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# **Offshore permafrost and gas hydrate stability zone on the shelf of East Siberian Seas**

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Abstract Dynamics of the submarine permafrost regime, including distribution, thickness, and temporal evolution, was modeled for the Laptev and East Siberian Sea shelf zones. This work included simulation of the permafrost-related gas hydrate stability zone (GHSZ). Simulations were compared with field observations. Model sensitivity runs were performed using different boundary conditions, including a variety of geological conditions as well as two distinct geothermal heat flows (45 and 70 mW/m<sup>2</sup>). The heat flows used are typical for the coastal lowlands of the Laptev Sea and East Siberian Sea. Use of two different geological deposits, that is, unconsolidated Cainozoic strata and solid bedrock, resulted in the significantly different magnitudes of permafrost thickness, a result of their different physical and thermal properties. Both parameters, the thickness of the submarine permafrost on the shelf and the related development of the GHSZ, were simulated for the last four glacial-eustatic cycles (400,000 years). The results show that the most recently formed permafrost is continuous to the 60-m isobath; at the greater depths of the outer part of the shelf it changes to discontinuous and "patchy" permafrost. However, model results suggest that the entire Arctic shelf is underlain by relic permafrost in a state stable enough for gas hydrates. Permafrost, as well as the GHSZ, is currently storing probable significant greenhouse gas sources, especially methane

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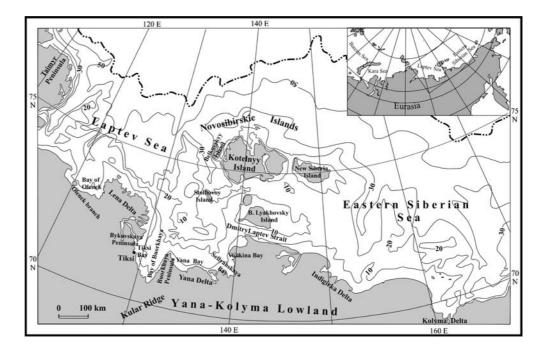
that has formed by the decomposition of gas hydrates at greater depth. During climate cooling and associated marine regression, permafrost aggradation takes place due to the low temperatures and the direct exposure of the shelf to the atmosphere. Permafrost degradation takes place during climate warming and marine transgression. However, the temperature of transgressing seawater in contact with the former terrestrial permafrost landscape remains below zero, ranging from -0.5to -1.8°C, meaning permafrost degradation does not immediately occur. The submerged permafrost degrades slowly, undergoing a transformation in form from ice bonded terrestrial permafrost to ice bearing submarine permafrost that does not possess a temperature gradient. Finally the thickness of ice bearing permafrost decreases from its lower boundary due to the geothermal heat flow. The modeling indicated several other features. There exists a time lag between extreme states in climatic forcing and associated extreme states of permafrost thickness. For example, permafrost continued to degrade for up to 10,000 years following a temperature decline had begun after a climate optimum. Another result showed that the dynamic of permafrost thickness and the variation of the GHSZ are similar but not identical. For example, it can be shown that in recent time permafrost degradation has taken place at the outer part of the shelf whereas the GHSZ is stable or even thickening.

### Introduction

A brief description of East Siberia Arctic Shelf environmental condition

The shelf of the Laptev Sea and the western part of the East Siberian Sea possesses flat bathymetry, relatively low depths (rarely exceeding 100 m) and a width reaching almost 1,000 km (Fig. 1). In these regions, neotectonic movements are of predominantly descend-

Fig. 1 Index map of the investigation area



ing character and accompanied by a compensatory accumulation of sediments. Until recently, it was believed that the East Siberian sector of the Arctic had not been subjected to major glaciations, at least during the Weaseling period. However, in the northeast of the region under study (in the northwestern part of the Novaya Sibir (New Siberian Island), Anisimov and Tumskoi (2003) have described massive ice beds that have some features that are indicative of glacial origin. These ice beds are covered by the Late Quaternary deposits of the ice complex (IC) or Yedoma formation. Thus, locally, in the northeastern part of the Novosibirskii Archipelago and adjacent shelf areas, the development of thin, local glaciers has taken place during at least one of the cold stages of the Late Cenozoic. However, over the larger part of this territory, glacial cover was absent. The geological and tectonic structure of this territory is extremely complicated; tectonic structures include both the Siberian Platform and the rift zone in the Laptev Sea, as well as many other minor structures (Drachev et al. 1995, 1999; Drachev 1998; Tectonic Map of the Kara and Laptev Seas and the North of Siberia 1998; Sekretov 1998, 1999; Franke et al. 1998, 2000, 2001). The continental part of these structures is characterized by considerable variations in the intensity of the geothermal heat flux,  $q_{gt}$ , which in the Moma and Baikal rifts can vary from 40 to 80 mW/ m<sup>2</sup> within undisturbed blocks of the Earth crust, and exceed 100 mW/m<sup>2</sup> in fault zones (Balobaev 1991; Lysak 1988; Duchkov 1985; Duchkov and Sokolova 1985). It can be supposed that variations in the  $q_{gt}$  values within the shelf zone of the Laptev and East Siberian Seas are of the same magnitude. Heat-flow value data exist on Laptev Sea continental slope, with measurements ranging between 85 and 117 mWm<sup>-2</sup>. A summary of heatflow data in the Laptev Sea region and surrounding

tectonic structures is shown in Fig. 7 of Drachev et al. (2003).

Our study of permafrost distribution and thickness in the shelf zone of Eastern Siberia is based on: (a) the result of works performed by Russian researchers before 1990s; (b) the results obtained within the framework of the joint Russian–German project entitled "Laptev Sea System" (Rachold and Grigoriev 1999, 2000, 2001; Rachold 2002; Grigoriev et al. 2003; Kassens et al. 1999; Pfeiffer et al. 2002); and (c) computer-based modeling, including the development of paleogeographic scenarios, geological models, and models of freezing–thawing of sediment-laden water.

The modeling was mainly based on the results of geological and geocryological surveys of the Novosibirskie Islands and coastal lowlands (the East Siberian shelf can be considered a continuation of this feature). These are the areas of continuous permafrost with a thickness from 300-400 to 500-600 m and more. Permafrost thickness data, though scarce, indicate that the depth of freezing is a function of permafrost temperature zonality as well as of the character of neotectonic structures. The latter differ from one another by the  $q_{gt}$ values and geological composition, including the thickness of unconsolidated Cenozoic deposits. Little observational data on the geology of shelf areas necessitates the use of hypothetical geological sections simplified for modeling purposes. The composition and properties of deposits and rocks in our models were selected in order to ensure that both maximum and minimum possible values for permafrost depth and thickness were obtained. Mean annual air temperature varies smoothly over the region under study, ranging from -13 to  $-15^{\circ}$ C. The mean annual permafrost temperature ( $t_{ma}$ ) ranges from -5 to  $-7^{\circ}$ C in the southern part of the coastal lowlands (69°N-70°N) to -12 to -15°C in the north (Novosibirskie Islands, 73°N–76°N), giving a mean latitudinal gradient of about 1.5°C/100 km.

