

## ON $b$ -WEAKLY COMPACT OPERATORS ON BANACH LATTICES

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**Abstract.** In this paper every  $b$ -weakly compact operator is shown to factor through a  $KB$ -space. Also we give some necessary and sufficient conditions for a continuous operator  $T : E \rightarrow X$  from a Banach lattice into a Banach space to be a  $b$ -weakly compact. Moreover, we investigated the order structure of  $b$ -weakly compact operator.

### 1. INTRODUCTION AND PRELIMINARIES

The notions  $b$ -weakly compactness of operators from a Banach lattice into a Banach space,  $b$ -order boundedness of sets in a Riesz space and  $b$ -order boundedness of operators between Riesz spaces were introduced in [3].

Let  $L$  be a Riesz space. Let  $L^\sim$  and  $L^{\sim\sim}$  denote order dual of  $L$  and second order dual of  $L$ , respectively. The canonical embedding  $Q_L : L \rightarrow L^{\sim\sim}$  is defined by

$$Q_L(x) = \hat{x} ; \quad \hat{x}(f) = f(x), \quad f \in L^\sim$$

for each  $x \in L$ ,  $\hat{x}$  is an order bounded and order continuous linear functional on  $L^\sim$ . The canonical embedding is a lattice preserving operator. If  $L^\sim$  separates the points of  $L$  then  $Q_L$  is also one-to-one, and hence  $L$  can be considered as a Riesz subspace of  $L^{\sim\sim}$ . Since all Banach lattices have separating order duals, we will not distinguish between a Banach lattice  $E$  and its image in  $E''$ .

**Definition 1.** Let  $A$  be a subset of  $L$ . If  $Q_L(A)$  is order bounded in  $L^{\sim\sim}$ , then  $A$  is said to  $b$ -order bounded in  $L$ .

It is clear that every order bounded subset of  $L$  is  $b$ -order bounded. However, the converse is not true in general. For example,  $A = \{e_n : n \in \mathbb{N}\}$  is  $b$ -order

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bounded in  $c_0$  but  $A$  is not order bounded in  $c_0$ , where  $e_n$  is sequence of reals with all terms zero except for the  $n$ 'th which is 1.

**Definition 2.** A Riesz space  $L$  is said to have property (b) if every  $b$ -order bounded subset of  $L$  is order bounded in  $L$  [3].

Every order dual of Riesz space has property  $b$  [3].

**Definition 3.** An operator  $T$  between Riesz spaces  $L, M$  is called  $b$ -order bounded, if it maps  $b$ -order bounded subsets of  $L$  into  $b$ -order bounded subsets of  $M$ .

It is clear that every order bounded operator between Riesz spaces is  $b$ -order bounded operator.

**Definition 4.** Let  $E$  be a Banach lattice and  $X$  be a Banach space. An operator  $T : E \rightarrow X$  is said to be  $b$ -weakly compact whenever  $T$  carries each  $b$ -order bounded subset of  $E$  into a relatively weakly compact subset of  $X$ . The collection of  $b$ -weakly compact operators will be denoted by  $W_b(E, X)$ .

Let  $W(E, X)$  and  $W_o(E, X)$  denote the spaces of all weakly compact operators and of all order weakly compact operators from  $E$  into  $X$  respectively. Clearly we have  $W(E, X) \subset W_b(E, X) \subset W_o(E, X)$ . On the other hand, it is clear that the equality  $W(E, X) = W_b(E, X)$  holds whenever  $E$  is an AM-space. Let  $F$  be a Banach lattice.  $\mathcal{L}(E, F)$  and  $\mathcal{L}_b(E, F)$  denote the spaces of all bounded and of all order bounded operators from  $E$  into  $F$  respectively. For brevity,  $\mathcal{L}(E, E)$  will be denoted by  $\mathcal{L}(E)$ .  $W_b^r(E, F)$  denotes the linear span of the positive  $b$ -weakly compact operators from  $E$  into  $F$ .

