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Offshore Wind Farm Electrical Cable Layout Optimization

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This article explores an automated approach for the efficient placement of substations and the design of an inter-array electrical collection network for an offshore wind farm through the minimization of the cost. To accomplish this, the problem is represented as a number of sub-problems that are solved in series using a combination of heuristic algorithms. The overall problem is first solved by clustering the turbines to generate valid substation positions. From this, a navigational mesh pathfinding algorithm based on Delaunay triangulation is applied to identify valid cable paths, which are then used in a mixed-inter linear programming problem to solve for a constrained capacitated minimum spanning tree considering all realistic constraints. The final tree that is produced represents the solution to the inter-array cable results. This method is applied to a planned wind farm to illustrate the suitability of the approach and the resulting layout that is generated.

Keywords: Offshore wind farm layout optimization; inter-array cabling; clustering; pathfinding; capacitated minimum spanning tree

1. Introduction

Over the last decade the renewable energy sector has grown substantially and European governments are now targeting high levels of renewable energy penetration in the forthcoming decade. In order to achieve these ambitious targets, many utilities are looking to large offshore wind farms as part of the solution. Optimization of these large wind farms has therefore arisen as a growing field of research for both developers and academics.

The layout optimization problem arises primarily due to the variation of wind speed and therefore wind energy throughout a wind farm site. The variation is further intensified as all wind turbines operating in the wind produce a wake, a region of air directly behind the turbine where the wind speed is reduced and the turbulence intensity is increased. The effect of an upwind turbine's wake decreases the further downwind that a subsequent turbine is placed, however, the effect is still observed up to 20 rotor diameters downwind (Chamorro and Porté-Agel 2010). Further complicating matters, the cables that are needed to export the energy from each turbine have energy losses and costs which are associated with the length of cable and the cross-section of the cable. Also to be taken into consideration are the environmental and social constraints such as the seabed geology, local marine species, visual impact, shipping routes, and fishing areas to name a few. The layout optimization problem therefore becomes a problem of

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39 balancing the energy extraction from the wind; the system losses; the project costs; and
40 the environmental and social constraints.

41 Many of the planned offshore wind farms in the UK, the Crown Estate Round 3
42 Projects, exceed 1 GW in installed capacity and are expected to consist of several hun-
43 dred individual wind turbines. In existing offshore wind farms, the turbines tend to be
44 connected in strings of 5-10 turbines to a central collection point known as an offshore
45 high voltage substation (OHVS). These substations are in turn connected to grid connec-
46 tion points onshore. As offshore sites offer little in regards to complex-terrain (i.e. hills,
47 valleys, etc.) the turbines have until now generally been placed in straight lines along
48 a regular grid. This, however, has not been optimized and early studies have indicated
49 that optimization of the turbine positions can lead to more efficient use of the wind farm
50 area (Fagerfjäll 2010; Elkinton 2007). Existing tools have approached the optimization of
51 offshore wind farm layouts as a maximization of the energy yield and the minimization of
52 wake losses, however, it can more accurately be characterized from a utility perspective
53 as an optimization of the profitability of the generation asset or a minimization of the
54 levelized cost of energy (LCOE). With regards to this, it therefore becomes important
55 to consider all layout dependant aspects that either affect the energy yield of the wind
56 farm or the lifetime costs.

57 The electrical infrastructure impacts both the energy yield and the costs and therefore
58 has an important role to play in the optimization of offshore wind farms. The length of
59 cable and therefore the capital costs of the project are directly a function of the positions
60 of the turbines and the length of the cables also affects the energy losses that occur when
61 transmitting through the cables. Similarly these lengths of cable depend on where the
62 substations are placed relative to both the onshore connection point and the turbines.
63 The optimization of the collection network, the cables, and substations, therefore forms
64 an important component of the overall global optimization of an offshore wind farm
65 layout.

66 In the development of a tool to be used to optimize the layouts of offshore wind farms,
67 the problem of optimizing the electrical collection network for an offshore wind farm
68 has been examined. Considering the future UK Round 3 projects as a point of context,
69 the problem has been approached including as many realistic constraints as possible
70 and formulated using a combination of heuristics and mixed-integer linear programming
71 (MILP). As heuristics are used, this method may not reach proven optimality, but rather
72 reaches a good feasible solution in an acceptable run time.

73 This optimization problem includes the determination of the substation positions given
74 the realistic constraints faced by a developer, and the determination of the cable layout
75 given this substation position. The export cable, a component of the transmission net-
76 work, is not considered as part of this optimization problem.

77 Previous work in this field has tended to look at small wind farms, or has omitted some
78 of the necessary constraints needed for the optimization of a real wind farm. Most have
79 elected to work only on a single construction phase of a wind farm with a single OHVS,
80 as subsequent phases and additional OHVS would follow the same procedure.

81 Fagerfjäll (2010) implemented an MILP based approach for the electrical cable layout,
82 assuming that all the turbines were connected to a single substation. This approach
83 used a variation on the minimum spanning tree problem, a minimum Steiner tree, in
84 order to solve for the electrical cabling. A minimum Steiner tree is similar to a minimum
85 spanning tree, however, the arcs may branch anywhere along an arc and not only at
86 nodes. By approximating the problem to that of the minimum Steiner tree, the cable
87 length is therefore further minimized. Similar work has also been undertaken by Svendsen
88 (2013) and Lindahl et al. (2013) using a MILP implementation to solve for a capacitated
89 minimum spanning tree. Both of these studies, however, correctly identified that the
90 computational time for these problems grows very quickly with the number of turbines. In

91 fact, the capacitated minimum spanning tree (CMST) problem is NP-hard and therefore
92 an optimal solution is not found in polynomial time, but rather exponential. The problem
93 therefore becomes exponentially complex as more turbines are added and more possible
94 cable arcs must be considered.

95 Due to the complexity, a number of studies have opted to use heuristic algorithms
96 such as genetic algorithms in order to optimize the electrical cable layout (Dutta and
97 Overbye 2011; González-Longatt and Wall 2012; Cerveira and Pires 2014; Li, He, and Fu
98 2008; Zhao, Chen, and Blaabjerg 2008, 2009; Lumbreras and Ramos 2013). These studies
99 have therefore sacrificed finding the proven optimal solution in favour of a good feasible
100 solution in acceptable time-scales. Bauer and Lysgaard (2013) simplified the problem to
101 only allowing strings of turbines without any branching, allowing a variation on a vehicle
102 routing problem algorithm to be applied. This too finds solutions in reasonable time-
103 scales, however, by not allowing branching reduces the problem complexity significantly,
104 and eliminates many feasible solutions unnecessarily including potentially the optimal
105 solution.

106 Studies carried out by Dutta and Overbye (2011, 2012, 2013) have looked at using a
107 minimum spanning tree (MST) and applying the capacity constraints by running the
108 MST on clustered turbines representing the capacity constraints of the largest cross-
109 section of cable. This work has also modified the MST to represent a minimum Steiner
110 tree. Dutta and Overbye (2013) also include an algorithm to account for exclusion areas
111 where cables may not be placed, by constructing convex hulls from the obstacle and
112 turbine positions to derive a shortest path.

113 Given the desire to apply the methodology to real sites, the electrical inter-array cable
114 optimization problem has been approached pragmatically, dividing the overall problem
115 into two sub-problems: the placement of the substations and then the determination of
116 the cable layout. The study at hand intentionally opted to continue on from the work of
117 Fagerfjäll (2010); Svendsen (2013); Lindahl et al. (2013) using a MILP formulation for
118 the electrical cable layout problem and introduce additional constraints to represent the
119 realistic case of UK Round 3 sites. The new constraints introduced in this work take into
120 account complex geographical information systems (GIS) shapes as constraints and the
121 fact that cables may not cross in the offshore environment. Additional constraints have
122 also been explored to aid in reducing the computational time.