The temperature of bottom seawater and sea surface sediments  $(t_{sf})$  is equal (Zhigarev 1997). In the Laptev and East Siberian seas  $t_{\rm sf}$  varies from -0.5 to  $-2.0^{\circ}$ C (Dmitrenko et al. 2001). This favors the preservation of relic offshore permafrost because thawing from the top (i.e., from the sea bottom down) does not occur or occurs very slowly. An exception is observed near river mouths, where the seawater is freshened by the input of river water and has low, but above zero, temperature. In these areas, slow thawing of relic permafrost is possible. It is interesting that the thickness of the thawed layer increases with an increase in the seawater depth and with the age of shelf permafrost (submerging by freshened seawater) establishment under the sea. This can be illustrated by the results of drilling performed along a profile from the Bykovskaya Peninsula to the Mostakh Island in the Tiksi Bay with seawater freshened by the influence of the Lena River (A. Slagoda, personal communication). At the same time, formation of new permafrost is occurring on aggradational sedimentary features (bars, spits, barrier isles, etc.) in and around the river mouths (Grigor'ev 1966; Fartyshev 1993).

The general consensus is that the aggradation of permafrost within the East Siberian shelf occurred during the stages of the marine regression and shelf exposure. We support the idea about the glacioeustatic nature of transgression-regression cycles in the region, indicated by data on sea-level fluctuations in Eastern Siberian and Bering seas (Holmes and Creager 1974; Creager and McManus 1967; Hopkins 1976; Ivanov 1982; Veinbergs 1991; Selivanov 1996).

### Formulation of the problem

The development of permafrost on coastal lowlands in northeastern Eurasia dates back to the Late Pliocene (Kaplina 1981; Sher 1984). Permafrost thawed in the warm climatic phases of the Pliocene–Pleistocene; first, fully, and then, only partially, from the top, so that the thickness of permafrost was only reduced. During cold phases, permafrost aggradation took place: the thickness of permafrost increased and its temperature decreased.

Up to the mid-1990s, little data were available that described the existence or nature of offshore permafrost. These data were generalized by Gavrilov et al. (2001). Most researchers believed that permafrost with relatively low thickness exists in the near-shore zone and is absent within the outer part of the shelf (Zhigarev 1981, 1997; Neizvestnov 1981; Solov'ev 1981). It was assumed that the degradation of relic permafrost proceeds mainly from the top, under the influence of warm seawater. The development of permafrost at the shelf was connected with the Late Pleistocene. According to Solov'ev (Solov'ev 1981; Solov'ev et al. 1987) and Neizvestnov (1981), offshore permafrost was formed after the

Kazatsevskaya transgression (isotope stage 5e) and expanded considerably during the Sartan regression (isotope stage 2). However, Danilov (Danilov et al. 1997, 1998, 2000), Zhigarev (1981, 1997), and Fartyshev (1993) argued that permafrost initially formed later, during the Zyryanskii glacial period (isotope stage 4), degraded completely during the Karginskaya transgression (isotope stage 3), and appeared again in the Sartan cryochron and regression (isotope stage 2). According to these authors (except for Fartyshev 1993) the Sartan permafrost degraded considerably during the Holocene marine transgression (isotope stage 1).

More recently, large-scale studies of offshore permafrost in the Eastern Siberian Arctic have been conducted within the framework of joint Russian-German programs "Laptev Sea System" and "System Laptev Sea 2000. "Results from these projects, together with earlier results obtained by Russian geologists, have been generalized in a number of papers (Romanovskii et al. 1997a, b, 1999, 2003; Kholodov et al. 1999; Romanovskii and Hubberten 2001a, b; Hubberten and Romanovskii 2000). In this paper, we perform the following: outline new data concerning the development of offshore permafrost on the eastern Siberian arctic sea shelf in relation to the problem of the gas hydrate stability zone (GHSZ); assess the potential thickness of this zone; and consider the evolution of the offshore permafrost and GHSZ under the impact of climate changes and glacioeustatic regression-transgression cycles. It should be noted that the problem of the presence and evolution of the GHSZ, in which natural gas exists in the form of metastable clathrate compounds (gas hydrates) as related to the evolution of permafrost, has not previously been considered, except by Delisle (1998). He modeled dynamic GHSZ during 160 ky before present for uniform ocean depth and a geothermal flux  $q_{gt}$  set to  $60 \text{ mW/m}^2$ . The variation of pressure caused by the submergence of the shelf over the time of transgression was not considered. In accordance with his modeling results, one or more GHSZ exists continuously on the Laptev Sea shelf. Permafrost and GHSZ prevents subpermafrost gas emission migration through the zone over the long-term.

### Modeling approach to the problem of permafrost and GHSZ evolution within the shelf

Conditions and assumptions accepted in modeling of permafrost and GHSZ evolution within the shelf

The modeling approach to the reconstruction of permafrost evolution was previously proposed and described by Romanovskii and his colleagues for the shelf of Laptev Sea Region (Romanovskii et al. 1997a, b, 1998, 2003; Hubberten and Romanovskii 1999; Romanovskii and Hubberten 2001a, b). In this paper, the abovementioned modeling approach is applied to the shelf of both seas, the Laptev and the East Siberian, and to the reconstruction of the evolution of GHSZ.

A paleogeographic scenario for the last 400,000 years has been established previously (Gavrilov et al. 2000, 2001; Romanovskii and Hubberten 2001a). The geological record of Middle Pleistocene and Holocene events in the coastal lowlands of Yakutia and Novosibirskie Islands is fragmentary; data on the age of particular strata are not very reliable (beyond the limits of radiocarbon dating). This circumstance makes it impossible to suggest a realistic paleogeographic scenario on the basis of exclusively regional data. The most suitable data on possible climatic fluctuations in many areas can be obtained from paleoclimatic curves based on the distribution of oxygen isotopes in ocean sediments and ice cores; it is also possible to use the curves based on the analysis of the contents of biogenic silica recovered from bottom sediments of Lake Baikal (Kuzmin et al. 2001). These are continuous curves reflecting climate fluctuations that operate at the largest scales, that is, those related to orbital parameters and having characteristic times of 100,000, 42,000, and 23,000-17,000 years.

A paleogeographic scenario for the Middle Pleistocene-Holocene interval in the studied region has been developed by us on the basis of a synthesis of regional data and an isotopic temperature curve from the Vostok ice core, Eastern Antarctica (Petit et al. 1999). The latter curve was used to provide an indication of global climate fluctuations. Paleotemperature reconstructions for the coastal lowlands of Yakutia were used as a regional data reference. These reconstructions were made by various researchers for reliably dated peaks of the most significant cold and warm stages. As a result, we have obtained regional paleotemperature curves reflecting deviations of permafrost temperatures from their modern values  $(-t_{\rm ma})$  for the East Siberian arctic shelf and coastal lowlands over the last 400,000 years (Gavrilov et al. 2000, 2001; Romanovskii and Hubberten 2001a, b). Climate fluctuations were accompanied by corresponding changes in the landscape and the character of the snow cover with corresponding effects on soil and permafrost temperatures. The most significant alterations of landscapes and snow cover (as compared with the modern epoch) took place during cold climatic states in the zone currently dominated by sparse forests and in the zone of arctic tundra and deserts. Therefore, separate paleotemperature curves have been developed for these zones.

