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## On bounded biorthogonal systems in some function spaces

by

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**Abstract.** In this paper biorthogonal systems in the space of continuous functions  $C(K)$  ( $K$  an infinite metric compact) and in the space  $B_p$ ,  $1 < p < \infty$ , of almost periodic Besicovitch functions are considered. It is shown that there is a separable subspace  $F \subset C(K)^*$  for which there is no biorthogonal system  $x_n, f_n, x_n \in C(K), f_n \in C(K)^*$  with  $\|x_n\| = \|f_n\| = 1$  and  $[f_n]_F^\infty \supset F$ . It is proved that under the continuum hypothesis there is a decomposition of the real line  $\mathbb{R} = \bigcup_n R_n, n \in \mathbb{N}$ , for which the system  $e^{i\lambda x} \in B_p, \lambda \in R_n$ , is equivalent to the standard basis of the Hilbert space  $l_2(R_n)$  for arbitrary  $n$ .

**Introduction.** Let  $X$  be a Banach space,  $X^*$  its dual and  $I$  some set of indices. A system  $x_i, f_i, i \in I, x_i \in X, f_i \in X^*$ , is called *biorthogonal* if  $f_i(x_j) = 0$  for  $i \neq j$  and 1 for  $i = j$ . A biorthogonal system is called *fundamental* if the closed linear span  $[x_i; i \in I]$  is equal to  $X$ , and *total* if for any element  $x \in X, x \neq 0$ , there is an index  $i$  such that  $f_i(x) \neq 0$ . A fundamental and total biorthogonal system is said to be a *Markushevich basis* (an *M-basis*). A biorthogonal system is *bounded* by a number  $c$  if  $\sup_i \|x_i\| \|f_i\| \leq c$ . It is known (cf. [10]) that for any separable Banach space  $X$ , any separable subspace  $F \subset X^*$  and any  $\varepsilon > 0$  there exists an M-basis  $x_n, f_n$  bounded by  $1 + \varepsilon$  with  $[f_n]_F^\infty \supset F$ . Although the question whether every separable Banach space has an M-basis bounded by 1 is still open, we show that in the result of [10] quoted above  $\varepsilon > 0$  is essential in some sense. Let us formulate the exact statement. Let  $K$  be a metric compact and let  $C(K)$  be the space of real continuous functions on  $K$ . Its dual is the space  $M(K)$  of Borel measures on the set  $K$  with bounded variation. Let  $\delta_t, t \in K$ , be the atomic measure defined by  $\delta_t\{t\} = 1, \delta_t\{K \setminus t\} = 0$ .

**THEOREM 1.** *Let  $(t_n)_1^\infty$  be a dense set in a nice metric compact  $K$ . The space  $C(K)$  fails to have a biorthogonal system  $x_n, f_n$  bounded by 1 for which  $[f_n]_F^\infty \supset (\delta_{t_n})_1^\infty$ .*

This answers in the negative a question from [16, problem 8.2b)], where it is written that the question was raised by A. Pełczyński. Not every Banach space has an M-basis [16, p. 691], but if it has an M-basis then it has a

bounded one, too [12]. In particular, a weakly compactly generated (WCG in short) space, i.e. a space which is a closed linear span of its weakly compact subset, has an M-basis [16, p. 693]. Therefore it has a bounded M-basis. It will be shown that there exists a WCG space  $X$  (namely  $X = C[0, 1] + c_0[0, 1] \subset l_\infty[0, 1]$ ) for which  $\sup_i \|x_i\| \|f_i\| \geq 2$  for every Markushevich basis  $x_i, f_i$ . We also present a simple proof of the nonexistence of universal elements in the class of countable Markushevich bases. This answers a question of N. J. Kalton [5].

Denote by  $B_p, 1 < p < \infty$ , the space of almost periodic Besicovitch functions, i.e. the completion of the complex linear space spanned by the functions  $e^{i\lambda t}$  of the real variable  $t$  where the parameter  $\lambda$  runs through  $\mathbf{R}$  in the norm

$$\|x\| = \lim_{T \rightarrow \infty} ((2T)^{-1} \int_{-T}^T |x(t)|^p dt)^{1/p}.$$

The system  $x_\lambda = e^{i\lambda t}, f_\lambda(x) = \lim_{T \rightarrow \infty} (2T)^{-1} \int_{-T}^T x(t) e^{i\lambda t} dt$  forms a Markushevich basis in the space  $B_p$ . If  $p = 2$ , it is a noncountable orthogonal basis in the Hilbert space  $B_2$ .

**THEOREM 2.** *Let us assume the continuum hypothesis. There exists a decomposition of the real line  $\mathbf{R} = \bigcup_{n=1}^{\infty} R_n$  into a countable collection of subsets such that for any  $n$ , any finite set  $(\lambda_k \in R_n, k = 1, \dots, l)$  and any complex scalars  $(a_k)_1^l$*

$$c \left( \sum_{k=1}^l |a_k|^2 \right)^{1/2} \leq \left\| \sum_{k=1}^l a_k x_{\lambda_k} \right\| \leq C \left( \sum_{k=1}^l |a_k|^2 \right)^{1/2},$$

where the norm is taken in the space  $B_p$  and the constants  $c, C$  depend on  $p$  only. Moreover, there are uniformly bounded projections  $B_p \rightarrow [x_\lambda; \lambda \in R_n]$  parallel to subspaces  $[x_\lambda; \lambda \notin R_n]$ .