123 2. Process Overview

124 The design of offshore wind farms and the decision regarding the number of substations
125 to build is largely driven by the capital expenditure (CAPEX) associated with build-
126 ing a substation along with the necessary foundation works. Projects tend therefore to
127 minimize the number of substations such that substations are efficiently designed with a
128 minimum surplus capacity. The total number of substations is therefore often predeter-
129 mined based on the number of construction phases or the total wind farm capacity.

130 As a result of this, the decision of where to place the substations is effectively a process
131 of selecting the substation positions which will result in the minimum total collection
132 network cable as this will minimize both costs and losses of the collection system. The
133 export cable should also be considered, however, it has been previously shown that given
134 the significant length of cable already required for the export cable when compared to
135 the in-field cables and the high voltage levels used, the costs associated with the export
136 cable are minimally impacted by changes in the substation positions (Fagerfjäll 2010).
137 In order to address this problem it was therefore decided to break the problem into
138 two sub-problems: first the determination of the substation positions and secondly the
139 construction of a CMST representing the cabling for each substation and its assigned

140 turbines.

141 In the offshore environment cable junctions require additional switch-gear and power
 142 electronics, the installation of which will require some sort of physical structure to house
 143 them. Presently all junction boxes and circuit breakers designed for the offshore wind
 144 sector are designed to be housed in a turbine or placed on a substation platform (Burton
 145 et al. 2011). This limitation in the offshore environment results in wind farm collection
 146 networks only branching at either turbines or substations. Though a minimum Steiner
 147 tree or a CMST with Steiner points would reduce the length of cable needed to connect
 148 a wind farm as proposed by Fagerfjäll (2010); Dutta and Overbye (2012, 2013), it is
 149 not feasible to implement a Steiner tree in the offshore environment. A CMST without
 150 Steiner points was therefore selected for use in this study as this better represents the
 151 physical constraints of offshore wind farms.

152 The CMST formulation requires costs for each potential cable connection under con-
 153 sideration. In order to assess this, it was first necessary to determine the length of cable
 154 required to connect two turbines, and then apply a per metre cost for that cable type. As
 155 the costs of cables including the installation costs scale with cable length it is necessary
 156 to determine the lengths of potential cables prior to running the CMST. This effectively
 157 introduces another sub-problem. Given the complex GIS constraints, this was addressed
 158 through the implementation of a pathfinding algorithm in order to ensure that the cables
 159 would not pass through the constrained regions. Additional constraints were also intro-
 160 duced in order to reflect that cables may not cross one another. The overall programme
 161 approach is outlined below:

Algorithm 1 Offshore Wind Farm Inter-Array Cable Optimization

Require: The turbine positions, the GIS obstacles, and the number of substations

- 1: Given the number of substations assign each turbine to a substation and compute
the substation positions using the *Capacitated kmeans++ Clustering*
 - 2: **for** all substations **do**
 - 3: **for** all turbines assigned to substation **do**
 - 4: Identify the 10 closest turbines
 - 5: Identify the constrained shortest path between the turbine and substation using
Delaunay Triangulation Based Navigational Mesh Pathfinding.
 - 6: **for** 10 closest turbines **do**
 - 7: Identify the constrained shortest path between turbine pair using *Delaunay*
Triangulation Based Navigational Mesh Pathfinding.
 - 8: **end for**
 - 9: **end for**
 - 10: Formulate MILP for substation and its assigned turbines given the 11 possible arcs
for each turbine computed above
 - 11: **repeat**
 - 12: Solve *MILP*
 - 13: **if** any cables in MILP solution cross **then**
 - 14: Add individual crossing constraints
 - 15: **end if**
 - 16: **until** No cables cross
 - 17: **end for**
 - 18: **return** substation positions, cable paths, cable flows, and cable types
-

162 As shown in Algorithm 1, there are in fact three optimization sub-problems as part of
 163 this overall optimization:

- 164 (1) Capacitated Clustering Problem/Facility Location

- 165 (2) Constrained Shortest Path/Pathfinding
 166 (3) Construction of Constrained Capacitated Minimum Spanning Tree

167 The *Constrained Shortest Path* problem is executed for each turbine finding the possible
 168 connections between it, the ten closest turbines to it, and the substation. This data is used
 169 for the MILP CMST problem which is executed for each of the substations. The number
 170 of turbines to pathfind to is a parameter, and 10 was empirically selected as turbines
 171 were found to always be connected either to one of their six closest neighbours or the
 172 substation in all tests conducted. Ten was therefore selected to give additional flexibility,
 173 however, the framework is designed to accept any valid integer for this parameter.

Table 1. Notation for automated electrical network design

Name	Description	Type
A	All traversable points	Set
L	All cable types	Set
N_t	All turbines that can be connected to turbine t	Set
S	All substations	Set
T	All turbines and substations	Set
V	All turbine and substation positions, all vertices of the full graph, $V = T \cup S$	Set
X_l	All cables that intersect cable arc l	Set
$u_{i,j}$	Arc between vertex i and vertex j is active in shortest path	Binary Variable
$y_{i,j,l}$	Presence of cable of type l between nodes i and j	Binary Variable
$z_{t,s}$	Assign turbine t to substation s	Binary Variable
$d_{i,j}$	The arc length between vertex i and vertex j	Variable
$f_{i,j}$	Flow between nodes i and j	Variable
n_s	The number of turbines assigned to substation s	Variable
p_1	Source point	Variable
p_2	Termination point	Variable
x_s	The position in $x - y$ space of substation s	Variable
x_t	The position in $x - y$ space of turbine t	Variable
A_l	Cross-sectional area of cable type l	Parameter
c_f	Price of electricity	Parameter
c_l	Cost of cable type l per metre installed	Parameter
g_j	Power generated at node j	Parameter
I	Current level at peak	Parameter
$Q_{connection}$	Number of cables that can be connected to a turbine node	Parameter
Q_l	Power flow capacity of cable type l	Parameter
Q_s	The capacity of substation s	Parameter
R	Cable resistivity	Parameter

174 3. Substation Placement Based on *k-means++* Clustering

175 3.1 Problem Description

176 The substation placement problem can be described that for n_t turbines, k substations
 177 must be placed optimally. As the overall problem seeks to design the inter-array cable
 178 paths the logical approach is to try and reduce these path lengths from the outset by
 179 efficiently placing the substations. The substation placement problem has therefore been
 180 addressed as a capacitated centred clustering problem (CCCP) and facility location prob-
 181 lem. Based on the turbine positions and the number of substations desired, the turbines
 182 are divided into clusters each within the capacity of the substations.

183 3.2 Problem Formulation

184 Mathematically, the problem can be expressed as:

$$\text{minimize } \sum_{t \in T} \sum_{s \in S} (x_t - x_s)^2 z_{t,s} \quad (1a)$$

$$\text{subject to } \sum_{s \in S} z_{t,s} = 1 \quad \forall t \in T, \quad (1b)$$

$$\sum_{t \in T} z_{t,s} = n_s \quad \forall s \in S, \quad (1c)$$

$$\sum_{t \in T} x_t z_{t,s} = n_s x_s \quad \forall s \in S, \quad (1d)$$

$$\sum_{t \in T} z_{t,s} \leq Q_s \quad \forall s \in S, \quad (1e)$$

$$z_{t,s} \in \{0, 1\} \quad (1f)$$

$$x_t \in \mathbb{R}^n \quad x_s \in \mathbb{R}^n \quad n_s \in \mathbb{N} \quad \forall t \in T \quad \forall s \in S \quad (1g)$$

185 where T is the set of turbines and S is the set of substations.

