

ON DIFFERENTIAL OPERATORS OF SECOND ORDER  
ON RIEMANNIAN MANIFOLDS  
WITH NONPOSITIVE CURVATURE

BY

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**Introduction.** Let  $G|H$  be a Riemannian homogeneous space, where  $G$  is a connected Lie group and  $H \subset G$  is a closed subgroup; the action of  $G$  is assumed to be effective. A differential operator  $D$  on  $G|H$  is called *invariant* if

$$D(f \cdot g) \cdot g^{-1} = Df$$

for any smooth function  $f: G|H \rightarrow \mathbf{R}$  and any  $g \in G$ . A Riemannian symmetric space  $M$  may be written as a homogeneous space  $G|H$  ([3], vol II, p. 224); let  $\mathfrak{A}$  be the algebra of invariant differential operators. Then  $\mathfrak{A}$  is commutative ([2], p. 396). From that result Sumitomo raised the following problem ([8], p. 132, P 807):

**PROBLEM.** Let  $M$  be a Riemannian homogeneous space with commutative algebra  $\mathfrak{A}$ . Is the Ricci tensor parallel?

The following theorem gives a partial answer:

**THEOREM.** *Let  $M$  be a Riemannian homogeneous space with non-positive sectional curvature and commutative algebra  $\mathfrak{A}$ . Then the Ricci tensor is parallel.*

**1. Differential operators of the second order.** Let  $M$  be a connected Riemannian manifold,  $\dim M \geq 2$ ; let  $g_{ij}$  be the components of the metric tensor  $g$  in local coordinates  $(u^i)$ ; let  $\nabla$  denote covariant differentiation. As usual, raising and lowering of indices are defined. Let  $\Delta$  denote the Laplacian,  $\Delta f = g^{ij} \nabla_j \nabla_i f$ ,  $f: M \rightarrow \mathbf{R}$ . Manifolds, maps, etc. are of class  $C^\infty$ .

The following results are essential tools for the proof of the Theorem.

**1.1. LEMMA** (Lichnerowicz [4]). *Let  $M$  be a differentiable manifold with an affine connection  $\nabla$  without torsion. Any differential operator  $D$*

of order  $r$  on  $M$  can be expressed by

$$(1.1.1) \quad Df = \sum_{p \leq r} a^{i_1 \dots i_p} \nabla_{i_p} \dots \nabla_{i_1} f,$$

where  $a^{i_1 \dots i_p}$  are the components of a contravariant symmetric tensor on  $M$ ; moreover, this expression is unique.

**1.2. LEMMA** (Sumitomo [9], Theorem 2.2). *In order that a differential operator  $D$  of second order,  $D = a^{ij} \nabla_j \nabla_i$ , commute with the Laplacian it is necessary and sufficient that the coefficient tensor satisfy the following three equations:*

$$(1.2.1) \quad \nabla_k a_{ij} + \nabla_i a_{jk} + \nabla_j a_{ki} = 0,$$

$$(1.2.2) \quad -g^{rs} \nabla_r \nabla_s a_{ij} - 2a^{rs} R_{irsj} + R_i^r a_{rj} + R_j^r a_{ri} = 0,$$

$$(1.2.3) \quad a^{rs} \nabla_i R_{rs} - 2a^{rs} \nabla_r R_{si} = 0;$$

$R_{hijk}$ , resp.  $R_{ij}$ , are the components of the curvature tensor, resp. the Ricci tensor <sup>(1)</sup>.

**1.3. LEMMA** (Sumitomo [9], Theorem 2.3). *Let  $D$  be a differential operator of second order on  $M$ ,  $D = a^{ij} \nabla_j \nabla_i$ . If  $D\Delta = \Delta D$ , then each of the conditions*

$$(1.3.1) \quad M \text{ is compact,}$$

$$(1.3.2) \quad M \text{ is irreducible,}$$

$$(1.3.3) \quad \text{rank}(R_{ij}) = n = \dim M$$

*implies*

$$(1.3.4) \quad \text{trace } a_{ij} = \text{const}$$

*on  $M$ .*

**1.4. LEMMA.** *Let  $A$  be a differentiable symmetric  $(0, 2)$ -tensor (with components  $A_{ij}$ ) on  $M$  with*

$$(1.4.1) \quad \text{trace } A = \text{const,}$$

$$(1.4.2) \quad \nabla_k A_{ij} + \nabla_i A_{jk} + \nabla_j A_{ki} = 0.$$

*Then*

$$(1.4.3) \quad \frac{1}{2} \Delta A_{ij} A^{ij} = -2 \sum_{i < j} K_{ij} (\lambda_i - \lambda_j)^2 + g^{rs} \nabla_r A_{ij} \nabla_s A^{ij};$$

