

## ON 3-DIMENSIONAL TERMINAL SINGULARITIES

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### Introduction

Canonical and terminal singularities are introduced by M. Reid [5], [6]. He proved that 3-dimensional terminal singularities are cyclic quotient of smooth points or  $cDV$  points [6].

Let  $(X, p)$  be a 3-dimensional terminal singularity of index  $m$  with the associated  $Z_m$ -cover  $(\tilde{X}, \tilde{p}) \rightarrow (X, p)$ . If  $(X, p)$  is a cyclic quotient singularity (i. e. if  $(\tilde{X}, \tilde{p})$  is smooth), then it is known as Terminal Lemma (Danilov [3], D. Morrison-G. Stevens [4]) that there exist an integer  $a$  prime to  $m$  and coordinates  $x, y, z$  of  $(\tilde{X}, \tilde{p})$  which are  $Z_m$ -semi-invariants such that  $\sigma(x) = \zeta x$ ,  $\sigma(y) = \zeta^{-1}y$ ,  $\sigma(z) = \zeta^a z$  for the standard generator  $\sigma$  of  $Z_m$ , where  $\zeta$  is a primitive  $m$ -th root of 1. In this paper, we consider the case where  $(\tilde{X}, \tilde{p})$  is a singular point and  $m > 1$ . The main results are Theorems 12, 23, 25 and Remarks 12.2, 23.1, 25.1. These, together with the Terminal Lemma above, almost classify 3-dimensional terminal singularities.

Since  $(\tilde{X}, \tilde{p})$  is an isolated singularity (or smooth) and is a hypersurface defined by a  $Z_m$ -semi-invariant power series (say  $\varphi$ ), all deformations of  $(X, p)$  are induced by deformations of  $\varphi$  as a  $Z_m$ -semi-invariant power series [2, §§9-10]. By Theorems 12, 23 and 25, one can see that there is a semi-invariant coordinate which has the same character as  $\varphi$  (e. g.  $z$  in Theorem 12, (1)), and hence every terminal singularity can be deformed to a cyclic quotient singularity (e. g. by  $\varphi + \lambda z$  with parameter  $\lambda$  for the case Theorem 12, (1)). This is not necessarily the case with canonical singularities.

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As for the notation, we say that a monomial (say  $u^2$ ) appears in a

power series (say  $\varphi$ ) or  $\varphi$  contains  $u^2$  if  $u^2$  appears with a non-zero coefficient in the power series expansion of  $\varphi$ .

After having written up the paper, we learnt that Pinkham had proved similar (but slightly weaker) results (unpublished). We are grateful to Professor Kawamata, Pinkham, and Tsunoda for this information.

### §1. Criteria for terminal and canonical singularities

Let  $C$  be the field of complex numbers, and  $C\{x\}$  denotes the ring of convergent power series in variables  $x$ .

LEMMA 1. *Let  $(X, p)$  be the germ of an  $n$ -dimensional terminal (resp. canonical) singularity of index  $m$ . Let  $(X', p')$  be the germ of an  $n$ -dimensional reduced Gorenstein variety and  $f: (X', p') \rightarrow (X, p)$  a morphism such that  $f$  factors as*

$$X' \xrightarrow{g} Y \xrightarrow{h} X,$$

where  $h$  is a blow-up of  $X$  and  $g$  is quasi-finite. Let  $\omega$  be a generator of  $\omega_X^{(m)}$  at  $p$ . Then  $f^*\omega$ , as a meromorphic section of  $\omega_{X'}^{\otimes m}$ , vanishes (resp. is regular) along an arbitrary irreducible divisor  $D \ni p$  such that  $\dim f(D) < n - 1$ .

*Proof.* Let  $D$  be a divisor as in the lemma. Let  $\pi: \tilde{X}' \rightarrow X'$  be the normalization, and  $X'' \subset \tilde{X}'$  the complement of the singular locus of  $\tilde{X}'$ . Since  $\text{codim}_{\tilde{X}'}(\tilde{X}' - X'') \geq 2$  and since  $(\pi^*\omega_{X'})|_{X''} \supset \Omega_{X''}^n$ , we may replace  $(X', p')$  by  $(X'', p'')$  for some smooth  $p''$  such that  $\pi(p'') \in D$ . In other words, we may assume that  $X'$  is smooth. Hence in the factorization  $X' \rightarrow Y \rightarrow X$ , we may assume that  $Y$  is normal and  $q = g(p)$  is a smooth point of  $Y$  (by moving  $p$  in  $D$  if necessary). Then  $h^*\omega$  vanishes (resp. is regular) along  $g(D)$ . Since  $g: (X', p') \rightarrow (Y, q)$  is a morphism of manifolds,  $f^*\omega = g^*h^*\omega$  vanishes (resp. is regular) along  $D$ . q.e.d.

Let  $(X, p)$  be a 3-dimensional canonical singularity of index  $m$  such that, for the associated  $Z_m$ -cover  $\pi: (X', p') \rightarrow (X, p)$  [5, 6],  $(X', p')$  is a hypersurface singularity. Then there exist  $Z_m$ -semi-invariants  $x_1, \dots, x_4$  in the analytic local ring  $\mathcal{O}_{X', p'}^h$  such that

$$(1.1) \quad \rho(x_i) = \zeta^{e_i} x_i \quad (i = 1, \dots, 4)$$

$$(1.2) \quad \mathcal{O}_{X', p'}^h \cong C\{x_1, \dots, x_4\}/(\varphi),$$

where  $\rho$  (resp.  $\zeta$ ) is a generator of  $Z_m$  (resp.  $\mu_m$ ),  $c = (c_1, \dots, c_4) \in Z^4$  be such that  $\gcd(c, m) = \text{g.c.d.}\{c_1, \dots, c_4, m\} = 1$ , and  $\varphi$  is a semi-invariant. Since  $\pi$  is unramified outside  $p'$ , one has

$$\{q \in X' \mid x_i(q) = 0 \quad \text{if } c_i \not\equiv 0 \pmod{d}\} = \{p'\}$$

for any divisor  $d > 1$  of  $m$ . Hence, for any divisor  $d > 1$  of  $m$ , one has

$$(1.3) \quad \gcd(c, m) = 1, \quad \#\{i \in [1, 4] \mid c_i \equiv 0 \pmod{d}\} \leq 1.$$

Now we reverse the process:

NOTATION (2.0). Let  $Z_m$  act on  $C\{x_1, \dots, x_4\}$  by (1.1) with  $\rho$  (resp.  $\zeta$ ) a generator of  $Z_m$  (resp.  $\mu_m$ ), where  $c \in Z^4$  satisfies (1.3) for an arbitrary divisor  $d > 1$  of  $m$ . Let  $\varphi$  be a semi-invariant of  $C\{x_1, \dots, x_4\}$  such that  $C\{x_1, \dots, x_4\}/(\varphi)$  is normal, and let  $(X', p')$  be the germ of a hypersurface at 0 defined by (1.2), and  $(X, p) = (X', p')/Z_m$ .

Then we have

THEOREM 2. *Under Notation (2.0), let  $\sigma$  be an arbitrary element of  $Z_m$ , and  $a = (a_1, \dots, a_4)$  a 4-ple of arbitrary integers  $\geq 0$  such that  $\sigma(x_i) = \zeta^{a_i} x_i$  ( $i = 1, \dots, 4$ ) and that at least three of  $a_1, \dots, a_4$  are positive. Let  $e(a) = \max\{j \mid \varphi(x_1 t^{a_1}, \dots, x_4 t^{a_4}) \equiv 0 \pmod{t^j}\}$  and  $|a| = a_1 + \dots + a_4$ . Then if  $(X, p)$  is terminal (resp. canonical), then  $|a| - m - e(a) > 0$  (resp.  $\geq 0$ ).*

*Proof.* (2.1) Let  $X'_0$  be  $C^4$  with global coordinates  $x_1, \dots, x_4$ , and let  $Z_m$  act on  $X'_0$  by (1.1). Then  $T' = (C^*)^4 \cap C^4 = X'_0$  is an affine torus embedding, and to the affine torus embedding  $T' \subset X'_0$  correspond the group  $\Gamma(T') \cong Z^4$  of 1 parameter subgroups of  $T'$  and a cone  $C(X'_0)$  of  $\Gamma(T') \otimes_{\mathbb{Z}} \mathbb{Q}$ . Let  $\Gamma(T') = Z^4$  and  $C(X'_0) = \mathbb{Q}_+^4$  in the standard way, where  $\mathbb{Q}_+ = \{q \in \mathbb{Q} \mid q \geq 0\}$ . Then, to  $T = T'/Z_m \rightarrow X_0 = X'_0/Z_m$ , correspond  $\Gamma(T) = Z^4 + Zc/m$  and  $C(X_0) = \mathbb{Q}_+^4$ . By the definition of  $a$ , there exist integers  $\beta, \gamma$  such that  $\beta c_i \equiv \gamma a_i \pmod{m}$ , where  $\gamma$  is prime to  $m$ . Hence  $a/m \in \Gamma(T)$ .

(2.2) Let  $\varphi'$  be a convergent power series defined by

$$\varphi'(w, y_1, \dots, y_4) = w^{-e(a)} \cdot \varphi(y_1 w^{a_1}, \dots, y_4 w^{a_4}).$$

Then  $\varphi'(0, y)$  is a non-zero weighted homogeneous polynomial of weight  $e(a)$  in  $y_1, \dots, y_4$  for weight  $y_i = a_i$  (cf. (\*) below). Since  $y_1 y_2$  and  $y_3 y_4$  are coprime,  $\varphi'(0, y)$  has a prime factor which is prime to  $y_1 y_2$  or  $y_3 y_4$ . By symmetry, we may assume that  $\varphi'(0, y)$  has a prime factor prime to  $y_1 y_2$  and  $a_1 > 0$ . Since

$$(*) \quad \varphi'(0, y_1 t^{a_1}, \dots, y_4 t^{a_4}) = t^{a(a)} \varphi'(0, y_1, \dots, y_4),$$

one can find  $r_2, r_3, r_4 \in C$  such that

$$\varphi'(0, 1, r_2, r_3, r_4) = 0.$$

(2.3) Let  $e_2 = (0, 1, 0, 0)$ ,  $e_3 = (0, 0, 1, 0)$ ,  $e_4 = (0, 0, 0, 1) \in Z^4$ , and let  $C$  be the cone spanned by  $a, e_2, e_3, e_4$  in  $Q^4$  and  $M = Ze_2 \oplus Ze_3 \oplus Ze_4 \subset Q^4$ . Then the commutative diagram

$$\begin{array}{ccc} Za \oplus M & \longrightarrow & Z \frac{a}{m} \oplus M \\ \downarrow & & \downarrow \\ Z^4 & \longrightarrow & Z^4 + Z \frac{c}{m} \end{array}$$

gives a commutative diagram of tori:

