

- (a)  $r(X_\alpha) = r(Y_\alpha) = \alpha$ ;  
 (b)  $X_\alpha$  is scattered, and  $Y_\alpha$  is not;  
 (c)  $|Y_\alpha| = |\alpha| + \omega$ ; and  
 (d)  $|X_\alpha| = |\alpha| + \omega$  unless  $\alpha = 0$ , in which case  $|X_\alpha| = 1$ .

Proof. Let  $Z$  be the integers (with the usual order). Given  $X_\alpha$ , let  $X_{\alpha+1} = Z \times X_\alpha$ , ordered lexicographically, and let  $Y_\alpha = Q \times X_\alpha$ , also ordered lexicographically. If  $\alpha$  is a limit ordinal, let  $X_\alpha = \{f: \alpha+1 \rightarrow Z: f \text{ is continuous (with respect to order topologies on } \alpha+1 \text{ and } Z) \text{ and } f(\alpha) = 0\}$ ; if  $f, g \in X_\alpha$  with  $f \neq g$ , let  $\beta = \max\{\xi < \alpha: f(\xi) \neq g(\xi)\}$ , and write  $f < g$  if  $f(\beta) < g(\beta)$ . It is easily checked that  $X_\alpha$  has the desired properties.

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## On contractible fans

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**Abstract.** The purpose of this paper is to give a characterization of weakly confluent-contractible fans. After giving several definitions, it is shown that such a fan must be *pairwise smooth*, must contain no *ziz-zag*, and lastly must contain no *P-point*. It is then shown that a fan which satisfies these three properties must be monotone-contractible. This implies the fan is weakly confluent-contractible in as much as monotone functions are always weakly confluent. Hence these properties also yield a characterization of monotone contractible fans.

**Introduction.** Several mathematicians (see [1], [4], [5], [7]) in recent years have studied the contractibility of dendroids. We will use the term *dendroid* to designate a compact metric continuum which is arc-wise connected and is also hereditarily unicoherent. A *ramification point* of a dendroid is a point which is the intersection of three or more arcs. K. Borsuk [2] has described simple types of dendroids, containing only one ramification point, which are called *fans*. The ramification point is called the *top* of the fan.

A topological space  $X$  is *contractible* if there exists a continuous map  $F: [0, 1] \times X \rightarrow X$  such that  $F(0, p)$  is  $p$ , for each point  $p$  of  $X$ ; and there is a point  $q$  in  $X$  such that  $F(1, p)$  is  $q$  for each point  $p$  of  $X$ . The map  $F$  is called a *contraction* of  $X$ .

Figure 1 in the Appendix is a contractible dendroid  $A$  with the surprising property that for each choice of a contraction  $F$ , there must be a time  $t$  in  $[0, 1]$  for which  $F(t \times A)$  is a *noncontractible* sub-dendroid of  $A$ . In order to restrict the spaces it was decided to place a stronger requirement on the maps involved. The property chosen was first defined by A. Lelek [9], that of *weak-confluence* of the maps. It was found that for dendroids, even with weakly-confluent maps, examples of the type found in Figure 1 are still admissible. The investigation was further restricted to the case of fans. It will be shown that a fan is weakly-confluent contractible if and only if it is confluent contractible, if and only if it is monotone contractible.

A continuous map is said to be *monotone* if the pre-image of each continuum lying in its image is itself a continuum. A contraction  $F$  on a space  $X$  is a *monotone contraction* provided that for each time  $t$  in  $[0, 1]$ , the map  $F$  restricted to  $\{t\} \times X$  is monotone.

A continuous map is *confluent* if, for each continuum  $K$  lying in its image, it is true that every component of the pre-image of  $K$  is mapped *onto*  $K$ . A contraction  $F$  on a space  $X$  is a *confluent contraction* if  $F$  restricted to  $\{t\} \times X$  is confluent for each  $t$  in  $[0, 1]$ .

A continuous map is said to be *weakly-confluent* if, for each continuum  $K$  lying in its image, it is true that at least one component of the pre-image of  $K$  is mapped *onto*  $K$ . Given a space  $X$  and a contraction  $F$  of  $X$ , we will say that  $F$  is a *weakly-confluent contraction* provided that for each time  $t$  in  $[0, 1]$ , the map  $F$  restricted to  $\{t\} \times X$  is weakly-confluent.

The main result of this paper is a characterization of those fans which admit a weakly-confluent contraction. The following definitions will be used throughout the paper.

**DEFINITION.** Let  $X$  be a dendroid and let  $r$  be a point in  $X$ . Suppose there are two sequences  $\{r(1, n)\}$ ,  $\{r(2, n)\}$  ( $n = 1, 2, 3 \dots$ ) of points of  $X$ , each converging to  $r$ . We say that the former sequence *dominates* the latter sequence provided that whenever there exists a point  $s$  in  $X$  and a sequence  $\{s(1, n)\}$  converging to  $s$ , with the property that the arcs  $[r(1, n), s(1, n)]$  converge to the arc  $[r, s]$ , then it follows that there also exists a sequence  $\{s(2, n)\}$  converging to  $s$  such that the arcs  $[r(2, n), s(2, n)]$  converge to  $[r, s]$  set-wise.

**DEFINITION.** We say that a dendroid is *pairwise-smooth* provided that whenever a pair of sequences converge to a common point, then one of the pair dominates the other. Figures 2 and 3 in the Appendix illustrate fans which are *not* pairwise-smooth.

**DEFINITION.** We say that a dendroid  $X$  contains a *zig-zag* if there are distinct points  $a, b$  belonging to  $X$  and a sequence of arcs  $[a_n, b_n, c_n, d_n]$ ,  $n = 1, 2, \dots$  (with endpoints  $a_n, d_n$  and interior points  $b_n, c_n$  in the order indicated) converging to the arc  $[a, b]$  in such a way that  $\{a_n\}_{n=1,2,\dots}$  and  $\{c_n\}_{n=1,2,\dots}$  each converge to  $a$ , while  $\{b_n\}_{n=1,2,\dots}$  and  $\{d_n\}_{n=1,2,\dots}$  each converge to  $b$ . Figures 4 and 5 in the Appendix show some examples of a zig-zag.

The *P-point* defined next, is a slight modification of R. Bennett's *O-point*.

**DEFINITION.** Let  $X$  be a dendroid and let  $b$  be a point of  $X$ . We call  $b$  a *P-point* if there is a sequence of points in  $X$   $\{b_n\}_{n=1,2,\dots}$  converging to  $b$  such that  $\text{Ls}[b, b_n]$  is not equal to  $b$ , and such that if  $[b_n, x_n]$  denotes the arc irreducible between  $b_n$  and  $\text{Ls}[b, b_n]$ , then it follows that  $\{x_n\}_{n=1,2,\dots}$  converges to  $b$ . A simple example of a *P-point* is given in Figure 6 of the Appendix.

We will show that a fan is weakly-confluent contractible if and only if it is pairwise smooth, contains no zig-zag, and contains no *P-point*.

The following notation will be used:

$\text{Cl}$  = Closure,

$B(\cdot, \cdot)$  = Open ball of radius  $\dots$ , centered at  $\dots$ ,

$[a, b]$  = Arc with endpoints  $a, b$  the order does not matter unless otherwise indicated,

$\langle a, b \rangle = [a, b]$  less  $\{a\}$ ,

$[a, b) = [a, b]$  less  $\{b\}$ ,

$\text{Bd}$  = Boundary,

$d, \rho$  are used for distance functions,

$\mathcal{L}(b) = \{p \in X \mid p \leq b\}$ ,

$\mathcal{U}(b) = \{p \in X \mid p \leq b\}$ ,

$\text{Ls}(\cdot) = \text{Lim sup}$  (as sets or else as points).