$\lambda_1, \dots, \lambda_n$  are the eigenvalues of  $A$  with corresponding (orthonormal) eigenvectors  $E_1, \dots, E_n$  and  $K_{ij}$  is the sectional curvature corresponding to  $\{E_i, E_j\}$ .

<sup>(1)</sup> The sign of the curvature tensor in [9] differs from that in [1], p. 30. We use the notation of Eisenhardt.

Proof. We have

$$(1.4.4) \quad \frac{1}{2} \Delta A_{ij} A^{ij} = g^{pq} (\nabla_q \nabla_p A_{ir}) A^{ir} + g^{pq} \nabla_p A_{ir} \nabla_q A^{ir}.$$

Using (1.4.2) and the well-known Ricci identity ([1], p. 30, (11.16)) and the symmetry of  $A^{ir}$ , we get

$$(1.4.5) \quad -g^{pq} (\nabla_q \nabla_p A_{ir}) A^{ir} = g^{pq} (\nabla_p \nabla_i A_{rq} + \nabla_p \nabla_r A_{qi}) A^{ir} \\ = 2g^{pq} (\nabla_i \nabla_p A_{qr} + R^h{}_{qip} A_{rh} + R^h{}_{rtp} A_{qh}) A^{ir}.$$

From (1.4.1) and (1.4.2) we have

$$(1.4.6) \quad g^{pq} \nabla_p A_{qi} = 0.$$

Let  $p \in M$ ; we choose local coordinates  $(u^i)$  corresponding to  $\{E_r\}_{r=1}^n$ ; then for  $\{E_i, E_j\}$  ( $i \neq j$ ;  $i, j$  fixed)

$$(1.4.7) \quad K_{ij} = R_{ijji}.$$

(1.4.5)-(1.4.7) imply

$$(1.4.8) \quad -g^{pq} (\nabla_p \nabla_q A_{ir}) A^{ir} = 2 \sum_{i < j} K_{ij} (\lambda_i - \lambda_j)^2;$$

(1.4.3) follows from (1.4.4) and (1.4.8).

For an analogous formula for Codazzi tensors with constant trace compare [6] and [10], Corollary 1, Theorem 1.

**1.5. COROLLARY.** *Let  $M$  be a Riemannian manifold with nonpositive sectional curvature. Let  $A$  be a differentiable symmetric  $(0, 2)$ -tensor on  $M$  which fulfills (1.4.1) and (1.4.2). If*

$$(1.5.1) \quad A_{ij} A^{ij} = \text{const},$$

then

$$(1.5.2) \quad \nabla_k A_{ij} = 0 \quad \text{on } M.$$

**1.6. PROPOSITION.** *Let  $M$  be a Riemannian manifold of nonpositive curvature and let  $D$  be a differential operator of second order on  $M$ ,*

$$D = a^{ij} \nabla_i \nabla_j,$$

which commutes with the Laplacian. Then each of the conditions

$$(1.6.1) \quad a^i{}_i = \text{const} \quad \text{and} \quad a_{ij} a^{ij} = \text{const},$$

$$(1.6.2) \quad \text{rank}(R_{ij}) = n = \dim M, \quad a_{ij} a^{ij} = \text{const}$$

implies

$$(1.6.3) \quad \nabla_k a_{ij} = 0.$$

Proof by 1.2-1.5.

The following lemma is a direct consequence of the de Rham decomposition theorem (cf. [2], p. 187); we owe it to R. Walden.

