

# Old and stable soil organic matter is not necessarily chemically recalcitrant: implications for modeling concepts and temperature sensitivity

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## Abstract

Soil carbon turnover models generally divide soil carbon into pools with varying intrinsic decomposition rates. Although these decomposition rates are modified by factors such as temperature, texture, and moisture, they are rationalized by assuming chemical structure is a primary controller of decomposition. In the current work, we use near edge X-ray absorption fine structure (NEXAFS) spectroscopy in combination with differential scanning calorimetry (DSC) and alkaline cupric oxide (CuO) oxidation to explore this assumption. Specifically, we examined material from the 2.3–2.6 kg L<sup>-1</sup> density fraction of three soils of different type (Oxisol, Alfisol, Inceptisol). The density fraction with the youngest <sup>14</sup>C age (Oxisol, 107 years) showed the highest relative abundance of aromatic groups and the lowest O-alkyl C/aromatic C ratio as determined by NEXAFS. Conversely, the fraction with the oldest C (Inceptisol, 680 years) had the lowest relative abundance of aromatic groups and highest O-alkyl C/aromatic C ratio. This sample also had the highest proportion of thermally labile materials as measured by DSC, and the highest ratio of substituted fatty acids to lignin phenols as indicated by CuO oxidation. Therefore, the organic matter of the Inceptisol sample, with a <sup>14</sup>C age associated with ‘passive’ pools of carbon (680 years), had the largest proportion of easily metabolizable organic molecules with low thermodynamic stability, whereas the organic matter of the much younger Oxisol sample (107 years) had the highest proportion of supposedly stable organic structures considered more difficult to metabolize. Our results demonstrate that C age is not necessarily related to molecular structure or thermodynamic stability, and we suggest that soil carbon models would benefit from viewing turnover rate as codetermined by the interaction between substrates, microbial actors, and abiotic driving variables. Furthermore, assuming that old carbon is composed of complex or ‘recalcitrant’ compounds will erroneously attribute a greater temperature sensitivity to those materials than they may actually possess.

**Keywords:** density fractions, differential scanning calorimetry (DSC), near edge X-ray absorption fine structure spectroscopy (NEXAFS), radiocarbon, recalcitrance, scanning transmission X-ray microscopy (STXM), soil organic matter, stable isotopes, turnover time

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## Introduction

The predicted magnitude of the biospheric response to increasing heat content in the atmosphere varies greatly between different coupled climate and carbon cycle models (Friedlingstein *et al.*, 2006), indicating a high degree of mechanistic uncertainty. Of the important feedbacks not yet considered in simulations of the carbon-cycle-climate system, belowground processes are thought to be especially important (Heimann &

Reichstein, 2008). Among belowground processes, the mechanisms that govern the decomposition of old (but noncharcoal) soil carbon constitute a problem space that has seen significant conceptual debate and the publication of contradictory experimental results over the last few years.

Carbon pools with long turnover times are currently assumed to make up most of the organic carbon in soils (Davidson & Janssens, 2006; von Lützow & Kögel-Knabner, 2009). Several explanations have been proposed to explain the existence of old C including chemical recalcitrance, physical stabilization, and recycling. Because recalcitrance is used by different groups

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to mean different things, for this work we define chemical recalcitrance as an inherent chemical property of a molecule rendering it resistant to decomposition (Sollins *et al.*, 1996). This property is usually associated with complex aromatic structures such as lignin or soil organic carbon (SOC) that has been 'humified.' However, there is a growing body of publications which questions the importance of chemical recalcitrance as a stabilization mechanism while emphasizing the importance of other stabilization mechanisms and the need to decouple chemical recalcitrance from the age of C (Hedges & Oades, 1997; Mayer & Xing, 2001; Czimczik & Masiello, 2007; Torn *et al.*, 2009; Trumbore, 2009). In addition, Paul *et al.* (2006) state that the high correlations between different methodologies based on tracers and analytical methods that give different mean residence times for physical and chemical soil fractions can best be explained by the hypothesis that young SOC does not differ greatly in composition from older SOC. They further suggested that SOC may not necessarily change with age once it is formed (Paul *et al.*, 2006) and that operational fractions resistant to acid hydrolysis do not necessarily infer chemical recalcitrance. Other authors find no evidence that humification processes are indeed creating recalcitrant humic substances (Burdon, 2001; Kelleher & Simpson, 2006; Lehmann *et al.*, 2008). There are several reports that organic matter coatings on aluminosilicate surfaces consisted of labile, microbially processed materials (Rasmussen *et al.*, 2005; Buurman *et al.*, 2007; Grasset *et al.*, 2009) but exhibited a relatively old radiocarbon age (Rasmussen *et al.*, 2005; Sollins *et al.*, 2006). Alkyl C in clay size fractions has been proposed to be 'recalcitrant' (Baldock & Skjemstad, 2000), but direct, stable isotope based investigations into the turnover dynamics of lipid biomarkers showed that they typically turn over faster than bulk C (Wiesenberg *et al.*, 2004; Kramer & Gleixner, 2006; Amelung *et al.*, 2008; Bol *et al.*, 2009).

