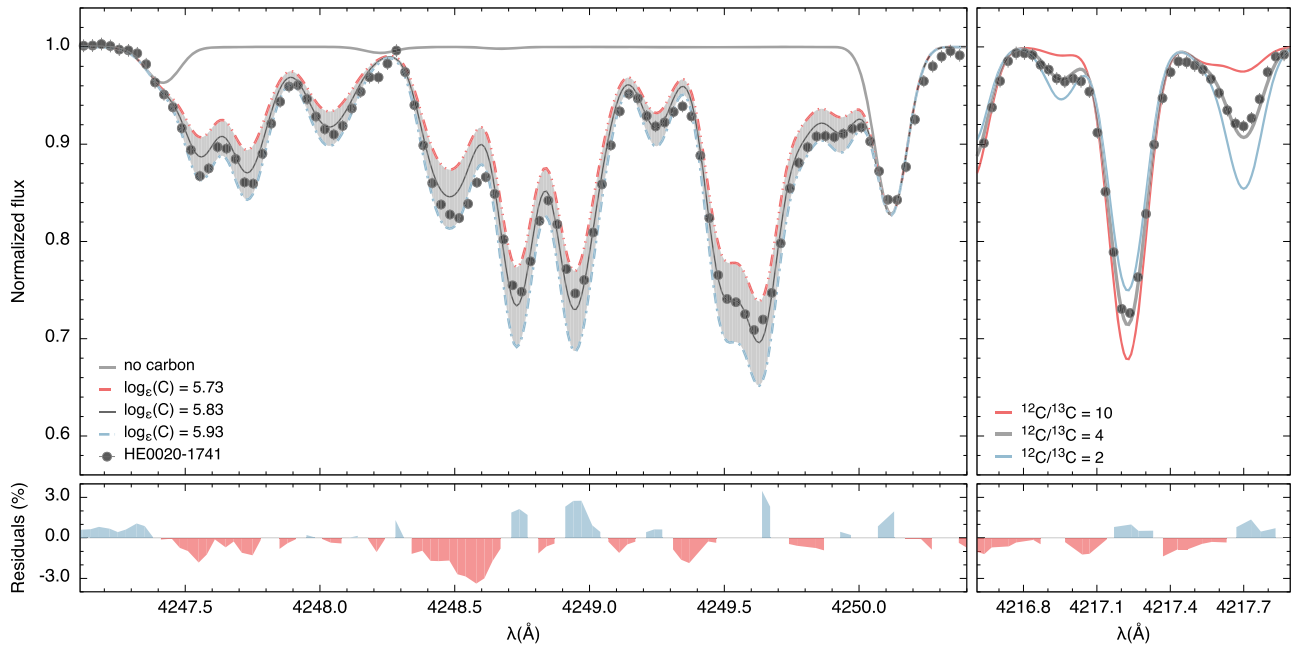
**Note.**<sup>a</sup> Using  $\log \epsilon$  (C) = 5.78.**Table 3**  
Derived Stellar Parameters for HE 0020-1741

Instrument	$T_{\text{eff}}$ (K)	$\log g$ (cgs)	[Fe/H]	$\xi$ (km s <sup>-1</sup> )
RAVE	4974 (101)	0.95 (0.35)	-3.20 (0.10)	...
ESO/NTT	4792 (150)	0.98 (0.35)	-4.06 (0.20)	...
Magellan	4765 (100)	1.55 (0.20)	-4.05 (0.05)	1.50 (0.20)

**Table 4**  
Abundances for Individual Species

Species	$\log \epsilon_{\odot}$ (X)	$\log \epsilon$ (X)	[X/H]	[X/Fe]	$\sigma$	$N$
C (CH)	+8.43	+5.78	-2.65	+1.40	0.15	2
C (CH)	+8.43	+6.12	-2.31	+1.74 <sup>a</sup>	0.15	2
N (CN)	+7.83	+6.13	-1.70	+2.35	0.20	1
Na I	+6.24	+2.78	-3.46	+0.59	0.05	2
Mg I	+7.60	+4.58	-3.02	+1.03	0.10	8
Al I	+6.45	+2.05	-4.40	-0.26	0.10	1
Si I	+7.51	+4.36	-3.19	+0.90	0.10	1
Ca I	+6.34	+2.70	-3.64	+0.40	0.05	4
Sc II	+3.15	-0.72	-3.87	+0.18	0.05	3
Ti I	+4.95	+1.17	-3.78	+0.27	0.05	4
Ti II	+4.95	+1.19	-3.76	+0.28	0.10	11
Cr I	+5.64	+1.51	-4.13	-0.09	0.05	5
Mn I	+5.43	+1.43	-4.00	+0.05	0.05	4
Fe I	+7.50	+3.45	-4.05	-0.00	0.05	77
Fe II	+7.50	+3.45	-4.05	-0.00	0.08	3
Co I	+4.99	+1.29	-3.70	+0.35	0.05	4
Ni I	+6.22	+1.94	-4.28	-0.23	0.05	7
Sr II	+2.87	-1.91	-4.78	-0.73	0.07	2
Ba II	+2.18	-2.97	-5.15	-1.11	0.05	2
Eu II	+0.52	<-3.28	<-3.80	<+0.25	...	1
Pb I	+1.75	<-0.25	<-2.00	<+2.05	...	1

**Note.**<sup>a</sup> [C/Fe] = +1.74 using corrections of Placco et al. (2014c).



**Figure 2.** Left panel: spectral synthesis of the CH G band for HE 0020–1741. The filled circles represent the observed high-resolution spectrum, the solid line is the best abundance fit, and the dotted and dashed lines are the lower and upper abundances, respectively, used to estimate the abundance uncertainty. The gray shaded area encompasses a 0.2 dex difference in  $\log \epsilon(\text{C})$ . The light-gray line shows the synthesized spectrum in the absence of carbon. Right panel: determination of the carbon isotopic ratio,  $^{12}\text{C}/^{13}\text{C}$ . The filled circles represent the observed spectrum, the solid gray line is the best fit, and the colored lines are the lower and upper abundances, used to estimate the uncertainty. The lower panels show the residuals (in %) between the observed data and the best abundance fit.