In the first section all Banach spaces are assumed real, in the second they are complex. Many intermediate results are formulated in a nonmaximal generality. We use the following notation:  $B(X)$  and  $S(X)$  are the unit ball and the unit sphere of the normed space  $X$  respectively,  $\text{lin } M$  is the linear span of the set  $M$  and  $M^\perp$  is the annihilator of  $M$ .

**1. Spaces of continuous functions.** A subspace  $F \subset X^*$  is said to be  $\lambda$ -norming,  $0 < \lambda \leq 1$ , if for its Dixmier characteristic we have

$$r(F) = \inf \sup \{ |f(x)|; f \in B(F) \} = \lambda,$$

where the infimum is taken over all  $x \in S(X)$ . The characteristic of the subspace  $F$  equals to the greatest scalar  $r$  such that the weak\* closure of the

ball  $B(F)$  contains the ball  $rB(X^*)$  of radius  $r$  [2]. The following statement is almost evident.

**LEMMA 1.** *Let  $F$  and  $G$  be subspaces of  $X^*$ ,  $r(F) = \lambda$  and*

$$\varrho(F, G) = \sup \inf \{ \|f - g\|; g \in B(G) \} \leq \varepsilon,$$

where the supremum is taken over all  $f \in B(F)$ . Then  $r(G) \geq \lambda - \varepsilon$ .

**LEMMA 2.** *Let  $X$  be a separable Banach space, let  $g \in S(X^*)$  be a functional and  $H \subset X^*$  a 1-norming subspace. Suppose that  $\|h + ag\| = \|h\| + |a|$  for any  $h \in H$  and  $a \in \mathbf{R}$ . Let  $f_0$  be a functional from  $B(X^*)$  such that  $\|f_0 - g\| \leq \varepsilon$  for some  $0 < \varepsilon < 1/2$  and let  $F \subset H$  be a subspace such that the sum  $F + \text{lin } f_0$  is 1-norming. Then the characteristic of  $F$  is not less than  $1 - 2\varepsilon$ .*

**Proof.** Let  $x \in S(X)$ . Since the subspace  $H$  is 1-norming, for every  $\varepsilon_1 > 0$  there is an element  $h \in (1 - \varepsilon)S(H)$  with  $h(x) \geq 1 - \varepsilon - \varepsilon_1$ . It is easy to see that  $\varrho(F + \text{lin } g, F + \text{lin } f_0) \leq \varepsilon$ . Therefore by Lemma 1 the characteristic of the subspace  $F + \text{lin } g$  is not less than  $1 - \varepsilon$ . Hence there is a sequence  $f_n + a_n g, \|f_n + a_n g\| \leq 1, f_n \in F, a_n \in \mathbf{R}$ , weakly\* convergent to the functional  $h$ . By the Hahn-Banach theorem, there exists an element  $y \in S(X)$  with  $h(y) \geq \|h\| - \varepsilon_1$  and  $g(y) = 0$ . Then

$$\begin{aligned} \underline{\lim} \|f_n\| &\geq \underline{\lim} f_n(y) = \lim (f_n + a_n g)(y) = h(y) \\ &\geq \|h\| - \varepsilon_1 = 1 - \varepsilon - \varepsilon_1. \end{aligned}$$

Since  $1 \geq \|f_n + a_n g\| = \|f_n\| + |a_n|$ , we have  $|a_n| \leq 1 - \|f_n\|$ . Therefore  $\underline{\lim} |a_n| \leq \varepsilon + \varepsilon_1$ . Hence  $\underline{\lim} f_n(x) \geq \lim (f_n + a_n g)(x) - \underline{\lim} a_n g(x) \geq h(x) - \underline{\lim} |a_n| \geq 1 - \varepsilon - \varepsilon_1 - \varepsilon - \varepsilon_1$ . Since  $\varepsilon_1$  is arbitrary, the characteristic of the subspace  $F$  is not less than  $1 - 2\varepsilon$ . ■

**LEMMA 3.** *Let  $K$  be an infinite metric compact,  $t_n \in K, t_n \rightarrow t_0, t_n \neq t_0$ . Let  $F$  be a subspace of the hyperplane  $H = \{ \mu \in M(K); \mu \{t_0\} = 0 \}$  and let  $\mu_0$  be a measure on  $K$  such that  $\|\mu_0\| = 1$  and  $\|\mu_0 - \delta_{t_0}\| \leq \varepsilon$  for some  $0 < \varepsilon < 1/2$ . If the subspace  $F + \text{lin } \mu_0$  is 1-norming, then the characteristic of  $F$  is not less than  $1 - 2\varepsilon$ .*

**Proof.** It is easy to see that for any  $h \in H$  and any  $a \in \mathbf{R}$

$$\begin{aligned} \|h + a\delta_{t_0}\| &= \text{Var}(h + a\delta_{t_0})(K \setminus t_0) + |(h + a\delta_{t_0})\{t_0\}| \\ &= \|h\| + |a|. \end{aligned}$$

Since  $H \supset (\delta_{t_n})_n^\infty$ , the subspace  $H$  is 1-norming. All the conditions of Lemma 2 are also satisfied if we set  $g = \delta_{t_0}$  and  $f_0 = \mu_0$ . This proves the lemma. ■

**THEOREM 3.** *Let  $K$  be an infinite metric compact,  $t_n \in K, t_n \rightarrow t_0, t_n \neq t_0$ . Let  $x_n, \mu_n, x_n \in C(K), \mu_n \in M(K)$ , be a biorthogonal sequence such that  $[\mu_n]_1^\infty$  is a 1-norming subspace. If  $\delta_{t_0} \in [\mu_n]_1^\infty$ , then  $\sup_n \|x_n\| \|x_n\| > 1$ .*