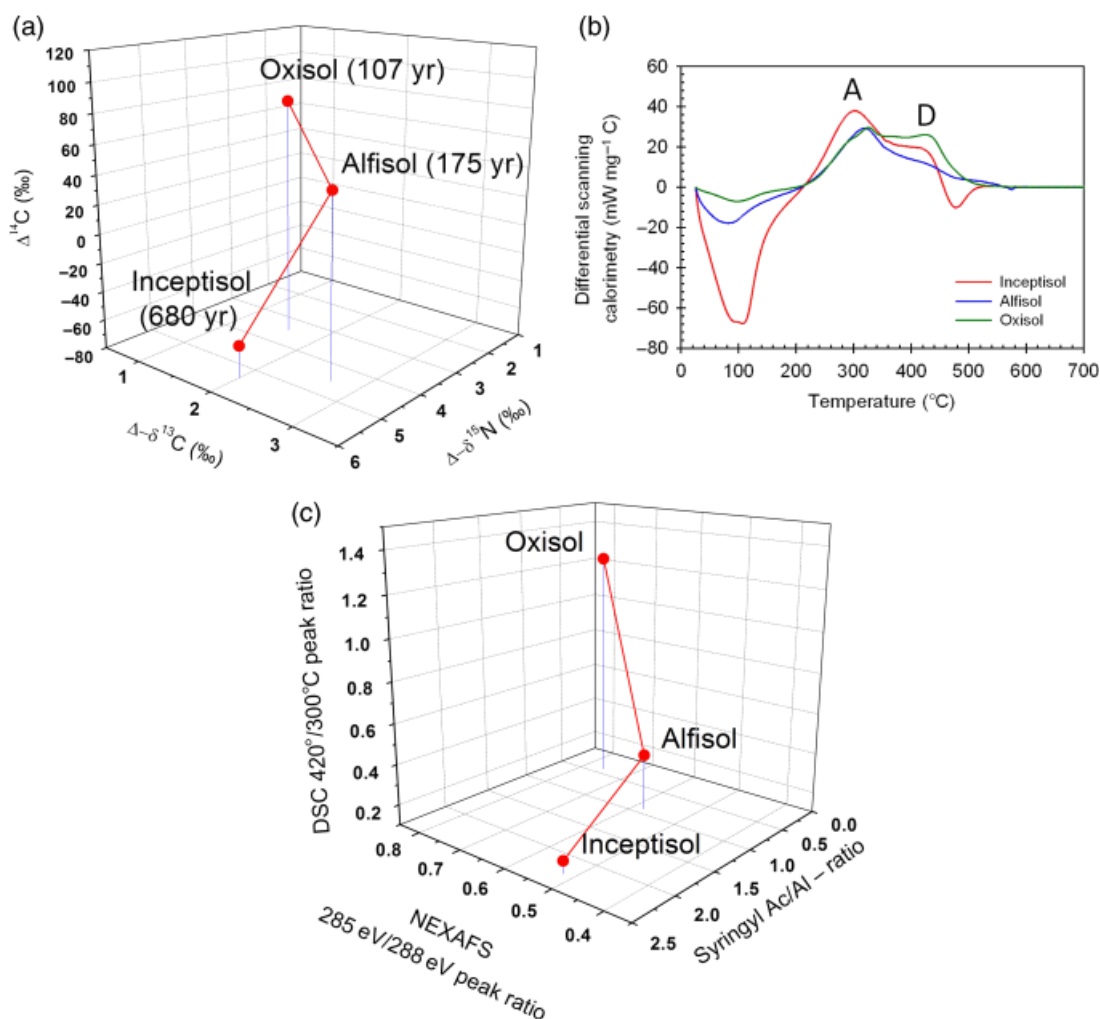
While conceptual models of C stabilization continue to become more refined, major computational soil carbon turnover models such as Century and RothC simply divide soil carbon into pools with varying intrinsic decomposition rates. While movement between pools and the pool associated decomposition rates are modified by temperature, texture, and moisture, the base rates associated with the most stable C pools are conceptually justified as the composite impact of physical protection and chemical recalcitrance. Conversely, both models assign microbial biomass and associated metabolites to a C pool (Metherell *et al.*, 1993; Jenkinson *et al.*, 2008) with a fast turnover rate constant. While this approach has enjoyed significant success, the extent to which these conceptual assumptions are correct becomes important when considering the response of soil

organic matter decomposition to changes in temperature because different stabilization mechanisms are likely to show different temperature sensitivities. While the models described above are intended to model soil C in its entirety, for the current work we focused specifically on mineral associated organic matter.

When organic matter is partially removed from soil materials by chemical or physical methods, the residual mineral-associated organic materials are typically much older compared with the carbon in the bulk soil (Wattel-Koekkoek *et al.*, 2003; Kleber *et al.*, 2005). Organic matter in intimate contact with mineral surfaces is often depleted in recalcitrant aromatic structures like lignin and phenolic components (Guggenberger *et al.*, 1994; Kiem & Koegel-Knabner, 2003), and enriched in carboxyl and *O*-alkyl carbon, which are considered highly labile structures (Mahieu *et al.*, 1999; Spielvogel *et al.*, 2008). However, information about the molecular composition of old organic matter (other than charcoal) is difficult to obtain, since old organic matter is typically closely associated with mineral surfaces (Flessa *et al.*, 2008). The goal of the current work was to provide detailed molecular structure information on old mineral associated C using near edge X-ray absorption fine structure (NEXAFS) spectroscopy, differential scanning calorimetry (DSC), and cupric oxide (CuO) oxidation. The strength of the NEXAFS technique is that it allows us to examine the molecular features of organic carbon without the analytical constraints (e.g. paramagnetic iron in NMR spectroscopy, thermal artifacts in pyrolysis) that sometimes complicate classical methods of organic matter analyses.

## Materials and methods

We specifically examined organo-mineral associations isolated from three different soil types (Oxisol, Alfisol, Inceptisol) with previously measured carbon turnover times of 107, 175, and 680 years, respectively (Sollins *et al.*, 2009). We chose the 2.3–2.6 kg L<sup>-1</sup> density fraction because particulate organic matter has been removed at this density and the remaining organic matter is closely associated with minerals. Our choice of sample was additionally motivated by the observation of greater enrichment in <sup>13</sup>C and <sup>15</sup>N with increasing turnover time (Fig. 1a), implying an increasing fraction of microbial material (Dijkstra *et al.*, 2006). As microbial materials are comprised of significant proportions of proteins and polysaccharides, which are considered chemically labile, this trend provided a puzzling first indication for a growing proportion of labile materials with increasing turnover time. To provide a proximate measure of the thermal lability of the organic materials, we used DSC. Alkaline CuO oxidation was used to determine the relative contributions of lignin phenolics and substituted fatty acids (SFA) as indicators for the extent of organic matter transformations, and scanning transmission X-ray microscopy coupled with NEXAFS spectroscopy was



