

# ON A CHARACTERIZATION OF REGULARLY BRANCHED THREE-SHEETED COVERING RIEMANN SURFACES

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§1. Let  $R$  be an open Riemann surface. Let  $\mathfrak{M}(R)$  be a family of non-constant meromorphic functions on  $R$ . Let  $f$  be a member of  $\mathfrak{M}(R)$ . Let  $P(f)$  be the number of Picard's exceptional values of  $f$ , where we say  $\alpha$  a Picard's exceptional value of  $f$  when  $\alpha$  is not taken by  $f$  on  $R$ . Let  $P(R)$  be a quantity defined by

$$\sup_{f \in \mathfrak{M}(R)} P(f).$$

When  $R$  is open, we have always  $P(R) \geq 2$ , since there exists a non-constant regular function on  $R$  by the existence theorem due to Behnke-Stein and then it suffices to compose it to the exponential function.

Ozawa [2] gave the following criterion of non-existence of analytic mapping between two Riemann surfaces:

If  $P(R) < P(S)$ , then there is no analytic mapping from  $R$  into  $S$ .

In general it is very difficult to calculate  $P(R)$  of a given open Riemann surface.

Let  $R$  be an ultrahyperelliptic surface, which is a proper existence domain of a two-valued function  $\sqrt{g(z)}$  with an entire function  $g(z)$  of  $z$  whose zeros are all simple and are infinite in number. Then by Selberg's generalization [5] of Nevanlinna's theory we have  $P(R) \leq 4$ . Ozawa [2, 3] gave a characterization of  $R$  with  $P(R) = 4$ , an example of  $R$  with  $P(R) = 3$  and several other interesting results.

We shall confine ourselves to the following Riemann surfaces:

Let  $R$  be a regularly branched three-sheeted covering Riemann surface, which is a proper existence domain of the three-valued algebroid function  $\sqrt[3]{g(z)}$  with an entire function  $g(z)$  of  $z$  whose zeros are all simple or double and are infinite in number. Then by Selberg's theory [5] we have  $P(R) \leq 6$ . The existence of the surface with  $P(R) = 6$  is evident.

In the present paper we shall prove the following theorems:

**THEOREM 1.** *If  $P(R) = 6$ , then there exist entire functions  $f(z)$ ,  $H(z)$  of  $z$  such that*

$$(1.1) \quad f(z)^3 g(z) = (e^{H(z)} - \gamma)(e^{H(z)} - \delta)^2, \quad \gamma \neq \delta, \quad \gamma \delta \neq 0,$$

where  $H(z)$  is a non-constant function with  $H(0) = 0$  and  $\gamma$  and  $\delta$  are constants. The converse is also true.

**THEOREM 2.** *There is no regularly branched three-sheeted covering Riemann*

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surface with  $P(R)=5$ .

§ 2. In order to prove the theorems it is necessary to establish a representation of regular functions on  $R$ . Let  $g(z)$  be an entire function of  $z$  whose zeros are all simple or double and are infinite in number. Let  $R$  be a regularly branched three-sheeted covering Riemann surface formed by elements  $p=(z, y)$  for each  $z, y$  which satisfy the equation

$$(2.1) \quad y^3 = g(z).$$

Let  $f$  be a three-valued entire algebroid function of  $z$ , which is one-valued and regular on  $R$ , and let its defining equation be

$$(2.2) \quad F(z, f) \equiv f^3 - S_1(z)f^2 + S_2(z)f - S_3(z) = 0,$$

where  $S_1(z), S_2(z)$  and  $S_3(z)$  are entire functions of  $z$ . Then there exist two entire functions  $f_1(z), f_2(z)$  of  $z$  and an analytic function  $f_3(z)$  being one-valued regular with the exception of all the double zeros of  $g(z)$  at which  $f_3(z)$  has simple poles and satisfying the following relations:

$$(2.3) \quad \begin{cases} S_1(z) = 3f_1(z), \\ S_2(z) = 3f_1(z)^2 - 3f_2(z)f_3(z)g(z), \\ S_3(z) = f_1(z)^3 + f_2(z)^3g(z) + f_3(z)^3g(z)^2 - 3f_1(z)f_2(z)f_3(z)g(z). \end{cases}$$