$I_{x''}$  denotes the principal ideal generated by  $x'' \in E''$  and  $Y_{x''}$  denotes the Riesz space  $I_{x''} \cap E$  for each  $x''$  in  $E''_+$ . It is clear that for each  $x''$  in  $E''_+$   $Y_{x''}$  is an AM-space with the norm,

$$\|u\|_\infty = \inf\{\lambda > 0 : |u| \leq \lambda x''\} \text{ for each } u \in Y_{x''}.$$

Let  $T$  be a continuous operator from Banach lattice  $E$  into Banach space  $X$  and  $A$  be a norm bounded subset of  $E'$ . We define two Riesz seminorms on  $E$

$$q_T(x) = \sup\{\|T(y)\| : |y| \leq |x|\}, \quad x \in E \text{ and}$$

$$\rho_A(x) = \sup\{|f|(|x|) : f \in A\}, \quad x \in E.$$

For all other undefined terms and notations we will adhere to the conventions in [2] and [6].

## 2. CHARACTERIZATION OF $b$ -WEAKLY COMPACT OPERATORS

In this section we give some characterizations for a  $b$ -weakly compact operator.

**Proposition 1.** Let  $E$  be a Banach lattice,  $X$  be Banach space and  $T : E \rightarrow X$  be a continuous operator, the following statements are equivalent:

- (i)  $T$  is  $b$ -weakly compact operator.
- (ii) For each  $b$ -order bounded disjoint sequence  $\{x_n\}$  of  $E_+$   $\lim q_T(x_n) = 0$ .
- (iii)  $\{T(x_n)\}$  is norm convergent for every  $b$ -order bounded increasing sequence  $\{x_n\} \subseteq E_+$ . (i.e.  $T$  is of type  $B$  [7].)

*Proof.* (i)  $\implies$  (iii) Let  $T : E \rightarrow X$  be  $b$ -weakly compact. Let  $\{x_n\}$  be a  $b$ -order bounded increasing sequence of  $E_+$ . We choose  $x'' \in E_+''$  with  $0 \leq x_n \uparrow x''$  in  $E''$ . Let  $T_{x''}$  be the restriction of the operator  $T$  to  $Y_{x''}$ . It is clear that  $T_{x''}$  is weakly compact. Accordingly,  $T_{x''}' : X' \rightarrow Y_{x''}'$  is also weakly compact. Thus if  $W$  is the closed unit ball of  $X'$ ,  $B = T_{x''}'(W)$  is relatively weakly compact. Theorem 2.5.5 in [6] implies that the sequence  $\{x_n\}$  in  $Y_{x''}$  is  $\rho_B$ -Cauchy. Hence,  $\{T(x_n)\}$  is norm convergent in  $X$ .

(iii)  $\implies$  (i) is obvious.

(i)  $\iff$  (ii) It follows from Theorem 2.5.5. in [6]. ■

Since the dual of Banach lattice has property (b), 3.5 Proposition in [7] is given as a result of preceding proposition.

The preceding proposition coupled with Theorem 3.4.11 and Theorem 3.5.8 in [6] yields the following characterization.

**Proposition 2.** *Let  $T : E \rightarrow X$  be a continuous operator from a Banach lattice with order continuous norm into a Banach space, then  $T$  is  $b$ -weakly compact if and only if  $T$  admits a factorization through a  $KB$ -space  $F$*

$$\begin{array}{ccc}
 E & \xrightarrow{T} & X \\
 & \searrow Q & \nearrow S \\
 & F &
 \end{array}$$

where  $Q$  is an interval preserving lattice homomorphism.

**Corollary 1.** *Let  $E$  be a Banach lattice with order continuous norm,  $X$  be a Banach space and  $T : E \rightarrow X$  be a continuous operator, then the following statements are equivalent:*

- (i)  $T$  is a  $b$ -weakly compact.
- (ii)  $\lim_{x_n \xrightarrow{w} 0} \|T(x_n)\| = 0$  for every  $b$ -order bounded sequence  $\{x_n\} \subset E_+$  satisfying  $x_n \xrightarrow{w} 0$ .

**Proposition 3.** *Let  $E$  be a Banach lattice with order continuous norm and weakly sequentially continuous lattice operations. Let  $X$  be a Banach space and  $T : E \rightarrow X$  be a continuous operator, then the following assertions are equivalent:*

(i)  $T$  is  $b$ -weakly compact

(ii) If  $\{x_n\}$  is a  $b$ -order bounded  $\sigma(E, E')$ -Cauchy sequence, then  $\{T(x_n)\}$  is  $\|\cdot\|$ -convergent.