**Table 5**

Example Systematic Abundance Uncertainties for HE 0020–1741

Elem	$\Delta T_{\text{eff}}$ +150 K	$\Delta \log g$ +0.3 dex	$\Delta \xi$ +0.3 km s <sup>-1</sup>	$\sigma/\sqrt{n}$	$\sigma_{\text{tot}}$
Na I	0.14	-0.04	-0.11	0.07	0.20
Mg I	0.13	-0.12	-0.07	0.04	0.19
Al I	0.11	-0.07	-0.11	0.10	0.20
Si I	0.14	-0.02	-0.01	0.10	0.17
Ca I	0.10	-0.02	-0.01	0.05	0.11
Sc II	0.09	0.07	-0.04	0.06	0.13
Ti I	0.17	-0.03	-0.01	0.05	0.18
Ti II	0.06	0.06	-0.08	0.03	0.12
Cr I	0.17	-0.04	-0.06	0.04	0.19
Mn I	0.19	-0.05	-0.07	0.07	0.22
Fe I	0.16	-0.05	-0.09	0.01	0.19
Fe II	0.01	0.09	-0.02	0.08	0.12
Co I	0.18	-0.03	-0.02	0.05	0.19
Ni I	0.17	-0.07	-0.09	0.04	0.21
Sr II	0.09	0.06	-0.10	0.07	0.16
Ba II	0.12	0.08	-0.01	0.07	0.16

### 3.2.1. Carbon and Nitrogen

Carbon abundance was derived from CH molecular features at 4246 Å ( $\log \epsilon(\text{C}) = 5.83$ ) and 4313 Å ( $\log \epsilon(\text{C}) = 5.73$ ), with an average value of  $\log \epsilon(\text{C}) = 5.78$  ( $[\text{C}/\text{Fe}] = +1.40$ ). The left panels of Figure 2 show the spectral synthesis of the CH G band for HE 0020–1741. The filled circles represent the observed spectra, the solid line is the best abundance fit, and the dotted and dashed lines are the lower and upper abundances, respectively, used to estimate the uncertainty. The gray line shows the synthesized spectrum in the absence of carbon. The lower panel shows the residuals (in %) between the observed data and the best abundance fit, which are all below 3% for the synthesized region. Since HE 0020–1741 is on the

upper red giant branch, the observed carbon abundance does not reflect the chemical composition of its natal gas cloud. By using the procedure described in Placco et al. (2014b), which interpolates the observed  $\log g$ ,  $[\text{Fe}/\text{H}]$ , and  $[\text{C}/\text{Fe}]$  of HE 0020–1741 with a grid of theoretical stellar evolution models for low-mass stars, we determine the carbon depletion due to CN processing for HE 0020–1741 to be 0.34 dex.

The  $^{12}\text{C}/^{13}\text{C}$  isotopic ratio is a sensitive indicator of the extent of mixing processes in stars on the red giant branch. Using a fixed elemental carbon abundance ( $\log \epsilon(\text{C}) = 5.78$ ) for the CH features around 4217 Å, we derived  $^{12}\text{C}/^{13}\text{C} = 4$ , which suggests that substantial processing of  $^{12}\text{C}$  into  $^{13}\text{C}$  has taken place in the star. We note that this ratio also indicates that considerable processing of carbon into nitrogen has occurred in HE 0020–1741. The right panels of Figure 2 show the determination of the  $^{12}\text{C}/^{13}\text{C}$  isotopic ratio. The filled circles represent the observed spectrum, and the solid gray line is the best fit, with the two other values taken to be lower and upper limits. The lower panel shows that the residuals between the observed data and  $^{12}\text{C}/^{13}\text{C} = 4$  are all below 2%.

The nitrogen abundance was determined from spectral synthesis of the CN band at 3883 Å. The NH band at 3360 Å did not have sufficiently high S/N to allow for a proper spectral synthesis. For the CN band, we used a fixed carbon abundance of  $\log \epsilon(\text{C}) = 5.78$  (average of carbon abundances determined from the CH band) and derived a value of  $\log \epsilon(\text{N}) = 6.13$ , with an uncertainty of  $\pm 0.2$  dex.

### 3.2.2. From Na to Ni

Abundances of Na, Sc, Ti, Cr, Co, and Ni were determined by equivalent width analysis only. For Ti, where transitions from two different ionization stages were measured, the abundances agree within 0.02 dex. Spectral synthesis was used to determine abundances for Mg, Al, Si, Ca, and Mn

**Table 6**  
Literature Data ( $\log \epsilon(X)$  Abundances)

Star Name	C	N	Na	Mg	Al	Si	Ca	Sc	Ti	Cr	Mn	Fe	Co	Ni	Ref.	Note
CEMP-no Group II																
BD +44°493	5.83	4.40	2.71	4.23	2.06	3.78	2.82	-0.39	1.37	1.99	0.97	3.62	1.76	2.21	1	...
CS 22949-037	5.66	6.01	4.07	5.06	2.93	4.13	2.70	-0.62	1.33	1.18	0.78	3.57	1.50	2.00	2	a
HE 0020-1741	6.12	5.79	2.78	4.58	2.05	4.36	2.70	-0.72	1.18	1.51	1.43	3.45	1.29	1.94	3	b
HE 0057-5959	5.21	5.90	4.14	4.03	...	...	2.91	-0.76	1.27	1.06	...	3.42	...	2.30	4	...
CEMP-no Group III																
G77-61	7.00	6.40	2.90	4.00	...	...	2.90	...	...	2.00	1.30	3.42	...	...	5	...
HE 0107-5240	6.96	5.57	1.86	2.41	...	...	0.99	...	-0.62	...	...	2.06	...	0.60	6	c
HE 1310-0536	6.64	6.88	2.28	3.87	1.91	...	2.19	-1.07	1.15	1.00	0.49	3.35	1.12	1.95	7	d
HE 1327-2326	6.90	6.79	2.99	3.54	1.90	...	1.34	...	-0.09	...	...	1.79	...	0.73	8	...
HE 2139-5432	7.00	5.89	4.37	5.19	2.79	4.49	...	...	1.24	1.96	...	3.48	1.59	2.37	9	...
SDSS J1313-0019	6.39	6.34	1.61	3.04	1.34	...	1.59	-1.54	0.33	...	...	2.50	...	1.65	10	...
Carbon-normal Stars																
CD -38°245	4.48	4.76	2.19	3.85	1.63	3.65	2.56	-0.82	1.19	1.25	0.31	3.43	1.25	2.05	11	e
CS 30336-049	4.40	4.56	2.13	3.64	1.58	3.66	2.39	-0.83	1.06	0.86	0.57	3.46	1.42	2.13	12	f