**Fig. 1** (a) With decreasing  $^{14}\text{C}$  abundance (given as  $\Delta^{14}\text{C}$ ) and increasing turnover time ( $^{14}\text{C}$ -based), organic matter becomes more enriched in  $^{13}\text{C}$  and  $^{15}\text{N}$ . The  $\Delta\delta$  notation indicates the absolute value of isotopic enrichment in  $^{13}\text{C}$  and  $^{15}\text{N}$  in ‰ that was observed between light fraction ( $<1.6 \text{ kg L}^{-1}$ ) and the dense fraction investigated ( $2.3\text{--}2.6 \text{ kg L}^{-1}$ ) and was calculated as the difference between the  $\delta^{13}\text{C}$  [ $\delta^{15}\text{N}$ ] of the dense fraction and the  $\delta^{13}\text{C}$  [ $\delta^{15}\text{N}$ ] of the light fraction. Original data as published in Sollins *et al.* (2009). Brackets are single pool turnover times in years. (b) Differential scanning calorimetry thermograms of the Inceptisol, Alfisol, and Oxisol dense fractions showing endothermic (negative) peaks near 100 °C due to water release and two exothermic peaks (near 300 and 420 °C, peaks A and D, respectively) due to thermal oxidation of organic matter. (c) As turnover time increases, oxidation state of lignin (Syringyl Ac/Al ratio) increases in the order Oxisol < Alfisol < Inceptisol in agreement with a decrease in thermal stability (DSC 420°/300 °C peak ratio) and a decrease in aromaticity (285/288 eV peak ratios) as determined by near edge X-ray absorption fine structure spectroscopy (NEXAFS).

used to achieve speciation of organic functional groups in individual clay-size organo-mineral microaggregates.

#### Isolation of organo-mineral associations

Samples were obtained by the sequential density fractionation of three soils as reported previously (Sollins *et al.*, 2009). Soils were separated into fractions of increasing density by centrifugation of soil suspended in sodium polytungstate (SPT), aspiration of the floating material and supernatant, and vacuum filtration. Basic soil density fraction characteristics are given in Table 1. The Inceptisol sample was collected at the H. J. Andrews Experimental Forest in the Cascade Mountains,

Oregon. The Oxisol samples were from a Rosario series Inceptic Hapludox from Susua State Forest, Puerto Rico. The Alfisol samples were from a Typic Hapludalf from Michigan State University's Kellogg Biological Station. Basic soil and sample characteristics, including stable isotope and radiocarbon data and details on turnover time calculations are provided in (Sollins *et al.*, 2009).

#### Synchrotron near edge X-ray absorption fine structure (NEXAFS) spectroscopy

NEXAFS data were obtained on beamlines 5.3.2 and 11.0.2 at the Advanced Light Source (ALS), Lawrence Berkeley

**Table 1** Basic soil analysis

Property	Unit	Bulk soil <2 mm			Density fractions 2.3–2.6 kg L <sup>-1</sup>		
		OXI	ALF	INC	OXI	ALF	INC
Sand	g kg <sup>-1</sup>	18.4	44.2	31.7			
Clay	g kg <sup>-1</sup>	44.3	13.8	33.8			
CEC (NH <sub>4</sub> Ac)	cmol kg <sup>-1</sup>	39.9	8.1	20.8			
pH (CaCl <sub>2</sub> )		5.8	5.2	4.4			
C	g kg <sup>-1</sup>	58	15	38	97	14	7.4
% of total soil C					13.2	19.4	2.6
N	g kg <sup>-1</sup>	4.3	1.1	1.8	7.3	1.5	0.6
C:N		13.5	14.2	20.8	13.3	9.3	12
Δ <sup>14</sup> C		91.9	62.4	28.8	83.2	44.0	-58.3
MRT	years				107	176	684
δ <sup>13</sup> C		-26.0	-26.6	-25.9*	-26.5	-25.3	-24.7
δ <sup>15</sup> N		2.45	2.0	3.9*	1.4	4.4	5.4
Fe <sub>o</sub>	g kg <sup>-1</sup>	9.8	3.9	9.3	5.9	6.8	8.3
Al <sub>o</sub>	g kg <sup>-1</sup>	3.5	2.3	10.3	2.8	3.6	6.6
Al <sub>o</sub> + 0.5Fe <sub>o</sub>	g kg <sup>-1</sup>	8.4	4.3	14.7	5.8	7.0	10.8
Fe <sub>d</sub> -Fe <sub>o</sub>	g kg <sup>-1</sup>	194.4	1.0	3.2			
Fe <sub>o/d</sub>		0.05	0.80	0.74			
BET N <sub>2</sub> – surface	m <sup>-2</sup> g <sup>-1</sup>	63.7	4.8	45.1	118.9	17.3	32.0
C-loading	mg m <sup>-2</sup>	0.91	3.1	0.84	0.82	0.80	0.23

Data are an excerpt from Sollins *et al.* (2009).

\*Determined after resampling.

CEC, cation exchange capacity; o, oxalate extraction; d, dithionite extraction; BET N<sub>2</sub> surface area is the surface area accessible to N<sub>2</sub> gas and C-loading is the mass of organic carbon per surface area unit; OXI, oxisol; ALF, alfisol; INC, inceptisol; MRT, mean residence time.

National Laboratory. Sample preparation and data collection were performed as reported by Wan *et al.* (2007). Material from the 2.3–2.6 kg L<sup>-1</sup> density fraction of each soil type was deposited on individual Si<sub>3</sub>N<sub>4</sub> windows obtained from Silson Ltd., England. To locate individual particles or microaggregates on the window, survey scans were performed at 280 eV, below the C edge. Except as noted below, we disregarded particles with optical density greater than 2.0 at any energy between 278 and 330 eV, i.e. the energy range of our carbon K-edge spectra. This limited particle selection to those smaller than 1–2 μm. In the Alfisol sample, three particles had peak OD's of 2.13, 2.33, and 2.55, respectively. [OD = log(I<sub>o</sub>/I), where I<sub>o</sub> is the background photon flux transmitted through the Si<sub>3</sub>N<sub>4</sub> window measured within a clean area on the same Si<sub>3</sub>N<sub>4</sub> window and I the photon flux transmitted through a region within the sample]. However, the highest absorbing regions of these particles were not used when extracting the C spectra in order to avoid any spectral distortion due to high ODs. Spectra stacks were collected for the selected particles, which involves collected successive images of the particles for a series of ascending closely spaced energies. Spatially resolved spectra can then be extracted from these stacks. We collected stacks in the region of 278 to 330 eV broken into five different regions with different energy spacing between the images. Images were collected every 0.5 eV between 278 and 282 eV; every 0.1 eV between 282 and 290.1 eV; every 0.5 eV between 290.1 and 310 eV; and every 1 eV between 310 and

330 eV. Maps of total C distributions were then obtained using a pixel size of 25 nm, as differences of two absorption images recorded with monochromatic X-ray energies of 280 and 288.4 eV for background and C absorption, respectively. The absorption images were converted to OD images before subtraction. These maps were obtained by subtracting below-edge OD maps from their corresponding above-edge OD map.