186 In the above formulation, equation 1a states the objective function of the optimization
 187 process which is to minimize the square of the Euclidean distance between the position x_s
 188 of each substation, s , and the individual turbine positions x_t if the turbine t is assigned
 189 to substation s denoted by the state of $z_{t,s}$. The variable $z_{t,s}$ is defined as 1 if the
 190 turbine t is assigned to substation s , it is 0 otherwise. Equation 1b limits each turbine to
 191 being connected to exactly one substation. Equation 1c defines the number of turbines
 192 assigned to substation s to be given by n_s . Equation 1d defines the geometric centroid of
 193 the turbines assigned to substation s to be the position of the substation, and equation 1e
 194 ensures that each substation satisfies the capacity constraints Q_s .

195 3.3 Solution Approach

196 The CCCP as formulated above, is NP-complete and has previously been studied by Ne-
 197 greiros and Palhano (2006); Geetha, Poonthair, and Vanathi (2009); Chaves and Lorena
 198 (2010). These studies have identified heuristic algorithms as well suited for solving this
 199 problem. Based on the comparative study by Negreiros and Palhano (2006) which com-
 200 pared heuristic approaches for the CCCP, it was decided to build a two-phase heuristic

201 for this problem. The first stage would identify the ideal cluster centres ignoring the
 202 capacity and obstacle constraints, and the second phase would apply first the capacity
 203 constraints finding a good solution starting from the solution of the first stage, and finally
 204 once the capacity constraints were satisfied, the obstacle constraints would be applied to
 205 refine the solution. It is recognized that the implementation of a heuristic algorithm can-
 206 not ensure an optimal solution, and the substation positions generated by this algorithm
 207 represent only a feasible solution.

208 For the first phase, a *kmeans++* algorithm was selected. This is a variation on the well-
 209 known *kmeans* clustering methodology which intelligently selects the initial cluster centre
 210 positions in order to improve performance (Arthur and Vassilvitskii 2006; MacQueen
 211 1967). Both *kmeans* and *kmeans++* work by iteratively computing the cluster centre
 212 (geometric median) based on what turbines are assigned to the cluster, then based on
 213 the new geometric median, the turbines are each reassigned to the closest cluster centre.
 214 This process is repeated until the cluster centres converge. In general, both *kmeans* and
 215 *kmeans++* have been shown to be effective clustering techniques (Negreiros and Palhano
 216 2006).

Algorithm 2 Capacitated kmeans++

Require: Set of turbines T to be clustered into k clusters while obeying O obstacles

- 1: Perform kmeans++
 - 2: Balance clusters based on capacity
 - 3: Update cluster centres based on assigned turbines
 - 4: Look for elements which can be moved to improve total distance while maintaining capacity constraints.
 - 5: Update cluster centres based on assigned turbines
 - 6: Identify pairs of turbines which can have their substation assignments swapped to yield improved total distance between turbines and substations.
 - 7: Update cluster centres based on assigned turbines
 - 8: Shift substations (cluster centres) to nearest allowable position based on obstacles.
 - 9: **return** Substation positions and turbine assignments
-

217 Using the approach outlined in algorithm 2, it was possible to successfully partition a
 218 wind farm to ensure that substations were in good, feasible positions if not in the optimal
 219 position. This process also ensured that the substation capacities and any GIS obstacles
 220 were correctly implemented as constraints for the substation positions.

221 The proposed method also explored swapping turbine assignments in order to ensure
 222 that the identified substation positions accurately minimize the distance to turbines, and
 223 each turbine is therefore assigned to the closest substation unless capacity constraints are
 224 active in which case the turbines with the lowest global impact to the cost are assigned
 225 to a substation farther away. It should be noted that the result of introducing the GIS
 226 and capacity constraints has a major impact on the computational time of the clustering,
 227 but a very minor effect on the value of the objective function.

228 4. Cable Path Creation Based on Delaunay Triangulation and Pathfinding

229 4.1 Problem Description

230 Before constructing the capacitated minimum spanning tree it is necessary to compute
 231 the costs of putting a cable between two turbine locations. In order to do this while
 232 considering the GIS obstacle constraints, it was necessary to compute a constrained
 233 shortest path between the positions. Given the constraints, the construction of the graph

234 of possible cable paths is an NP-Complete problem. Dutta and Overbye (2013) addressed
 235 exclusion areas by defining a bypassing algorithm. This bypassing algorithm constructs a
 236 convex hull of the obstruction and the turbines to be connected. The edge of this convex
 237 hull can then be traversed to find the shortest path. This approach, however, is not
 238 guaranteed to find the shortest path, and in fact will incorrectly mark areas as impassable
 239 if the obstacle is not convex. This bypassing algorithm is therefore only well suited if the
 240 exclusion areas can be described as simple convex shapes. As the tool developed here
 241 sought to account for realistic seabed constraints that may take on concave shapes it was
 242 decided that a convex hull based bypassing algorithm would not be the most efficient
 243 approach. As a result, a pathfinding approach was taken. The pathfinding approach was
 244 found to correctly account for concave obstacle regions.

245 Pathfinding can theoretically, depending on the algorithm applied, guarantee a short-
 246 est path between two points in a constrained configurational space regardless of if the
 247 obstacles are convex or not. Pathfinding problems frequently arise in video games and
 248 robot motion problems as it is necessary for a *robot* to move from an origin location to a
 249 destination location taking into account obstacles which it cannot pass through. In the
 250 case of cable paths, turbines are either connected by a cable to another turbine or the
 251 substation and therefore there is a finite set of origin-destination pairs for which a path
 252 must be found.

253 4.2 Problem Formulation

In general, pathfinding can be described as a specific case of a shortest path tree traversal. The shortest path of a graph can be mathematically formulated as:

$$\text{minimize } \sum_{i \in A} \sum_{j \in A} d_{i,j} \cdot u_{i,j} \quad (2a)$$

$$\text{subject to } \sum_{i:(i,k) \in V} u_{i,k} - \sum_{j:(k,j) \in A} u_{k,j} = \begin{cases} -1, & \text{if } k = p_1 \\ 1, & \text{if } k = p_2 \\ 0, & \text{if } (k \in A : k \notin \{p_1, p_2\}) \end{cases} \quad (2b)$$

$$u_{i,j} \in 0, 1 \quad \forall (i, j) \in A \quad (2c)$$

254 where $u_{i,j}$ is a binary variable describing the connectivity between points i and j in
 255 space A in the shortest path. This variable is 1 if i and j are connected in the shortest
 256 path and 0 otherwise. The points p_1 and p_2 represent the source and termination points
 257 respectively and are also with the space A . The cost of connecting points i and j (the
 258 length of the edge connecting i and j) is given by $d_{i,j}$.

259 This general formulation, however, represents the optimization problem once a graph
 260 representing the configurational space, the traversable space in which cables can be laid,
 261 has been constructed. There are a number of different methods to construct this graph
 262 depending on what kind of pathfinding algorithm is deployed. For this study both a grid
 263 based pathfinding algorithm and a navigational mesh were implemented. The naviga-
 264 tional mesh ultimately proved to be the more appropriate algorithm to implement.

265 4.3 Solution Approach

266 For problems such as this, there are two principle approaches for finding the shortest
 267 path, one is to reduce the obstacle data to a *walkability grid* representing on a regular
 268 grid where cables can and cannot be placed. The shortest path can then be found using

269 a standard grid search algorithm such as *A* Pathfinding* or *Dijkstra's*. However, this
 270 simplifies all the constraints to consisting of regular rectangles and given the complexity
 271 of real offshore wind sites this was found to often eliminate possible paths as can be
 272 observed in figure 1. Though this could be avoided by using a finer grid size, other
 273 challenges still remained. For example, by creating a grid, the cable paths were limited
 274 in having only 8 options of where to go from any given grid position (fig. 2), often causing
 275 problems with paths overlapping cables near substations and no simple means of avoiding
 276 this. Paths based on the grid were also longer than necessary due to being fixed to the
 grid.