#### Notes.

<sup>a</sup>  $\Delta \log \epsilon(C) = +0.15$ ,  $\Delta \log \epsilon(N) = -0.09$ .

<sup>b</sup>  $\Delta \log \epsilon(C) = +0.34$ ,  $\Delta \log \epsilon(N) = -0.34$ .

<sup>c</sup> Average of C and N values.

<sup>d</sup>  $\Delta \log \epsilon(C) = +0.07$ ,  $\Delta \log \epsilon(N) = 0.00$ .

<sup>e</sup>  $\log \epsilon(C)$  from McWilliam et al. (1995),  $\log \epsilon(N)$  from Spite et al. (2006),  $\Delta \log \epsilon(C) = +0.07$ ,  $\Delta \log \epsilon(N) = -0.04$ .

<sup>f</sup>  $\Delta \log \epsilon(C) = +0.29$ ,  $\Delta \log \epsilon(N) = -0.15$ .

**References.** (1) Ito et al. 2013; (2) Cohen et al. 2013; (3) this work; (4) Yong et al. 2013a; (5) Plez & Cohen 2005; (6) Christlieb et al. 2004; (7) Hansen et al. 2015; (8) Frebel et al. 2008; (9) Yong et al. 2013a; (10) Frebel et al. 2015; (11) François et al. 2003; (12) Lai et al. 2008.

(accounting for hyperfine splitting from the line lists from Den Hartog et al. 2011).

et al. (2016) revealed no significant variation ( $\sigma = 0.212 \text{ km s}^{-1}$ ) over a temporal window of 1066 days.

### 3.2.3. Neutron-capture Elements

The chemical abundances for Sr and Ba, as well as upper limits for Eu and Pb, were determined via spectral synthesis. We used the compilation of line lists by Frebel et al. (2014), based on lines from Aoki et al. (2002), Barklem et al. (2005), Lawler et al. (2009), and the VALD database (Kupka et al. 1999). The neutron-capture absorption lines in the blue spectral region, particularly close to strong CH or CN features, need to be carefully synthesized, since these are intrinsically weak in CEMP-no stars. Because of that, we included the observed carbon and nitrogen abundances for all the syntheses, as well as the  $^{12}\text{C}/^{13}\text{C}$  isotope ratio.

The Sr abundance was determined from the  $\lambda 4077$  ( $\log \epsilon(\text{Sr}) = -1.93$ ) and  $\lambda 4215$  ( $\log \epsilon(\text{Sr}) = -1.88$ ) lines, with an average value of  $[\text{Sr}/\text{Fe}] = -0.73$ . For Ba, both  $\lambda 4554$  and  $\lambda 4934$  features were successfully synthesized with  $\log \epsilon(\text{Ba}) = -2.97$  ( $[\text{Ba}/\text{Fe}] = -1.11$ ). Both Sr and Ba abundances are within typical ranges for CEMP-no stars (Placco et al. 2014b).

Upper limits were determined for Eu ( $\lambda 4129$ ) and Pb ( $\lambda 4057$ ). The Pb upper limit ( $[\text{Pb}/\text{Fe}] < +2.05$ ) is similar to the one for the CEMP-no BD +44°493 (Placco et al. 2014a), and it adds further evidence that the origin of the neutron-capture abundances in HE 0020-1741 is unlikely to be from an unseen evolved companion. The  $[\text{Pb}/\text{Fe}]$  should be higher by at least a factor of 10 to agree with theoretical predictions for the s-process (Bisterzo et al. 2010). Furthermore, the radial velocity monitoring of HE 0020-1741 reported by Hansen

### 3.3. Uncertainties

Uncertainties in the elemental abundance determinations, as well as the systematic uncertainties due to changes in the atmospheric parameters, were treated in the same way as described in Placco et al. (2013, 2015a). Table 5 shows how variations within the quoted uncertainties in each atmospheric parameter affect the derived chemical abundances. Also listed is the total uncertainty for each element, which is calculated from the quadratic sum of the individual error estimates. Even though  $T_{\text{eff}}$ ,  $\log g$ , and  $\xi$  are correlated, we assume complete knowledge of two of them to assess how changes in the third parameter would affect the abundance calculation. For example, a change of +150 K in  $T_{\text{eff}}$  for HE 0020-1741 requires a change of about 0.1 dex in  $\log g$  to maintain the balance between Fe I and Fe II. However, this change in  $\log g$  translates to a 0.01 dex change in abundance, which is 16 times smaller than the change due to  $T_{\text{eff}}$ . Then, for simplicity, we assume that the variables are independent, and we use the quadratic sum. For this calculation, we used spectral features with abundances determined by equivalent width analysis only. The variations for the parameters are +150 K for  $T_{\text{eff}}$ , +0.3 dex for  $\log g$ , and +0.3  $\text{km s}^{-1}$  for  $\xi$ .