Given a fan  $X$  with point  $c$ , the weak cut point order (with respect to  $c$ ) is defined on  $X$  by:  $p \leq q$  if  $p$  belongs to  $[c, q]$  and  $p < q$  if  $p \leq q$  but  $p$  is distinct from  $q$ .

Given a fan  $X$  with a partial order  $\leq$  defined on  $X$  a metric  $\rho$  on  $X$  is *radially convex* provided that  $p \leq q < z$  implies  $\rho(p, q) < \rho(p, z)$ .

A partial order  $\leq$  on  $X$  is *closed* if the set  $\{(a, b) \mid a \leq b\}$  is closed in  $X \times X$ .

**Chapter 1.** This section contains some basic results which will be needed to obtain the main theorem.

**LEMMA 1.1.** Let  $X$  be a dendroid. Let  $\{x_n\}_{n=1}^\infty, \{r_n\}_{n=1}^\infty$  be sequences of points of  $X$  converging to  $x_0, r_0$  respectively. Let  $b$  be a point of  $\text{Ls}[x_n, r_n]$  and let  $\leq$  denote the weak-cut-point order, with respect to  $b$  defined on  $\text{Ls}[x_n, r_n]$ . There exists a subsequence  $\{[x_n(j), r_n(j)]\}_{j=1}^\infty$  and sequence  $\{b_n(j)\}_{j=1}^\infty$  converging to  $b$ , with  $b_n(j)$  contained in  $[x_n(j), r_n(j)]$  such that  $\text{Ls}[x_n(j), b_n(j)] \leq b$  (if  $x_0 \leq b$ ) respectively,  $\text{Ls}[x_n(j), b_n(j)] \geq b$  (if  $x_0 \geq b$ ).

**Proof.** We may as well assume that  $x_0$  is distinct from  $b$ , that for each  $n = 1, 2, \dots, x_n$  is not contained in  $\text{Cl}(B(1/j, b))$ , and that  $b$  belongs to  $\text{lim}_{n \rightarrow \infty} [x_n, r_n]$ .

For each  $j = 1, 2, \dots$ , there exists a subarc  $[x_n, b_{(n,j)}]$  of  $[x_n, r_n]$  which is irreducible between  $x_n$  and  $\text{Cl}(B(1/j, b))$ , for each  $n$  greater than say  $N_j$ . Also, since  $b$  does not belong to  $\text{Ls}[x_n, b_{(n,j)}]$ , it follows that  $\text{Ls}[x_n, b_{(n,j)}] \leq b$  (respectively,  $\geq b$ ). Hence

for each  $n$  larger than say  $M_j$  it must be true that  $[x_n, b_{(n,j)}]$  is in the  $1/j$  neighborhood of  $\mathcal{L}(b)$  (respectively, of  $\mathcal{U}(b)$ ). Choose  $n(1) < n(2) < \dots < n(k) < \dots$ , with  $n(j)$  greater than  $M_j$ , such that  $[x_{n(j)}, b_{(n(j),j)}]$  is in the  $1/j$  neighborhood of  $\mathcal{L}(b)$  (respectively, of  $\mathcal{U}(b)$ ). Note that  $b_{(n(j),j)}(j)$  is contained in  $\text{Cl}(B(1/j, b))$  for each  $j$ . Thus  $\{b_{(n(j),j)}(j)\}_{j=1}^\infty$  converges to  $b$  and it is evident that  $\text{Ls}[x_{n(j)}, b_{(n(j),j)}(j)] \leq b$  (respectively,  $\geq b$ ).

**LEMMA 1.2.** Let  $X$  be a dendroid and  $\{x_n\}_{n=1}^\infty, \{r_n\}_{n=1}^\infty$  be sequences of points of  $X$ , converging to  $x_0, r_0$  respectively such that the arcs  $[x_n, r_n]$  are pairwise disjoint or else  $x_n = x_0$  for all  $n$  while  $\langle x_n, r_n \rangle$  are pairwise disjoint, and such that  $\text{Ls}[x_n, r_n] = [x_0, r_0]$ . Define  $y \leq z$  if  $y$  is contained in  $[x_n, z]$  for some  $n = 0, 1, 2, \dots$  If  $X$  contains no zig-zags, then  $\bigcup_{n=0}^\infty [x_n, r_n]$  admits a radially convex metric, with respect to  $\leq$ .

**Proof.** It is known (see [3] and [10]) that the result follows if it can be shown that

whenever a sequence of points  $\{y_n\}_{n=1}^{\infty}$  contained in  $\bigcup_{n=0}^{\infty} [x_n, r_n]$  converges to a point  $y_0$ , then it follows that  $LsL(y_n)$  is included in  $L(y_0)$ . Suppose the lemma is false. There

then exist points  $y_n$  in  $[x_n, r_n]$ , say,  $\{y_n\}_{n=1}^{\infty}$  converging to  $y_0$  in  $[x_0, r_0]$  and there exist points  $p_n$  in  $[x_n, y_n]$  with  $\{p_n\}_{n=1}^{\infty}$  converging to a point  $p$  in  $\langle y_0, r_0 \rangle$ . We may as well suppose that  $p$  is  $\max Ls [x_n, y_n]$ . Let  $q$  be  $\min Ls [p_n, r_n]$  and note that  $q \leq y_0 < p$ .

By taking a subsequence and relabeling indices we can assume that there exist points  $q_n$  in  $[p_n, r_n]$ ,  $\{q_n\}$  converging to  $q$ . If  $\hat{p}$  denotes  $\max_{[x_0, r_0]} Ls [x_n, q_n]$  we find similarly, points  $\hat{p}_n$  in  $[p_n, q_n]$  with  $\{\hat{p}_n\}_{n=1}^{\infty}$  converging to  $\hat{p}$ . Note that  $q \leq y_0 < p \leq \hat{p}$ . Now  $\hat{p}$  is contained in  $[q, r_0]$  which is included in  $Ls [q_n, r_n]$  and, applying Lemma 1.1

we find (without loss of generality) points  $\tilde{p}_n$  in  $[q_n, r_n]$  with  $\{\tilde{p}_n\}_{n=1}^{\infty}$  converging to  $\hat{p}$  such that  $Ls [\tilde{p}_n, q_n] \leq \hat{p}$ . But  $Ls [\tilde{p}_n, q_n] \geq q$  must follow from the minimality of  $q$ ,

hence  $Ls [\tilde{p}_n, q_n] = [\hat{p}, q]$ . Also, since  $q \in [x_0, \hat{p}] \leq Ls [x_n, \hat{p}_n]$  it follows from

Lemma 1.1 and the maximality of  $\hat{p}$  that there exist points  $\tilde{q}_n$  in  $[x_n, \hat{p}_n]$ ,  $\{\tilde{q}_n\}_{n=1}^{\infty}$  converging to  $q$ , such that  $Ls [\tilde{q}_n, \hat{p}_n] = [\hat{p}, q]$ . The set  $Ls [q_n, \hat{p}_n]$  is equal to  $[\hat{p}, q]$

because  $\hat{p}$  is maximal and for almost all  $n$ ,  $\hat{p}_n$  is greater than or equal to  $p_n$ , while  $q$  is  $\min Ls [p_n, r_n]$ . By relabeling indices on the appropriate subsequences, we obtain

the fact that  $\{[\tilde{p}_n, q_n, \hat{p}_n, \tilde{q}_n]\}_{n=1}^{\infty}$  converges to  $[\hat{p}, q]$  in the manner required to form a zig-zag. This contradicts the hypothesis and the lemma must be true.