$$\begin{array}{ccc} R' & \longrightarrow & R \\ \downarrow & & \downarrow \\ T' & \longrightarrow & T \end{array}$$

and  $C \subset \Gamma(R') \oplus Q$  gives a torus embedding

$$R' \subset Z'_0 = \text{Spec } C[w, \bar{x}_2, \bar{x}_3, \bar{x}_4],$$

where  $a, e_2, e_3, e_4$  of  $\Gamma(R')$  correspond to  $w, \bar{x}_2, \bar{x}_3, \bar{x}_4$ . Then

$$R \subset Z_0 = \text{Spec } C[\bar{x}_1, \bar{x}_2, \bar{x}_3, \bar{x}_4],$$

with  $\bar{x}_1 = w^m$ , is the torus embedding corresponding to  $C \subset \Gamma(R) \otimes Q$ , and commutative diagrams

$$\begin{array}{ccccc} \Gamma(R') \otimes Q & \longrightarrow & \Gamma(R) \otimes Q & \longrightarrow & \Gamma(T) \otimes Q \\ \cup & & \cup & & \cup \\ C & \longrightarrow & C & \longrightarrow & Q_+^4 \end{array}$$

and

$$\begin{array}{ccccc} \Gamma(R') \otimes Q & \longrightarrow & \Gamma(T') \otimes Q & \longrightarrow & \Gamma(T) \otimes Q \\ \cup & & \cup & & \cup \\ C & \longrightarrow & Q_+^4 & \longrightarrow & Q_+^4 \end{array}$$

give a commutative diagram

$$\begin{array}{ccc} Z'_0 & \longrightarrow & Z_0 \\ f' \downarrow & & \downarrow f \\ X'_0 & \longrightarrow & X_0, \end{array}$$

where  $f'$  is given by

$$x_1 = w^{a_1}, \quad x_2 = \bar{x}_2 w^{a_2}, \quad x_3 = \bar{x}_3 w^{a_3}, \quad x_4 = \bar{x}_4 w^{a_4}.$$

If  $T \subset Y_0$  is the torus embedding corresponding to  $C \subset \Gamma(T) \otimes \mathbf{Q}$ , then  $Z_0 \rightarrow Y_0$  is finite and  $Y_0 \rightarrow X_0$  is a blow-up.

(2.4) Let  $s' = V(w, \bar{x}_2 - r_2, \bar{x}_3 - r_3, \bar{x}_4 - r_4) \in Z'_0$  (resp.  $s$  = the image of  $s'$  in  $Z_0$ ), and let

$$\psi(\bar{x}_1, \bar{x}_2, \bar{x}_3, \bar{x}_4) = w^{-e(a)} \cdot \varphi(w^{a_1}, \bar{x}_2 w^{a_2}, \bar{x}_3 w^{a_3}, \bar{x}_4 w^{a_4}),$$

(note that the right hand side is a holomorphic function in  $\bar{x}_1, \bar{x}_2, \bar{x}_3, \bar{x}_4$  defined near  $s$ .) Let  $(Z, s) \subset (Z_0, s)$  (resp.  $(Z', s') \subset (Z'_0, s')$ ) be defined by  $\psi = 0$ . Then  $f$  (resp.  $f'$ ) induces  $f: (Z, s) \rightarrow (X, p)$  (resp.  $f': (Z', s') \rightarrow (X', p')$ ), which satisfies the conditions of Lemma 1 by (2.3). We have the following commutative diagram of natural morphisms:

$$\begin{array}{ccc} Z' & \xrightarrow{\tau} & Z \\ f' \downarrow & & \downarrow f \\ X' & \xrightarrow{\pi} & X. \end{array}$$

By Poincaré residue formula,

$$\begin{aligned} \omega_{X'} &= \text{Res} \frac{dx_1 \wedge dx_2 \wedge dx_3 \wedge dx_4}{\varphi} = - \frac{dx_1 \wedge dx_2 \wedge dx_3}{\varphi_4}, \\ f'^* \omega_{X'} &= - \frac{d(w^{a_1}) \wedge d(\bar{x}_2 w^{a_2}) \wedge d(\bar{x}_3 w^{a_3})}{f'^* \varphi_4}, \end{aligned}$$

where  $\varphi_4 = \partial\varphi/\partial x_4$ . By calculation, one sees

$$d(w^{a_1}) \wedge d(\bar{x}_2 w^{a_2}) \wedge d(\bar{x}_3 w^{a_3}) = a_1 \cdot w^{a_1 + a_2 + a_3 - 1} \cdot dw \wedge d\bar{x}_2 \wedge d\bar{x}_3.$$

Since  $f'^* \varphi = w^{e(a)} \psi$ , it follows from the chain rule that

$$(f'^* \varphi_4) \cdot w^{a_4} = w^{e(a)} \psi_4,$$

where  $\psi_4 = \partial\psi/\partial \bar{x}_4$ . Thus

$$f'^* \omega_{X'} = -a_1 \cdot w^{|a|-e(a)-1} \cdot \frac{dw \wedge d\bar{x}_2 \wedge d\bar{x}_3}{\psi_4}.$$

One also has

$$\omega_{Z'} = \text{Res} \frac{dw \wedge d\bar{x}_2 \wedge d\bar{x}_3 \wedge d\bar{x}_4}{\psi} = -\frac{dw \wedge d\bar{x}_2 \wedge d\bar{x}_3}{\psi_4}.$$

One has

$$f'^* \omega_{X'} = a_1 \cdot w^{|a|-e(a)-1} \omega_{Z'}.$$

By construction, we have

$$\tau^* \omega_Z = m \cdot w^{m-1} \omega_{Z'}.$$

Hence we have

$$f'^* \omega_{X'} = (a_1/m) \cdot w^{|a|-e(a)-m} \tau^* \omega_Z.$$

Since  $\pi: X' \rightarrow X$  is unramified in codimension 1 by (1.3), one has  $\pi^* \omega_X^{(m)} = (\text{unit}) \cdot \omega_{X'}^{\otimes m}$  near  $p'$  (by abuse of language,  $\omega_X^{(m)}$  denotes one of its generators at  $p$ ), and

$$f^* \omega_X^{(m)} = (\text{unit}) \cdot \bar{x}_1^{|a|-e(a)-m} \omega_Z^{\otimes m} \quad \text{near } s.$$

Since  $\{\bar{x}_1 = 0\}$  is a divisor through  $s$  collapsed by  $f$ , one has  $|a| - e - m > 0$  (resp.  $\geq 0$ ). q.e.d.

Under Notation (2.0), let  $\chi_1, \dots, \chi_r \in C\{x_1, \dots, x_i\}$  ( $r \geq 2$ ) be  $Z_m$ -semi-invariants with the same character such that  $\chi_i C\{x_1, \dots, x_i\} = u_i C\{x_1, \dots, x_i\}$  for some monomial  $u_i$  in  $x_1, \dots, x_i$  ( $i = 1, \dots, r$ ) and that  $u_1, \dots, u_r$  are linearly independent over  $C$  and the locus defined by  $\chi_1 = \dots = \chi_r = 0$  is of dimension  $\leq 1$ . Let  $\Phi$  be the linear system generated by  $\chi_1, \dots, \chi_r$ , and assume that our  $\varphi$  is written as

$$\varphi = \sum_{i=1}^r \lambda_i \chi_i$$

for some  $\lambda = (\lambda_1, \dots, \lambda_r) \in (C^*)^r$ . By Bertini's theorem,  $\varphi = 0$  defines a normal variety for general  $\lambda$  since the base locus of  $\Phi$  is of dimension  $\leq 1$ . Let  $\sigma, a, \zeta$  be as in Theorem 2, then the value of  $e(a)$  given in Theorem 2 does not depend on the choice of  $\lambda \in (C^*)^r$ . Then under the notation of Theorem 2, the following is the corollary to the proof of Theorem 2.

**COROLLARY 2.1.** *If  $|a| - m - e(a) > 0$  (resp.  $\geq 0$ ) for arbitrary  $\sigma$ ,  $a$ ,  $\zeta$  as in Theorem 2, then  $(X, p)$  is terminal (resp. canonical) for general  $\lambda$ . If  $(X, p)$  has an isolated singular point at  $p$  (resp. canonical singularity outside  $p$ ) for general  $\lambda$ , then one can add the extra conditions  $a_1, \dots, a_4 > 0$  on  $a$  in the statement above.*

*Proof.* Let  $X_0 = C^4/Z_m$  with respect to the action given above. Then  $\Phi$  induces a linear system  $\Phi_0$  (of Weil divisors) in a neighborhood of 0 in  $X_0$  which is free from fixed components. By the conditions on  $\chi_i$ 's, there exists a toric resolution  $h: U_0 \rightarrow X_0$  such that the proper transform  $\Psi_0$  of  $\Phi_0$  to  $U_0$  is free from base points (principalizer of the coherent sheaf associated to  $\Phi_0$ ). Then a general member  $X$  of  $\Phi_0$  is normal at 0 and its proper transform  $U = h^{-1}[X]$  is smooth in a neighborhood of  $h^{-1}(0)$ . Thus it is enough to show that  $h^*\omega_X^{(m)}$ , as a meromorphic section of  $\omega_U^{\otimes m}$ , vanishes (resp. is regular) along  $D_0 \cap U$ , where  $D_0 \subset U_0$  is an arbitrary exceptional divisor of  $h$ . We now use the notation of the proof of Theorem 2. Let  $L_+$  be the 1-simplex  $\subset Q_+^4 \subset \Gamma(T) \otimes Q$  corresponding to  $D_0$ . Let  $a = (a_1, \dots, a_4)$  be a 4-ple of integers  $\geq 0$  such that  $Za/m = QL_+ \cap \Gamma(T)$ . By (1.3), the singular locus of  $X_0$  is of dimension  $\leq 1$ , and the base locus of  $\Phi_0$  is of dimension  $\leq 1$ . Thus one may assume that the image of  $D_0$  to  $X_0$  is of dimension  $\leq 1$ , whence at least 3 of  $a_1, \dots, a_4$  are positive. Then the notation of (2.3) can be used, and the torus embedding  $T \subset V_0 = T \cup D_0$  corresponds to  $L_+ \subset \Gamma(T) \otimes Q$ .  $L_+ \subset \Gamma(R) \otimes Q$  corresponds to the open subset  $W_0$  of  $Z_0$  defined by  $\bar{x}_3\bar{x}_4 \neq 0$ . Since  $Za/m = Qa/m \cap \Gamma(T)$ ,  $\{\bar{x}_1 = 0\}$  is not in the branch locus of  $W_0 \rightarrow V_0$ . Let  $V, W$  be the proper transforms of  $X$  to  $V_0$  and  $W_0$ , respectively. Then, since  $\Psi_0$  is free from base points,  $g: W \rightarrow V$  is unramified over general points of arbitrary irreducible components of  $V \cap D_0$ . Thus by

$$g^*h^*\omega_X^{(m)} = (\text{unit}) \cdot \bar{x}_1^{|a| - e(a) - m} \omega_V^{\otimes m} \quad \text{along } W \cap D_0,$$

(2.4), we have Corollary 2.1.

q.e.d.