## 4. DISCUSSION

### 4.1. Model Predictions for UMP Progenitors

In this section, we assess the main properties of the possible stellar progenitors of selected UMP stars from the literature, by



comparing their abundance patterns with theoretical model predictions for Pop III stars. We employ the nonrotating massive-star models from Heger & Woosley (2010), for which the free parameters are the mass (10–100  $M_{\odot}$ ), explosion energies (0.3–10)  $\times 10^{51}$  erg, and the amount of mixing in the SN ejecta. The grid used in the work has 16,800 models, and the  $\chi^2$  matching algorithm is described in Heger & Woosley (2010). An online tool with the model database can be accessed at `starfit`.<sup>12</sup> For the present application, we adopt a similar procedure to the one described in Placco et al. (2015b) and Roederer et al. (2016), as described below.

We collected UMP stars from the literature for which at least carbon, nitrogen, sodium, magnesium, calcium, and iron were measured. With  $[\text{Fe}/\text{H}] < -4.0$ , only 11 stars have abundances for these elements determined from high-resolution spectroscopy (including HE 0020–1741). We also added BD +44°493 to the sample, since its metallicity is  $[\text{Fe}/\text{H}] = -3.8$ , and it has a number of abundances measured with small uncertainties. Table 6 lists the abundances and references for the literature sample. The stars are divided according to the CEMP-no Groups II and III from Yoon et al. (2016); two of our sample stars are classified as carbon-normal stars. The carbon abundances were corrected for the C depleted by CN processing, following the procedures described in Placco et al. (2014c) and briefly summarized in Section 3.2.1. In addition, we calculated the inverse corrections for the nitrogen abundances, by imposing that the  $[(\text{C}+\text{N})/\text{Fe}]$  ratio remains constant throughout the evolution of the star on the giant branch. The model grid we employed is the same as that in Placco et al. (2014c). Even though there may also be ON processing that occurs in the star, the effect is expected to be negligible compared to the CN processing (see bottom panel of Figure 2 in Placco et al. 2014c).

For the model matching, we have used the following abundances (where available): C, N, Na, Mg, Al, Si, Ca, Sc, Ti, V, Cr, Mn, Fe, Co, and Ni. For consistency, no upper limits were used. For each star, we assembled  $10^4$  unique abundance patterns, based on the observed values from the literature. For each element, we generated  $10^4$  random numbers from a normal distribution, with the measured abundances as the central value and the uncertainties as the dispersion. Then, we randomly selected individual abundances from each distribution and generated new abundance patterns. For each of these, we found the progenitor mass, explosion energy, and the mean squared residuals from the `starfit` code.

Figure 3 shows the results of this exercise for the 12 stars in Table 6. For each star, the left panel shows the best model fits for the  $10^4$  abundance patterns. The masses and explosion energies of the models are given in the legend at the upper right in each panel, color-coded by their fractional occurrence. The right-hand panel for each star shows the distribution of the mean squared residuals for the simulations. The colored bars overlaying the upper density distributions in the right panels mark the median residual value, and its value is shown in the legend of these panels at the top right, along with the mad (median absolute deviation), a robust estimator of the dispersion. The stars are ordered by increasing median values.

As an example, consider the top left panel of Figure 3, for the star HE 0020–1741. We first ran the `starfit` code to find the best model fit for each of the  $10^4$  abundance patterns

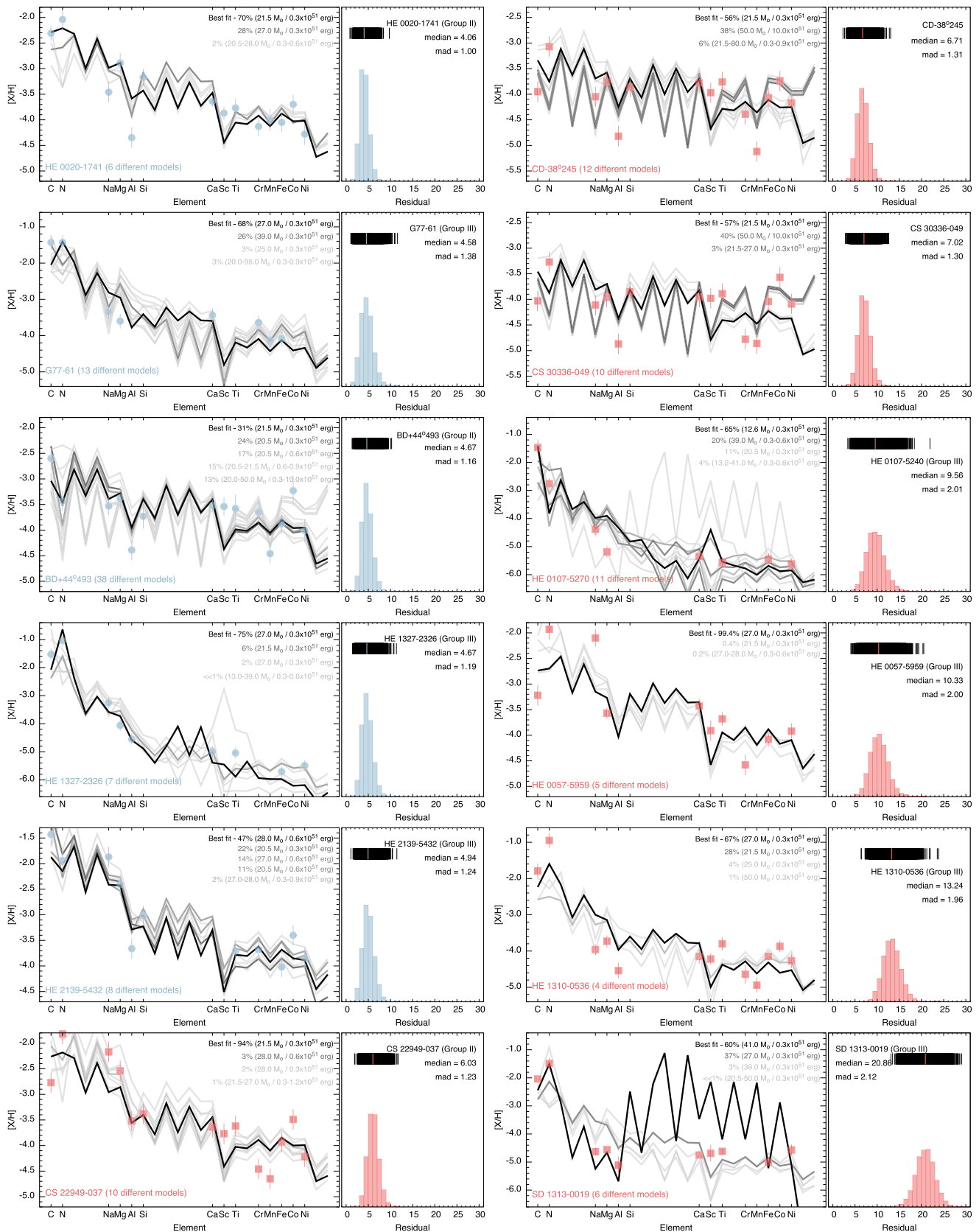
generated from the observed abundances (blue filled circles). In about 70% (7041) of the resampled abundance patterns, the best fit was the model with 21.5  $M_{\odot}$  and  $0.3 \times 10^{51}$  erg (black solid line). In 2849 (28%) of the patterns, the model with 27.0  $M_{\odot}$  and  $0.3 \times 10^{51}$  erg gave the best fit (dark gray solid line), and for the remaining 110 abundance patterns (light-gray solid lines), the best-fit models had masses between 20.5 and 28.0  $M_{\odot}$  and explosion energies between 0.3 and  $0.6 \times 10^{51}$  erg. In total, six unique models (out of the 16,800 models on the grid) were able to account for the best fits for the  $10^4$  resampled abundance patterns. For each best fit, the `starfit` code calculates the mean squared residual, and the distribution of these values is given in the panel to the right side of the abundance patterns. Above the distribution is the density plot (black stripes), with the median value (blue stripe) shown in the upper right part of the panel, together with the mad.