Carbon NEXAFS stack alignment and analyses, and alignment of background and edge maps of other elements were done using the Zimba alignment module of the software package aXis2000 (Hitchcock, 2006). Functional groups and resonance peak energies used to characterize organic carbon species were taken from the compilation given in Table 2.

A semiquantitative analysis of NEXAFS spectra was carried out by peak deconvolution using the software PEAKFIT™ v4 (SeaSolve Software Inc., San Jose, CA, USA). Peak positions were assigned according to Table 2. Following the conventions of Solomon *et al.* (2005) and Schumacher *et al.* (2005), the transitions of an electron from the 1s orbital to an aromatic π\* orbital at 285 eV will be referred to as aromatic C. The 1s → π\* transition at 286.5 eV will be designated phenolic C, the signals at 289.5 eV will be called O-alkyl C and the resonance around 290 eV as carbonyl-C. The region between 288.2 and 288.8 eV includes transitions associated with amide C = O and those of carboxyl carbon, but we decided to follow the convention of Schumacher *et al.* (2005) and designate it as carboxyl C. The 1s → 3p/σ\* transition near 287.5 eV was designated as aliphatic

C. The deconvolution procedure was applied to the extracted spectra through the energy region from 278 to 310 eV. In spectra with large, potentially interfering potassium resonances at 295 and 302 eV, these resonances were manually removed and replaced with a straight line. Although the resonances of interest are all well below these energies, this first-order correction was used as an extra safeguard against fitting distortion from these large resonances. No attempt was made to subtract the contribution of the K-edge jump associated with these peaks, but given the fact that this edge should be much smaller than the resonance peaks, the likelihood of it causing noticeable fitting distortion was deemed acceptably low. Spectra were normalized to an edge jump of unity at 310 eV to account for differences in the absolute amount of C on the particles. This energy is beyond the location of specific resonances and is accepted as a reasonable representation of the total elemental C content. This approximation is further experimentally justified by the observation that the spectra are featureless beyond this energy (data not shown). Gaussian peaks with the energies listed above were used to fit the specific bonding resonances. All of the  $\pi$  resonance peaks were fitted using the same FWHM variable that was initially guessed as unity, but was allowed to vary during the fit. However, the final results never deviated more than 10% from this initial guess.

**Table 2** Assignment of functional groups and corresponding electron transitions to NEXAFS signals (Cody *et al.*, 1998; Urquhart & Ade, 2002; Schumacher *et al.*, 2005; Wan *et al.*, 2007)

Functional groups	Transition	Energy range (eV)
Quinonic C = O	1s $\rightarrow$ $\pi^*$	283.7–284.3
Aromatic C bonded to aromatic C; aromatic C–H	1s $\rightarrow$ $\pi^*$	284–285.5
Phenolic C–OH;		
ketonic C = O	1s $\rightarrow$ $\pi^*$	286.5–287.2
Aliphatic C–H	1s $\rightarrow$ 3p/ $\sigma^*$	287.1–287.8
Amide carbonyl (peptide)	1s $\rightarrow$ $\pi^*$	288.0–288.8
C = O, carboxylic C = O and C–OH		
C–O in O-alkyl C	1s $\rightarrow$ $\pi^*$	289.5
Carboxyl substituted aromatics, carbonyl C = O	1s $\rightarrow$ $\pi^*$	290.0–290.5

The ionization threshold was represented using a 'transition function' in the PeakFit curve library. This transition function emulates the arctangent function used by other researchers. The magnitude and FWHM of this function was fixed at unity to match the normalized data and the edge position was set at 290 eV in agreement with previous studies. The  $\sigma^*$  transitions were modeled using two additional Gaussian functions with initial energy positions at 294 and 303 eV. No restrictions were placed on these peaks, and the final FWHM values and energy positions were generally in good agreement with the constraints imposed by Schumacher *et al.* (2005). Peak magnitude for all of the Gaussian transitions was allowed to vary freely, as was energy, although the peak positions naturally constrained themselves to the ranges given above.

### Thermal analyses

Density fractions were subjected to thermal analyses as a rapid and integrative assessment of organic matter chemical and thermodynamic stability using a Netzsch STA 409PC Luxx simultaneous thermal analyzer equipped with a type-S TG-DSC sample carrier supporting a PtRh10-Pt thermocouple (Netzsch-Gerätebau GmbH, Selb, Germany). Samples were heated from 25 to 700 °C at a rate of 10 °C min<sup>-1</sup> in an oxidizing atmosphere (30 mL min<sup>-1</sup> of synthetic air). Results were normalized to sample organic C content, and are reported in units of mW g<sup>-1</sup> C. Semiquantitative analysis involved peak fitting of the resultant DSC thermograms (Plante *et al.*, 2005). Peak fitting was performed using PeakFit. Baselines were corrected using nonparametric routines, and were calculated from the terminal region of the thermogram (generally at temperatures of 600–700 °C). Peaks were fit using the residuals method with Gaussian peak types, allowing for negative peaks (endothermic) with variable peak widths. The initial number and placement of peaks were based on the shape of the thermograms, particularly the presence of signals that suggested separate thermal reactions. Fitting of the thermograms was performed iteratively until no change in the fitting statistics was observed. Deconvolution of the exothermic region of the three soils resulted in three or four individual Gaussian peaks (Table 3). The first peak centered near 100 °C records the endothermic reaction associated with hygroscopic water release. One peak centered at 297–314 °C (Peak A) and a second peak centered at 416–425 °C (Peak D) were common to all three soils. The small endothermic peak at 573 °C in the Alfisol signals the presence of quartz, while the source of the larger

**Table 3** Results from peak fitting of the exothermic region of differential scanning calorimetry (DSC) thermograms of density fractions (2.3–2.6 kg L<sup>-1</sup>) isolated from three soils

Soil	Peak A		Peak B		Peak C		Peak D		Peak E		%D/%A peak areas
	Temperature (°C)	Area	Temperature (°C)	Area	Temperature (°C)	Area	Temperature (°C)	Area	Temperature (°C)	Area	
Oxisol	299	2008	328	339	364	653	421	2400	–	–	1.3
Alfisol	314	2887	–	–	–	–	416	1129	511	270	0.4
Inceptisol	297	3345	–	–	379	1518	425	520	–	–	0.2

endothermic center at 478 °C in the Inceptisol sample is likely attributable to transformations of poorly crystalline minerals.