Now we shall show the relation (2.3). Let  $\omega \neq 1$  be a cubic root of 1. We put  $p_1=(z, y), p_2=(z, \omega y)$  and  $p_3=(z, \omega^2 y)$ , and define the functions  $f_1(z), f_2(z)$  and  $f_3(z)$  by

$$(2.4) \quad \begin{cases} f_1(z) \equiv \frac{1}{3}(f(p_1) + f(p_2) + f(p_3)), \\ f_2(z) \equiv \frac{1}{3y}(f(p_1) + \omega^2 f(p_2) + \omega f(p_3)), \\ f_3(z) \equiv \frac{1}{3y^2}(f(p_1) + \omega f(p_2) + \omega^2 f(p_3)). \end{cases}$$

In general  $f_1, f_2$  and  $f_3$  above defined are eventually multi-valued functions of  $z$  and their multi-valuedness might occur when  $z$  moves around a certain zero point of  $g(z)$ . We introduce a suitable local parameter around a branch point of  $R$  which lies over a zero point of  $g(z)$  and expand  $f$  in its neighborhood with respect to the above local parameter. Then we can see that  $f_1, f_2$  and  $f_3$  are one-valued functions having the desired properties. Therefore putting  $p=(z, y)$  we have the following representation of all the regular functions on  $R$ :

$$(2.5) \quad f(p) = f_1(z) + f_2(z)y + f_3(z)y^2.$$

Conversely  $f(p)$  defined by (2.5) with  $f_1, f_2$  and  $f_3$  having the described properties

is clearly a regular function on  $R$ . From (2.5) we have

$$\begin{aligned} f_1 - f + f_2 y + f_3 y^2 &= 0, \\ f_3 g + (f_1 - f) y + f_2 y^2 &= 0, \\ f_2 g + f_3 g y + (f_1 - f) y^2 &= 0. \end{aligned}$$

Eliminating  $y$  and  $y^2$  we have

$$f^3 - 3f_1 f^2 + (3f_1^2 - 3f_2 f_3 g) f - (f_1^3 + f_2^3 g + f_3^3 g^2 - 3f_1 f_2 f_3 g) = 0.$$

Comparing this with the equation (2.2) we obtain the desired relations (2.3).

Let  $D(z)$  be the discriminant of the cubic equation (2.2). Then from (2.4) we have

$$(2.6) \quad D(z) = -27g(z)^2(f_2(z)^3 - f_3(z)^3g(z))^2,$$

and from (2.2)

$$(2.7) \quad D(z) = -4S_1(z)^3S_3(z) + S_1(z)^2S_2(z)^2 + 18S_1(z)S_2(z)S_3(z) - 27S_3(z)^2 - 4S_2(z)^3.$$

Eliminating  $f_1$  and  $f_2$  or  $f_1$  and  $f_3$  from (2.3) we see that  $f_2^2g^2$  and  $f_2^2g$  are two roots of a quadratic equation

$$(2.8) \quad X^2 - \left( S_3 + \frac{2}{27} S_1^3 - \frac{1}{3} S_1 S_2 \right) X + \frac{1}{27} \left( \frac{1}{3} S_1^3 - S_2 \right)^3 = 0.$$

Let  $D_1(z)$  be the discriminant of the quadratic equation (2.8). Then from (2.7) we have

$$(2.9) \quad D_1(z) = -\frac{1}{27} D(z).$$

**§ 3.** Now we shall prove theorem 1 in § 3 and § 4. Let  $R$  be a three-sheeted covering Riemann surface defined by the equation (2.1) and suppose that  $P(R)=6$ . Then there exists a meromorphic function  $f \in \mathfrak{M}(R)$  with  $P(f)=6$ . Further we may assume that six Picard's exceptional values of  $f$  are  $0, a_1, a_2, a_3, a_4$  and  $\infty$ . Then  $f$  becomes a three-valued entire algebroid function of  $z$  which is regular on  $R$  and satisfies (2.2) and (2.3). By Rémoundos' method of proof of his celebrated generalization of Picard's theorem [4] pp.25-27, it is sufficient to consider the following two cases:

$$(i) \quad \begin{pmatrix} F(z, 0) \\ F(z, a_1) \\ F(z, a_2) \\ F(z, a_3) \\ F(z, a_4) \end{pmatrix} = \begin{pmatrix} c_1 \\ c_2 \\ \beta_1 e^{H_1} \\ \beta_2 e^{H_2} \\ \beta_3 e^{H_3} \end{pmatrix}, \quad (ii) \quad \begin{pmatrix} \beta_1 e^{H_1} \\ c_1 \\ c_2 \\ \beta_2 e^{H_2} \\ \beta_3 e^{H_3} \end{pmatrix},$$

where  $c_1, c_2, \beta_1, \beta_2$  and  $\beta_3$  are non-zero constants and  $H_1, H_2$  and  $H_3$  are non-constant entire functions of  $z$  satisfying  $H_1(0)=H_2(0)=H_3(0)=0$ .