For the first five stars in the left column of Figure 3, the mass and explosion energy of the progenitor are within 20.5–28.0  $M_{\odot}$  and  $(0.3\text{--}0.6) \times 10^{51}$  erg, respectively. These values are consistent with predictions from Nomoto et al. (2006) for the faint SN progenitor scenario. Considering the two most frequent models for each star, the C–N abundances are well reproduced in most cases, within  $2\sigma$  of the theoretical values. The Na–Si abundances agree within  $2\sigma$  with the best model fits (except for Al in HE 0020–1741 and Mg in G77–61). The Ca and Ti abundances also agree within  $2\sigma$ , except for Ti in HE 1327–2326. The large overabundances of Sc for HE 0020–1741 and BD +44°493 are expected, since Sc may have contributions from other nucleosynthesis processes (see Heger & Woosley 2010, for further details). Among the Cr–Fe abundances, there is also overall good agreement, with Fe values agreeing within  $1\sigma$  with the best model fits. The enhanced cobalt abundances for HE 0020–1741, BD +44°493, and HE 2139–5432 cannot be accounted for by the models, but we note that  $\log \epsilon(\text{Co})$  is often underpredicted by theoretical models (e.g., Tominaga et al. 2014; Roederer et al. 2016).

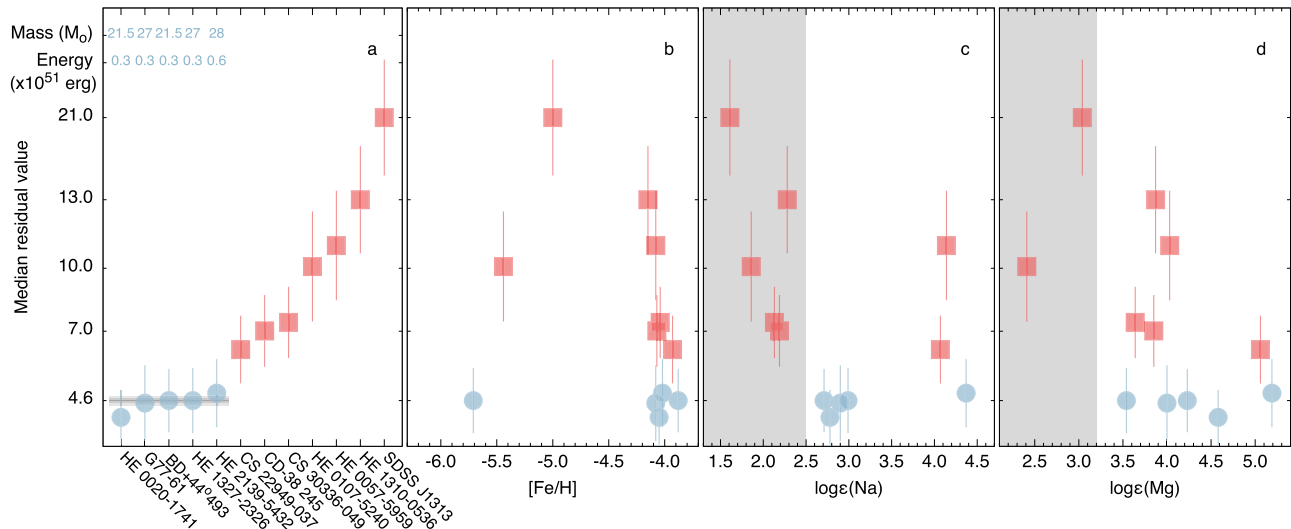
For stars in the right column of Figure 3 (red filled squares), the progenitor masses range from 12.6  $M_{\odot}$  for HE 0107–5240 to 41.0  $M_{\odot}$  for SDSS J1313–0019, all with explosion energies of  $0.3 \times 10^{51}$  erg. For these stars, as reflected by their residual distributions, there is a clear mismatch between the model predictions and the observed abundances. The stars CS 22949–037, CD –38°245, and CS 30336–049 all have best fits with a 21.5  $M_{\odot}$  and  $0.3 \times 10^{51}$  erg model. This is likely a numerical artifact, since the C and N abundances for these three stars are in complete disagreement with the models. For HE 0057–5959, only the Ca abundance matches the best model yields, and most values are at least 0.5 dex away from the theoretical values. For HE 1310–0536, the only observed abundance within  $1\sigma$  of the model is Fe. In this case, it is clear that no models can reproduce the large difference between the C–N and Na–Al abundances. For HE 0107–5240 and SDSS J1313–0019, the models are not able to simultaneously predict the C–N abundances and the Na–Mg or Ca–Ti abundances.