#### Alterations in plant component composition

Assessment of the contribution of plant components from the aromatic biopolymer lignin and the aliphatic biopolymers suberin and cutin was performed by alkaline CuO oxidation (Hedges & Mann, 1979; Goni & Hedges, 1990). Eight lignin phenols and nine hydroxyl and alkoxy-SFA were quantified as outlined in Filley *et al.* (2008). Compound yields are presented as mg compound per 100 mg organic C ( $\Delta 8$  designates the sum of the eight lignin phenols and SFA is the sum of the nine SFA). Estimation of lignin decay state is presented as the ratio of vanillic acid to vanillin (acid/aldehyde – Ac/Al ratio). Oxidative decay adds oxygen containing functional groups (COOH), which are acidic. Thus the greater the Ac/Al ratio, the more advanced the state of lignin decomposition (Hedges *et al.*, 1988).

#### Results

The exothermic regions (positive peaks) of the DSC data (Fig. 1b) were used to provide a comparative assessment of the chemical and thermodynamic stability of the organic matter within the 2.3–2.6 kg L<sup>-1</sup> density fraction of the three soils. The temperature scale position of an exothermal peak correlates with the activation energy for the thermal oxidation reaction (i.e. chemical stability), while peak intensity is a measure of the energy released during the reaction (i.e. a combination of energy content and compound abundance). Consequently, thermal reactions in the temperature region of Peak A ( $\approx 300$  °C) have been attributed to the decomposition of compounds such as carbohydrates and peptides, whereas those in the Peak D ( $\approx 420$  °C) range have been attributed to more aromatic components such as lignin (Lopez-Capel *et al.*, 2005; Laird *et al.*, 2008). The ratio between the areas of these two peaks (D/A) provides an index for the relative contribution of chemically labile compounds to more stable ones. Thermograms reported for classical humic acids and fulvic acids typically have high D/A ratios (Chen *et al.*, 1978). Previously, decreases in this ratio have been taken to correspond with increases in biogeochemical lability (Leifeld, 2008). However, in our study the decrease in this ratio (Table 3) was directly correlated with increases in  $\delta^{14}\text{C}$  age of the samples (Fig. 1a). It is also directly correlated with greater microbial processing as determined by stable isotope analysis (Fig. 1a). Since microbial compounds, proteins, and polysaccharides, are also considered chemically labile compounds, all the evidence suggests that in these density fractions, the oldest organic matter is in fact the most chemically labile.

**Table 4** Concentration (mg 100 mg<sup>-1</sup> C<sub>org</sub>) and molecular parameters of lignin phenols and substituted fatty acids for density fractions (2.3–2.6 kg L<sup>-1</sup>) from three soils

	Oxisol	Alfisol	Inceptisol
Lignin phenols ( $\Delta 8$ )	2.00	0.75	0.96
C/V	0.37	0.48	0.25
S/V	0.63	0.89	0.05
Ac/Al (V)	0.49	0.91	1.45
Ac/Al (S)	0.40	0.91	2.27
Substituted fatty acids (SFA)	2.16	0.74	1.75
SFA/Lignin phenols	1.08	0.99	1.83

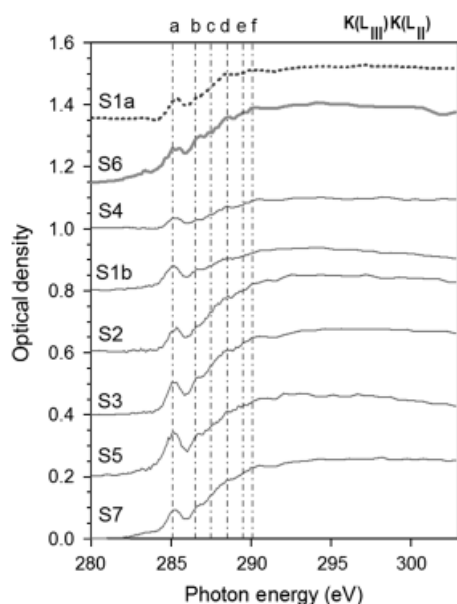
This result is further supported by the chemical differences observed in the CuO-extracted lignin phenols and suberin- and cutin-derived SFA (Table 4).

The syringyl and vanillyl acid/aldehyde ratios (Ac/Al<sub>(s)</sub> and Ac/Al<sub>(v)</sub>) of fresh plant organic matter are typically below 0.4 and this value increases with increasing microbial decay (Hedges *et al.*, 1988). The Oxisol sample was characterized by the lowest Ac/Al<sub>(s)</sub> Ac/Al<sub>(v)</sub> ratios, the greatest concentrations of lignin phenols, and the greatest concentration of SFA, indicating it contained the most plant-like organic matter (Table 4). Furthermore, the Ac/Al<sub>(s)</sub> and Ac/Al<sub>(v)</sub> ratios were directly correlated with turnover time, i.e. highest Ac/Al ratio from the sample with the longest turnover time. The relative proportion of extracted plant derived SFA to lignin phenols was greatest for the organic matter in the Inceptisol fraction, 680 years and similar for the Oxisol and Alfisol samples, 107 and 175 years, respectively. Increasing Ac/Al<sub>(s)</sub> and Ac/Al<sub>(v)</sub> ratios and decreasing lignin recovery have been previously observed with increasing particle density, decreasing particle size, or within aggregate gradients (Guggenberger *et al.*, 1994; Sollins *et al.*, 2006). Therefore, similar to the stable isotope and DSC results, the lignin and SFA analyses show a trend of organic materials becoming more microbial like and less chemically recalcitrant with increasing age.