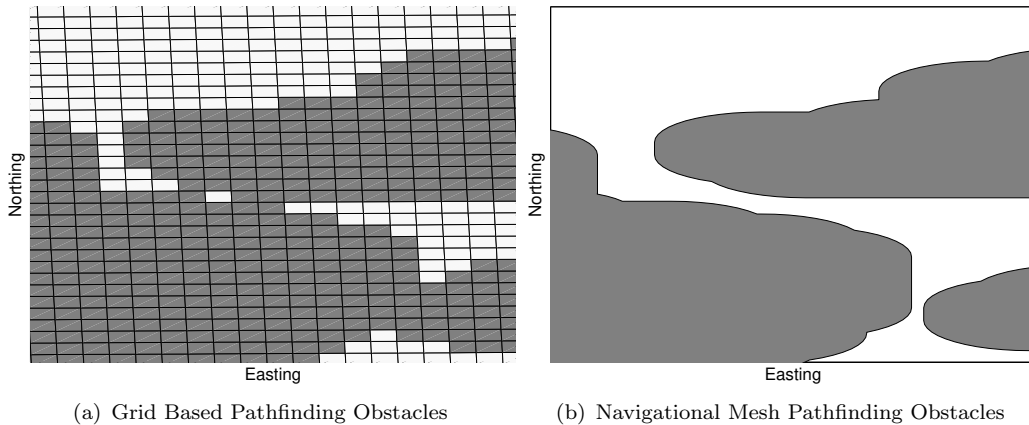


Figure 1. Comparison of obstacle representation in grid based and navigational mesh based pathfinding.

277
 278 The alternative method uses what is known as a visibility graph and navigational
 279 mesh, and is capable of avoiding all of the above problems, but at a significant cost in
 280 complexity (Ghosh 2007). The visibility graph is a graph for which an arc exists between
 281 any two vertices if they are ‘visible’ to one another. Visibility is defined as true if the
 282 two points can be connected by an arc without the arc passing through an obstacle.
 283 It is important to note that in terms of a visibility graph, points along the obstacle
 284 edges are considered to be an open set, that is that valid arcs can pass along edges.
 285 The optimal path is in fact the shortest path between vertices on such a graph. The
 286 difficulty in working with visibility graphs is that algorithms for testing visibility are
 287 computationally complex. The most efficient algorithms still operate in $O(n \log n + k)$
 288 where n is the number of vertices and k is the number of edges (de Berg et al. 2008).
 289 Given that the GIS constraints for a typical offshore wind farm will constitute several
 290 thousand vertices this was thought to be too computationally complex.

291 The proposed methodology, therefore uses a heuristic algorithm which can create a close
 292 approximation of the visibility graph in a fraction of the computational time. This ap-
 293 proach, known as a navigational mesh based pathfinding algorithm creates a traversable
 294 graph which obeys the obstacle constraints. One such algorithm, proposed by Jan et al.
 295 (2012, 2014) was adopted for this project. This approximation method uses the edges of
 296 a constrained Delaunay Triangulation to define the graph. A Delaunay Triangulation is
 297 defined as a triangulation in which no vertex is within the circumcircle of any triangle of
 298 the triangulation, and a constrained Delaunay Triangulation is given the obstacle edges
 299 as a constraint such that no triangulation edges cross the obstacles. By triangulating
 300 the obstacle vertices along with the origin and destination positions it is possible to cre-
 301 ate a graph representing the traversable area. In order to improve the performance of
 302 the graph and better approach the full visibility graph solution, this method includes the
 303 Fermat points of the triangles and connects these to the graph. A Fermat point is defined

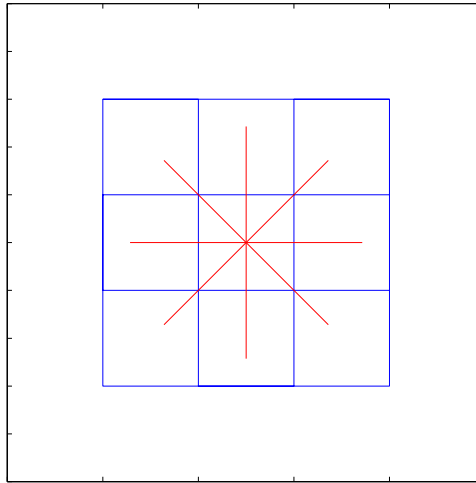


Figure 2. Grid based system allows a path to go only to one of the 8 adjacent squares surrounding it.

304 for triangles for which the largest angle is less than 120° to be the position internal to
 305 the triangle that minimizes the distance to the triangle vertices. For a triangle in which
 306 the largest angle is greater than or equal to 120° the Fermat point is located at one of
 307 the vertices. Once these Fermat points are found, they are then added to the graph and
 308 connected to their respective triangle vertices and any adjacent Fermat points (fig. 3(d)
 309 and fig. 3(e)).

Algorithm 3 Delaunay Triangulation Based Navigational Mesh Shortest Path

Require: Polygon obstacles, origin point, destination point, and site boundary

- 1: Construct the configurational space given the obstacle polygons
 - 2: For the configurational map construct a constrained Delaunay triangulation for the vertices making up the obstacles, the origin point, and the destination point. The edges of the obstacles serve as the constraints for the triangulation.
 - 3: Create a graph of all vertices and triangle edges of the triangulation
 - 4: Insert Fermat points in triangles that have angles less than 120°
 - 5: Connect the Fermat points to the vertices of their triangles and any adjacent Fermat points
 - 6: Find the shortest path in the graph using Dijkstra's algorithm.
 - 7: Apply the path shortening procedure
 - 8: **return** Cable path
-

310 As this produces a potentially sub-optimal path, Jan et al. (2014) proposed a *path*
 311 *shortening* method which removes redundant Fermat points or vertices from the solution
 312 paths therefore reducing the total length to on average within 2% of the optimal path,
 313 but in a fraction of the time. The original path shortening algorithm was enhanced
 314 by checking all possible short-cuts, constructing a graph, and then running Dijkstra's
 315 shortest path algorithm.

316 Figure 3 shows a visual representation of the pathfinding process. Comparing the re-
 317 sulting paths in figures 3(e) and 3(f) shows the need for including the path shortening
 318 subroutine. It is important to note that inclusion of the path-shortening algorithm with
 319 the improvement suggested still does not ensure optimality, however, it can lead to sig-
 320 nificantly reduced path lengths. It should be noted that generally, however, this method

Algorithm 4 Path Shortening

Require: Polygon obstacles, cable path

- 1: Compute the length of each segment of the path
 - 2: Compute the length for all possible shortcuts
 - 3: **for** all possible shortcuts **do**
 - 4: **if** shortcut does not intersects an obstacle **then**
 - 5: Add shortcut length to graph adjacency matrix
 - 6: **end if**
 - 7: **end for**
 - 8: Find shortest path along graph using Dijkstra's algorithm
 - 9: **return** Cable path
-

321 does find the optimal path between two points.

322 **5. MILP Formulation of Offshore Wind Farm Electrical Layout**
 323 **Optimization**

324 **5.1 Problem Description**

325 Through the preceding sub-problems the substations have been placed and a graph of
 326 possible cable connections has been constructed with the path and length of each cable
 327 computed. The remaining task is to select which of these cables to use to minimize the
 328 total cost of the inter-array cable infrastructure. Given the arc costs between turbines
 329 and the constraints described below, this problem could be described as a capacitated
 330 minimum spanning tree (CMST) problem with additional constraints. The minimum
 331 spanning tree problem (MST) seeks to find the sub-graph of a connected graph which
 332 connects all vertices at minimum total cost (fig. 4). The CMST variation on this problem
 333 introduces additional constraints to account for maximum capacities on the arcs. The
 334 CMST is an NP-complete problem and exact methods are often avoided though easily
 335 formulated. Similar to previous studies, the CMST was here implemented as an MILP
 336 problem and solved using the Gurobi package through MATLAB.