LEMMA 1.3. If  $X$  is a contractible dendroid and  $\{a_n\}_{n=1}^{\infty}$ ,  $\{b_n\}_{n=1}^{\infty}$  are sequences of points of  $X$  which converge to  $a_0$ ,  $b_0$  respectively, then  $Ls [a_n, b_n]$  is hereditarily locally connected. (This is an unpublished result of Charatonik.)

Proof. Suppose  $F$  is a contraction of  $X$  with  $F(\{1\} \times X) = z$  say. For each  $n$  let  $[z, x_n]$  be irreducible between  $z$  and  $[a_n, b_n]$ . Now

$$\begin{aligned} Ls [a_n, b_n] &\subseteq Ls ([x_n, a_n] \cup [x_n, b_n]) \subseteq Ls [x_n, a_n] \cup Ls [x_n, b_n] \\ &\subseteq Ls ([z, x_n] \cup [x_n, a_n]) \cup Ls ([z, x_n] \cup [x_n, b_n]) \\ &= Ls [z, a_n] \cup Ls [z, b_n] \subseteq Ls F([0, 1] \times a_n) \cup Ls F([0, 1] \times b_n) \\ &\subseteq Ls F([0, 1] \times a_n) \cup ([0, 1] \times b_n) \\ &= F(Ls([0, 1] \times a_n) \cup ([0, 1] \times b_n)) \\ &= F(Ls([0, 1] \times a_n)) \cup F(Ls([0, 1] \times b_n)) \\ &= F([0, 1] \times \{a\}) \cup F([0, 1] \times \{b\}). \end{aligned}$$

Now  $F([0, 1] \times \{a\}) \cup F([0, 1] \times \{b\})$  is locally connected and hereditarily uniconherent and is thus hereditarily locally connected. The set  $Ls [a_n, b_n]$  therefore inherits the latter property.

Chapter 2. We are now prepared to show the necessity of each of the three conditions — pairwise smooth, no zig-zag, no  $P$ -point — in order that a fan be weakly-confluent contractible.

THEOREM 2.1. A contractible dendroid does not contain a zig-zag.

Proof. The zig-zag is a special case of a continuum of type  $N$  defined by Lex G. Oversteegen. See Theorem 2.1 of his paper *Non-contractibility of Continua* in Bull. Acad. Polon. Sci. (to appear 1978).

LEMMA 2.2. If a contractible fan contains a  $P$ -point, then that point must be the top of the fan.

Proof. Let  $X$  be a contractible fan with endpoints  $\{e_\alpha\}_{\alpha \in A}$ , top  $c$ , and let  $x$  be a  $P$ -point of  $X$  distinct from  $c$ . We wish to obtain a contradiction. There is a sequence of points  $\{x_n\}_{n=1}^{\infty}$  converging to  $x$  for which the points  $x_n$  lie on distinct arcs  $[c, e_\alpha(n)]$ , such that if  $[x_n, y_n]$  is irreducible between  $x_n$  and  $Ls [x, x_n]$ , then the sequence of points  $\{y_n\}_{n=1}^{\infty}$  converges to  $x$ . It follows that both  $x$  and  $c$  belong to  $Ls [x, x_n]$  and we know, as a result of Lemma 1.3, that  $Ls [x, x_n]$  is hereditarily locally

connected. Therefore, it is also true that  $Cl \left\{ \bigcup_{n=1}^{\infty} [c, y_n] \right\}$  is locally connected. Since  $x$

belongs to  $Cl \left\{ \bigcup_{n=1}^{\infty} [c, y_n] \right\}$ , there is a relatively open neighborhood  $\mathcal{U}$  of  $x$  lying in

$Cl \left\{ \bigcup_{n=1}^{\infty} [c, y_n] \right\}$  such that  $\mathcal{U}$  is connected and does not contain  $c$ . However,  $\mathcal{U}$  must

contain  $y_n$ , for almost all  $n$ , and hence must contain the arcs  $[y_n, x]$  for almost all  $n$ . This implies that  $y_n$  lies on  $\langle c, x \rangle$  for almost all  $n$ . The arc  $[x_n, c]$  would then be a proper subcontinuum of  $[x_n, y_n]$ , joining  $x_n$  to  $Ls [x, x_n]$  for almost all  $n$ , contrary to the choice of the points  $y_n$ . This contradiction establishes the lemma.

THEOREM 2.3. If a fan is weakly-confluent contractible, then it does not contain a  $P$ -point.

Proof. Let  $X$  be a fan with top  $c$ , endpoints  $\{e_\alpha\}_{\alpha \in A}$ , and let  $F$  be a weakly-confluent contraction of  $X$ . If  $X$  contains a  $P$ -point, then  $c$  must be a  $P$ -point (Lemma 2.2). There is then a sequence of points  $\{c_n\}_{n=1}^{\infty}$  converging to  $c$ , such that  $c_n$  is contained in  $[c, e_\alpha(n)]$  ( $n = 1, 2, \dots$ ) for distinct endpoints  $\{e_\alpha(n)\}_{n=1}^{\infty}$ , and possessing the property that  $Ls [c, c_n]$  contains at least one point, say  $y$ , different from  $c$ .

With the appropriate choice of subsequence  $\{c_n(j)\}_{j=1}^{\infty}$  one can find a sequence of points  $\{y_j\}_{j=1}^{\infty}$  converging to  $y$  such that  $y_j$  belongs to  $[c, c_n(j)]$  ( $j = 1, 2, \dots$ ). For each  $j$  let  $t_j$  be the greatest value of  $t$  in  $[0, 1]$  for which  $F(t, c_n(j))$  belongs to  $[y_j, e_\alpha(n, j)]$ . We may assume that the sequence  $\{t_j\}_{j=1}^{\infty}$  converges, if not one uses a subsequence which does converge. Let  $t_0$  be the limit of the sequence  $\{t_j\}_{j=1}^{\infty}$ . Now

$$F(t_0, c) = F\left(Ls(t_j, c_n(j))\right) = Ls F(t_j, c_n(j)) = Ls \{y_j\} = y.$$



Let  $\mathcal{U}$  be an open neighborhood of  $y$  which is small enough that it does not contain  $c$  and let

$$K = \left( \text{Cl} \left\{ \bigcup_{j=1}^{\infty} [c, F(t_0, c_n(j))] \right\} \right) - \mathcal{U}.$$

Let  $M$  be the component of  $K$  which contains  $c$  and note that  $M$  is a continuum which is *not* locally connected. (If  $M$  were locally connected, then it would be impossible for  $c$  to be a  $P$ -point.) Since  $c$  does not belong to  $F_0^{-1}(M)$ , each component of  $F_0^{-1}(M)$  is an arc or a point. However, these are locally connected and thus cannot be mapped onto  $M$ . This is contradictory to the assumption that  $F$  is weakly-confluent. Therefore  $X$  must contain no  $P$ -point.

**THEOREM 2.4.** *A weakly-confluent contractible fan is pairwise smooth.*

**Proof.** Let  $X$  be a weakly-confluent contractible fan with top  $c$ , endpoints  $\{e_\alpha\}_{\alpha \in A}$ , and let  $F$  be a contraction of  $X$ . Suppose that  $X$  is not pairwise smooth. There is then a point  $r$  belonging to  $X$  and sequences  $\{r(1, n)\}$ ,  $\{r(2, n)\}$  for  $n = 1, 2, \dots$ , each converging to  $r$ , a point  $s$  and sequence  $\{s(1, n)\}$  converging to  $s$  such that  $\lim_n [r(1, n), s(1, n)] = [r, s]$  and a point  $q$ , sequence  $\{q(2, n)\}$  converging to  $q$  such that  $\lim_n [r(2, n), q(2, n)] = [r, p]$ .