For further investigation of this trend, we obtained NEXAFS C 1s spectra for a total of 23 individual carbon-mineral microaggregates from the Oxisol ( $n = 8$ ), Alfisol ( $n = 9$ ), and Inceptisol ( $n = 6$ ) samples (Figs 2–4).

The spectra from the Fe-rich Oxisol sample are quite similar (Fig. 2), whereas we observed considerable variation among the Alfisol and Inceptisol spectra (Figs 3 and 4). Close comparison of recurring spectral features showed that the spectra from all three samples could be grouped into three spectral types (Fig. 5).

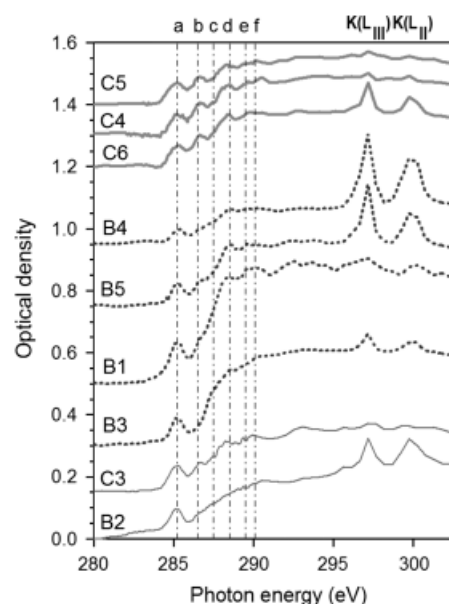
The Type 1 (yellow) spectra had a strong signal near 285 eV, but showed little differentiation at higher energies, merely exhibiting a steady rise towards the carbon



**Fig. 2** Carbon near edge X-ray absorption fine structure (NEXAFS) spectra for eight nanoaggregates from the 2.3 to 2.6 g cm<sup>-3</sup> density fraction of an Oxisol A horizon. Vertical dashed lines indicate resonances of (a) aromatic, (b) phenolic, (c) aliphatic, (d) amide and carboxyl C, (e) O-alkyl, and (f) carbonyl functional groups. The peaks at 297 and 300 eV result indicate the L<sub>3</sub> and L<sub>2</sub> edges of potassium. Spectra are sorted into three groups: Type 1 is represented by thin continuous line, type 2 by thick continuous line and type 3 by dotted line. Spectral identity is documented by internal labels.

postedge near 290–292 eV. This spectral type was most common in the Oxisol sample accounting for 75% of the spectra. This undifferentiated type of spectrum has been reported for kerogen-type materials (Cody *et al.*, 1998), but has not been commonly observed in soils or marine environments. It was the most abundant spectral type ( $n = 10$  or 43% of all particles/microaggregates), occurring in all three soils. Interestingly, this spectrum type was the most abundant in the subtropical Oxisol (Oxisol,  $n = 6$  out of 8), which had the fastest turnover time of the three samples.

The Type 2 (red) spectra were characterized by three discernible peaks at 285 eV (aromatic C), 286.5 eV (phenolic C), and 288.5 eV (amide and carboxyl C). This spectral type is seen in both the Alfisol sample and the Inceptisol. Spectra of this type have been frequently reported for alkaline extracts of organic matter (Lehmann *et al.*, 2005), melanoidin produced by the reaction of lysine with glucose (Brandes *et al.*, 2004), organic matter in microaggregates (Kinyangi *et al.*, 2006), water dispersible colloids (Schumacher *et al.*, 2005) and aquatic dissolved organic matter (Schumacher *et al.*, 2006). While the Type 2 spectrum resembles the spectra most often reported in NEXAFS investigations of soils and

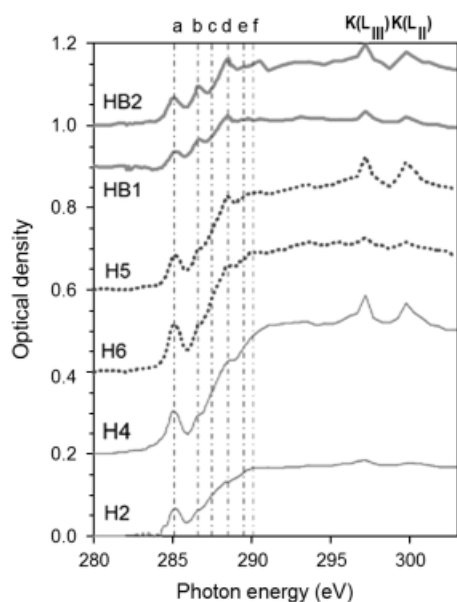


**Fig. 3** Carbon near edge X-ray absorption fine structure (NEXAFS) spectra for nine nanoaggregates from the 2.3–2.6 g cm<sup>-3</sup> density fraction of an Alfisol A horizon. Vertical dashed lines indicate resonances of (a) aromatic, (b) phenolic, (c) aliphatic, (d) amide and carboxyl C, (e) O-alkyl, and (f) carbonyl functional groups. The peaks at 297 and 300 eV result indicate the L<sub>3</sub> and L<sub>2</sub> edges of potassium. Spectra are sorted into three groups: Type 1 is represented by thin continuous line, type 2 by thick continuous line and type 3 by dotted line. Spectral identity is documented by internal labels.

nonmarine aquatic systems, it represented only  $n = 7$  or 30% of all particles in our study.

The Type 3 (blue) spectra showed a resonance at the 285 eV aromatic C region followed by a steep rise to a peak at 288.5 eV (carboxyl C) followed by a roughly constant signal intensity. This spectral type was also seen in both the Alfisol and Inceptisol samples. The Type 3 pattern is most similar to spectra taken of microbial tissues, strongly implying that this spectral type represents OM composed of microbial material or material that has been processed by microbial metabolism. Spectra of this kind have been reported for marine particles rich in proteins and aliphatics (Brandes *et al.*, 2004). Lehmann *et al.* (2007, fig. 6) reported similar spectra for organic matter associated with mineral surfaces within microaggregates. Similarly, Schumacher *et al.* (2005, fig. 1) used cluster analysis to extract a spectrum from the interior region of an aggregate that shows the Type 3 pattern, whereas the spectrum from the particle exterior was of Type 2.