337 The CMST is not a new problem and the formulation used in this work is based on
 338 that of Gouveia (1993, 1995). This work has generalized this formulation to allow for
 339 multiple arc types and a simultaneous selection of not only the cable paths, but the
 340 cable cross-sectional area.

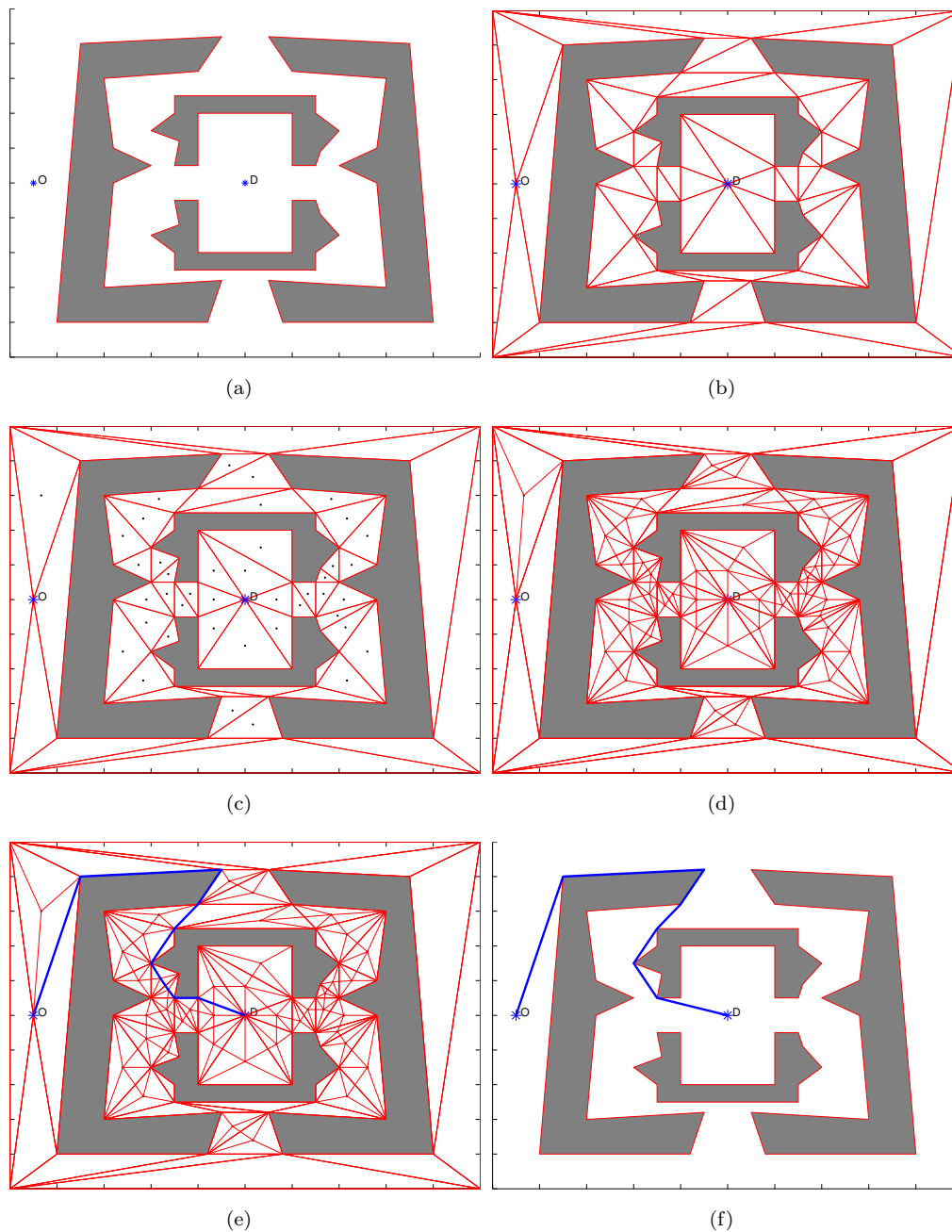


Figure 3. A simplified example of the pathfinding approach. Figure 3(a) shows the problem formulation with the origin and destination points marked and obstacles shown in grey. Figure 3(b) shows the result after performing a Delaunay triangulation on the configurational space. Figure 3(c) shows the Delaunay Triangulation with the Fermat points added for the appropriate triangles. Figure 3(d) shows the graph formed by the triangle edges and Fermat points connected to the appropriate triangle vertices and adjacent Fermat points. Figure 3(e) shows the results from a Dijkstra's shortest path algorithm on the constructed graph and figure 3(f) shows the results after performing the path shortening function.

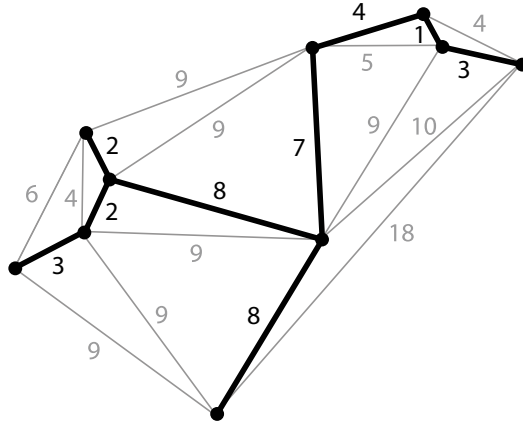


Figure 4. Example of a minimum spanning tree with arc costs shown.

341 5.2 Problem Formulation

Mathematically, the CMST can be formulated as:

$$\text{minimize } \sum_{i \in V} \sum_{j \in N} \sum_{l \in L} \left[(c_l \cdot d_{i,j} \cdot y_{i,j,l}) + \left(f_{i,j} \cdot y_{i,j,l} \cdot d_{i,j} \cdot \frac{R}{A_l} \cdot c_f \cdot I^2 \right) \right] \quad (3a)$$

$$\text{subject to } \sum_{i \in V} \sum_{l \in L} y_{j,i,l} \leq 1 \quad \forall j \in V, \quad (3b)$$

$$\sum_{i \in V} \sum_{l \in L} f_{j,i} \cdot y_{j,i,l} - \sum_{i \in N} \sum_{l \in L} f_{i,j} \cdot y_{i,j,l} = g_j \quad \forall j \in V, \quad (3c)$$

$$f_{i,j} - \sum_{l \in L} Q_l \cdot y_{i,j,l} \leq 0 \quad \forall (i,j) \in V, \forall l \in L, \quad (3d)$$

$$\sum_{l \in L} y_{i,j,l} \leq 1 \quad \forall (i,j) \in V, \quad (3e)$$

$$\sum_{l \in L} y_{i,j,l} + y_{q,r,l} \leq 1 \quad \forall (i,j,q,r) \in X, \quad (3f)$$

$$\sum_{i \in V} \sum_{l \in L} y_{i,j,l} + y_{j,i,l} \leq Q_{\text{connection}} \quad \forall j \in T, \quad (3g)$$

$$f_{i,j} \geq 0 \quad \forall (i,j) \in V, \quad (3h)$$

$$y_{i,j,l} \in \{0, 1\} \quad \forall (i,j) \in V, \forall l \in L \quad (3i)$$

342 The above formulation represents the minimum constraints to account for a CMST
 343 with multiple arc types each with a different capacity ratings. In this formulation there
 344 are two decision variables: $f_{i,j}$ represents the the power flow between nodes i and j and
 345 $y_{i,j,l}$ is a binary variable representing the presence of a cable between nodes i and j of
 346 cable-type l . Both i and j are turbine or substation elements of the set V and l is a
 347 cable-type of the set L . The quantity $Q_{\text{connection}}$ represents the physical constraint on
 348 the number of connections at each turbine position.