**COROLLARY 2.2.** *Under the assumptions of Theorem 2, assume that  $m$  is odd, and*

$$\varphi = x_1^2 + f(x_2, x_3, x_4) \quad (f \in C\{x_2, x_3, x_4\}).$$

Let  $n = \max\{j \mid \varphi(x_2 t^{a_2}, x_3 t^{a_3}, x_4 t^{a_4}) \equiv 0(t^j)\}$ . Then

$$a_2 + a_3 + a_4 > (\text{resp. } \geq) \begin{cases} m + n/2 & \text{if } n \text{ is even} \\ m/2 + n/2 & \text{if } n \text{ is odd.} \end{cases}$$

*Proof.* One has  $2 \cdot wt x_1 \equiv n \pmod{m}$ . If  $n$  is even, then we choose  $a_1 = n/2$ , keeping  $a_2, a_3, a_4$  the same. Then  $n/2 + a_2 + a_3 + a_4 > (\text{resp. } \geq) m + n$ . If  $n$  is odd, then we choose  $a_1 = (m + n)/2$ , keeping  $a_2, a_3, a_4$  the same. Then  $(n + m)/2 + a_2 + a_3 + a_4 > (\text{resp. } \geq) m + n$ . q.e.d.

For the approximation of  $\varphi$ , we need the standard:

**THEOREM 3.** *Let  $\varphi \in C\{x\}$ , where  $x = (x_1, x_2, x_3, x_4)$ . Assume that  $\varphi$  has an isolated singular point at  $(0)$ , and that  $Z_m$  acts on  $C\{x\}$  in such a way that  $x_1, x_2, x_3, x_4$ , and  $\varphi$  are semi-invariants. Then for an arbitrary integer  $b > 0$ , there exists an integer  $n > 0$  such that, for an arbitrary semi-invariant  $\psi \in C\{x\}$  with the property  $\psi \equiv \varphi(x)^n$ , there exists an analytic  $C$ -automorphism  $\sigma$  of  $C\{x\}$  commuting with  $Z_m$ -action (will be called a  $Z_m$ -automorphism, for short) such that  $\sigma(\varphi) = \psi C$ ,  $\sigma \equiv \text{id}$  modulo  $(x)^b$ .*

This can be proved by applying the argument of [1, Lemma (5.11)] to the equation  $\varphi(y) - \psi(x) = 0$  in unknown variables  $y = (y_1, y_2, y_3, y_4)$  with approximate solution  $y^0 = x$ , where  $m = 1$  and  $N = 4$ .

**COROLLARY 4.** *Under the notation and the assumptions of Theorem 3, if  $\varphi \in (x)^2$  and if  $x_1 x_2$  appears in  $\varphi$  and*

$$(\partial^2 \varphi / \partial x_1^2(0))(\partial^2 \varphi / \partial x_2^2(0)) - (\partial^2 \varphi / \partial x_1 \partial x_2(0))^2 \neq 0,$$

then there exist a  $Z_m$ -automorphism  $\sigma$  of  $C\{x\}$  such that

$$\begin{aligned} \sigma(x_3) - x_3, \quad \sigma(x_4) - x_4 &\in (x)^2, \\ \sigma(\varphi) &= x_1 x_2 + f(x_3, x_4) \quad \text{for some } f \in C\{x_3, x_4\}. \end{aligned}$$

## §2. Notation and terminal singularities of type $cA$

**ASSUMPTION 5.** Let  $\varphi$  be an element of  $(x, y, z, u)^2 C\{x, y, z, u\}$  which has a  $Z_m$ -action ( $m > 1$ ) such that  $x, y, z, u, \varphi$  are semi-invariants. Assume that  $\varphi$  has an isolated  $cDV$  singularity at the origin  $(0)$ , that the quotient of  $\{\varphi = 0\}$  by  $Z_m$  has a terminal singularity at  $(0)$ , and that the action of  $Z_m$  is free on  $U - (0)$ , where  $U \ni (0)$  is an open set of  $\{\varphi = 0\}$ . By a  $Z_m$ -automorphism, we mean an analytic  $C$ -automorphism of  $C\{x, y, z, u\}$  commuting with  $Z_m$ -action unless otherwise mentioned. We will keep these assumptions and notation, unless otherwise mentioned.

**NOTATION 6.** Fixing a primitive  $m$ -th root  $\zeta$  of 1, and given the  $Z_m$ -action above, we associate to each  $\sigma \in Z_m$  a weight modulo  $m$  (denoted



by  $\sigma\text{-}wt \pmod m$ ;  $\sigma\text{-}wt(x) \equiv a(\sigma), \dots, \sigma\text{-}wt(u) \equiv d(\sigma) \pmod m$  are determined by  $\sigma(x) = \zeta^{a(\sigma)} \cdot x, \dots, \sigma(u) = \zeta^{d(\sigma)} \cdot u$ . If  $\sigma$  is a generator of  $Z_m$ , we may simply call  $\sigma\text{-}wt$  a  $wt$ , if there is no danger of confusion. Order  $v$  associates numbers  $a, b, c, d > 0$  to  $x, y, z, u$  such that  $a \equiv \sigma\text{-}wt(x), \dots, d \equiv \sigma\text{-}wt(u) \pmod m$  for some  $\sigma \in Z_m$ . Then the order of  $f$ , or  $v(f)$  is, by definition,  $\max \{n \mid f(xt^a, yt^b, zt^c, ut^d) \equiv 0 \pmod{t^n}\}$ . We write  $v \equiv \sigma\text{-}wt \pmod m$  if  $v(x) \equiv wt x, \dots, v(u) \equiv wt u \pmod m$ . For two orders  $v$  and  $v'$ , we write  $v \equiv v' \pmod m$  if  $v(x) \equiv v'(x), \dots, v(u) \equiv v'(u) \pmod m$ . For a positive integer  $a$  and a number  $b$  (or,  $b \in R/aZ$ ),  $(b)_a$  denotes the number  $c$  such that  $c - b \in aZ$  and  $0 < c \leq a$ . We define order  $v = (\sigma\text{-}wt)_m$  by  $v(x) = (\sigma\text{-}wt x)_m, \dots, v(u) = (\sigma\text{-}wt u)_m$ .

*Remark 7.* Assumption 5 implies:

(1) if  $e = (m, wt x) > 1$ , then some power of  $x$  appears in  $\varphi$  and  $wt \varphi \equiv 0 \pmod e$ . Similar assertion holds also for  $y, z, u$ . (Since the action on  $x$ -axis is not free,  $\{\varphi = 0\}$  does not contain  $x$ -axis.)

(2)  $(m, wt x, wt y) = 1$ . Similar assertion holds also for any other two distinct coordinate functions. (Otherwise the action is not free on  $\{\varphi = 0\} \cap xy$ -plane which has dimension  $> 0$  at  $(0)$ ).

**THEOREM 8.** *If  $xy$  appears in  $\varphi$  and*

$$(\partial^2 \varphi / \partial x^2(0))(\partial^2 \varphi / \partial y^2(0)) - (\partial^2 \varphi / \partial x \partial y(0))^2 \neq 0,$$

*then one of the following holds (after exchanging  $z, u$  if necessary):*

(1)  $wt x + wt y \equiv wt z \equiv 0 \pmod m$ , and  $wt u, wt x, wt y$  are prime to  $m$ .

(2)  $m = 4$  and there exists a generator  $\sigma$  of  $Z_4$  such that  $\sigma\text{-}wts$  of  $x, y, z, u$  are  $1, 1, 2, 3 \pmod 4$  (see Supplement 8.1).

*Proof.* By Corollary 4, we may assume  $\varphi = xy + f(z, u)$ , where  $f \in C\{z, u\}$ . Let  $e = (wt x + wt y)_m, p = (e, m)$ .

(8.1) *Claim:* One of  $wt z, wt u$  is a multiple of  $p$ , and the other is prime to  $m$ .  $wt x, wt y$  are prime to  $m$ .

Let  $wt$  be  $\sigma\text{-}wt$ . Assume that  $\sigma\text{-}wt z, \sigma\text{-}wt u \not\equiv 0 \pmod p$  (and hence  $p > 1$ ). If necessary, we will replace  $\sigma$  by  $-\sigma$  to get

$$(\sigma\text{-}wt z)_p + (\sigma\text{-}wt u)_p \leq p.$$

By Remark 7, (2), and

$$\sigma\text{-}wt x + \sigma\text{-}wt y \equiv 0 \pmod p,$$

one sees that  $\sigma\text{-}wt\ x$  and  $\sigma\text{-}wt\ y$  are prime to  $p$ . Hence

$$(\sigma\text{-}wt\ x)_p + (\sigma\text{-}wt\ y)_p = p.$$

Let  $v$  be the order  $(m/p) \cdot (\sigma\text{-}wt)_p = ((m/p) \cdot \sigma\text{-}wt)_m$ . Then  $v(\varphi) = m$  and  $v(x) + v(y) = m$ ,  $v(z) + v(u) \leq m$ . Thus  $v(x) + v(y) + v(z) + v(u) \leq m + v(\varphi)$ , which is a contradiction to Theorem 2, and hence  $\sigma\text{-}wt\ z \equiv 0$  or  $\sigma\text{-}wt\ u \equiv 0(p)$ . By symmetry of  $z, u$ , we may assume that  $\sigma\text{-}wt\ z \equiv 0(p)$ . Let  $n = (\sigma\text{-}wt\ u, m)$ . We will show that  $n = 1$ . If  $n > 1$  then by Remark 7, (1),  $wt\ \varphi \equiv 0(n)$ . Thus  $n|p$  and  $wt\ z \equiv wt\ u \equiv 0(n)$ . This contradicts Remark 7, (2). Thus  $n = 1$ . By Remark 7, (1), (2), if  $n = (m, \sigma\text{-}wt\ x) > 1$ , then  $wt\ x + wt\ y \equiv wt\ \varphi \equiv 0(n)$  and  $n = (m, \sigma\text{-}wt\ x, \sigma\text{-}wt\ y)$ . Thus  $n = 1$  by Remark 7, (2). Similar argument shows  $(m, \sigma\text{-}wt\ y) = 1$ . This proves (8.1).

(8.2) *Claim:* By symmetry of  $z, u$ , we may assume that  $wt\ z \equiv 0(p)$  and  $wt\ u$  is prime to  $m$ . Then  $p = (wt\ z, m)$ , and  $f(z, u) = g(z, u^p)$  for some convergent power series  $g$ .

Let  $n = (wt\ z, m)$ . Then  $p|n$ . By Remark 7, (1), one has  $wt\ x + wt\ y = 0(n)$ , whence  $n|p$  and  $n = p$ .