Because  $c$  is not a  $P$ -point (Theorem 2.3), we may choose the points  $s, r, q$  to lie (in that order) on an arc  $[c, e_\beta] - \{c\}$  for some  $\beta \in A$ . Let  $e_\alpha(1, n)$ ,  $e_\alpha(2, n)$  be the endpoints of the arcs on which lie (respectively) the points  $r(1, n)$ ,  $r(2, n)$ . We may assume that the points  $s(1, n)$ ,  $q(2, n)$  also belong to the arcs  $[c, e_\alpha(1, n)]$ ,  $[c, e_\alpha(2, n)]$  respectively.

It is important to note that the points  $s(1, n)$ ,  $q(2, n)$  may be chosen to lie on the arcs  $[c, r(1, n)]$ ,  $[c, r(2, n)]$  (respectively). For example, if the points  $s(1, n)$  belong to  $[r(1, n), e_\alpha(1, n)]$ , and if  $\text{Ls}[c, r(1, n)] = [c, z]$  say, there are then points  $z(1, n)$  in  $[c, r(1, n)]$  converging to  $z$  such that  $\lim_n [r(1, n), z(1, n)] = [r, z]$ .

Actually there is a subsequence, but we relabel the indices. We have  $\lim_n [r(1, n), z(1, n)] \leq z$  by choice of  $z$  and  $\geq r$  by using Lemma 1.1. It is evident that  $z < q$ , or else  $\{r(2, n)\}$  would *dominate*  $\{r(1, n)\}$  since we could put a radially convex metric  $\varrho$  on the set

$$\{[r, z] \cup \left( \bigcup_{n=1}^{\infty} [r(1, n), z(1, n)] \right)\}$$

(by Lemma 1.2) and  $q \leq z$  would enable us to choose a sequence  $\{q(1, n)\}$  converging to  $q$  such that  $\lim_n [r(1, n), q(1, n)] = [r, q]$ , the points  $q(1, n)$  lying on

$[r(1, n), z(1, n)]$  at the obvious correct distance  $\varrho(r, q)$  from  $r(1, n)$ .

But with  $z < q$ , we can put a radially convex metric  $\varrho$  on the set

$$\left\{ \bigcup_{n=1}^{\infty} [c, z(1, n)] \right\} \cup [c, z]$$

(by Lemma 1.2) and take points  $s(3, n)$  (at distance  $\varrho(s, z)$  from  $z(1, n)$ ) lying on the arc  $[c, z(1, n)]$  and take points  $r(3, n)$  (at distance  $\varrho(r, z)$  from  $z(1, n)$ ) lying on  $[c, z(1, n)]$  and find that  $\lim_n [r(3, n), s(3, n)] = [r, s]$ . Hence the note stated above

is seen to be correct in this case. The other case, concerning the points  $q(2, n)$  may be done using a symmetric argument (also reversing the direction of each inequality).

At least once during the contraction of  $X$ , the point  $r$  must be moved to the position  $s$  as well as to the position  $q$ , since

$$\begin{aligned} F([0, 1] \times \{r\}) &= F(\text{Ls}[0, 1] \times \{r(1, n)\}) \\ &= \text{Ls}F([0, 1] \times \{r(1, n)\}) \supseteq \text{Ls}[F(0, r(1, n)), F(1, r(1, n))] \\ &\supseteq \text{Ls}[r(1, n), c] \supseteq \text{Ls}[s(1, n)] \\ &= \{s\}, \quad \text{and similarly for } q. \end{aligned}$$

Without loss of generality we suppose that  $r$  is mapped to  $s$  first before it is ever mapped to  $q$ . Let  $t_0$  be the first time  $t$  in  $[0, 1]$ , such that  $F(t, r) = s$ . Let  $F([0, t_0] \times \{r\}) = (\text{say}) [s, w]$ , where  $w$  must, of course, be less than  $q$ . Let  $t_1$  be the last time  $t$  in  $[0, t_0]$ , such that  $F(t, r)$  is  $w$ .

Now, without loss of generality, the arcs  $[F(t_1, r(2, n)), F(t_0, r(2, n))]$  are contained in the arcs  $[q(2, n), e_\alpha(2, n)] - \{q(2, n)\}$ . (Since  $r$  has not yet moved to  $q$ , this *must* be true for almost all  $n$ .)

By the choice of  $t_0, t_1$ , and  $w$ , it is evident that

$$\lim_n [F(t_1, r(2, n)), F(t_0, r(2, n))] = [w, s].$$

By Lemma 1.2 we can put a radially convex metric  $\varrho$  on the union of these arcs. It is then true that the arcs  $[r(2, n), F(t_0, r(2, n))]$  converge to  $[r, s]$  and hence,  $\{r(1, n)\}$  dominates  $\{r(2, n)\}$  contrary to our initial supposition.

The theorem is thus proved.

**Chapter 3.** We shall now show that the three necessary conditions given in the previous section are in fact *sufficient* in order that a fan be not only weakly-confluent contractible, but also that it be monotone contractible.

Throughout this chapter we shall understand that  $X$  denotes a fan with top  $c$  and endpoints  $\{e_\alpha\}_{\alpha \in A}$  which is pairwise smooth, contains no zig-zag, and contains no  $P$ -point.

Whenever we refer to the *limsup* (Ls) of a sequence of arcs, it is to be considered that this set belongs to one of the arcs  $[c, e_\alpha]$ , or else  $c$  would be a  $P$ -point.

**DEFINITION.** Let  $n$  be an integer greater than zero. We say that an arc  $[a, b]$  which, for some  $\alpha \in A$ , lies on  $\langle c, e_\alpha \rangle$  is a *partial  $n$ -hook* provided there exists a sequence  $\{[c, e_\alpha(m)]\}_{m=1,2,\dots}$  of arcs, each of which contains points

$$c = p(m, 0) < p(m, 1) < \dots < p(m, n)$$

such that for each  $j = 0, 1, 2, \dots, n$ , the sequence  $\{p(m, j)\}_{m=1,2,\dots}$  converges to the point  $p_j$  say; and such that for  $j = 1, 2, \dots, n$ , the sequence

$$\{[p(m, j-1), p(m, j)]\}_{m=1,2,\dots}$$

of arcs converges to the arc  $[p_{j-1}, p_j]$ , with the additional features:

- (a)  $[p_j, p_{j+1}]$  is properly contained in  $[p_{j-1}, p_j]$  for  $j = 1, 2, \dots, n-1$ ;
- (b)  $p_{n-1} = b$ ,  $p_n = a$ ; and finally,
- (c)  $Ls[p(m, n), c_x(m)]$  is properly contained in  $[p_{n-1}, p_n]$ .

We call the point  $p_{n-1}$  the *top of the partial  $n$ -hook* and the point  $p_n$  the *bottom of the partial  $n$ -hook*.

Note. It follows from the definition of a partial  $n$ -hook that for a given  $n$ : either, for each partial  $n$ -hook  $[a, b]$ ,  $a < b$  (if  $n$  even) or, for each partial  $n$ -hook  $[a, b]$ ,  $b < a$  (if  $n$  odd). This is because in order to satisfy the portion of the definition concerning proper containment we must have  $p_0 < p_1$ ,  $p_1 > p_2$ ,  $p_2 < p_3$ , etc.; that is,  $p_{j-1} < p_j$  if  $j$  is odd, while  $p_{j-1} > p_j$  if  $j$  is even.