**1.7. LEMMA.** *Let  $M$  be a simply connected Riemannian manifold and  $A$  a symmetric covariant constant  $(0, 2)$ -tensor on  $M$ . Then*

(1.7.1) *the eigenvalues  $\lambda_1, \dots, \lambda_n$  of  $A$  are constant;*

(1.7.2) *the eigendistributions are integrable and parallel;*

(1.7.3)  *$M$  is the Riemannian product,*

$$M = M_0 \times M_1 \times \dots \times M_k,$$

*of the integral manifolds  $M_\beta$  ( $\beta = 0, \dots, k$ ) of the eigendistributions; each integral manifold is a totally geodesic submanifold of  $M$ .*

**1.8. REMARK** (cf. [7], § 1). If  $M$  is irreducible and simply connected,  $\nabla A = 0$  implies  $A_{ij} = \lambda g_{ij}$ ,  $\lambda = \text{const}$ ; if  $M$  is reducible and if  $A(\beta)$ , resp.  $g(\beta)$ , denote the tensors induced by  $A$ , resp.  $g$ , on  $M_\beta$ , then, if  $M_\beta$  is irreducible,  $\nabla A = 0$  implies

$$(1.8.1) \quad A(\beta) = \lambda_\beta g(\beta), \quad \beta = 0, \dots, k;$$

$\lambda_\beta$  are the eigenvalues of  $A$ .

**1.9. COROLLARY.** *Let  $M$  be a Riemannian manifold with nonpositive sectional curvature. Let  $A$  be a differentiable symmetric  $(0, 2)$ -tensor on  $M$ ,  $A_{ij} \neq \nu g_{ij}$ ,  $\nu: M \rightarrow \mathbf{R}$ , which fulfills*

$$(1.9.1) \quad \nabla_k A_{ij} + \nabla_i A_{jk} + \nabla_j A_{ki} = 0,$$

$$(1.9.2) \quad \text{trace } A = \text{const.}$$

*Then locally we have a decomposition of  $M$  (compare (1.8)-(1.9)) and for each irreducible factor  $M_\beta$  we have*

$$(1.9.3) \quad A(\beta) = \alpha_\beta g(\beta), \quad \beta = 0, \dots, k.$$

**1.10. THEOREM.** *Let  $M$  be a simply connected Riemannian manifold with nonpositive sectional curvature. Let  $D$  be a differential operator of second order,*

$$D = a^{ij} \nabla_j \nabla_i.$$

*Let*

$$(1.10.1) \quad M \text{ be irreducible,}$$

$$(1.10.2) \quad a^{ij} a_{ij} = \text{const.}$$

*Then  $D$  commutes with the Laplacian  $\Delta$  iff  $D = \lambda \Delta$ ,  $\lambda = \text{const}$ .*

If  $M$  is reducible,  $D$  induces differential operators  $D(\beta)$  of second order on  $M(\beta)$ ; let  $\Delta(\beta)$  be the Laplacian of  $M(\beta)$ . Then we have

**1.11. THEOREM.** *Let  $M$  be a simply connected Riemannian manifold of nonpositive sectional curvature. If there exists a differential operator  $D$  of second order on  $M$  which commutes with the Laplacian and  $a_{ij}a^{ij} = \text{const}$ , then  $M$  is the Riemannian product  $M = M_0 \times M_1 \times \dots \times M_k$  of simply connected totally geodesic submanifolds.*

**2. Generalized curvature tensor fields.**

**2.1. DEFINITION [4].** Let  $M$  be a Riemannian manifold,  $\dim M \geq 3$ . A differentiable  $(1, 3)$ -tensor  $L$  (with components  $L^h_{ijk}$ ) will be called a *generalized curvature tensor on  $M$*  if

$$(2.1.1) \quad L^h_{ijk} = -L^h_{ikj},$$

$$(2.1.2) \quad g^{rh}L_{rijk} = g^{hr}L_{jkri},$$

$$(2.1.3) \quad L^h_{ijk} + L^h_{jki} + L^h_{kij} = 0 \quad (\text{the first Bianchi identity}).$$

We shall say that  $L$  is *proper* if  $L$  satisfies the second Bianchi identity

$$(2.1.4) \quad \nabla_r L^h_{ijk} + \nabla_j L^h_{ikr} + \nabla_k L^h_{irj} = 0.$$