(8.3) *Claim:* If  $p < m$  and  $\rho \in Z_m$  satisfies

$$\rho\text{-}wt\ x + \rho\text{-}wt\ y \equiv \pm p(m),$$

then  $\rho\text{-}wt\ x, \rho\text{-}wt\ y, \rho\text{-}wt\ z, \rho\text{-}wt\ u \not\equiv 0(m)$ .

If  $\rho\text{-}wt \equiv i \cdot wt(m)$ , then  $i \cdot e \equiv \pm p(m)$ . Thus  $i \not\equiv 0(m)$ , whence  $i \cdot wt\ x, i \cdot wt\ y, i \cdot wt\ u \not\equiv 0(m)$  by (8.1), (8.2). One also sees that  $i$  is prime to  $m/p > 1$ . Thus by (8.2),  $\rho\text{-}wt\ z \equiv i \cdot (wt\ z) \not\equiv 0(m)$ .

(8.4) *Claim:* Assume  $p < m$ . The number of elements  $\rho \in Z_m$  such that

$$(*) \quad \rho\text{-}wt\ x + \rho\text{-}wt\ y \equiv \pm p(m), \quad \text{and}$$

$$(**) \quad (\rho\text{-}wt\ z)_m + (\rho\text{-}wt\ u)_m \leq m$$

is  $\geq p$  if  $m > 2p$ , and  $\geq p/2$  if  $m = 2p$ .

As in (8.3), the number of  $\rho$ 's with (\*) is the number of solutions  $i$  ( $0 \leq i < m$ ) for  $i \cdot e \equiv \pm p(m)$ , which is  $2p$  if  $m > 2p$ ,  $p$  if  $m = 2p$ . Now involution  $\iota: \rho \rightarrow -\rho$  acts on  $\Sigma = \{\rho \text{ with } (*)\}$  and  $\rho$  or  $-\rho$  satisfies (\*\*) by (8.3). Thus (8.4) is settled.

(8.5) If  $p = m$ , then (1) holds. We may now assume  $p < m$ , and let  $\rho$  be an arbitrary element with (\*), (\*\*). Let  $v = (\rho-wt)_m$ , then by (8.3),

$$\begin{aligned} v(x) + v(y) &= p, m \pm p, \text{ or } 2m - p, \\ v(z) + v(u) &\leq m. \end{aligned}$$

By Theorem 2,

$$m + v(x) + v(y) \geq v(x) + v(y) + v(z) + v(u) > m + v(\varphi),$$

i.e.  $v(\varphi) < v(x) + v(y)$ . Thus there are two cases: (8.5.1)  $v(\varphi) = p$ ,  $v(x) + v(y) = m + p$ , and (8.5.2)  $v(\varphi) = m - p$ ,  $v(x) + v(y) = 2m - p$ .

(8.5.1) Assume that  $v(\varphi) = p$ . Then  $v(g(z, u^p)) = p$  by (8.2). Since  $g \in (z, u)^2$  and  $v(z) \geq p$  (8.2), one has  $p = v(g(z, u^p)) = p \cdot v(u)$  and  $p \geq 2$ , hence  $v(u) = 1$  and  $\rho-wt u \equiv 1 (m)$ . Thus there is at most one such  $\rho$ .

(8.5.2) Assume that  $m > 2p$  and  $v(\varphi) = m - p$ . Then  $v(x) = m - j$  and  $v(y) = m - p + j$  ( $j = 1, \dots, p - 1$ ) by (8.3). Since  $(\rho-wt x, \rho-wt y, m) = 1$ , there are at most  $p - 1$  such  $p$ 's.

(8.6) If  $m = 2p$ , then by (8.4) and (8.5.1), one sees that  $m = 2p = 4$ . Then by choosing  $wt$ , one has  $wts$  of  $x, y, z, u$  equal to 1, 1, 2,  $\alpha$  ( $\alpha = 1, 3$ ). One sees  $\alpha = 3$  by applying Theorem 2. Whence one gets (2).

We now assume that  $m > 2p$  and will derive a contradiction to finish the proof. Then by (8.4), (8.5.1) and (8.5.2), one sees that  $p \geq 2$  and there are exactly one  $\rho$  in case (8.5.1) and exactly  $p - 1$   $\rho$ 's in case (8.5.2). We claim that  $p = 2$ . If  $p > 2$ , then let  $\rho_i$  ( $i = 1, 2$ ) in case (8.5.2) be such that  $\rho_i-wt x \equiv m - i$  ( $i = 1, 2$ ). Then  $\rho_2 = 2\rho_1$  and whence  $-p \equiv \rho_2-wt \varphi \equiv 2(\rho_1-wt \varphi) \equiv -2p (m)$ . This means that  $p \equiv 0 (m)$  and  $m = p$ , which contradicts  $m > 2p$ . Thus our claim that  $p = 2$  is proved. Let  $\rho_1 \in Z_m$  be in case (8.5.1) and  $\rho_2 \in Z_m$  in case (8.5.2). One has  $\rho_2-wt x \equiv \rho_2-wt y \equiv m - 1 (m)$  and  $\rho_2$  is a generator of  $Z_m$ . Thus by  $(\rho_1-wt x)_m + (\rho_1-wt y)_m = m + 2$ , one has  $\rho_1-wt x \equiv \rho_1-wt y \equiv m/2 + 1 \pmod{m}$ . Hence  $\rho_1 = (m/2 - 1)\rho_2$ . Let  $\rho_3 = 2\rho_1$ . Then  $\rho_3 = -2\rho_2$ , and  $\rho_3-wts$  of  $x, y, u$  are 2, 2, 2 mod  $m$ . Let  $w = (\rho_3-wt)_m$ . Then by  $m \equiv 0 (2)$  and  $m > 2p = 4$ , one has  $m \geq 6$ . Thus  $w(\varphi) = w(x) + w(y) = 4$ . Applying Theorem 2 to  $w$ , one has  $w(z) > m - 2$ . Hence  $w(z) = m$  because  $m$  is even and  $\rho_3 \equiv 0 (2)$ . Since  $\rho_3 = -2\rho_2$ , one has  $\rho_2-wt z \equiv 0$  or  $m/2$ . Since  $\rho_2$  is a generator of  $Z_m$ , one has  $p = (\rho_2-wt z, m) = m$  or  $m/2$  by (8.2). Thus  $m = p$ , or  $2p$  contradicting our assumption  $m > 2p$ . q.e.d.

SUPPLEMENT 8.1. In case (2), one sees that  $g(z, u^2)$  contains  $u^2$  in (8.5). Thus one sees: in case (2) of Theorem 8, modulo  $Z_4$ -automorphism, one has

$$\varphi = xy + z^n + u^2 \quad (n: \text{odd} \geq 3),$$

where wts of  $x, y, z, u$  are 1, 1, 2, 3 (mod 4).

*Proof.* By  $Z_4$ -automorphism:  $z \rightarrow (\text{const}) \cdot z, u \rightarrow (\text{const}) \cdot u$ , keeping  $x$  and  $y$ , one has  $g = u^2(1 + \alpha(z, u^2)) + z^n(1 + \beta(z))$ , where  $\alpha \in (z, u), \beta \in (z), n > 1$ . Since  $\alpha, \beta$  are  $Z_4$ -invariant, one can change  $u \cdot \{1 + \alpha(z, u^2)\}^{(1/2)} \rightarrow u, z \cdot \{1 + \beta(z)\}^{(1/n)} \rightarrow z$ , to get  $g = u^2 + z^n$ . q.e.d.

LEMMA 9. If  $x^2$  and  $y^2$  appear in  $\varphi$  and  $\varphi_2 \in kx^2 + ky^2 + kz^2 + ku^2$ , then  $m = 2, 4$ .

*Proof.* (9.1) *Claim:*  $m$  is a power of 2.

If  $m$  is not a power of 2, we may assume that  $m$  is odd and  $> 1$  by replacing  $m$  by its odd factor. Then  $wt x \equiv wt y$ , and hence by  $Z_m$ -automorphism:  $x \rightarrow x + \alpha \cdot y$ , keeping  $y, z, u$ , we may assume that  $\varphi$  contains  $xy, x^2, y^2$ . Thus by Theorem 8,  $wt x + wt y \equiv 0 (m)$ ,  $wt x$  and  $wt y$  are prime to  $m$ , which contradicts  $wt x \equiv wt y$ . Thus  $m = 1$ , and hence  $m$  is proved to be a power of 2.

(9.2) *Claim:* If  $m = 8$ , then degree 2 part  $\varphi_2$  of  $\varphi$  is a quadratic form of rank 3.

By  $2 \cdot wt x \equiv 2 \cdot wt y (8)$ , one has  $wt x \equiv wt y (4)$ . Thus by  $Z_4$ -automorphism:  $x \rightarrow x + \alpha y$  keeping  $y, z, u$ , one may assume  $x^2, y^2, xy$  appear in  $\varphi$  and  $m = 4$ . Then by Theorem 8 and Supplement 8.1,  $\varphi_2$  has rank 3.

(9.3) *Claim:*  $m | 4$ .

If  $8 | m$  can occur, the case  $m = 8$  occurs. if  $m = 8$ , then  $\varphi_2$  contains  $x^2, y^2, z^2$  (by exchanging  $z, u$  if necessary.) By  $2wt x \equiv 2wt y \equiv 2wt z (8)$ , one sees that two of  $wt x, wt y, wt z$  are congruent mod 8. We may assume  $wt x \equiv wt y (8)$ , without loss of generality. By  $Z_8$ -automorphism:  $x \rightarrow x + \alpha y$ , keeping  $y, z, u$ , one may assume that  $\varphi$  contains  $x^2, y^2, xy$  for  $m = 8$ . This contradicts Theorem 8. Thus Lemma 9 is proved.

LEMMA 10. If  $x^2$  and  $y^2$  appear in  $\varphi$  and  $\varphi \in kx^2 + ky^2 + kz^2 + ku^2$  and  $m = 4$ , then

(1) if  $wt x \equiv wt y \pmod{4}$ , then after exchanging  $z, u$  (if necessary) and choosing a generator  $\sigma$  of  $Z_4$ , one has  $\sigma$ -wts of  $x, y, z, u$  equal to 1, 1, 2, 3 mod 4, and modulo  $Z_4$ -automorphism,

$$\varphi = x^2 + y^2 + z^n + u^2 \ (n: \text{odd} \geq 3), \text{ and}$$

(2) if  $wt x \not\equiv wt y \pmod{4}$ , then after exchanging  $z, u$  and exchanging  $x, y$  (if necessary) and choosing a generator  $\sigma$  of  $Z_4$ , one has  $\sigma$ -wts of  $x, y, z, u$  equal to 1, 3, 2, 1 mod 4.

*Proof.* Case (1) is due to Supplement 8.1 (cf. argument of Lemma 9).