Proof. Suppose that

$$(1) \quad \sup_n \|x_n\| \|\mu_n\| = 1;$$

without loss of generality we can assume that  $\|x_n\| = \|\mu_n\| = 1$ . Let  $0 < \varepsilon < 1/2$  and  $\delta_{t_0} \in [\mu_n]_1^\infty$ . Then for some  $\mu_0 = \sum_{n=1}^{n_0} a_n \mu_n$ ,  $\|\mu_0\| = 1$ , we have  $\|\delta_{t_0} - \mu_0\| < \varepsilon$ . This means that

$$\begin{aligned} \varepsilon &> \text{Var}(\delta_{t_0} - \mu_0)(K) = |(\delta_{t_0} - \mu_0)\{t_0\}| + \text{Var} \mu_0(K \setminus t_0) \\ &\geq |(\delta_{t_0} - \mu_0)\{t_0\}|. \end{aligned}$$

From this it follows that  $|\mu_0\{t_0\}| > 1 - \varepsilon$  and  $\text{Var} \mu_0(K \setminus t_0) < \varepsilon$ .

We show that  $\mu_n\{t_0\} = 0$  for  $n > n_0$ . Suppose that  $\mu_n\{t_0\} = b \neq 0$  for some  $n > n_0$  (it can be assumed that  $b > 0$ ). Then  $\text{Var} \mu_n(K \setminus t_0) = 1 - b$ . Hence

$$\begin{aligned} \left\| \mu_n - \frac{b}{\mu_0\{t_0\}} \mu_0 \right\| &= \text{Var} \left( \mu_n - \frac{b}{\mu_0\{t_0\}} \mu_0 \right) (K \setminus t_0) + \left| \left( \mu_n - \frac{b}{\mu_0\{t_0\}} \mu_0 \right) \{t_0\} \right| \\ &\leq \text{Var} \mu_n(K \setminus t_0) + \left| \frac{b}{\mu_0\{t_0\}} \mu_0(K \setminus t_0) \right| \\ &\leq 1 - b + \frac{b}{1 - \varepsilon} < 1. \end{aligned}$$

But the condition (1) implies that for any  $n$  and  $\mu_0 \in \text{lin}(\mu_k; k \neq n)$ ,  $\|\mu_n - \mu_0\| \geq (\mu_n - \mu_0)(x_n) = \mu_n(x_n) = 1$ . Therefore  $[\mu_n]_{n_0+1}^\infty$  belongs to the hyperplane  $H = \{\mu \in M(K) : \mu\{t_0\} = 0\}$ . Set  $F = [\mu_n]_{n_0+1}^\infty + ([\mu_n]_1^{n_0} \cap H)$ . The subspace  $F$  is contained in  $H$  and  $F + \text{lin} \mu_0 = [\mu_n]_1^\infty$  is a 1-norming subspace. All the conditions of Lemma 3 are valid, hence the characteristic of  $F$  is greater than  $1 - 2\varepsilon$ . Therefore

$$\mu_0 \in \text{cl}^* [\mu_n]_{n_0+1}^\infty + ([\mu_n]_1^{n_0} \cap H),$$

where  $\text{cl}^*$  means the weak\* closure. Hence

$$\sum_{n=1}^{n_0} a_n \mu_n = \mu_0 = \mu + \sum_{n=1}^{n_0} b_n \mu_n,$$

where  $\mu \in \text{cl}^* [\mu_n]_{n_0+1}^\infty$  and  $\sum_{n=1}^{n_0} b_n \mu_n \in H$ . Since  $\mu_0 \notin H$ , this implies that  $[\mu_n]_1^{n_0} \cap \text{cl}^* [\mu_n]_{n_0+1}^\infty \neq \emptyset$ . This contradicts the biorthogonality of the system  $x_n, \mu_n$ . Thus the condition (1) cannot be true. ■

Proof of Theorem 1. It is sufficient to note that if  $t_n$  is a dense subset of the compact  $K$  then  $[\delta_{t_n}]_1^\infty$  will be a 1-norming subspace. ■

We recall that an  $M$ -basis  $x_n, f_n$  is called *shrinking* if  $[f_n]_1^\infty = X^*$ . The space  $c$  of all convergent sequences is isometric to  $C(\bar{N})$ , where  $\bar{N}$  is the one-point compactification of the natural numbers  $N$ . Its dual is the space  $l_1(\bar{N})$  of all absolutely summing sequences and its bidual is the space  $l_\infty(\bar{N})$  of all bounded sequences.

COROLLARY 1. The space  $c = C(\bar{N})$  has no shrinking  $M$ -basis bounded by 1.

COROLLARY 2. The space  $l_1(\bar{N})$  has no fundamental biorthogonal sequence  $x_n, f_n$  bounded by 1 such that  $f_n \in C(\bar{N}) \subset l_\infty(\bar{N})$ .

Indeed, the sequence  $f_n, x_n$  would then be a 1-norming biorthogonal system in  $C(\bar{N})$  bounded by 1 and  $[x_n]_1^\infty \ni \delta_n(f) = f(n)$ . This contradicts Theorem 3. ■

A (Schauder) basis  $x_n$  with biorthogonal functionals  $f_n$  is called an *Auerbach basis* if  $\|x_n\| \|f_n\| = 1$  for any  $n$ . A basis  $x_n, f_n$  of the space  $C(K)$  is called *interpolating with nodes*  $t_n$  if for any  $n$  we have  $(\sum_{i=1}^n f_i(x) x_i)(t_n) = x(t_n)$  for  $m = 1, \dots, n$ . The closed linear span of the functionals  $f_n$  biorthogonal to an interpolating basis  $x_n$  is equal exactly to  $[\delta_{t_n}]_1^\infty$  [15, p. 11]. Theorem 1 implies immediately

COROLLARY 3. Let  $K$  be a nice metric compact and  $t_n$  a dense subset of  $K$ . The space  $C(K)$  has no interpolating Auerbach basis with nodes  $t_n$ .