*Proof.* (i)  $\implies$  (ii) Let  $\{x_n\}$  be a  $b$ -order bounded  $\sigma(E, E')$ -Cauchy sequence of  $E$ . If  $\{T(x_n)\}$  is not norm Cauchy sequence of  $X$ , then there exist some  $\epsilon > 0$  and a subsequence  $\{y_n\}$  of  $\{x_n\}$  satisfying  $\|T(y_{n+1} - y_n)\| > \epsilon$  for all  $n \in \mathbb{N}$ . Since  $\{y_{n+1} - y_n\}$  converges weakly to zero and lattice operations in  $E$  are weakly sequentially continuous, we see that  $(y_{n+1} - y_n)^+ \rightarrow 0$  and  $(y_{n+1} - y_n)^- \rightarrow 0$  weakly. Therefore,  $\lim \|T(y_{n+1} - y_n)\| = 0$ , which is impossible. Thus,  $\{T(x_n)\}$  is a norm Cauchy sequence, and hence is norm convergent in  $X$ .

(ii)  $\implies$  (i) This assertion follows from proposition 2.8 in [3].  $\blacksquare$

**Proposition 4.** Let  $E$  be a Banach lattice with order continuous norm,  $X$  be a Banach space and  $T : E \rightarrow X$  be a continuous operator, then the following statements are equivalent:

(i)  $T$  is  $b$ -weakly compact operator.

(ii) For each  $x'' \in E''_+$  and  $\epsilon > 0$  there exist  $0 \leq y''' \in (Y_{x''})'''$  and  $\delta > 0$  such that  $|y| \leq x''$  and  $y'''(|y|) < \delta$  imply  $\|T(y)\| < \epsilon$ .

*Proof.* (i)  $\implies$  (ii) Let  $T : E \rightarrow X$  be  $b$ -weakly compact operator. Fix  $\epsilon > 0$  and  $0 < x'' \in E''$ . We can assume that  $Y_{x''} \neq \{0\}$ . Denote by  $T_{x''}$ , the restriction of the operator  $T$  to  $Y_{x''}$ .  $T_{x''} : Y_{x''} \rightarrow X$  is weakly compact operator. Accordingly,  $T_{x''}''' : X''' \rightarrow (Y_{x''})'''$  is also weakly compact. Thus, if  $W$  is the closed unit ball of  $X'''$  then  $T_{x''}'''(W)$  is relatively weakly compact in  $(Y_{x''})'''$ . Then by Theorem 13.10 in [2], there exists some  $0 \leq y''' \in (Y_{x''})'''$  such that  $\|(|T_{x''}'''(f)| - y''')^+ \| < \epsilon/2 \|x''\|$  holds for all  $f \in W$ .

Now put  $\delta = \epsilon/2$  and let  $|y| \leq x''$  satisfy  $y'''(|y|) < \delta$ . Then for each  $f \in W$ , we have

$$\begin{aligned} |f(T_{x''}''(y))| &= |T_{x''}'''f(y)| \\ &\leq |T_{x''}'''f|(|y|) \\ &= (|T_{x''}'''f| - y''')^+ (|y|) + (y''' \wedge |T_{x''}'''f|)(|y|) \\ &\leq \|(|T_{x''}'''f| - y''')^+ \| \cdot \|y\| + y'''(|y|) \\ &< \epsilon, \end{aligned}$$

which implies that  $\|T(y)\| < \epsilon$  holds, as desired.