349 The objective function is made up of two terms, the first represents the fixed capital
 350 cost of the cable and its installation where c_l is the per-length cost of cable-type l , $d_{i,j}$ is
 351 the length of cable needed between nodes i and j . The second term represents a factor
 352 to account for the peak losses in the cable. In this regard, the CMST is bi-objective and

353 minimizes both the CAPEX costs of the cable and the losses in the cable. The losses
 354 are monetized by applying a cost of electricity c_f to represent the forgone revenue due
 355 to the loss. The losses are computed using: R is the resistivity of the cable, A_l is the
 356 cross-sectional area of cable type l , and I is the current level at peak, the cable length,
 357 and the flow in the cable. This bi-objective approach ensures that not only is the cable
 358 length minimized, but solutions with lower flow levels in cables are preferred in order to
 359 reduce Ohmic losses.

360 The seven constraints listed represent the minimum necessary for this problem includ-
 361 ing the fact that cables cannot cross one another. General CMST formulations and past
 362 wind farm planning tools do not include the constraints given by eqs. (3e) to (3g) (Gou-
 363 veia 1993; Gavish 1983; Uchoa, Fukasawa, and Lysgaard 2006; Fagerfjäll 2010; Svendsen
 364 2013). Constraint 3b stipulates that each node, or turbine can have at most one cable
 365 exporting power. Constraint 3c imposes the flow balance constraints such that the dif-
 366 ference between all flow out of each node and the flow into each node must be equal
 367 to the flow supplied at each node (the power generated by the turbine) denoted by g_j .
 368 Constraint 3d imposes the capacity constraint where Q_l is the capacity of cable-type l .
 369 Constraint 3e ensures that every cable can be of only a single cable-type. Constraint 3f
 370 accounts for the fact that for an offshore wind farm inter-array cables may not cross. In
 371 order to impose this, X is the set of turbine pairs for which cables cross. Constraint 3g
 372 constrains the number of cables connected to a turbine to $Q_{connection}$ to account for the
 373 physical space for circuit breakers in a turbine tower. Finally eqs. (3h) and (3i) constrain
 374 x_{ij} to be a positive flow, and y_{ijl} to be a binary variable as explained earlier.

375 5.3 Solution Approach

376 Though previous work formulated the problem similarly, they identified that a heuristic
 377 algorithm would be appropriate given the NP-completeness of the problem (Svendsen
 378 2013; Lindahl et al. 2013; Li, He, and Fu 2008). For this reason it was decided to use
 379 Gurobi 5.6, a commercial MILP solver which combines simplex solving techniques with
 380 bespoke cutting plane generation algorithms, and heuristic algorithms. Using Gurobi,
 381 the MIP gap, the relative difference between the upper and lower bounds, is used as
 382 a measure of optimality and a termination criteria. Generally Gurobi attempts to find
 383 a true global optimum which has an MIP gap approaching 0. In order to improve the
 384 performance the MIP gap was relaxed to 0.01. This means that once the upper and lower
 385 bound of the solutions are within a 1% difference the solution is considered optimal. This
 386 means in the worst case, the solution found is 1% away from optimality for the given
 387 path lengths.

Table 2. Comparison of full crossing constraint implementation to row generation method.

Turbines	Number of Crossing Constraints		Time to Solve CMST [s]		
	Full	Row Generation	Full	Row Generation	
52	790804	104	701.47	1867.68	
62	844914	2	847.94	13.79	
61	405862	0	1340.13	36.43	
Total	175	2041580	106	2889.54	1917.9

388 As stated earlier, the crossing constraints were imposed, however, it was found during
 389 the development of the methodology that imposing the full set of crossing constraints

390 for all pairs of cables resulted in many inactive constraints. It was also found that for
 391 problems with more than 40 turbines significant amounts of memory were required in
 392 order to avoid out of memory errors. It was instead decided to take an approach similar
 393 to the implementation of cutting planes and instead solve the MILP, check if any of the
 394 paths in the solution crossed, and if so impose that specific constraint. In this way the
 395 MILP solver is called iteratively, slowly increasing the number of constraints, until the
 396 solution is found. By doing this, the inactive constraints are not unnecessarily formulated
 397 and less memory is required. Even in small cases this row generation approach was
 398 shown to perform better than the full implementation. Table 2 shows a comparison of
 399 the performance using the full constraints and using the row generation approach. Due to
 400 the way in which the cable routes were found using the pathfinding algorithm described
 401 in section 4 it was not necessary to impose further constraints representing the regions
 402 where cables could not be placed.

Based on previous work by Fagerfjäll (2010) it was decided to explore the introduction of additional constraints in order to improve performance. Two additional constraints were therefore introduced:

$$f_{i,j} - \sum_{l \in L} y_{i,j,l} \geq 0 \quad \forall i, j \in T, \quad (4a)$$

$$\sum_{i \in T} \sum_{l \in L} y_{i,j,l} + y_{j,i,l} \geq 1 \quad \forall j \in T \quad (4b)$$

403 Equation 4a relates the flow and activity of an arc, while equation 4b stipulates that
 404 there must be at least one active edge connected to each node. Neither of these constraints
 405 is necessary in order to solve the problem, however, performance improvements were
 406 noted when they were included.

407 6. Results

408 6.1 Study Description

409 In order to assess the performance of this approach compared to other MILP and simple
 410 estimation methodologies it was applied for a real offshore wind farm. Navitus Bay
 411 Windpark, off the south coast of England is a Round 3 wind farm site which will have
 412 between 121 and 194 turbines. The site interestingly has a number of GIS constraints that
 413 would need to be taken into account during both the siting of turbines and the design of
 414 the inter-array cable network. These GIS constraints include unexploded World War II
 415 ordnance (UXOs), ship wrecks, and areas where the seabed characteristics are unsuitable
 416 for turbines or cables.

417 As no decision has been made on the layout of the turbines or the size of the turbine,
 418 a realistic turbine layout was designed using WindFarmer 5.2. This layout considers
 419 only the overall site boundary and the GIS constraints and has been generated for the
 420 explicit purpose of testing this inter-array cable optimization tool; it does not represent
 421 a real layout designed by the project developer. The layout studied here consists of 175
 422 6 MW turbines representing 1050 MW installed. This layout is larger than the 968 MW
 423 maximum allowed capacity for the wind farm and has been generated for the explicit
 424 purpose of demonstrating the capabilities of this optimization tool.

425 For this layout, the results using this tool are compared to running a simple design
 426 tool ignoring the GIS constraints, as well as estimating the total cable length only using
 427 the separation distance between turbines in the crosswind direction. The latter two rep-
 428 resent methodologies often employed in layout optimization tools and cost models. The

429 estimation based on the turbine separation considers neither the GIS constraints nor the
 430 capacity of cables and therefore represents a theoretical lower bound on the length of
 431 cable.