Case (i). We have

$$\begin{cases} -S_3 = c_1, & (1) \\ a_1^3 - a_1^2 S_1 + a_1 S_2 - S_3 = c_2, & (2) \\ a_2^3 - a_2^2 S_1 + a_2 S_2 - S_3 = \beta_1 e^{H_1}, & (3) \\ a_3^3 - a_3^2 S_1 + a_3 S_2 - S_3 = \beta_2 e^{H_2}, & (4) \\ a_4^3 - a_4^2 S_1 + a_4 S_2 - S_3 = \beta_3 e^{H_3}. & (5) \end{cases}$$

Eliminating  $S_1, S_2$  and  $S_3$  from (1), (3), (4) and (5) we have

$$\begin{vmatrix} a_2^2 & a_2 & a_2^3 + c_1 - \beta_1 e^{H_1} \\ a_3^2 & a_3 & a_3^3 + c_1 - \beta_2 e^{H_2} \\ a_4^2 & a_4 & a_4^3 + c_1 - \beta_3 e^{H_3} \end{vmatrix} = 0,$$

i.e.

$$\begin{aligned} & a_3 a_4 (a_3 - a_4) \beta_1 e^{H_1} - a_2 a_4 (a_2 - a_4) \beta_2 e^{H_2} + a_2 a_3 (a_2 - a_3) \beta_3 e^{H_3} \\ & = (c_1 + a_2 a_3 a_4) (a_2 - a_3) (a_2 - a_4) (a_3 - a_4). \end{aligned}$$

By the impossibility of Borel's identity [1] we obtain

$$\begin{aligned} H_1 \equiv H_2 \equiv H_3 \equiv H, \quad a_3 a_4 (a_3 - a_4) \beta_1 - a_2 a_4 (a_2 - a_4) \beta_2 + a_2 a_3 (a_2 - a_3) \beta_3 &= 0, \\ c_1 + a_2 a_3 a_4 &= 0. \end{aligned}$$

From (1), (2), (3) and (4) we have

$$\begin{vmatrix} a_1^2 & a_1 & a_1^3 + c_1 - c_2 \\ a_2^2 & a_2 & a_2^3 + c_1 - \beta_1 e^H \\ a_3^2 & a_3 & a_3^3 + c_1 - \beta_2 e^H \end{vmatrix} = 0,$$

i.e.

$$\begin{aligned} & (-a_1 a_3 (a_1 - a_3) \beta_1 + a_1 a_2 (a_1 - a_2) \beta_2) e^H \\ & = a_2 a_3 (a_2 - a_3) c_2 - (c_1 + a_1 a_2 a_3) (a_1 - a_2) (a_1 - a_3) (a_2 - a_3). \end{aligned}$$

From this we have

$$\begin{aligned} & -a_1 a_3 (a_1 - a_3) \beta_1 + a_1 a_2 (a_1 - a_2) \beta_2 = 0, \\ & a_2 a_3 (a_2 - a_3) c_2 - (c_1 + a_1 a_2 a_3) (a_1 - a_2) (a_1 - a_3) (a_2 - a_3) = 0. \end{aligned}$$

Therefore we obtain

$$c_1 = -a_2 a_3 a_4, \quad c_2 = (a_1 - a_2)(a_1 - a_3)(a_1 - a_4),$$

$$\beta_2 = \frac{a_3(a_1 - a_3)}{a_2(a_1 - a_2)} \beta_1, \quad \beta_3 = \frac{a_4(a_1 - a_4)}{a_2(a_1 - a_2)} \beta_1,$$

and from (1), (2) and (3)

$$(3.1) \quad \begin{cases} S_1 = \frac{1}{a_2(a_1 - a_2)} \beta_1 e^H + a_2 + a_3 + a_4, \\ S_2 = \frac{a_1}{a_2(a_1 - a_2)} \beta_1 e^H + a_2 a_3 + a_3 a_4 + a_4 a_2, \\ S_3 = a_2 a_3 a_4. \end{cases}$$