The assumptions in the paleogeographic scenario

Several assumptions were made in the paleogeographic scenario used to set upper boundary conditions for the permafrost and GHSZ evolution model. First, it was assumed that the zonality pattern of  $t_{\rm ma}$  in the past corresponded to the modern zonality of permafrost temperatures. The relief of the shelf zone in the past was

considered to be the same as at present. The temperature of near-bottom seawater (equal to the temperature of the surface layer of shelf sediments ( $t_{sf}$ ) (Zhigarev 1997) during transgression stages was set at  $-1.8^{\circ}$ C; the freezing temperature of marine sediments or continental sediments saturated with seawater was assumed to occur at  $-2^{\circ}$ C. This results in the position of the lower boundary of ice-bearing permafrost not being coincident with the 0°C isotherm. These mean that a permanent zone of cryotic deposits is located below ice-bearing permafrost with temperature  $-2^{\circ}$ C and isotherm 0°C.

A series of curves indicating  $t_{ma}$  and  $t_{sf}$  variation by water depth were developed for the range of latitudes from 70°N to 78°N according to a procedure described earlier (Romanovskii et al. 1997a). Sea-level fluctuations were assumed to be of glacioeustatic nature. Several glacioeustatic curves, developed for particular time stages, were adapted for modeling purposes: from 400,000 to 120,000 BP, according to Petit et al. (1999); from 120,000 to 20,000 BP, according to Chappel et al. (1996); and from 20,000 BP to the present time, according to Fairbanks (1989). The latter curve (Fairbanks 1989) correlates well with observational data concerning Laptev Sea sea-level oscillations. These data were obtained during bottom sediment studies for the interval 11,000-9,000 BP (Bauch et al. 2001). It was assumed that permafrost temperature  $t_{ma}$  in any place changes to  $t_{\rm sf}$  (-1.8°C) after submergence; land emergence is followed by a rapid change of permafrost temperature from  $-1.8^{\circ}$ C to the  $t_{ma}$  typical of terrestrial permafrost at the corresponding latitude and time (Romanovskii et al. 1997a, 1998). It should be noted that the reliability of paleotemperature reconstructions is greater for the Late Pleistocene and Holocene than for the earlier epochs.

The initial conditions prescribed for the model assumed that there had been no ice-bearing permafrost on the shelf 400,000 years ago and that the temperature distribution in the vertical sections corresponded to normal temperature gradients. Our calculations were performed for different geological sections and different values of the geothermal flux  $q_{\rm gt}$  (from 45 to 70 mW/ m<sup>2</sup>). These values of  $q_{gt}$  are typical for undisturbed blocks of earth crust in rift zones. One of the modeled geological sections, composed of unconsolidated Cenozoic deposits, characterized the situation in tectonic depressions. The presence of thick (up to 600 m) Cenozoic deposits is known from data recovered from a number of geophysical and borehole sites, including the lower reaches of the Kolyma River, the foothills of Kular Ridge, the Yana River delta, and the Buorkhava Peninsula. Similar or even greater thicknesses of unconsolidated Cenozoic deposits is supposed for the rift zone in the Laptev Sea, judging from geophysical data (Sekretov 1998, 1999; Hinz et al. 1998 and other). These deposits are characterized by large porosity values and high water contents. This allows us to consider the modeled depth of their freezing at the geothermal flux value of 70 mW/m<sup>2</sup> as the minimum possible value (Fig. 2a, b). The second modeled geological section is composed of hard rocks of the Verkhoyansk complex. Thermophysical properties of these deposits and rocks are given in Tables 1 and 2. The depth of freezing obtained for these deposits and rocks at the geothermal flux value of  $45 \text{ mW/m}^2$  can be considered to represent the maximum possible value (Figs. 2a, 3a).

# Modeling of the GHSZ evolution

The modeling of GHSZ dynamics was performed according to the model and corresponding software program developed by Tipenko et al. (1999). According to this model, the pressure (P) of groundwater at any

depth is equal to the hydrostatic pressure of groundwater at that depth. The transgression of the sea generates additional pressure ( $\Delta P$ ) equal to the seawater pressure at the bottom of the sea, which transmitted in subpermafrost groundwater via open taliks. Thus, the hydrostatic pressure of groundwater increases by  $\Delta P$ during marine transgressions and decreases during marine regressions. The pressure in aquifers below the relic permafrost is also equal to the hydrostatic pressure. In nature, this condition is ensured by the presence of open subsea taliks in active fault zones, under the channels of the largest rivers, and on the continental slope. While modeling the GHSZ, we used the curve of hydrate formation derived by Chuvilin and Perlova for porous media and methane and kindly submitted to us

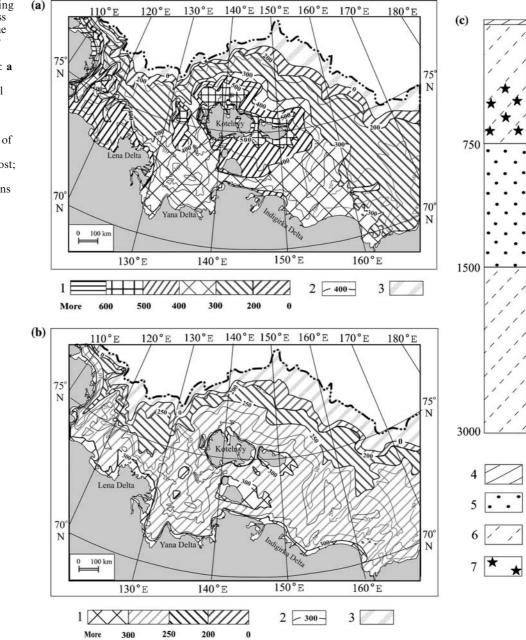
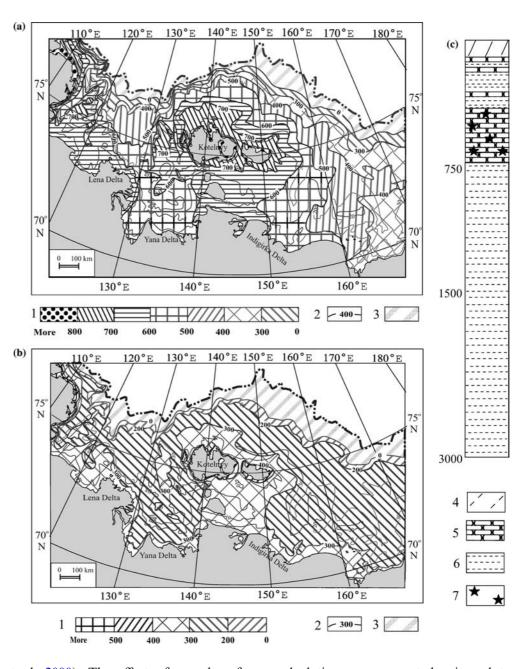


Fig. 2 Schematic map showing offshore permafrost thickness (results of simulation) for the "unconsolidated Quaternary deposits" geological regime. Geothermal heat fluxes  $(q_{gt})$ : **a**  $q_{\rm gt} = 45 \text{ mW/m}^2$ ; **b**  $q_{\rm gt} = 70 \text{ mW/m}^2$ ; **c** geological section: 1 ice bearing permafrost thickness, (m); 2 lines of equal permafrost thickness, (m); 3 outer zone of the shelf with discontinuous and island offshore permafrost; 4 marine silt; 5 fine sand; 6 loam; 7 gas hydrate inclusions