(ii)  $\implies$  (i) Let  $B$  denote the band generated by  $E$  in  $E''$  and  $x'' \in B_+$ . We choose a net  $\{x_\alpha\}$  in  $E$  with  $0 \leq x_\alpha \uparrow x''$ . Taking into account that  $x_\alpha \xrightarrow{w^*} x''$  in  $E''$

and  $T'' : E'' \rightarrow X''$  is  $w^*$ -continuous, we see that  $Tx_\alpha \xrightarrow{w^*} T''x''$  also holds. Since  $\|x_\alpha\|_\infty \leq 1$  and  $\{x_\alpha\}$  is increasing net in  $Y_{x''}$ , there exists a positive element  $y''''$  in  $Y_{x''}''''$  with  $0 \leq x_\alpha \uparrow y''''$  in  $Y_{x''}''''$ . Therefore,  $f(x_\alpha) \rightarrow y''''(f)$  for all  $f \in (Y_{x''}''')_+$ . Now let  $\epsilon > 0$ . Choose  $\delta > 0$  and  $0 \leq y''' \in Y_{x''}'''$  such that  $|y| \leq x''$  and  $y'''(|y|) < \delta$  imply  $\|T(y)\| < \epsilon$ . Next pick some  $\alpha_0$  so that  $y'''(|x_\alpha - x_\beta|) < 2\delta$  holds for all  $\alpha, \beta \geq \alpha_0$ . Fix some  $\beta \geq \alpha_0$  and note that if  $g \in X'$  with  $\|g\| \leq 1$ , then

$$\begin{aligned} \|(T''x'' - Tx_\beta)(g)\| &= \lim_{\alpha \geq \alpha_0} |g(T(x_\alpha - x_\beta))| \\ &\leq \lim_{\alpha} \sup \|T(x_\alpha - x_\beta)\| \\ &< \epsilon \end{aligned}$$

holds, the latter implies that  $\|T''(x'') - T(x_\beta)\| < \epsilon$ . This shows that  $T''x''$  lies in the norm closure of  $X$  in  $X''$ . Since  $X$  is a Banach space, we see that  $T''(x'') \in X$  hence,  $T''(B) \subseteq X$  holds. By Proposition 2.11 in [3],  $T$  is  $b$ -weakly compact. ■

Neither the adjoint of  $b$ -weakly compact operator nor a continuous operator with a  $b$ -weakly compact adjoint have to be  $b$ -weakly compact in general. One can just put the identity operators on  $l_1$  and  $c_0$  respectively.

Recall that a continuous operator  $T : X \rightarrow E$  from a Banach space into a Banach lattice is semicompact whenever for  $\epsilon > 0$  there exists some  $u \in E_+$  satisfying

$$\|(|T(x)| - u)^+\| < \epsilon$$

for all  $x \in X$  with  $\|x\| \leq 1$ .

A continuous operator, whose adjoint is semicompact, from a Banach lattice with order continuous norm into a Banach lattice is  $b$ -weakly compact.

**Corollary 2.** *Let  $T : E \rightarrow F$  be a continuous operator from a Banach lattice with order continuous norm into a Banach lattice. If the adjoint of  $T$  is semicompact, then  $T$  is  $b$ -weakly compact.*

However, as the next example shows, the converse of this result is not true in general.

**Example 1.** The identity operator  $I : l_2 \rightarrow l_2$  is a  $b$ -weakly compact but its adjoint  $I : l_2 \rightarrow l_2$  is not a semicompact.

Recall that an operator  $T : X \rightarrow Y$  between two Banach spaces is a Dunford-Pettis operator whenever  $x_n \xrightarrow{w} 0$  in  $X$  implies  $\lim \|T(x_n)\| = 0$ .

Every Dunford-Pettis operator maps  $b$ -order bounded sets onto relatively weakly compact sets.

**Proposition 5.** *Every Dunford-Pettis operator  $T : E \rightarrow X$  from a Banach lattice  $E$  into a Banach space  $X$  is  $b$ -weakly compact.*

A  $b$ -weakly compact operator need not be a Dunford-Pettis operator. For instance, the identity operator  $I : L_1[0, 1] \rightarrow L_1[0, 1]$  is  $b$ -weakly compact but it is not Dunford Pettis operator.

### 3. ORDER STRUCTURE OF $b$ -WEAKLY COMPACT OPERATORS

In [1], the idea of a generalized sublattice was introduced. There it is said that  $(\mathcal{J}, \leq)$  is a partially ordered vector spaces and  $\mathcal{Z}$  a subspace of  $\mathcal{J}$ , then  $\mathcal{Z}$  is a generalized sublattice of  $\mathcal{J}$  if  $(\mathcal{Z}, \leq)$  is a lattice and for each  $x, y \in \mathcal{Z}$  the supremum of  $x$  and  $y$  calculated in  $\mathcal{Z}$  is also their supremum in  $\mathcal{J}$ . For example the compact operators from  $C([0, 1])$  into  $c_0$  (which form a lattice) as a subset of  $\mathcal{L}(C([0, 1]), c_0)$  (which is not a lattice).