Case (ii). Similarly by the impossibility of Borel's identity we obtain

$$H_1 \equiv H_2 \equiv H_3 \equiv H, \quad c_1 = a_1(a_1 - a_3)(a_1 - a_4), \quad c_2 = a_2(a_2 - a_3)(a_2 - a_4),$$

$$\beta_2 = \frac{(a_1 - a_3)(a_2 - a_3)}{a_1 a_2} \beta_1, \quad \beta_3 = \frac{(a_1 - a_4)(a_2 - a_4)}{a_1 a_2} \beta_1;$$

$$(3.2) \quad \begin{cases} S_1 = -\frac{1}{a_1 a_2} \beta_1 e^H + a_3 + a_4, \\ S_2 = -\frac{a_1 + a_2}{a_1 a_2} \beta_1 e^H + a_3 a_4, \\ S_3 = -\beta_1 e^H. \end{cases}$$

§ 4. Ozawa [3] proved the following lemma:

LEMMA. *Let  $H(z)$  be a non-constant entire function of  $z$ . Then the function  $e^H - \gamma$ ,  $\gamma \neq 0$  has an infinite number of simple zeros in such a manner that*

$$\overline{\lim}_{r \rightarrow \infty} \frac{N_2(r, 0, e^H - \gamma)}{T(r, e^H)} = 1,$$

where  $T(r, f)$  is the Nevanlinna characteristic function of  $f$  and  $N_2(r, 0, f)$  is the counting function of simple zeros of  $f$ .

From now on we shall proceed under the infiniteness of simple zeros of the function  $e^H - \gamma$  ( $\gamma \neq 0$ ) ensured by Ozawa's lemma. We substitute (3.1) and (3.2) into (2.7) respectively, then the coefficient of  $e^{4H}$  in  $D(z)$  is

$$\frac{a_1^4}{a_2^4(a_1 - a_2)^4} \beta_1^4 \neq 0$$

if (3.1) is the case, or

$$\frac{(a_1 - a_2)^2}{\alpha_1^4 \alpha_2^4} \beta_1^4 \neq 0$$

if (3.2) is the case. Hence in both cases we have an equation

$$D(z) = A'(e^H - \gamma_1)(e^H - \delta_1)(e^H - \gamma'_1)(e^H - \delta'_1),$$

where  $A'$ ,  $\gamma_1$ ,  $\delta_1$ ,  $\gamma'_1$  and  $\delta'_1$  are all non-zero constants. In fact, we have

$$A'\gamma_1\delta_1\gamma'_1\delta'_1 = (a_2 - a_3)^2(a_3 - a_4)^2(a_4 - a_2)^2 \neq 0$$

if (3.1) is the case, or

$$A'\gamma_1\delta_1\gamma'_1\delta'_1 = a_3^2 a_4^2 (a_3 - a_4)^2 \neq 0$$

if (3.2) is the case. From (2.6) we have

$$-27g^2(f_2^3 - f_3^3g)^2 = A'(e^H - \gamma_1)(e^H - \delta_1)(e^H - \gamma'_1)(e^H - \delta'_1).$$

Since  $\gamma_1\delta_1\gamma'_1\delta'_1 \neq 0$ , by considering simple zero points of the function  $e^H - \gamma$  ( $\gamma \neq 0$ ), we have

$$(4.1) \quad D(z) = A'(e^H - \gamma_1)^2(e^H - \delta_1)^2, \quad A' \neq 0, \gamma_1\delta_1 \neq 0, \gamma_1 \neq \delta_1.$$

We substitute (3.1) and (3.2) into the quadratic equation (2.8) respectively, then remarking (2.9) and (4.1), in both cases we have the equations

$$(4.2) \quad f_2^3g = A(e^H - \gamma)(e^H - \delta)(e^H - \eta), \quad A \neq 0,$$

$$(4.3) \quad f_3^3g^2 = A(e^H - \gamma')(e^H - \delta')(e^H - \eta'), \quad A \neq 0.$$

From (4.2) we see that  $\gamma$ ,  $\delta$  and  $\eta$  are not zero simultaneously. Hence we may assume that  $\gamma \neq 0$ .