Fig. 3 Schematic map showing offshore permafrost thickness (results of simulation) for the "consolidated bedrock" geological regime. Geothermal heat fluxes ( $q_{gt}$ ): **a**  $q_{gt_2}$ =45 mW/m<sup>2</sup>; **b**  $q_{gt}$ =70 mW/m<sup>2</sup>; **c** geological section: *1* ice bearing permafrost thickness, (m); *2* lines of equal permafrost thickness, (m); *3* outer zone of the shelf with discontinuous and island offshore permafrost; *4* marine silt; *5* sandstone; *6* argillite; *7* gas hydrate inclusions



by the authors (Chuvilin et al. 2000). The effect of groundwater salinity on the GHSZ was not taken into account because calculations were of approximate character. The evolution of the GHSZ was modeled using  $q_{gt}$  values of 45 and 70 mW/m<sup>2</sup>, as indicated above. There is indirect evidence for the fact that these values are also typical of undisturbed blocks of the Earth crust within the shelf zone of both studied seas. On the basis of our calculations, schematic maps of the position of the lower boundary of the GHSZ at the present time were compiled (Figs. 4, 5). These maps, as well as maps of relic offshore permafrost thickness, reflect the presence of the GHSZ and the lower depth of its boundary for tectonically undisturbed blocks of the earth's crust. They do not take into account geothermal anomalies in active fault zones. Along with the maps, the

results of our calculations are presented using plots reflecting changes in the temperature field, permafrost thickness, and the lower boundary of the GHSZ for different time intervals. These plots were developed for different latitudes and different ocean depths. An example of corresponding calculations is shown in Fig. 8. The upper boundary of the GHSZ obtained during our modeling lies within the permafrost where changes in hydrostatic pressure due to marine regressions and transgressions cannot reach due to the absence of free water in pore media, which is filled by ground ice and hydrate. Therefore, this represents a so-called "conventional" boundary that reflects the upper position of the GHSZ at the beginning of permafrost formation on the shelf and/or possible position of hydrate in pore ice.

Table 1 Deposit property parameters used in the model for geological section 1

Layers thickness (m)	Deposits	Heat capacity (J/m <sup>3</sup> K)		Thermal conductivity $\lambda$ (W/mK)		Moisture, W (%)	Latent heat <sup>c</sup> (J/m <sup>3</sup> K)
		Thawed, C <sub>th</sub>	Frozen, $C_{\rm fr}$	Thawed, $\lambda_{th}$	Frozen, $\lambda_{fr}$		
10 740	Heavy loam <sup>a</sup> Sand, loam <sup>a</sup>	$1.7 \times 10^{6}$ $1.1 \times 10^{6}$	$1.4 \times 10^{6}$ $0.8 \times 10^{6}$	1.16 1.26	1.84 1.46	27.24 22.46	114.5×10 <sup>6</sup> 114×10 <sup>6</sup>
750 1,500 200	Sand <sup>a</sup> Sand, loam <sup>a</sup> Gas hydrate in sand and loam deposits <sup>b</sup>	$1.0 \times 10^{6}$ $1.1 \times 10^{6}$ $1.1 \times 10^{6}$	$\begin{array}{c} 0.9{\times}10^6 \\ 0.9{\times}10^6 \\ 0.8{\times}10^6 \end{array}$	1.15 1.82 1.26	1.17 2.43 0.44	5.09 22.46 22.46	$94 \times 10^{6}$ 124×10 <sup>6</sup> 122×10 <sup>6</sup>

<sup>a</sup>Thermophysical properties in accordance with Balobaev (1991)

<sup>b</sup>Thermophysical properties in accordance with Groisman (1985)

<sup>c</sup>Glossary of Permafrost and Related Ground-Ice Terms (1988)

 Table 2 Bedrock property parameters used in the model for geological section 2

Layers thickness (m)	Bedrock	Heat capacity (J/m <sup>3</sup> K)		Thermal conductivity $\lambda$ (W/mK)		Moisture, W (%)	Latent heat <sup>c</sup> (J/m <sup>3</sup> K)
		Thawed, $C_{\rm th}$	Frozen, $C_{\rm fr}$	Thawed, $\lambda_{th}$	Frozen, $\lambda_{\rm fr}$		
100	Salty loam <sup>a</sup> $D_s = 1.0\%$	1.13×10 <sup>6</sup>	$0.86 \times 10^{6}$	1.37	1.91	17.47	114.5×10 <sup>6</sup>
20	Sandstone <sup>a</sup>	$0.8 \times 10^{6}$	$0.78 \times 10^{6}$	1.14	1.17	3.01	$94 \times 10^{6}$
20	Argillite <sup>a</sup>	$0.88 \times 10^{6}$	$0.85 \times 10^{6}$	1.43	1.45	3.25	$104 \times 10^{6}$
20	Sandstone <sup>a</sup>	$0.81 \times 10^{6}$	$0.79 \times 10^{6}$	1.23	1.26	3.57	$94 \times 10^{6}$
90	Argillite <sup>a</sup>	$0.87 \times 10^{6}$	$0.84 \times 10^{6}$	1.44	1.47	3.84	$108 \times 10^{6}$
50	Sandstone <sup>a</sup>	$0.81 \times 10^{6}$	$0.79 \times 10^{6}$	1.33	1.37	4.67	$96 \times 10^{6}$
50	Argillite <sup>a</sup>	$0.86 \times 10^{6}$	$0.83 \times 10^{6}$	1.48	1.52	5.01	$112 \times 10^{6}$
400	Sandstone <sup>a</sup>	$0.82 \times 10^{6}$	$0.81 \times 10^{6}$	1.49	1.53	5	$98 \times 10^{6}$
2250	Argillite <sup>a</sup>	$0.89 \times 10^{6}$	$0.88 \times 10^{6}$	1.51	1.54	5.12	$125 \times 10^{6}$
200	Gas hydrate in sandstone deposits <sup>b</sup>	$0.82 \times 10^{6}$	$0.81 \times 10^{6}$	1.49	0.46	5	98×10 <sup>6</sup>

<sup>a</sup>Thermophysical properties in accordance with Balobaev (1991)

<sup>b</sup>Thermophysical properties in accordance with Groisman (1985)

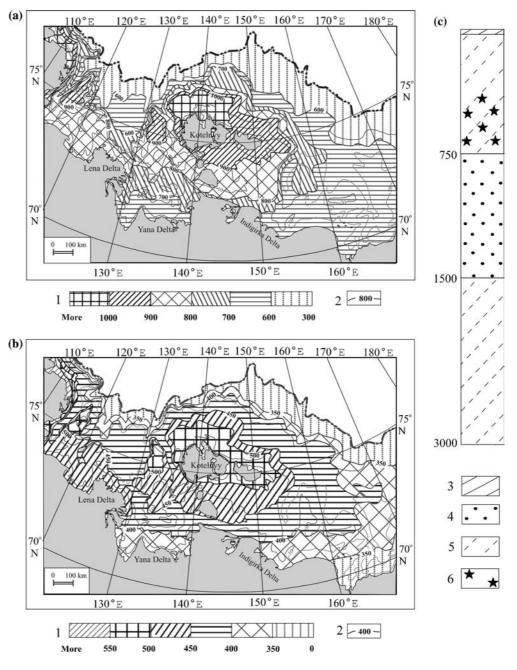
<sup>b</sup>Glossary of Permafrost and Related Ground-Ice Terms (1988)