Based on these results, we infer that the first five stars shown in the left column of Figure 3 have abundance patterns that are consistent with the progenitor stellar population described by the models of Heger & Woosley (2010). For the remaining seven stars, the poor agreement between observations and models suggests the presence of at least one additional class of stellar progenitors for UMP stars.

<sup>12</sup> <http://starfit.org>



**Figure 3.** Best model fits for HE 0020–1741 and the 11 other UMP stars from the literature, ordered by increasing median residuals. For each star, the left panel shows the simulated abundance patterns and models, where the masses and explosion energies are provided in the legend at the upper right of each panel, color-coded by their fractional occurrence. The right panel shows the distribution of the residuals for the 10,000 simulations for each star. The colored bar overlaying the upper density distributions in the right panels marks the median value, which is shown in the legend of each panel at the top right, along with the mad (median absolute deviation).



**Figure 4.** Median residuals for HE 0020–1741 and 11 other UMP stars from the literature, color-coded by the division proposed in Figure 3. The stars are ordered by increasing median values (panel (a)). The solid horizontal line shows the median for the five stars with low residuals, and the gray shaded areas encompass  $\pm 1 \times \text{mad}$  and  $\pm 2 \times \text{mad}$ . The numbers on the top are the progenitor masses (in  $M_{\odot}$ ) and explosion energy ( $\times 10^{51}$  erg) from the best model fit for each star. Panels (b), (c), and (d) show the residuals as a function of  $[\text{Fe}/\text{H}]$ ,  $\log \epsilon (\text{Na})$ , and  $\log \epsilon (\text{Mg})$ , respectively.

Figure 4 shows the behavior of the median residual values for HE 0020–1741 and the data for other UMP stars from the literature, where the stars are ordered by increasing median values (panel (a)), and as a function of  $[\text{Fe}/\text{H}]$  (panel (b)),  $\log \epsilon (\text{Na})$  (panel (c)), and  $\log \epsilon (\text{Mg})$  (panel (d)). In panel (a), the gray shaded areas encompass  $\pm 1 \times \text{mad}$  and  $\pm 2 \times \text{mad}$  for the five stars (blue filled circles) in the left column of Figure 3. The numbers shown in the legend are the progenitor masses (in  $M_{\odot}$ ) and the explosion energy ( $\times 10^{51}$  erg) from the best model fit for each star. The gray regions highlighted on panels (c) and (d) are explained below.

Distinctions between the stars with low (blue symbols) and high (red symbols) residuals become more evident when inspecting the median residuals in Figure 4. Even though this separation does not appear to be correlated with  $[\text{Fe}/\text{H}]$  (panel (b)), observations of additional stars are needed to cover the gap between  $-5.0 \leq [\text{Fe}/\text{H}] \leq -4.0$ , in order to uncover any possible trend. Nevertheless, HE 1327–2326 ( $[\text{Fe}/\text{H}] = -5.71$ ) has a median residual value consistent with that of other stars at  $[\text{Fe}/\text{H}] \sim -4.0$ , and it does not agree with the values for HE 0107–5240 ( $[\text{Fe}/\text{H}] = -5.44$ ) and SDSS J1313–0019 ( $[\text{Fe}/\text{H}] = -5.00$ ). From inspection of panel (c), it can be seen that the low-residual stars exhibit  $\log \epsilon (\text{Na}) \gtrsim 2.5$  and are mostly concentrated at  $\log \epsilon (\text{Na}) \sim 2.8$ . The only exception is HE 2139–5432, with  $\log \epsilon (\text{Na}) = 4.37$ . The trend for Na can be an indication that another stellar progenitor, producing (but not limited to)  $\log \epsilon (\text{Na}) \lesssim 2.5$  (gray shaded area in panel (c)), is needed to account for the differences in the observed abundances. In panel (d), even though the low-residual stars exhibit  $\log \epsilon (\text{Mg}) \gtrsim 3.5$ , there is no obvious separation between these and the high-residual stars. The two high-residual stars with low  $\log \epsilon (\text{Na})$  in panel (c) also have low  $\log \epsilon (\text{Mg})$ . However, this correlation does not hold for the other stars in the same group.