First, we assume that  $\gamma \neq \delta$ ,  $\gamma \neq \eta$ . Since a simple zero point  $z_1$  of  $e^H - \gamma$  is a simple zero point of the right hand side term of (4.2),  $z_1$  is a simple zero point of  $g(z)$ . Hence from (4.3) we have  $\gamma = \gamma'$  or  $\gamma = \delta'$  or  $\gamma = \eta'$ , say  $\gamma = \gamma'$ . If we put  $\gamma \neq \delta'$ ,  $\gamma \neq \eta'$ , then  $z_1$  is a simple zero point of the right hand side term of (4.3), however,  $z_1$  is not a simple zero point of the left hand side term of (4.3). This is a contradiction. Hence we have  $\gamma = \delta'$  or  $\gamma = \eta'$ , say  $\gamma = \eta'$ . Then (4.2) and (4.3) reduce to

$$f_2^3g = A(e^H - \gamma)(e^H - \delta)(e^H - \eta),$$

$$f_3^3g^2 = A(e^H - \gamma)^2(e^H - \delta'),$$

where of course  $\gamma \neq \delta'$ . And we have  $\delta' \neq 0$ . In fact if  $\delta' = 0$ , then we have

$$f_2^3g - f_3^3g^2 = A(e^H - \gamma)((\gamma - \delta - \eta)e^H + \delta\eta).$$

Since  $\gamma \neq 0$ , from (4.1) and (2.6) we have  $\delta\eta \neq 0$ . By eliminating  $g(z)$  we arrive at

an absurdity relation

$$f_3^3 A(e^H - \delta)^2 (e^H - \eta)^2 = f_2^6 e^H.$$

Hence we obtain the desired  $\delta' \neq 0$ .

If  $\delta=0$  and  $\eta=0$ , then we have an absurdity relation

$$f_3^3 A e^{4H} = f_2^6 (e^H - \delta').$$

If  $\delta \neq 0$  and  $\eta=0$ , we have

$$\frac{f_3^3}{f_2^6} A e^{2H} (e^H - \delta)^2 = e^H - \delta'.$$

The right hand side term has simple zeros, but the left hand side term has no simple zero. This is absurd. If  $\delta=0$  and  $\eta \neq 0$ , we similarly have a contradiction. Therefore we obtain  $\delta\eta \neq 0$ .

Considering the simple zeros of  $e^H - \gamma (\gamma \neq 0)$ , we can see that  $\delta = \eta$  and  $\delta = \delta'$ . Hence we attain

$$\begin{aligned} f_2^3 g &= A(e^H - \gamma)(e^H - \delta)^2, \\ f_3^3 g^2 &= A(e^H - \gamma)^2 (e^H - \delta), \quad A \neq 0, \gamma\delta \neq 0, \gamma \neq \delta. \end{aligned}$$

Next, we may assume that  $\gamma = \delta, \gamma \neq \eta$ , since we have not  $\gamma = \delta = \eta$  from (4.2). In this case by considering the simple zeros of the function  $e^H - \gamma (\gamma \neq 0)$  similarly, we can see that  $\eta = \delta' = \eta' \neq 0$  in (4.2) and (4.3). Therefore we also attain the form (4.4). From the above discussion we can conclude the following result:

Let  $R$  be a regularly branched three-sheeted covering Riemann surface defined by the equation (2.1). If  $P(R)=6$ , then there exist entire functions  $f(z), H(z)$  of  $z$  such that

$$(4.5) \quad f^3 g = (e^H - \gamma)(e^H - \delta)^2, \quad \gamma \neq \delta, \gamma\delta \neq 0,$$

where  $H(z)$  is a non-constant function with  $H(0)=0$  and  $\gamma$  and  $\delta$  are constants. Conversely if  $g(z)$  in the equation (2.1) is defined by (4.5) with  $f(z)$  and  $H(z)$  having the described properties, then  $P(R)=6$ .

In fact a function  $f_0$ , which is regular on  $R$  with  $P(f_0)=6$ , is given by

$$f_0 = -\frac{\omega}{\delta - \gamma} \left[ (1 - \omega)e^H + \omega\delta - \gamma + (1 - \omega)\sqrt[3]{(e^H - \gamma)(e^H - \delta)^2} + \frac{1 - \omega}{e^H - \delta} (\sqrt[3]{(e^H - \gamma)(e^H - \delta)^2})^2 \right],$$

because that  $f_0$  has the form (2.5) and its six Picard's exceptional values are  $0, 1, -\omega(\sqrt[3]{\gamma/\delta} - \omega)/(\sqrt[3]{\gamma/\delta} - 1), -\omega^2(\sqrt[3]{\gamma/\delta} - 1)/(\omega\sqrt[3]{\gamma/\delta} - 1), -\omega^2(\omega\sqrt[3]{\gamma/\delta} - 1)/(\omega^2\sqrt[3]{\gamma/\delta} - 1)$  and  $\infty$ .