# Comparison of modeling to field data

The analysis of the simulation results shows that the current distribution and thickness of relic offshore permafrost (Figs. 2a, b and 3a, b) depends on the three groups of factor. The first group is related to the tectonic position of a particular plot, which dictates the intensity of geothermal flux  $q_{gt}$  and the composition and thickness of unconsolidated Cenozoic deposits. The second group is related to the depth of the sea, which specifies the duration of freezing during regression stages and the duration of offshore permafrost submergence during transgression stages. The third group is associated with the latitudinal zonality of terrestrial mean annual ground permafrost temperature  $t_{ma}$  (°C), which controls the depth of freezing during regression stages. In agreement with these factors, the greatest thickness of offshore permafrost at the present time is predicted for the nearshore zone in the western part of the Laptev Sea. This part of the shelf belongs to the Siberian Platform (Tectonic Map of the Kara and Laptev Seas and the North of Siberia 1998; Duchkov 1985; Balobaev 1991; Drachev et al. 2003) and is characterized by low  $q_{gt}$  values. The latter is confirmed by the low values of the geothermal

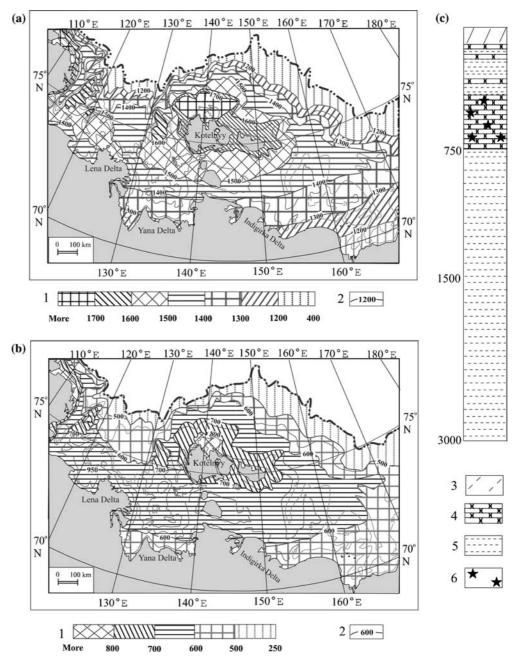
gradient measured in the areas of Kozhevnikova Bay and Uryung-Tumus Peninsula for depths ranging from 200 to 500 m (1.4-0.4°C/100 m). These starting values return offshore permafrost depth estimates in the range of 600-800 m, according to Ponomarev (1937, 1960). Fartyshev (1993) has calculated the thickness of offshore permafrost in these areas in the range 1,000-1,300 m. Similar values were predicted for the Olenek branch in the Lena Delta. If the calculations are based not on the average value of the geothermal gradient in borehole no. 5 (the Chai-Tumus key plot), which was made by Grigor'ev (1966), but instead on the values typical of the lower measured section (230-330 m), then the thickness of permafrost should be up to 800-1,100 m. Taking this into account, we can state that the modeled thickness of offshore permafrost equal to 600-700 m for a section composed of consolidated bedrocks at  $q_{gt} = 45 \text{ mW/m}^2$ (Fig. 3a) is close to the values typical of coastal shoals in the western part of the Laptev Sea.

A considerable thickness of permafrost is predicted for the areas around the Novosibirskie islands. This is governed by the position of these shallow-water areas in high latitudes, where the duration of shelf exposure during marine regressions and associated drying of the Fig. 4 Schematic map showing the lower boundary position of the gas hydrate stability zone; simulation results for the "unconsolidated Quaternary deposits" geological regime. Geothermal heat fluxes  $(q_{gt})$ : **a**  $q_{gt} = 45 \text{ mW/m}^2$ ; **b**  $q_{gt} = 70 \text{ mW/m}^2$ ; **c** geological section of Quaternary deposits: 1 position of GHSZ lower boundary (distance from a sea floor), (m); 2 lines of equal position of lower boundary of GHSZ, (m); 3 marine silt; 4 fine sand; 5 loam; 6 gas hydrate inclusions



shelf and penetration of frost into the rocks was greatest, and by the fact that the duration in the submerged state during the last transgression was shortest. The 2-D surveys carried out by the German/Russian cooperative expedition (BGR, Germany and Russian institution SMNG, Murmansk) revealed northward from Kotel'ny Island the existence of 300–800 m thick seismic sequences beneath the sea floor, characterized by a distinct high-reflective and mostly subparallel pattern. These distinct sequence crosscuts and masks represent real structural features. For this reason, the distinct superficial seismic sequence was interpreted as a permafrost layer (Hinz et al. 1998). An explanation for the wide variance in permafrost thicknesses observed in this region, given by Delisle (1998), relates to a combination of uneven sea depth, different  $q_{\rm gt}$  values, different geological construction, and varying thermophysical properties of rocks and deposits in the various tectonic elements present on the shelf. The variation of the condition of the sea floor environment, mentioned above, is probably greater than accounted for our modeling data.

Thus this region is characterized by generally high but unevenly distributed geothermal flux values  $q_{gt}$ . Geothermal measurements in boreholes on Novosibirskie Islands demonstrated the existence of low mean annual ground temperatures (-13 to -15°C) and steep geothermal gradients (up to 5–6°C/100 m), (Solov'ev et al. 1987). It can be supposed that these boreholes were drilled in the active tectonic zone. Unfortunately, these data are scarce and there are virtually no temperature Fig. 5 Schematic map showing the lower boundary position of the gas hydrate stability zone; simulation results for the "consolidated bedrock" geological regime. Geothermal heat fluxes  $(q_{gt})$ : **a**  $q_{gt}$  = 45 mW/m<sup>2</sup>;  $\mathbf{b} q_{gt} = 70 \text{ mW/m}^2$ ; c geological section: 1 position of GHSZ lower boundary (distance from a sea floor), (m); 2 lines of equal position of lower boundary of GHSZ, (m); 3 marine silt; 4 sandstone; 5 argillite; 6 gas hydrate inclusions

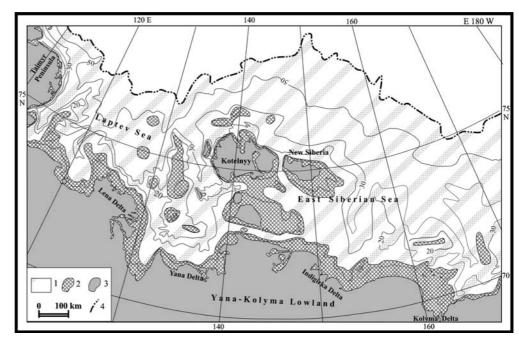


measurements below 200 m. The calculated values of geothermal gradients correspond to gradients typical of rift zones (3–6°C/100 m and even higher); the intensity of geothermal flux in such zones, according to the Geothermal Map of the World (1988) is 50–100 mW/m<sup>2</sup> and higher. Geothermal flux measurements on the continental slope close to the connection of Gakkel Ridge and its shelf continuation, proposed by Drachev et al. (2003), supported the idea that the rift zones on the shelf possibility have large values of  $q_{gt}$ .