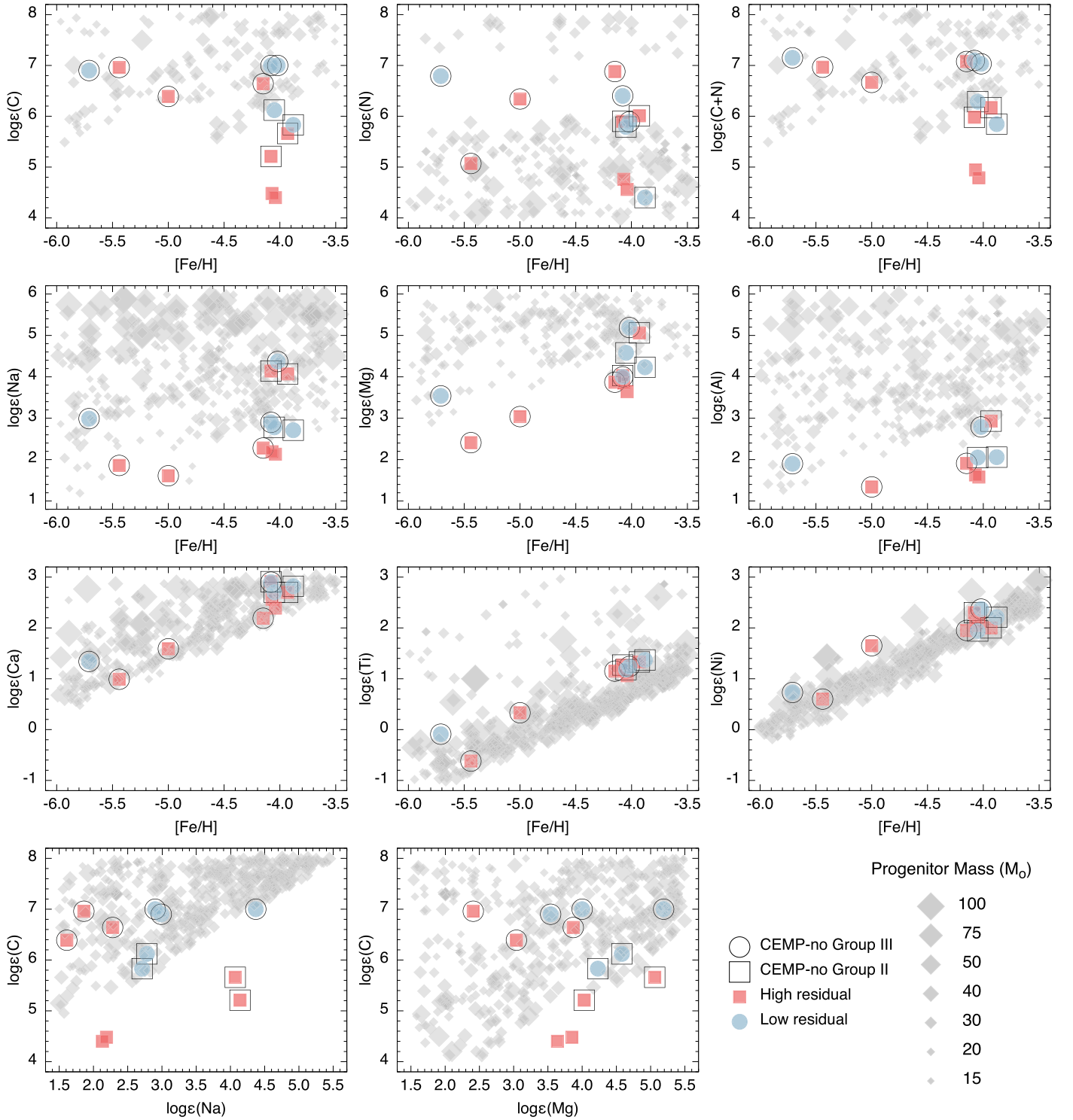
#### 4.2. Abundance Comparison between UMP Stars and Yields from Massive Metal-free Stars

To further investigate the differences among the 12 UMP stars, we compared the individual abundances as a function of

$[\text{Fe}/\text{H}]$ ,  $\log \epsilon (\text{Na})$ , and  $\log \epsilon (\text{Mg})$  with the yields from the 16,800 models used for the matching procedure. Figure 5 shows the result of this exercise. The colored symbols are the observed abundances from the literature sample (including HE 0020–1741), and the gray symbols are yields from the SN models. The open symbols show the CEMP-no groups proposed in Paper I. For the theoretical values, the progenitor mass is proportional to the size of the symbol. Models with abundance values outside the ranges shown in Figure 5 were suppressed for simplicity.

Overall, the 12 stars have abundances consistent with the models for the elements Ca, Ti, and Ni. This also holds true for other elements not shown in Figure 5, such as Cr, Mn, and Co. Abundances for the five low-residual stars (blue filled circles) present, in general, good agreement with the values predicted by models with  $M < 30 M_{\odot}$ . Some exceptions include  $\log \epsilon (\text{N})$  for G77–61 and HE 1327–2326 (however,  $\log \epsilon (\text{C} + \text{N})$  agrees well in both cases) and  $\log \epsilon (\text{Al})$  for HE 0020–1741 and BD +44°493, which is about 0.5 dex lower than the model values. Even though the Al abundance was determined from spectral synthesis, that region (3961 Å) presents strong CH and CN absorption features, which could compromise the determination. For the seven stars with higher median residual values, there are clear mismatches between the observations and the theoretical predictions. For CD –38°245 and CS 30336–049, carbon and nitrogen are at least 1 dex lower than the model values, and Na, Mg, and Al are also below the model ranges. Even though CS 22949–037 exhibits better agreement for C and N, the two bottom panels show that four stars are below the model expectations for  $\log \epsilon (\text{C})$  versus  $\log \epsilon (\text{Na})$  and  $\log \epsilon (\text{C})$  versus  $\log \epsilon (\text{Mg})$ . There is also no agreement for Na, Mg, and Al (HE 0107–5240 and SDSS J1313–0019) as a function of metallicity.