(Recall that our partial order  $\leq$  is defined as  $p \leq q$  provided  $p$  weakly cuts  $q$  from  $c$ .)

**LEMMA 3.1.** *If a pair of partial  $n$ -hooks intersect, then their tops must coincide.*

**Proof.** The proof is handled by induction on  $n$ . For details see [6].

**LEMMA 3.2.** *Let  $\varepsilon$  be a positive real number and suppose that  $X$  contains no partial  $k$ -hook for  $k = 2, 3, \dots$  of diameter less than  $\varepsilon$ . If for a fixed  $k$  one chooses a sequence  $\{[p_{k-1}(i), p_k(i)]\}_{i=1,2,\dots}$  of partial  $k$ -hooks such that  $\{p_{k-1}(i)\} \rightarrow p_{k-1}(0)$ ,  $\{p_k(i)\} \rightarrow p_k(0)$ , then*

$$\lim_i [p_{k-1}(i), p_k(i)] = [p_{k-1}(0), p_k(0)]$$

and the latter set is also a partial  $k$ -hook.

**Proof.** One need only show the lemma is true when  $k = 2$ , since by definition, each sequence of partial  $n$ -hooks (for  $n > 2$ ) is embedded in a sequence of partial 2 hooks and with the lemma true for  $k = 2$ , we can put a radially convex metric  $q$  on the closure of the sequence of partial 2-hooks, which is then inherited by the sequence of partial  $n$ -hooks. Using the radially convex metric  $q$ , it is easy to show that the lemma then holds for  $k = n$ . We proceed by showing that if the lemma fails for  $k = 2$  then one can find a zig-zag lying inside the fan, contrary to our general hypothesis. See [6] for further details.

**LEMMA 3.3.** *Let  $\varepsilon$  be a positive real number and suppose that  $X$  contains no partial  $k$ -hook for  $k = 2, 3, \dots$  of diameter less than  $\varepsilon$ . Then there exists a positive real number  $\delta$ , called the nesting diameter of  $X$  such that for each partial  $k$ -hook, the diameter of  $[p_{k-2}, p_{k-1}]$  is at least  $\delta$  greater than the diameter of  $[p_{k-1}, p_k]$ , for  $k = 2, 3, \dots$  (using the same notation as in the definition).*

**Proof.** If no such  $\delta$  exists, then it is possible to consider two cases:

Case I. For some integer  $k$  greater than 1 there exists a sequence

$$\{[p_{k-1}(i), p_k(i)]\}_{i=1,2,\dots}$$

of partial  $k$ -hooks such that the difference in diameter between  $[p_{k-2}(i), p_{k-1}(i)]$  and  $[p_{k-1}(i), p_k(i)]$  is less than  $(1/i)$ . Now by taking subsequences and relabeling, we may assume (in view of Lemma 3.2) that

$$\{[p_{k-1}(i), p_k(i)]\} \rightarrow [p_{k-1}(0), p_k(0)],$$

where

$$p_{k-1}(0) = \lim_i p_{k-1}, \quad p_k(0) = \lim_i p_k(i)$$

are distinct points (using the  $\varepsilon$  hypothesis). Now if  $k = 2$ , it follows that the point  $c$  is a  $P$ -point. For  $k > 2$ , we may "diagonalize" the three double-sequences

$$\{ \{ [p(i, m, j), p(i, m, j+1)] \}_{m=1}^{\infty} \}_{j=k-3, k-2, k-1}$$

(where  $\{ [p(i, m, j), p(i, m, j+1)] \} \rightarrow [p_j(i), p_{j+1}(i)]$  for  $j = k-3, k-2, k-1, i = 1, 2, \dots$ ). We may now suppose after some relabeling that

$$\{ [p(i, m_i, k-3), p(i, m_i, k-2)] \} \rightarrow [p_{k-3}(0), p_{k-2}(0)],$$

$$\{ [p(i, m_i, k-2), p(i, m_i, k-1)] \} \rightarrow [p_{k-2}(0), p_{k-1}(0)],$$

and

$$\{ [p(i, m_i, k-1), p(i, m_i, k)] \} \rightarrow [p_{k-1}(0), p_k(0)],$$

where  $p_{k-3}(0) = \lim_i p_{k-3}(i)$  and  $p_{k-2}(0) = \lim_i p_{k-2}(i)$ . However, because of our assumption on the diameters of  $[p_{k-2}(i), p_{k-1}(i)]$ ,  $[p_{k-1}(i), p_k(i)]$ , having difference less than  $(1/i)$ , it is evident that  $p_{k-2}(0)$  is identical to  $p_k(0)$ . Also, by using Lemma 1.2 to put a radially convex metric on  $Cl\{ \bigcup_{i=1}^{\infty} [p(i, m_i, k-3), p(i, m_i, k-2)] \}$ , we may find points  $z_i$  belonging to  $[p(i, m_i, k-3), p(i, m_i, k-2)]$  with  $z_i \rightarrow p_{k-1}(0)$ . The arcs

$$\{ [z_i, p(i, m_i, k-2), p(i, m_i, k-1), p(i, m_i, k)] \}_{i=1,2,\dots}$$

then form a zig-zag, so this case cannot occur.

Case II. There exists a sequence  $\{ [p_{i-1}(i), p_i(i)] \}_{i=1,2,\dots}$  of partial  $i$ -hooks such that the difference in diameter between  $[p_{i-2}(i), p_{i-1}(i)]$  and  $[p_{i-1}(i), p_i(i)]$  is less than  $(1/i)$ . Using processes similar to those of Case I, we obtain sequences:

$$\{ \{ [p(i, m_i, j-1), p(i, m_i, j)] \}_{j=1}^{\infty} \}_{i=j}$$

such that for each  $j$ , the sequence

$$\{ [p(i, m_i, j-1), p(i, m_i, j)] \}_{i=j}^{\infty}$$

converges to  $[p_j(0), p_{j+1}(0)]$  where  $p_j(0) = \lim_i p_{j-1}(i)$  (possible by Lemma 3.2).

(Note that for  $i \geq j$ , each partial  $i$ -hook is contained in a partial  $j$ -hook from which we obtain the points  $p_{j-1}(i)$ ).

We have:

$$p_0(0) < p_2(0) < p_4(0) < \dots < p_{2n}(0) < \dots < p_{2n-1}(0) < \dots < p_3(0) < p_1(0).$$

It follows from the  $\varepsilon$  hypothesis that  $\{p_{2n}(0)\} \nearrow r$  while  $\{p_{2n-1}(0)\} \searrow s$  such that  $s, r$  are distinct points (at least  $\varepsilon$  apart). But, without loss of generality, it follows that the "diagonal" sequences

$$\{p(i, m_i, i-3)\}, \quad \{p(i, m_i, i-2)\}, \quad \{p(i, m_i, i-1)\}, \quad \{p(i, m_i, i)\}, \quad i = 3, 4, \dots,$$

each converge to  $s, r, s, r$  respectively, and the arcs formed by these four sequences yield a zig-zag.

Hence, the lemma is true.