Case (2): By  $2wt x \equiv 2wt y \pmod{4}$ , one sees that  $wt x, wt y$  are odd by Remark 7, (2). Hence  $wt \varphi \equiv 2 \pmod{4}$ . Applying Theorem 8 to  $m = 2$ ,  $wt z$  or  $wt u$  is even (cf. argument of Lemma 9). Let us assume that  $wt z$  is even. By Remark 7, (1),  $\varphi$  contains some power of  $z$ , whence  $wt z \equiv 2 \pmod{4}$ . Changing  $\sigma$  to  $-\sigma$  if necessary, one gets (2). q.e.d.

LEMMA 11. If  $x^2$  and  $y^2$  appear in  $\varphi$  and  $\varphi \in kx^2 + ky^2 + kz^2 + ku^2$  and  $m = 2$ , then

(1) if  $wt x \equiv wt y \pmod{2}$ , then after exchanging  $z, u$  (if necessary), one has wts of  $x, y, z, u$  equal to 1, 1, 0, 1 mod 2, and modulo  $Z_2$ -automorphism,  $\varphi = x^2 + y^2 + f(z, u^2)$  for some  $f \in C\{z, u\}$ ,

(2) if  $wt x \not\equiv wt y \pmod{2}$ , then after exchanging  $x, y$  (if necessary), one has wts of  $x, y, z, u$  equal to 1, 0, 1, 1 mod 2, and modulo  $Z_2$ -automorphism,  $\varphi = x^2 + y^2 + f(z, u)$  for some  $f \in C\{z, u\}$ .

*Proof.* By Remark 7, (2), there is at most one even number among wts of  $x, y, z, u$ . On the other hand, by Theorem 2 applied to  $v = (wt)_2$ , one has  $v(x) + \cdots + v(u) > 2 + v(\varphi) \geq 4$ . Hence exactly one of wts of  $x, y, z, u$  is congruent to 0 mod (2). Then Lemma 11 is clear.

THEOREM 12. If  $\varphi_2$  has rank  $\geq 2$ , then after permutation of  $x, y, z, u$  (if necessary), one of the following holds.

(1)  $wt x + wt y \equiv 0$ ;  $wt z \equiv wt \varphi \equiv 0$ ;  $wt x, wt y, wt u$  are prime to  $m$ , and modulo  $Z_m$ -automorphism,  $\varphi = xy + f(z, u^m)$  for some  $f \in C\{z, u^m\}$ .

(2)  $m = 4$ ; wts of  $x, y, z, u, \varphi$  are 1, 3, 1, 2 mod 4 (after choosing a generator of  $Z_4$ ), and modulo  $Z_4$ -automorphism,  $\varphi = x^2 + y^2 + f(z, u^2)$  for some  $f \in C\{z, u^2\}$ .

(3)  $m = 2$ ; wts of  $x, y, z, u, \varphi$  are 1, 0, 1, 1, 0 mod 2, and modulo  $Z_2$ -automorphism,  $\varphi = x^2 + y^2 + f(z, u)$  for some  $f \in (z, u)^4 C\{z, u\}$ .

By Lemma 12.2, this follows from Theorem 8, Lemmas 9, 10, 11. (For case (3), one may set  $f \in (z, u)^4 C\{z, u\}$ , because otherwise it is reduced to case (1)).

*Remark 12.1.* In case (1) (resp. (2), (3)) of Theorem 12, if  $f$  is a general linear combination of a finite number of monomials in  $(z^2, u^m)C\{z, u^m\}$  (resp.  $(z^3, u^2)C\{z^2, zu^2, u^4\}$ ,  $(z^4, z^3u, z^2u^2, zu^2, u^4)C\{z^2, zu, u^2\}$ ) and if  $f$  has an isolated singular point at 0, then  $(X, p)$  is a terminal singularity. This follows from Corollary 2.1. For example, in case (1), if  $v$  is an order, then  $v(x) + v(y) + v(z) + v(u) - m - v(\varphi) = (v(x) + v(y) - v(\varphi)) + (v(z) - m) + v(u) > 0$ .

**LEMMA 12.2.** *If  $\text{rk } \varphi_2 \geq 2$ , then modulo permutation of  $x, y, z, u$  and  $Z_m$ -automorphism, one has either (1)  $\varphi_2 = xy + g(z, u)$  for some quadratic form  $g$  in  $z, u$  or (2)  $\varphi_2 \in kx^2 + ky^2 + kz^2 + ku^2$ .*

*Proof.* If none of  $x^2, y^2, z^2, u^2$  appears in  $\varphi_2$ , then one has case (1) by Theorem 8. If for example  $x^2$  appears in  $\varphi_2$ , then one has  $\varphi_2 = x^2 + h(y, z, u)$  modulo  $Z_m$ -automorphism for some quadratic form  $h$ . One can repeat similar argument to  $h(y, z, u)$ , either to obtain case (1) by applying Theorem 8, or end up with case (2). q.e.d.

**COROLLARY 13.** *If  $\text{rk } \varphi_2 \geq 3$ , then after permutation of  $x, y, z, u$  and choice of generator  $\sigma \in Z_m$ , one has*

(1)  $\text{rk } \varphi_2 = 4$ ;  $m = 2$ , *wts of  $x, y, z, u, \varphi$  are  $1, 1, 0, 1, 0 \pmod{2}$  and  $\varphi = xy + z^2 + u^2$  modulo  $Z_2$ -automorphism,*

(2)  $\text{rk } \varphi_2 = 3$ ; *either.*

(2.1)  $m \geq 2$ , *wt  $x + wt y \equiv 0$ , wt  $z \equiv wt \varphi \equiv 0 \pmod{m}$ , wt  $x, wt y, wt u$  are prime to  $m$ , and  $\varphi = xy + z^2 + u^{im}$  ( $im \geq 3$ ) modulo  $Z_m$ -automorphism,*

(2.2)  $m = 2$ , *wts of  $x, y, z, u, \varphi$  are  $1, 1, 0, 1, 0$ , and  $\varphi = xy + z^i + u^2$  ( $i \geq 3$ ) modulo  $Z_2$ -automorphism, or*

(2.3)  $m = 4$ ,  *$\sigma$ -wts of  $x, y, z, u, \varphi$  are  $1, 1, 2, 3, 2$ , and  $\varphi = xy + z^i + u^2$  ( $i \geq 3$ : odd) modulo  $Z_4$ -automorphism.*

This follows from Theorem 12.

### §3. Terminal singularities of type $cD$

**LEMMA 14.** *If  $\text{rk } \varphi_2 \leq 1$  and  $u^2$  appears in  $\varphi_2$ , then one has*

$$\varphi = u^2 + f(x, y, z) \quad \text{modulo } Z_m\text{-automorphism,}$$

where  $f \in (x, y, z)^3C\{x, y, z\}$  has non-zero cubic term  $f_3$ .

This follows from the definition of  $cDV$  points.

LEMMA 15. *Under the assumptions and notation of Lemma 14, if  $f_3$  contains  $xyz$  and  $m$  is a power of 2, then  $m = 2$  and after permutation of  $x, y, z$  (if necessary), one has  $wts$  of  $x, y, z, u, f$  equal to  $1, 1, 0, 1, 0 \pmod{2}$ .*

*Proof.* Since  $wt x + wt y + wt z \equiv 2wt u \equiv 0 \pmod{2}$ , at least one of  $wt x, wt y, wt z$  is even. Without loss of generality, we may assume  $wt z$  is even. Then  $wt x, wt y, wt u$  are odd (Remark 7, (2)). If  $m = 2$ , then Lemma 15 is proved. It remains to disprove the case  $m = 4$ . If  $m = 4$ , then  $wt f \equiv 2 \pmod{4}$  because  $wt u$  is odd. Since  $f$  contains a power of  $z$  (Remark 7, (1)),  $wt z \equiv 2 \pmod{4}$ . Choosing  $\sigma \in \mathbb{Z}_4$ , one may assume that  $\sigma$ - $wts$  of  $x, y, z, u$  are  $a, b, 2, 3$  ( $a, b = 1, 3$ ). By  $a + b + 2 \equiv 2 \pmod{4}$ , one has  $a + b \equiv 0 \pmod{4}$ , whence  $a + b = 4$ . Since  $wt \varphi \equiv 2$ , one sees that the order  $v$  induced by this weight satisfies  $v(f) = 6$ . Then  $v(x) + v(y) + v(z) + v(u) < 4 + v(\varphi)$  contradicts Theorem 2. q.e.d.

LEMMA 16. *Under the assumptions and notation of Lemma 14, if  $f$  contains  $xyz$ , then  $m$  is a power of 2.*

*Proof.* Assuming that  $m$  is odd, we will derive a contradiction.

(16.1) *Claim:*  $wt x, wt y, wt z$  are prime to  $m$ .

For example, assume  $n = (wt x, m) > 1$ . Then  $wt f \equiv 0 \pmod{n}$  by Remark 7, (1). Since  $n$  is odd, one has  $wt u \equiv 0 \pmod{n}$ . This means  $n = (wt x, wt u, m) > 1$ , which contradicts Remark 7, (2).

(16.2) *Claim:*  $wt x + wt y + wt z$  and  $wt u$  are prime to  $m$ .

Since  $m$  is odd and  $wt u^2 \equiv wt xyz \pmod{m}$ , it is enough to show  $(wt xyz, m) = 1$ . For this, it is enough to derive a contradiction by assuming that  $wt xyz \equiv 0 \pmod{m}$ , and  $m > 1$  is odd. By replacing  $wt$  by  $-wt$  if necessary, one may assume (by (16.1))

$$(wt x)_m + (wt y)_m + (wt z)_m = m.$$

Hence, for the order  $v = (wt)_m$ , one has  $v(\varphi) = m$  and  $v(x) + v(y) + v(z) + v(u) \leq m + v(\varphi)$ . This is a contradiction to Theorem 2.

(16.3) By choosing  $\rho \in \mathbb{Z}_m - \{0\}$ , one can assume

$$(\rho - wt x)_m + (\rho - wt y)_m + (\rho - wt z)_m \equiv \pm 1 \pmod{m}, \quad \text{and} \quad < 3m/2.$$

Then  $(\rho - wt x)_m + (\rho - wt y)_m + (\rho - wt z)_m = m \pm 1$ , and for  $v = (\rho - wt)_m$ ,  $v(f) = m \pm 1$  is even. By Corollary 2.2,  $0 < m \pm 1 - m - (m \pm 1)/2 = (-m \pm 1)/2$ . This is a contradiction, and Lemma 16 is proved.

PROPOSITION 17. *Under the assumptions and notation of Lemma 14, if  $f_3$  contains  $xyz$ , then  $m = 2$ , and after permutation of  $x, y, z$ , one has  $wts$  of  $x, y, z, u, f$  equal to  $1, 1, 0, 1, 0 \pmod 2$ .*

This follows from Lemmas 15, 16.