This is our desired characterization of  $R$  with  $P(R)=6$ . Thus we have completely proved our theorem 1.

§ 5. Now we shall prove theorem 2, that is, there is no three-sheeted covering

Riemann surface defined by the equation (2.1) with  $P(R)=5$ .

We assume that there exists such a Riemann surface. Then there is a meromorphic function  $f \in \mathfrak{M}(R)$  with  $P(f)=5$ . Further we may assume that its five Picard's exceptional values are  $0, a_1, a_2, a_3$  and  $\infty$ . Then  $f$  becomes a three-valued entire algebroid function of  $z$  which is regular on  $R$  and satisfies (2.2) and (2.3). By Rémoundos' reasoning [4] it is sufficient to consider the following four cases:

$$(i) \begin{pmatrix} F(z, 0) \\ F(z, a_1) \\ F(z, a_2) \\ F(z, a_3) \end{pmatrix} = \begin{pmatrix} c_1 \\ c_2 \\ \beta_1 e^{H_1} \\ \beta_2 e^{H_2} \end{pmatrix}, \quad (ii) \begin{pmatrix} \beta_1 e^{H_1} \\ c_1 \\ c_2 \\ \beta_2 e^{H_2} \end{pmatrix}, \quad (iii) \begin{pmatrix} c_1 \\ \beta_1 e^{H_1} \\ \beta_2 e^{H_2} \\ \beta_3 e^{H_3} \end{pmatrix}, \quad (iv) \begin{pmatrix} \beta_1 e^{H_1} \\ c_1 \\ \beta_2 e^{H_2} \\ \beta_3 e^{H_3} \end{pmatrix},$$

where  $c_1, c_2, \beta_1, \beta_2$  and  $\beta_3$  are non-zero constants, and  $H_1, H_2$  and  $H_3$  are non-constant entire functions of  $z$  with  $H_1(0)=H_2(0)=H_3(0)=0$ .

Case (i). We have

$$\begin{cases} -S_3 = c_1, \\ a_1^3 - a_1^2 S_1 + a_1 S_2 - S_3 = c_2, \\ a_2^3 - a_2^2 S_1 + a_2 S_2 - S_3 = \beta_1 e^{H_1}, \\ a_3^3 - a_3^2 S_1 + a_3 S_2 - S_3 = \beta_2 e^{H_2}. \end{cases}$$

Calculating as similarly as in § 3 and using the impossibility of Borel's identity, we obtain

$$H_1 \equiv H_2 \equiv H, \quad (c_1 + a_1 a_2 a_3)(a_1 - a_2)(a_1 - a_3) - c_2 a_2 a_3 = 0, \quad \beta_2 = \frac{a_3(a_1 - a_3)}{a_2(a_1 - a_2)} \beta_1;$$

$$(5.1) \quad \begin{cases} S_1 = \frac{1}{a_2(a_1 - a_2)} \beta_1 e^H + A_1, \\ S_2 = \frac{a_1}{a_2(a_1 - a_2)} \beta_1 e^H + B_1, \\ S_3 = -c_1, \end{cases}$$

where

$$A_1 = -\frac{c_1}{a_2 a_3} + a_2 + a_3, \quad B_1 = -\frac{a_2 + a_3}{a_2 a_3} c_1 + a_2 a_3.$$

Then the discriminant  $D(z)$  is a polynomial of degree 4 of  $e^H$ . If the constant term<sup>1)</sup> of  $D(z)$  is not zero, then the same reasoning in § 4 holds and we can conclude the

1) Here we say "constant term" when we take  $D(z)$  for a polynomial of  $e^H$ . From now on we use the term "constant term" in this sense.