It is probable that the values of permafrost thickness displayed in Fig. 3b (500–600 m) are near the maximum possible values for the area of the Novosibirskie Islands. The analysis of the shelf zone modeling results, as well as data from high-frequency acoustic soundings and geothermal observations in boreholes on the Novosibirskie Islands, allow a refinement of offshore permafrost thickness estimates to 400–450 m to be made. In the zones of tectonic faults, as evidenced by geothermal data obtained on the islands (Solov'ev et al. 1987; Neizvestov et al. 1976), this thickness may be further reduced to 200–250 m.

The thickness of offshore permafrost in near-shore, shallow-water areas within the eastern part of the Laptev Sea and the western part of East Siberian Sea varies considerably, depending on neotectonic conditions. For instance, in Yana Bay, the predicted values vary from 400–300 m (Fig. 2a) in tectonic uplifts, to 300–250 m (Fig. 2b) in active rift zones composed of a thick layer of unconsolidated Cenozoic sediments. This can be as-

**Fig. 6** Schematic map showing permafrost state: *1* ice bearing offshore permafrost: *2* ice bonded offshore permafrost; *3* terrestrial ice bonded permafrost; *4* boundary of the Arctic Shelf



sumed from data on the permafrost thickness in coastal areas: 620 m in bedrock near the settlement of Tiksi (Grigor'ev 1966; Devyatkin 1993) and 350–470 m of unconsolidated sediments in the Yana Delta (data from borehole drilling for the purposes of water supply). In a grabben at the foothills of the Kular Ridge, the thickness of permafrost may reach 700 m, as estimated from borehole temperature measurements for the upper 400 m (Khrutskii et al. 1977).

A schematic map of offshore relic permafrost state (Fig. 6), compiled on the basis of modeling results and field observations, shows the distribution of relic icebearing and ice-bonded permafrost on the Arctic shelf. Rift areas, where the thickness of permafrost varies considerably and the occurrence of deep, open taliks is possible along the active faults, are identified on the map in Fig. 7.

# Discussion

### Modeling assessment

The modeling of permafrost dynamics was performed using for an initial condition the complete absence of permafrost within the shelf 400,000 years ago (Fig. 8). As seen from Fig. 8, the offshore permafrost thickness dynamics is conditioned by the alternation of regres-

Fig. 7 Schematic map showing relic offshore ice bearing permafrost thickness on the East Siberia Arctic Shelf (Simulation results using a geothermal heat flux of 45 mW/ m<sup>2</sup>). Ice bearing permafrost thickness, (m): 1 discontinuous,  $\leq 100$ ; continuous 2 100-200; 3 200-300; 4 300-400; 5 400-600; 6 100-600 in rifts with open taliks; 7 open taliks following large tectonic fractures (supposed); 8 coastal permafrost thickness; 9 Arctic Shelf boundary

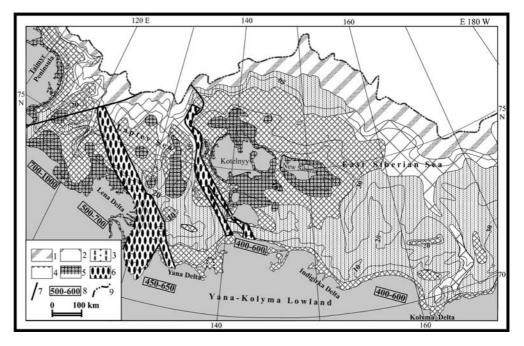
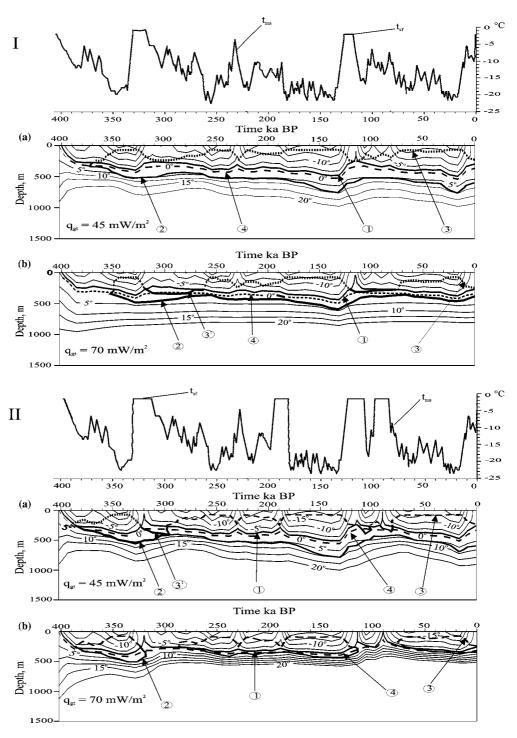
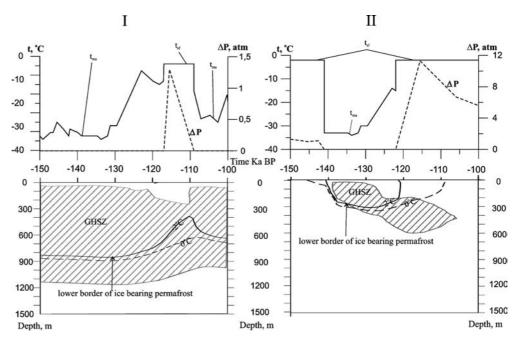


Fig. 8 Evolution of offshore permafrost thickness and GHSZ position during 400,000 years (lower panel): I for latitude 70° N with recent seawater depth 10 m; II for latitude 71°N with recent seawater depth 30 m. Geothermal heat fluxes  $(q_{gt})$ : **a**  $q_{\rm gt} = 45 \, {\rm mW/m^2};$ **b**  $q_{gt} = 70 \text{ mW/m}^2$ . The *circled* numbers on the graphs are as follows: 1 a lower border of ice bearing permafrost (temperature  $-2^{\circ}$ C); 2 lower border of GHSZ; 3 upper border of GHSZ inside of ice bearing permafrost is shown conditionally; 3<sup>1</sup> upper border of GHSZ below of lower border of ice bearing permafrost; 4 isotherm 0°C. Upper panel: variation of mean annual ground temperature  $t_{ma}$  on emergent shelf and sea floor temperature  $t_{\rm sf}$  during transgression periods