It seems that the answer to the following question is unknown: has the space  $C[0, 1]$  a Markushevich basis bounded by 1? But it is not difficult to construct a fundamental biorthogonal system bounded by 1 in this space. We give such a construction without proof.

Let  $a_n, t_n, \tau_n, b_n, n \in \mathbb{N}$ , be numbers such that for any  $n$ ,  $0 < a_n < t_n < \tau_n < b_n < a_{n+1} < 1$  and  $a_n \rightarrow 1$ . Let  $x_0(t) \equiv 1$ ; for  $n > 0$ , let  $x_n(t)$  be the polygonal function with nodes  $0, a_n, t_n, \tau_n, b_n, 1$ ,  $x_n(a_n) = x_n(0) = x_n(b_n) = x_n(1) = 0$ ,  $x_n(t_n) = 1$ ,  $x_n(\tau_n) = -1$ . The functionals  $f_0(x) = x(1)$ ,  $f_n(x) = (x(t_n) - x(\tau_n))/2$  are biorthogonal to  $x_n$ . For any  $m \geq 1$  let  $y_m(t)$  be the polygonal function with nodes  $0, a_m, t_m, \tau_m, b_m, 1$ ,  $y_m(0) = y_m(a_m) = y_m(b_m) = y_m(1) = 0$ ,  $y_m(t_m) = y_m(\tau_m) = 1$ . We denote by  $Z$  the set of continuous functions vanishing at all points  $t_n, \tau_n$  (hence at 1 too) and having at any point of  $[0, 1]$  the absolute value of the right and left derivatives less than or equal to 1. Let  $(z_m)_{m \in \mathbb{N}}$  be a dense sequence in the set  $Z$ . We shall label the sequence  $(x_n, f_n, n \text{ odd})$  with two indices:  $(x_m^k, f_m^k)_{m,k=1}^\infty$ , and the sequence  $(x_n, f_n, n \text{ even}, n > 0)$  also with two indices:  $(x_n, f_n, n \text{ even}, n > 0) = (\tilde{x}_m^k, \tilde{f}_m^k)_{m,k=1}^\infty$ , but the even elements will be labelled so that, for every fixed  $m$ , if  $x_n = \tilde{x}_m^k$ ,  $x_{n'} = \tilde{x}_m^{k'}$  and  $k' > k$ , then  $n' > n$ , and if  $x_n = \tilde{x}_m^k$ , then  $n > m$ . Put  $u_m^k = x_m^k + z_m, \tilde{u}_m^k = \tilde{x}_m^k + y_m$ . Then the system  $(x_0, f_0) \cup (u_m^k, \tilde{u}_m^k, f_m^k, \tilde{f}_m^k)_{m,k=1}^\infty$  is a fundamental biorthogonal sequence in the space  $C[0, 1]$ , bounded by 1.

Remark. B. Godun showed the existence of a (not weakly compactly generated) Banach space with a fundamental biorthogonal system but without a fundamental biorthogonal system bounded by 1. We give an example of a WCG space  $X$  in which for every Markushevich basis  $(x_i, f_i; i \in I)$

$$\sup_i \|x_i\| \|f_i\| \geq 2.$$

Recall that the weak\* sequential closure of a set  $F \subset X^*$  is defined to be the collection  $F_{(1)}$  of all limits of sequences in  $F$  that weakly\* converge in  $X^*$ . By induction, the weak\* sequential closure of order  $\alpha$  is defined to be  $F_{(\alpha)} = \bigcup_{\beta < \alpha} (F_{(\beta)})_{(1)}$  for any ordinal  $\alpha$ .

EXAMPLE. Let  $l_\infty[0, 1]$  be the space of all bounded functions on the segment  $[0, 1]$  with supremum norm and let  $c_0[0, 1]$  be its subspace consisting of functions  $x(t)$  having a countable support and such that for some numbering  $t_n$  of this support,  $x(t_n) \rightarrow 0$ . The space  $c_0[0, 1]$  is weakly compactly generated [1, p. 143], hence so is the space  $X = c_0[0, 1] + C[0, 1] \subset l_\infty[0, 1]$  [1, p. 154]. Let  $(x_i, f_i; i \in I)$  be some M-basis of the space  $X$ . Since the subspace  $F = [f_i; i \in I] \subset X^*$  is total, for the first noncountable ordinal  $\omega_1$  we have  $X^* = F_{(\omega_1)} = \bigcup_{\alpha < \omega_1} F_{(\alpha)}$  [11, p. 50]. By induction it is easy to verify that for any countable ordinal  $\alpha$  the subspace  $F_{(\alpha)}$  is contained in the subspace  $G = \text{cl}^* [f_j; j \in J]$  where the union is taken over all countable subsets  $J \subset I$ . Therefore  $G = X^*$ . The annihilator  $c_0[0, 1]^\perp \subset X^*$  is dual to the separable quotient space  $X/c_0[0, 1] \simeq C[0, 1]$ , hence weakly\* separable; let  $(g_n)_n$  be a weakly\* dense sequence in  $c_0[0, 1]^\perp$ . Then, for some countable subset  $J_n \subset I$ ,  $\text{cl}^* [f_j; j \in J_n] \supseteq g_n$ , hence  $c_0[0, 1]^\perp \subset \text{cl}^* [f_j; j \in \bigcup J_n]$ . Thus there exists a countable subset  $J \subset I$  for which  $c_0[0, 1]^\perp \subset \text{cl}^* [f_j; j \in J]$  and  $C[0, 1] \subset [x_j; j \in J]$ . Let  $i_0 \notin J$ . Then  $x_{i_0} \in c_0[0, 1]$ ,  $f_{i_0} \in C[0, 1]^\perp$  and

$$\|x_{i_0}\| \|f_{i_0}\| = \|x_{i_0}\| / \text{dist}(x_{i_0}, f_{i_0}^\perp) \geq \|x_{i_0}\| / \text{dist}(x_{i_0}, C[0, 1]).$$