existence of a function  $f \in \mathfrak{M}(R)$  with  $P(f)=6$ . This is absurd. Hence the constant term of  $D(z)$  is zero. Then if the constant term of

$$S(z) \equiv -\left(S_3(z) + \frac{2}{27}S_1(z)^3 - \frac{1}{3}S_1(z)S_2(z)\right)$$

in (2.8) is not zero, then  $\gamma\delta\eta \neq 0$ ,  $\gamma'\delta'\eta' \neq 0$  in (4.2) and (4.3), and again we can say that there exists a function  $f \in \mathfrak{M}(R)$  with  $P(f)=6$ , which is absurd. Hence the constant term of  $S(z)$  is also zero. Since the constant term of  $D(z)$  is zero, we have

$$-4A_1^3S_3 + A_1^2B_1^2 + 18A_1B_1S_3 - 27S_3^2 - 4B_1^3 = 0.$$

Since the constant term of  $S(z)$  is zero, we have

$$S_3 + (2/27)A_1^3 - (1/3)A_1B_1 = 0.$$

From these we obtain  $B_1 = A_1^2/3$  and  $S_3 = A_1^3/27$ . Substituting these and (5.1) into (2.2), we have

$$\begin{aligned} F(z, f) &= f^3 - \left(\frac{\beta_1 e^H}{a_2(a_1 - a_2)} + A_1\right)f^2 + \left(\frac{a_1\beta_1 e^H}{a_2(a_1 - a_2)} + \frac{A_1^2}{3}\right)f - \frac{A_1^3}{27} \\ &= \left(f - \frac{A_1}{3}\right)^3 - \frac{\beta_1 e^H}{a_2(a_1 - a_2)}(f^2 - a_1 f). \end{aligned}$$

From this we have four exceptional values  $A_1/3, 0, a_1, \infty$  and these are all exceptional values of  $f$ . Thus we have  $P(f) \leq 4$ . This is a contradiction. Therefore the case (i) does not occur.

Case (ii). Similarly we have

$$H_1 \equiv H_2 \equiv H, \quad a_1 a_2 (a_1 - a_2) (a_1 - a_3) (a_2 - a_3) - c_1 a_2 (a_2 - a_3) + c_2 a_1 (a_1 - a_3) = 0,$$

$$\beta_2 = \frac{(a_1 - a_3)(a_2 - a_3)}{a_1 a_2} \beta_1;$$

$$(5.2) \quad \begin{cases} S_1 = -\frac{1}{a_1 a_3} \beta_1 e^H + A_2, \\ S_2 = -\frac{a_1 + a_2}{a_1 a_2} \beta_1 e^H + B_2, \\ S_3 = -\beta_1 e^H, \end{cases}$$

where

$$A_2 = -\frac{c_1}{a_1(a_1 - a_3)} + a_1 + a_3, \quad B_2 = -\frac{a_3 c_1}{a_1(a_1 - a_3)} + a_1 a_3.$$

Then the coefficient of  $e^{4H}$  in  $D(z)$  is  $(a_1 - a_2)^2 \beta_1^4 / a_1^4 a_2^4 \neq 0$ . Hence we similarly have a function  $f \in \mathfrak{M}(R)$  with  $P(f)=6$ , otherwise  $P(f) \leq 4$ . This contradicts  $P(f)=5$ .

Therefore the case (ii) does not occur.

Case (iii). We have

$$H_1 \equiv H_2 \equiv H_3 \equiv H, \quad c_1 + a_1 a_2 a_3 = 0, \quad a_2 a_3 (a_2 - a_3) \beta_1 - a_1 a_3 (a_1 - a_3) \beta_2 + a_1 a_2 (a_1 - a_2) \beta_3 = 0;$$

$$(5.3) \quad \begin{cases} S_1 = -\frac{a_2 \beta_1 - a_1 \beta_2}{a_1 a_2 (a_1 - a_2)} e^H + a_1 + a_2 + a_3, \\ S_2 = -\frac{a_2^2 \beta_1 - a_1^2 \beta_2}{a_1 a_2 (a_1 - a_2)} e^H + a_1 a_2 + a_2 a_3 + a_3 a_1, \\ S_3 = a_1 a_2 a_3. \end{cases}$$

Comparing (5.3) with (3.1), we can see that the constant term of  $D(z)$  is not zero.

If  $a_2 \beta_1 - a_1 \beta_2 \neq 0$  and  $a_2^2 \beta_1 - a_1^2 \beta_2 \neq 0$ , then the coefficient of  $e^{4H}$  in  $D(z)$  is not zero. Hence the same reasoning in §4 holds from the above remark, and we can conclude the existence of a function  $f \in \mathfrak{M}(R)$  with  $P(f) = 6$ . This is absurd.