sion and transgression stages and, hence, corresponding changes in the  $t_{\rm ma}$  and  $t_{\rm sf}$  values. The amplitude of changes in the thickness of permafrost is greater for the blocks possessing large geothermal flux values,  $q_{\rm gt}$ = 70 mW/m<sup>2</sup>, than for the blocks with  $q_{\rm gt}$  = 45 mW/ m<sup>2</sup>. The total thickness of permafrost is higher than the thickness of ice-bearing permafrost, because of the presence of the zone of cryotic deposits with unfrozen saline water below the ice-bearing permafrost. The total thickness of permafrost, as well as the thickness of ice-bearing permafrost, is reduced during transgressions. However, this reduction is more pronounced for ice-bearing permafrost than for the total thickness of permafrost. Therefore, the overall thickness of the zone with cryotic deposits increases during the transgressions (Fig. 8). This is especially true for areas with considerable water depths. In these areas, within the blocks having  $q_{gt} = 70 \text{ mW/m}^2$ , ice-bearing permafrost might degrade completely towards the end of transgression stages, so that permafrost is only represented **Fig. 9** Evolution of ice bearing permafrost thickness and GHSZ position on the shelf (*upper panel*); *I* geothermal heat flux  $q_{gt} = 45 \text{ mW/m}^2$ ; seawater depth 20 m, latitude 72° N; *II* geothermal heat flux  $q_{gt} = 70 \text{ mW/m}^2$  seawater depth 100 m, latitude 77° N (*lower panel*); On upper panel: variation of mean annual ground temperature ( $t_{ma}$ ) and/ or sea floor temperature ( $t_{sf}$ ) (*solid line*) and excess pressure ( $\Delta P$ )



by cryotic sediments (Figs. 9-II, 2a, b and 3a, b). At present, i.e., at the final stage of the Late Pleistocene– Holocene transgression of the sea, perennially frozen rocks still exist at considerable depths in lithospheric blocks composed of hard rocks and subjected to geothermal flux of up to  $45 \text{ mW/m}^2$  (Fig. 2a). This is in contrast to blocks with geothermal flux of 70 mW/m<sup>2</sup>, which have thawed out. Thus, in the outer shelf zone (with sea depths > 60 m), ice-bearing permafrost should have a discontinuous or sporadic distribution. (Fig. 3a, b).

Model values of the thickness of permafrost decrease to the east of the Novisibirskie Islands, which is conditioned by the more southerly position of the modern coastline and the outer edge of the shelf.

The evolution of permafrost under the impact of climate change and transgression-regression cycles on the shelf and in coastal lowlands is characterized by a time lag. (Figs. 8, 9). During warm stages and marine transgressions, the maximum thawing of permafrost from the top and the minimum thickness of permafrost are achieved much later than corresponding climatic peaks; the greater the seawater depth, the greater the time lag (Figs. 8, 5) (Some numbers are in the following examples). Thus, for the Kazantsevskaya transgression (isotope stage 5e), this lag is equal to 35-40 ka for a depth of about 30 m (Fig. 8-II), and to 7 ka for a depth of about 10 m. Thus, the minimum thickness of relic permafrost on the shelf is observed at the beginning of the next stage of its drying (in the given example, it corresponds to the cooling phase in the Late Kazantsevskoe time). For depths of about 10 m, this happened 117 ka BP (Fig. 8-I); for depths of 30 m, about 90-83 ka BP. Similarly, during cold stages and sea regressions, the maximum thickness of permafrost is achieved later than the time of climatic minimums.

Calculations show that maximum values of permafrost thickness in the end of corresponding aggradation stages are proportional to the values of the geothermal flux  $q_{gt}$ , provided that all other factors are similar. The rates of permafrost aggradation decrease by the end of aggradation stages. In contrast, during periods of climate warming and marine transgressions, which are accompanied by increasing mean annual permafrost temperature  $t_{ma}$  and the surface layer of bottom sediments temperature  $t_{\rm sf}$ , the rate of permafrost thawing from the bottom first increases and then, after the transformation of the temperature field of permafrost into the gradient-less field, becomes constant. The rate of permafrost thawing from the bottom increases with an increase in  $q_{gt}$  values, provided that all other factors are similar. Thus, during warming stages, the thickness of permafrost within the shelf and coastal lowlands decreases due to thawing from the bottom under the impact of geothermal flux. This is accompanied by a differentiation of permafrost thickness values among different lithospheric block units possessing different  $q_{gt}$ . Taking into account the time lag effect, maximum differences are observed at the beginning of cooling stages on coastal lowlands and during the final stages of marine transgressions on the shelf.

As demonstrated in the simulation, alterations of the permafrost temperature regime within the shelf during marine transgressions proceed in two stages. In the first stage, after the flooding of the shelf by seawater and a rapid growth of the temperature at the surface of relic permafrost from  $t_{\rm ma}$  to  $t_{\rm sf}$  (-2°C), a gradual leveling of temperatures from the offshore permafrost table to its bottom takes place; this is accompanied by the transformation of ice-bonded permafrost into ice-bearing permafrost with zero temperature gradients. The thawing rate of ice-bearing permafrost gradually increases. In

the second stage, the thawing of ice-bearing permafrost proceeds at a constant rate, depending on the value of geothermal flux  $q_{\rm gt}$ , thermophysical properties of frozen rocks, and their ice content.

Analysis of model results also shows that massifs of ice-bonded permafrost should be preserved within nearshore, shallow-water areas and shoals, as well as in places of vanishing islands that are composed of ice complex sediments (Gavrilov et al. 2003). The temperature of the latter is below the freezing-thawing range of temperatures for clayey sediments saturated with saline seawater. At greater water depths, the permafrost thickness is reduced due to its long-term thawing from the bottom. The temperature field in the deposits has zero gradients, and the temperature of these deposits is near the thawing-freezing point. This kind of permafrost is referred to as ice-bearing permafrost. Ice-bonded and ice-bearing permafrost have different physical-mechanical properties, which hampers understanding of their nature. Thus, ice-bearing permafrost is characterized by low velocities of seismic waves, which makes it rather close to unfrozen rocks. We have developed a map showing the distribution of ice-bonded and ice-bearing permafrost within the shelf according to the modeling results (Fig. 8). As seen from this map, the largest part of the shelf is occupied by ice-bearing permafrost (Hubberten and Romanovskii 2003).

The map of the thicknesses of permafrost and GHSZ, as based on model calculations using  $q_{gt}$  values equal to 70 mW/m<sup>2</sup> (Figs. 2b, 3b, 4b, and 5b), shows that within the outer part of the shelf with recent water depths of about 60 m, permafrost is absent, whereas the GHSZ is present. The upper boundary of the latter should lie at a depth of about 200 m below the sea bottom. The thickness of the GHSZ is relatively low and does not exceed several hundred meters. At the further rise in sea level, the GHSZ should be preserved; at a decrease in sea level, it should be reduced owing to a decrease in  $\Delta P$  values.

Our modeling of the dynamics of permafrost and GHSZ encompasses a period of 400 ka and assumes the absence of permafrost and GHSZ in the beginning of this period. The formation and development of permafrost during regression stages and drying of the shelf have been accompanied by the appearance of the GHSZ. According to the results of modeling, the upper boundary of the GHSZ should lie within the permafrost thickness. It should be stressed that this boundary has a "conventional" character, because changing of additional hydrostatic pressure ( $\Delta P$ ) in sub-permafrost water cannot be transmitted in the permafrost. This boundary is shown by dashed lines on corresponding plots (Fig. 8). At the same time, during transgression stages, the upper boundary of the GHSZ descends and becomes separated from the lower permafrost boundary. Therefore, on corresponding plots (Fig. 8), these events are marked by a special symbol  $(3^1)$ . However, we do not know if this phenomenon, predicted by our model, really takes place under natural conditions. If it does, it should be accompanied by a migration of gases and their accumulation immediately below the frozen layer. Then, these gas accumulations may be transformed into gas hydrates. The complete disappearance of the GHSZ is predicted for long-term transgression stages in the areas with an increased geothermal flux ( $q_{gl}$ ) (Fig. 9-II). The separation of the upper boundary of the GHSZ from the bottom of permafrost takes place under the same conditions.