It is very easy to check that for  $x \in c_0[0, 1]$

$$\text{dist}(x, C[0, 1]) \leq \|x\|/2.$$

Thus

$$\|x_{i_0}\| \|f_{i_0}\| \geq 2. \blacksquare$$

A Markushevich basis  $(x_i, f_i; i \in I)$  is called *universal* in the class of Markushevich bases of the same cardinality as  $I$  if for every M-basis  $(y_j, g_j; j \in J)$  with  $\text{card } J = \text{card } I$  there exist a subset  $I_1 \subset I$  and a map  $\varphi: J \rightarrow I_1$  for which the linear embedding, mapping  $y_j$  to  $x_{\varphi(j)}$ , is an isomorphism.

THEOREM 4. *The class of countable M-bases has no universal element.*

Proof. Let  $X$  be a separable Banach space with a universal Markushevich basis  $(x_n, f_n)_n$ . Put  $F = [f_n]_1^\infty \subset X^*$ . Then for some countable ordinal  $\alpha$  the weak\* sequential closure  $F_{(\alpha)}$  of the subspace  $F$  of order  $\alpha$  will coincide with  $X^*$  (see for example [4]). On the other hand, for any countable ordinal  $\beta$  there exist a separable Banach space  $Y$  and a total subspace  $G \subset Y^*$  such that  $G_{(\beta)} \neq Y^*$  [4]. It is known that in the space  $Y$  there exists an M-basis  $(y_k, g_k)_k$  with  $g_k \in G$  [16, p. 224]. Let  $(x_{n(k)}) \subset (x_n)$  be a subset equivalent to  $(y_k)$  and  $T: Y \rightarrow X$  an isomorphism which determines this correspondence. Then  $T^*F \subset [g_k]_1^\infty$  hence

$$Y^* = T^*X^* = T^*(F_{(\alpha)}) \subset ([g_k]_1^\infty)_{(\alpha)} \subset G_{(\alpha)} \subset G_{(\beta)} \neq Y^*$$

if  $\beta > \alpha$ . Contradiction. ■

**2. Spaces of almost periodic functions.** The *density character* of a Banach space  $X$  (written  $\text{dens } X$ ) is the smallest cardinal  $m$  for which  $X$  has a dense subset of cardinality  $m$ .

DEFINITION 1. Let  $X$  be a Banach space and  $\alpha_0$  the first ordinal of cardinality  $\text{dens } X$ . A *projective resolution* of the identity operator  $I$  is defined to be a set of uniformly bounded projections  $P_\alpha: X \rightarrow X$ ,  $\omega \leq \alpha \leq \alpha_0$ , where  $\omega$  is the first infinite ordinal, such that for  $\omega, \leq \alpha, \beta \leq \alpha_0$  we have

- 1)  $P_\alpha P_\beta = P_\beta P_\alpha = P_{\min(\alpha, \beta)}$ ;
  - 2)  $P_\alpha X = [P_{\gamma+1} X; \gamma < \alpha]$ ;
  - 3)  $\text{dens } P_\alpha X \leq \bar{\alpha}$  ( $\bar{\alpha}$  is the cardinality of the ordinal  $\alpha$ ) and  $P_{\alpha_0} = I$ .
- Put

$$X_\omega = P_\omega X \quad \text{and} \quad X_\alpha = (P_{\alpha+1} - P_\alpha) X$$

for  $\omega < \alpha < \alpha_0$ . A projective resolution is said to be *unconditional* if the following property is satisfied:

(P) There exists a constant  $K$ , called an *unconditional constant* of the projective resolution  $P_\alpha$ , for which

$$\left\| \sum_{k=1}^n \varepsilon_k x_k \right\| \leq K \left\| \sum_{k=1}^n x_k \right\|$$

for every finite choice  $x_1, \dots, x_n$ ,  $x_k \in X_{\alpha_k}$ ,  $\alpha_k \neq \alpha_l$  when  $k \neq l$ , and every choice of signs  $\varepsilon_k = \pm 1$ .

DEFINITION 2. Let  $X$  be a Banach space and  $\alpha_0$  the first ordinal of cardinality  $\text{dens } X$ . A transfinite sequence of closed subspaces  $X_\alpha \subset X$  is called an *unconditional decomposition* of the space  $X$  if

- 1)  $\text{dens } X_\alpha \leq \bar{\alpha}$ ,  $[X_\alpha; \omega \leq \alpha < \alpha_0] = X$ ,
- 2) condition (P) is satisfied.

The number  $K$  is called an unconditional constant of the decomposition  $X_\alpha$ . From condition (P) it follows that the condition (P) remains true if in place of  $\varepsilon_k = \pm 1$  we write  $\varepsilon_k = 0$  or 1. Hence there exist projections  $P_\alpha: X \rightarrow [X_\beta: \beta < \alpha]$  parallel to the subspaces  $[X_\beta: \beta \geq \alpha]$  constructed for the unconditional decomposition  $X_\alpha$  which are all bounded by the unconditional constant  $K$  and form an unconditional projective resolution.