LEMMA 18. *Under the assumptions and notation of Lemma 14, if  $f_3$  contains  $xy^2$ , and if  $m > 1$  is a power of 2, then  $m = 2$  and  $wts$  of  $x, y, z, u, f$  are  $0, 1, 1, 1, 0 \pmod 2$ .*

*Proof.* Assume  $m = 4$ . By  $2wtu = wtx + 2wty$ ,  $wtx$  is even whence  $wty$  and  $wtu$  are odd (Remark 7, (2)). Thus  $2wtu \equiv 2wty \pmod 4$  and  $wtx \equiv 0 \pmod 4$ . Thus by Remark 7, (1),  $wtf \equiv 0 \pmod 4$ , which contradicts  $wtu \equiv 1 \pmod 2$ . The rest is easy. q.e.d.

LEMMA 19. *Under the assumptions and notation of Lemma 14, if  $f_3$  contains  $xy^2$ , and if  $m > 1$  is odd, then  $m \equiv 3$  and after choosing a generator of  $Z_m$ , one has  $wts$  of  $x, y, z, u, \varphi$  equal to  $2, 2, 1, 0, 0 \pmod 3$  and  $f_3$  contains  $xy^2, z^3$ .*

*Proof.* (19.1) *Claim:*  $wtx, wty, wtz$  are prime to  $m$ .

It is enough to derive a contradiction from (e.g.)  $wtx \equiv 0 \pmod m$ . By Remark 7, (1), some power of  $x$  appears in  $f$ , and  $wtu \equiv (wtf)/2 \equiv 0 \pmod m$ , which contradicts Remark 7, (2).

(19.2) *Claim:* By (19.1), we may choose a generator  $\sigma$  of  $Z_m$  so that  $\sigma \cdot wtx \equiv 2, \sigma \cdot wty \equiv b, \sigma \cdot wtz \equiv c \pmod m$ , with  $0 < b, c < m$ . Then, for  $v = (\sigma \cdot wt)_m$ ,  $v(f) = 2 + 2b - m$ .

From  $v(xy^2) = 2 + 2b \leq 2m$  (19.1), follows  $v(f) = 2 + 2b$  or  $2 + 2b - m$ . But if  $v(f) = 2 + 2b$ , then  $v(f)$  is even and  $2 + b + c - m - (2 + 2b)/2 = c + 1 - m \leq 0$  by (19.1), which contradicts Corollary 2.2.

(19.3) *Claim:* Under the assumptions and notation of (19.2), if  $2c < m$  and if  $m \geq 5$ , then  $2b > m$  and for  $w = ((2\sigma) \cdot wt)_m$ , one has  $w(x) = 4, w(y) = 2b - m, w(z) = 2c, w(f) = 4 + 4b - 2m$ .

By (19.2),  $v(f) = 2 + 2b - m \geq 3$ , whence  $2b > m$ . Thus  $w(xy^2) = 4 + 4b - 2m$ . If  $w(f) \neq 4 + 4b - 2m$ , then  $w(f) = 4 + 4b - 3m$  because  $b < m$ . Then  $w(f)$  is odd and  $w(x), w(z)$  are even. Thus  $w(f)$  is attained by a term  $y \cdot$  (monomial of degree  $\geq 2$ ). But

$$\begin{aligned} w(y \cdot (\deg \geq 2)) &= (4 + 4b - 3m) \\ &\geq 2b - m + 2 - (4 + 4b - 3m) = 2(m - 1 - b) \geq 0, \end{aligned}$$



and if the equality holds, then  $b = m - 1$  and  $w((\deg \geq 2)) = 2$ . This is impossible by  $m \geq 5$ . Thus (19.3) is proved.

(19.4) *Claim:* Under the situation of (19.2), if  $m \geq 5$ , then one has  $c \geq (m - 1)/2$ .

If  $c < (m - 1)/2$ , then by (19.3), Corollary 2.2 applied to  $w(f)$  gives  $0 < 4 + 2b - m + 2c - m - (4 + 4b - 2m)/2 = 2 + 2c - m \leq 2 + (m - 2) - m = 0$ , which is a contradiction. Thus (19.4) is proved.

(19.5) *Claim:* Under the situation of (19.2), one has (1) if  $m \geq 5$  then  $c$  is odd and  $c \leq m - 4$ , and (2) if  $m = 3$  then  $c = 1$  and  $b = 2$ .

Since  $v(y \cdot (\deg \geq 2)) - (2 + 2b - m) = b + 2 - (2 + 2b - m) = m - b > 0$  by  $b < m$  (19.2),  $v(f)$  is attained by a term containing only  $x$  and  $z$ . Since  $v(f)$  is odd,  $v(z) = c$  is odd. Since  $2 + 2b - m \leq m$  and  $3c > m$  (19.4),  $f$  must contain  $xz$  if  $c \geq m - 2$  and  $m \geq 5$ . This is absurd, and hence  $c \leq m - 4$ . The case  $m = 3$  is similar.

Let  $c = m - 2e, e \geq 2$ . Then  $e$  is prime to  $m$  by (19.1).

(19.6) *Claim:* Under the situation of (19.2), there is no integer  $i$  such that

$$(*) \quad m/(2e - 1) \leq i \leq 2m/(2e + 1).$$

Assume that such  $i$  exists. Then  $w' = ((i \cdot \sigma) \cdot wt)_m$  satisfies  $w'(x) = 2i, w'(y) = b', w'(z) = 2m - 2ie$ , where  $b' = (bi)_m$  satisfies  $0 < b' < m$  by (19.1). We will derive a contradiction to prove (19.6). From  $w'(f) \equiv 2i + 2b', 2i < m$ , and  $2b' < 2m$ , follows that  $2i + 2b' < 3m$ . Thus  $w'(f) = 2i + 2b', 2i + 2b' - m$ , or  $2i + 2b' - 2m$ . If  $w'(f) = 2i + 2b' - m$  (odd), then  $w'(f)$  is attained by  $x^p y^q z^r$  with  $q > 0$  ( $w'(x), w'(z)$  are even). Hence

$$\begin{aligned} 2i + 2b' - m &= p(2i) + qb' + r(2m - 2ie), \quad \text{or} \\ 2i + b' &= m + (q - 1)b' + p \cdot 2i + r(2m - 2ie). \end{aligned}$$

Since  $2i \leq m - 1, b' \leq m - 1$  (19.1), one has  $q = 1, p = 0$ . By  $p + q + r \geq 3$ , one gets  $r \geq 2$  and  $2i + b' > b' + 2(2m - 2ie)$  contradicting (\*). If  $w'(f) = 2i + 2b' - 2m$  (even), then it is attained by  $x^p y^q z^r, p + q + r \geq 3$ , and

$$2i + 2b' - 2m = 2ip + b'q + r(2m - 2ie).$$

Since  $2i + 2b' - 2m < 2i$ , one gets  $p = 0$ . Also from  $2i + 2b' - 2m < 2b' - m < b'$ , follows  $q = 0$  and  $r \geq 3$ . Hence  $2i > 2i + 2b' - 2m = r(2m - 2ie)$

contradicting (\*) in (19.6). Thus  $w'(f) = 2i + 2b'$ . Now  $w'(f)$  is even, and Corollary 2.2 applied to  $w'$  gives a contradiction to (\*):

$$0 < 2i + b' + (2m - 2ie) - m - (2i + 2b')/2 = m - (2e - 1)i.$$

(19.7) *Claim:* Under the situation of (19.2), if  $m \geq 5$ , then one has  $e = 2, m = 7$ .

We note  $m \geq 4e - 1$  by (19.4), and hence

$$\begin{aligned} & (2e - 1)(2e + 1)\{2m/(2e + 1) - m/(2e - 1) - 1\} \\ &= (2e - 3)m - 4e^2 + 1 \geq (2e - 3)(4e - 1) - 4e^2 + 1 = 4e^2 - 14e + 4. \end{aligned}$$

Thus if  $e \geq 4$ , then  $2m/(2e + 1) > m/(2e - 1) + 1$ , and there exists  $i$  satisfying (\*) in (19.6). Hence  $e \leq 3$ . Then it is easy to check (19.7) for  $e \leq 3$  and  $m \geq 5$ .

(19.8) *Claim:* The case  $e = 2$  and  $m = 7$  does not give a terminal singularity.

One has  $c = 3$ . By (19.2),  $2 + 2b - 7 \geq 3$  and  $b \geq 4$ . Since  $v(x), v(y), v(z) \geq 2$ , one has by (19.2),  $2 + 2b - 7 \geq 3 \cdot 2$ , whence  $b = 1$ . Then for  $w'' = ((3 \cdot \sigma) \cdot wt)_m$ ,  $w''(x) = 6, w''(y) = 4, w''(z) = 2$ , and  $w''(f) = 14$ , whence  $w''(x) + w''(y) + w''(z) - 7 - w''(f)/2 = -2 < 0$ , which is a contradiction to Corollary 2.2.

(19.9) *Claim:* If  $m = 3$ , then  $f_3$  contains  $z^3$ .

Otherwise, by (19.2) and (19.5),  $v(x) = v(y) = 2, v(z) = 1$ , one gets  $v(f) = 6$ , which contradicts (19.2). This proves Lemma 19.

PROPOSITION 20. Under the situation of Lemma 14, if  $f_3$  contains  $xy^2$ , then (1)  $m = 2$  and  $wts$  of  $x, y, z, u, f$  are  $0, 1, 1, 1, 0 \pmod{2}$ , or (2)  $m = 3$  and after choosing generator  $\sigma$  of  $Z_3$ , one has  $\sigma$ - $wts$  of  $x, y, z, u, f$  equal to  $2, 2, 1, 0, 0 \pmod{3}$ , and  $f_3$  contains  $xy^2, z^3$ .

*Proof.* By Lemmas 18, 19, it remains to exclude  $m = 6$ . By Lemma 19,  $f_3$  contains  $z^3$ . This implies  $3 \cdot wt z \equiv wtf$ , which contradicts Lemma 18.

LEMMA 21. Under the situation of Lemma 14, if  $f_3$  does not contain cross terms (like  $xy^2, xyz$ ), then  $f_3 \in C \cdot x^3 + C \cdot y^3 + C \cdot z^3$ .

This is obvious.

LEMMA 22. Under the situation of Lemma 21, if  $f_3 = x^3 + y^3 + z^3$  (resp.  $x^3 + y^3$ ), then  $m = 3$ , and after choosing generator  $\sigma$  of  $Z_3$  and permutation

of  $x, y, z$  (resp.  $x, y$ ) if necessary, one has  $\sigma$ -wts of  $x, y, z, u, \varphi$  equal to 1, 2, 2, 0, 0 mod 3.

*Proof.* (22.1) *Claim:* 2 and 3 are the only possible prime factors of  $m$ .