Inspection of the two bottom panels of Figure 5 reveals that C, Na, and Mg abundances may suggest some deficiencies in the current models, which could exclude these as possible progenitors for four of the seven high-residual stars. In both cases, the carbon abundances are overpredicted by the models when compared to the observations. This suggests that the



**Figure 5.** Comparison between the chemical abundances of the literature sample of UMP stars (colored symbols) and the predicted yields from the SN models used in this work (gray symbols). The progenitor mass is proportional to the size of the symbol. The open symbols relate to the CEMP-no classifications proposed by Yoon et al. (2016).

current models do not adequately capture the progenitors of the full set of UMP stars. The combination of carbon, sodium, and magnesium was used in Paper I as part of the justification for the division of the CEMP-no stars in Groups II and III. When comparing the low- and high-residual stars with their CEMP-no group classifications, it can be seen that half of the Group II stars (HE 0107–5240 and SDSS J1313–0019) are outside the model ranges for  $\log \epsilon(\text{C})$  versus  $\log \epsilon(\text{Na})$  and  $\log \epsilon(\text{C})$

versus  $\log \epsilon(\text{Mg})$ . The two other Group II stars (HE 0020–1741 and BD +44°493) have low median residuals and are close to the limits explored by the models. Another interesting case is HE 1327–2326 (Group III), which is the most metal-poor star in this sample; it presents a low median residual, unlike the other stars with  $[\text{Fe}/\text{H}] < -5.0$ . Its chemical abundances are in regions where the model grid is sparse, especially for C, N, Na, and Mg. Regardless, the models

used in this work cannot account for the abundance patterns of HE 0107–5240 and SDSS J1313–0019, which are also Group III stars based on Paper I. This could be further evidence that at least one additional, different progenitor population operates at the lowest metallicities, such as the spinstars described by Meynet et al. (2006).

For completeness, we also tested the robustness of our fitting results with respect to the recent study of R. Ezzeddine et al. (2016, in preparation). This study suggests the presence of large positive corrections to the Fe abundances of the 18 most iron-poor stars, following line formation computations in a non-local thermodynamic equilibrium (NLTE). While it would be inconsistent to mix LTE and NLTE abundances in a given stellar pattern, we tested how trial corrections for  $\log \epsilon(\text{Fe})$  of 0.75 dex to HE 1327–2326, 0.70 dex to HE 0107–5240, and 0.3 dex to HE 0020–1741 would affect our conclusions. As a result, no significant changes in the progenitor mass, explosion energy, and mean squared residuals were found. To properly interpret these new NLTE Fe abundances, we thus have to await the full NLTE abundance patterns and compare those with the SN yields, once available.

## 5. CONCLUSIONS

We have presented the first high-resolution spectroscopic study of the UMP, CEMP-no star HE 0020–1741. This star adds to the small number of stars with  $[\text{Fe}/\text{H}] < -4.0$  identified to date and presents the same behavior as most (more than 80%) of the UMP stars:  $[\text{C}/\text{Fe}] > +1.0$  and  $[\text{Ba}/\text{Fe}] < 0.0$ . We have attempted to characterize the progenitor stellar population of UMP stars by comparing the yields from a grid of metal-free, massive-star models with the observed elemental abundances for HE 0020–1741 and 11 other UMP stars from the literature for which at least abundances of C, N, Na, Mg, Al, and Fe have been reported.

Based on our residual analysis, we find that 42% (5 of 12) of the sample stars have elemental abundance patterns that are well reproduced by the SN models of Heger & Woosley (2010). We conclude that this class of SN explosions and their associated nucleosynthesis cannot alone account for the observed abundance patterns of the entire set of UMP stars we have considered. In particular, Pop III, massive metal-free star models cannot reproduce abundance patterns of stars with  $\log \epsilon(\text{C}) \leq 5.0$ ,  $\log \epsilon(\text{Na}) \lesssim 2.5$ , and  $\log \epsilon(\text{Mg}) \lesssim 3.2$ . Carbon and sodium could potentially be used as tracers of the stellar progenitor, in addition to the metallicity. This could be evidence of different progenitor signatures, such as fast-rotating massive Pop III stars from Meynet et al. (2006), or the faint SNe from Nomoto et al. (2006). If we assume that the models used in this work are a viable candidate for the stellar progenitors of UMP stars, there must exist *at least* one additional class of progenitor operating at  $[\text{Fe}/\text{H}] < -4.0$ , or a single process, yet to be identified, that could account for the abundances of all UMP stars. We should also acknowledge the possibility that more than one progenitor could contribute to the observed abundance patterns of the high-residual stars presented in this work.

Recent evidence presented by Yoon et al. (2016) suggests that the carbon abundance may be key to differentiating between different stellar progenitors of UMP stars. The classifications based on absolute carbon abundances,  $A(\text{C})$ , are further confirmed when looking at the sodium and magnesium abundances. The analysis presented in this paper

supports this hypothesis and suggests that the progenitor population(s) for UMP stars may be even more rich and complex than previously thought. We emphasize that abundances for additional UMP stars, in particular those in the metallicity regime  $-5.0 < [\text{Fe}/\text{H}] < -4.0$ , are needed to better constrain the nature of the possible stellar progenitors. Nitrogen abundances are an important constraint to the theoretical models, and currently more than half of the observed UMP stars have only limits for this element reported.

We thank Chris Sneden, who kindly provided part of the line lists used for the spectral synthesis, Nozomu Tominaga, for clarifying specific characteristics of the faint SN models, Richard Stancliffe, for clarifications on the stellar evolution models used for the CN corrections, and the anonymous referee, who made valuable suggestions that helped improve the paper. V.M.P., T.C.B., and J.Y. acknowledge partial support for this work from the National Science Foundation under Grant No. PHY-1430152 (JINA Center for the Evolution of the Elements). A.F. and A.C. are supported by NSF CAREER grant AST-1255160. A.H. was supported by a Future Fellowship of the Australian Research Council (FT120100363). This research has made use of NASA's Astrophysics Data System Bibliographic Services; the arXiv pre-print server operated by Cornell University; the SIMBAD database hosted by the Strasbourg Astronomical Data Center; the IRAF software packages distributed by the National Optical Astronomy Observatories, which are operated by AURA, under cooperative agreement with the NSF; the SAGA Database (Stellar Abundances for Galactic Archeology; Suda et al. 2008); the R-project software package (R Core Team 2015); the gnuplot command-line plotting program (Williams et al. 2015); and the online Q&A platform stackoverflow (<http://stackoverflow.com/>).

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