Obviously, if  $X_\alpha$  is an unconditional decomposition then any transfinite sequence  $x_\alpha \in X_\alpha$ ,  $x_\alpha \neq 0$ , will be an (uncountable) unconditional basic sequence in the sense that for any finite choice  $x_{\alpha_1}, \dots, x_{\alpha_n}$ , any scalars  $(a_k)_1^n$  and any signs  $\varepsilon_k$ ,

$$\left\| \sum_{k=1}^n \varepsilon_k a_k x_k \right\| \leq K \left\| \sum_{k=1}^n a_k x_k \right\|.$$

A subsequence  $(P_{\alpha_\beta}: \omega \leq \beta \leq \beta_0)$  of a projective resolution is said to be a *subresolution* if it is a projective resolution itself. Any subresolution of an unconditional projective resolution is unconditional too; moreover, its unconditional constant is not greater than the initial one.

LEMMA 4. Let a space  $X$  of density character  $\aleph_1$  be isomorphic to the  $l_p$ -sum  $\bigoplus_{p, n=1}^{\infty} X_n$ ,  $1 < p < \infty$ , where every space  $X_n$  has an unconditional decomposition  $X_n^n$ , with the unconditional constants all bounded by a number  $K$ . Then  $X$  has an unconditional decomposition.

Proof. Since the property of having an unconditional decomposition is preserved by isomorphisms, we shall suppose  $X = \bigoplus_{p, n=1}^{\infty} X_n$ . Then, for any finite choice  $(x_{\alpha_i}^m)_{i=1, \dots, k_m}^{m=1, \dots, n}$ ,  $x_{\alpha_i}^m \in X_{\alpha_i}^m$  and any signs  $\varepsilon_i^m$

$$\begin{aligned} (2) \quad \left\| \sum_{i,m} \varepsilon_i^m x_{\alpha_i}^m \right\| &= \left( \left\| \sum_{i=1}^{k_1} \varepsilon_i^1 x_{\alpha_i}^1 \right\|^p + \left\| \sum_{i=1}^{k_2} \varepsilon_i^2 x_{\alpha_i}^2 \right\|^p + \dots + \left\| \sum_{i=1}^{k_n} \varepsilon_i^n x_{\alpha_i}^n \right\|^p \right)^{1/p} \\ &\leq K \left( \left\| \sum_{i=1}^{k_1} x_{\alpha_i}^1 \right\|^p + \left\| \sum_{i=1}^{k_2} x_{\alpha_i}^2 \right\|^p + \dots + \left\| \sum_{i=1}^{k_n} x_{\alpha_i}^n \right\|^p \right)^{1/p} \\ &= K \left\| \sum_{i,m} x_{\alpha_i}^m \right\|. \end{aligned}$$

We arrange  $X_\alpha^n$  into one transfinite sequence  $(X_\alpha: \omega \leq \alpha \leq \omega_1)$ . Property (P) follows from inequality (2). Since the density character of each subspace  $X_\alpha$  equals  $\aleph_0$ , this is the unconditional decomposition. ■

LEMMA 5. The space  $L_p \{-1, 1\}^{\omega_1}$  has an unconditional decomposition; here  $1 < p < \infty$  and  $\{-1, 1\}^{\omega_1}$  is the  $\omega_1$ -th power of the dyadic set with the standard cylindrical  $\sigma$ -algebra and measure.

PROOF follows as a matter of fact by inspecting the paper [3]. The space

$L_p \{-1, 1\}^{\omega_1}$  is the set of complex functions of variables  $\vec{t} = (t_1, \dots, t_\alpha, \dots)$ ,  $\alpha < \omega_1$ , each variable taking the values  $\pm 1$ . Put  $r_\alpha(\vec{t}) \equiv 1$ ,  $r_\alpha(\vec{t}) = t_\alpha$  and  $w_{\alpha_1 \dots \alpha_n} = r_{\alpha_1}(\vec{t}) r_{\alpha_2}(\vec{t}) \dots r_{\alpha_n}(\vec{t})$ . The notation is not accidental here. If we fix a sequence  $(\alpha_k)_1^\infty$ , then  $(r_{\alpha_k})_{k=1}^\infty$  is equivalent to the Rademacher sequence  $(r_k)_1^\infty$  in the space  $L_p[0, 1]$  and  $w_{\alpha_{k_1} \dots \alpha_{k_n}}$  to the Walsh sequence  $w_{k_1 \dots k_n} = r_{k_1} \cdot r_{k_2} \cdot \dots \cdot r_{k_n}$ . Put

$$X_\omega = [r_0, w_{\alpha_1 \dots \alpha_n}; \alpha_i \leq \omega, n = 1, 2, \dots]$$

and for  $\alpha > \omega$

$$X_\alpha = [w_{\alpha_1 \dots \alpha_n}; \alpha_1 = \alpha, \alpha_i < \alpha \text{ if } i > 1, n = 1, 2, \dots].$$

The subspaces  $X_\alpha$  form an unconditional decomposition; this follows in fact from the unconditionality of the Haar basis in  $L_p[0, 1]$ , more exactly, from the unconditionality of the finite-dimensional decomposition  $X_n = [w_{n, i_1, \dots, i_{n-1}}; i_k < n]$  in the space  $L_p[0, 1]$  [3].