**COROLLARY 3.4.** *Let  $\varepsilon$  be a positive real number. If the fan  $X$  contains no partial  $k$ -hook for  $k = 1, 2, \dots$  of diameter less than  $\varepsilon$ , then there exists a positive integer  $n$  such that for each  $k > n$ ,  $X$  contains no partial  $k$ -hook.*

*Proof.* Let the nesting diameter of  $X$  be  $\delta$ . Since  $X$  is compact, we may suppose that diameter  $X = 1$ . Choose  $[m-1]$  to be greater than say,  $1/\delta$ . If  $[p_{m-1}, p_m]$  is a partial  $m$ -hook lying in  $X$ , then  $[p_{m-1}, p_m]$  is properly contained in a partial  $(m-1)$ -hook which is properly contained in a partial  $(m-2)$ -hook ... which is properly contained in a partial 1-hook. By virtue of the property of the nesting diameter, it follows that the diameter of  $[p_{m-1}, p_m]$  must be less than zero. This being impossible, we have an upper bound  $n$  as desired.

**DEFINITION.** Let  $X$  be a fan which satisfies the conditions of Corollary 3.4 (as well as satisfying the hypothesis of this chapter; namely, pairwise smooth, no zig-zag, and no  $P$ -point). We say that  $X$  is an  $(\varepsilon, n)$ -fan.

**LEMMA 3.5.** *Let  $X$  be an  $(\varepsilon, n)$ -fan. Let  $k$  be a positive integer less than or equal to  $n$  and let  $p_{k-1}$  be the top of a partial  $k$ -hook. We claim that the union of those partial  $k$ -hooks which contain the point  $p_{k-1}$  forms a closed set and is also a partial  $k$ -hook.*

*Proof.* Each summand of the union has the point  $p_{k-1}$  as its top, by virtue of Lemma 3.1. If  $k$  is even (respectively,  $k$ , odd), then the bottom of each summand belongs to  $\langle c, p_{k-1} \rangle$  (respectively,  $\langle p_{k-1}, e_\alpha \rangle$  for some  $\alpha \in A$ ) and there is then a point  $q$  which is the infimum (respectively, supremum) of these bottom points. If we approach this limit point with a countable sequence  $\{p(i, k)\}_{i=1}^\infty$  of the bottom points, then we have:

- (a)  $\{p(i, k)\}_{i=1}^\infty \rightarrow q$ ,
- (b)  $\{p(i, k-1)\}_{i=1}^\infty = \{p_{k-1}\} \rightarrow p_{k-1}$  implies
- (c)  $\{\{p(i, k), p(i, k-1)\}\}_{i=1}^\infty \rightarrow [p_{k-1}, q]$ .

It follows from Lemma 3.2 that the set  $[p_{k-1}, q]$  is also a partial  $k$ -hook. This set is thus contained in the union under consideration, but also contains the union

by choice of  $q$ . The union is therefore equal to the partial  $k$ -hook  $[p_{k-1}, q]$  and is closed.

**DEFINITION.** A set of the form  $[p_{k-1}, q]$  is called a  $k$ -hook. We drop the adjective "partial" since such a  $k$ -hook is complete in the sense that it does not properly lie in another partial  $k$ -hook. It should be noted, however, that each  $k$ -hook also satisfies the definition of a partial  $k$ -hook (Lemma 3.5), and every lemma or corollary we prove concerning a partial  $k$ -hook is also true for a  $k$ -hook. Now, for any pair of  $k$ -hooks, either the two are identical or else they do not intersect, in view of Lemma 3.1. We now refine this statement.

**LEMMA 3.6.** *Let  $X$  be an  $(\varepsilon, n)$ -fan. There exists a positive real number  $\delta$  such that for each  $k \leq n$ , and for each  $\alpha \in A$ , for each pair of  $k$ -hooks lying on the arc  $[c, e_\alpha]$ , it is true that their  $\delta$ -neighborhoods are mutually disjoint.*

*Proof.* If the lemma fails, then we may choose a  $k$ -hook  $[p_{k-1}, p_k]$  on an arc  $[c, e_\alpha]$  with a sequence  $\{\{p(i, k-1), p(i, k)\}\}_{i=1}^\infty$  of  $k$ -hooks on  $[c, e_\alpha]$ , each one of which is mutually disjoint from  $[p_{k-1}, p_k]$  with the property that

$$(*) \quad \begin{aligned} d(p_{k-1}, p(i, k)) &< (1/i) \\ \text{(respectively, } d(p_k, p(i, k-1)) &< (1/i)) \end{aligned}$$

depending upon whether the  $k$ -hooks converge to  $[p_{k-1}, p_k]$  from "above" or from "below." We may assume that  $\{p(i, k)\}_{i=1}^\infty$  converges to  $p(0, k)$  say, and that  $\{p(i, k-1)\}_{i=1}^\infty$  converges to  $p(0, k-1)$ . From Lemma 3.2 we know that  $\{\{p(i, k-1), p(i, k)\}\}_{i=1}^\infty$  converges to  $[p(0, k-1), k(0, k)]$  and that the latter set is at least a partial  $k$ -hook (of diameter at least  $\varepsilon$ ). Now the point  $p(0, k-1)$  (respectively,  $p(0, k)$ ) must lie outside the arc  $[p_{k-1}, p_k]$ , but it follows from (\*) that

$$\begin{aligned} p(0, k) &= p_{k-1} \\ \text{(respectively, } p(0, k-1) &= p_k), \end{aligned}$$

which is contrary to Lemma 3.1. It therefore must be possible to find the desired number  $\delta$ .

**LEMMA 3.7.** *Let  $X$  be an  $(\varepsilon, n)$ -fan. There exists a positive real number  $\tau$  such that for each partial  $n$ -hook lying in  $X$ , it is true that for each  $k < n$ , and for each partial  $k$ -hook, neither the top nor the bottom of that  $k$ -hook may lie inside the  $\tau$ -neighborhood of the  $n$ -hook.*

*Proof.* Let  $[p_{n-1}, p_n]$  be a fixed partial  $n$ -hook, with top  $p_{n-1}$  and bottom  $p_n$ . If no  $\tau$  works for this particular case, then there exists a sequence  $\{\{q(i, k-1), q(i, k)\}\}_{i=1, 2, \dots}$  of partial  $k$ -hooks (for some  $k < n$ ), which converges to say,  $[q(0, k-1), q(0, k)]$ , a partial  $k$ -hook itself (Lemma 3.2) and, with either  $q(0, k-1)$  or  $q(0, k)$  belonging to  $[p_{n-1}, p_n]$ . But  $[p_{n-1}, p_n]$  is contained in a partial  $k$ -hook whose top lies outside of  $[p_{n-1}, p_n]$ , by definition. Since  $[q(0, k-1), q(0, k)]$  intersects this partial  $k$ -hook, Lemma 3.1 implies that the top  $q(0, k-1)$  also must lie outside of  $[p_{n-1}, p_n]$ . We are led then to the case where the bottom  $q(0, k)$  belongs

to  $[p_{n-1}, p_n]$ . The partial  $n$ -hook  $[p_{n-1}, p_n]$  is contained in a partial  $(k+1)$ -hook  $[p_k, p_{k+1}]$  by definition, with, say, the sequence  $\{[p(m, k), p(m, k+1)]\}_{m=1,2,\dots}$  converging to  $[p_k, p_{k+1}]$  in the usual way. As in the proof of Lemma 3.1, we may assume that there is a sequence  $\{q_k(m)\}_{m=1,2,\dots}$  of points belonging to

$$[p(m, k), p(m, k+1)]$$

for each  $m$ , which converges to  $q(0, k)$ . There is also a sequence  $\{q(0, m, k)\}_{m=1,2,\dots}$  of points, given by the definition of partial  $k$ -hooks, which converges to  $q(0, k)$ . It can be shown that neither of the pair  $\{q_k(m)\}_{m=1,\dots}, \{q(0, m, k)\}_{m=1,\dots}$  dominates the other (using methods similar to those in the proof of Lemma 3.1), which is contrary to the pairwise smoothness of the fan  $X$ . We can therefore find a positive real number  $\tau$  such that for each  $k < n$ , the top or bottom of no partial  $k$ -hook lies within  $\tau$  of  $[p_{n-1}, p_n]$ . Moreover, the minimum value of  $\tau$  we will need to choose as we let the partial  $n$ -hook vary, will be greater than zero. If it is equal to zero, we find a sequence  $\{H_n\}_{n=1,\dots}$  of partial  $n$ -hooks requiring values of  $\tau$  say,  $\tau_n$  where  $\{\tau_n\}_{n=1,\dots}$  converges to zero. But then  $\lim \{H_n\}$ , which is itself a partial  $n$ -hook (Lemma 3.2), will require a choice of  $\tau = 0$  (using a diagonalization process as done previously), which is contrary to the proof, just completed, that each given partial  $n$ -hook admits a positive value of  $\tau$ . The lemma is therefore proved as stated.