Assuming that  $(m, 6) = 1$ ,  $m > 1$ , we will derive a contradiction. From  $wt\ x \equiv wt\ y \pmod{m}$ , one has  $(wt\ x, m) = 1$  by Remark 7, (2). Thus one can choose a generator  $\rho$  of  $Z_m$  such that  $\rho \cdot wt\ x \equiv 2$ . Then  $\rho$ -wts of  $x, y, z, u, \varphi$  are 2, 2,  $c, 3, 6$ , where  $c$  is prime to  $m$  by  $(wt\ \varphi, m) = 1$ , and Remark 7, (1). Let  $v = (\rho \cdot wt)_m$ . Since  $v(\sigma) \geq 2$ ,  $m \geq 5$ , one has  $v(\varphi) = 6$ . Thus  $v(x) + v(y) + v(z) + v(u) - m - v(\varphi) = c - m + 1 \leq 0$ , which contradicts Corollary 2.2.

(22.2) *Claim:*  $(m, 2) = 1$ .

Indeed if  $m = 2$ , then  $wt\ x \equiv wt\ y \equiv 0 \pmod{2}$ . This contradicts Remark 7, (2).

(22.3) *Claim:*  $m = 3$ .

We will derive a contradiction assuming  $m = 9$ . From  $2 \cdot wt\ u \equiv 3 \cdot wt\ y \pmod{9}$ , follows  $wt\ u \equiv 0 \pmod{3}$ . By Remark 7, (2),  $wt\ x, wt\ y, wt\ z$  are prime to 3. Thus  $wt\ u \not\equiv 0 \pmod{9}$ . If  $wt\ x \equiv wt\ y \pmod{9}$ , then  $Z_9$ -automorphism:  $x \rightarrow x + \alpha y$ , keeping  $y, z, u$  reduces the problem to Proposition 20, which contradicts  $m = 9$ . Thus  $wt\ x \equiv wt\ y \pmod{3}$ ,  $wt\ x \not\equiv wt\ y \pmod{9}$ . Choosing a generator  $\rho \in Z_9$ , one may assume  $\{\rho \cdot wt\ x, \rho \cdot wt\ y\} = \{2 \pmod{9}, 5 \pmod{9}\}$ . By exchanging  $x, y$  if necessary, one may assume that  $\rho$ -wts of  $x, y, z, u$  are 2, 5,  $c, 3 \pmod{9}$  ( $c$  is prime to 3 by Remark 7, (2)). By applying Theorem 2 to  $v = (\rho \cdot wt)_9$ , one gets  $v(f) = 6$ ,  $c > 5$ . For  $w = ((-\rho) \cdot wt)_9$ ,  $w(f) \equiv 3 \pmod{9}$ . By Theorem 2, one gets  $w(x) + w(y) + w(z) + w(u) = 26 - c > 9 + w(f)$ . Thus by  $c > 5$ ,  $w(f) = 3$ . Then  $z^3$  appears in  $f$  and  $w(z) = 1$ ,  $c = 8$ . Thus  $(-3\rho)$ -wts of  $x, y, z, u$  are 3, 3, 3, 0 mod 9. Hence for  $w' = ((-3\rho) \cdot wt)_9$ ,  $w'(\varphi) \geq 9$ , and  $w'(x) + w'(y) + w'(z) + w'(u) \leq 9 + w'(\varphi)$ . This contradicts Theorem 2, and  $m = 9$  is excluded.

(22.4) Since  $wt\ u \equiv 0 \pmod{3}$ ,  $wt\ x, wt\ y, wt\ z$  are prime to 3. If  $wt\ x \equiv wt\ y \equiv wt\ z \pmod{3}$ , then we can choose  $wt$  so that  $wt\ x \equiv 1$ . Then setting orders of  $x, y, z, u$  equal to 1, 1, 1, 3, one has an order  $v$  such that  $v(\varphi) = 3$ . Then  $v(x) + v(y) + v(z) + v(u) = v(\varphi) + 3$ , which contradicts Theorem 2. If  $f_3 = x^3 + y^3 + z^3$ , then after permutation of  $x, y, z$  and change of weight, one gets wts of  $x, y, z, u, \varphi$  equal to 1, 2, 2, 0, 0. If  $f_3 = x^3 + y^3$ , then after permutation of  $x, y$  if necessary and change of weight, one gets wts of  $x, y, z, u, \varphi$  equal to 2,  $b, 1, 0, 0$ , ( $b = 1, 2$ ) mod 3. If  $b = 2$ , then 2, 2, 1, 3

for  $x, y, z, u$  gives order  $w$  such that  $w(\varphi) = 6$  because  $\varphi$  does not contain  $z^3$ . Then  $w(x) + w(y) + w(z) + w(u) - 3 - w(\varphi) = -1$ , which contradicts Theorem 2. Thus  $b = 1$ . Changing  $wt$  to  $-wt$ , one gets Lemma 22.

**THEOREM 23.** *Under the situation of Lemma 14, if  $f_3$  is not a cube of a linear factor, then after permutation of  $x, y, z$  and choice of generator  $\sigma$  of  $Z_m$ , one of the following holds.*

(1)  $m = 2$ ,  $wts$  of  $x, y, z, u, \varphi$  are  $1, 1, 0, 1, 0 \pmod{2}$ , and  $xyz$  or  $y^2z$  appears in  $f_3$ .

(2)  $m = 3$ ,  $\sigma$ - $wts$  of  $x, y, z, u$ , are  $1, 2, 2, 0, 0 \pmod{3}$ , and  $f_3 = x^3 + y^3 + z^3, x^3 + yz^2$ , or  $x^3 + y^3$  modulo  $Z_3$ -automorphism of  $C\{x, y, z\}$ .

This follows from Propositions 17, 20, and Lemmas 21, 22.

*Remark 23.1.* In cases (1), (2) of Theorem 23, it is easy to see that modulo  $Z_m$ -automorphism of  $C\{x, y, z, u\}$ , one may put  $\varphi$  in one of the following forms by Theorem 3:

*Case 1.*  $m = 2$ ,  $wts$  of  $x, y, z, u$  are  $1, 1, 0, 1 \pmod{2}$ ,

$$(23.1.1) \quad \varphi = u^2 + xyz + x^{2a} + y^{2b} + z^c,$$

$$(23.1.2) \quad \varphi = u^2 + y^2z + \lambda yx^{2a-1} + g,$$

$$(a, b \geq 2, c \geq 3, \lambda \in k, g \in (x^4, x^2z^2, z^3)C\{x^2, z\}),$$

*Case 2.*  $m = 3$ ,  $wts$  of  $x, y, z, u$  are  $1, 2, 2, 0 \pmod{3}$ ,

$$(23.2.1) \quad \varphi = u^2 + x^3 + y^3 + z^3,$$

$$(23.2.2) \quad \varphi = u^2 + x^3 + yz^2 + xy^4 \cdot \lambda + y^6 \cdot \mu,$$

$$(23.2.3) \quad \varphi = u^2 + x^3 + y^3 + xyz^3 \cdot \alpha + xz^4 \cdot \beta + yz^5 \cdot \gamma + z^6 \cdot \delta,$$

$$(\lambda, \mu \in C\{y^3\}, 4\lambda^3 + 27\mu^2 \neq 0, \alpha, \beta, \gamma, \delta \in C\{z^3\}).$$

For (23.1.1) and (23.2.1),  $(X, p)$  is terminal. (This follows from Corollary 2.1.) If  $\varphi$  is a general linear combination of a finite number of monomials as in (23.1.2), (23.2.2), or (23.2.3), and if  $\varphi$  has an isolated singularity at 0, then  $(X, p)$  is terminal. (This also follows from Corollary 2.1.)

The way to put  $\varphi$  in the standard forms above is as follows. If  $m = 2$  and  $f_3$  contains  $xyz$ , then after operating  $Z_2$ -automorphism, one may assume that  $f_3 = xyz + \lambda z^3$  ( $\lambda \in k$ ). If  $xyh$  (resp. if it is not reduced to (23.1.2)  $yzh$ ,  $zxh$ ) appears in  $f$  for some monomial  $h \in (x, u, z)^2$ , then  $Z_2$ -transformation  $z \rightarrow z + \lambda h$  (resp.  $x \rightarrow x + \lambda h, y \rightarrow y + \lambda h$ ) kills  $xyh$  (resp.  $yzh, zxh$ ) for some  $\lambda$ . Thus for any  $n \gg 0$ , there is a  $Z_2$ -automorphism  $\psi$  such that  $\varphi \equiv \psi(u^2 +$

$xyz + x^{2a}\alpha(x) + y^{2b}\beta(y) + z^c\gamma(z)$  modulo  $(x, y, z, u)^n$ , ( $\alpha, \beta$  are even power series;  $\alpha(0)\beta(0)\gamma(0) \neq 0$ ;  $\infty \geq a, b, c$ ;  $a, b \geq 2$ ;  $c \geq 3$ ). Thus, by Theorem 3, there is a  $Z_2$ -automorphism  $\psi$  such that

$$\varphi = \psi(u^2 + xyz + x^{2a}\alpha + y^{2b}\beta + z^c\gamma).$$

Hence one may assume

$$\varphi = u^2 + xyz + x^{2a}\alpha + y^{2b}\beta + z^c\gamma.$$

Since  $\varphi = 0$  has an isolated singularity, one sees  $a, b, c < \infty$ . It is easy to see that there are invariant units  $u_1, u_2, u_3, u_4$  of  $C\{x, y, z\}$  such that

$$u_4^2 = u_1u_2u_3 = u_1^{2a}\alpha = u_2^{2b}\beta = u_3^c\gamma. \quad \text{Then } Z_2\text{-automorphism}$$

$\tau$  of  $C\{x, y, z, u\}$  such that  $\tau x = u_1x, \tau y = u_2y, \tau z = u_3z, \tau u = u_4u$  satisfies

$$\tau\varphi = u_4^2(u^2 + xyz + x^{2a} + y^{2b} + z^c).$$

Thus (23.1.1) is obtained, and other cases are similar.

#### §4. Terminal singularities of type $cE$

LEMMA 24. *Under the situation of Lemma 14, if  $f_3 = x^3$ , then modulo  $Z_m$ -automorphism,*

$$\varphi = u^2 + x^3 + g(y, z)x + h(y, z),$$

where  $g, h \in C\{y, z\}$ ,  $g \in (y, z)^3$ ,  $h \in (y, z)^4$ .

This is obvious.

THEOREM 25. *Under the situation of Lemma 24, one has  $m = 2$  and wts of  $x, y, z, u, \varphi$  are  $0, 1, 1, 1, 0 \pmod 2$ , and  $h \notin (y, z)^5$ .*

Remark 25.1. If  $m = 2$  and wts of  $x, y, z, u$  are  $0, 1, 1, 1 \pmod 2$  and if an even polynomial  $g$  (resp.  $h$ ) in  $y, z$  is a general linear combination of a finite number of monomials  $\in (y, z)^4$  and  $h \notin (y, z)^5$ , and if

$$\varphi = u^2 + x^3 + g(y, z)x + h(y, z)$$

has an isolated singularity at 0, then  $(X, p)$  is terminal. (This follows from Corollary 2.1.)