LEMMA 6. Let  $(e_i: i \in I)$  be a Markushevich basis in the space  $X = L_p(\mu)$ ,  $\mu$  a finite measure,  $1 < p < \infty$ ,  $\text{card } I = \aleph_1$ . Then there exist an unconditional resolution  $(P_\beta: \omega \leq \beta \leq \omega_1)$  and a decomposition of the index set  $I = \bigcup I_\beta$ ,  $\omega \leq \beta < \omega_1$ , such that for any  $\omega \leq \beta < \omega_1$ ,  $X_\beta = [e_i: i \in I_\beta]$ .

Proof. By the Maharam theorem [7] the space  $X$  is isomorphic to  $\bigoplus_{p, n=1}^{\infty} L_p \{-1, 1\}^{\gamma_n}$  where  $\gamma_n$  either  $= \omega_1$  or  $\leq \omega$ . From Lemma 5 it follows that the space  $L_p \{-1, 1\}^{\omega_1}$  has an unconditional resolution; for  $\gamma_n \leq \omega$  the space  $L_p \{-1, 1\}^{\gamma_n}$  has the trivial projective resolution  $P_\omega = I$ . Hence, by Lemma 4, the space  $X$  has an unconditional projective resolution  $(P_\alpha: \omega \leq \alpha \leq \omega_1)$ . Besides, since  $e_i$  is a Markushevich basis of the reflexive space  $X$ , by using it we can construct a projective resolution  $P'_\alpha: X \rightarrow X$ ,  $\omega \leq \alpha \leq \omega_1$ , for which there exists a splitting  $I = \bigcup I'_\alpha$ ,  $\omega \leq \alpha < \omega_1$ , into countable subsets such that for every  $\alpha > \omega$ ,  $(P'_{\alpha+1} - P'_\alpha)X = [e_i: i \in I'_\alpha]$  (and  $P'_\omega X = [e_i: i \in I'_\omega]$ ) (see, for example, [12]).

It now remains to apply Theorem 1 and Corollary 2 from [13] to obtain a subresolution  $(P_{\alpha_\beta}: \omega \leq \beta \leq \omega_1)$  of  $P_\alpha$  with  $P_{\alpha_\beta} = P'_{\alpha_\beta}$ . ■

Remark. Instead of the Maharam theorem and Lemma 4 we can apply Lindenstrauss' result [14] from which it follows that the space  $L_p(\mu)$ ,  $\mu$  a finite measure, of density character  $\aleph_1$  is isomorphic to  $L_p \{-1, 1\}^{\omega_1}$ .

LEMMA 7. Let  $(e_i: i \in I)$  be a (perhaps uncountable) unconditional basic sequence in the space  $L_p(S, \sigma, \mu)$ ,  $\mu$  a finite measure,  $1 < p < \infty$ , for which  $|e_i(s)| \equiv 1$  on the set  $S$  for any  $i$ . Then there exist numbers  $c, C$  depending only upon  $p$  and the unconditional basic constant  $K$  of the sequence  $e_i$  such that for



every finite choice  $(i_k: k = 1, \dots, l)$  and complex scalars  $(a_k)^l$

$$(3) \quad c \left( \sum_{k=1}^l |a_k|^2 \right)^{1/2} \leq \left\| \sum_{k=1}^l a_k e_{i_k} \right\| \leq C \left( \sum_{k=1}^l |a_k|^2 \right)^{1/2}.$$

**Proof.** This result is essentially known. Its proof is a simple modification of an idea of Orlicz [8]. We write Khintchine's inequality [6, p. 66] in a convenient way: There exist constants  $d, D$  depending only upon  $p$  such that for any sequence  $(x_k)_1^l$  from  $L_p(S, \sigma, \mu)$  and any  $s \in S$

$$(4) \quad d \left( \sum_{k=1}^l |x_k(s)|^2 \right)^{1/2} \leq \int_0^1 \sum_{k=1}^l r_k(u) x_k(s) du \\ \leq \left( \int_0^1 \sum_{k=1}^l r_k(u) x_k(s)^p du \right)^{1/p} \leq D \left( \sum_{k=1}^l |x_k(s)|^2 \right)^{1/2},$$

where  $r_k(u), u \in [0, 1]$ , is the Rademacher sequence. Since the integrals in the second and third terms of (4) are simply finite sums and  $L_p$  is a Köthe functional space, all terms in (4) belong to the space  $L_p(S, \sigma, \mu)$  when  $s$  runs through the set  $S$ . Utilizing the monotonicity of the norm in the space  $L_p$  we have

$$d \left\| \left( \sum_{k=1}^l |x_k(s)|^2 \right)^{1/2} \right\| \leq \left\| \int_0^1 \sum_{k=1}^l r_k(u) x_k(s) du \right\| \\ \leq \int_0^1 \left\| \sum_{k=1}^l r_k(u) x_k(s) \right\| du \leq \int_0^1 \left\| \sum_{k=1}^l r_k(u) x_k(s) \right\|^p du \right)^{1/p} \\ = \left[ \int_0^1 \left( \int_S \sum_{k=1}^l r_k(u) x_k(s)^p d\mu \right) du \right]^{1/p} \\ \text{(change the order of integration)} \\ = \left\| \left( \int_0^1 \sum_{k=1}^l r_k(u) x_k(s)^p du \right) \right\|^{1/p} \\ \leq D \left\| \left( \sum_{k=1}^l |x_k(s)|^2 \right)^{1/2} \right\|.$$

Put  $a_k e_{i_k}$  in place of  $x_k$ . Since  $|e_{i_k}(s)| \equiv 1$  we obtain

$$d \left( \sum_{k=1}^l |a_k|^2 \right)^{1/2} \leq \int_0^1 \left\| \sum_{k=1}^l r_k(u) a_k e_{i_k} \right\| du \leq D \left( \sum_{k=1}^l |a_k|^2 \right)^{1/2}.$$