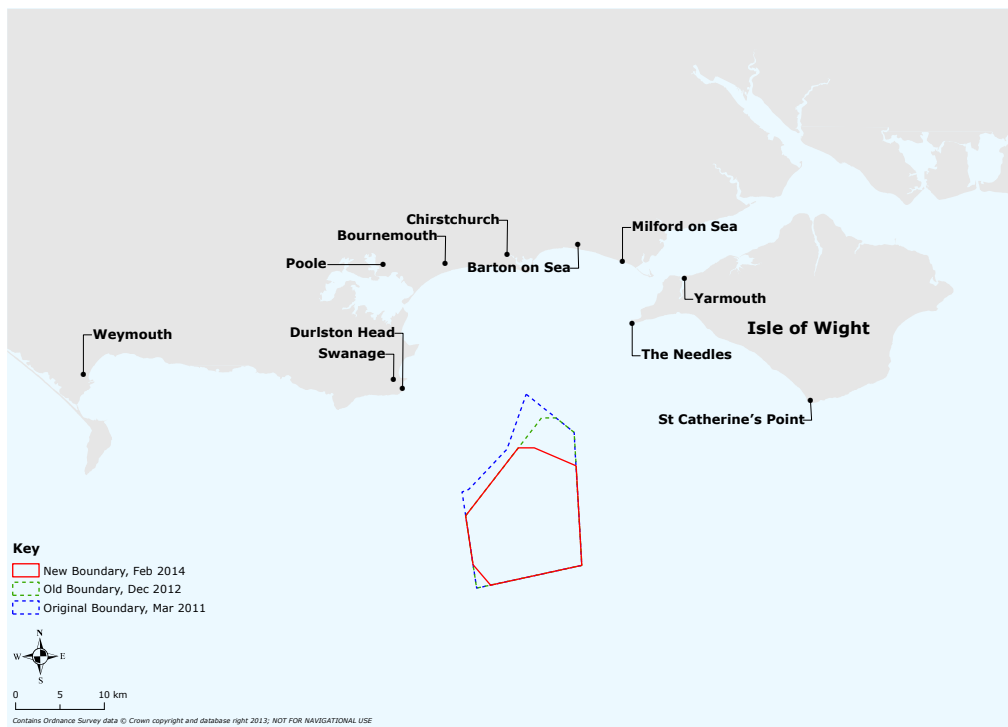


Figure 5. Illustrative map showing the Navitus Bay project site. Image courtesy Navitus Bay Development.

432 Based on the most recent boundaries shown in figure 5 along with the GIS data pro-
 433 vided by the Navitus Bay Development it was possible to generate turbine layouts using
 434 DNV GL WindFarmer 5.2. These turbine positions were then input to the inter-array
 435 cable optimization tool.

436 All MILP optimization problems were run using a gap of 0.01. A solution is also shown
 437 using the grid based pathfinding, however, this method required the relaxation of the
 438 crossing constraint and the solutions produced by this method therefore do not represent
 439 realistic solutions.

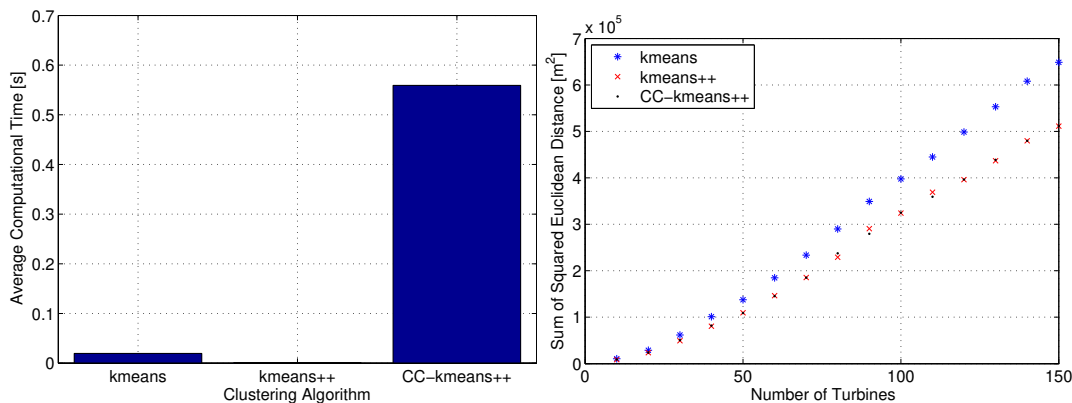
440 6.2 Substation Placement

441 Running first the substation placement component of the tool allowed the new con-
 442 strained capacitated kmeans++ (CC-kmeans++) algorithm to be benchmarked against
 443 common clustering approaches such as traditional kmeans and kmeans++. It should be
 444 noted that neither of these algorithms are designed to include capacity constraints or GIS
 445 based constraints limiting the area where it is permissible to place the cluster center.

446 Comparing the performance for a range of wind farm sizes within the Navitus Bay
 447 region it was found that the clustering was relatively inelastic to the number of turbines,
 448 and more strongly governed by the number of clusters that the turbines were to be
 449 partitioned into. Importantly, the constrained capacitated kmeans++ approach proved
 450 to be far slower than traditional clustering approaches, however, even given this it was
 451 deemed to have an acceptable performance as 150 turbines were easily partitioned into

452 two clusters in less than a second.

453 As can be seen in figure 6 though the performance of the new clustering algorithm is
 454 much slower than kmeans++, it gives similar results in terms of total distance between
 455 the turbines and the center location while at the same time adhering to the GIS and
 456 substation capacity constraints. Though the increase in computational time is relatively
 457 significant it is still a quick algorithm in absolute terms partitioning 150 turbines into
 458 two clusters in under 0.6 seconds.



(a) Average time to partition wind farm into two clusters. (b) Sum of distance between turbines and substation.

Figure 6. Comparison of the clustering algorithms. In both graphs lower values indicate better performance.

459 6.3 Optimized Inter-Array Cable Layout

460 The full implementation of both the substation placement and the inter-array cable
 461 optimization for a number of wind farms within the Navitus Bay site area gave the
 462 cable results shown in figures 7 and 8. When compared to the solutions of simpler MILP
 463 programmes, ignoring GIS constraints, it was found that the total cable length increased
 464 by almost 9 km representing an added capital cost of approximately €4.5 million and
 465 when compared to using an estimation based on the inter-turbine spacing, the total
 466 amount of cable is increased by approximately 13 km representing approximately €6.5
 467 million.

Table 3. Cable Length Comparison

Method	Cable Length [km]	Delta [km]
Turbine Spacing Based	148.75	-
CMST no GIS	157.66	8.91
CMST with GIS	161.84	13.09

468 From the results, a number of differences can be observed; ignoring the GIS constraints
 469 leads to a number of cables crossing the obstacle regions as would be expected. Interest-
 470 ingly, however, running either the A* grid based pathfinding (fig. 9) or the navigational
 471 mesh both produce fundamentally different solutions to the cable layout problem from
 472 the base case. This can be attributed to the optimal solution being more than just re-
 473 routing the cables that violate the obstacle constraint.

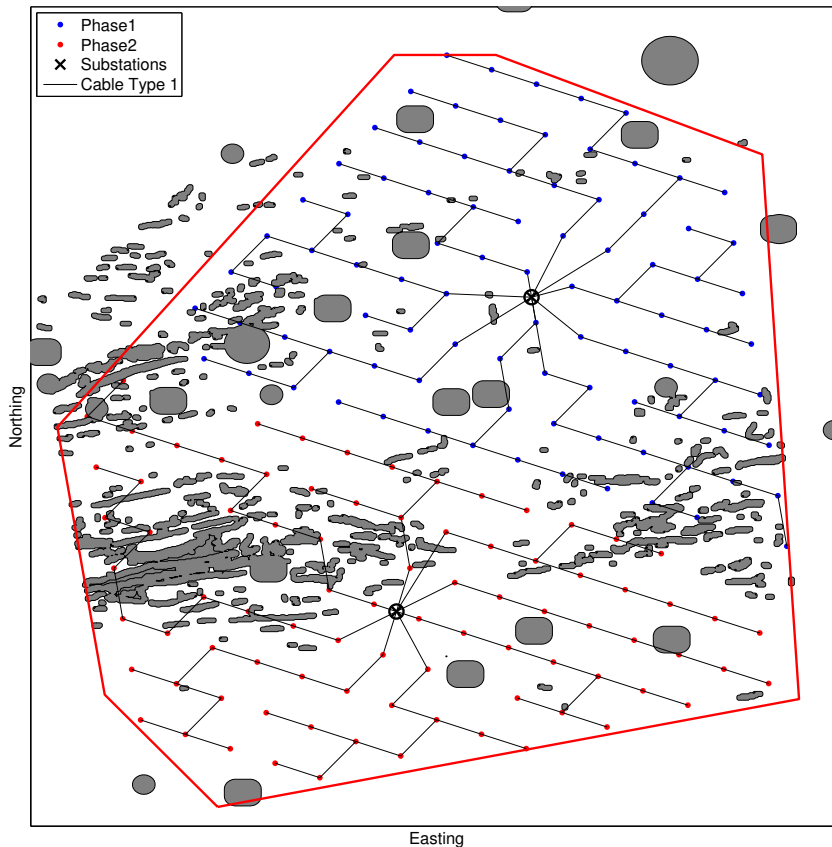


Figure 7. Cable layout, no GIS constraints.