If  $a_2 \beta_1 - a_1 \beta_2 = 0$  or  $a_2^2 \beta_1 - a_1^2 \beta_2 = 0$ , then  $D(z)$  is a polynomial of degree 3 of  $e^H$ , because that  $a_2 \beta_1 - a_1 \beta_2$  and  $a_2^2 \beta_1 - a_1^2 \beta_2$  are not zero simultaneously. And from (2.6) we have the equation

$$-27g^2(f_2^3 - f_3^3 g)^2 = A'(e^H - \gamma_1)(e^H - \delta_1)(e^H - \eta_1), \quad A' \neq 0, \quad \gamma_1 \delta_1 \eta_1 \neq 0.$$

From this and considering simple zero points of the function  $e^H - \gamma$  ( $\gamma \neq 0$ ), we have a contradiction. Therefore the case (iii) does not occur.

Case (iv). We have

$$H_1 \equiv H_2 \equiv H_3 \equiv H, \quad c_1 = a_1(a_1 - a_2)(a_1 - a_3),$$

$$(a_1 - a_2)(a_1 - a_3)(a_2 - a_3) \beta_1 + a_1 a_3 (a_1 - a_3) \beta_2 - a_1 a_2 (a_1 - a_2) \beta_3 = 0;$$

$$(5.4) \quad \begin{cases} S_1 = -\frac{(a_1 - a_2) \beta_1 - a_1 \beta_2}{a_1 a_2 (a_1 - a_2)} e^H + a_2 + a_3, \\ S_2 = -\frac{(a_1^2 - a_2^2) \beta_1 - a_1^2 \beta_2}{a_1 a_2 (a_1 - a_2)} e^H + a_2 a_3, \\ S_3 = -\beta_1 e^H. \end{cases}$$

Comparing (5.4) with (3.2), we can see that the constant term of  $D(z)$  is not zero. The coefficient of  $e^{4H}$  in  $D(z)$  is

$$\frac{((a_1 - a_2) \beta_1 - a_1 \beta_2)^2 ((a_1 - a_2)^2 \beta_1 - a_1^2 \beta_2)^2}{a_1^4 a_2^4 (a_1 - a_2)^4}.$$

If  $(a_1 - a_2) \beta_1 - a_1 \beta_2 \neq 0$  and  $(a_1 - a_2)^2 \beta_1 - a_1^2 \beta_2 \neq 0$ , then similarly we have a contradiction.

If  $(a_1 - a_2) \beta_1 - a_1 \beta_2 = 0$ , then  $(a_1^2 - a_2^2) \beta_1 - a_1^2 \beta_2 = a_2(a_1 - a_2) \beta_1 \neq 0$  and hence the coefficient of  $e^{3H}$  in  $D(z)$  is not zero, which is similarly a contradiction.

If  $(a_1 - a_2)^2 \beta_1 - a_1^2 \beta_2 = 0$ , then  $(a_1 - a_2) \beta_1 - a_1 \beta_2 = a_2(a_1 - a_2) \beta_1 / a_1 \neq 0$ ,  $(a_1^2 - a_2^2) \beta_1 - a_1^2 \beta_2 = 2a_2(a_1 - a_2) \beta_1 \neq 0$  and hence from (2.6) and the similar discussion as in § 4, we can conclude the existence of a function  $f \in \mathfrak{M}(R)$  with  $P(f) = 6$ . This is absurd. Therefore the case (iv) does not occur.

By the above discussion in (i), (ii), (iii) and (iv) we have completely proved our theorem 2.

§ 6. From theorem 1, theorem 2 and Ozawa's lemma every Riemann surface defined by the equation (2.1) with  $g(z)$ , which is an entire function of  $z$  having no zero other than an infinite number of simple zeros or having no zero other than an infinite number of double zeros, always satisfies  $P(R) \leq 4$ . And an example  $R$  with  $P(R) = 4$  is easily given. In fact let  $R$  be a Riemann surface defined by the equation (2.1) with  $g(z) = e^z + 1$ . From the above remark we have  $P(R) \leq 4$ . The function  $f = \sqrt[3]{e^z + 1}$  belongs to  $\mathfrak{M}(R)$  and  $P(f) = 4$ . Therefore  $P(R) = 4$ .

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