**LEMMA 3.8.** *Let  $X$  be an  $(\varepsilon, n)$ -fan with  $n > 1$ . There exists a map  $F$  from  $[0, 1] \times X$  into  $X$  such that for each point  $p$  of  $X$  we have  $F(0, p) = p$  and such that  $F(\{t\} \times X)$  is an  $(\varepsilon, n-1)$ -fan and, moreover, for each time  $t$  in  $[0, 1]$ , the map  $F$  restricted to  $\{t\} \times X$  is monotone.*

*Proof.* Can be found in [6].

**LEMMA 3.9.** *Let  $X$  be a fan which is pairwise smooth, contains no zig-zag, and no  $P$ -point. There exists a map  $F$  from  $[0, 1] \times X$  into  $X$  such that for each  $x$  in  $X$ ,  $F(0, x) = x$  and  $F(\{t\} \times X)$  contains no partial  $k$ -hooks except  $k = 1$ . Moreover,  $F$  may be chosen so that  $F$  restricted to  $\{t\} \times X$  is monotone for each  $t$  belonging to  $[0, 1]$ .*

*Proof.* In view of Corollary 3.4, we may assume that for  $k = 1, 2, \dots$ , the fan  $X$  contains no partial  $k$ -hook of diameter less than  $1/k$ . For some  $n > 1$  we assume that we have a map  $F$  so that the image  $F(1/n, X)$  contains no partial  $k$ -hook for each  $k \geq n$ . That is to say  $F(1/n, X)$  is a  $(1/n, n)$ -fan. We may then apply Lemma 3.8 to obtain a  $(1/(n-1), n-1)$ -hook during the time between  $t = 1/n$  and  $t = 1/(n-1)$ . By composing these maps in the appropriate fashion and setting  $F(0, X)$  to be the identity mapping, we obtain the desired result.

**THEOREM 3.10.** *Let  $X$  be a fan which is pairwise smooth, contains no zig-zag and no  $P$ -point. Then  $X$  is monotone contractible.*

*Proof.* In view of Lemma 3.9, we may assume that for  $n > 1$  it is true that  $X$  contains no  $n$ -hook. Let  $\leq$  denote the weak cut point order with respect to the top  $c$  of  $X$ . We claim that  $X$  admits a metric  $g$  which is radially convex with respect to  $\leq$ . It follows from [3] and [10] that this will be the case provided we show:

If a sequence  $\{p_n\}_{n=1,\dots}$  of points of  $X$  converges to a point  $p_0$  in  $X$ , then it follows that  $Ls\{L(p_n)\}$  is contained in  $L(p_0)$ . Let us say that  $p_n$  belongs to  $[c, e_n(n)]$  for  $n = 0, 1, 2, \dots$ . Let  $z_0 = \max Ls\{c, e_n(n)\}$ . We may as well assume that there are points  $z_n$  belonging to  $[c, e_n(n)]$  such that  $\{z_n\}_{n=1,\dots}$  converges to  $z_0$ . If  $Ls\{z_n, e_n(n)\}$  contains a point different from  $z_0$ , then we let  $y_0$  be the least such point. It follows that  $[y_0, z_0]$  is a 2-hook, contrary to our above assumption. If, on the other hand,  $Ls\{z_n, e_n(n)\} = z_0$ , then for almost all  $n$ ,  $p_n$  belongs to the arc  $[c, z_n]$ . Since  $\{[c, z_n]\}_{n=1,\dots}$  converges to  $[c, z_0]$ , Lemma 1.2 shows us that  $Ls\{c, p_n\}$  is contained in  $[c, p_0]$ , which was to be shown. It is now easy to see that  $X$  is monotone contractible.

**Appendix.** This section is devoted to a discussion of various examples. The first one we wish to consider is a dendroid which has the property that, although it is contractible — no matter which choice of a contraction is made, there must be a time at which the image is a noncontractible sub-dendroid.

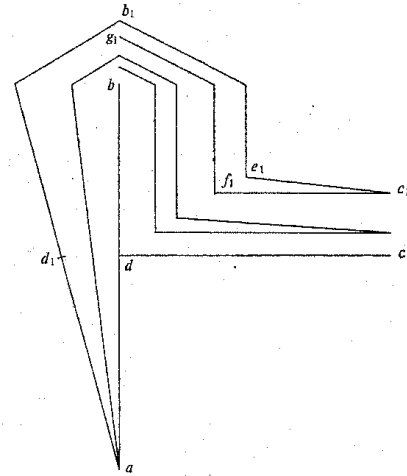


Fig. 1

The dendroid  $A$  consists of the triod  $abc$  with center  $d$ , together with a sequence of arcs  $\{[a, d_n, b_n, \varepsilon_n, c_n, f_n, g_n]\}$  where  $n = 1, 2, \dots$ , converging to the triod in the manner indicated.

It is possible to contract  $A$  to the point  $\{a\}$  by the following informal recipe.

Step 1. While keeping the points  $a, b, c$  fixed in place you must push the point  $d$  along the arc  $[db]$  all the way up to  $b$ , contracting the points in front of  $d$  ( $\langle d, b \rangle$ ) into  $b$ , and stretching out those behind  $d$  ( $[a, d], [c, d]$ ). At the same time and in the same manner you must move the points  $\{d_n\}$  for  $n = 1, 2, \dots, \{e_n\}_{n=1,2,\dots}$ , and  $\{f_n\}_{n=1,2,\dots}$  up to the points  $\{b_n\}$ ,  $\{b_n\}$ , and  $\{g_n\}$  respectively.

Step 2. Keep the arc  $[d_n, e_n]$  together as a single point (for each  $n$ ) and move this point down from  $b_n$  to  $e_n$ . At the same time you keep the arc  $[g_n, f_n]$  together as a single point (for each  $n$ ) and move it from  $g_n$  down to  $f_n$ . This forces the arc  $[d, b]$  to remain together as a single point and to move from  $b$  down to  $d$ . The arc  $[a, d_n]$  is now stretched out to cover  $[a, d_n, b_n, e_n]$  while the arcs  $[c, d], [c_n, e_n], [c_n, f_n]$  slip back to their original positions. The arc  $[a, d]$  covers  $[a, b, d]$  by going up to  $b$  and folding back over itself to  $d$ .

At the end of this step the arcs  $\langle f_n, g_n \rangle$  have vanished.