474 Looking at the A* solution shown in figure 9, it can be observed that the grid based
 475 system experiences difficulty due to the limitations mentioned previously and in fact
 476 was unable to produce solutions without cables crossing. The proposed full methodology
 477 does, however, successfully place the substations at acceptable locations and designs an
 478 infield cable layout that does not violate any of the constraints including the GIS based
 479 constraints. This is shown in figure 8.

480 7. Conclusion

481 This article has outlined a new approach for the inter-array cable design problem for an
 482 offshore wind farm by means of breaking it into several sub-problems. These sub-problems
 483 have included a location-constrained capacitated clustering approach for placing the sub-
 484 stations, a navigational mesh based pathfinding algorithm to determine possible cable
 485 connections, and a MILP approach to solve for a CMST and select which cable connec-
 486 tions should be installed.

487 The CCCP compares well in performance against traditional clustering methods such
 488 as kmeans and kmeans++, though consistently slower than both, it has consistently bet-
 489 ter cluster centres than kmeans, and very similar results to kmeans++ while respecting
 490 the GIS constraints. This implementation represents a novel approach to the position-
 491 ing of an offshore substation and is one of the first automated approaches used for this
 492 application.

493 This study then opted to implement a navigational mesh pathfinding algorithm to
 494 determine possible cable connections based on constructing an approximation of a vis-
 495 ibility graph to describe the configurational space where cables can be placed. From

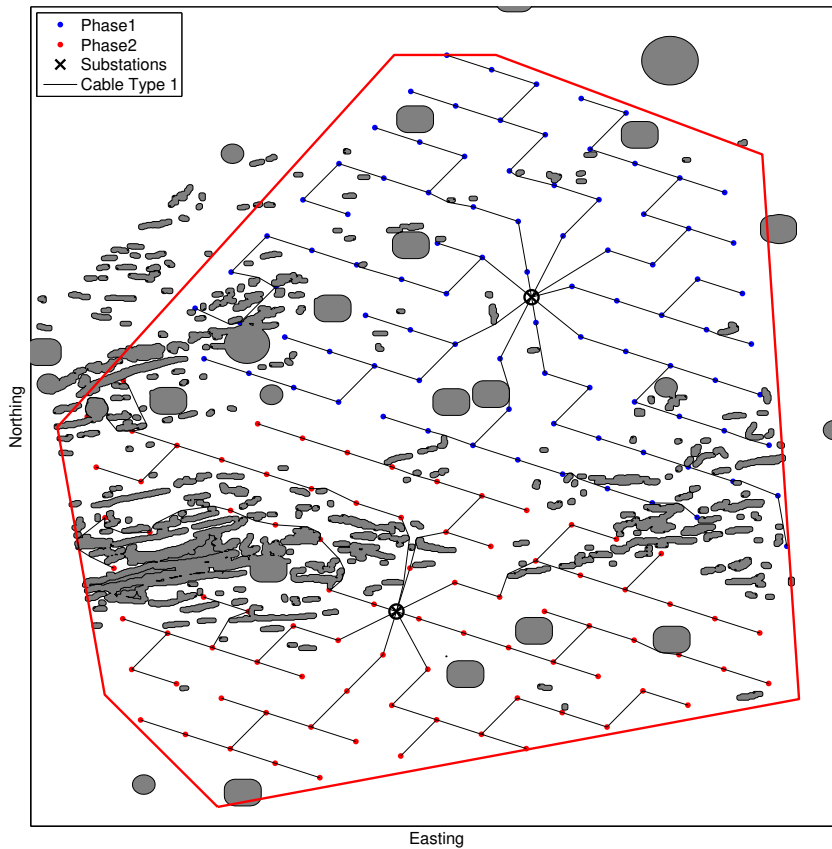


Figure 8. Cable layout, full optimization method.

496 the resulting graph that is constructed a simple shortest path algorithm with a bespoke
 497 path shortening heuristic is applied in order to produce good feasible solutions which
 498 approach optimality. The lengths of these paths are then used as edge lengths in an
 499 MILP implementation of a capacitated minimum spanning tree.

500 The results of this approach applied to a real offshore wind farm currently in the
 501 planning stages have yielded promising results indicating that this approach is not only
 502 valid but shows improvements over commonly used approaches based on the turbine
 503 separation distance. There are, still improvements that can be made, but this approach
 504 represents a strong step forward to the efficient automation of the layout design of an
 505 offshore wind farm and optimizing all aspects of the layout.

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 508 Project Development teams for the provision of the Navitus Bay GIS data and maps of
 509 the site.

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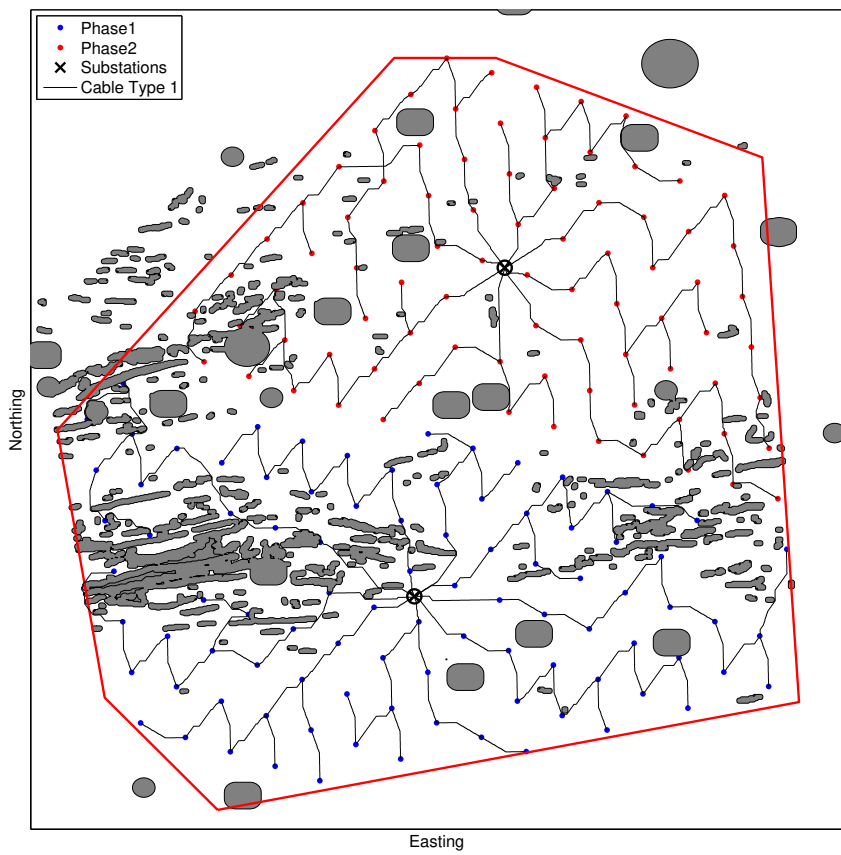


Figure 9. Grid based pathfinding using an A* search algorithm.

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