The stability zone of the gas (methane) hydrates on coastal lowlands is formed simultaneously with the aggradation of permafrost up to 300-400 m and exists continuously during both warm and cold climatic periods. The lower boundary of the GHSZ within coastal lowlands is found about 300 m below the lower boundary of permafrost. The dynamics of the GHSZ in coastal lowlands follows the same pattern as the dynamics of permafrost with some time lag. It is entirely controlled by changes in the temperature of rocks, because hydrostatic pressure is assumed to be constant. The upper boundary of the GHSZ in coastal lowlands, as well as within the shelf, lies within the thickness of frozen rocks. At the point when a shelf is emerging due to regressions, the dynamics of the GHSZ and the thickness of permafrost within coastal lowlands and on the shelf is the same. During transgressions, this dynamic is different for the outer and inner parts of the shelf. In this time, on one hand, the temperature of rocks increases and the thickness of permafrost decreases; on the other hand, the excessive pressure  $\Delta P$  increases because of the increase in the sea depth. An example (Fig. 9) illustrates the results of modeling for the time interval from 150 to 100 ka BP, made for the 20 and 100-m isobaths. In the inner shelf zone, both permafrost and the GHSZ continue to exist (Fig. 9-I). In the outer shelf zone, permafrost and the GHSZ degrade completely by the end of the transgression. At the same time, in the beginning of transgressions, permafrost is subjected to gradual degradation, whereas the GHSZ continues to exist or even enlarges (Fig. 9-II). This is conditioned by an increase in the water depth with a corresponding increase in hydrostatic pressure ( $\Delta P$ ). Judging from the results of modeling, at present, within lithospheric blocks with  $q_{gt}$  of 45 mW/  $m^2$ , the thawing of permafrost will come cease and a the GHSZ will continue to gradually increase in thickness in the vicinity of the 100-m isobath.

Within lithospheric blocks with  $q_{\rm gt}$  of 40–50 mW/m<sup>2</sup>, permafrost does not thaw out completely during transgression stages and exists as an impermeable screen for ground water and gases below the permafrost at sea depths up to 40–45 m. At greater depths and at greater  $q_{\rm gt}$ , local or total thawing of permafrost takes place; the GHSZ can also disappear. These processes may lead to an increased emission of gases in the end of transgression stages.

### Conclusions

1. The studies performed give additional evidence for the presence of ice-bearing and ice-bonded permafrost and the GHSZ on the shelf of arctic seas in Eastern Siberia. A conclusion about the presence of permafrost within the entire shelf zone, up to its outer edge, has been made earlier for the Laptev Sea shelf (Romanovskii et al. 1997a, b). In this paper, we argue that the situation is similar for the East Siberian region, and in fact it is typical of the western part of the shelf in the East Siberian Sea. The greater part of the shelf, out to the 50 to 60-m isobath, is occupied by continuous ice-bearing and ice-bonded relic offshore permafrost. In deeper areas, for example close to the outer edge of the shelf, the distribution of ice-bearing and ice-bonded relic offshore permafrost has a discontinuous character. Ice-bearing and ice-bonded relic offshore permafrost is preserved in the areas with relatively low values of geothermal heat flux ( $q_{\rm gt}$  < 40–50 mW/m<sup>2</sup>). In the remaining part of the shelf, the presence of cryotic deposits characterized by subzero temperatures and the absence of ice is predicted.

- 2. Within the greater part of the shelf, degrading, icebearing relic offshore permafrost predominates. The presence of relic ice-bonded offshore permafrost is presumed to exist at recently eroded islands composed of the Ice Complex deposits (at present, shoals and bars exist in place of these islands) and in the near-shore areas, where a retreat of the coasts destroyed by thermal erosion is observed.
- 3. Shoals in place of the former islands are subjected to active sea floor thermal erosion. As a result, sediments and organic substances from the degrading Ice Complex are released into the sea; this process is especially active in the lower horizons of the Ice Complex found below sea level. The scale of this process is very large and is observed over vast territories. The input of organic carbon into arctic seas due to the sea-floor thermal erosion is comparable with or even exceeds the input of organic carbon from coastal erosion and river discharge. Along with this, sea-floor thermal erosion and resuspension of thermal eroded sediments in seawater contribute to distant transfer, i.e., beyond the shelf zone, of fine fractions of mineral and organic matter. Most of the resuspended matter is from eroded Ice Complex deposits. At the same time, the accumulation of sandy material takes place close to the location of sea floor thermal and shore erosion. This phenomenon of particle-size fractionation of eroded sediments may be the reason for the widespread presence of sand in bottom sediments on the shelf of arctic seas in the Eastern Siberian sector of the Arctic.
- 4. The Arctic shelf of Eastern Siberia, along with the presence of ice-bearing and ice-bonded relic offshore permafrost, is characterized by favorable conditions for the preservation of a thick zone of stable gas hydrates. Permafrost and the gas hydrate stability zone prevent the emission of greenhouse gases from the layer underlying permafrost.
- 5. The modeling of the evolution of the thickness of icebearing and ice-bonded relic offshore permafrost and

the zone of stable gas hydrates attests to the fact that both phenomena have continuously existed within the larger part of the shelf for at least four climatic and glacioeustatic cycles, i.e., at least for 400,000 years. Climate changes and transgression– regression cycles affect the thicknesses of permafrost and the evolution of the zone of stable gas hydrates because the upper and lower boundaries of GHSZ are subjected to some fluctuations.

- 6. The results of our investigations show that the evolution of ice-bearing and ice-bonded relic offshore permafrost and the GHSZ have both similarities and distinctions. In general, aggradation of permafrost is accompanied by the expansion of the GHSZ. The differences between the dynamics of permafrost and the GHSZ increase from the inner to the outer part of the shelf and are related to the following factors:
  - (a) A decrease in the duration of permafrost aggradation stages and an increase in the duration of permafrost degradation stages from the inner to the outer part of the shelf
  - (b) An increase in the effect of additional hydrostatic pressure caused by regressive-transgressive cycles in sea level in the same direction; and
  - (c) Differences in the effect of the sea on the thickness and state, i.e., ice-bonded or ice-bearing, of relic offshore permafrost, on one hand, and the GHSZ, on the other hand.

At present, in the peripheral part of the shelf, the degradation of the massifs and islands of ice-bearing relic offshore permafrost takes place. At the same time, the GHSZ is either stable or even increasing under the impact of rising sea level in the outer shelf zone.

7. The study of the current state of permafrost and the GSSZ as well as forecasts of future changes for different parts of the shelf should take into account regional differences. In this context, the evolution of these natural phenomena in the past acquires special significance. Thus, further investigations into the dynamics of these phenomena and their modeling and reconstruction are required.

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