Step 3. Push  $d$  along the arc  $[d, c]$ , all the way out to  $c$ . At the same time you must move the points  $e_n$  (now the image of  $[d_n, e_n]$ ) out to  $c_n$ , and move the points  $f_n$  (now the image of  $[g_n, f_n]$ ) out to  $c_n$ . This action collapses the arcs  $[d_n, b_n, e_n, c_n, f_n, g_n]$  down to the single point  $c_n$  (for each  $n$ ) and leaves the arcs  $[a, d_n]$  stretched all the way along  $[a, d_n, b_n, e_n, c_n]$ . The arc  $[ad]$  now is stretched up to  $b$ , folds back to  $d$ , and then out to  $c$ . The arcs  $\langle c_n, f_n, g_n \rangle$  have now vanished.

Step 4. Let the arcs  $[a, d_n]$  snap back from  $[a, d_n, b_n, e_n, c_n]$  to cover just  $[a, d_n, b_n, e_n]$ . This makes the arcs  $\langle dc \rangle, \langle e_n, c_n, f_n, g_n \rangle$  vanish.

Step 5. Let the arcs  $[a, d_n]$  continue to reverse their stretching process so that they are back to covering just  $[a, d_n]$ . The arcs  $\langle d, b \rangle, \langle d_n, b_n, e_n, c_n, f_n, g_n \rangle$  have now vanished.

Step 6. Finally, contract  $[a, d_n]$  down to  $a$  (for each  $b$ ), thus collapsing  $[da]$  to the single point  $\{a\}$ . The dendroid  $A$  is therefore a contractible space. There follows a *sketch* of the proof that for each possible contraction  $F$  of  $A$ , there exists a time  $t$  in  $[0, 1]$  such that  $F(t \times A)$  is *not* contractible.

Proof of the above remark. Let the set  $S$  consist of those times  $t$  in  $[0, 1]$  such that the arc  $[b, d]$  intersects  $Ls\{F(t \times A) \cap [c_n, g_n]\}$ . Let the set  $T$  include the times  $t$  in  $[0, 1]$  for which  $b$  belongs to  $Ls\{F(t \times A) \cap [c_n, g_n]\}$ . Now  $T$  is evidently a proper subset of  $S$ . For  $t$  belonging to  $S - T$ , the set  $F(t \times A)$  would look like:

The dendroid (see Fig. 2) is *not* contractible since any contraction of it would involve moving  $d$  along  $[dc]$  to  $c$ . Since the sequence  $\{d_n\}$  converges to  $d$ , almost all of the points  $d_n$  must slide up through  $j_n, b_n$  before  $d$  is moved to  $c$  or else down through  $\{a\}$  before  $d$  is moved to  $c$ . But this it not possible because the point  $d$  would then move to  $b$  or  $a$  without the points  $\{f_n\}$  being able to follow (since the arcs  $[h_n, g_n]$  are no longer in the space). Therefore, the remark above is correct.

The fan below was inspired by the example of F. Burton Jones in [7].

The fan (see Fig. 3) consists of a countable sequence of arcs  $\{[c, b_n]\}$  converging to  $[c, a]$ , together with a countable sequence of 2-hooked arcs  $[c, d_n, a_n]$ .

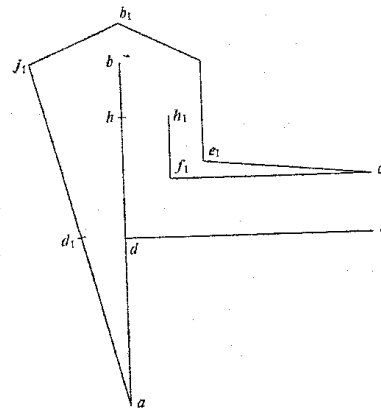


Fig. 2

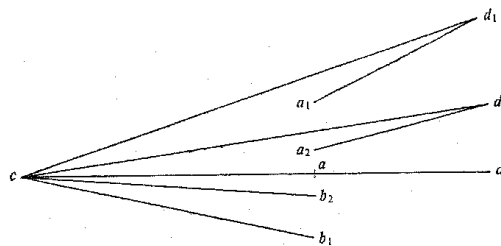


Fig. 3

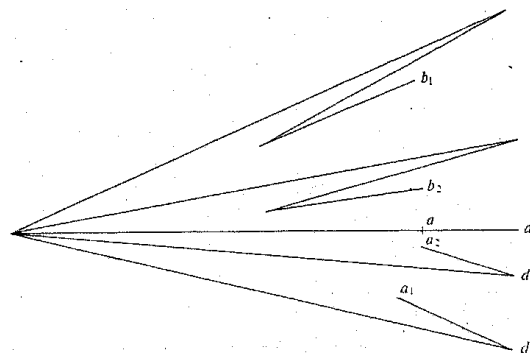


Fig. 4



Out of the pair  $\{a_n\}, \{b_n\}$  each of which converge to  $\{a\}$ , it is *not* possible to find one which dominates the other.

In fact, the arcs  $[a_n, d_n]$  converge to  $[a, d]$  with no similar capability with respect to the sequence  $\{b_n\}$  and the arcs  $[c, b_n]$  converge to  $[c, b]$  with no similar capability on the part of the sequence  $\{a_n\}$ . Hence this fan is *not* pairwise smooth.

This fan (see Fig. 4) consists of a countable sequence of 2-hooked arcs  $[c, a_n]$  and a countable sequence of 3-hooked arcs  $[c, b_n]$ . Out of the pair  $\{a_n\}, \{b_n\}$ , it is *not* possible to find one which dominates the other. The arcs  $[a_n, d_n]$  converge to  $[a, d]$  with nothing similar for  $\{b_n\}$  and the arcs  $[b_n, e_n]$  converge to  $[a, e]$  with nothing similar for  $\{a_n\}$ .

Our next example is a fan which contains a zig-zag. The sequence

$$\{[a_n, b_n, c_n, d_n]\}_{n=1}^{\infty}$$

of arcs converges to the arc  $[a, b]$  in the manner required by the definition of a zig-zag.

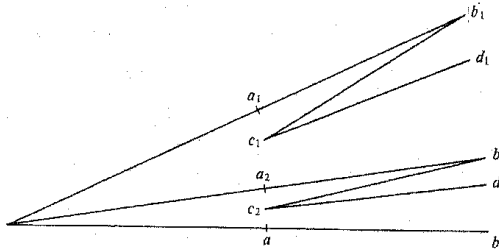


Fig. 5

Another possible way in which a fan may contain a zig-zag is illustrated below.

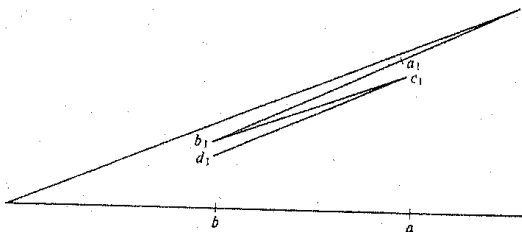


Fig. 6

Once again the sequence  $\{[a_n, b_n, c_n, d_n]\}_{n=1}^{\infty}$  of arcs converges to the arc  $[a, b]$  in the appropriate manner.

In the fan below, the point  $b$  is an example of a  $P$ -point. The set  $Ls[b, b_n]$  is

just the arc  $[b, d]$ . For  $n = 1, 2, \dots$ , the arc which is irreducible between the point  $b_n$  and the set  $Ls[b, b_n]$  is simply the arc  $[b_n, b]$ .

This concludes our collection of examples.

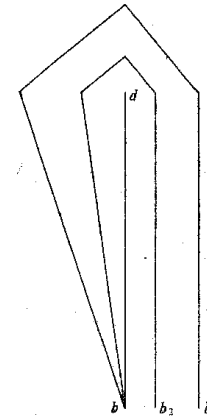


Fig. 7

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