The following example shows that on Dedekind complete Banach lattices,  $b$ -weakly compact operators do not form a lattice.

**Example 2.** The well known operator  $T : L_1[0, 1] \rightarrow c_0$  defined by

$$T(f) = \left( \int_0^1 f(x) \sin x dx, \int_0^1 f(x) \sin 2x dx, \dots \right)$$

is a  $b$ -weakly compact operator but it is not order bounded. Therefore,  $W_b(L_1[0, 1], c_0)$  is not a lattice.

The next example due to Z.L. Chen and A.W. Wickstead in [4] shows that the order bounded,  $b$ -weakly compact operators from a Banach lattice into a Dedekind complete Banach lattice do not form a lattice.

**Example 3.** Let  $E = C([0, 1])$ ,  $F = l_\infty(F_n)$  where  $F_n = (l_\infty, \|\cdot\|)$  and  $\|(\lambda_k)\| = \max\{\|(\lambda_k)\|_\infty, n \limsup(\|\lambda_k\|)\}$  for all  $(\lambda_k) \in l_\infty$ . Then for each  $n \in \mathbb{N}$ ,  $F_n$  is a Dedekind complete  $AM$ -space, hence so is  $F$ . Define  $T_n : E \rightarrow F_n$  by  $T_n(f) = (2^n \cdot \int_{I_n} f \cdot r_k dt)_{k=1}^\infty \in F_n$  for all  $f \in E$ , where  $r_n$  is the  $n$ 'th Radamacher function on  $[0, 1]$  and  $I_n = (2^{-n}, 2^{-n+1})$ .

Now define  $T : E \rightarrow F$  by  $T(f) = (\frac{1}{n} T_n(f))_{n=1}^\infty$ . Then  $T$  is a weakly compact operator, so  $T$  is a  $b$ -weakly compact operator and its modulus  $|T|$  exists and  $|T|$

is not order weakly compact hence not  $b$ -weakly compact so  $W_b(E, F)$  is not a lattice.

By Corollary 2.9 in [3] we see that the linear span of the positive  $b$ -weakly compact operators from a Banach lattice into a Dedekind complete Banach lattice is a generalized sublattice of the space of all  $b$ -weakly compact operators.

**Proposition 6.** *Let  $E$  and  $F$  be two Banach lattices with  $F$  Dedekind complete. Then  $W_b^r(E, F)$  is a generalized sublattice of  $W_b(E, F)$ .*

We note that  $W_b^r(E, F)$  is an ideal in  $\mathcal{L}_b(E, F)$ , but  $W_b^r(E, F)$  is not a band in  $\mathcal{L}_b(E, F)$  in general.

The following proposition gives us some sufficient conditions for the composition of two operators to be a  $b$ -weakly compact. The proof of the following proposition is routine.

**Proposition 7.** *Let  $E, F, G$  be Banach lattices and  $E \xrightarrow{T} F \xrightarrow{S} G$  be operators, then we have that*

- (1) *If  $T$  is a  $b$ -order bounded operator and  $S$  is a  $b$ -weakly compact operator then  $ST$  is a  $b$ -weakly compact operator.*
- (2) *If  $S$  is continuous and  $T$  is a  $b$ -weakly compact operator then  $ST$  is a  $b$ -weakly compact operator.*
- (3) *If  $F$  has a continuous norm and  $T$  is a continuous operator with  $T''(B_E) \subset B_F$  and  $S$  is a  $b$ -weakly compact operator then  $ST$  is a  $b$ -weakly compact operator, where  $B_E(B_F)$  is the band generated by  $E(F)$  in  $E''(F'')$ .*

The above proposition also informs us that the  $b$ -weakly compact operators on a Banach lattice  $E$  form a left-sided ring ideal of  $\mathcal{L}(E)$ .

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