The results of the semiquantitative fitting of the NEXAFS spectra both as a function of spectral type and soil type are shown in Table 5. Several important trends can be seen in these data. First, the relative



**Fig. 4** Carbon near edge X-ray absorption fine structure (NEXAFS) spectra for six nanoaggregates from the 2.3 to 2.6 g cm<sup>-3</sup> density fraction of an Inceptisol A horizon. Vertical dashed lines indicate resonances of (a) aromatic, (b) phenolic, (c) aliphatic, (d) amide and carboxyl C, (e) O-alkyl, and (f) carbonyl functional groups. The peaks at 297 and 300 eV result indicate the L<sub>3</sub> and L<sub>2</sub> edges of potassium. Spectra are sorted into three groups: Type 1 is represented by thin continuous line, type 2 by thick continuous line and type 3 by dotted line. Spectral identity is documented by internal labels.

proportion of aromatic C declined from Type 1 to Type 2 to Type 3, while the proportion of amide and carboxyl groups (288.5 eV) increased in the same order (Table 5a).

Described another way, the relative aromaticity [(aromatic C)/(amide and carboxyl C)], Table 5a) decreased from Type 1 to Type 3, while the ratio of O-alkyl C to aromatics increased from Type 1 to Type 3. These differences can be explained by assuming that Type 1 spectra represent organic materials in an early decomposition stage, while Type 2 and Type 3 spectra are indicative of progressively more advanced stages of decomposition. Re-sorting the data in Table 5a by soil type (Table 5b) reveals that the derived aromaticity and O-alkyl C/aromatic C ratios vary in a manner similar to the changes seen in the three spectral types. Specifically, the density fraction with the fastest turnover (Oxisol, 107 years) shows the highest relative aromaticity and the lowest O-alkyl C/aromatic C ratio, while the density fraction with the slowest turnover (Inceptisol, 680 years) shows the lowest relative aromaticity and highest O-alkyl C/aromatic C ratio (Table 5a and b). The changes in the soil spectral characteristics can be understood similarly to that of the spectral types by postulating that the extent of organic matter decomposition

changes consistently with increasing turnover time (Fig. 1c) from Oxisol (107 years) over Alfisol (175 years) to Inceptisol (680 years) and that the fraction of microbially derived material is greatest in the fraction with the oldest C (Inceptisol).