Write the middle term in detail:

$$\int_0^1 \left\| \sum_{k=1}^l r_k(u) a_k e_{i_k} \right\| du = \sum_{m=1}^{2^l} \frac{1}{2^l} \left\| \sum_{k=1}^l e_m^k a_k e_{i_k} \right\|,$$

where  $e_m^k = \pm 1$ . But for any choice of signs  $e_k$

$$K^{-1} \left\| \sum_{k=1}^l e_k a_k e_{i_k} \right\| \leq \left\| \sum_{k=1}^l a_k e_{i_k} \right\| \leq K \left\| \sum_{k=1}^l e_k a_k e_{i_k} \right\|.$$

Hence

$$K^{-1} \int_0^1 \left\| \sum_{k=1}^l r_k(u) a_k e_{i_k} \right\| du \leq \left\| \sum_{k=1}^l a_k e_{i_k} \right\| \leq K \int_0^1 \left\| \sum_{k=1}^l r_k(u) a_k e_{i_k} \right\| du.$$

Therefore (3) is valid with constants  $c = dK^{-1}$ ,  $C = DK$ . ■

**THEOREM 5.** Suppose the space  $X = L_p(S, \sigma, \mu)$ ,  $1 < p < \infty$ , dens  $X = \aleph_1$ ,  $\mu$  a finite measure, has an  $M$ -basis  $(e_i: i \in I)$  such that  $\forall i |e_i(s)| \equiv 1$ . Then there exists a splitting of the index set  $I = \bigcup_{n=1}^{\infty} I_n$  into countably many subsets such that for every  $n$ , every finite choice  $(i_k \in I_n: k = 1, \dots, l)$  and complex scalars  $(a_k)_1^l$

$$c \left( \sum_{k=1}^l |a_k|^2 \right)^{1/2} \leq \left\| \sum_{k=1}^l a_k e_{i_k} \right\| \leq C \left( \sum_{k=1}^l |a_k|^2 \right)^{1/2};$$

moreover, the constants  $c, C$  depend only upon  $p$  and  $\mu$ .

**Proof.** Let  $P_\beta$  be the unconditional projective resolution constructed in Lemma 6 for the  $M$ -basis  $e_i$ . Since  $X_\beta$  is separable, each set  $I_\beta$  is countable:  $I_\beta = \{i_n^{\beta} : n = 1, 2, \dots\}$ . Put  $I_n = \{i_n^{\beta} : \omega \leq \beta < \omega_1\}$  for every  $n$ . Each set  $\{e_i: i \in I_n\}$  is an uncountable unconditional basic sequence; moreover, unconditional basic constants of the sequences  $(e_i: i \in I_n)$  are bounded by the unconditional constant of the projective resolution  $P_\beta$ . To finish the proof it remains to use Lemma 4. ■

**Remark 1.** Let the conditions of Theorem 4 be satisfied and suppose the  $M$ -basis  $e_i$  is an orthonormal system in the sense of inner product, i.e. biorthogonal to  $e_i$  are the functionals defined by the formula  $f_i(x) = \int_S x(s) e_i(s) d\mu$ . Then there exist a constant  $b$  depending only upon  $p$  and  $\mu(S)$  such that the projections  $P_n: X \rightarrow [e_i: i \in I_n]$  parallel to the subspaces  $[e_i: i \notin I_n]$  satisfy  $\|P_n\| \leq b$ .

The proof is standard. Let first  $p \geq 2$ . Then

$$\|P_n x\|_p \leq C \|P_n x\|_2 \leq C \|P_n\|_2 \|x\|_2 = C \|x\|_2 \leq C \mu(S)^{1/2-1/p} \|x\|_p.$$

Hence  $\|P_n\|_p \leq b = C \mu(S)^{1/2-1/p}$ . The case  $p < 2$  reduces to the preceding one by passing to the dual space. ■

**Remark 2.** Specifically, the Walsh functions  $w_{a_1 \dots a_n}$  in the space  $L_p \{-1, 1\}^{\omega_1}$ , described in the proof of Lemma 5, satisfy all the conditions of Remark 1 (see [3]).

**Proof of Theorem 2.** It is known that there exist a measurable space

$(S, \sigma, \mu)$  with the finite measure  $\mu$  and a map  $\varphi: \mathbf{R} \rightarrow S$  for which the operator  $I: L_p(\mu) \rightarrow B_p$  defined by  $(Ix)(t) = x(\varphi(t))$ ,  $t \in \mathbf{R}$ ,  $x \in L_p(\mu)$ , is an isometry (see, for example, [9, Chapter 1]). It is obvious that  $|x(s)| \equiv 1$  iff  $|(Ix)(t)| \equiv 1$ . Since we assume the continuum hypothesis, the space  $L_p(\mu)$  has the density character  $\aleph_1$ , the inverse images  $I^{-1}(x_i)$  form an M-basis in  $L_p(\mu)$  and  $|I^{-1}(x_i)| \equiv 1$ . Therefore using Theorem 5 we obtain the required splitting of the real line  $\mathbf{R} = \bigcup_{n=1}^{\infty} R_n$ . The same observations as in Remark 1 prove the boundedness of the projections  $P_n: B_p \rightarrow [x_\lambda; \lambda \in R_n]$ . It is sufficient to consider the inner product

$$(x, y) = \lim_{T \rightarrow \infty} (2T)^{-1} \int_{-T}^T x(t)y(t) dt$$

with respect to which the system  $x_\lambda$  is orthogonal. ■

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