**2.2. PROPOSITION ([4], p. 388, Proposition 2).** *Let  $\dim M \geq 3$ . Let*

$$\Lambda(M) := \{L \mid L \text{ generalized curvature tensor on } M\},$$

$$\Lambda_0(M) := \{L \in \Lambda(M) \mid L^{ik}_{ik} = 0 \text{ on } M\},$$

$$\Lambda_1(M) := \{L \in \Lambda(M) \mid \langle L, L(0) \rangle := L_{hijk}L(0)^{hijk} = 0, L(0) \in \Lambda_0(M)\},$$

$$\Lambda_\omega(M) := \{L \in \Lambda_0(M) \mid L^h_{ijh} = 0\},$$

$$\Lambda_2(M) := \{L \in \Lambda_0(M) \mid \langle L, L(\omega) \rangle = 0, L(\omega) \in \Lambda_0(M)\}.$$

*Then for every generalized curvature tensor field there is a natural direct sum decomposition*

$$(2.2.1) \quad L = L(1) + L(2) + L(\omega), \quad L(1) \in \Lambda_1(M), \quad L(2) \in \Lambda_2(M),$$

$L(\omega) \in \Lambda_\omega(M)$ , where

$$(2.2.2) \quad L(1)^h_{ijk} = \frac{1}{n(n-1)} R(L)(g_{ij}\delta_k^h - g_{ik}\delta_j^h),$$

$$(2.2.3) \quad L(2)^h_{ijk} = \frac{1}{(n-2)} (R(L)_{ij}\delta_k^h - R(L)_{ik}\delta_j^h + g_{ij}R(L)^h_k - g_{ik}R(L)^h_j) + \\ + \frac{2}{n(n-2)} R(L)(g_{ik}\delta_j^h - g_{ij}\delta_k^h),$$

$$(2.2.4) \quad L(\omega)^h_{ijk} = L^h_{ijk} + \frac{1}{(n-2)} (R(L)_{ik} \delta_j^h - R(L)_{ij} \delta_k^h + \\ + g_{ik} R(L)^h_j - g_{ij} R(L)^h_k) + \frac{1}{(n-1)(n-2)} R(L) (g_{ij} \delta_k^h - g_{ik} \delta_j^h);$$

$$(2.2.5) \quad R(L)_{ij} := L^h_{ijn}$$

are the components of the Ricci tensor  $\text{Ric}(L)$ ,

$$(2.2.6) \quad R(L) := R(L)^i_i$$

is the scalar curvature of  $L$ .

$L(\omega)$  is called the *conformal Weyl curvature tensor* of  $L$ .

The following lemma is obvious:

**2.3. LEMMA.** *Let  $L$  be a generalized curvature tensor on  $M$ ,  $\dim M \geq 3$ . Then  $L(2) = 0$  iff  $nR(L)_{ij} = R(L)g_{ij}$ .*

**2.4. THEOREM.** *Let  $M$  be a irreducible, simply connected Riemannian manifold,  $\dim M \geq 3$ , with nonpositive sectional curvature. Let  $L$  be a proper generalized curvature tensor over  $M$ . Then*

$$(2.4.1) \quad \nabla_i R(L)_{jk} + \nabla_j R(L)_{ki} + \nabla_k R(L)_{ij} = 0 \quad \text{and} \quad R(L)^{ij} R(L)_{ij} = \text{const}$$

imply

$$(2.4.2) \quad L(2) = 0$$

on  $M$ .

**Proof.** (2.1.4) and (2.4.1) imply  $R(L) = \text{const}$ ; the assertion follows now from (1.9) and (2.3).

**Proof of the Theorem.** As  $M$  is homogeneous, we have  $R = \text{const}$  and  $R^{ij} R_{ij} = \text{const}$ . From the commutativity of  $\mathfrak{A}$  we have  $D(\text{Ric})\Delta = \Delta D(\text{Ric})$ , where  $D(\text{Ric}) = R^{ij} \nabla_i \nabla_j$ . Then  $\nabla(\text{Ric}) = 0$  from (1.6).

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*Reçu par la Rédaction le 8. 3. 1973;  
en version modifiée le 9. 11. 1973*

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