*Proof.* For an integer  $n \geq 0$ , let  $g_n$  (resp.  $h_n$ ) be the homogeneous part of degree  $n$  of  $g$  (resp.  $h$ ). We will treat two cases.

Case 1.  $m$  is an odd prime.

In this case, we will derive a contradiction in several steps.

(25.2) *Claim:*  $wt x, wt y, wt z$  are prime to  $m$ .

If (e.g.)  $wt x \equiv 0 \pmod{m}$ , then by Remark 7, (1),  $wt f \equiv 0 \pmod{m}$  and  $wt u \equiv 0$ , which contradicts Remark 7, (2).

(25.3) *Claim:* One may assume that  $m$  is a prime number  $> 7$ .

It is enough to derive a contradiction assuming  $m \leq 7$ . By (25.2), let  $\rho$  be a generator  $Z_m$  of such that  $\rho \cdot wt x \equiv 2 \pmod{m}$ . Since  $2 wt u \equiv 3 \cdot wt x \pmod{m}$ ,  $v = (\rho \cdot wt)_m$  satisfies  $v(f) = 6$ . Let  $b = v(y)$ ,  $c = v(z)$ . Then by Corollary 2.2,  $2 + b + c - m - 3 > 0$  and  $b + c \geq m + 2$ . Let  $w = ((-\rho) \cdot wt)_m$ . One has  $w(x) = m - 2$ ,  $w(y) = m - b$ ,  $w(z) = m - c$ . Since  $w(x^3) = 3m - 6$ ,  $w(f) = 3m - 6$  (odd) or  $2m - 6$  (even) because  $w(f) \geq 3$ . Thus in any case, by Corollary 2.2, one has  $(m - 2) + (m - b) + (m - c) > 2m - 3$ . Hence  $m + 1 > b + c \geq m + 2$ . This is a contradiction.

(25.4) *Claim:*  $wt y - wt z$  is prime to  $m$ .

It is enough to derive a contradiction assuming  $wt y \equiv wt z \pmod{m}$ . Since  $\varphi$  defines a  $cDV$  point, one of  $h_4, g_3, h_5$  is non-zero. If  $h_4 \neq 0$ , then after choosing generator  $\sigma$  of  $Z_m$ , one has  $\sigma \cdot wts$  of  $x, y, z$  equal to  $4, 3, 3 \pmod{m}$ . Thus Corollary 2.2 applied to the induced order gives  $0 < 4 + 3 + 3 - m - 6 = 4 - m$ , which contradicts (25.3). The other cases are the same; if  $g_3 \neq 0$  (resp.  $h_5 \neq 0$ ), then one may assume that the  $wts$  of  $x, y, z$  are  $3, 2, 2$  (resp.  $5, 3, 3$ )  $\pmod{m}$ . Hence for the induced order  $w$ ,  $w(f) = 9$  (resp.  $15$  by (25.3)), which contradicts (25.3), by Corollary 2.2.

(25.5)  $g_3, h_4, h_5$  are monomials (or 0). (by (25.3) and (25.4))

(25.6) *Claim:* None of  $g_3, h_4, h_5$  are powers of  $y$ , or  $z$  (up to constants).

By symmetry, enough to show that none of them are powers of  $y$ . If  $h_4 = y^4$  (up to non-zero constants), then one can choose an order  $v$  such that  $v(x) = 4$ ,  $v(y) = 3$ ,  $v(z) = c$  ( $0 < c < m$ ). Then  $v(f) = 12$ , because  $12 < m + 3$ . Then  $0 < 3 + 4 + c - m - 6 = 1 + c - m$  (Corollary 2.2), which is a contradiction. If  $g_3 = y^3$  (up to non-zero constants), then one can choose an order  $v$  such that  $v(x) = 6$ ,  $v(y) = 4$ ,  $v(z) = c$  ( $0 < c < m$ ). Then  $v(f) = 18$  or  $18 - m$ . If  $v(f) = 18$ , then Corollary 2.2 gives a contradiction;  $0 < 6 + 4 + c - m - 9 = 1 + c - m$ . If  $v(f) = 18 - m$ , then  $18 - m (\leq 7)$  is a sum of at least 4 numbers which are  $4 = v(y)$  or  $c = v(z)$ . Thus  $c = 1$ . Hence one can choose an order  $w$  ( $-2w \equiv v \pmod{m}$ ) such that  $w(x) = m - 3$ ,  $w(y) = m - 2$ ,  $w(z) = (m - 1)/2$ . Then  $w(f) = 3m - 9$ ,  $2m - 9$ , or  $m - 9$ ,

and order of an arbitrary monomial in  $x, y, z$  of degree  $\geq 4$  is at least  $4 \cdot (m - 1)/2 = 2m - 2 > 2m - 9$ . Thus  $w(f) = 3m - 9$  (even). Corollary 2.2 gives  $0 < (m - 3) + (m - 2) + (m - 1)/2 - m - (3m - 9)/2 = -1$ , which is a contradiction. If  $h_5 = y^5$  (up to constants), then one can choose an order  $v$  such that  $v(x) = 10$ ,  $v(y) = 6$ ,  $v(z) = c$  ( $0 < c < m$ ). If  $c \geq 6$ , then  $v(f) = 30$  ( $30 - m < 4 \cdot 6$ ) and Corollary 2.2 gives a contradiction;  $0 < 10 + 6 + c - m - 15 = c + 1 - m$ . If  $c = 1, 3$ , or  $5$ , then one can choose an order  $w$  ( $-2w \equiv v(m)$ ) such that  $w(x) = m - 5$ ,  $w(y) = m - 3$ ,  $w(z) = (m - c)/2$ . For a monomial  $M$  in  $x, y, z$  of degree  $\geq 4$ , one has  $w(M) \geq 4 \cdot (m - c)/2 = 2m - 2c > 2m - 15$ . Thus  $w(f) = 3m - 15$  (even) by  $w(x^3) = 3m - 15$ . Then Corollary 2.2 gives a contradiction;  $0 < (m - 5) + (m - 3) + (m - c)/2 - m - (3m - 15)/2 = -(c + 1)/2$ . If  $c = 2$ , or  $4$ , then one can choose an order  $w$  ( $2w \equiv v(m)$ ) such that  $w(x) = 5$ ,  $w(y) = 3$ ,  $w(z) = c/2$ . One has  $w(x^3) = 15$  and  $m \geq 11$  by (25.3), hence  $w(f) = 15$  (odd) or  $15 - m$  (even). Then, in any case, Corollary 2.2 gives contradiction;  $0 < 5 + 3 + c/2 - (m + 15)/2 < (5 - m)/2$ .

(25.7) *Claim:* One chooses an integer  $n \geq 1$  such that  $12n + 5 \geq m \geq 12n - 5$ . Let  $v$  be an order such that  $v(x) = 4n$ ,  $v(y) = b$ ,  $v(z) = c$  ( $0 < b, c < m$ ). Then  $v(f) = 12n$ .

Otherwise  $v(f) = 12n - m \leq 5$ . Since  $v(x) \geq 4$ ,  $w(f)$  is attained by  $h_4$  or  $h_5$ . By (25.4), (25.5), (25.6),  $w(f)$  must be attained by  $h_4$ ,  $12n - m = 5$ ,  $(b, c) = (1, 2)$  or  $(2, 1)$ . Corollary 2.2 shows  $0 < 4n + b + c - m/2 - 5/2 = b + c - 2n = 3 - 2n$ . Thus  $n = 1$  and  $m = 7$ , which is a contradiction to  $m > 7$ .

(25.8) *Claim:*  $b + c > m + 2n$ .

This follows from Corollary 2.2 applied to order  $v$  in (25.7);  $0 < 4n + b + c - m - 6n = b + c - m - 2n$ .

(25.9) *Claim:* Let  $w$  be an order ( $w \equiv -v(m)$ , with  $v$  in (25.7)) such  $w(x) = m - 4n$ ,  $w(y) = m - b$ ,  $w(z) = m - c$ . Then  $w(f) = 3m - 12n$  or  $2m - 12n$ .

Otherwise,  $w(f) = m - 12n \leq 5$ , because  $w(x^3) = 3m - 12n$ . Since  $w(x) = m - 4n \geq 12n - 5 - 4n = 8n - 5 \geq 3$ ,  $w(f)$  is attained by  $h_4$  or  $h_5$ . Since  $w(f)$  is odd,  $m - 12n = 5$ , and  $(m - b) + (m - c) = 3$  by (25.4), (25.6). Let  $w'$  be an order ( $w' \equiv 3w(m)$ ) such that  $w'(x) = 5$ ,  $w'(y) = 3(m - b)$ ,  $w'(z) = 3(m - c)$ . Then  $w'(f) = 15$ , and Corollary 2.2 shows  $0 < 5 + 3(m - b) + 3(m - c) - m/2 - 15/2 = 5 + 9 - m/2 - 15/2 = (13 - m)/2$ . Thus  $m = 11$

$= 12n + 5$ , which is a contradiction.

(25.10) By (25.9), Corollary 2.2, applied to  $w$  shows that  $0 < (m - 4n) + (m - b) + (m - c) - (2m - 6n) = m + 2n - b - c$ . This contradicts (25.8). Thus Case 1 is finished and  $m$  is a power of 2.

*Case 2.*  $m$  is a power of 2.

(25.11) *Claim:*  $wt x \equiv 0, wt u, wt y, wt z \equiv 1 \pmod{2}$ .

By  $2 \cdot wt u \equiv 3 \cdot wt x \pmod{2}$ , one has  $wt x \equiv 0 \pmod{2}$ , and the rest follows from Remark 7, (2).

(25.12) *Claim:*  $g_3 = h_5 = 0, h_4 \neq 0$ .

Since  $wt g_3 \equiv wt h_5 \equiv 0 \pmod{2}$ , one has  $g_3 = h_5 = 0$  by (25.11). Since  $\varphi$  defines a  $cDV$  point,  $h_4 \neq 0$ .

(25.13) *Claim:*  $m = 2$ .

It is enough to derive a contradiction assuming  $m = 4$ . Since  $h_4 \neq 0$ , one has  $3 \cdot wt x \equiv wt h_4 \equiv 2 \cdot wt u \equiv 2 \pmod{4}$ , hence  $wt x \equiv 2, wt y \not\equiv wt z \pmod{4}$ . Thus by (25.11),  $wt y + wt z \equiv 0 \pmod{4}$ . Let  $\rho$  be a generator of  $Z_4$  such that  $\rho \cdot wt s$  of  $x, y, z, u$  are  $2, b, c, 3$  ( $0 < b, c < 4$ ). Then  $b + c = 4$ . Let  $v = (\rho \cdot wt)_4$ . Then  $v(f) = 6$ , and Theorem 2 gives contradiction;  $0 < 2 + b + c + 3 - 4 - 6 = -1$ . Thus Case 2 is finished and Theorem 25 is proved.

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