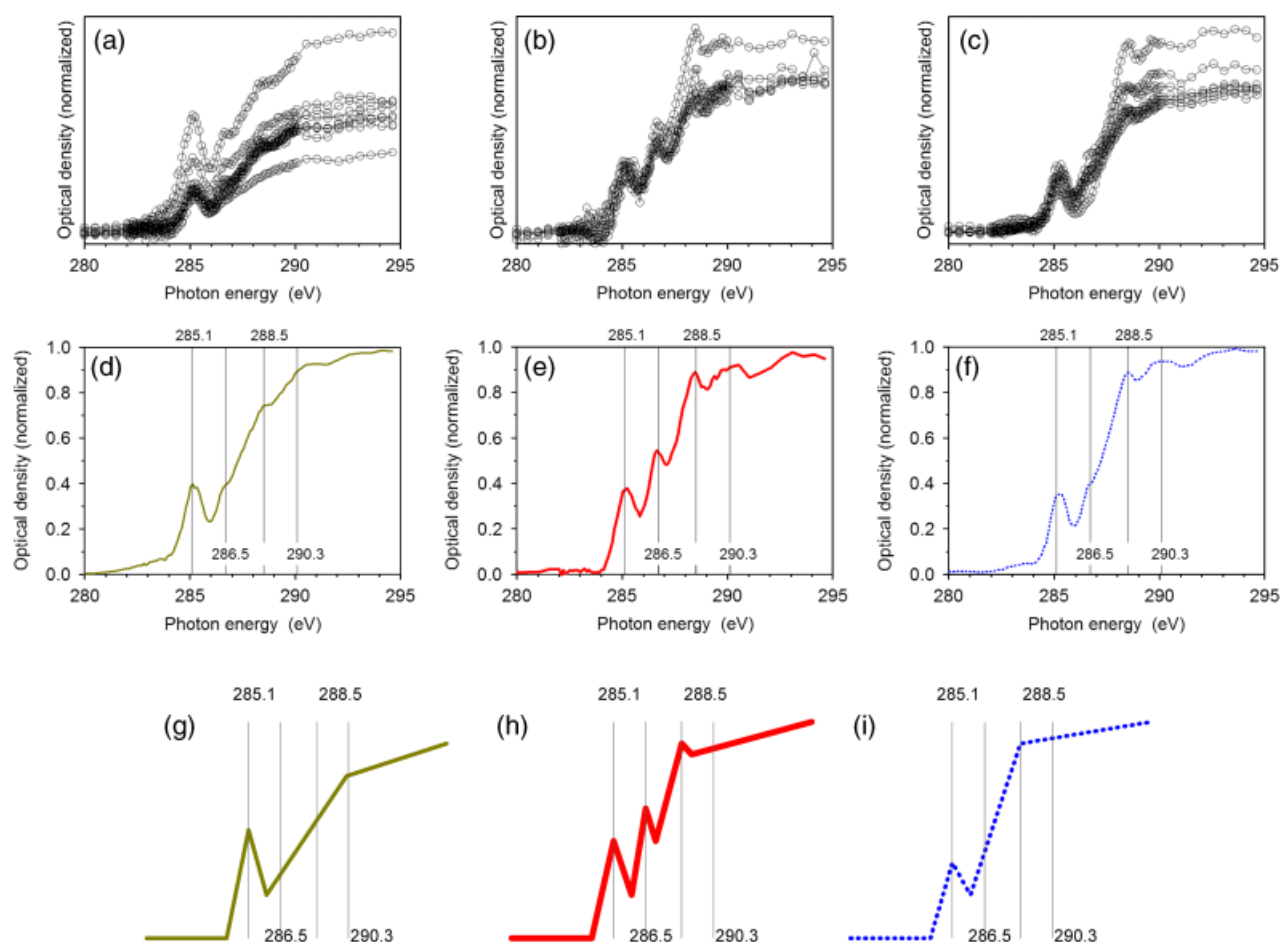
## Discussion

Carbon turnover models have historically treated the carbon that turns over on very long time scales as the refractory carbon pool (Falloon & Smith, 2000). Organic functional groups such as aromatic rings are thought to contribute to the recalcitrance of macromolecular syntheses products due to thermodynamic barriers to their decomposition, whereas compounds like carbohydrates are considered labile because of the relatively low activation energies required for their oxidation (Davidson & Janssens, 2006). We found no indication that materials with the characteristics attributed to highly humified products are a major constituent of organo-mineral associations with a mean turnover time of 680 years. In fact, the Type 2 spectra, most frequently reported for classical alkaline extracted humic substances, were the least common spectra observed in our organic mineral associations. Rather, our results suggest a special role for microbially derived materials in organic matter stabilization. This insight is supported by the fact that mineral-associated organic matter with the longest mean residence time (i.e., the Inceptisol, with the lowest  $\Delta^{14}\text{C}$ ) showed the strongest evidence of microbial alteration, as indicated by three independent analyses, i.e. stable isotope data, Ac/Al ratios, and C-NEXAFS spectral character.

But the absence of recalcitrant, highly aromatic humic-like organic compounds from mineral associated soil organic matter pools as observed in this study and as corroborated by an increasing number of reports (Grandy & Neff, 2008; Kögel-Knabner *et al.*, 2008; Marschner *et al.*, 2008) has an intriguing indirect consequence. If stable organic matter, which is commonly understood to make up most of the organic carbon in soils (von Lützow & Kögel-Knabner, 2009) is of a chemically labile molecular form then there are additional mechanisms keeping it from decomposing. The temperature sensitivity of those mechanisms is unknown and may likely be different than that predicted for chemically recalcitrant compounds using an activation energy approach.

We posit that the frequently encountered notion of a link between the 'stability' or 'recalcitrance' of soil organic matter and its temperature sensitivity (Fang *et al.*, 2006; Conant *et al.*, 2008; von Lützow & Kögel-Knabner, 2009) may result from the reliance on an outdated molecular model for stable organic matter, at





**Fig. 5** Allocation of normalized near edge X-ray absorption fine structure (NEXAFS) spectra to three typical signal patterns. For each of the three types of spectra identified, we show an overlay of all normalized spectra considered to belong to this type, the average spectrum (obtained by averaging the  $n$  values for each energy value, with  $n$  = number of spectra) and the derived general spectral type. (a, d, g) Type 1 spectra; (b, e, h) Type 2 spectra; (c, f, i) Type 3 spectra.

**Table 5** Relative proportions of organic functional groups identified by C 1s NEXAFS spectroscopy in 2.3–2.6 kg L<sup>-1</sup> density fractions, sorted for (a) spectrum type as assigned in Fig. 5 and (b) soil type

	Aromatic	Phenolic	Aliphatic	Amide and carboxyl	O-alkyl	Carbonyl	Aromaticity*	O-alkyl/ aromatic C†
Photon energy (eV)	284–285.5	286.5–287.2	287.1–287.8	288.0–288.8	289.5 eV	290.0–290.5		
<i>(a) Spectral type (% composition)</i>								
Type 1 ( $n = 10$ )	17 (4)	12 (2)	16 (2)	22 (2)	20 (2)	12 (3)	0.77	1.19
Type 2 ( $n = 6$ )	14 (3)	18 (1)	15 (2)	24 (3)	20 (1)	9 (1)	0.58	1.41
Type 3 ( $n = 7$ )	12 (1)	10 (3)	17 (2)	26 (2)	21 (1)	13 (1)	0.47	1.73
<i>(b) Soil type (% composition)</i>								
Oxisol ( $n = 8$ )	17 (4)	14 (3)	16 (1)	22 (2)	20 (2)	11 (3)	0.76	1.16
Alfisol ( $n = 9$ )	14 (3)	13 (5)	16 (2)	24 (3)	21 (2)	12 (3)	0.59	1.47
Inceptisol ( $n = 6$ )	13 (1)	13 (3)	16 (2)	25 (2)	21 (1)	12 (3)	0.52	1.60

Numbers are mean values with standard deviation in brackets.

\*Aromaticity = (aromatic)/(amide and carboxyl).

†O-alkyl/aromatic C = (O-alkyl)/(aromatic).

least when applied to the organic matter bound in the type of organo-mineral complexes studied here. Briefly, the carbon quality-temperature (CQT) theory of the temperature sensitivity of organic matter decomposition links the temperature sensitivity of old organic carbon to an increase in molecular complexity (Bosatta & Agren, 1999; Davidson & Janssens, 2006). The classical Humic Polymer Model of soil organic matter (Brady & Weil, 2008) depicts stable organic material as large, covalently bonded humic polymers with unique chemical structures that are different from those of the starting materials (Schulten & Schnitzer, 1997; Swift, 1999). Fragments are joined into polymeric macromolecules by strong covalent bonds, thus creating the complexity that is required by the CQT theory. But if old soil organic matter fragments are not large, complex, polymeric humic macromolecules (Piccolo, 2001; Sutton & Sposito, 2005), then they lose the special temperature sensitivity as expected by the CQT theory. Therefore, we suggest that some of the contradictory evidence (Wixon & Balser, 2009) in the ongoing discussion about the temperature sensitivity of the decomposition of SOC may result from the automatic assumption that stable, old organic matter is primarily composed of materials that are 'chemically recalcitrant'. A promising strategy towards an improved, mechanistic representation of soil organic matter turnover would be to view the persistence of soil organic matter as codetermined by the interaction between substrates, microbial actors, and abiotic driving variables. In such an approach, instead of being dependent on the ill-defined 'recalcitrance' of molecular structures, organic matter turnover would be seen as a function of microbial ecology and the resource availability within a given physical soil environment.

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